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### Pencils Have a Point. Against Generalized Externalism About Artifactual Words

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### Metadata of the article that will be visualized in OnlineFirst

1	Article Title	Pencils Have a Point: Against General Externalism About Artifactual Words		
2	Article Sub-Title			
3	Article Copyright - Year	Springer Science+Business Media Dordrecht 2013 (This will be the copyright line in the final PDF)		
4	Journal Name	Review of Philosophy and Psychology		
5		Family Name	Marconi	
6		Particle		
7		Given Name	Diego	
8	Corresponding	Suffix		
9	Author	Organization	Università degli Studi di Torino	
10		Division	Dipartimento di Filosofia	
11		Address	Via S. Ottavio 20, Torino 10124, Italy	
12		e-mail	diego_marconi@fastwebnet.it	
13		Received		
14	Schedule	Revised		
15		Accepted		
16	Abstract	Externalism about artifactual words requires that (a) members of an artifactual word's extension share a common nature, i.e. a set of necessary features, and (b) that possession of such features determines the word's extension independently of whether the linguistic community is aware of them (ignorance) or can accurately describe them (error). However, many common artifactual words appear to be so used that features that are universally shared among members of their extensions are hard to come by, and even fewer can be plausibly regarded as necessary; morevoer, it is highly doubtful that a speaker could manage to refer to kind A while being utterly ignorant of the role the As play in the A-producing community, and it is no less doubtful that an artifactual word that was used to refer to certain objects would keep referring to them (and be regarded as having referred to them) once it has been shown that the associated description is utterly false of such objects, the reason being that we could easily <i>make</i> things that do fit the associated description. Against generalized externalism, it is suggested that artifactual words come in (at least) three different semantic varieties: a few have an externalist semantics, others have an internalist semantics, still others have neither but rather behave		

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17 Keywords separated by '-'

18 Foot note information

### Pencils Have a Point: Against General Externalism About Artifactual Words

Diego Marconi

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9 Abstract Externalism about artifactual words requires that (a) members of an arti-10factual word's extension share a common nature, i.e. a set of necessary features, and 11 (b) that possession of such features determines the word's extension independently of 12 whether the linguistic community is aware of them (ignorance) or can accurately 13describe them (error). However, many common artifactual words appear to be so used 14 that features that are universally shared among members of their extensions are hard 15to come by, and even fewer can be plausibly regarded as necessary; morevoer, it is 16highly doubtful that a speaker could manage to refer to kind A while being utterly 17 ignorant of the role the As play in the A-producing community, and it is no less 18 doubtful that an artifactual word that was used to refer to certain objects would keep 19referring to them (and be regarded as having referred to them) once it has been shown 20that the associated description is utterly false of such objects, the reason being that we 21could easily make things that do fit the associated description. Against generalized 22externalism, it is suggested that artifactual words come in (at least) three different 23semantic varieties: a few have an externalist semantics, others have an internalist 24semantics, still others have neither but rather behave as "family names" in 25Wittgenstein's sense. 26

### 1 Putnam's Externalist Claim and Schwartz's Objections

In his justly celebrated paper of 1975, "The Meaning of 'Meaning", Hilary Putnam 28 was not content with showing that natural kind and natural substance words such as 29 *water* and *beech* did not work the way traditional semantics had taken them to work; 30 he also insisted, albeit briefly, that the same was true of artifactual kind words such as 31 *pencil*. As with natural kind and natural substance words, so the extension of *pencil* 32 was not determined by a set of conditions that every competent speaker attached to 33

D. Marconi (🖂)

Dipartimento di Filosofia, Università degli Studi di Torino, Via S. Ottavio 20, 10124 Torino, Italy e-mail: diego\_marconi@fastwebnet.it

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the word, such as being an instrument for writing of a certain shape, size and 34materials; on the contrary, *pencil* primarily referred to *these* entities about here, 35whatever their nature and whether or not they fitted a description such as the one I 36 just gave.-When we use the word *pencil*, we intend to refer to whatever has the same 37 nature as the normal examples of the local pencils in the actual world<sup>2</sup> (Putnam 1975, 38 **Q4** 243). So for example if it turned out that pencils are organisms, not artifacts made of 39wood and graphite as we believe them to be, they would still be pencils and it would 40 be correct to call them thus. According to Putnam, this example shows that *pencil* has 41 what is nowadays called an externalist semantics, like gold and tiger. Putnam did not 42specify what it was for something to have the same nature as *these* pencils (Schwartz 43 1978, 571); clearly he didn't have in mind *natural* nature, i.e. deep physical consti-44 tution as exemplified by molecular structure or DNA. He was certainly aware that 45there are iron spoons, aluminun spoons, silver spoons, and even golden spoons. 46

It could have been objected to Putnam that his example only showed that 47 what had been taken to be an artifactual word might turn out not to be such 48(but, perhaps, a natural kind word); if so, then the example could hardly be 49instructive as to the semantics of genuine artifactual words. Similarly, if it 50turned out that tigers do not constitute a natural kind then tiger would not be 51a natural kind word and wouldn't be expected to have the semantics of natural 52kind words (on Putnam's own lights, 1975, 240-1). Anyway, as far as I know 53this is not the objection that was raised against Putnam's suggestion about 54pencil. Instead, it was objected that the pencil-organisms thought experiment 55did not at all show what it purported to show, i.e. that artifactual words are 56used indexically, not descriptively. In fact, the descriptive user of the word 57pencil is happy to apply the word to the newly discovered organisms, as they 58fit the description he associates with the word; she would only be reluctant to 59call the organisms pencils if she took the feature "being an artifact" to be part 60 of the description (Schwartz 1978, 568-9). But, Schwartz argued, it need not be 61 so. If this further assumption is not made and the pencil-organisms are pencil-62 shaped and can be used for writing,<sup>1</sup> then nothing shows that *pencil* does not 63 have a descriptive, non-indexical semantics. In fact, Schwartz argued, it can be 64 shown that artifactual words do not have an indexical semantics. For a word to 65have an indexical semantics, i.e. to refer to whatever has the same nature as 66 certain paradigmatic examples, some notion of nature must be specified for the 67 relevant kind. But artificial kinds have no underlying nature: no deep feature or 68 bundle of features plays the role of molecular structure or DNA in character-69 izing pencils, or chairs, or sloops. "Terms for kinds of artifacts do not even 70start out as indexical" (1978, 572). 71

In making his case against Putnam in his (1978) and the later, expanded 72 (1980), Schwartz put forth several claims all of which were challenged in the 73 ensuing discussion: that artifacts do not have a nature; that it doesn't make 74 sense to conduct empirical research to determine what e.g. a sloop is (1980, 75 183); that artifactual kinds do not support inductions (1978, 573); that no 76 sentence in which an artifactual word is in subject position passes the 77

<sup>&</sup>lt;sup>1</sup> Contrary to later participants in the discussion (e.g. Nelson 1982), Putnam was not assuming that in believing they can we had been the victims of some collective delusion.

Pencils Have a Point: Against General Externalism

"counterexample test" (i.e., if As are artifacts it may well be the case that all 78As but one are F, for any F) (1978, 569; 1980, 187). But he also made a 79remark that, as far as I know, has gone unchallenged and that I take to be 80 crucial in the discussion of artifactual semantics.<sup>2</sup> He highlighted the persis-81 tence of descriptions. Suppose we discover that all pencils are organisms, as in 82 Putnam's thought experiment: or, even more radically, we discover that they are 83 alien spying devices which were never used for writing: the belief that they 84 were, and that they were just wood and graphite, etc. is the effect of a 85 collective delusion instilled in us by the aliens.<sup>3</sup> In such circumstances, we 86 might find that we need writing instruments after all and that wooden cylinders 87 with a graphite inside are especially handy. So we start making such objects, 88 corresponding to the description of pencils we had found to be false of the 89 spying devices. Schwartz commented that "we would all think that now we 90 have [real pencils], not one of those impostor ones from Mars" (1983, 477), 91 and I believe we should agree with him. In other words, even when the 92description associated with an artifactual kind word is found not to apply to 93 objects that had been regarded as paradigmatic examples of the relevant kind, 94the word would still apply to other objects conforming to the description: the 95description persists as a criterion for the application of that word. By contrast, 96 once we have discovered that paradigmatic water is H<sub>2</sub>O all and only H<sub>2</sub>O 97 counts as water, whether or not it has the superficial properties that used to 98 characterize water.<sup>4</sup> 99

Now, the point appears to be that in the case of pencils and other artifacts we can 100make such description-fitting objects (for artifacts are things we make). If we were to 101 discover that cats are Martian robots, we could hardly make a "genuine" cat (at least 102so far). Hence, we would be left with two options: we might choose to say that cats 103 really are Martian robots (giving priority to reference, as with Putnam's suggestion), 104or we might choose to say that there really are no cats, giving priority to "meaning", 105or associated description. But if the belief that one can sit on chairs turned out to be an 106 illusion (for chairs are really holograms from outer space) it wouldn't be hard to 107 produce artifacts that do fit the description originally associated with chair - "genu-108 ine" chairs - and chances are we would call them *chair*, Why? Not simply because of 109 inferential inertia, i.e. because we are used to associate the word *chair* with the word 110 sit, but because of the role artifacts play in our life. Contrary to natural objects, 111 artifacts exist because of our interests, needs, and values (Thomasson 2007, 63): 112

<sup>&</sup>lt;sup>2</sup> The remark was first put forward in (1978), in a somewhat incohate and not very convincing form (pp.569–70). It can be found in its full-fledged form in (1980), p.191, and (1983), p.477. What I here call *persistence* Schwartz calls *dominance*.

<sup>&</sup>lt;sup>3</sup> As in Nelson's (1982) thought experiment, see below.

<sup>&</sup>lt;sup>4</sup> It could be objected that in such a case we would be producing a *new* kind, that we might or might not baptize *chair* (perhaps *chair*<sub>2</sub> as distinct from *chair*<sub>1</sub>, which would name the holograms). On the contrary, I believe we would stop calling the holograms *chairs* once we discover that they do not have the point we had taken them to have; morevoer, we would have no reason to introduce a *new* name in connection with the newly produced, genuine pieces of furniture, as they do have the point associated with the old name. Here it may seem I am just marshalling one set of intuitions against another (the causal-historical intuitions). However, what I take to be telling is the contrast with the natural kind case: the reason we might stick with the word *cat* to name robocats is that we cannot produce entities that do fit the description we used to associate with *cat*.

words such as *chair* and *pencil* are, first and foremost, associated with the satisfaction 113of such needs and the realization of such values. This is why descriptions that involve 114an artifact's role in a human community's life tend to persist as criteria of application 115for artifactual words within that community, even across changes in material consti-116tution, mechanism and shape. Cell phones do not much look like early 20th century 117 telephones, they work on different physical principles, and their inside is quite 118 different; nevertheless, we call them [tele]phones because the role they play in our 119life is perceived as continuous with the role landline telephones used to play (and still 120do play, occasionally).<sup>5</sup> Similarly, hand processors are called *frullatori a immersione* 121 (immersion blenders) in Italian, supposedly because they are perceived as being 122 continuous with (traditional) blenders in their purpose and the needs they serve, 123whereas English introduced a new name, giving priority to shape and mode of use.<sup>6</sup> 124

To approximate what I have been calling "the role an artifact plays in our life", the 125word function has often been used. No doubts, many artifacts have characteristic 126functions in more than one sense. Take pencils: they have (1) the causal power of 127leaving traces of graphite on paper or other suitable supports, they are (2) used by people 128because of such power, i.e. they are used to leave traces of graphite on paper, and (3) 129they are regularly (re)produced because of such power.<sup>7</sup> As we shall see below, the use 13005 of artifactual words does not seem to go with artifactual function in any of these senses: 131the same word may be used for artifacts that have different causal powers, or that are 132used for different aims, or that have different Millikanian proper functions. However, 133this is not the point I would like to stress here. Suppose one ignored that (4) pencils, 134rather than pens or other writing instruments, are selectively used because the traces they 135leave are easily erased, for users of pencils are the sort of beings that change their minds, 136 may want to get rid of written traces, have reasons to economize on paper, and so forth 137 (other artifact-using beings might not share such features). Surely one who knew about 138 (1), (2) and (3) but ignored (4) would be missing something about pencils: he would be 139missing their point, so to speak. No doubt, that pencils can have such point is a 140 consequence of the material they are made of; however, one could not determine that 141 pencils do have that point by researching pencils and their material constitution. Instead, 142one would have to research the human community of pencil users. 143

Let me stress the distinction between an artifact's function (in any of several senses) 144 and its point. Consider presbyopia eyeglasses. They are designed to improve the eye's 145 ability to focus on near objects by compensating for changes in the crystalline lens's 146 curvature: this is their function (1); improving focus on near objects is their function (2), 147

<sup>&</sup>lt;sup>5</sup> This should be intended as a remark about artifactual kind *words*, not artifactual kinds. There may be reasons to insist that e.g. cell phones and traditional telephones belong to different ontological kinds (Carrara and Vermaas 2009).

<sup>&</sup>lt;sup>6</sup> Other differences between languages concerning artifactual words are mentioned by Malt and Sloman (2007, 96).

 $<sup>^{7}</sup>$  These specifications of artifactual function correspond to accounts (1), (2), and (4) of Carrara and Vermaas (2009), i.e. to the designer intentions account, the user intentions account, and the etiological account. I do not endorse their "causal role account" ("the technical functions of an artifact are the capacities by which it causally contributes to capacities of larger more complex systems") because of its counterintuitive implications: though a screw in an airplane may causally contribute to the airplane's stability because of its weight, this is not one of the screw's functions in any plausible sense. Not every causal effect is a function. If an engine could only run properly because of some bug that got caught in its wheels, we still wouldn't say the bug's function is to make the engine run.

i.e. the goal for which they are used; their capacity to improve focus on near objects is 148also the reason why their are reproduced, i.e. their function (3). But why would their 149users, particularly modern human beings, be specially interested in improving vision of 150near objects? The answer is, mostly, because of the widespread activity of reading. 151Reading is of vital importance for a human being to cope with a modern environment (as 152opposed to the Pleistocene); this is why reading is a large part of the *point* of presbyopia 153glasses, though it is not, strictly, their function in any of the above specified senses. Or 154again, take -once more- chairs. They are, no doubt, for sitting upon, and there are many 155reasons for which we often need to sit rather than stand. But in addition to that, chairs are 156pieces of furniture: as such, they are objects of aesthetic, not just functional evaluation. 157One who didn't know that chairs can be pretty (even beautiful) or ugly, or that a given 158chair may or may not fit some interior decoration, would be missing part of the point of 159chairs (though fully aware of their function). 160

This, I suggest, is the main reason why externalism about artifactual words is bound161to fail in many cases. The use of artifactual words is governed by point more than by162form, function, or the association of form and function.<sup>8</sup> But point cannot be reduced to163features that artifacts possess because of the kind of objects they are—such features as an164alien researcher could discover by examining them, as he could discover an element's165atomic number or an organism's DNA.166

#### 2 Semantic Externalism About Kind Words

Semantic externalism on kind words in general is the view on which, once a word W 168 has been associated (by way of baptism or otherwise) with certain items in the world, 169W's reference is determined by identity or similarity of nature with the initial items 170(the kind's "paradigms"): W applies to an item x if and only if x shares the paradigms' 171nature.9 Objective identity (or similarity) of nature determines W's reference whether 172or not individual speakers, or the linguistic community as a whole are in a position to 173describe it accurately or to establish that it holds.<sup>10</sup> For example, gold applies to a 174chunk of matter x if and only if x is mostly constituted of atoms that have atomic 175number 79. If gold does apply to x, then it applied to x even when the linguistic 176community did not possess any theory involving atomic number, let alone methods to 177determine it. 178

Hence, for a kind-word to have an externalist semantics two conditions must be in place: 179

(a) members of the word's extension share a nature, i.e. there are features necessarily
belonging to all and only the members of the word's extension;
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<sup>&</sup>lt;sup>8</sup> The anthropological bias of artifactual words has been stressed by Putman (1982), Elder (1999), and Thomasson (2007).

<sup>&</sup>lt;sup>9</sup> Here I am not choosing between a descriptive and a normative sense of "applies" ("ought to apply"). I believe the present discussion is not affected by the distinction.

<sup>&</sup>lt;sup>10</sup> I personally favour this notion of externalism; anyway, it is the notion that appears to be taken for granted (or explicitly appealed to) by philosophers arguing for externalism about artifactual words (see e.g. Kornblith 1980, 110–111; Nelson 1982, 362; Putman 1982, 418–419).

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(b) the word's extension is determined by possession of such features independently 183of whether the linguistic community (and its individual members) are aware of it 184or can accurately describe it. 185

Ξ inst semantic externalism (1): no common essence. This being so, it seems that 186Q6 the case for semantic externalism about artificial kind words is doomed from the 187 start. First, finding a cluster of features that could plausibly play the role of a nature 188 or essence to be shared by members of an artifactual word's extension has proved 189quite hard. At least for many common artifactual words, such as spoon, dish, chair, 190 *cup*, *bed*, etc. material constitution won't fit the bill. Function might seem a better 191candidate; however, Carrara and Vermaas (2009, 135-136) have shown that for 19207 several distinctly characterized notions of function, the same word is used for 193 artifacts that have different functions. For example, suppose we take function to 194be "the capacities for which [an] artifact is reproduced in a long-term sense", as in 195Ruth Millikan's theory (1984). Consider a tablet of Aspirin that was produced 196before 1950, and another that was recently produced. On the Millikanian notion of 197function, the first tablet's function is pain-killing, as that is the capacity for which 198tablets of Aspirin were reproduced before 1950. The second tablet, however, has 199both pain-killing and blood-clot prevention as functions, for tablets of Aspirin are 200 now reproduced for those capacities. Thus if function (so defined) is the essence, the 201two tablets have different essences. Yet we call both tablets Aspirin. The word 202Aspirin doesn't seem to undergo any semantic change across change of Millikanian 203proper function.<sup>11</sup> Other notions of function generate similar difficulties.<sup>12</sup> How-204ever, as we shall see below, some philosophers have experimented with complex 205"natures", such as a combination of structural and functional features (Nelson 1982, 206362), or the conjunction of shape, proper function, and historically proper place-207ment (Elder 2007). 208

Against semantic externalism (2): impossibility of ignorance. But even if some 209notion of artifactual essence turned out to be viable -i.e., if condition (a) were satisfied-210we would be in trouble with condition (b). For example, it would be surprising if a 211whole linguistic community were ignorant of an artifact's constitution or function 212while using the corresponding artifactual word competently: for, after all, we make 213artifacts. To be sure, in many cases individual speakers may ignore an artifact's 214constitution and function and still refer to instances of it in the appropriate way: I, 215for one, may occasionally use the word *diode* to refer to diodes, though I only have 216vague ideas about what diodes are for, and no idea at all of their structure. As 217Kornblith (2007) pointed out, the division of linguistic labor extends to artifactual 218words. However, it looks implausible that a whole community may produce the As 219without knowing their constitution, or what they are for.<sup>13</sup>

Notice that it does *not* follow that it is impossible for a community to make 221discoveries about artifacts' functions, as about other properties of them. Examples 222

<sup>&</sup>lt;sup>11</sup> On proper function as the essence of artifactual kinds see Baker (2004).

<sup>&</sup>lt;sup>12</sup> For example, if function is identified with causal powers most artifacts will turn out to have many distinct functions, as Thomasson noted (2007, 56).

<sup>&</sup>lt;sup>13</sup> Hence in order to argue for the possibility that a community of speakers may refer to an artifactual kind without being aware of its function one must separate the linguistic community from the artifact-producing community, as in Kornblith (1980, 2007). See below, 2.2.

are not uncommon: one is the already mentioned case of Aspirin; another is provided 223by the telephone, that was originally designed as an aid for the hard of hearing and 224later found to be generally effective as a long-distance communicator (Carrara and 225Vermaas 2009, 135). Similarly, copper wiring was originally produced for power 226distribution and later found to be of use in data communication. Or again, consider 227organisms that are the result of deliberate human interference, such as anthropogenic 228hybridization or genetic engineering. Such organisms (or, in some cases, species) can 229be regarded as artifacts, as they ontologically depend on non-accidental human 230action. Though some of their properties are known to their creators from the start, 231others may be unknown and the object of possible discoveries (witness the current 232debate on the potential risks connected with GMOs); even their "function", e.g. the 233alimentary advantages in view of which they are created, may turn out to be different 234from what had been expected, or non-existent; morevoer, it may be discovered that 235they have unanticipated causal powers, hence unanticipated functions. 236

Thus, critics of Schwartz have been right in claiming that it makes perfect sense to 237conduct empirical research on artifactual kinds (Putman 1982), though it is doubtful 238that such research could generally and unqualifiedly be described as into what an 239artifact is (this being the claim Schwartz had argued against in 1980).<sup>14</sup> However, as 240far as functions in particular are concerned, though a newly discovered function may 241practically obliterate the original one it does not suppress it: if an artifactual device 242could do F, the discovery that it can do G as well does not cancel its F-ing capability, 243even though it may no longer be produced or used as an F-ing device.<sup>15</sup> So, that an 244artifact may have functions that a whole community is unaware of does not entail that 245the community can be unaware of *every* function the artifact has—particularly of the 246function it has been constructed to perform. The latter claim looks implausible on the 247face of it. However, as we shall see, Kornblith has devised an ingenious argument in 248its favour. 249

2.1 The Case for Externalism: Artifactual Essence

We saw that whether or not artifactual kinds can be metaphysically sorted out in terms 251of their distinctive functions (in some sense of *function*), function doesn't fit the use 252of artifactual words: we do not necessarily call by different names artifacts that have 253different functions (Aspirin before and after it started being produced as a blood 254diluter,  $\equiv$  s original telephone and the later communication device) nor do we call 255by the same name artifacts that have the same function (*chaises* and *fauteuils*, cups 256and mugs). This is why it has been proposed to identify an artifactual kind's essence<sup>16</sup> 257with some combination of structural and functional features (Nelson 1982, 362), or 258with the conjunction of shape, proper function, and historically proper placement 259(Elder 2007). The latter suggestion is explicitly limited to "copied kinds" and said not 260to extend to "broad" kinds of artifacts such as chairs or tables; more precisely, "fairly 261

<sup>&</sup>lt;sup>14</sup> See the discussion of Putman below, 2.1.

<sup>&</sup>lt;sup>15</sup> Except on the Millikanian notion of function, on which the new reproduction-motivating function generates a new artifactual kind. We saw, however, that on that notion metaphysically individuated artifactual kinds do not coincide with extensions of artifactual words, hence the notion does not buttress semantic externalism for such words.

<sup>&</sup>lt;sup>16</sup> More precisely, the set of features that determines an artifactual word's extension.

specific familiar kinds of artifacts are all likely to [constitute copied kinds], and 262among these fairly specific kinds the *more* specific will in general be the more 263interesting copied kinds, the ones that display richer clusters of characteristic prop-264erties" (Elder, 47). This appears to entail a semantic distinction, between artifactual 265words whose reference can be determined by essential properties (such as *Eames*) 266chair) and other artifactual words whose reference is not so determined: so artifactual 267words in general do not have an externalist semantics, though some of them may 268come close. 269

More radically, Nelson (1982) claimed that artifactual essence can be identified 270with "a certain combination of structural and functional features" (1982, 362). But it 271seems far from obvious that this can be done in every case. In many cases, even 272supposing the function to be easily singled out the disjunction of possible structures is 273very long indeed. There are, for example, all sorts of ovens: traditional ovens fed by 274wood or coal, electric ovens, gas ovens, microwave ovens, etc. Though they can all 275be ascribed the same function -cooking food- they have different structural features 276and different functioning mechanisms. Same with lamps, cars, books, etc.<sup>17</sup> 277

This notwithstanding, suppose we can isolate a bundle of structural and functional 278features that are shared by all existing ovens. But then imagine that a new kind of 279cooking appliance is invented that lacks some of those features though it has others. It 280seems that whether or not it would be called 'oven' is up for grabs: it depends on 281many circumstances, commercial circumstances among others. Remember the differ-282ent ways in which English and Italian handled the invention of hand processors: 283Italian called them *blenders*, English didn't. Surely the extension of *blender*, or of 284oven, doesn't seem to be governed by Nelson's "essence" in the same way in which 285the extension of substance names is governed by molecular structure or atomic 286number. 287

Similarly with possible worlds and the alleged necessity of structural and functional288features. We can imagine a world where oven technology evolved differently and many289cooking appliances were created sharing some features (but not others) with some of our290ovens (though not with others): nuclear-powered ovens, motor ovens fed by gasoline,291etc.. Whether they would be called *oven* is, again, up for grabs. So, even assuming that292actual ovens share a well defined set of structural and functional features, it is doubtful293that they would be necessary, contrary to Nelson's thesis.294

In a short paper of 1982, Putman claimed that an alien anthropologist could do 295empirical research about our artifacts (e.g. about tools "endemic to our species") and 296that his claims, if true, would be necessarily true, as they would describe features that 297are part of the objective pattern of our species. Terms for tools "could either be natural 298kind terms themselves or be essential properties of the natural kind Homo Sapiens" 299 (1982, 419). If so, then extensions of artifactual terms would be determined by such 300 objective features ("by a similarity relation pegged to a paradigm", 418–9). Granted 301 that empirical research on artifacts is indeed possible (as we just saw), the issue is 302 whether the alien anthropologist could discover through such research what an 303 artifact is, i.e. the essence of an artifactual kind. The issue is not whether the alien 304 anthropologist could make discoveries about our tools: e.g. he could easily find out 305

<sup>&</sup>lt;sup>17</sup> This is the kind of difficulty that motivates Elder's thesis that only for low-level kinds can essence be identified with a certain combination of structural, functional and historical features.

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that objects we call spoon are solid. Nor is the issue about whether artifacts have 306 necessary features: I doubt one can imagine circumstances under which we might call 307 anything liquid a spoon (though it may be just lack of imagination on my part). 308 However, discovering that most, or even all actual As have F does not amount to 309 discovering that F is part of the As' essence: F might be a contingent property of the 310 As, Even if every existing paint brush had synthetic bristles, brushes *could* have 311natural bristles as they once had. Nowadays, the insulator part of an electrical plug is 312 made of a variety of plastic materials, none of which were used in early 20th century 313 plugs. Clearly, not every universal feature of the As is a necessary feature. 314

Could the alien anthropologist conclude that some universal feature of the As is a 315necessary feature (hence making steps towards discovering the essence of the As, 316 what As are)? In the natural kind case, Kripke and Putnam conclude that "being 317 H<sub>2</sub>O" and "having atomic number 79" are necessary features of water and gold 318 respectively, on the basis of the intuition that physico-chemical constitution is 319 essential to natural substances. Are there parallel intuitions in the artifactual case? 320 The most promising candidate seems to be function: e.g., careful observation of our 321 use of forks might convince the anthropologist that forks *must* be for picking food and 322 bringing it to the mouth. But what if the anthropologist is so alien that, its biology 323 being quite different, it doesn't feed through the mouth at all? Couldn't it take forks to 324be elements of some social ritual (occasionally performed in isolation, like prayer)? 325Similarly, it might take books to be essentially part of interior decoration, or photo-326 graphs (nowadays) to be a kind of videogame. In such cases, it would be missing the 327 point of forks, books, and photographs: it wouldn't really know what they are. 328 Naturally, if the anthropologist came better to know and understand our culture he 329 would learn about our alimentary habits; similarly if we were able to tell it what forks 330 are. But this is reasoning in a circle: the anthropologist would be learning what forks 331 are (to us) by learning about us, not by studying forks. 332

Moreover, as we saw, few if any artifacts are individuated by function alone: there333are cooking containers that are not called *pots* (e.g. pans), as there are oral commu-334nication devices that are not called *telephones* (e.g. radio communication systems).335So it seems that, contrary to Putman's suggestion, artifactual essence -if there is one-336cannot be discovered by empirical research.337

2.2 The Case for Externalism: Ignorance and Error Arguments

Could we, as a linguistic community, be utterly ignorant or badly wrong about what 339 one of our artifacts is? It may seem that we could, in two distinct ways. First of all, we 340might ignore that an artifact has an *additional* function beside the established one, e.g. 341 that Aspirin is a powerful blood diluter in addition to being an antiinflammatory. 342 Secondly, we might be mistakenly convinced that an artifact we have designed can do 343 F, though it really cannot; instead, it can do G. The electricity wizard Nikola Tesla 344invented a receiver that he claimed could receive signals from extraterrestrial beings; 345it could not, though it was a perfectly sound wave receiver (it turned out to be 346 receiving signals from Jupiter's magnetosphere).<sup>18</sup> However, neither case can really 347 be described as a case in which we ignore, or are mistaken about what a certain 348

<sup>&</sup>lt;sup>18</sup> See Cheney 2001.

artifact is. In Tesla's case, we (i.e. the scientific community) did not believe that his 349receiver could catch signals from outer space, though Tesla did. In the Aspirin case, 350though we were not aware of every causal effect of acetylsalicylic acid we were not 351entirely ignorant of "what Aspirin is". Neither were we badly mistaken about it, as 352Aspirin was indeed, and still is, a pain reliever. If they are to buttress semantic 353 externalism about artifactual kind words, ignorance and error arguments must be 354more radical than this. Such arguments were indeed proposed by Kornblith 355 (ignorance) and Nelson (error). 356

Against Schwartz, Kornblith (1980, 2007) argued that a speaker may use an 357 artifactual word A to refer to members of an artifactual kind K even if she is unable 358 to provide an adequate description of the Ks, indeed, even if she knows close to 359 nothing about Ks. Hence, whether or not artifactual words have an externalist 360 semantics they certainly do not have a descriptivist semantics, as Schwartz had 361 claimed. To avoid an obvious objection (see above, fn.13), Kornblith imagines a 362 speaker who is a complete stranger to the K-producing community: a Martian 363 anthropologist that finds an Earthian object -a doorstop- and says: "Let's call glug 364the kind this belongs to". The Martian "has succeeded in using the word 'glug' to 365 refer to doorstops" (1980, 114). Yet the Martian knows nothing about doorstops. This 366 shows that in order to refer to doorstops it is not necessary to associate with a word 367 (such as *glug*) a description that applies to all and only doorstops. 368

Kornblith is obviously assuming that the Martian word glug refers to (our) kind 369 "doorstop", or to doorstops. But suppose the Martian finds another doorstop, of a 370 different shape and material (let's say the one he named was a block of iron whereas 371 the new one is a wooden wedge). He would have no reason to call it glug, and he 372 wouldn't. Similarly for other kinds of doorstops. He might call glug other heavy 373 blocks, some of them doorstops, some not. If a radical translator were to make a guess 374about his linguistic behaviour, Quine-wise, he would guess that in the Martian's 375 idiolect glug means "heavy block of a certain size and shape", not "doorstop". For his 376 linguistic behaviour bears little connection with doorstops in particular. Moreover, as 377 he knows nothing about doorstops we cannot attribute him the intention of naming 378 doorstops rather than heavy blocks of a certain size and shape, or primitive weapons, 379 or weights. In what sense, then, did he "succeed in using the word 'glug' to refer to 380 doorstops", as it may well be that most objects he calls glug are not doostops while 381 many he does not call thus are, indeed, doorstops? 382

It could be objected that the Martian intended to baptize the kind that object 383 belongs to, and as a matter of fact that kind is the kind of doorstops. Hence, the kind 384he intended to name, and succeeded in naming, is the kind of doorstops. As naming is 385a form of referential use of a word, he succeeded in using *glug* to refer to doorstops. 386 His linguistic behaviour only shows him to be frequently in error with respect to his 387 own linguistic stipulation: the Martian is in no worse shape than a Twin Earthian who, 388 visiting the Earth before 1750, believes to have found vast amounts of water<sub>TE</sub> right 389 on its surface. However, as I just pointed out, the object the Martian found and 390 baptized belongs to several kinds: primitive weapons, heavy objects of a certain 391 shape and size, objects made of iron, weights, and doorstops. Nothing in the 392 Martian's baptismal act, or in the intentions that can be attributed to him, or in his 393 subsequent use of the word provides any ground for conjecturing that he was 394selecting doorstops among the many kinds the object belongs to (we are assuming 395

with Kornblith that the Martian is totally ignorant of our culture, including our need 396 for and use of doorstops: he doesn't know about the object's *point*). If doorstops were 397 the only kind of which the object could reasonably be regarded as a member, one 398 might say he has named doorstops whether or not he knows. But this is not the 399 case—indeed, it is probably *never* the case with medium-sized physical objects. So, 400 again, the claim that the Martian named doorstops and is using *glug* to refer to 401 doorstops seems unwarranted. 402

Kornblith could, however, insist that the Martian intended to name the kind that 403the object was originally intended to belong to. He is like an Earthian archeologist 404 who finds (what she believes to be) an artifact from some remote civilization and 405conventionally calls it *flust*, to refer to whatever artifact that object was intended to be 406 by the ancient people that produced it. *Flust* is meant to refer to whatever artifactual 407 kind this object belongs to (if it is, indeed, an artifact), as individuated within the 408remote civilization that produced it (and, perhaps, its likes). But then, isn't this a 409 description by deference? The archeologist is not introducing *flust* to name whatever 410has the same shape as this object (for all she knows, shape may be irrelevant), nor 411 whatever has the same function as this object (it may have many): she is introducing 412flust for whatever the ancient people would consider to be in the same category as 413this. In other words, she is deferring to their conception of the relevant artifactual kind 414 (if such a kind exists).<sup>19</sup> The reference of *flust* is not governed by objective features 415the object shares with other members of the same kind, but by some communal 416 criterion that is assumed to exist even though it cannot be specified. The 417 archeologist's use of *flust* can be called "non-descriptive" only in the sense that it 418is governed by a description that the archeologist herself is (perhaps temporarily)<sup>20</sup> 419not in the position to specify: this is why it is so utterly ineffective on her linguistic 420 behaviour. 421

By the way, it is not by chance that in real life, as distinct from thought experiments, mysterious (presumptive) artifacts from remote civilizations are referred to by general expressions such as *ware* or *tool*, often accompanied by information about place of finding (*Ica stones, Costa Rica stone spheres*). Such denominations are clearly meant to name a set rather than a kind. They may of course be turned into names of kinds once information becomes available as to their point in the remote civilization itself. 428

Nelson (1982), as we saw, claimed that artifactual kinds have essences consisting 429of features that are both metaphysically necessary and epistemically contingent. To 430show that they are epistemically contingent, he produced a modified version of 431Putnam's thought experiment: he imagined that pencils might turn out to be alien 432devices planted on Earth to manipulate us humans. They are not, and never were used 433for writing (the belief that they are and always were is the effect of a collective 434illusion). Thus, in the situation of the thought experiment we are badly in error about 435what pencils are, but, nevertheless, our word *pencil* refers to *those* objects -the alien 436devices- and keeps referring to them after the discovery that they were never used for 437 writing. 438

<sup>&</sup>lt;sup>19</sup> Obviously not to their use of the *word flust*, for they did not use that word.

<sup>&</sup>lt;sup>20</sup> I am hinting at the possibility that the archeologist may later find written documents or other testimony of the role the object he found may have played in the life of the ancient community that produced it.

Putnam had introduced the pencil-organisms thought experiment to show that it is 439not epistemically necessary that pencils are artifacts: hence, even "Pencils are 440artifacts" is not analytic and cannot be regarded as part of the meaning of pencil. It 441 could then be replied (as Schwartz did, 1978, 568-9) that there was no particular 442 reason to assume that "being an artifact" was part of the description originally 443 associated with *pencil*: consequently, there was no reason to conclude that the original 444 description would not apply to pencil-organisms. All the counterfactual example 445 showed was that "either *pencil* is indexical or ... if it is not indexical "being an 446artifact" is not part of its meaning". By modifying the example, Nelson makes this 447 reply implausible: if there is a definition of *pencil*, then surely "used for writing" must 448 be part of it. However, though stronger in this respect Nelson's thought experiment is 449 even less plausible than Putnam's. If pencils were never used for writing, so that they 450never left traces on paper, lots of human events become very hard to account for: 451certain notes were never taken, certain documents were never signed, etc. Or perhaps 452those notes were taken and those documents signed, though not by means of pencils: 453it was part of the alien-originated illusion that traces appeared on paper corresponding 454to our writing intentions. And so forth. So, it is not clear that Nelson's pencil-illusion 455can be the subject of a coherent and not globally sceptical story. But Nelson's thought 456experiment is less convincing than Putnam's in another respect as well: it is more 457clearly liable to the "persistence of descriptions" objection. If pencils turned out not to 458be writing instruments we would probably feel the need for such things; we would 459then make them and -plausibly- call them *pencils*, or possibly genuine pencils. The 460old description associated with the word *pencil* prevails: it has simply turned out not 461to apply to the alien devices, the pseudo-pencils. 462

It could be objected that, still, as long as the alien-induced delusion lasted the word 463 pencil did refer to the spying devices, in spite of the linguistic community's deep error 464concerning their nature and function. Indeed, there is no doubt that the spying devices 465were part of the community's life, including its use of pencil (though they were 466 neither produced nor used the way people believed they were). Nevertheless, we can 467 well imagine that once the illusion has faded, people would say "We used to call 468 *pencils* those objects, but they are not, and never were pencils: *these* we are now 469making are the genuine pencils!". They would thereby be stating that pencil never 470referred to the alien devices (see Schwartz 1983): their belief that it did was just part 471 of their overall delusion about them, on a par with the belief that they were made in 472 certain factories, used for writing, etc. Notice the difference with respect to Putnam's 473robocats example: though cats have turned out to be robots, their cat-like behaviour 474 and their interaction with humans was no illusion. Most of our beliefs about cats have 475turned out to be true, including beliefs about their role in our life. Not so with 476 Nelson's pencils: this is why the persistence of description tends to disqualify even 477 our previous use of pencil. 478

#### 3 Thomasson's Communitarian Internalism

Let us take stock. Externalism about artifactual words requires that (a) members of an artifactual word's extension share a common nature, i.e. a set of necessary features, and (b) that possession of such features determines the word's extension 482

**AUTHOR'S PROOF** 

independently of whether the linguistic community is aware of them (ignorance) or 483can accurately describe them (error). However, (a) many common artifactual words 484appear to be so used that few features are universally shared among members of their 485extensions, and even fewer can be plausibly regarded as necessary (i.e., even assum-486 ing that all existing things that are called A have feature F, many possible things 487 lacking F might or might not be called A); morevoer, (b) it is highly doubtful that a 488 speaker could manage to refer to kind A while being utterly ignorant of the role the As 489play in the A-producing community, as in Kornblith's thought experiment, and it is 490no less doubtful that an artifactual word A that was used to refer to certain things 491would keep referring to them (and being regarded as having referred to them) once it 492 has been shown that the associated description is utterly false of such things; the 493reason being that we could easily *make* things that do fit the associated description. 494

As an alternative to externalism, Amie Thomasson proposed a form of communi-495tarian internalism: artifactual terms do not refer to artifactual kinds "independently of 496 all human beliefs and concepts about the nature of the kind" (2007, 65). The concept 497of the kind's creator(s) are constitutive of the nature of the kind "available for 498reference". Thomasson insists that hers is not a descriptivist view, meaning that on 499her view the reference of an artifactual word is not determined by the sense of some 500description every competent speaker associates with the word. However, if the 501"makers and sustainers" of the kind are in possession of a concept that fixes the 502kind's nature -hence the reference of the relevant artifactual word- the difference 503between communitarian internalism and Thomasson's view appears to be very thin 504indeed. It seems that if the Makers know what it is to be an A, they also know what A 505refers to at least in the sense that in most cases they are not going to be grossly 506 mistaken, or utterly puzzled about whether something ought to be called an A. 507Moreover, such knowledge is usually no secret: it is not confined to private docu-508 ments or to the Maker's mind but deposited in patents, illustrated in textbooks and 509technical documents, taught and learned in schools and universities. It is a paradigm 510of communitarian, public knowledge. 511

Nothing wrong with this as far as I am concerned. However, it is not so 512clear that it is really the Makers', rather than the users' concept that matters. 513Take Nelson's example of the spying pencils. On Thomasson's view, the 514example shows that we may be wrong about who the makers of an artifact 515are (2007, 68): in this case the evil aliens are the makers, hence their concept 516determines what it is to be a pencil, and the reference of *pencil*. Consequently, 517pencil could never refer to the notionally familiar writing instruments we would 518start producing after debunking the aliens' devices: we could not call them 519pencil, for pencils are what conforms to the aliens' concept of pencil. But we 520saw that, plausibly, we would indeed call the newly produced artifacts *pencils*, 521as they conform to our (the users') concept of what it is to be a pencil. 522

#### **4 A Pluralistic Semantics for Artifactual Terms**

Like artifacts, artifactual names are a mixed bunch. There are, I believe, no semantic 524 generalizations that extend to every word that could be called an artifactual name as it is 525 used for material entities that would not exist without the active, conscious and 526

deliberate operation of human beings.1 will mention three categories of artifactual527words with different semantic properties, but I am not ruling out that there may be more528categories, or semantically significant subcategories of these three.529

(1)= es of quasi-natural artifactual kinds. There are artifactual kinds, such as 530artificial substances like Aspirin and partly artificial organisms like anthropo-531genic hybrids and GMOs, that may be called quasi-natural because they share 532many properties of natural kinds: first and foremost, their members share a 533nature in the plain sense in which gold and cats can be said to have a nature (not 534in the gerrymandered sense in which sloops and pencils have been taken by 535some to have a nature).<sup>22</sup> Correspondingly, the reference of names for such 536kinds, like Aspirin or GMO#3266, is determined by possession of certain natural 537properties: any substance that is acetylsalicylic acid can be called Aspirin, even 538though it may not be legal to commercialize it under that name (as Aspirin is a 539trademark owned by Bayer). If we discovered, in some faraway planet, an 540organism that has the same DNA as GMO#3266 we would be right in saying 541that on that planet GMO#3266 was made by nature, not by man: on that planet 542GMO#3266 is not a genetically modified organism. 543

Prima facie, it may seem there is a difference between artificial substances and 544artificial organisms (and their names) concerning possible communitarian ignorance 545and error. Particularly in the case of anthropogenic hybrids, it may well be that their 546nature is unknown not just to users of their names but to their creators; this was 547certainly the case -at least in the present understanding of knowing the nature of an 548organism- with hybrids that were created before modern biology came into existence. 549By contrast, the nature of manufactured substances (one feels) must be known to their 550producers since the beginning: could we make Aspirin if we didn't know what kind of 551substance it is? But in fact, there is no such difference. Alloys such as bronze and 552substances such as gunpowder were created at a time when people had no idea of 553chemistry. To be sure, the creators had *some* idea of what they were doing: indeed, 554they had relatively well defined procedures to go by in producing bronze or gun-555powder. But so had many creators of (anthropogenic) hybrid plants. So it appears that 556with quasi-natural kinds, both ignorance and error are possible. Names of quasi-557 natural artifactual kinds have an externalist semantics. 558

(2) *ily names of artifacts.* At the opposite extreme, the reference of many 559 dommon artifactual words such as *chair, boat, car, desk, oven* does not appear 560 to be determined on the basis of possession of essential properties, be they 561 structural or functional (or both). Take the words *chair* and *armchair.* A 562

<sup>&</sup>lt;sup>21</sup> L. R. Baker claimed that the traditional distinction between "mind-dependent" and "mind-independent" entities is misguided: it doesn't draw the ontological line in an interesting place and it is rapidly being made obsolete by technology. But even if "the distinction between artifacts and natural objects will become increasingly fuzzy" (2004, 107), there will still be unproblematic artifacts, and their names. It seems to me that the semantic variety I am pointing out does concern such unproblematic cases.

<sup>&</sup>lt;sup>22</sup> Grandy (2007, 28) suggested that for "artifact substances" physical and chemical constitution should be the essence, as for natural substances. Obviously this does not generalize to all artifactual words; it is rather a way of taking names of artificial substances apart from other artifactual words.



dictionary of English defines armchair as "a chair with armrests".<sup>23</sup> Hence, 563armchairs are chairs; so if chairs have an essence, it is shared by armchairs. 564However, chairs are called *chaises* in French and *sedie* in Italian, while arm-565chairs are called *fauteuils* and *poltrone* respectively. No French speaker would 566call *chaise* an armchair, nor would an Italian speaker call it a *sedia*.<sup>24</sup> So, on the 567 essentialist view of the reference of artifactual words, we ought to conclude that, 568 all these centuries, speakers of French and Italian have missed the common 569nature of *chaises* and *fauteuils*, *sedie* and *poltrone*, whereas speakers of English 570got it right at least since the XVII century; or alternatively, that English speakers 571misguidedly believe that chairs and armchairs share some deep nature whereas 572they don't, as French and Italian speakers have been pointing out. Clearly, it is 573more plausible to conclude that English, French, and Italian all regarded certain 574characteristic differences between otherwise pretty similar objects as interesting 575enough to deserve distinct lexical items (names), but, contrary to English, 576French and Italian took such differences to originate disjoint extensions. In 577 other words, one may or may not decide to regard armchairs as chairs, 578 depending on whether one wants to stress similarities or differences. French 579and Italian made one decision, English made the opposite decision. 580

Now, this physiognomy is characteristic of words that are used for objects or 581phenomena that are "related to one another in many different ways" though they 582"have no one thing in common which makes us use the same word for all", as 583Wittgenstein said (*Philosophical Investigations*, §65), i.e. of so called "family 584names".<sup>25</sup> Family names have neither an externalist nor an internalist semantics: lack 585of a common nature defeats externalism, while lack of a specifiable criterion of 586application (whether individual or communitarian, linguistic or non-linguistic) de-587 feats internalism.<sup>26</sup> As Wittgenstein pointed out, it is indeed possible to fix such a 588criterion for a variety of practical purposes; in such a case one would be "drawing a 589boundary where no one has so far been drawn" (§68). For example, the European 590Union has been busy drawing such boundaries for a variety of kinds, both natural and 591artificial. However, the influence of such circumscribing decisions on the actual use 592of language appears to be small: semantic efficacy is limited to commercial and legal 593procedures. 594

(3) *Final names of artifacts.* Finally, some artifactual words have an internalist 595 sémantics. There are (at least) two kinds of examples. First of all, there are 596

<sup>&</sup>lt;sup>23</sup> Webster's Collegiate Dictionary, 10th ed., 1997.

<sup>&</sup>lt;sup>24</sup> French dictionaries typically define *fauteuil* as "siège [not "chaise"] à bras", i.e. "a seat with armrests" (e.g. "grand siège à dossier et à bras", *Nouveau Larousse Illustré*); Italian dictionaries define *poltrona* as "sedile [not "sedia"] … fornito di imbottitura", i.e. "a padded seat" (*Nuovissimo Dardano, Dizionario della lingua italiana*, Curcio, Roma).

<sup>&</sup>lt;sup>25</sup> On this kind of semantic account for artifactual words see Lawler and Vega (2010) and (2011). Unfortunately, I only became aware of their papers when this had already been completed.

<sup>&</sup>lt;sup>26</sup> Hence I am not taking the externalism/internalism distinction to be dichotomic, and I see no reason why it ought to be. There may be (and, in my opinion, there are) words whose use is governed neither by a well defined set of criteria (internalism, whether individualistic or communitarian) nor by identity of nature with paradigmatic examples (externalism) but by loosely defined, negotiable criteria of application. If one wishes to describe such a semantic pattern as "vague internalism", or "internalism without fixed criteria", so be it.

artifactual words that are legally tied to technical descriptions by patents or other 597public documents. A Pearsall mousetrap, for example, is precisely described by a 5981968 patent: nothing counts as a Pearsall mousetrap unless it fits the description. If 599someone produced an object fitting the description it would have to pay rights to 600 the heirs of Ralph E. Pearsall even if he intended to use it to catch beetles or sell it 601 as a souvenir of the Great Plague (so the Maker's intention doesn't count). The 602 description cannot be unknown to the linguistic community, nor could the com-603 munity be in error about it (though of course individual speakers might). If the 604 description were unknown, there would be no such thing as a Pearsall mousetrap 605 and the name would have no use. Morevoer, the community could only be in error 606 about what such mousetraps are in either of two cases: if the patent were generally 607 misunderstood, or if it were utterly disregarded in common usage of the name. In 608 the former case, they would not be referring to genuine Pearsall mousetraps (with 609 possibile legal implications); in the latter, it would be appropriate to say that a new 610 meaning has been introduced for Pearsall mousetrap, perhaps related to the old 611 meaning, perhaps not. 612

Another kind of examples is represented by words such as screwdriver. Screw-613 drivers are of different materials, though variation is limited; shape varies, but within 614 limits; proper function is stable, as screwdrivers are constantly produced to operate on 615screws in a characteristic way. Doubts about whether a newly produced object would 616 count as a screwdriver would be rare (dispositional function would probably resolve 617 them). So, screwdrivers are respectable candidates to sharing an essence in Nelson's 618 or in Elder's sense, though perhaps a pretty intricate, disjunctive essence. Is screw-619 driver's semantics externalist, then, contrary to what I just claimed? No, because we 620 could not refer to screwdrivers (using screwdriver) while ignoring their (putative) 621 essence. If we found objects from a long lost civilization looking like screwdrivers we 622 could not determine that they are screwdrivers—only that they can operate as such. 623 To determine that they are screwdrivers we would have to know the reasons they 624 were produced for, the needs they served, the use they were put to: in a word, their 625 point. But in the absence of information about the producers' and users' aims and 626 intentions, an artifact's point cannot be derived from the analysis of material, mech-627 anism, or even function(s) in the dispositional sense. 628

Here we may see a difference between screwdriver and Pearsall mousetrap. 629 Suppose Pearsall mousetraps are individuated only by description of material, 630 shape and mechanism: the patent makes no mention of the contraption's 631 purpose. If so, then if we found an alien object fitting the patent specifications 632 we would have to say -I take it- that the Martians invented the Pearsall 633 mousetrap centuries before Pearsall. However, it looks more plausible to as-634 sume that the patent specifications do include function; if so, we could only 635 conclude that the Martians invented something that could work just as a 636 Pearsall mousetrap. 637

So, both *Pearsall mousetrap* and *screwdriver* turn out to have an internalist 638 semantics. The semantics of *Pearsall mousetrap* is community-internalist, as the 639 individuating description is available to the linguistic community rather than, or more 640 often than to individual speakers; by contrast, the description associated with *screw-* 641 *driver* -including the screwdrivers' proper function- comes very close to being the 642

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possession of individual competent speakers. If a speaker knew that a certain object is643called screwdriver but didn't know what screwdrivers are for, we wouldn't count her644as competent on screwdriver; we would not appeal to deference, like we would with,645say, with speaker that knew that a certain animal is called a dolphin but believed it to646be a kind of fish.647

AcknowledgmentsI am much indebted to long discussions with Laura Ortega, a doctoral student at the<br/>Universitat de Barcelona, and to my student Irene Olivero's Master's Thesis, La semantica dei termini<br/>artefattuali ("The Semantics of Artifactual Terms", Torino 2012). I also wish to thank Enrico Terrone and a<br/>referee for this journal for detailed and useful criticism of a previous version.648<br/>649<br/>650651<br/>652

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