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## Towards lactic acid bacteria-based biorefineries

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### 1 Summary

2 Lactic acid bacteria (LAB) have long been used in industrial applications mainly as starters for food fermentation or as biocontrol agents or as probiotics. However, LAB possess several 3 characteristics that render them among the most promising candidates for use in future biorefineries 4 in converting plant-derived biomass – either from dedicated crops or from municipal/industrial solid 5 wastes - into biofuels and high value-added products. Lactic acid, their main fermentation product, 6 is an attractive building block extensively used by the chemical industry, owing to the potential for 7 8 production of polylactides as biodegradable and biocompatible plastic alternative to polymers derived from petrochemicals. LA is but one of many high-value compounds which can be produced by LAB 9 fermentation, which also include biofuels such as ethanol and butanol, biodegradable plastic 10 polymers, exopolysaccharides, antimicrobial agents, health-promoting substances and nutraceuticals. 11 12 Furthermore, several LAB strains have ascertained probiotic properties, and their biomass can be 13 considered a high-value product. The present contribution aims to provide an extensive overview of 14 the main industrial applications of LAB and future perspectives concerning their utilization in biorefineries. Strategies will be described in detail for developing LAB strains with broader substrate 15 16 metabolic capacity for fermentation of cheaper biomass.

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### 1 **1. Introduction**

Lactic acid bacteria (LAB) include a wide group of Gram-positive fermenting bacteria which
are generally non-sporulating and non-motile. They comprise both cocci and bacilli belonging to *Carnobacterium, Enterococcus (Ent), Lactobacillus (Lb), Lactococcus (Lc), Leuconostoc (Leu), Oenococcus, Pediococcus (Ped), Streptococcus (Str), Tetragenococcus, Vagococcus* and Weissella
genera (Hofvendahl and Hahn-Hägerdal, 2000).

LAB are among the most promising microorganisms for biorefineries converting waste
biomasses into industrially important products (Berlec and Strukelj, 2009). Currently, the main
application of LAB in industrial processes is as food starters (e.g., for dairy products, pickles, meat
and wine) (Papagianni, 2012). However, several physiological characteristics of the LAB render these
bacteria highly suitable for much wider industrial application:

- i) Except for some pathogenic streptococci strains, LAB are considered GRAS
  (generally regarded as safe);
- 14 ii) LAB are robust organisms already adapted to stress conditions in industrial processes,
  15 since they generally show high acid tolerance (they can survive at pH 5 and lower) and
  16 broad optimal growth temperatures (ranging from 20 to 45°C, depending on the genus
  17 and strain) (Hofvendahl and Hahn-Hägerdal, 2000);
- 18 iii) LAB are able to metabolize numerous mono- (both hexose and pentose) and di19 saccharides (Kandler, 1983);
- iv) LAB naturally produce many metabolites with confirmed applications in both the food 20 and non-food industries (Fig. 1), such as: antimicrobial molecules (e.g., bacteriocins) 21 (Settanni and Corsetti, 2008); food aromas and flavors (e.g., diacetyl and 22 acetaldehyde) (Papagianni, 2012); food complements (e.g., vitamins) (Sybesma et al., 23 2004); food texturing agents (e.g., exopolysaccharides) (Chapot-Chartier et al., 2011); 24 sweeteners (e.g., mannitol) (Hugenholtz et al., 2011); nutraceutical molecules, e.g., γ-25 aminobutyric acid (GABA) opioid peptides and seleno-metabolites (Lamberti et al., 26 2011; Mazzoli et al., 2010; Mazzoli, 2014); bulk chemicals (e.g., lactic acid and 27 ethanol) with applications for plastic polymeric manufacturing, e.g., polylactic acid 28 (PLA) or polyethylene terephthalate (PET), respectively (Madhavan Nampoothiri et 29 al., 2010; Singh and Ray, 2007) or as solvents or biofuels (e.g., ethyl lactate, ethanol) 30 (Ohara, 2003); as well as biodegradable plastics (i.e., polyhydroxyalkanoates, PHA) 31 (Aslim et al., 1998). 32

1 2 Although it is not within the scope of this review, it is worth mentioning LAB properties as extensively used probiotics (Settanni and Moschetti, 2010; Lamberti et al., 2011).

3

4 \*Fig. 1

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Depending on which metabolic pathway(s) is (are) used by a LAB strain to catabolize sugars, 6 a given strain can show either homo-, hetero- or mixed acid fermentation phenotype. 7 8 Homofermentation virtually produces lactic acid (LA) as the sole end product. Sugars are catabolized through the Embden-Meyerhof pathway, and its end product, i.e., pyruvic acid, is enzymatically 9 10 reduced either by D-lactate or L-lactate dehydrogenase (D-LDH and L-LDH, respectively) giving rise to the two LA enantiomers. The stereospecificity of the LA produced depends on the specific 11 12 LAB strain, that is on the presence of the genes encoding L-LDH and/or D-LDH and their relative level of expression. Furthermore, the D-LA/L-LA balance can be affected by the presence of lactate 13 racemase (E.C. 5.1.2.1) that catalyzes their interconversion (Goffin et al., 2005). In 14 heterofermentative metabolism, equimolar amounts of LA, carbon dioxide and ethanol or acetate are 15 formed from glucose via the phosphoketolase pathway. This pathway is used by facultative 16 17 heterofermenters, such as Lb. casei, to metabolize pentoses and for the fermentation of hexoses and pentoses by obligate heterofermenter organisms such as Leuconostoc. Almost all LAB, except some 18 lactobacilli, are able to ferment pentoses, i.e., they are facultative heterofermenters (Kandler, 1983). 19 20 Mixed acids are formed by homofermenters such as lactococci during glucose limitation and during growth on other sugars, e.g., Lc. lactis growing on maltose, lactose and galactose, or at increased pH 21 22 and temperature (Papagianni, 2012). Ethanol, acetate and formate are formed in addition to LA. Here, 23 monosaccharides are catabolized through the Embden-Meyerhof pathway as in homofermentative metabolism, but pyruvate is partly reduced to LA by LDH and partly converted to formate and acetyl-24 25 CoA by pyruvate formate lyase (PFL). In the presence of oxygen, PFL is inactivated and an alternative pathway of pyruvate metabolism becomes active via pyruvate dehydrogenase (PDH), resulting in the 26 27 production of carbon dioxide, acetyl-CoA and NADH (Hofvendahl and Hahn-Hägerdal, 2000).

Although the ability of LAB to synthesize a large panel of highly valuable compounds renders them good candidates for biorefinery application, economic feasibility of such LAB-based industrial fermentations (especially for the production of bulk chemicals) remains problematic. Since LAB have limited potential to biosynthesize amino acids, nucleotides, and/or vitamins, supplementation of these nutrients is necessary for optimal growth. These complex nutritional requirements increase the costs of both growth medium preparation and product separation and purification (John et al., 2007; Okano
et al., 2010a). Furthermore, LAB are generally unable to directly ferment (i.e., without previous
saccharification by physico-chemical and/or enzymatic treatments) complex carbohydrates, e.g.,
starch and cellulose, which comprise the most abundant and least expensive feedstocks for
biorefineries (John et al., 2007; Okano et al., 2010a).

6 Metabolic engineering helps solve defined problems, e.g., broadening the range of carbon 7 sources used by a microorganism or improving its product yield and productivity. The small genomes (about 2-3 Mb) and the relatively simple physiology of LAB make them suitable organisms for 8 metabolic engineering (De Vos and Hugenholtz, 2004). Many genetic tools, including gene 9 expression vectors, are available for LAB (de Vos, 1999; Sorvig et al., 2005). Advantageously, a 10 11 number of chromosomal integration systems for LAB have been developed since the 1980s and are 12 continuously optimized (for an extensive review please refer to Gaspar et al., 2013). Tools for unlabelled (i.e., without insertion of antibiotic resistance markers) gene integration into the 13 chromosome by either homologous recombination (e.g., pORI, pSEUDO and Cre-lox systems) or 14 single-stranded DNA recombineering currently allow manipulation of lactobacilli and Lc. lactis 15 16 (Douglas et al., 2011; Lambert et al., 2007; Pinto et al., 2011; van Pijkeren and Britton, 2012). Such strategies are essential for engineering microorganisms suitable for application in industrial 17 fermentations, since they circumvent genetic instability of plasmid-bearing strains and avoid the need 18 for antibiotic pressure, which is too costly at the industrial scale and not applicable in food-oriented 19 20 processes.

To date, Lc. lactis is still by far the most extensively studied species among LAB, and many 21 22 examples of successful metabolic engineering of this species are available (Hugenholtz et al., 2011; 23 Kleerebezem and Hugenholtz, 2003). So far, the main efforts in genetic modification of LAB have 24 been concentrated in the development of LAB with enhanced qualities for food grade applications, 25 e.g., with improved production of flavors or nutritional (health-promoting) components, or increased resistance to bacteriophages (Hugenholtz et al., 2011; Papagianni, 2012; Singh et al., 2006). Only a 26 few attempts have been made to improve LA production by metabolic engineering in LAB. Most 27 were attempts to obtain optically pure LA isomer by cloning or deletion of genes encoding either D-28 29 or L-LDH or by deletion of racemase genetic determinant(s) (Singh et al., 2006; Okano et al., 2009b; 2010b). In the meantime, an increasing number of LAB has been the object of extensive fundamental 30 31 research. Whole genome sequences of about 40 LAB strains have been determined. Moreover, 32 sequencing of more than 100 LAB genomes is currently underway as reported by a number of publicly 33 available databases (http://www.genome.jp/kegg/; http://www.jgi.doe.gov/;

http://www.ncbi.nlm.nih.gov/genome) (Gaspar et al., 2013). The metabolism of several LAB has
been investigated by a great number of studies through trancriptomic, proteomic and/or metabolomic
approaches (Bron et al., 2012; Carvalho et al., 2013; Gaspar et al., 2013; Pessione et al., 2014). Such
information will contribute to expand the potential of systems metabolic engineering of LAB.

5 The present paper intends to provide an extensive overview of the main current industrial 6 applications of LAB and future perspectives concerning their utilization in biorefineries for 7 converting waste biomass by fermentation. Strategies for improving production of high-value 8 compounds by LAB or expanding their substrate metabolic capacity for cheaper forms of biomass, 9 such as starch or lignocellulose, will be described in detail with examples of both fermentation 10 process optimization and strain engineering through genetic manipulation techniques.

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# Agro-industrial biomass for LAB-catalyzed bioconversion processes: past, present and future

Today, LA production is the most significant application of LAB for large-scale industrial 15 16 fermentation. In 2002, of the 150,000 tons of LA that were produced worldwide, about 90% was generated via LAB fermentation using glucose as the carbon source (Sauer et al., 2008). The higher 17 18 the purity of the sugar(s) used as substrate, the higher the purity of the fermentation product(s) (e.g., LA) obtained. This greatly reduces the costs for down-stream product purification. However, this 19 20 approach is economically unfavourable, since pure sugars are expensive. Therefore, different types of biomass, such as energy crops, forestry residues, or by-products from agro-industrial activities 21 22 (e.g., milk whey, molasses, starch, wheat bran and flour, and lignocellulose), featuring both low purchase cost and renewability, have either been proposed or have already been tested as fermentative 23 24 substrates for LAB (Hofvendahl and Hahn-Hägerdal, 2000; Okano et al., 2010a). It is worth noting that several parameters affect product yield and productivity from renewable resources, including the 25 carbon source, the nitrogen source, the fermentation mode, the pH and temperature (Hofvendahl and 26 Hahn-Hägerdal, 2000). Notably, the heterogeneous chemical and physical nature of the different 27 28 available feedstocks limits the possibility to develop generally applicable bioconversion processes. In particular, the bioconversion of the most abundant and promising biomass types, i.e., starch and 29 lignocellulose, by LAB is currently the most problematic, as described in the section 2.2. 30

#### 1 2.1. Suitable biomasses for LAB biorefinery processes

2 2.1.1 Milk whey. Milk whey represents a cheap raw material that is available in large amounts (13.500.000 tons/year in the EU) as a by-product of the caseification process (Koller et al., 2007). 3 Furthermore, this surplus product causes a huge and expensive disposal problem for the dairy industry 4 (Koller et al., 2007). Although several possibilities of cheese whey utilization have been explored, a 5 6 major portion of the world cheese whey production is currently discarded as effluent. The major 7 constituents of whey are lactose (45-50 g/L), proteins (6-8 g/L) and salts. The main components of 8 the whey protein fraction are  $\beta$ -lactoglobulin (2.7 g/L),  $\alpha$ -lactalbumin (1.2 g/L), immunoglobulins (0.65 g/L), bovine serum albumin (0.4 g/L), lactoferrin (0.1 g/L) and lactoperoxidase (0.02 g/L) 9 (Wong et al., 1996). The high content of lactose in whey permeate (about 80% of the original lactose 10 in milk) and the presence of other essential nutrients (e.g., vitamins) for the growth of microorganisms 11 12 would potentially enable milk whey to be used directly as a medium for LAB fermentation, e.g., for 13 LA production.

14 2.1.2 Molasses. Molasses is a by-product of the sugar manufacturing process and contains 15 sucrose as the most abundant sugar. Its high carbohydrate concentration makes molasses a highly 16 viscous liquid which needs dilution before using it in microbial growth media, so as to avoid osmotic 17 problems for the cells. Molasses has been traditionally used as animal feed and for ethanol and yeast 18 production, but applications for LA production by LAB have also been reported (Hofvendahl and 19 Hahn-Hägerdal, 2000).

2.1.3 Starch. Starch consists of a mixture of glucans, i.e., amylose and amylopectin. Both 20 amylose and amylopectin possess a linear backbone of glucose residues linked by  $\alpha(1-4)$  linkages. In 21 addition, in amylopectin branching takes place through  $\alpha(1-6)$  bonds occurring every 24 to 30 glucose 22 units of the backbone chain. Starch can be obtained from various plants and is an interesting raw 23 material on the basis of cost and availability (Okano et al., 2010a). However, relatively few LAB 24 strains (mainly lactobacilli) isolated so far have starch-degrading properties (see also section 2.2.) 25 26 (Okano et al., 2010a). Furthermore, most of the naturally amylolytic LAB show either low LA yields 27 or poor enantioselectivity as described in section 3.1.1. (Okano et al., 2010a). Many groups have explored acid/enzyme hydrolysis of starchy substrates (wheat, corn, cassava, potato, rice, rye, 28 29 sorghum and barley) followed by LAB fermentation or simultaneous saccharification and 30 fermentation by co-culture/mixed culture in LA production processes (Xiaodong et al., 1997; Datta 31 and Henry, 2006; Hofvendahl and Hahn-Hägerdal, 2000).

2.1.4. Wheat bran. Wheat bran is rich in proteins, oil, nutrients, and calories and is among the
major by-products of wheat production. Wheat flour or wheat bran has been used to produce LA, as
they contain a large percentage of starch and proteins, which can be utilized as the sole sources of
carbon and nitrogen, respectively (John et al., 2006; Li et al., 2010b; Naveena et al., 2005a; b; Oh et
al., 2005).

6 2.1.5. Lignocellulose. Lignocellulose is the main component of the plant cell wall and the 7 most abundant renewable source of biomass on Earth. It also includes waste biomass produced by human activities, such as waste paper, by-products from agricultural crops (e.g., wheat straw, corn 8 stalks) and industrial waste streams (e.g., paper mill sludge, wood industry waste). Despite great 9 differences in composition and in anatomical structure of cell walls across plant taxa, lignocellulose 10 11 typically consists of cellulose (35-50% of the plant dry weight), hemicellulose (e.g., xylans, mannans 12 and  $\beta$ -glucans, 20-35 % of the plant dry weight) and lignin (5-30% of the plant dry weight) as the major components (Lynd et al., 2002). While cellulose is a linear homopolymer of  $\beta$ -glucose units 13 linked by (1-4) glycosidic bonds, hemicellulose is a heterogenous class of polysaccharides which are 14 often branched and consist of both hexose (e.g., glucose and galactose) and pentose (e.g., xylose, 15 16 which is the most abundant hemicellulose component, and arabinose) sugars (Jordan et al., 2012). Some LAB strains can ferment short cello-oligosaccharides and/or xylooligosaccharides (Adsul et 17 al., 2007a; De Vos, 1997; Ohara et al., 2006; Kowalczyk et al., 2008). However, no natural 18 cellulolytic or hemicellulolytic LAB has been isolated so far as described in section 2.2. 19

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# 21 2.2. Expanding LAB substrate metabolization performance: construction of recombinant 22 amylolytic and (hemi-)cellulolytic LAB

The bioconversion of starchy and lignocellulosic biomasses by LAB is currently hampered by major limitations. Relatively few natural LAB strains having starch-degrading properties, e.g., amylolytic strains belonging to *Lactobacillus species* (*Lb. amylophilus, Lb. amylovorus, Lb. amylolyticus* and certain strains of *Lb. plantarum*), have been isolated so far (Giraud et al., 1994; Guyot et al., 2000; Narita et al., 2004). Most of them have been used for one-step LA production and have exhibited either low yield or low enantioselectivity (John et al., 2007; Okano et al., 2010a). However, screening for useful amylolytic LAB is both time-consuming and difficult.

Currently, pre-treatment of starchy and ligno-cellulosic feedstocks, including polysaccharide
 hydrolysis into oligo-/mono-saccharides, is in most cases necessary prior to sugar fermentation by
 LAB (Hofvendahl and Hahn-Hägerdal, 2000, Okano et al., 2010a). Hydrolysis of polysaccharides

can be achieved by either physico-chemical strategies (e.g., steam, diluted acids or alkali) or 1 enzymatic treatment (addition of commercial amylase or cellulase cocktails). Both physico-chemical 2 and enzymatic hydrolysis can be very efficient, but most physico-chemical processes are known to 3 produce toxic compounds, e.g., furfural and hydroxymethylfurfural, which inhibit microbial growth. 4 Moreover, both physico-chemical and enzymatic treatments significantly increase the cost of the 5 6 bioconversion processes (Okano et al., 2010a). Notably, dedicated production of hydrolytic enzymes 7 is still a major economic constraint for cost-effective bioprocessing of plant-derived lignocellulosic biomasses (Olson et al., 2012). In this context, the cost of cellulases is currently comparable to the 8 9 purchase cost of the feedstock, i.e., 50 cents per gallon ethanol (Olson et al., 2012). Extensive research 10 has been dedicated to developing consolidated biomass bioconversion processes (CBP), featuring 11 biomass hydrolysis and bioconversion to high-value product(s) in a single fermenter. As far as LAB 12 are concerned, many efforts have been aimed at the construction of recombinant amylolytic or 13 cellulolytic strains by metabolic engineering over the past 25 years.

14 To date, owing to the less recalcitrant nature of starch with respect to lignocellulose, the most successful studies have been those aimed at the construction of recombinant amylolytic LAB, i.e., 15 secreting heterologous  $\alpha$ -amylase (Okano et al., 2007; Van Assendolk et al., 1993) (see Table 1). 16 Increased amounts of α-amylase from Str. bovis 148 could be biosynthesized in Lb. casei by fusing 17 18 the 5'-untranslated leader sequence (UTLS) and the ribosome binding site (RBS) of the *slpA* gene from Lb. acidophilus with the promoter of the gene encoding LDH of Lb. casei (Narita et al., 2006). 19 Maximum  $\alpha$ -amylase activities measured in such engineered LAB are 3-6 fold higher than those 20 21 shown by the native amylolytic Str. bovis 148 (Narita et al., 2004). The most impressive performance 22 was reported for a *Lb. plantarum* strain secreting  $\alpha$ -amylase from *Str. bovis* 148, which was able to ferment 86 g of raw corn starch to D-LA in 48 h with a similar yield of 0.85 g/g total sugar consumed 23 but a higher maximum volumetric productivity of 3.86 g/L/h, compared to Str. bovis 148 (Narita et 24 al., 2004; Okano et al., 2009c) (Table 1). 25

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Enzymatic systems for lignocellulose hydrolysis are much more complicated than those required for starch hydrolysis. Multiple enzymes, having different substrate specificities (e.g., cellulases, xylanases and other hemicellulases) and catalytic mechanisms (i.e., exoglucanases,

endoglucanases, processive endoglucanases, and  $\beta$ -glucosidases), are required to co-operate 1 synergistically for efficient lignocellulose hydrolysis (Bayer et al., 2013; Lynd et al., 2002; Wilson, 2 2011). Although recent studies have highlighted that strategies for cellulose hydrolysis can be highly 3 diverse in phylogenetically distant cellulolytic microorganisms (Himmel et al., 2010; Wilson 2011), 4 two main cellulase paradigms have been the most extensively studied so far: i) the "free"-cellulase 5 systems (i.e., secreted cellulases that do not form stable complexes) of aerobic microorganisms, such 6 7 as filamentous fungi (e.g., Trichoderma reesei) and actinomycetes (Chandel et al., 2012; Lynd et al., 2002); and ii) the "complexed" cellulase systems, i.e., the cellulosomes of anaerobic bacteria, such 8 9 as *Clostridium spp.* and *Ruminococcus spp.*, and fungi (i.e., Chytridomycetes) which are generally bound to the cell surface (Bayer et al., 1998; Bayer et al., 2008; Fontes and Gilbert, 2010; Himmel et 10 11 al., 2010; Lynd et al., 2002). Aerobic microorganisms generally secrete amounts ranging from 1 to 10 g/l up to 100 g/l (in some fungi) of "free" cellulases, while cellulosome-biosynthesizing anaerobic 12 13 bacteria, such as *Clostridium thermocellum*, produce much lower amounts of cellulases (around 0.1 g/L) because of the lower energy levels in anaerobic versus aerobic bacteria and the higher specific 14 15 activity of such complexes with respect to "free-enzyme" systems (You et al., 2012).

16 Examples of recombinant cellulolytic strategies have been applied so as to bestow the ability to grow on lignocellulose biomasses on LAB strains by heterologous cellulase expression (Mazzoli 17 et al., 2012; Yamada et al., 2013) (Table 1). Early examples in LAB, have been mainly aimed at 18 obtaining LAB strains able to improve silage fermentation and storage and/or silage digestibility by 19 20 cattle (Bates et al., 1989; Ozkose et al., 2009; Rossi et al., 2001; Scheirlinck et al., 1989). Lb. plantarum is commonly used for silage fermentation so as to diminish silage pH by means of LA 21 22 fermentation and improve long-term silage storage (Scheirlinck et al., 1989). However, the soluble carbohydrate concentration in silage is often very low, and since natural Lb. plantarum is not able to 23 ferment glucans (either starch or cellulose), the amount of LA produced is too low to inhibit further 24 silage fermentation by spoilage microorganisms. Heterologous endocellulase expression in Lb. 25 plantarum (by gene cloning into plasmids or through integration into the genomic DNA), designed 26 27 to obtain recombinant cellulolytic strains with improved silage fermentation properties, has been received with great interest (Bates et al., 1989; Rossi et al., 2001; Scheirlinck et al., 1989). The C. 28 29 thermocellum endoglucanase Cel8A was successfully expressed in two probiotic lactobacilli (Cho et 30 al., 2000). Later, construction of cellulolytic LAB for industrial production of LA has been 31 considered. C. thermocellum Cel8A was introduced into Lb. plantarum AldhL1, thus obtaining a 32 recombinant strain that was able to grow on cellooligosaccharides up to 5-6 glucose residues (Okano 33 et al., 2009c; 2010a). Very recently, Morais and co-workers (2013) demonstrated that the construction

of simple consortia of recombinant *Lb. plantarum* strains expressing and secreting cellulase-xylanase
 mixtures could potentially be used for biomass (e.g., wheat straw) bioconversion.

Current cutting-edge strategies aimed at the development of recombinant cellulolytic 3 4 microbial strains are mainly based on heterologous expression of so-called mini- or designercellulosomes, i.e., artificial cellulosomes, which are composed of the minimum number of 5 6 components enabling them to be active on lignocellulosic substrates (Bayer et al., 1994; Bayer et al., 7 2007). Because of lower protein secretion ability of bacteria with respect to eukaryotic cells, complexed-cellulases, showing higher specific activity than free cellulases, seem the most promising 8 enzymatic systems for conferring cellulolytic ability to LAB. Moreover, LAB are relatively close to 9 cellulolytic clostridia from a phylogenetical standpoint, and their G+C content is low and similar to 10 11 those of strains such as C. cellulovorans, C. thermocellum and C. cellulolyticum. This is essential for 12 efficient biosynthesis of heterologous proteins, with particular emphasis on efficient translation, which is often biased by different codon usage in very distant organisms (Mazzoli et al., 2012). 13

Modulation of mRNA stability can be an alternative tool to optimize heterologous cellulase 14 15 expression in LAB (Daguer et al., 2005; Komarova et al., 2005; Narita et al., 2006; Okano et al., 16 2010b). Recent studies suggest that mechanisms of protein secretion in Clostridia and LAB could be similar (Okano et al., 2010b; Mingardon et al., 2011; Wieczoreck and Martin, 2010). In fact, the 17 18 products of genes encoding cellulosomal components of cellulolytic clostridia, including their original signal peptide, could be efficiently secreted by Lb. plantarum (Okano et al., 2010b; 19 20 Mingardon et al., 2011; Morais et al., 2013). This significantly reduces problems connected with heterologous cellulase expression. In fact, as for secretion of other proteins in heterologous hosts, 21 22 heterologous cellulase expression may be hampered by saturation of transmembrane transport 23 mechanisms of the host, which causes reduction/loss of cell viability (Mazzoli et al., 2012). Such 24 limitations are still a major bottleneck of recombinant cellulolytic strategies (Mazzoli et al., 2012). 25 Nevertheless, genomic screening for homologous signal peptides could hold the key for fine tuning and balance between high secretion and reduction in cell viability as was nicely demonstrated by 26 Mathiesen and co-workers (2008). 27

In other cases, the simple replacement of the original signal peptide with that of Usp45, the main secreted protein of *Lc. lactis*, has been used for promoting heterologous protein secretion in *Lc. Lactis* (Morello et al., 2008), e.g., components of the *C. thermocellum* scaffolding protein CipA (Wieczoreck and Martin, 2010). In addition, *Lc. lactis* HtrA mutants, which are defective in the unique exported housekeeping protease HtrA (i.e., the main protein component responsible for quality control of secreted proteins in this species), have been employed for the efficient secretion of

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heterologous cellulases (Wieczoreck and Martin, 2010). The construction of LAB strains that display 1 2 cell-surface-anchored designer cellulosomes or cellulosomal components has also been recently achieved. Fragments of the CipA scaffolding protein of C. thermocellum have been functionally 3 displayed on the cell surface of Lc. lactis by fusing them with the C-terminal anchor motif of the 4 streptococcal M6 protein, a sortase substrate (Wieczoreck and Martin, 2010; 2012). Surface-anchored 5 complexes were thus displayed with efficiencies that could approach 10<sup>4</sup> complexes/cell (Wieczoreck 6 7 and Martin, 2010) (Table 1). A non-covalent surface display system for LAB has also been developed 8 by fusing a target heterologous protein, i.e., the α-amylase, with the C-terminal cA peptidoglycan-9 binding domain, which shows high homology with LysM repeats of the major autolysin AcmA from 10 Lc. lactis (Okano et al., 2008).

Cellulolytic activities, measured in recombinant LAB constructed so far, differ widely 11 (Table 1). It can be estimated that hydrolytic activity of native cellulosome-producing strains, such 12 as C. thermocellum, on cellulosic substrates, which are commonly used for in vitro enzymatic tests 13 14 such as  $\beta$ -glucan, carboxy methyl cellulose (CMC) or phosphoric acid swollen cellulose (PASC), ranges between 100 and 1000 U/L (Kraus et al., 2012; You et al., 2012). Some recombinant LAB 15 16 show cellulolytic activities lower than C. thermocellum by 10/100 fold (Table 1). However, for other engineered strains reported values are similar to or higher than those of natural cellulosome-producing 17 18 bacteria (Table 1). It is worth noting that efficient hydrolysis of more recalcitrant polysaccharides, such as crystalline cellulose, do not depend merely on the amount of cellulases but requires mixtures 19 20 of different enzymatic activities showing high synergism. Cellulase expression levels shown by some recombinant LAB obtained thus far are encouraging for future development of strains ready for 21 22 industrial application in biomass biorefinery. It will therefore be interesting if future engineering of LAB strains expressing viable designer cellulosomes with similar catalytic activities on recalcitrant 23 24 substrates will be possible.

25 Efficient conversion of plant biomass, should take into account that hemicellulose, consisting 26 of both hexose and pentose polymers, can constitute up to 35% of the plant dry biomass (Jordan et al., 2012; Lynd et al., 2002). Microbial strains which can ferment pentose sugars generally suffer 27 28 from lower yield and productivity with respect to glucose, because of inefficient uptake, redox imbalance, or carbon catabolite repression (Jojima et al., 2010). A number of LAB such as Lb. 29 pentosus, Lb. brevis, Lb. plantarum and Leu. lactis are able to metabolize both arabinose and xylose 30 through the phosphoketolase pathway, leading to equimolar amounts of LA and acetic acid or ethanol 31 32 (Tanaka et al., 2002; Okano et al., 2009a). An additional xylose fermentation pathway with higher LA production yields was discovered in Lc. lactis IO-1 (Tanaka et al., 2002). However, even xylose-33 metabolizing LAB strains such as Lc. lactis IO-1, Leu. lactis SHO-47 and Leu. lactis SHO-54 cannot 34

ferment xylan or xylooligosaccharides with degrees of polymerization higher than seven (Ohara et 1 2 al., 2006). Isolation of bacteria from different sources is a promising approach to discover new LAB strains with pentose/hemicellulose metabolization properties. Alternatively, metabolic pathway 3 engineering (e.g., expression of heterologous xylanases) is an effective tool to improve hemicellulose 4 bioconversion by LAB as well as for cellulose degradation (Morais et al., 2013; Raha et al., 2006) 5 (Table 1). Morais et al. (2013) demonstrated that xylanase-expressing Lb. plantarum improved 6 7 cellulose accessibility. A Lb. plantarum strain was engineered so as to obtain a recombinant strain with higher conversion yields of both arabinose and xylose into D-LA (Okano et al 2009 a; b) (Table 8 9 1). The final modified *Lb. plantarum ΔldhL1-xpk1::tkt*/pUC-PXylAB strain could convert both arabinose and xylose into LA with yields of 0.82 and 0.89 g of produced LA per gram of pentose, 10 11 respectively.

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Increasing high-value metabolite production of LAB: fermentation and metabolic (genetic)
 engineering strategies

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#### 17 **3.1. Products for environmental-friendly bulk chemicals and polymers**

#### 18 **3.1.1. LA and LA-derived chemicals**

19 LA, the main LAB fermentation product, is currently among the most sought-after chemicals. Apart from its traditional use as a preservative (acidifier) and flavor-enhancing agent by the food 20 21 industry, LA has also been used as an emulsifying and moisturizing agent by the cosmetics industry, in the synthesis of optically pure pharmaceuticals and as an intermediate in pharmaceutical processes, 22 23 and by the tanning industry (Papagianni, 2012). Another interesting application of LA is in the synthesis of ethyl lactate that is used as a biodegradable solvent (Singh and Ray, 2007; Madhavan 24 25 Nampoothiri et al., 2010). Recently, worldwide demand for LA has considerably increased because of the use of LA as a building block for the synthesis of plastic polymers, i.e., polylactides (PLA) 26 27 (John et al., 2007). Worldwide annual PLA production capacity is expected to be as high as 216,000 28 metric tons in 2015 (www.Iea-bioenergies.task42-biorefineries.com). PLA are linear aliphatic 29 polyesters showing many interesting features, i.e., biodegradability and biocompatibility, 30 thermoplasticity and high tensile strength, which make them highly versatile and attractive for various commodities and for medical applications (Madhavan Nampoothiri et al., 2010). For these reasons, 31

PLA is considered a general purpose plastic material, which is expected to replace various polymers 1 traditionally derived by oil refinery in applications comprising: i) food and goods packaging and 2 cutlery; ii) biomedical devices such as matrices for tissue regeneration and drug delivery systems, 3 surgical suture thread, orthopaedic fixation (e.g., pins rods, ligaments), cardiovascular applications 4 (e.g., stents, grafts) and devices; and iii) agriculture plastic sheetings (John et al., 2007; Okano et al., 5 2010a; Singh and Ray, 2007). PLA are obtained by chemical condensation of LA (Cheng et al., 2009). 6 7 Since LA is a chiral molecule existing as two optical isomers (D-LA and L-LA) and the physical properties of PLA generally depend on the enantiomeric composition of LA, the availability of 8 9 optically pure LA is essential for the polymerization process. In this respect, biotechnological production of LA is advantageous. Whereas chemical synthesis always results in a racemic mixture 10 11 of L- and D-LA, selected, natural or engineered microbial strains can produce optically pure products (John et al., 2007). Although other LA-producing microorganisms, such as filamentous fungi, are 12 13 known and other microbial models have been proposed for this purpose (Okano et al., 2010a), of the 150,000 tons of LA that are produced worldwide every year, about 90% is produced by LAB 14 15 fermentation (Sauer et al., 2008).

At present, the main portion of LA for PLA synthesis is obtained by bioconversion of 16 17 dedicated crops (mainly corn) by industries such as Nature works LLC (USA) and Purac (The Netherlands) (Abdel-Rahman et al., 2013). However, optimization of LA production from cheaper 18 and more environmentally sustainable feedstocks using LAB fermentation has been extensively 19 investigated by several research groups worldwide. This is essential so as to reduce the costs 20 associated with the fermentative production of LA, which should be at or below \$ 0.8 per kilogram 21 of LA, in order to ensure that PLA will be competitive with fossil-fuel-based plastics (Okano et al., 22 2010a). 23

It has been pointed out that the carbon substrate is not the only nutrient that significantly affects LAB growth. In this context, yeast extract is frequently added as a source of nitrogen for LA production with a significant increase of production costs (Hofvendahl and Hahn-Hägerdal, 2000). Corn steep liquor, a by-product from the corn steeping process, represents a cheaper and successful alternative in LA production processes (Wee et al., 2006).

Among the different possible carbon substrates for LAB growth several low-cost sources of biomass have been considered, which include milk whey, molasses, starchy materials, lignocellulose hydrolysates, and wheat bran, as described in Table 2.

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3 Different lactobacilli species (e.g., Lb. helveticus, Lb. delbrueckii subsp. bulgaricus, Lb. acidophilus, Lb. casei) have been employed in LA production from whey (Table 2). Among them, 4 5 Lb. helveticus is the generally preferred organism. This microorganism is a homolactic LAB that 6 produces a D-/L-LA racemic mixture (Roy et al., 1986). Temperature and pH are the key 7 environmental parameters that affect the LA production process. Lb. helveticus showed enhanced 8 lactose utilization and LA production at 42°C and pH 5.8 (Tango and Ghaly, 1999). The highest LA 9 production rate was obtained with *Lb. helveticus* grown in whey permeate, with corn steep liquor as the nitrogen source (Amrane and Prigent, 1998). LA productivity of 9.7 g/L/h using Lb. helveticus 10 11 strain milano has been obtained in continuous fermentation of whey-yeast extract permeate medium (Roy et al., 1986; 1987). Because LAB do not have sufficient proteolytic activities to utilize milk 12 proteins in whey, they frequently require supplementation of yeast extract to the medium (Abdel-13 Rahman et al., 2013). In the work of Vasala et al. (2005) on Lb. salivarum, yeast extract 14 15 supplementation was replaced by in situ treatment of the fermentation medium with proteolytic 16 microorganisms. LAB have been immobilized by several methods on different supports (e.g., calcium 17 alginate,  $\kappa$ -carrageenan, agar and polyacrylamide gels) (Panesar et al., 2007a; b), and the immobilized systems have been investigated for LA production from whey. A two-stage process was used for 18 19 continuous fermentation of whey permeate medium with Lb. helveticus immobilized in ĸ-20 carrageenan/locust bean gum, which resulted in high LA productivity (i.e., 19-22 g/L/h) (Schepers et al., 2006). In a study by Panesar et al. (2007b) Lb. casei was immobilized in Ca pectate gel. A 21 22 higher level of lactose conversion to LA (32.95 g/L) was achieved (94.37%), and the cell system was 23 found highly stable: no decrease in lactose conversion to LA was observed up to 16 batches.

24 Lb. delbrueckii and Ent. faecalis have been used for LA production from molasses 25 (Monteagudo et al., 1997; Göksungur and Güvenç, 1999; Kotzanmanidis et al., 2002; Wee et al., 26 2004) (Table 2). Monteagudo et al. (1997) studied the kinetics of LA fermentation by Lb. delbrueckii 27 grown on beet molasses, whereas production yield of LA from beet molasses by free and immobilized Lb. delbrueckii cells has been described in a comparative study by Göksungur and Guvenc (1999). 28 29 Optimization of LA production on a modified formulation of carbon and nitrogen substrates (using 30 different sugar, yeast extract and calcium carbonate concentrations) from beet molasses by Lb. 31 delbrueckii was carried out by Kotzanmanidis et al. (2002). Most of the investigations concerning LA fermentation from molasses were performed using the genus Lactobacillus; however, Wee and co-32

worker (2004) reported a high yield and productivity of LA from molasses without pretreatment using
 a strain of *Ent. faecalis*. Continuous production of LA from molasses by perfusion culture of *Lc. lactis* was described by Ohashi et al. (1999).

4 Many researchers have attempted direct LA production from starchy materials and wild amylolytic LAB have been isolated in different environments (Giraud et al., 1994; Guyot et al., 2000; 5 6 Narita et al., 2004). Amylolytic LAB utilize starchy biomass and convert it into LA in a single step 7 fermentation (Reddy et al., 2008). Str. bovis 148, a common rumen bacterium (Mizrahi, 2013), was found to produce L-LA from raw corn starch with a high yield of 0.88 g/g and a relatively high optical 8 purity of 95.6% (Narita et al., 2004). Lb. amylophilus GV6 was found to actively ferment various 9 pure and crude starchy substrates with more than 90% LA yield (Altaf et al., 2005; Vishnu et al., 10 2000; 2002). Lb. plantarum A6 showed both a good degree of starch utilization and good yields of 11 12 LA production in a complex medium composed of free sugars (brown juice) and starch (Thomsen et al., 2007). 13

An isolated strain of *Lb. brevis* (S3F4) showed LA production capability from lignocellulosic 14 15 hydrolysates. S3F4 was able to simultaneously utilize xylose and glucose without catabolic repression 16 (Guo et al., 2010). Lactobacillus sp. RKY2 was employed in continuous LA fermentations with cell recycling, using lignocellulosic hydrolyzates and corn steep liquor as inexpensive raw materials. The 17 18 results of this study have indicated that the cell-recycling cultivation method can improve volumetric productivity (Wee and Ryu, 2009). However, a few LAB, such as Lb. pentosus (Bustos et al., 2005), 19 20 Lb. brevis (Chaillou et al., 1998), and Leu. lactis (Ohara et al., 2006), are known to ferment xylose, producing both LA and acetic acid. Lc. lactis utilizes heterofermentative metabolism when 21 22 metabolizing pentoses, with production of an equimolar mixture of LA and acetate (Doran-Peterson 23 et al., 2008). In the work of Laopaiboon et al. (2010) xylose was obtained as the main fermentable 24 sugar (89%) from hydrolyzate of sugarcane bagasses. The detoxified hydrolysate, supplemented with 25 yeast extract, was found to be a potential substrate for LA production by Lc. lactis IO-1. The bioconversion of hemicellulosic sugars (xylose, glucose, and arabinose) from different agro-industrial 26 27 wastes into LA by Lb. pentosus was reported in the work of Moldes et al. (2006). Pure L-LA was produced from sugarcane bagasse cellulose by simultaneous saccharification and fermentation (SSF) 28 in the presence of a cellobiose-utilizing Lb. delbrueckii mutant Uc-3 that utilizes both cellobiose and 29 cellotriose efficiently (Adsul et al., 2007a; b). The performance of recycled paper sludge as feedstock 30 for LA production with Lb. rhamnosus ATCC 7469 was evaluated by Marques et al (2008): maximum 31 32 production of LA from this feedstock was obtained by performing the enzymatic hydrolysis and 33 fermentation steps simultaneously.

Plackett-Burman design was employed for screening 15 parameters for production of L(+)-1 LA from wheat bran by Lb. amylophilus GV6 in solid state fermentation (Naveena et al., 2005b). 2 Wheat bran was utilized as both support and substrate in a single-step conversion of raw starch to 3 L(+)-LA (Naveena et al., 2005b). Barley, wheat, and corn were hydrolyzed by commercial amylolytic 4 enzymes and fermented to LA by Ent. faecalis RKY1 without additional nutrients. LA productivities 5 6 of 0.8 g/L/h were obtained from barley and wheat (Oh et al., 2005). In the work of John et al. (2006) 7 protease-treated wheat bran was used for the production of L(+)-LA using a mixed culture of Lb. casei and Lb. delbrueckii. In L-LA production by Lb. rhamnosus LA-04-1, wheat bran hydrolysate 8 9 combined with corn steep liquor showed a better performance than that without treatment, especially 10 for L-LA yield (0.99 g/g) (Li et al., 2010b).

Regarding the optical purity of the LA product, several examples designed to optimize 11 12 enantioselective biosynthesis can be cited. L-LA is the most used isomer in both food and pharmaceutical industries, and hence its production by fermentation is a well-established process (Yu 13 14 and Hang, 1989). Efficient D-LA production with high optical purity (97.2 - 98.3%) from sugarcane molasses by Lb. delbrueckii JCM 1148 was reported in the work of Calabia and Tokiwa (2007). A 15 16 strain of Lb. lactis obtained by UV mutagenesis was also employed for the production of D-LA from molasses and hydrolyzed cane sugar (Joshi et al., 2010). Plackett-Burman design and response 17 surface methods were applied for optimization of D(–)-LA production by Leu. mesenteroides B512 18 (Coelho et al., 2011). The production of homo-D-LA from xylose was achieved by using a 19 20 recombinant strain of Lb. plantarum NCIMB 8826 deficient for its L-LDH and whose phosphoketolase gene was replaced by a heterologous transketolase gene (Okano et al., 2009b). Using 21 the same recombinant Lactobacillus strain, production of optically pure D-LA from arabinose was 22 achieved (Okano et al., 2009a). 23

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#### 25 **3.1.2. Polyhydroxyalkanoates**

Polyhydrohyalkanoates (PHAs) are natural, biodegradable, linear polyesters which are produced as intracellular carbon and energy storage molecules by a great number of bacteria (both Gram-positive – including LAB – and Gram-negative species), but also by some yeasts and plants (Lu et al., 2013). PHAs are particularly versatile biopolymers, since they can consist of different monomeric units (e.g., 3-hydroxybutyrate, 3-hydroxyvalerate, 4-hydroxybutyrate), with properties similar to conventional plastics. PHA applications range from the production of films and containers to biomedical applications, such as in wound management or as cardiovascular devices (e.g., pericardial and atrial septal repair patches, scaffolds for regeneration of arterial tissues, vascular
 grafts, cardiovascular stents and heart valves) and orthopaedic devices (cartilage tissue engineering,
 bone graft substitutes, etc.), and for drug delivery (e.g., tablets, implants, micro-carriers) (Lu et al.,
 2013).

Poly-β-hydroxybutyrate (PHB) production was described in LAB belonging to *Lactococcus*, *Lactobacillus*, *Pediococcus* and *Streptococcus* genera. Higher yields of PHB were obtained in *Lactobacillus* species reaching 35.8% of cell dry weight. The values for *Lactococcus*, *Pediococcus*and *Streptococcus* species were 20.9%, 8.0% and 17.2%, respectively. The PHB yields obtained with
LAB in deMan, Rogosa and Sharpe (MRS) broth were generally lower than the values reported in
soil bacteria, e.g., *Alcaligenes* and *Azotobacter* species, in which values higher than 55% have been
reported (Aslim et al., 1998).

LA-producing bacteria such as Lb. lactis (Tanaka et al., 1995), Propionibacterium (Tohyama 12 et al., 2002), Lb. delbrueckii (Tohyama et al., 1999; 2000, Patnaik, 2005) and Cupriavidus necator 13 have also been used in a co-culture fermentation system. LAB converted sugars into LA which was 14 later taken up by C. necator to produce PHAs. In a two-stage system, xylose was converted to LA 15 16 using Lc. lactis, the LA was further converted to 3-hydroxybutyrate by C. necator (Tanaka et al., 1995). In another investigation, Lb. delbrueckii was used to convert glucose to LA which was later 17 18 converted to 3-hydroxybutyrate by C. necator (Tohyama et al., 1999; 2000). By application of neural optimization, the PHB yield of a co-culture of Ralstonia eutropha and Lb. delbrueckii could be 19 20 increased by 19.4% compared with the single cultivation of *R. eutropha* (Patnaik, 2009).

Generally, it has been demonstrated that co-culture fermentations resulted in increased yield with improved control of product qualities. A further advantage in the application of co-cultures is the possibility of utilizing secondary products (e.g., whey, molasses), which are cheaper than glucose as substrates for production of PHAs (Bader et al., 2010). Bacteria that have GRAS status for PHAproduction, such as LAB and bacilli belonging to probiotic species (Aslim et al., 1998; Yilmaz et al., 2005), might constitute an added value to these biotechnological processes (Defoirdt et al., 2009).

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28 3.1.3. Biofuels: ethanol, butanol and hydrogen

The demand for renewable energy technologies has initially focused on ethanol – a fermentation product produced by a wide variety of microorganisms – to serve as a potential renewable biofuel. There are many ongoing efforts devoted towards the use of engineered and native microorganisms for use as industrial producers of ethanol (Balusu et al., 2004; Chen et al., 2009; Jarboe et al., 2007; Ng et al., 1981; Olofsson et al., 2008). LAB have emerged as promising candidates
for alcohol (i.e., ethanol and butanol) production by industrial fermentation, which can be competitive
alternatives to other types of engineered microbial systems (e.g., *C. thermocellum, Saccharomyces cerevisiae, Zymomonas mobilis* or *Escherichia coli*) (Morais et al., 2013).

One of the major problems in using bacteria for such endeavors is their low ethanol tolerance. 5 In this context, some LAB species are particularly attractive candidates to serve as ethanol-producing 6 7 bacteria for the biofuel industry, since they are relatively tolerant to high ethanol concentrations 8 required for commercial alcohol production processes, used in the food industry and for biofuel 9 production (Lucena et al., 2010; Passoth et al., 2007). Notably, Lactobacilli include some of the most 10 ethanol-, butanol- and generally alcohol-tolerant organisms known (Alegria et al., 2004; Knoshaug 11 and Zhang, 2009; Nicolaou et al., 2010). Alegria et al. (2004) demonstrated that Lb. plantarum can grow at pH 3.2 with up to 13% ethanol. Interestingly, Lactobacillus species are predominant in 12 13 contaminated ethanol fermentations (Limayem et al., 2011; Roach et al., 2013). Such is the case for Lb. vini and Lb. fermentum, which were found in a recent study (Lucena et al., 2010) to grow in 14 15 distilleries used in bioethanol processes in Brazil. In the latter study, the authors reported that a variety of Lactobacillus species appeared during the process of bioethanol production, thus competing with 16 17 the yeast. Towards the end of the harvest season, however, the most frequently found bacterial species were Lb. fermentum and Lb. vini. Representative isolates of both species had the ability to grow in 18 medium containing up to 10% ethanol. These characteristics, which allow such species to contaminate 19 the yeast cultures in the bioreactors, may thus be used to advantage as an infrastructure for 20 engineering ethanol-producing bacteria. 21

High tolerance of *Oenococcus oeni* strains was also reported, where these strains retained viability in media of up to 13% ethanol (Alegria et al., 2004).

As mentioned above, ethanol is produced as a product of LAB heterofermentation. Some 24 obligate and facultative LAB heterofermenters, such as Oenococcus oeni and Lb. pentosus, are 25 capable of fermenting both hexose and pentose sugars to ethanol. In facultative LAB 26 heterofermentors the switch between homofermentation, in which only LA is produced, and 27 28 heterofermentation, in which a variety of products can be produced, is attributed to the catabolic state 29 of the bacterium, where limiting catabolism such as low glycolytic flux leads to heterofermentation and non-limiting catabolism with high glycolytic flux leads to homofermentative fermentation 30 31 (Zaunmüller et al., 2006). This phenomenon is ascribed to the regulation of the enzymatic activities 32 of LDH and pyruvate formate lyase, which are subject to control by the catabolic and anabolic flux 33 rates and changes in the NADH/NAD<sup>+</sup> ratios (Melchiorsen et al., 2002). Ethanol production is mainly 34 thought to occur when hexose sugars are fermented, due to the reduction of acetyl-CoA to ethanol by

two extra NADPH molecules that are produced. When pentose sugars are fermented, these two NADPH molecules are not produced, thereby resulting in accumulation of LA and acetate. Nevertheless, ethanol production was observed when *Lb. plantarum* – a facultative heterofermenter – was grown solely on pentose sugars (Domagk and Horecker, 1958). The ethanol-producing enzymes of the phosphoketolase pathway exhibit slower kinetics than the hexose-fermenting enzymes; therefore when hexoses are the only carbon source this becomes the rate-limiting step of fermentation and bacterial growth in general (Richter et al., 2001).

8 The low tendency of LAB towards ethanol fermentation can be addressed by metabolic 9 engineering. This approach has been used successfully in other bacteria, such as 10 *Thermoanaerobacterium saccharolyticum*, an anaerobic bacterium that is able to ferment xylan and 11 biomass-derived sugars. High yields of ethanol production as the only detectable organic product was 12 achieved in this bacterium by knockout of genes involved in organic acid formation (Shaw et al., 13 2008).

Several studies have attempted to improve ethanol production in LAB by over-expression of 14 15 heterologous genes encoding pyruvate decarboxylase (pdc) and/or alcohol dehydrogenase (adh). Gold et al. (1996) reported the expression of the pdc and adh genes from Zymomonas mobilis in Lb. 16 17 casei 686. In the latter study, the recombinant strain produced more than twice the ethanol produced by the parental strain (Gold et al., 1996). In a later study, in which the same operon was expressed in 18 several Lactobacillus strains such as Lb. casei as well as in other species, it was reported that LA was 19 20 the primary fermentation product formed by all of the strains, indicating that activities of ADH and PDC were insufficient to divert significant carbon flow towards ethanol. Interestingly, the Lb. casei 21 transformant in this study did not exhibit increased ethanol production activity (Nichols et al., 2003). 22 A slightly different approach was attempted by Liu et al. (2006). In the latter study, the authors 23 attempted to increase the production of ethanol by introducing the PDC gene from the Gram-positive 24 25 bacterium Sarcina ventriculi into an LDH-deficient strain, Lb. plantarum TF103. The authors 26 speculated that by substituting LDH with PDC activity, pyruvate may be re-directed toward ethanol production instead of LA fermentation. Nevertheless, although slightly more ethanol was observed, 27 28 carbon flow was not significantly improved toward ethanol, suggesting that additional understanding of this organism's metabolism is necessary for effective strain improvement (Liu et al., 2006). 29 Recently Solem and co-workers (2013) were able to redirect Lc. lactis carbon flow toward ethanol 30 31 production, obtaining a strain with ethanol as the sole fermentation product. This was achieved by a knockout strategy of all LDH genes in this bacterium consisting of *ldhX*, *ldhB*, and *ldh* together with 32 those coding for phosphotransacetylase (*pta*) and the native ADH (*adhE*). In parallel, the authors 33 34 introduced codon-optimized Z. mobilis adh and pdc genes.

Another approach for increasing ethanol production could be the selection of and enrichment for more ethanologenic LAB as was reported in a recent study in which a *Lb. pentosus* strain was isolated through a series of selection and enrichment procedures (Kim et al., 2010). This strain, designated *Lb. pentosus* JH5XP5, was able to produce ethanol without acetate. The production yields of ethanol vs LA in this strain were 2.0- to 2.5-fold higher when either glucose, galactose or maltose was used either as a single carbon source or simultaneously with glucose (Kim et al., 2010).

7 Advantages of LAB over the yeast S. cerevisiae in ethanol production from lignocellulosic 8 biomass include the ability of several LAB strains, e.g., Lb. plantarum, to metabolize both hexose 9 and pentose sugars (Kleerebezem et al., 2003; Gänzle et al., 2007; Okano et al., 2009a; b). Furthermore, the production of acid together with LAB acid tolerance reduces the risk of 10 11 contamination by other bacteria and fungi and may enable degradation of substrates directly after acid pretreatments that are commonly used for lignin deconstruction in plant biomass (Morais et al., 2013). 12 13 Nevertheless, ethanologenic LAB strains, which would be sufficient for the bioethanol industry, are as yet nonexistent. In the future, the combination of the above approaches may eventually be an 14 15 effective solution for designing an efficient ethanologenic LAB strain.

As far as butanol production is concerned, it is noteworthy that all natural butanol producers 16 17 belong to the genus *Clostridium* (Mazzoli, 2012). The highest amounts of butanol are synthesized by C. acetobutylicum, C. beijerinckii, C. saccharobutylicum, and C. saccharoperbutylacetonicum 18 (Mazzoli, 2012). Nonetheless, the expression of the clostridial butanol biosynthetic pathway in 19 20 heterologous hosts, such as in E. coli, S. cerevisiae, and Lb. brevis, has been extensively explored as a means to engineer recombinant butanol-producing strains (Mazzoli, 2012). Transformation of crt, 21 22 bcd, etfB, etfA, and bcd from C. acetobutylicum into Lb. brevis, in concert with autologous thiolase, aldehyde and alcohol dehydrogenase enabled the recombinant strain to produce butanol (Berezina et 23 al., 2010). The final butanol titer by this recombinant Lb. brevis was very low (i.e., 300 mg/L), 24 25 compared to natural or engineered clostridia (i.e., titers up to 19 g/L were reported for strains 26 optimized by random mutagenesis or rational metabolic engineering) (Nicolaou et al., 2010; Tomas et al., 2003). However, recent studies have demonstrated that highly efficient butanol production can 27 28 be obtained in engineered heterologous hosts (e.g., 15 g/L in recombinant E. coli) by the construction of chimeric biosynthetic pathways (Shen et al., 2011). Metabolic engineering has also served towards 29 the development of a Clostridium cellulolyticum strain for isobutanol synthesis directly from cellulose 30 31 (Higashide et al., 2011).

Formate is another LAB metabolite with high potential as a precursor of biofuel, i.e., molecular hydrogen (H<sub>2</sub>) (Oh et al., 2011). LAB produce formate during mixed-acid fermentation in anaerobic conditions through pyruvate conversion by pyruvate formate lyase (Fig. 1). Industrial production of H<sub>2</sub> could be envisaged by coupling such LAB fermentation with a second anaerobic fermentation employing either enteric bacteria such as *E. coli* which are equipped with formatehydrogen lyase (Oh et al., 2011) or photofermenting bacteria (e.g., purple non-sulfur bacteria such as *Rhodobacter sphaeroides*) (Keskin et al., 2011) which are able to oxidize formate with concomitant H<sub>2</sub> evolution.

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#### 8 **3.2.** Products for food application and human health promotion

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### 10 **3.2.1. Food aromas and flavors**

Production of food aromas such as diacetyl and acetaldehyde by LAB has been extensively
reviewed in 2012 by Papagianni and will be only briefly summarized here.

Diacetyl is naturally produced by LAB and is responsible for the typical butter aroma of 13 several dairy products such as butter, buttermilk and a number of cheeses (Papagianni, 2012). Lc. 14 15 lactis biovar. diacetylactis has been extensively employed to produce diacetyl from citrate in co-16 fermentation with lactose (Papagianni, 2012). Diacetyl is generated by oxidative decarboxylation of the intermediate product of the fermentation  $\alpha$ -acetolactate. Because of its value as an aroma 17 compound, efficient production of diacetyl from lactose rather than citrate has been the aim of several 18 19 metabolic engineering strategies (Fig. 2) (Papagianni, 2012). Under aerobic conditions pyruvate metabolism in LAB strains such as *Lc. lactis* is strongly shifted towards acetate and  $\alpha$ -acetolactate 20 biosynthesis (Guo et al., 2012). Pyruvate conversion to  $\alpha$ -acetolactate can be catalyzed by either  $\alpha$ -21 acetolactate synthase (ALS) or acetohydroxy acid synthase (ILVBN) (Fig. 2). ILVBN is an anabolic 22 synthase involved in branched chain amino acid synthesis.  $\alpha$ -Acetolactate can then be decarboxylated 23 to acetoin or decarboxylated and oxidized to diacetyl (Guo et al., 2012). Furthermore, aerobic 24 25 conditions also strongly increase NADH-oxidase activity (NOX) which is thought to replace the role 26 of LDH in the re-oxidation of NADH which is generated by glycolysis (Guo et al., 2012). Several 27 attemps to increase diacetyl production through metabolic engineering by genetic manipulation techniques have been performed so far in which i) LDH was inactivated and/or; ii) either ALS or 28 ILVBN has been overexpressed and used, and/or; iii)  $\alpha$ -acetolactate decarboxylase (ALDB), i.e., the 29 30 enzyme which catalyzes the conversion of  $\alpha$ -acetolactate to acetoin, was inactivated (Guo et al., 2012; Papagianni, 2012). However, these approaches have been relatively unsuccessful in significantly 31 increasing diacetyl production. In fact, Hoefnagel and co-workers (2002) demonstrated that the 32 33 enzymes with the greatest effect on the flux to diacetyl reside outside the ALS branch itself, i.e., LDH and NOX. Recently, Guo and co-workers (2012) have been able to increase NOX activity by 58-fold
in *Lc. lactis* by using selected strong promoters for the constitutive expression of the NADH oxidase
gene. Such engineered strains showed an altered NADH/NAD<sup>+</sup> ratio which led to re-routing of
pyruvate flux from LA to diacetyl whose final titer increased from approximately 1.07 mM to 4.16
mM.

- 6
- 7 \*Fig. 2
- 8

Acetaldehyde, an important aroma compound in dairy products, can be produced by LAB
through at least two pathways: i) pyruvate decarboxylation by PDC; ii) threonine conversion (i.e.,
giving rise to acetaldehyde and glycine) catalyzed by threonine aldolase (Papagianni, 2012). Actually,
the latter reaction seems to play the main role in acetaldehyde biosynthesis in LAB (Papagianni,
2012). Successful paradigms of an engineered strain with increased acetaldehyde production have
been performed by improvement of either threonine aldolase or PDC activity (Papagianni, 2012).

15

#### 16 **3.2.2.** Polyols and other sweeteners

Several successes were obtained in the field of production of low-calory sweeteners, such
as polyols (i.e., mannitol and sorbitol) and alanine, by LAB fermentation (Fig. 3).

Both mannitol and sorbitol are six-carbon sugar alcohols which are traditionally produced by catalytic hydrogenation from glucose or glucose/fructose mixtures and are used in the food and pharmaceutical industries, as well as in medicine (Papagianni, 2012). Using this process, mixtures of mannitol and sorbitol are often produced, which are then relatively difficult to separate, thus adding supplemental cost to this mode of production (Papagianni, 2012).

Mannitol production without co-formation of sorbitol by heterofermentative LAB is well 24 known (Hugenholtz et al., 2011). These LAB divert a part of fructose-6-phosphate (fructose-6P) from 25 the glycolytic flux to mannitol by using mannitol-1-phosphate dehydrogenase (Wisselink et al., 2002) 26 (Fig. 3). Mannitol production is increased in this reaction if fructose is co-fermented with glucose 27 28 (Wisselink et al., 2002). Increased mannitol yields have been achieved by optimizing the mannitol fermentation of heterofermentative LAB (Racine and Saha, 2007). Although fructose to mannitol 29 conversion yields of up to 66% were obtained by natural heterofermentative LAB, nonetheless co-30 31 production of other metabolites (e.g., LA and acetic acid) occurs in these strains. Rational metabolic 32 engineering (Aarnikunnas et al., 2003) or random mutagenesis (Helando et al., 2005) approaches have been used to reduce the amounts of such co-products and improve mannitol production yield. 33 34 Homofermentative LAB usually produce but very low amounts of mannitol (Papagianni, 2012).

However, several strategies have been reported for enhancing mannitol production in 1 homofermentative strains such as Lc. lactis and Lb. plantarum (Wisselink et al., 2002; 2005). 2 Construction of L-LDH-deficient Lc. lactis strains, together with the inactivation of mtlA and/or mtlF 3 mannitol transport systems and overexpression of the mannitol-1-phosphate dehydrogenase gene 4 (*mtlD*) of *Lb. plantarum* and the mannitol-1-phosphate phosphatase (Mtl1Pase) gene of the protozoan 5 parasite Eimeria tenella, led to improved glucose conversion to mannitol with yields (50%) close to 6 7 the theoretical maximum (67%) (Wisselink et al., 2002). By a similar strategy Lb. plantarum strains showing up to 50% carbon flux re-routing toward mannitol were obtained (Wisselink et al., 2005). 8

9 Only a few organisms have been described as able to naturally produce sorbitol, e.g., the 10 Gram-negative bacterium *Zymomonas mobilis* (Silveira and Jonas, 2002). In LAB, high sorbitol 11 production through metabolic engineering has been reported with *Lb. plantarum*. A strategy including 12 inactivation of both LDH and mannitol-1-phosphate dehydrogenase in a *Lb. plantarum* strain 13 overproducing a sorbitol-6-phosphate dehydrogenase led to efficient re-routing of fructose-6P 14 towards sorbitol with a near-to-theoretical yield of 0.65 mol/mol (Ladero et al., 2007) (Fig. 3).

LAB are not reported to produce xylitol naturally although strains of *Str. avium* and *Lb. casei* are able to metabolize it (London, 1990). Nyyssölä et al. (2005) constructed a recombinant *Lc. lactis* strain in which the xylose reductase (XR) gene from *Pichia stipites* and a xylose transporter from *Lb. brevis* were expressed. This co-expression however did not improve xylitol production. Nevertheless, an increased productivity level, comparable to that of the more efficient yeast producers, was achieved in fed-batch fermentation by using non-growing *Lc. lactis* cells (Papagianni, 2012).

L-Alanine is used as a food sweetener and in pharmaceutical applications (Papagianni, 22 2012). Conversion of pyruvate into alanine occurs in various anaerobic bacteria and involves a single 23 enzymatic reaction catalyzed by alanine dehydrogenase. Homo-L-alanine from sugar fermentation 24 25 was obtained with an engineered Lc. lactis (Hols et al., 1999). Metabolism shift from homolactic to 26 homo-alanine in this strain was obtained by functional replacement of autologous L-LDH with alanine dehydrogenase from *Bacillus sphaericus* and growth in the presence of excess of ammonium 27 28 (which is required for the conversion of pyruvate to alanine by alanine dehydrogenase) (Fig. 3). Under these conditions, pyruvate obtained through glycolysis was completely converted to alanine, with 29 NADH consumption, thus maintaining the glycolytic redox balance. Furthermore, the inactivation of 30 31 the alanine racemase gene led to complete conversion of glucose into L-alanine.

- 32
- 33 **\*Fig. 3**

34

#### 1 3.2.3. Exopolysaccharides

2 LAB are able to synthesize a large spectrum of structural polysaccharides that are either integral constituents of their cell wall, e.g., peptidoglycan and lipoteichoic acids, or linked to the cell 3 wall via covalent, as in the case of capsular polysaccharides, or non-covalent bonds, or released into 4 the extracellular environment (i.e., exopolysaccharides, EPS) (Chapot-Chartier et al., 2011). Certain 5 6 EPS can be loosely associated with the cell wall (Chapot-Chartier et al., 2011). The exact role of EPS 7 in LAB physiology is not clear and is probably multiple and complex for different EPS and strains 8 and likely includes: i) protection against dessication and osmotic stress, phage attack, toxic compounds (e.g., ethanol, sulphur dioxide and toxic metal ions), antibiotics and host immune system 9 (especially phagocytosis); ii) adhesion to solid surfaces (e.g., adhesion to eukaryotic cells) and 10 biofilm formation (Chapot-Chartier et al., 2011). 11

12 LAB EPS have been subjected to continuous investigation because of their enormous potential application. This includes i) for improving the rheological properties, smoothness, creaminess, mouth 13 feel, texture, stability (thus replacing other food stabilizers such as pectin, starch, alginate, or gelatin) 14 15 and water retention capacity of dairy products (e.g., yogurt, cheese, sour cream, ice cream) and notably in the case of low-fat versions and other food (e.g., bakery) products; ii) for clinical (e.g., in 16 microsurgery), pharmaceutical, and other biotechnological use (e.g., for the manufacture of 17 18 chromatographic media); iii) for their properties as prebiotics; iv) for other health-promoting effects such as possible anti-tumor, anti-ulcer, immunomodulating, or cholesterol-lowering activities 19 20 (Chapot-Chartier et al., 2011).

Nonetheless, natural production of EPS by LAB is very low compared to that of other foodgrade EPS (e.g., xanthan, gurdlan) produced by non-dairy bacteria. Metabolic engineering has
therefore been used for improving EPS production by LAB and/or for structural engineering of EPS
produced by LAB (Papagianni, 2012).

EPS are classified in homopolysaccharides (homo-EPS) and heteropolysaccharides (hetero-EPS). Homo- and hetero-EPS differ in their composition, biosynthesis, yields, molecular organization, rheological properties, and applications (Fig. 4).

- 28
- 29 **\*Fig. 4**
- 30

1 Homo-EPS are composed of either D-glucose (glucans) or D-fructose (fructans) units, and 2 differ regarding the type of glycosidic linkages, type and degree of branching, length of the polysaccharide chains, and conformation. Homo-EPS are biosynthesized extracellularly by only one 3 transglycosylase or glycansucrase by using sucrose as the substrate (Fig. 4A). These enzymes 4 hydrolyze the glycolytic bond in sucrose and use either the glycosyl or the fructosyl moiety for the 5 polymerization of  $\alpha$ -D-glucans or  $\beta$ -D-fructans, respectively (Chapot-Chartier et al., 2011). These 6 7 features render homo-EPS biosynthesis independent of central carbohydrate catabolism. Apart from glucans (e.g., alternan, dextran, mutan, reuteran) and fructans (e.g., inulin and levans), glycansucrases 8 9 can also biosynthesize low-molecular mass oligosaccharides such as fructooligosaccharides (FOS) and glucooligosaccharides (GOS). FOS and GOS have prominent commercial importance as 10 prebiotics, i.e., compounds supporting growth of probiotic organisms. 11

Hetero-EPS are biosynthesized by the polymerization of oligosaccharidic, ranging from di- to 12 13 octasaccharide, repeating units. Hetero-EPS repeating units are biosynthesized intracellularly and 14 then exported and polymerized in the extracelullar environment (Fig. 4B). Glucose, galactose, xylose, 15 mannose, arabinose and rhamnose are the most represented constituent monosaccharides, but aminosugars and polyols can also be occasionally present as well as glucuronic acid. A huge diversity of 16 17 hetero-EPS is produced by LAB with respect to monosaccharide composition and ratio, branching type and degree, molecular structure and mass, conformation and rigidity. The biosynthesis of hetero-18 EPS is a process that demands high-energy consumption and consists of four reactions: 1) sugar 19 uptake; 2) synthesis of high-energy sugar-nucleotide precursors (e.g., UDP-glucose, UDP-galactose); 20 3) glycosyltransferase-catalyzed biosynthesis of the oligosaccharide repeating unit; 4) 21 oligosaccharide export and extracellular polymerization of the EPS (Fig. 4B). The biosynthesis of 22 UDP-glucose and dTDP-glucose generally uses glucose-6-phosphate as the substrate, which is then 23 diverted from glycolysis. As an alternative, the conversion of galactose to UDP-Gal and UDP-Glu 24 25 can be obtained through the Leloir pathway (Welman and Maddox, 2003) (Figs 1, 4B).

26 Because of their very different biosynthetic pathways, homo- and hetero-EPS biosynthetic yields generally differ greatly, with homo-EPS being produced in much higher amounts than hetero-27 EPS (Chapot-Chartier et al., 2011). As more information about EPS biosynthetic enzyme-encoding 28 29 genes and their regulation becomes available, the possibility of recombinant production of either 30 natural or "designer" hetero-EPS at high yields becomes possible (Welman and Maddox, 2003). 31 Different strategies for enhanced EPS production in LAB have been employed or suggested, including reduction of LDH activity, and overexpression of genes encoding the enzymes that catalyze 32 33 conversion of glucose-6-phosphate to sugar nucleotide precursors or specific glycosyl transferases

1 (Welman and Maddox, 2003). Overexpression of GalU, catalyzing the synthesis of the EPS precursor UDP-glucose from glucose-1-phosphate, under the control of a nisin-inducible promoter, increased 2 the specific activity of the enzyme by 20-fold in Lc. Lactis, which in turn increased both UDP-glucose 3 and UDP-galactose synthesis by 8-fold, although EPS synthesis was not significantly enhanced 4 (Boels et al., 2001a). However, overexpression of both GalU and phosphoglucomutase, which 5 catalyzes glucose-6-phosphate isomerization to glucose-1-phosphate in Str. thermophilus, led to a 2-6 7 fold increase in EPS synthesis (Levander et al., 2002). Yet, to date, all these metabolic engineering strategies resulted only in modest increase in EPS production (Hugenholtz et al., 2011). In fact, an 8 9 inherent limitation in high-yield hetero-EPS biosynthesis is that it involves high energy-demanding pathways (Welman and Maddox, 2003). It has been calculated that at least two glucose molecules 10 11 should be catabolized through glycolysis to obtain enough energy for the incorporation of one glucose 12 molecule in EPS and that EPS yield cannot be higher than 33% of a given substrate (Welman and 13 Maddox, 2003). Therefore, strategies for improving hetero-EPS production should also comprise 14 energy-saving re-arrangements of LAB metabolism.

A different approach aims at structural engineering of EPS produced by LAB. This can be achieved either by controlling the culture conditions (e.g., the type of sugar source) or by genetic engineering strategies (e.g., by introducing new heterologous, or engineered glycosyltransferases into LAB) (Boels et al., 2001b; Welman and Maddox, 2003).

19

# 3.2.4. Nutraceuticals: bio-active amines, conjugated linoleic acids, seleno-metabolites and vitamins

LAB biosynthesize a large spectrum of molecules with recognized health-promoting 22 properties such as  $\gamma$ -aminobutyrric acid (GABA),  $\beta$ -phenylethylamine, bioactive peptides, short chain 23 24 fatty acids, conjugated linoleic acids, selenometabolites, and vitamins (Pessione 2012; 2014). The in 25 situ and ex-situ production of these molecules by LAB is currently used or has been proposed for the manufacturing of "functional foods" or "nutraceuticals", i.e., foods that provide the consumer with 26 an "added benefit" over and above the nutrient content, and possibly reduce the risk of specific 27 chronic diseases (Mazzoli, 2014). Although at present the use of probiotic LAB is preferable to 28 29 supplying the purified molecules as nutraceutical food supplements, the question remains with respect to vitamins and amino acid derivatives. 30

Bioactive amines, such as GABA, β-phenylethylamine, and tryptamine, are produced by LAB
as well as by eukaryotes (including humans) by decarboxylation of their precursor amino acid (i.e.,

glutamate, phenylanaline, tryptophan, respectively). Amino acid decarboxylation is a general strategy
used by LAB and other bacteria to supply cells with additional metabolic energy, through functional
coupling with an electrogenic amino acid/amine antiport system which generates a proton gradient
across the cytoplasm membrane (Konings, 2006; Mazzoli et al., 2010). Furthermore, it is a defense
mechanism against environmental acidity, since amino acid decarboxylation produces a compound
which is less acidic than the substrate (Mazzoli et al., 2010).

While some amino acid decarboxylation products, e.g., histamine, tyramine, putrescine and
cadaverine, are considered spoilage molecules that can be found in fermented food and have negative
effects on human health (e.g., headaches, smooth muscle contraction, hypertension, brain hemorrage,
allergies, and enteric histaminosis) (Pessione et al., 2005; 2009), GABA and β-phenylethylamine
have desirable properties (Mazzoli et al., 2010). Several studies have reported that βphenylethylamine is a mood elevator (Mazzoli, 2014).

GABA, together with its antagonist, i.e., glutamate, is the major neurotransmitter of the central
nervous system of vertebrates. It also acts as a blood pressure modulator in mild hypertensive patients
having diuretic and tranquillizer effects (Li and Cao, 2010; Mazzoli et al., 2010). Furthermore, GABA
plays a regulatory and trophic role on the pancreas and in immunological processes, such as the downregulation of pro-inflammatory cytokine release (Mazzoli, 2014).

A number of studies have investigated factors that promote glutamate decarboxylase biosynthesis or catalysis, leading to GABA accumulation in the fermentation medium. In *Lc. lactis* NCDO 2128, GABA is biosynthesized only by cultures in stationary phase and in acidic media (pH lower than 5.7), while the presence or absence of a high glutamate concentration did not have a significant effect (Mazzoli et al., 2010). Actually, in this strain, catalytic activation of glutamate decarboxylase by glutamate seems more important than its biosynthetic regulation.

Recently, simple and effective fermentation methods have been developed for several LAB 24 25 strains, including Lb. brevis NCL912, Lb. brevis GABA100, Lb. buchneri, and Ent. avium G-15, in 26 order to produce high amounts of GABA (Cho et al., 2007; Kim et al., 2009; Li et al., 2010a; Park 27 and Oh, 2007; Siragusa et al., 2007; Tamura et al., 2010). All these strategies rely on exogenous 28 addition of high amounts of glutamate, which is not economically viable at the industrial scale. The 29 future in this research area is therefore either i) the development of co-cultures of GABA-producing strains and glutamate-producing microbes (e.g., *Corynebacterium glutamicum*) or ii) the engineering 30 of strains which can achieve high-level GABA production directly from glucose (Adkins et al., 2012). 31

Possible health benefits can be ascribed to some isomeric forms of linoleic acid, currently called conjugated linoleic acids (CLA). These molecules, used as dietary supplements, are generally produced by isomerization of linoleic acid by chemical processes (Ogawa et al., 2005). Nevertheless,

this process often results in the by-production of undesired isomers having poor biological activity. 1 Conversely, biological CLA production is more isomer-selective and it is possible to control the 2 isomer ratio by acting on the culture condition (Ogawa et al., 2005). Both bifidobacteria and several 3 LAB genera like *Streptococcus* and *Lactobacillus* are able to synthesize CLA when they are grown 4 in presence of linoleic acid (i.e., cis,cis-9,12-octadienoic acid) (0.5 g/L) (Coakley et al., 2003). The 5 highest biological activities are currently ascribed to either the cis,trans-9,11 or, alternatively, the 6 7 trans,cis-10,12 isomer, and LAB produce them generally by biohydrogenation and oxidation processes (Wahle et al., 2004) but also by hydration and dehydration reactions (Ogawa et al., 2005). 8 9 Several positive effects exerted by CLA on human health have been described in the literature. 10 Among these, it is worth mentioning a modulation of sugar metabolism, resulting in attenuation of 11 insulin resistance and improvement of metabolic syndrome and diabetes (Wahle et al., 2004), body weight loss with an increase of lean body mass (Terpstra, 2004), and induction of apoptosis in cancer 12 13 cells (Ewaschuk et al., 2006). Nevertheless, some experimental evidence obtained in mice, like body fat reduction, has never been confirmed in humans, and either tumor-promoting activity or deleterious 14 15 effects on lipid balance (i.e., increase in oxidative lipid products, HDL-cholesterol lowering effect) has been described using several animal models (Ewaschuk et al., 2006; Terpstra, 2004; Wahle et al., 16 17 2004). As for many other pharmaceutical treatments, it has been hypothesized that CLA concentration is a key factor in defining limits between beneficial or negative effects: an anti-carcinogenic action 18 has been demonstrated using CLA concentrations of 0.5%-1% (w:w) of the total diet (Ewaschuk et 19 20 al., 2006). Considering that high linoleic acid dietary intake can be detrimental (Ewaschuk et al., 2006), the CLA dosage has to be maintained at the minimal active concentration to avoid undesired 21 22 consequences. On the other hand, some experimental evidence suggests that somewhat opposite effects likely correlate with different CLA isomers, due to the fact that they can act through different 23 24 cell signalling pathways. For instance, the trans, cis-10,12 isomer has been considered dangerous for 25 human health, causing decreased plasma leptin concentrations and insulin resistance (Terpstra, 2004; 26 Wahle et al., 2004). For all these reasons, it is very important, on the one hand, to screen the appropriate strains producing the beneficial isomers, but on the other, to check the optimal dosage of 27 28 the purified molecules to be administered as supplements. In view of nutraceutical applications in humans, Ogawa and co-workers (2005) set up a safe isomer-selective process for the production of 29 CLA by Lb. plantarum strain AKU 1009a. These authors suggested that the substrate (i.e., linoleic 30 acid) has to be dispersed with albumin or with a surfactant so as to be more bio-available for the 31 bacterial cells: a final concentration of 40 g/L of CLA was obtained from linoleic acid by using this 32 fermentation strategy. Furthermore, the use of anaerobic conditions allowed the authors to avoid 33 34 interfering oxidative metabolism of linoleic acid, thus improving CLA yields. Finally, since it was

previously observed that free unsaturated fatty acids inhibit bacterial growth and trigger defense 1 mechanisms involving "undesired" saturation reaction, these authors used for CLA production resting 2 washed cells instead of actively growing bacteria. With this approach it was possible to enhance 3 productivity by 100-fold. The most interesting finding of this study was the development of a method 4 to control the ratio of isomer production between cis,trans-9,11 (i.e., biologically active) and 5 trans, trans-9,11 (i.e., reduced biological activity) octadecadienoic acid. The addition of L-serine, 6 7 glucose, NaCl or AgNO<sub>3</sub> to the growth medium strongly improved the cis,trans-9,11 production (about 75% selectivity) (Kishino et al., 2003). The possibility of producing CLA by Lb. plantarum 8 9 fermentation of less expensive substrate, i.e., castor oil which is currently used in cosmetics, has also been investigated by Ogawa et al. (2005). These authors reported that production of cis,trans-9,11 10 11 CLA could be obtained with a selectivity yield of about 50%. However, the final titer of total CLA produced was much lower (i.e., 7.5 g/L only) as compared with amounts (i.e., 40 g/L) produced by 12 13 using linoleic acid as the substrate. Moreover, pre-treatment with lipases was necessary in order to hydrolize ricinoleic acid from its esters in castor oil (Ogawa et al., 2005). Further application of LAB 14 15 as biocatalysts has also been proposed, e.g., in production of regioselective partially hydrogenated oils (Ogawa et al., 2005). 16

The ability to produce metal-fixing enzymes is a further metabolic feature of LAB which can 17 be exploited for nutraceutical applications (Pessione, 2012). Since several Lactobacillus species can 18 fix intracellularly sodium selenite into selenocysteines they are potential sources of selenium-19 containing molecules which are more bio-available (and therefore more easily adsorbed by human 20 gut cells) than inorganic Se (Calomme et al., 1995). Nowadays, selenocysteine is considered as the 21 21<sup>st</sup> standard amino acid. Selenocysteine is encoded by the UGA codon, which usually corresponds 22 to a STOP codon, but can be recognized by specific Se-cysteine-tRNA in suitable genetic 23 environment and physiological conditions (Pessione, 2012). Selenomethionine is incorporated into 24 proteins non-specifically in place of methionine. In both bacteria and eukaryotic cells, including 25 human cells, several enzymes containing selenocysteines in their active site have been identified so 26 27 far, almost all of which belong to the oxidoreductase class. Glutathione peroxidase, a key enzyme for control of oxidative stress and related diseases in both bacteria and eukarya, is but one of many studied 28 29 proteins in which seleno amino acids are incorporated into the active site. Lamberti and co-workers 30 (2011) have recently identified a selenocysteine lyase in Lb. reuteri. Selenocysteine lyase is a PLP-31 dependent enzyme which is essential for the biosynthesis of new seleno-proteins from selenide 32 (Lacourciere and Stadtman, 1998). Very recently, it has been shown that by growing a Se-fixing LAB 33 strain in sodium selenite-supplemented medium some of the selenium-containing proteins (i.e., containing selenocysteine) were released extracellularly, thus rendering selenium more bioavailable 34

(Galano et al., 2013). This finding has opened new perspectives in both probiotic-nutraceutical
 applications and in industrial production of selenoproteins to be used as food supplements.

Production of B-vitamins, especially folate and riboflavin (B2), by LAB has been another very 3 active research area as described extensively in a recent review (Papagianni, 2012). These vitamins 4 are produced by several LAB species (e.g., Lc. lactis, Lb. gasseri and Lb. reuteri), often in large 5 quantities, and are therefore found in fermented foods (Papagianni, 2012). Moreover, increased 6 7 vitamin biosynthesis has been obtained by metabolic engineering (Burgess et al., 2004; Hugenholtz et al., 2002). Folate biosynthetic genes and riboflavin biosynthetic operon have been overexpressed 8 9 in Lc. lactis leading to strains with significantly increased folate (Hugenholtz et al., 2002) or riboflavin (Burgess et al., 2004) production, respectively. By directed mutagenesis followed by 10 11 selection and metabolic engineering, Sybesma and co-workers (2004) modified the biosynthetic pathways of folate and riboflavin in *Lc. lactis*, resulting in the simultaneous overproduction of both 12 13 vitamins.

14

#### 15 3.2.5. Antimicrobial molecules: LAB bacteriocins

A promising feature of LAB is the production of interference molecules, i.e., bacteriocins. Bacteriocins are proteinaceous compounds (peptides or small proteins), synthesized at the ribosomal level (and not as secondary metabolites) specifically interfering with the growth of other bacteria. They have bactericidal action and are selective for prokaryotes. These compounds have found application both in the food industry, i.e., to counteract both spoilage and pathogenic bacteria, and as antibiotic substitutes to treat bacterial infections in humans and animals (Cotter et al., 2005; 2013; Papadimitriou et al., 2014).

23 LAB are particularly prolific in bacteriocin production and can biosynthesize different types of antagonistic molecules. Due to the urgent necessity of new antimicrobial compounds, research is 24 25 proceeding rapidly, and natural and food environments have been screened thus leading to isolation 26 and characterization of new molecules every year. However, the continuous discovery of new 27 bacteriocins makes it necessary to frequently revise previous classification based on bacteriocin 28 structure, mode and spectrum of action. A very recent and detailed classification has been reported 29 by Papadimitriou and co-workers (2014). Traditionally, bacteriocins were divided into three classes. Class I consists of the lantibiotics, because they contain post-translationally modified amino acids 30 such as lanthionine (i.e., two alanines linked by a sulphur), β-methyl-lanthionine, dehydroalanine and 31 32 dehydrobutyrine. Lantibiotics are thermo-resistant small peptides (19-38 amino acids in length) active mainly against Gram-positive bacteria. They can damage cell-envelope structure and function 33

through different mechanisms, e.g., pore formation and inhibition of peptidoglycan synthesis. The class II bacteriocins are very small (<10 kDa) heat-stable peptides, without extensive posttranslational modifications, although they may contain D-amino acids. The best-known class II "pediocin-like" bacteriocin has a narrow but very specific activity against the food pathogen *Listeria monocytogenes*. Finally, bacteriolysins are large, heat-labile antimicrobial enzymatic proteins causing the lysis of sensitive cells by catalyzing cell-wall hydrolysis.

Although pore formation seems to be a shared property by divergent bacteriocins, we now know that the precise mechanism of bacteriocin action is more complicated than initially suspected. For example, the existence of docking molecules (receptors) that may be necessary for the initial binding of the bacteriocin to the cell surface is now recognized (Hassan et al., 2012), although some bacteriocins like enterocin AS-48, gassericin A, subtilosin A and carnocyclin A can exert their activity without binding to any receptor (Nishie et al., 2012).

Bacteriocins have been applied to the control of spoilage and pathogenic bacteria in food. 13 Since bacteriocins are sensitive to proteases and peptidases, which are often present in the food matrix 14 15 (notably in cheese), it is preferable to purify them and to immobilize them into the food packaging 16 instead of directly adding them into the food itself (Jin et al., 2010). By this approach it is possible to extend the shelf-life of food products by inhibiting the growth of spoilage microorganisms such as 17 18 Bochotrix sp. or Clostridium tyrobutyricum, but also to prevent food-born infections by pathogenic bacteria such as Listeria monocytogenes and Staphylococcus aureus, which are currently responsible 19 20 for 9000 cases of death per year (Lamberti et al., 2014). Furthermore, the use of bacteriocins allows to reduce the use of: i) sugar and salt in food with positive effects on diabetic and hypertensive 21 22 patients; ii) other food preservatives and the need of a constant and stringent cold-chain. Application 23 of bacteriocins in the food domain brings potential benefits for the whole population, from both health 24 and energy-saving aspects.

25 A cutting-edge area of research is the use of bacteriocins as antibiotic substitutes. The list of 26 multidrug resistant (MDR) bacterial strains, causing death chiefly in the hospital environment and more recently triggering severe illnesses in previously non-vulnerable patients in the community, is 27 expanding fast. Nowadays, the number of options to counteract infectious deseases by "traditional" 28 29 antibiotic molecules is progressively lower (Alanis, 2005). The necessity to find new molecules for 30 the treatment of severe infections is crucial (Siegel, 2008). Recent evidence suggests that LAB bacteriocins could provide this function, as supported by findings from animal and human trials (Sang 31 32 and Blecha, 2008). The spectrum of bacteriocin action can vary depending on the species producing them (Montalbán-Lopez et al., 2011). Appreciated features of LAB bacteriocins include their activity 33

at very low concentrations (nanomolar range) and for extended time periods. In spite of the fact that
some of them, like nisin, have been used for several decades in food, no resistant mutants have been
described so far (Nishie et al., 2012). Actually, the rise of naturally appearing bacteriocin-resistant
mutants appears to take place at a very low frequency. For example, in *L. monocytogenes*, nisinresistant mutants appeared at a frequency of 10<sup>-6</sup> to 10<sup>-8</sup> (Harris et al., 1991).

6 Today, the main challenge for bacteriocin use in the treatment of bacterial infections is their 7 proteinaceous nature which renders them active in vitro but sometimes problematic in vivo. When lacticin 3147 from Lc. lactis was exposed to the conditions of the GI tract of pigs it was rapidly 8 deactivated indicating that such lantibiotics may undergo proteolytic degradation like nisin F 9 (Gardiner et al., 2007). Attempts have been made to protect bacteriocins from the action of digestive 10 11 and tissue enzymes (i.e., proteases and peptidases). Recently, van Staden and co-workers (2012) have 12 reported that brushite cement-incorporated nisin F (at concentrations ranging from 1 to 5%) maintained its antimicrobial activity both in vitro and in vivo when implanted in sub-cutaneous 13 14 pockets on the back of mice previously inoculated with S. aureus. No infection could be established and no viable cells of this pathogen could be recovered within a time period of seven days (van Staden 15 16 et al., 2012)

17 Some bacteriocins have proved to be effective against Staphylococcus (e.g. enterocin 96), 18 while others are known to target Str. pneumoniae (e.g. salivaricin D), which is the major cause of pneumonia (Hammami et al., 2013). The purified bacteriocin E 50-52 produced by Enterococcus was 19 20 unable to provide any protection to mice infected with Mycobacterium turbeculosis indicating that it could not reach the mycobacteria intra-cellularly. Conversely, when the bacteriocin was used in 21 22 complex with phosphatidylcholine-cardiolipin liposomes it was able to inhibit mycobacterium within 23 the cells and to prolong the life of infected mice (Sosunov et al., 2007). An in vivo study concerning 24 women affected by staphylococcal mastitis demonstrated that the use of a nisin-containing solution 25 on the infected area for two weeks significantly decreased staphylococcal counts and mastitis symptoms (Fernandez et al., 2008). Mutacin B-Ny266 from the Str. mutans is active against both 26 methicillin resistant Staphylococcus aureus (MRSA) and vancomycin-resistant enterococci (VRE) 27 (Mota-Meira et al., 2005). A number of variants of nisin (i.e., nisin F, Q and Z) which differ by up to 28 29 10 amino acids from nisin A were tested against MRSA and vancomycin-intermediate-resistant (VISA) Staphylococcus aureus, and nisin F proved to be the most effective (Piper et al., 2011). 30

From a biotechnological standpoint important aspects to be considered in view of large-scale application of LAB bacteriocins are yield optimization and genetic modifications to improve both spectrum of activity and protease resistance.
Regarding the yield optimization of bacteriocin, two aspects should be considered: i) time of 1 2 growth providing maximum harvesting and ii) modulation of biosynthesis by environmental/endogenous factors. In most LAB species the highest bacteriocin accumulation occurs 3 at the end of the exponential growth phase, because their production is under quorum-sensing control, 4 i.e., connected to the time in which biomass has reached a threshold number of cells (Park et al., 5 2003). Nevertheless, it has been reported that early bacteriocin production can occur in both Lb. sakei 6 7 (Aasen et al., 2000), Lc. lactis subsp cremoris (Lamberti et al., 2014), and Ent. faecium (Leroy and DeVuyst, 2002). The hypothesis concerning these results is that the low growth rate typical of the 8 9 early logarithmic phase led to a gain of energy and nutrients, higher than that required for biomass 10 generation. This condition can support higher bacteriocin biosynthesis due to better utilization of 11 carbon/energy sources and enhanced ATP availability. However, this is a transitional event, since growth must proceed and hence energy and nutrients are soon directed towards biomass formation, 12 13 and bacteriocin production is then swithched off.

14 Environmental factors modulating bacteriocin synthesis are generally connected with bacterial stress: a fine tuning of bacteriocin production allows reducing the energy costs and 15 16 optimizing yields limiting the synthesis to times of stress (Gillor et al., 2008). Oxygen stress-enhanced bacteriocin biosynthesis in Lb. amylovorus DCE 417 has been reported by Neysens and co-workers 17 (2005). Regarding endogenous factors, it has to be considered that growth rate and biomass yield of 18 the producer strains are not affected during bacteriocin production, since they are immune to their 19 20 own bacteriocins. LAB possess genes that encode immunity mechanisms: among which, it is worth 21 mentioning that most bacteriocins are biosynthesized as biologically inactive precursors containing a 22 leader sequence preventing the bacteriocin from being active while located inside the producing cell. This sequence has to be removed to generate the fully active peptide which is then secreted (Willey 23 and van der Donk, 2007). Protection can also be provided either by specific immunity proteins 24 (Fimland et al., 2005) or by means of a specialized ABC-transport system pumping the lethal 25 molecule outside of the cell (Draper et al., 2009). 26

The strategy of modifying the natural bacteriocins by biotechnological approaches is a promising field of research to obtain more effective molecules. The potential to create salivaricin variants with enhanced resistance to the intestinal protease trypsin has been explored by O'Shea and colleagues (2010; 2013). Eleven variants of the salivaricin P components (a two-component bacteriocin, highly active against *Listeria monocytogenes*), with conservative modifications at the trypsin-specific cleavage sites were created. Eight of such salivaricin P variants were resistant to trypsin digestion while retaining antimicrobial activity. Similarly, in the same research group, Field and co-workers (2012) obtained nisin variants with increased antibacterial activity towards both
Gram-positive and Gram-negative bacteria. An additional bacteriocin feature that has been improved
by genetic engineering is the enhanced capability to diffuse through complex polymers, with useful
applications in the food industry (Rouse et al., 2012).

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## 7 4. Concluding remarks and future perspectives

As early as 2003, Ohara preconized LAB-based biorefineries as among the most promising biotechnological strategies for obtaining high-value molecules and commodity chemicals (Ohara, 2003). Some of the LAB showing the highest potential for biorefinery application are summarized in Table 3, where their main growth characteristics (carbon sources, optimal temperature and pH ranges) are compared with those of other attractive candidates for future industrial fermentation processes.

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16 Since then, significant advances have been achieved in the development of molecular tools for engineering the metabolic pathways of LAB, optimization of fermentation processes, as well as more 17 in depth understanding of enzymes and other biochemical systems and metabolic pathways relevant 18 for industrial application. Nonetheless, at least two major problems need to be solved prior to concrete 19 20 application of LAB in cost-sustainable biorefineries. Complex growth media are currently necessary to complement the limited biosynthetic capacities of LAB for production of amino acids and vitamins, 21 22 which increase both fermentation and product-purification costs. Search for less expensive nutritional supplements with reduced content of impurities than yeast extract is an active field of study (John et 23 al., 2007; Okano et al. 2010a). Alternative fermentation strategies (e.g., co-cultivation with microbial 24 strains supplying essential nutrients) and metabolic engineering are additional tools to resolve or 25 26 reduce such nutrient requirements. Recombinant strategies can address another major issue, namely 27 to expand substrate-metabolization abilities of LAB, thus enabling them to directly ferment (i.e., 28 without the need for exogenous addition of enzymes and/or physico-chemical saccharification pre-29 treatments) cheap and abundant biomass, such as starch and lignocellulose. Recombinant amylolytic 30 LAB showing high yield and productivity have recently been constructed (Okano et al., 2009c).

However, development of recombinant cellulolytic microorganisms is at a much earlier stage, mainly 1 2 because of the more recalcitrant nature of lignocellulose which requires heterologous expression of multiple proteins. To date, relatively few LAB strains have been engineered with single 3 cellulases/hemicellulases that are able to hydrolyze amorphous substrates or grow on short cello-4 oligosaccharides (Table 1). Intensive research is still necessary to fully understand the molecular 5 mechanisms to enable native cellulase systems to hydrolyze crystalline cellulose for rational design 6 7 of efficient minimal enzyme mixtures. Furthermore, genetic engineering strategies need to be improved to promote secretion of sufficient amounts and optimal relative ratios of required multiple 8 9 enzymatic activities in LAB.

10 In the near future research progress will likely lead to the application of natural or engineered 11 LAB strains in biorefineries (Fig. 5). Appropriate LAB strains will be grown in industrial plants on 12 inexpensive biomass (e.g., plant-derived biomass) under fermentation conditions promoting the biosynthesis of bulk commodities and/or high-value products, such as LA, ethanol, butanol, PHAs, 13 polyols, EPS, bacteriocins, molecules with nutraceutical properties (e.g., GABA, CLA, 14 selenoproteins and vitamins). Most of these products will be purified from the cell-free fermentation 15 16 medium by simple and low-cost procedures, while in the case of PHA-related processes, polymers of interest will be extracted and purified from bacterial biomass by a variety of methods as described in 17 detail elsewhere (Dias et al., 2006; Keshavarz and Roy, 2010). Moreover, LAB biomass can be 18 recycled for other fermentation processes or used for probiotic manufacturing or as a protein 19 20 supplement for food and feed applications (Fig. 5). Purified high-value molecules will be used, either directly or after further chemical-physical processing, for a number of applications, some of which 21 22 are illustrated in Fig. 5. Both PHA and polymers of LA (i.e., PLA) can be used for the manufacturing of biodegradable plastics with broad application spectrum, as described above. Furthermore, LAB-23 produced bacteriocins can be used for functionalizing plastic films designed for food packaging, thus 24 obtaining foods with improved safety (i.e., more "recalcitrant" to colonization by pathogenic strains) 25 and longer shelf life (e.g., more "recalcitrant" to colonization by spoilage microorganisms). Among 26 27 other compounds with food application, EPS, polyols and bioactive compounds (e.g., GABA) can be added to food so as to obtain products with improved properties, such as: i) healthier features (i.e., 28 29 containing polyols instead of sugars) and therefore suitable for some pathological conditions (e.g., 30 diabetes); ii) increased organoleptic characteristics, e.g., smoother or creamier by EPS addition; iii) 31 nutraceutical properties, i.e., containing molecules such as GABA and other bioactive amines, CLA 32 or vitamins which will provide additional health benefits to consumers. It is not unrealistic to 33 hypothesize that in the future the same food factory will be able to produce dairy product(s) and bioplastic films for packaging of such food products, possibly functionalized with bacteriocins, by 34

using the same LAB strain(s). Apart from polymerization to PLA, LA can be esterified with ethanol
thus producing a highly sought-after biodegradable solvent, i.e., ethyl lactate. Last but not least, LAB
are currently considered good candidates for production of biofuels such as ethanol and butanol, as
well as for synthesis of formate (as a biofuel precursor) which is a suitable substrate for hydrogenproducing fermentation processes. Hopefully, what is currently an optimistic vision could be realized
in the near future, so that such LAB-based biorefineries will become a relevant option for an
environmentally friendly and cost-sustainable economy.

- 8
- 9 **\*Fig. 5.**
- 10

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- **1** Figure captions
- 2

Fig. 1. Schematic overview of the metabolic pathways enabling LAB to produce some of the most
industrially desired molecules by sugar fermentation. Thin arrows stand for single enzymatic
reactions, while thick arrows stands for multiple reaction pathways. AlaDH, alanine dehydrogenase;
ALS, α-acetolactate synthase; EPS, exopolysaccharides; GAP, glyceraldehyde-3-phosphate; LDH,
lactate dehydrogenase; PDH, pyruvate dehydrogenase; PFL, pyruvate-formiate lyase; PHB,
polyhydroxybutyrate.

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Fig. 2. Diacetyl biosynthetic pathway in *Lc. lactis*. ALDB, α-acetolactate decarboxylase; ALS, αacetolactate synthase; ILVNB, acetohydroxy acid synthase.

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Fig. 3. Examples of effective strategies for improving the production of sweeteners: 1) sorbitol, 2)
mannitol, and 3) L-alanine, from sugars by means of LAB (adapted from Hugenholtz et al., 2011).
Inactivation of LDH (ΔLDH) is a common feature to improve intracellular NADH concentration.
AlaDH, alanine dehydrogenase; Mth1PDH, mannitol-1-phosphate dehydrogenase; Mtl1Pase,
mannitol-1-phosphate phosphatase; Stl6PDH, sorbitol-6-phosphate dehydrogenase; Stl6Pase,
sorbitol-6-phosphate phosphatase.

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Fig. 4. Scheme representing: A) general routes for homo-EPS (i.e., glucans and fructans) biosynthesis
from sucrose; B) model of hetero-EPS biosynthesis in Lc. lactis NIZO (adapted from Welman and
Maddox, 2003). GlysucA, glycansucraseA; GlysucB, glycansucrase B, EpsA, B, D, E, F, G, H, I, J,
and K, gene products involved in EPS biosynthesis; Frt, fructose; Glc, glucose; Gal, galactose; Rha,
rhamnose.

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Fig. 5. Prospects and broad applications of a future LAB-based biorefinery. EPS, exopolysaccharides;
GABA, γ-amino butyric acid; PHA, polyhydroxyalkanoates; PLA, polylactide.






Figure 3

Figure 4



1

Strains	Heterologous protein(s) expressed	Heterologous protein	Improved phenotypic	References		
		expression/secretion	properties of the strain			
		level <sup>a</sup>				
Strains with improved a	mylolytic properties					
Lb. casei BLSJ 03135	α-amylase (AmyA) from Str. bovis 148	≈ 900 U/L (N3-G5-β-CNP) <sup>b</sup>	Not described	Narita et al., 2006		
Lb. plantarum NCIMB	α-amylase (AmyA) from Str. bovis 148	714 U/L (N3-G5-β-CNP) <sup>b</sup>	Growth on raw corn starch	Okano et al., 2009c		
8826 (∆ldhL1)						
Lc. lactis IL 1403	α-amylase (AmyA) from Str. bovis 148	≈ 500 U/L (N3-G5-β-CNP) <sup>b</sup>	Growth on soluble starch	Okano et al. 2007		
Lc. lactis MG1363	α-amylase (AmyS) from Bacillus	600 U/L (amylose azure) <sup>b</sup>	Hydrolysis of starch	Van Asseldonk et		
	stearothermophilus			al., 1993		
Strains with improved ce	ellulolytic properties					
Lb. gasseri ATCC	Cel8A endoglucanase from C. thermocellum	722 U/L (CMC) <sup>b</sup>	Hydrolysis of CMC	Cho et al., 2000		
33323						
Lb. jonhsonii NCK 88	Cel8A endoglucanase from C. thermocellum	759 U/L (CMC) <sup>b</sup>	Hydrolysis of CMC	Cho et al., 2000		
Lb. plantarum strains	Cel8A cellulase from Bacillus sp. N-4	34.24/43.61 U/L (CMC) <sup>b</sup>	Increased silage acidification	Rossi et al., 2001		
B41 and Lp80						
Lb. plantarum Lp80	Cel8A endoglucanase from C. thermocellum	≈ 90 U/L (CMC) <sup>b</sup>	Hydrolysis of CMC	Scheirlinck et al.,		
				1989		
Lb. plantarum NCDO	Cel5E endoglucanase from C. thermocellum	1996 U/L (CMC) <sup>b</sup>	Hydrolysis of CMC	Bates et al., 1989		
1193						
Lb. plantarum NCIMB	Cel8A endoglucanase from C. thermocellum	6.03 U/L (barley β-	Growth on cellohexaose	Okano et al., 2010b		
8826 (∆ldh1)		glucan) <sup>6</sup>				
Lb. plantarum WCFS1	Cel6A endoglucanase from Thermobifida fusca	280 U/L (PASC) <sup>b</sup>	Hydrolysis of sodium	Morais et al., 2013		
			hypochlorite-pretreated wheat			
			straw			
Lc. lactis strains IL1403	Cellulase from Neocallimastix sp.	5.9 U (CMC) <sup>b, c</sup>	Hydrolysis of CMC	Ozkose et al., 2009		
and MG1363						
Lc. lactis HtrA NZ9000	Fragments of CipA scaffoldin from C.	9 x 10 <sup>3</sup> scaffolds/cell <sup>d</sup>	Scaffoldins displayed on the	Wieckzoreck and		
	thermocellum		cell surface	Martin, 2010		
Strains with improved he	emicellulose-metabolizing properties					
Lb. plantarum NCIMB	Transketolase (Tkt) from Lc. lactis IL1403	Not determined	Almost homolactic	Okano et al. 2009a		
8826 (∆ldh1-xpk1)	(replacing endogenous phosphoketolase Xpk1)		fermentation of arabinose			
Lb. plantarum NCIMB	Transketolase (Tkt) from Lc. lactis IL1403	Not determined	Almost homolactic	Okano et al. 2009b		

8826 (Δldh1-xpk1-	(replacing endogenous phosphoketolase Xpk1		fermentation of xylose	
xpk2)	and Xpk2); Xylose isomerase (XyIA) and xylulose			
	kinase (XyIB) from Lb. pentosus NRIC 1069			
Lb. plantarum WCFS1	Xyn11A endoxylanase from Thermobifida fusca	3360 U/L (oat spelt xylan) <sup>b</sup>	Hydrolysis of sodium	Morais et al., 2013
			hypochlorite-pretreated wheat	
			straw	
Lc. lactis MG1316	Xylanase from Bacillus coagulans ST-6	≈87 U/L (xylan) <sup>c</sup>	Hydrolysis of RBB-xylan	Raha et al., 2006

<sup>a</sup>Maximum values reported in each study. Substrates used for determining enzyme activity are indicated in parentheses. CMC, carboxy methyl cellulose; N3-G5- $\beta$ -CNP, 2-chloro-4-nitrophenyl-6<sup>5</sup>-azido-6<sup>5</sup>-deoxy- $\beta$ -maltopentaoside; PASC, phosphoric acid-swollen cellulose

<sup>b</sup>Enzyme activity/protein quantification measured in extracellular fraction

<sup>c</sup>The volume of extracellular extract used in this study was not reported

<sup>d</sup>Proteins displayed on the cell surface

Table 1. Recombinant LAB showing improved amylolytic, cellulolytic or hemicellulolytic properties described in this study.

## new Table 2

Microorganisms	Carbon source	T and pH	Fermentation mode	LA (g/L)	Yield Y <sub>P/s</sub> (g/g)	Productivity (g/L/h)	References
Strains able to ferment wh	ney						
Lb. casei NBIMCC 1013	Whey permeate (+YE)	37°C, pH 6.5	Ca-pectate immobilized cells	≈33	≈0.87	-	Panesar et al., 2007b
Lb. helveticus ATCC 15009	Cheese whey	42°C, pH 5.8	Batch	9.3	0.36	÷.	Tango and Ghaly, 1999
Lb. helveticus milano	Whey permeate (+ CSL)	42°C, pH 5.9	Batch	35	≈ <b>0.5</b>	2.7	Roy et al., 1986
Lb. helveticus milano	Whey permeate (+ YE)	42°C, pH 5.9	Continuous fermentation (dilution rate 0.35 h <sup>-1</sup> ), Ca- alginate entrapped cells	-	-	9.7	Roy et al., 1987
Lb. helveticus milano	Whey permeate powder (+ YE)	42°C pH 5.9	Batch	-	-	5.4	Amrane and Prigent, 1998
Lb. helveticus R211	Whey permeate (+YE)	42°C, pH 5.5	Continuous fermentation, K-carrageenan/locust bean gum immobilized cells	-	-	19-22	Schepers et al., 2006
Lb. salivarum ssp.	Whey	30°C, pH 6.5	Batch	≈ <b>1</b> 0	-	0.2	Vasala et al.,
salicinius	Whey (+ protease enzymes)			≈50	-	0.9	2005
	Whey (+ proteolytic Bacillus megaterium)			≈50	-	0.8	
Strains able to ferment me	plasses						
Ent. faecalis RKY1	Molasses (+ YE)	38°C, pH 7.0	Batch	95.7	0.95	4.0	Wee et al., 2004
Lb. delbrueckii C.E.C.T. 286	Beet molasses	49°C, pH 5.9	Batch	-	0.91	-	Monteagudo et al., 1997
Lb. delbrueckii	Beet molasses	45°C, pH 6.0	Batch	61	0.96	-	Göksungur and
IFO3202			Batch, Ca-alginate immobilized cells	59	0.90	-	Güvenç, 1999
Lb. delbrueckii JCM 1148	Sugarcane molasses	40°C, pH 6	Batch (fermenter)	107	0.9	1.48	Calabia and Tokiwa, 2007
Lb. delbrueckii NCIMB 8130	Sucrose (+ YE + CaCO₃)	45°C	Batch	88.4	0.97	-	Kotzanmanidis et al., 2002
Lb. lactis NCIM 2368	Hydrolyzed cane sugar	42°C, pH 7	Batch	81	-	1.68	Joshi et al., 2010
RM2-24	Molasses			70	-	1.45	

Lc. lactis ssp. cremoris	Molasses	37°C, pH 6.8	Stirred ceramic membrane	46	-	15.8	Ohashi et al.,
IFO3427			reactor perfusion				1999
Strains able to ferment sta	archy substrates						
Lb. amylophilus GV6	Soluble starch	37°C, pH 6.5	Batch	-	0.90	-	Vishnu et al.,
	Corn starch			-	0.82	-	2000
Lb. amylophilus GV6	Soluble starch	37°C, pH 6.5	Batch	9.6	0.96	-	Vishnu et al.,
	Corn starch			8.8	0.94	-	2002
	Potato starch			8.7	0.92	-	
	Sorghum starch grain			11.6	0.92	-	
	Cassava starch grain			14	0.92	-	
	Barley starch grain			11.3	0.90	-	
	Rice starch grain			13	0.91	-	
	Wheat starch grain			11.7	0.93	-	
Lb. amylophilus GV6	Starch (+ red lentil and	37°C, pH 6.5	Batch	12.2	0.92	-	Altaf et al., 2005
	baker's yeast)						
Lb. manihotivorans LMG	Starch	35°C, pH 6.0	Batch (fermenter)	12.6	0.67	0.5	Guyot et al., 2000
18010T							
Lb. plantarum A6	Cassava raw starch	30°C, pH 6.0	Batch (fermenter)	41	0.9	-	Giraud et al.,
							1994
Lb. plantarum A6	Synthetic brown juice	40°C	Batch (fermenter)I	14.2	-	≈ <mark>0.59</mark>	Thomsen et al.,
				5			2007
Str. bovis 148	Raw starch	37°C, pH 6.0	Batch (fermenter)	14.7	0.88	-	Narita et al., 2004
Strains able to ferment lig	nocellulosic substrates						
Ent. faecalis RKY1	Barley	38°C, pH 7.0	Batch (fermenter)	-	0.94	0.88	Oh et al., 2005
	Wheat			-	0.93	0.81	
	Corn			-	0.94	0.51	
Lactobacillus sp. RKY2	Oak wood chip hydrolyzate (+	36°C, pH 6	Continuous cell recycle	42	0.95	6.7	Wee and Ryu,
	CSL)		(dilution rate 0.16 h <sup>-1</sup> )				2009
Lb. amylophilus GV6	Wheat bran	37°C	Solid state fermentation	-	0.42	-	Naveena et al.,
							2005b
Lb. brevis S3F4	Corn stover hydrolysate	30°C	Batch	18.2	0.74	0.76	Guo et al., 2010
	Corncob hydrolysate			39.1	0.69	0.81	
Lb. casei + Lb.	Protease-treated wheat bran	37°C	Batch	123	0.95	2.3	John et al., 2006
delbrueckii							

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Lb. delbrueckii mutant	Sugarcane bagasse cellulose	42°C, pH 6	Batch (Simultaneous	67	0.83	0.93	Adsul et	al.,
Uc-3			saccharification and				2007a	
			fermentation)					
Lb. delbrueckii mutant	Cellobiose	42°C, pH 6.5	Batch	90	0.9	2.25	Adsul et	al.,
Uc-3	Cellotriose			1.7	0.85	-	2007b	
Lb. pentosus CECT-	trimming vine shoots	31°C, pH 6.5	Batch	46	0.78	0.933	Bustos et	al.,
4023T	hydrolyzate						2005	
Lb. pentosus CECT-	Barley bran hydrolysate	31°C, pH 6.0	Batch (fermenter)	33	0.57	0.60	Moldes et	al.,
4023T	Corncob hydrolysate	]		26	0.53	0.34	2006	
	Trimming vine shoot	1		24	0.76	0.51	]	
	hydrolysate							
	Detoxified Eucalyptus			14.5	0.70	0.28	]	
	globulus hydrolysate							
Lb. rhamnosus ATCC	Recycled paper sludge	37°C, pH 5.5	Batch (Simultaneous	73	0.97	2.9	Marques et	al,
7469			saccharification and				2008	
			fermentation)					
Lb. rhamnosus LA-04-1	Wheat bran hydrolysate (+	42°C, pH 6.25	Batch (fermenter)	-	0.87	1.68	Li et al., 2010	b
	CSL)							
Lc. lactis IO-1 JCM 7638	Xylose	-	-	33	0.60	-	Doran-Peters	on
							et al., 2008	
Lc. lactis IO-1 JCM 7638	Sugarcane bagasse	37°C	Batch	10.9	-	≈ 0.17	Laopaiboon e	et al.,
							2010	
Leu. lactis	Hydrolyzed xylan	37°C	Batch	2.3	-	-	Ohara et al., 2	2006

Table 2. Natural and mutant (i.e., not-engineered) LAB used to ferment lignocellulosic, molasses, starchy and whey substrates described in this study. CSL, corn steep liquor; YE, yeast extract

## new Table 3

	Carbon source	Lb. brevis	Lb. hilgardii	Lb. kunkeei	Lb. plantarum	Ped. damnosus	Ped. parvulus	Ped. pentosaceus	Saccharomyces cerevisiae	Scheffersomyces stipitis (Pichia stipitis)	Candida shahatae	Kluyveromyces marxianus	Escherichia coli (FBR2)	Zymomonas mobilis (Zm4)
	Arabinose	+	_	_	v	-	-	+	_	-	+	+	+	+
uo	Fructose	+	+	+	+	+	+	+	+	+	+	+	+	+
zati	Lactose	V	v	_	+	_	_	V	_	-	_	_	_	+
Itili	Mannitol	-	-	+	+	-	-	-	-	-	-	-	-	_
n n	Maltose	+	+	_	+	V	+	+	+	+	+	+	+	+
arbo	Melezitose	-	v	-	+	V	Ι	-	-	-		-	-	-
alc	Ribose	+	+	_	+	—	-	+	_	-	-	-	-	—
atur	Sucrose	v	v	+	+	v	_	-	+	+	+	+	+	+
Ž	Trehalose	-	-	_	+	+	v	+	+	+	+	-	_	_
	Xylose	V	+	_	v	—	-	v	-	+	+	+	+	+
h range	Temperature	26– 45°C	20– 40°C	26– 40°C	26– 40°C	20– 35°C	26– 39°C	26– 40°C	<44°C	26– 35°C	10– 40°C	<40°C	<49°C	27– 37.5°C
Growt	pH	3-4	4-5	4-5	4-6	4-8	<b>4-</b> 7	4-8	3.0- 8.0	4.0-7.5	3.0-7.5	4.8- 6.3	4.8- 6.3	5.5-6.8

Table 3. Comparison of potential biorefinery organisms and several LAB species from the genera *Lactobacillus* and *Pediococcus* for their growth conditions and carbon source utilization (Buschke et al., 2013, Charalampopoulos et al., 2002, Gaspar et al., 2013, Gibbons and Hughes, 2009, Vos et al., 2011). v, varies among strains.