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Effects of Intranasal Oxytocin on Long-Term Memory in Healthy Humans: a Systematic Review

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Two Tables, one Figure

ABSTRACT

Neuropeptide oxytocin is implicated in complex emotional and social behavior and it appears to play an important role in learning and memory. Animal studies have shown that the effects of exogenous oxytocin on memory vary according to the timing of administration, context, gender and dose and it may improve the memory of social, but not non-social stimuli. Oxytocin is intricately involved in a broad array of neuropsychiatric functions and may therefore be a pharmacological target for several psychiatric disorders. This review summarizes the potential effects of oxytocin on long-term memory processes in healthy humans. The effects of intranasal oxytocin on human memory is controversial and the studies included in this review have applied a variety of learning paradigms, in turn, producing variable outcomes. Specifically, data on the long-term memory of non-emotional stimuli found no effect or even worsening in memory, while studies using emotional stimuli showed an improvement of long-term memory performance. In conclusion, this review identified a link between long-term memory performance and exogenous intranasal oxytocin in humans, although these results still warrant further confirmation in large, multicenter randomized controlled trials.

Keywords: learning, endogenous oxytocin, encoding, recognition, recall

INTRODUCTION

Long-term memory refers to the ability to encode, store, retain and subsequently recall information and past experiences [Gabrieli 1998]. In particular, recognition of and discrimination between conspecifics are essential behavioral components in the repertoire of memory for social stimuli in animals such as mammals, to enable appropriate social interactions. Findings from animal and human studies point unequivocally to the involvement of oxytocin in the development and maintenance of attachment and affiliative behavior [Lim and Young 2006]. Beside its essential role for mammalian parturition and lactation, oxytocin is implicated in complex emotional and social behavior and appears to play a role in both learning and memory [Gulpinar and Yegen 2004; Heinrichs et al. 2004]. Memory impairment is a particularly relevant issue for pharmacological research, since it may be associated with many psychiatric and neurological disorders [Hill et al. 2013; Koen and Yonelinas 2014; Petersen et al. 2014; Rock et al. 2014].

Oxytocin is a neuropeptide mainly synthesized in the brain's hypothalamic para-ventricular and supra-optic nuclei by magnocellular neurons, which is processed along the axonal projections to the posterior lobe of the pituitary [Ludwig and Leng 2006]. This neuropeptide can act on different brain areas as a neuromodulator, playing a role in several neurophysiological processes and behavior [Stoop 2012], such as feeding, anxiety, aggression, social recognition and stress response [Hashimoto et al. 2012]. Oxytocin is intricately involved in a broad array of neuropsychiatric functions and may be a common factor playing a vital role in multiple psychiatric disorders such as autism, schizophrenia, mood and anxiety disorders [Cochran et al. 2013].

Recently, the interest around oxytocin has grown, and this neuropeptide has been claimed to be a potential pharmacological target for the treatment of behavioral and cognitive symptoms in mental disorders [Chini et al. 2014; Gumley et al. 2014; Meyer-Lindenberg et al. 2011; Okamoto et al. 2016]. Central oxytocin receptors have been found in various brain regions crucial for information processing and memory, including hippocampus, striatum, amygdala, hypothalamus,

nucleus accumbens and midbrain [Gimpl and Fahrenholz 2001]. Animal studies showed that exogenous oxytocin may have both promnestic and amnestic effects depending on timing of delivery, context, gender and dose [Bielsky and Young 2004; Chini et al. 2014]. In particular, the amnestic properties of oxytocin may have an important role in the context of specific reproductive conditions [Heinrichs et al. 2004].

Whereas the effects of oxytocin on memory have been thoroughly investigated in animals, research on humans is relatively limited. Studies reporting temporary verbal episodic memory impairment in women during pregnancy and post-partum [Brett and Baxendale 2001] concluded that oxytocin may have an important biological function by inhibiting acquisition of aversive experiences during labor [Heinrichs et al. 2004]. Inferences from the effects of oxytocin cannot, however, be drawn based exclusively on correlational data of endogenous physiological concentrations. More direct evidence can be obtained examining exogenous administration of oxytocin and its consequences on behavior and cognition. A recent review on studies in healthy participants administered oxytocin using a nasal spray, reported a variety of behavioral and cognitive effects in social decision making and in processing social stimuli [Macdonald and Macdonald 2010].

Despite data showing that intranasal administration of oxytocin could increase cerebrospinal fluid levels of the neuropeptide in humans [Striepens et al. 2013], the bio-availability of exogenous intranasal oxytocin in the brain remains an open question, and more work is needed to clarify this key point [Leng and Ludwig 2016; Valstad et al. 2016]. This review provides a detailed summary of the evidence of the effects of exogenous intranasal oxytocin on long-term memory in healthy human adults.

METHODS

Inclusion/exclusion criteria

We included; (1) original research studies, (2) conducted on healthy adults participants, (3) with the administration of intranasal oxytocin (single or repeated dose), which (4) provided at least one

outcome of long-term memory and (5) were published between January 1980 and June 2016. We decided to select exclusively studies in which participants received oxytocin intranasally, since this is the most common method for oxytocin administration in human studies. Furthermore, articles published in languages other than English, as well as animal studies, reports of secondary data such as meta-analyses, reviews or letters were also excluded.

Search strategy and selection of the studies

The electronic database Medline (PubMed) was investigated for records which either contained the items “Oxytocin” in the title and “memory”, “retrieval”, “encoding” or “recognition” in the title or abstract. Only English-language articles and studies on human subjects were selected with a date range restricted to 1980-2016 (see Figure 1, Table 1 and Table 2). Abstracts were reviewed and all relevant original research articles were considered in detail, including a review of references from each publication to identify additional sources. References and “cited by” information of identified articles were further scanned, but no additional studies were found. Articles were then chosen by two independent reviewers based on the relevance and aim of the review, study design, quality of study and overall manuscript quality.

To focus on the impact of oxytocin on long-term memory and emotional processing, as reported in previous studies [Guastella and MacLeod 2012; Macdonald and Macdonald 2010], we identified two main categories of research: a) studies on the effect of oxytocin on long-term memory of non-emotional stimuli (e.g., non-emotional words, non-emotional objects, non-emotional neutral faces) and b), studies on the effect of oxytocin on the long-term memory of emotional stimuli (e.g., emotional words, emotional faces, emotional pictures).

RESULTS

In total, 18 articles published between 1980 and 2016 fitted the criteria and were included in the systematic review (see Figure 1). A total of 939 participants were enrolled in the selected studies

(87% males, 13% females).

Impact of oxytocin on long-term memory performance using non-emotional stimuli

The first studies that examined the effects of exogenous intranasal oxytocin on human long-term memory used non-emotional stimuli (i.e., words or neutral faces) (see Table 1). In the first study, two different long-term memory tests were administered during and after the assumption of oxytocin: a paired-associate word task, which required the subject to learn and recall 10 word-pairs and a neutral face picture matching task [Ferrier et al. 1980]. The authors found that the ability to recall word pairs decreased after learning, and markedly so in later recall, while no effects were detected for the picture matching task. Subsequently, Fehm-Wolfsdorf et al. [1984] demonstrated that oxytocin administered during the memory retrieval phase, but not before the encoding phase, decreased memory performance [Fehm-Wolfsdorf et al. 1988]. Bruins et al. [1992] reported that oxytocin administered before learning a word-list, reduced initial storage (i.e., correctly remembered words after the initial presentation) and the rate of storage (i.e., number of trials to recall words at least once) for verbal material in healthy young men, but no difference was detected for delayed recall.

Furthermore, Heinrichs et al. [2004] showed that in a long-term memory test, oxytocin significantly impaired recall performance compared with placebo treatment, irrespective of the meaning of the words used. Herzmann et al. [2012] directly contrasted the effects of oxytocin on the recognition of non-emotional stimuli (neutral faces and houses), showing a moderate memory impairment for both type of stimuli. In the study by Blandòn-Gitlin et al. [2014], participants received intranasal solutions of oxytocin or placebo before encoding viewing white and black neutral faces or during recognition. Only if oxytocin was administered before encoding, did it increase black neutral faces recognition, eliminating own-race bias. Finally, Bate et al. [2015] reported that participants' overall memory performance was not affected by oxytocin, but it elicited a positive response bias, i.e., participants were more likely to make false-positive recognition errors.

In summary, studies on intranasal oxytocin effects on long-term memory for non-emotional stimuli either found a reduction of performance or no effects, with the exception of a single study that showed that performance improved, revealing a reduction of own-race memory bias during a face recognition task [Blandon-Gitlin et al. 2014].

Impact of oxytocin on long-term memory performance using emotional stimuli

More recent research has examined the impact of oxytocin on long-term memory performance using emotional stimuli such as faces with emotional expressions, emotional pictures and emotional words (see Table 2). Guastella et al. [2008] explored the effect of oxytocin administered before the encoding phase on memory of faces displaying neutral and emotional expressions (happiness or anger). During an incidental recognition test, they found oxytocin enhancement of accuracy in a recognition memory task and familiarity ratings for happy faces, but not for neutral or angry faces. In contrast, Savaskan et al. [2008] administered a single dose of oxytocin to volunteers to test post-learning phase oxytocin effects on recognition of emotional faces. Their main result was that oxytocin improved identity recognition memory and reduced the false alarm rate selectively for faces with a neutral expression or an angry expression, although this effect was absent for happy faces. Interestingly, in a study by Di Simplicio et al. [2009], it was found that the promnesic effects of oxytocin on emotional material was also found for the memory recall of words with positive valence.

Administering oxytocin during encoding, Rimmele et al. [2009] compared the effects of oxytocin on the recognition of emotional faces (i.e. social stimuli) and non-social pictures, showing an improvement of accuracy selectively for emotional faces regardless the gender and the valence. In a study by Striepens et al. [2012], participants were scanned using functional MRI (fMRI), during the encoding of aversive and neutral picture stimuli selected from the International Affective Picture System [Bradley and Lang 2007] after receiving either oxytocin or a placebo. Twenty-four hours later, on an incidental free recall task, the authors observed an increase of accuracy for

aversive stimuli related to left insula activations and increased functional coupling between the left amygdala, left anterior insula, and left inferior frontal gyrus.

A unique study that revealed gender differences in the effect of oxytocin in memory tasks was published by Herzmann et al. [2013], in which event-related potentials (ERPs) were recorded during the learning and recognition phase, while investigating neural correlations of oxytocin's responses to memory encoding and retrieval of own and other-race emotional faces. Exogenous intranasal oxytocin increased the accuracy of familiarity judgments in both gender groups and neural correlations for this effect in ERPs related to memory encoding and retrieval were observed. In contrast, an impairment of recollection judgments was found selectively for male participants.

Weigand et al. [2013] investigated the influence of a single administration of oxytocin before the encoding phase of two doses of oxytocin before encoding and before the retrieval phase on recognition memory for neutral, positive and negative social scenes taken from the International Affective Picture System [Bradley and Lang 2007]. This study showed that only the administration of repeated administrations of oxytocin significantly improved memory accuracy for negative social stimuli.

In Cardoso and Collaborators [2014], participants completed the Autobiographical Memory Test [Williams and Broadbent 1986] after the assumption of 24 or 48 IU of oxytocin. They found that only the 24 IU dose increased the number of specific personal memories recalled. These in turn promoted the recall of social affiliation memories that were rated more positively.

Finally, two studies applied an associative memory task that included either emotional (smiling and angry faces) or non-emotional (green and red lights) feedback [Hu et al. 2015; Hurlemann et al. 2010]. Subjects' learning performance improved when emotional rather than non-emotional feedback was provided [Hu et al. 2015; Hurlemann et al. 2010]. fMRI data showed that during the response phase, oxytocin selectively increased activity in the amygdala, hippocampus, para-hippocampal gyrus and putamen and functional connectivity between the amygdala and insula and caudate [Hu et al. 2015]. In summary, studies on intranasal oxytocin effects on long-term

memory performance using emotional stimuli found an improvement in memory, while no studies showed any worsening of memory performance.

DISCUSSION

In this review, we have summarized the heterogeneous literature reporting intranasal oxytocin changes for long-term memory performance on healthy human subjects. The literature showed contradictory results and research designs of studies which varied widely in the methodologies used (memory and learning paradigms), therefore producing variable outcomes. The studies included here were difficult to compare due to limited oxytocin dosage range, single versus repeated administrations and stimulation protocols administered at different timings. Furthermore, a large variability of long-term episodic memory measurements was also found. These included verbal episodic memory paradigms (i.e., word-learning tasks), non-verbal memory tasks (i.e., facial or picture recognition), autobiographical memory test and associative memory task. Moreover, mainly male participants were recruited, limiting the interpretation of our findings to a male-predominant population. Interestingly, one study did show a gender difference in oxytocin response on memory abilities [Herzmann et al. 2013], in line with previous research, demonstrating that oxytocin affects social cognition aspects do differ for males and females [Domes et al. 2007a; Domes et al. 2007b; Domes et al. 2010; Macdonald and Macdonald 2010; Marsh et al. 2010].

Overall, certain studies demonstrated induced worsening of episodic memory, others showed no effect at all on memory, while other research found an improvement of memory depending on the type of task used. We found that the common distinction between memory for social and non-social stimuli was not completely satisfactory to explain the inconsistent findings of these study results. We therefore suggest a preliminary distinction between non-emotional or emotional material administered, indicating that while an oxytocin amnesic effect or no effect can be found for non-emotional stimuli (verbal, non-verbal, social or non-social) an oxytocin promnesic effect was detected systematically for emotional stimuli.

Interestingly, in a recent study by Feifel et al. [2012] investigating the effects of three weeks of daily intranasal administrations of oxytocin in patients with schizophrenia, a beneficial effect on long-term verbal memory for non-emotional stimuli was reported. Previous studies found that the differential effects of oxytocin may depend on individual characteristics, showing that they may be more pronounced in subjects with impaired cognitive abilities, emotion dysregulation and with higher score of alexithymia [Luminet et al. 2011; Quirin et al. 2014; Weigand et al. 2013]. Moreover, nasal sprays are limited in terms of controlling dosing and absorption and consequently of drug response [Chini et al. 2014; Guastella and MacLeod 2012].

Only one study, using a non-emotional facial stimuli, found any improvement of memory performance, i.e., decreased own-race bias [Blandon-Gitlin et al. 2014]. As the authors suggest, we might consider that this type of material which did not consider any facial expression, cannot be considered exactly non-emotional. This is because emotions may play an important role when the participant has to recognize other race faces, as demonstrated in the functional MRI study by Cunningham, in which amygdala activity increased more in response to black compared to white faces [Cunningham et al. 2004].

Regarding emotional stimuli, a general improvement of memory performance was detected, provoking an improvement of overall accuracy or reduction of certain types of errors or specific biases. Oxytocin did enhance memory performance for emotional face expressions, pictures and words when administered before the study phase of a long-term memory experiment. It also enhanced memory performance when administered after the study phase, suggesting that oxytocin might not enhance only encoding but also consolidation processes.

It remains unclear whether the effects of oxytocin spray could have been more specific to limited types of expressions (e.g., happy, angry) or to specific valence categories (positive vs. negative). Furthermore, it is worth noting that it is difficult to distinguish whether improvements of memory performance for emotional tasks are directly related to a memory process enhancement or are due to a primary improvement of emotion recognition abilities observed in some research. This

may increase the salience of material and decrease the cognitive load of the learning process [Macdonald and Macdonald 2010].

To sum up, oxytocin's effects on memory can be summarized into a complex picture where facilitation or interference effects are dependent on the phase and dose of peptide administration (pre- vs. post-memory encoding) and the nature of the stimuli used (emotional vs. non-emotional). Although we have identified a link between memory performance and oxytocin in humans, conclusions that can be drawn are only tentative and need to be explored further. Oxytocin is intricately involved in a broad array of neuropsychiatric functions and it is a new pharmacological target for many trials on psychiatric disorders [Cochran et al. 2013].

We believe that in light of these considerations, clinical trials on patient populations using repeated administrations of exogenous intranasal oxytocin, should investigate all the possible amnesic and promnesic effects of the neuropeptide. Several studies have shown that long-term human memories are influenced by the emotion experienced during learning [Buchanan 2007; Phelps 2004]. Further studies need to elucidate oxytocin's effects on memory describing the effects as a function of emotional salience of the learning material and the underlying neural mechanisms. In particular, more information is needed to determine the mechanisms of the oxytocin peptide on learning and memory processes in order to assess whether oxytocin has any direct effect on the synaptic plasticity involved in long-term potentiation and/or long-term depression of synaptic transmission or on other neuromodulators.

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