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### MITOTANE LEVELS PREDICT THE OUTCOME OF PATIENTS WITH ADRENOCORTICAL CARCINOMA TREATED ADJUVANTLY FOLLOWING RADICAL RESECTION.

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Word count: 5077

#### ABSTRACT

**Context**. Mitotane plasma concentrations  $\geq 14$  mg/l have been shown to predict tumor response and better survival in patients with advanced adrenocortical cancer (ACC). A correlation between mitotane concentrations and patient outcome has not been demonstrated in an adjuvant setting.

**Objective**. To compare recurrence-free survival (RFS) in patients who reached and maintained mitotane concentrations  $\geq 14$  mg/l versus patients who did not.

Design and setting. Retrospective analysis at 6 referral European centers.

**Patients**. Patients with ACC who were radically resected between 1995 and 2009 and were treated adjuvantly with mitotane targeting concentrations of 14–20 mg/l.

Main outcome measures. RFS (primary) and overall survival (secondary).

**Results**. Of the 122 patients included, 63 patients (52%) reached and maintained during a median follow-up of 36 months the target mitotane concentrations [group 1] and 59 patients (48%) did not [group 2]. ACC recurrence was observed in 22 patients of group 1 (35%) and 36 patients in group 2 (61%). In multivariable analysis, the maintenance of target mitotane concentrations was associated with a significantly prolonged RFS (HR of recurrence, 0.418, 0.22-0.79; P=0.007) while the risk of death was not significantly altered (HR, 0.59, 0.26-1.34; P=0.20). Grade 3-4 toxicity was observed in 11 patients (9%) and was managed with temporary mitotane discontinuation. None of the patients discontinued mitotane definitively for toxicity.

**Conclusions**. Mitotane concentrations  $\geq$ 14 mg/l predict response to adjuvant treatment being associated with a prolonged RFS. A monitored adjuvant mitotane treatment may benefit patients after radical removal of ACC.

#### **INTRODUCTION**

Adrenocortical carcinoma (ACC) is a rare and aggressive endocrine tumor showing an annual incidence of 0.5–2 cases per million population (1, 2). Surgery is the mainstay of therapy and complete surgical removal of ACC is the most important prognostic factor for long-term survival together with stage. Recurrence after apparently complete resection is frequent and may be found in up to 70–85% of patients with high proliferation index or locally advanced disease (3-7), an observation suggesting that adjuvant treatment concepts have a major role in ACC management.

The adjuvant use of mitotane (o,p'-DDD), an adrenolytic drug used for treating advanced ACC since the **1960s** (3, 4, 8), has become increasingly popular after the demonstration that post-

operative mitotane treatment was associated with a significantly reduced risk of recurrence and death in a large series of patients with radically operated ACC (9, 10). Given its retrospective nature, however, this study could not provide a definitive demonstration of efficacy of mitotane in the adjuvant setting (11, 12).

For most experts, monitoring of plasma mitotane levels is currently part of the management of ACC patients treated with mitotane because the drug is known to have a narrow therapeutic window (13). Indeed, mitotane plasma levels have been shown to be associated with both therapeutic efficacy and drug-related toxicity in advanced ACC (14, 15) and a recent study has confirmed that a mitotane concentration above 14 mg/l is a predictor of tumor response and better survival (16). A similar strategy of targeting a threshold of 14 mg/l has been advocated in the adjuvant setting, despite the fact that it is presently unknown if the relationship between plasma concentrations of mitotane and its efficacy is applicable also to patients treated adjuvantly without evidence of disease (13, 17).

The aim of the present retrospective multicenter study was to evaluate whether mitotane plasma concentrations are associated with the outcome of patients receiving adjuvant mitotane treatment following radical resection of ACC. We assessed whether the currently used threshold of 14 mg/l may predict a beneficial effect of the drug on recurrence free survival.

#### **METHODS**

#### Patients

We performed a retrospective analysis among patients with ACC who had undergone radical surgery between January 1995 and December 2009 at six tertiary referral centers in Europe (S. Luigi Gonzaga Hospital, Orbassano, Italy; Institute Gustave Roussy, Villejuif, France; University Hospital of Würzburg, Würzburg, Germany; Erasmus Medical Centre, Rotterdam, Academic Medical Centre, Amsterdam and Maxima Medical Center, Eindhoven, The Netherlands) participating in the European Network for the Study of Adrenal Tumors (ENSAT; www.ensat.org). All patients who had undergone radical resection and monitored adjuvant mitotane treatment were included in the study. Follow-up for this study was closed in December 2010. During the study period, adjuvant mitotane was recommended in patients at perceived high risk of recurrence in all centers but two. At Orbassano, the policy was to recommend adjuvant mitotane

# treatment to all patients following resection of ACC, while at Wurzburg the policy was until 2006 to offer mitotane only to "high risk patients", and in 2006-2009 to all patients.

To be eligible to this study the patients had to meet the following inclusion criteria: age of 16 years or older, histologically confirmed diagnosis of ACC, complete tumor resection, availability of postoperative follow-up information including results of imaging tests (CT or MRI scans) and regular determinations of plasma mitotane concentration. Exclusion criteria were macroscopically incomplete resection, incomplete tumor staging, concomitant cancers within the previous 5 years except for non melanoma skin cancer radically treated, clinically significant concomitant disease, incomplete follow-up information or follow-up duration of less than 6 months, initiation of mitotane treatment longer than 6 months after surgery, and concomitant postoperative adjuvant therapies (chemotherapy or radiotherapy).

All data were obtained by reviewing patient history, discharge summaries, medical records, and source documents. Data were retrieved by trained medical personnel using specifically tailored data forms. We collected data on clinical and demographical characteristics, the date of diagnosis, the stage at diagnosis, the hormonal workup, the date and type of surgery, the pathology report, the date of recurrence, and either the date and cause of death or the date of the last follow-up visit. The following information concerning mitotane treatment was collected: treatment dose and duration, adverse effects, reasons for discontinuation, and results of monitoring of plasma concentrations. The institutional ethics committee at each clinical center approved the study except that in The Netherlands where retrospective use of anonymously treated data does not need to be approved by ethics committees (http://www.ccmo.org). All patients provided written informed consent.

**R0 resection** was defined as no evidence of macroscopic residual disease on the basis of surgical reports, histopathological analysis, and postoperative imaging. All diagnoses were confirmed by experienced pathologists according to the Weiss criteria with a score of 3, or more, identifying malignancy (18, 19). Staging at diagnosis was based on imaging studies and was corroborated by the findings at surgery. Staging was reported according to the ENS@T staging system (20). Disease recurrence was defined as radiologic evidence of a new tumor lesion during follow-up.

#### **Study protocol**

During the study period, monitored mitotane treatment aimed at reaching and maintaining a target plasma concentration of 14 - 20 mg/l (13, 17) was a standard of care following removal of ACC in the participating centers. In the absence of intolerability to mitotane, adjuvant treatment was scheduled for at least 2 years, or until ACC recurrence.

All patients received the same mitotane formulation (Lysodren, 500 mg tablets) that was purchased by Bristol-Meyers Squibb, USA, until 2003 and thereafter by Laboratoire HRA Pharma, France. Mitotane was given orally following a schedule of progressive dose increments according to local protocols and patient compliance aiming to reach concentrations between 14–20 mg/l (14, 15). When such or even higher concentrations were attained, doses were tapered with further individual dose adjustments guided by the results of mitotane measurement and toxicity assessment. In the event of unacceptable side effects, the patients were allowed to return to a lower dose or discontinue mitotane temporarily restarting with a lower dose. All patients received glucocorticoid replacement and dosing of steroid replacement was adjusted on the basis of clinical and biochemical evaluation.

At Orbassano, 50 - 75 mg daily of cortisone acetate was used in most patients, while at the other centers 30 – 50 mg daily of hydrocortisone was used. Thyroxine and sex steroid hormone replacement was given if deemed appropriate (13, 17). Testosterone replacement was done using mainly testosterone enanthate.

Follow-up protocols were similar in the centers including imaging of both chest and abdomen at baseline (before introducing mitotane) and thereafter every 3-6 months until disease progression or end of the study period. At each visit, the patients underwent physical examination, routine laboratory evaluation, monitoring of mitotane concentrations and hormonal work-up. Mitotane-related toxicity was graded using NCI CTCAE criteria version 3.0 (21).

#### Outcomes

The primary aim of our study was to compare recurrence-free survival (RFS) in patients who received adjuvant mitotane therapy after radical resection and maintained the target plasma concentrations with that of patients who did not. For the purpose of this analysis, we arbitrarily defined two groups: (1) patients who reached a mitotane plasma concentration of 14 mg/l, or greater, and maintained this target level in at least 75% of all measurements thereafter; (2) patients who either never reached a plasma level of 14 mg/l or could not maintain this level. Secondary

outcome measures were overall survival (OS) and adverse events associated with target mitotane concentrations.

RFS was measured from the date of surgery to the date of recurrence or death, whatever event occurred first; for patients who did not have a relapse or died, the data were censored at the date of the last follow-up visit. OS was measured from the date of surgery to the date of death, and the data were censored at the date of the last follow-up visit.

#### **Statistical analysis**

Database management and all statistical analyses were performed by using the 'Statistica' for Windows software package (Statsoft Inc., Tulsa, OK, USA). Rates and proportions were calculated for categorical data, and median and ranges for continuous data. Normality of data was assessed by the Wilk–Shapiro's test and since data were not normally distributed, the two-tailed Mann–Whitney U test was used to assess differences in continuous variables. Differences between categorized variables were analyzed by the chi-square test. Survival curves were computed according to the Kaplan-Meier method and were compared by means of the log-rank test. A Cox proportionalhazards regression analysis was used to assess in univariable and multivariable analysis the predictive role of maintenance of plasma mitotane concentrations of 14 mg/l or higher. Independent variables included in the multivariable analysis were the time elapsed to achieve those concentrations, sex (male versus female), age at diagnosis (continuous variable), ENS@T stage (I versus II versus III), hormone secretion (yes versus no), Weiss score and mitotic index (mitosis count  $\geq 9/50$  HPF versus < 9/50 HPF). The mitotic index of 9/50 HPF was previously demonstrated by our group to have an independent adverse prognostic effect on either RFS or OS in a cohort of 92 patients with ACC (22). Missing data were dealt with by excluding patients from particular analyses if their files did not contain data for the required variables. All reported P values are twosided. P values of less than 0.05 were considered to indicate statistical significance.

#### RESULTS

One hundred twenty-two patients entered the study. Their baseline characteristics are provided in Table 1. Mitotane was given according to a low dose start-up regimen, defined as less than 6 grams daily at the 14th day of treatment, in 77 patients (63.1%) while a high-dose start-up regimen, defined as more than 6 grams daily, or more, at the 14th day of treatment, was followed in 45 patients (36.9%), according to local preferences. The 2 groups of patients did not differ for baseline characteristics and the percentage of patients attaining plasma mitotane levels of 14

mg/l, or higher, within 3 months (Table 2). The median interval between tumor resection and initiation of mitotane was 2 months (1- 4 months). The median follow-up period after surgery was 36 months (7-169 months) and the median duration of mitotane treatment was 25 months (6-165 months).

Of the 122 patients included in the study, 63 patients (52%) maintained in at least 75% of the available determinations during follow-up the target plasma mitotane concentrations of at least 14 mg/l, or higher, [group 1] and 59 patients (48%) did not [group 2]. On average, mitotane measurements were done every 3 months in 86 patients and every 6 months in 36 patients. The median follow-up duration was 40 months (11-169 months) in group 1 and 34 months (7-156 months) in group 2. A flow-chart of the patients stratified according to the plasma mitotane threshold of 14 mg/l and time needed to reach that concentration is provided in Figure 1.

During follow-up, ACC recurrence was observed in 58 patients (47.5%), 22 in group 1 (35.0% of the group) and 36 in group 2 (61.0% of the group). Death from ACC was reported for 33 patients (27.0%), 13 in group 1 (20.6% of the group) and 20 in group 2 (34% of the group). At the last follow-up visit, 89 patients were alive and 59 were on mitotane treatment, 38 of whom were free of recurrence. Thirty patients had discontinued mitotane after at least 6 months of treatment for their willingness or following recommendation of their physicians.

In univariable analysis, the maintenance of serum mitotane concentrations of 14 mg/l, or higher, was associated with longer RFS (HR of recurrence, 0.497; 95% CI, 0.292-0.844; P=0.01) and OS (HR of death, 0.511; 95% CI, 0.253-1.029; P=0.06) (Figure 2a and 2b). The median RFS was not attained in group 1, and 21 months (95% CI, 6-36 months) in group 2. The median OS was not attained in group 1, and 98 months (95% CI, 50-145 months) in group 2 according to the log-rank test. A rapid achievement (within 3 months) of mitotane levels of 14 mg/l, or higher, did not influence either RFS or OS among the patients who maintained target mitotane concentrations (Supplemental Figure 3a and 3b). The results of the multivariable Cox proportional hazards models are shown in Table 3. After adjustment for sex, age at diagnosis, ENS@T stage, hormone secretion, Weiss score and mitotic index, the patients who maintained target mitotane concentrations showed a significantly reduced risk of recurrence (adjusted HR, 0.418; 95% CI, 0.22-0.79; P=0.007) while the risk of death was not significantly altered (adjusted HR, 0.59; 95% CI, 0.26-1.34; P=0.20). Ad hoc

analysis including time elapsed from surgery to adjuvant treatment and follow-up duration did not alter the results.

The adverse events associated with mitotane therapy are listed in Table 4. Overall, grade 3-4 toxicity was observed in 11 patients (9%) and was managed with temporary mitotane discontinuation. None of the patients discontinued mitotane definitively for toxicity. Gastrointestinal and liver toxicities were more severe in patients treated with the high dose start-up regimen and in this group temporary discontinuation of mitotane was more frequent.

#### DISCUSSION

More than 50 years after the introduction of mitotane in clinical practice (8), its use as a postoperative adjunctive measure remains matter of debate (11, 12, 23).

The present study shows for the first time that mitotane levels may influence the outcome of patients treated adjuvantly following radical resection of ACC and validate the concept of a therapeutic value for a cut-off of mitotane concentrations at 14 mg/l. Patients who were able to consistently maintain mitotane levels of more than 14 mg/l during follow-up had a significantly reduced risk of recurrence than patients who failed to do so. The apparent benefit of elevated mitotane concentrations was confirmed when multivariable analyses were used to adjust for the most important prognostic factors (13, 24, 25). OS appeared to be non-significantly prolonged in patients who maintained target mitotane concentrations. However, since the number of events (deaths) was low (27%) and the median survival was not yet reached, it is likely that the effect will become fully evident only after a longer duration of follow-up. Even accepting that OS is not significantly extended by adjuvant mitotane, treatment may be justified because ACC events, such as distant and loco-regional recurrences, are clinically important for patients. Although mitotane may impair quality of life because of unwanted effects, treatment-related toxicity is sustainable; thus, extending the duration of life free of disease may benefit ACC patients since tumor recurrence has a great negative impact on quality of life, and either psychological or physical health of affected patients, and triggers even more toxic treatments.

RFS is increasingly considered as a valid surrogate endpoint for OS in adjuvant trials being closely related to the efficacy of adjuvant treatment, whereas OS is usually also affected by the treatment adopted upon progression (26, 27). However, RFS strongly depends on the frequency and quality of follow-up procedures that were comparable among the different centers.

The independent relationship of mitotane concentration with RFS observed in this study is a further step toward demonstration of efficacy of mitotane in an adjuvant setting, although we cannot exclude that reaching target plasma concentrations is associated with unexplored patient or tumor features that per se could be predictive of a better prognosis.

The available evidence on target mitotane concentrations has been obtained only in the clinical scenario of advanced ACC; however, most experts recommend targeting the cutoff level of 14 mg/l also in the adjuvant setting (13, 17). The present results validate this treatment strategy, since a consistent maintenance of mitotane target concentrations during treatment was an independent factor associated with a lower risk of recurrence. This finding is likely to have a major influence on clinical practice prompting a more stringent approach at maintaining target mitotane concentrations. The present evidence should strengthen the commitment of both patients and physicians to this task, that is difficult to fulfill, as shown in this study. **Since levels of 14 mg/l have been targeted in our patients to guide mitotane dosing, we did not analyze other cut-off values that were not used in the clinical decision process.** 

There is currently controversy on the best dosing regimen to initiate mitotane therapy. In our study, either high-dose or low-dose regimens were employed reflecting this controversy. A high dose regimen has been advocated to allow a rapid rise of plasma mitotane concentrations in order to avoid any delay in drug activity (28, 29); however, toxicity associated with such regimen is of concern. On the other hand, also a low dose regimen, potentially better tolerated, is able to provide target mitotane concentrations, but with a time lag of several months from treatment start (30). It is noteworthy that in the present series the percentage of patients attaining target mitotane concentrations at the landmark of 3 months did not differ in the high-dose versus low-dose group. Furthermore, the time elapsed to attain target mitotane concentrations did not seem to add prognostic information, suggesting that shortening of this period, although plausible, is not of paramount importance. However, as this was not a pharmacokinetic study we cannot finally answer the question about the best treatment regimen.

Toxicity was overall acceptable and it was possible to manage unwanted effects with temporary treatment discontinuation, or dose reduction, without losing any patient for compliance-related issues. Despite a possible underreporting of some adverse effects due to the retrospective nature of the study, we confirm that adjuvant mitotane treatment is feasible proven that a careful follow-up and counseling is offered to the patients. The monitoring of mitotane concentrations is of pivotal importance in this context to guide dose adjustments and limit unwanted effects, as it was

previously observed (30). Conversely, studies published before the availability of mitotane monitoring reported that a severe and disabling toxicity was associated with mitotane use, that may lead many patients to be unable to perform the usual activities of ordinary life (7).

Our study had certain limitations, the retrospective nature and absence of randomization are the most important ones. To reduce selection bias **that was mainly towards patients at perceived high risk of recurrence,** we included all consecutive patients who were given adjuvant mitotane at the participating centers. Differences in histologic classification among the centers are unlikely, since all tumor specimens were reviewed by expert pathologists who used the same classification criteria (18, 19). Diagnostic and staging protocols were similar in all centers, and patients underwent surgery during the same period. Finally, follow-up procedures were largely similar among the centers and complete follow-up data were available for all patients. Thus, as far as can be stated in a retrospective study, biases appear to have been minimal.

In conclusion, this is the first demonstration that plasma mitotane concentrations may have a therapeutic impact in an adjuvant setting, since mitotane levels of 14 mg/l, or higher, may prolong RFS in patients with radically resected ACC. This finding supports indirectly the efficacy of adjuvant mitotane treatment and adds to recent evidence of a beneficial effect of mitotane in an adjuvant setting (31, 32). Additional findings of our study that may have an impact on practice are that mitotane concentrations can be used as a factor predicting response to treatment, and that the dosing schedule used at the initiation of mitotane treatment is less important than striving for reaching and maintaining target mitotane levels during adjuvant therapy. This piece of evidence may help in structuring an articulated systemic treatment of ACC that remains challenging since the rarity of the disease hampered development of effective therapeutic options beyond surgery (33).

**Declaration of Interest**: M.Terzolo, A.E.Baudin, H.Haak, B.Allolio and M.Fassnacht participated in a study on the pharmacokinetics of mitotane in a subgroup of patients who were enrolled in the FIRM-ACT study. This sub-study was funded by HRA Pharma.

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Age, yrs	
Median (range)	45 (16-76)
Sex, n. (%)	
Male	53 (43.4)
Female	69 (56.6)
Tumor stage, n. (%)	
1	10 (8.2)
11	79 (64.8)
<i>III</i>	33 (27.0)

Table I. Baseline characteristics of the patients.

Tumor size, cm	
Median (Range)	10.7 (3.0-25.0)
Functional status, n. (%)	
Secreting tumor	65 (53.3)
Non-secreting tumor	51 (41.8)
Missing	6 (4.9)
Weiss score	
Median (Range)	6 (2-9*)
Mitotic index**, n. (%) High	
low	71 (58.2)
Missina	42 (34.4)
wiissiirg	9 (7.4)

\*A single patient with Weiss 2 ACC recurred after 6 months. \*\*High mitotic index is a mitotic count  $\geq 9/50$  HPF; low mitotic index is a mitotic count < 9/50 HPF.

Table II. Baseline characteristics of the patients treated according to two different mitotane schedules.

	Low-dose start-up regimen	High-dose start-up regimen	
	(n=77 )	(n=45)	
Age, yrs			
Median (Range)	44 (16-71)	46 (22-76)	
Sex, n. (%)			
Male	35 (45.5)	18 (40.0)	
Female	42 (54.5)	27 (60.0)	

Tumor stage		
1	6 (7.8)	4 (9.0)
П	53 (68.8)	26 (58.0)
111	18 (23.4)	15 (33.0)
Tumor size, cm		
Median (Range)	11 (3.0-25.0)	9.7 (3.0-21.0)
Mitotic index, n. (%)		
High	48 (62.3)	23 (51.1)
Low	28 (36.4)	14 (31.1)
Missing	1 (1.3)	8 (17.8)
Weiss score		
Median (Range)	6 (2-9)	6 (3-9)
Functional status, n. (%)		
Secreting tumor	43 (55.8)	22 (48.9)
Nonsecreting tumor	33 (36.8)	18 (40.0)
Missing	1 (1.3)	5 (1.1)
Mitotane target levels reached within 3 months, n. (%)		
yes	36 (46.8)	19 (42.2)
no	41 (53.2)	26 (57.8)

\*High mitotic index is a mitotic count ≥9/50 HPF; low mitotic index is a mitotic count <9/50 HPF.

# Table III – Predictive factors at multivariable analysis.

## Recurrence-free survival.

	Hazard Ratio	95% CI	p
Sex	0.774	0.387 – 1.547	0.468
Age at diagnosis	1.001	0.977 – 1.027	0.909
Secreting tumor	1.864	0.93 – 3.75	0.081
Tumor stage	1.728	0.862 – 3.464	0.123
Weiss score	1.145	0.909 – 1.443	0.250
High mitotic index	2.112	0.97 – 4.47	0.051
Maintenance of mitotane levels ≥ 14 mg/l	0.418	0.220 – 0.791	0.007

### Overall survival.

	Hazard Ratio	95% CI	p
Sex	1.418	0.553 – 3.636	0.468
Age at diagnosis	1.029	0.995 – 1.065	0.097
Secreting tumor	2.31	0.80 – 6.70	0.122
Tumor stage	1.725	0.732 – 4.064	0.212
Weiss score	1.088	0.823 – 1.438	0.552
High mitotic index	2.99	1.06 – 8.50	0.039

Maintenance of mitotane	0.588	0.257 – 1.344	0.208
levels ≥ 14 mg/l			

Table IV. Adverse events\*.

Toxicity	Grade				
	1	2	3	4	missing
	n. of patients (%)				
Neurologic	19 (15.6)	7 (5.7)	7 (5.7)	0	8 (6.5)
Hepatic	23 (18.8)	8 (6.5)	0	0	6 (4.9)
Gastro- intestinal	22 (18.0)	22 (18.0)	3 (2.4)	1 (0.8)	5 (3.3)

\*Adverse events were graded according to the National Cancer Institute's Common Terminology Criteria for Adverse Events. Owing to the adrenolytic action of mitotane, all patients received prophylactic glucocorticoid replacement therapy. Therefore, detailed monitoring of mitotane-induced adrenal insufficiency was not performed.

Because of the retrospective nature of the study, underreporting of low-grade side effects must be considered a possibility.

#### LEGENDS

Figure 1. Study population.

- **Figure 2a.** Kaplan–Meier estimates for recurrence-free survival during adjuvant mitotane therapy. Solid line, patients with mitotane levels  $\geq 14$  mg/l during follow-up (n=63). Dashed line, patients with mitotane levels <14 mg/l during follow-up (n=49).
- **Figure 2b.** Kaplan–Meier estimates for overall survival during adjuvant mitotane therapy. Solid line, patients with mitotane levels  $\geq 14$  mg/l during follow-up (n=63). Dashed line, patients with mitotane levels <14 mg/l during follow-up (n=49).
- **Figure 3a.** Kaplan–Meier estimates for recurrence-free survival during adjuvant mitotane therapy. Dashed line, patients attaining mitotane levels  $\geq 14$  mg/l in  $\leq 3$  months (n=40). Solid line, patients attaining mitotane levels < 14 mg/l in > 3 months (n=23).
- **Figure 3b.** Kaplan–Meier estimates for overall survival during adjuvant mitotane therapy. Dashed line, patients attaining mitotane levels  $\geq 14$  mg/l in  $\leq 3$  months (n=40). Solid line, patients attaining mitotane levels <14 mg/l in >3 months (n=23).