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**This is the author's manuscript**

*Original Citation:*

*Availability:*

This version is available <http://hdl.handle.net/2318/1635222> since 2019-05-03T14:26:37Z

*Published version:*

DOI:10.1080/10717544.2017.1303856

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(Article begins on next page)

This is the author's final version of the contribution published as:

Gigliotti, Casimiro Luca; Ferrara, Benedetta; Occhipinti, Sergio; Boggio, Elena; Barrera, Giuseppina; Pizzimenti, Stefania; Giovarelli, Mirella; Fantozzi, Roberto; Chiocchetti, Annalisa; Argenziano, Monica; Clemente, Nausicaa; Trotta, Francesco; Marchiò, Caterina; Annaratone, Laura; Boldorini, Renzo; Dianzani, Umberto; Cavalli, Roberta; Dianzani, Chiara. Enhanced cytotoxic effect of camptothecin nanosponges in anaplastic thyroid cancer cells in vitro and in vivo on orthotopic xenograft tumors. DRUG DELIVERY. 24 (1) pp: 670-680.  
DOI: 10.1080/10717544.2017.1303856

The publisher's version is available at:

<https://www.tandfonline.com/doi/pdf/10.1080/10717544.2017.1303856>

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**Enhanced cytotoxic effect of Camptothecin nanosponges in anaplastic thyroid cancer cells *in vitro* and *in vivo* on orthotopic xenograft tumors**

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**Word Counts: 5102**

## **Abstract**

Anaplastic carcinoma of the thyroid (ATC) is a lethal human malignant cancer with median survival of 6 months. To date, no treatment has substantially changed its course, which makes urgent the need for development of novel drugs or novel formulations for drug delivery. Nanomedicine has enormous potential to improve the accuracy of cancer therapy by enhancing availability and stability, decreasing effective doses and reducing side effects of drugs.

Camptothecin (CPT) is an inhibitor of DNA Topoisomerase-I with several anticancer properties but has poor solubility and a high degradation rate. Previously, we reported that CPT encapsulated in  $\beta$ -cyclodextrin-nanosponges (CN-CPT) increased solubility, was protected from degradation and inhibited the growth of prostate tumor cells both *in vitro* and *in vivo*. The aim of this study was to extend that work by assessing the CN-CPT effectiveness on ATC both *in vitro* and *in vivo*.

Results showed that CN-CPT significantly inhibited viability, clonogenic capacity and cell cycle progression of ATC cell lines showing a faster and enhanced effect compared to free CPT. Moreover, CN-CPT inhibited tumor cell adhesion to vascular endothelial cells, migration, secretion of pro-angiogenic factors (IL-8 and VEGF- $\alpha$ ), expression of  $\beta$ -PIX, belonging to the Rho family activators, and phosphorylation of the Erk1/2 MAPK.

Finally, CN-CPT significantly inhibited the growth, the metastatization and the vascularization of orthotopic ATC xenografts in SCID/beige mice without apparent toxic effects *in vivo*. This work extends the previous insight showing that  $\beta$ -cyclodextrin nanosponges are a promising tool for treatment of ATC.

## **Keywords**

Camptothecin,  $\beta$ -cyclodextrin-nanosponges, anaplastic thyroid carcinoma, cell migration, cell adhesion.

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## **Introduction**

Thyroid cancers are the most common tumors of endocrine origin and their incidence has increased globally over the past 10 years (Jemal et al., 2011). They derive from follicular and para-follicular cells and most of them are differentiated papillary and follicular carcinomas, while 1% of cases are partly differentiated or undifferentiated and classified as anaplastic thyroid carcinoma (ATC). Most differentiated carcinomas display slow progression and are effectively treated with thyroidectomy and radioiodine ablation (Broecker-Preuss et al., 2015). By contrast, ATC progression is extremely rapid and no effective systemic therapy has been established so that the overall survival level is only 13% (Gilliland et al., 1997). At time of diagnosis, ATC often display an advanced stage of development, local invasion of the trachea, esophagus, blood vessels and muscles, and development of distant metastases to the mediastinum, lung, liver, bone and brain (Phay et al., 2013; Chen et al., 2014; Mirrielees et al., 2014; Varinot et al., 2014). Risk factors of thyroid cancers include environmental and genetic factors, exposure to ionizing radiation, and pre-existing thyroid neoplasia (Campanella et al., 2014). Genetic alterations that contribute to thyroid carcinoma include point mutations of BRAF and RAS (Fukushima & Takenoshita, 2005; Marotta et al., 2011); translocations involving RET/PTC1, RET/PTC3 and PAX8/PPAR- (Romei & Elisei, 2012; Raman & Koenig, 2014), and alterations of the DNA methylation pattern (Ragazzi et al., 2014; Faam et al., 2015).

To date, no effective therapies are available for ATC, which are currently treated with trivalent therapies including surgery, chemotherapy and radiotherapy resulting in an increased median survival of only 5 months (Wein & Weber, 2011; Parenti et al., 2014).

Camptothecin (CPT) is a pentacyclic alkaloid isolated from the bark of *Camptotheca Acuminata*, (Wall et al., 1996). It quickly enters into cells and exerts anti-tumor activity by blocking topoisomerase-1 (TOP-1) in a specific and reversible manner. Unfortunately, it is weakly soluble in water and undergoes spontaneous and rapid inactivation at neutral pH by the opening of its six member lactone E ring. Therefore, it requires a prolonged infusion, so that the complex TOP-1 is

kept in place long enough to allow the induction of DNA damage (Fassberg & Stella, 1992; Chourpa et al., 1998). Moreover, its dosage and anti-tumor efficacy are limited by severe side effects, such as severe myelosuppression accompanied by prolonged diarrhea, fever, nausea and vomiting (Basili & Moro, 2009). The main water-soluble derivatives of CPT are the Irinotecan (Compostar®) and Topotecan (Hycamtin®).

To overcome problems in administering the drug, we previously investigated the antineoplastic effects of CPT- loaded into nanosponges of  $\beta$ -cyclodextrin (CN-CPT) (Gigliotti et al., 2016a). Results showed that CN-CPT increased solubility, was protected from degradation and inhibited the growth of prostate tumor cells both in vitro and in vivo to a higher extent than free CPT. Cyclodextrin nanosponges are novel biocompatible polymer nanoparticles obtained by cross-linking of cyclodextrins (Subramanian et al., 2012), which are cyclic oligosaccharides consisting of multiple  $\beta$ -D-glucopyranose units linked together by an  $\alpha$ -1,4 bond and include  $\alpha$ ,  $\beta$  and  $\gamma$  cyclodextrins carrying six, seven or eight glucopyranose units, respectively.  $\beta$ -cyclodextrin is the most widely used for nanosponge production because of its high capability to encapsulate drugs (Torre et al., 2013; Trotta et al., 2014).

The aim of this study was to extend that work by assessing the CN-CPT effectiveness on ATC both in vitro and in vivo. Results showed that CN-CPT inhibited the growth of ATC cell lines both in vitro and in vivo to a higher extent than free CPT. Moreover, it inhibited tumor cell adhesion to endothelial cells and migration which suggest that it may be effective also to inhibit tumor metastatic dissemination.

## **Methods**

### **Materials**

CPT was purchased from Sigma-Aldrich (Sigma-Aldrich, St Louis, MO, USA).  $\alpha$ -Cyclodextrins ( $\alpha$ -CDs) were a gift from Roquette (France). CD nanosponges (CNs) cross-linked at 1:4 molar ratio with carbonyldiimidazole were prepared as described previously (Swaminathan et al., 2010). All reagents were of analytical grade. Laboratory reagents were from Sigma-Aldrich unless otherwise specified. Cell culture reagents were purchased from Gibco/Invitrogen (Life Technologies, Paisley, UK) except where otherwise indicated.

### **Preparation of CPT in solution and camptothecin-loaded nanosponges (CN-CPT)**

To prepare the CPT solution, about 1 mg of CPT was dissolved in 1 mL of dimethylsulfoxide (DMSO): water mixture (1:1, w/w) at pH = 5.5. A further dilution was carried out using 0.9 % NaCl solution at pH = 5.5 containing 30 % of DMSO.

To load CPT in CNs, 4 mg of CPT were added to an aqueous suspension of CNs in a ratio of 1:4 (drug to CN by weight) at pH 5.5 and stirred for 24 h in the dark. The aqueous suspension was then centrifuged at 8000 rpm for 10 min to separate the free drug, not incorporated, as a solid residue below the colloidal supernatant. The colloidal supernatant was freeze-dried to obtain drug-loaded nanosponges as a powder. This powder can be stored at 4 °C until use.

A weighed amount of freeze-dried CN-CPT was dispersed in a sterile aqueous solution at pH 6.0 containing 0.9 % NaCl and 3% polyethylene glycol (PEG)-400 w/v under stirring to obtain an isotonic aqueous nanosuspension containing 100  $\mu\text{g}\cdot\text{mL}^{-1}$  of CPT for the in vivo administration. For the free CPT formulation, a weighed amount of CPT was dissolved in a DMSO: water mixture (1:1, w/w) at pH = 5.5 and then diluted with a sterile aqueous solution at pH 6.0 containing 0.9 % NaCl and 3 % PEG-400 w/v to obtain a 100  $\mu\text{g}\cdot\text{mL}^{-1}$  concentration. The quantitative determination of CPT concentration in the formulations was evaluated by HPLC (Swaminathan et al., 2010).

CN-CPT sizes and polydispersity indices were measured by dynamic light scattering using a 90 Plus particle sizer (Brookhaven Instruments Corporation, USA) equipped with MAS OPTION particle sizing software. The measurements were made at a fixed angle of 90 ° for all samples. The samples were suitably diluted with filtered distilled water for every measurement. Zeta potential measurement was then carried out using an additional electrode in the same instrument. For zeta potential determination, samples were diluted with 0.1 mM KCl and placed in the electrophoretic cell, where an electric field of about 15 V/cm was applied.

The *in vitro* release was carried out using multi-compartment rotating cells with a dialysis membrane (Sartorius, cut off 12,000 Da). The donor phase consisted of CPT-nanosponge formulation in phosphate buffer at pH 7.4 (1 ml). The receiving phase consisted of phosphate buffer, pH 7.4. The receiving phase was completely withdrawn and replaced with fresh medium after fixed time intervals, suitably diluted and analyzed using the HPLC method described before.

CN-CPT showed an average diameter of about 350 nm, a polydispersity index of 0.11 and a negative surface charge with a zeta potential value of  $\pm 27.4$  mV. The *in vitro* release kinetics of CPT from nanosponge formulation was slow and prolonged over time, reaching 15.5 % after 24 h.

## Cells

Human umbilical vein endothelial cells (HUVEC) were isolated from human umbilical veins by collagenase treatment (1%) and cultured in M199 medium with the addition of 20% fetal calf serum (FCS) 100 UI·mL<sup>-1</sup> penicillin, 100 g·mL<sup>-1</sup> streptomycin, 5 UI·mL<sup>-1</sup> heparin, 12 g·mL<sup>-1</sup> bovine brain extract and 200 mM glutamine. HUVEC were grown to confluence in flasks and used from the second to the fifth passage. Use of HUVEC was approved by the Ethics Committee of the Presidio Ospedaliero Martini of Turin and conducted in accordance to the Declaration of Helsinki. Written informed consent was obtained from all donors.

The study was performed on two ATC cell lines, BHT-101 and CAL-62. Cells were purchased from Deutsche Sammlung von Mikroorganismen and Zellculturen (Braunschweig, Germany), which

certifies the origin and identity of the cells. The cell lines were grown in culture dishes as a monolayer in RPMI 1640 medium plus 10% FCS, 100 U·mL<sup>-1</sup> penicillin, and 100 g·mL<sup>-1</sup> streptomycin at 37 °C in a 5% CO<sub>2</sub> humidified atmosphere (Schweppe et al., 2008).

### **Cell viability assay**

CAL-62 and BHT-101 (1x10<sup>3</sup>/well) were seeded in 96-well plates and incubated at 37 °C, 5% CO<sub>2</sub>, for 24 h. Then, cells were treated with different concentrations of CN-CPT or CPT (2x10<sup>-10</sup>- 2x10<sup>-8</sup>M). After 24-48 h of incubation, viable cells were evaluated by 2,3-bis[2-methoxy-4-nitro-5sulphophenyl]-2H-tetrazolium-5carboxanilide (MTT) inner salt reagent at 570 nm, as described by the manufacturer's protocol. The controls (i.e. cells that had received no drug) were normalized to 100%, and the readings from treated cells were expressed as % of viability inhibition. Eight replicates were used to determine each data point and five different experiments were performed.

### **Colony-Forming assay**

CAL-62 and BHT-101 cells (800/well) were seeded into six-well plates and treated with the compounds (10<sup>-10</sup>-10<sup>-8</sup>M). The medium was changed after 72 h and cells were cultured for additional 10 days. Subsequently, cells were fixed and stained with a solution of 80% crystal violet and 20% methanol. Colonies were then photographed and counted with a Gel Doc equipment (Bio-Rad Laboratories, Hercules, CA, USA). Then, cells were washed and 30% acetic acid were added to induce a complete dissolution of the crystal violet. Absorbance was recorded at 595 nm by a 96-well-plate ELISA reader. Five different experiments were performed.

### **Cell adhesion assay**

HUVEC were grown to confluence in 24-well plates. Then, they were pre-treated with increasing concentrations of CPT or CN-CPT (10<sup>-11</sup>-10<sup>-8</sup>M) for 24 h and washed twice with fresh medium. The tumor cells (1x10<sup>5</sup> cells/well) were seeded and left to adhere with HUVEC for 1 h, as previously

reported (Minelli et al., 2012a; Minelli et al., 2012b). Unattached tumor cells were washed away and the number of adherent cells was evaluated by the Image Pro Plus Software for micro-imaging (Media Cybernetics, version 5.0, Bethesda, MD, USA). Viability of the unattached cells was evaluated by the Trypan Blu test. Data are shown as percentage of the inhibition of treated cells versus the control adhesion measured on untreated cells; the control adhesion was  $48 \pm 4$  cells per microscope field ( $n = 6$ ) for BHT-101 cells and in a similar range ( $44 \pm 5$  cells) for CAL-62 (mean $\pm$ SEM) (Dianzani et al., 2010).

### **Cell motility assay**

In the wound healing assay, after starvation for 18-24 h in serum free medium, cells were plated onto six-well plates ( $10^6$  cell/well) and grown to confluence. Cell monolayers were wounded by scratching with a pipette tip along the diameter of the well, and they were washed twice with serum-free medium before their incubation with culture medium in the absence or presence of CPT or CN-CPT ( $10^{-8}$ M) and mitomycin C ( $50 \text{ g}\cdot\text{mL}^{-1}$ , Sigma-Aldrich). In order to monitor cell movement into the wounded area, five fields of each wound were photographed immediately after the scratch (0 h) and after 24 h (Gigliotti et al., 2016a; Dianzani et al., 2014).

In the Boyden chamber (BD Biosciences, San Jose, CA, USA) invasion assay, cells (8000) were plated onto the apical side of  $50 \text{ }\mu\text{g}\cdot\text{mL}^{-1}$  Matrigel-coated filters (8.2 mm diameter and  $0.5 \text{ }\mu\text{m}$  pore size; Neuro Probe, Inc.; BIOMAP snc, Milan, Italy) in serum-free medium with or without increasing concentration of the drugs ( $2\times 10^{-9}$ - $2\times 10^{-8}$ M). Medium containing 20% FCS was placed in the basolateral chamber as a chemo attractant. After 24 h, cells on the apical side were wiped off with Q-tips. Cells on the bottom of the filter were stained with crystal-violet and counted (five fields of each triplicate filter) with an inverted microscope. Data are shown as percentages of the inhibition of treated cells versus the control migration measured on untreated cells. Control migration was  $52 \pm 4$  cells per microscope field ( $n = 5$ ) for BHT-101 cells and  $66 \pm 5$  for CAL-62. (Occhipinti et al., 2013).

### **ELISA assay**

CAL-62 or BHT-101 cells ( $1 \times 10^5$ /well) were plated in 24-well plates and treated with CPT or CN-CPT ( $10^{-11}$ - $10^{-8}$ M) for 48 h. CPT and CN-CPT were replenished every 24 h (48 h culture: 24 + 24 h) without changing the culture medium. Cell-free supernatants were collected and concentrations of Interleukin-8 (IL-8), Vascular Endothelial Growth Factor  $\alpha$  (VEGF- $\alpha$ ), and Angiopoietin 2 were measured by ELISA according to the instructions of the manufacturers (IL-8, eBioscience, San Diego, CA, USA; VEGF- $\alpha$  and Angiopoietin 2, R&D Systems, Minneapolis, MN, USA). Absorbance was detected with a microplate reader (Bio-Rad Laboratories), and the Excel program was used to calculate the standard curve.

### **Protein extraction and Western blot analysis**

Cells were seeded into six-well plates and treated for 48 h with CPT or CN-CPT ( $10^{-8}$ - $10^{-9}$ M). CPT and CN-CPT were replenished every 24 h (48 h culture: 24 + 24 h) without changing the culture medium. Cells were then lysed in a buffer composed of 50 mM Tris-HCl pH 7.4, 150 mM NaCl, 5 mM EDTA, 1% sodium deoxycholate, 1% Nonidet P-40, phosphatase and a protease inhibitor cocktail. Cell lysates were cleared from insoluble fractions by high speed centrifugation, and protein concentration was determined with a commercially available kit (Bio Rad Laboratories), and measured with a spectrophotometer (Jasco Analytical Instruments, Easton, MD, USA). Proteins (40  $\mu$ g) were loaded on 10% SDS-PAGE gels and transferred onto nitrocellulose membranes after electrophoresis. These were blocked by incubation for 1 h at room temperature with 5% nonfat milk dissolved in TBS-Tween 20. The membranes were then probed overnight with antibodies to  $\alpha$ -PIX (AdipoGen International, San Diego, CA, USA), phospho-Erk1,2 (Santa Cruz Biotechnology, Dallas, TX, USA), or  $\alpha$ -actin (Sigma-Aldrich) and, after 3 washes, incubated for 1 h with the HRP-conjugated secondary antibody (Sigma-Aldrich). Bands were detected by chemiluminescence, and densitometric analysis was performed with the Multi-Analyst software (version 1.1, Bio-Rad Laboratories).

### **Cell cycle analysis**

Cells ( $1.5 \times 10^5$ ) were treated with CPT or CN-CPT as reported above. After 48 h, adherent and non-adherent cells were collected, washed in PBS and fixed in 75% ice cold ethanol and subsequently resuspended in a buffer containing  $0.02 \text{ mg} \cdot \text{mL}^{-1}$  RNase A (Worthington Biochemical Corporation, Lakewood, NJ, USA),  $0.05 \text{ mg} \cdot \text{mL}^{-1}$  propidium iodide (BD Biosciences), 0.2% v/v Nonidet P-40, 0.1% w/v sodium citrate. Samples were analyzed with a FACSCalibur cytometer (BD Biosciences).

### **Annexin V staining and caspase-3 activity**

Cells ( $1.5 \times 10^5$ ) were treated with CPT or CN-CPT as reported above. After 48 h, they were stained with annexin V using the Annexin V Fluos kit (Beckton Dickinson) and analyzed by flow cytometry. Live cells were those not displaying shrunken/hypergranular morphology and unstained by Annexin V. Caspase-3 activity was assessed in cell lysates using a fluorimetric assay (MBL, Watertown, MA, USA) following the manufacturer's instructions.

### **In vivo animal models and tumor growth**

Animal studies were performed in accordance with EU and institutional guidelines approved by the Bioethics Committee for Animal Experimentation of the University of Turin, Italy (Prot. No. 4.2/2012) using NOD-SCID IL2R<sup>null</sup> (NSG; 10/11-week-old female) mice, bred under sterile conditions in our animal facilities. Animals were anesthetized with intramuscular injection of Zoletil® (Zolaxepan and Tiletamina) and Rompun® (Xylazina). CAL62 cells were harvested from subconfluent cultures by trypsinization and washed in PBS. Then, cells ( $10^6$  cells in  $10 \mu\text{l}$ ) were injected into the right thyroid lobe under surgical sterile conditions and tumors were allowed to grow during the following 10 days. Mice were then randomized into three groups receiving twice weekly intravenous injection of PBS (control group, n=5) or  $1 \text{ mg} \cdot \text{kg}^{-1}$  CPT (n=7) or  $1 \text{ mg} \cdot \text{kg}^{-1}$  CN-CPT (n=7).

Mice were weighed twice weekly and sacrificed when the animals appeared moribund. Tumor growth velocity (Tv) was determined using the formula:  $Tv = V / \text{days from cells injection to excision}$ .

Tumors and lungs were fixed in 10% formalin and paraffin embedded. Four serial sections/tumor were obtained and processed for immunohistochemistry using an automated slide processing platform (Ventana BenchMark XT AutoStainer, Roche) and a mouse monoclonal anti-human Ki-67 (Clone MIB-1) or a rabbit polyclonal anti-mouse CD31 (Abcam, Cambridge, UK) antibodies. Sections of lungs were stained with Hematoxylin and Eosin (H&E).

Ki-67 positive cells were heterogeneously distributed throughout the tumor. The Ki67 labeled nuclei were evaluated in the tumor areas where these markers were predominant (hot spots) using a digital camera (Olympus Q-colour 3) with area-based image analysis software (Dot-Slide 1.2 version). Ki-67 expression was calculated as the ratio between the labeled and the total nuclear areas. Only nuclei with a strongly positive label were counted. The ten fields with the highest density of positive nuclei were captured. A mean of 3,000 tumor cells per case (range, 2,000-63,800) was counted. Tumor microvessel density (TMD) was measured by evaluating the CD31-positive area and total tumor area per field upon after slide scan of the immunostaining as previously described (Gigliotti et al., 2016a; Passaro et al., 2016).

### **Data analysis**

Data are shown as mean±standard error of the mean (SEM). Statistical analyses were performed with GraphPad Prism 5.0 software (San Diego, CA, USA). For the in vivo experiments, the results are expressed as the median with interquartile range. One way ANOVA was performed, followed by Tukey's multiple comparison post-test when needed. Kaplan-Mayer survival curves were evaluated with the Log Rank Mantel-Cox test. Only P values < 0.05 were considered to be significant.

## Results

### CN-CPT inhibits cell proliferation in vitro

We compared the ability of CN-CPT and free CPT to inhibit the growth of BHT-101 and CAL-62 in vitro. Cells were cultured in the presence and absence of titrated amounts ( $2 \times 10^{-10}$  -  $2 \times 10^{-8}$  M) of each compound for 24-48 h and the amount of viable cells was then assessed by the MTT assay. Figure 1 shows that CN-CPT inhibited the growth of both cell lines to a higher extent than CPT. The effect was concentration- and time-dependent with small differences between the two cell lines. The different effect of the two compounds was detectable in terms of timing, maximal inhibition, and effective doses.

To validate these findings, we performed clonogenic survival assays. Cells were seeded onto 6-well plates and treated with titrated doses ( $10^{-10}$ - $10^{-8}$ ) of each compound. The culture medium was changed after 72 h, and cells were cultured for 10 additional days in the absence of the compounds. Results showed that treatment with CN-CPT inhibited the ability to form colonies of both cell lines to a higher extent than CPT (supporting information, Figure S1). The effect was concentration-dependent with small differences between the two cell lines. The different effect of the two compounds was detectable in terms of effective doses but not in terms of maximal inhibition that was similar at the highest dose.

To assess whether inhibition of cell proliferation induced by CN-CPT affected cell cycle progression, we analyzed the cell cycle in CAL-62 and BHT-101 cells cultured in the presence and absence of titrated amounts of CN-CPT or CPT ( $10^{-9}$  and  $10^{-8}$  M) for 48 h. Results showed that both doses of CN-CPT induced a substantial accumulation of cells in S phase compared to the untreated control in both cell lines. This effect was exerted also by CPT but only at the highest dose (Figure 2).

To assess whether inhibition of cell proliferation induced by CN-CPT involved cell death, we analyzed Annexin V staining, detecting both apoptotic and necrotic cells, and caspase 3 activation,

detecting apoptosis, in CAL-62 and BHT-101 cells cultured in the presence and absence of titrated amounts ( $10^{-9}$  and  $10^{-8}$  M) of CN-CPT or CPT for 48 h. Results showed that CN-CPT induced higher Annexin V staining and caspase 3 activation than CPT in both cells lines (Figure 3).

### **CN-CPT inhibits cell adhesion and migration in vitro**

Adhesion of tumor cells to the vascular endothelium and their release into the bloodstream is a key step for metastasis formation (Ma & Waxman, 2008). Therefore, we performed in vitro experiments comparing the effect of CN-CPT and CPT on adhesion to HUVEC and motility of tumor cells.

In the adhesion experiments, HUVEC were pretreated for 24 h with titrated doses ( $10^{-11}$  and  $10^{-8}$  M) of CN-CPT and CPT, washed, and used for adhesion assays with CAL-62 and BHT-101 cells. Results showed that CN-CPT inhibited adhesion of both cell lines at higher levels than CPT. The effect was concentration-dependent with small differences between the two cell lines. The different effect of the two compounds was detectable in terms of both effective doses and maximal inhibition (Figure 4 A-B). The difference was not due to an effect on cell viability since cells were still alive after the 24 h incubation with the drug.

Cell motility was initially assessed using a wound healing assay evaluating cell random migration. A linear scratch was done in confluent monolayers of CAL-62 and BHT-101 cells, which were then cultured in FCS-free medium to minimize cell proliferation in the presence or absence of CN-CPT and CPT ( $10^{-8}$  M). Analysis of the cell ability to migrate into the scratch after 24 h showed that CN-CPT inhibited adhesion of both cell lines at higher levels than CPT (supporting information, Figure S2). Then, cell motility was assessed using a Boyden chamber assay assessing directional migration of cells. CAL-62 and BHT-101 cells were seeded in the upper chamber of a Boyden chamber in serum-free medium in the presence or absence of titrated doses ( $2 \times 10^{-9}$  and  $2 \times 10^{-8}$  M) of CN-CPT and CPT and allowed to migrate for 24 h toward the lower chamber containing medium with and without 20% FCS, used as a chemoattractant. Results showed that CN-CPT inhibited cell migration at higher levels than CPT in both cell lines (Figure 4 C-D). The CN-CPT effect was concentration-

dependent with small differences between the two cell lines, whereas CPT displayed some effect on CAL-62 cells but it was almost ineffective on BHT-101 cells.

In both migration assays, doses and timing of treatments minimized the possible confounding effects due to the drug effect on cell growth.

### **CN-CPT inhibits VEGF- $\alpha$ and IL-8 secretion in vitro.**

Since ATC cells express high levels of pro-angiogenic molecules (Passaro et al., 2016; Jayasooriya et al., 2011), we evaluated the effect of the drugs on secretion of VEGF- $\alpha$ , IL-8, and Angiopoietin 2. CAL-62 and BHT-101 cells were incubated with titrated doses ( $10^{-11}$ - $10^{-8}$  M) of CN-CPT or CPT for 48 h. Then, secretion of VEGF- $\alpha$ , IL-8, and Angiopoietin 2 was evaluated by ELISA in the culture supernatants. Results showed that the ATC cells produced a substantial amount of these factors and CN-CPT inhibited the secretion of VEGF- $\alpha$  and IL-8 compared to the free drug at the same concentrations (supporting information, Figure S3). No differences were found for Angiopoietin 2 secretion (data not shown).

### **CN-CPT inhibits $\beta$ -PIX expression and ERK<sub>1,2</sub> phosphorylation in vitro**

In order to investigate the mechanisms underlying CN-CPT mediated inhibition of cell proliferation, adhesion, and migration, we evaluated the effect of the drug on expression of  $\beta$ -PIX, involved in rearrangement of the cytoskeleton and cell migration, and on Erk1,2 phosphorylation involved in signaling of multiple surface receptors (Kim et al., 2013; Occhipinti et al., 2013; Dianzani et al., 2014; Stevens et al., 2014; Gigliotti et al., 2016b). CAL-62 and BHT-101 cells were incubated with titrated doses ( $10^{-9}$  and  $10^{-8}$  M) of CN-CPT or CPT for 48 h, lysed, and analyzed for  $\beta$ -PIX expression and Erk1,2 phosphorylation by Western blot. Results showed that CN-CPT inhibited  $\beta$ -PIX expression and Erk1,2 phosphorylation at higher levels than CPT in both cell lines (Figure 5).

### **In vivo studies**

We compared the effect of CN-CPT and CPT tumor growth and metastatic dissemination in NSG mice injected orthotopically in the thyroid lobe with CAL-62 cells and treated 10 days later with twice weekly injections of PBS, CPT or CN-CPT in the tail vein. Results showed that the overall survival of mice treated with CN-CPT was higher than that displayed by mice injected with either PBS or CPT (Figure 6A). In particular, median survivals of mice treated with PBS, CPT and CN-CPT were 28, 25 and 38 days, respectively. The difference of survival between the CN-CPT group and the control group was significant ( $P = 0.0422$ , log-rank test).

Analysis of tumor growth velocity showed that CN-CPT significantly inhibited the growth velocity of orthotopic anaplastic thyroid carcinoma xenografts compared to the control group, whereas CPT had no effect (Figure 6B).

Analysis of lung metastases showed that CN-CPT significantly inhibited development metastases compared to the control group whereas CPT had no effect (Figure 6C).

Histologic analysis of the primary tumor showed that, in control mice, the tumors displayed diffuse necrosis whereas, in CN-CPT-treated mice, tumors displayed decreased necrosis, and in CPT-treated mice an intermediate picture. Immunohistochemical staining, performed 34 days after tumor challenge showed that Ki-67<sup>+</sup> cells were homogeneously distributed in the tumor mass in PBS- and CPT-treated mice, whereas, they were concentrated at the invasive edge in the peripheral area of the tumor, but rare in the centre of the tumor, in CN-CPT treated mice (Figure 6D). This enrichment of Ki67<sup>+</sup> cells was detected also at 51 days after tumor challenge (Figure 6E). To assess the effects on tumor angiogenesis, we stained the tumor sections for CD31 and evaluated the TMD. Results showed that treatment with CN-CPT substantially decreased the TMD compared with untreated mice and CPT-treated mice, whereas CPT had no effect (Figure 6 F).

All treatments were well tolerated by the animals without significant weight loss in any group.

## **Discussion**

ATC is a highly aggressive tumor with a poor prognosis and the long-term survival is extremely rare. To date, available therapies do not significantly improve the survival of patients and have only a palliative effect (Patel & Shaha, 2006; Cornett et al., 2007).

CPT belongs to the drug category of inhibitors of DNA TOP-1 by specifically blocking TOP-1 during the cleavage reaction of DNA and preventing repair of the single-strand breaks. This effect

produces blocking of cells in the S phase of the cell cycle, conversion of DNA breaks from single to double helix and death of cells by apoptosis (Huang et al., 2000; Desai et al., 2001; Minelli et al., 2012a). Several studies demonstrated CPT activity in tumors of various origins, but CPT did not reach clinical use because of its numerous side effects. Moreover, its use is restricted by poor solubility and stability at physiological pH, at which CPT undergoes spontaneous inactivation due to opening of the E ring, decreased bioavailability and enhanced side effects. Several strategies have been described to improve the CPT activity and to decrease the side effects in several type of cancers (Acevedo-Morantes et al., 2013; Xie et al., 2016; Yang et al., 2016).

Previously, we have reported that CN-CPT displays increased solubility, is protected from degradation and displays an enhanced inhibitory effect on prostate tumor cells both in vitro and in vivo (Fassberg & Stella, 1992; Chourpa et al., 1998; Minelli et al., 2012a; Minelli et al., 2012b; Gigliotti et al., 2016a).

This work extends those observations to ATC showing that CN-CPT displays increased inhibitory effects in vitro on cell proliferation, as assessed by the MTT and clonogenic assay, and increased ability to block the cell cycle into the S phases and to induce apoptosis. These effects may be ascribable to the inhibitory activity of CPT on TOP1. Moreover, a role may be played also by inhibition of ERK 1,2 phosphorylation since constitutive activation of MAPK signalling is involved in cell survival and proliferation in several cancers, including thyroid cancer (Perri et al., 2015; Lim & Cha, 2011). It is noteworthy that inhibitors of the MAPK pathway may increase the efficacy of radioiodine therapy in cancer with BRAF mutations (Knauf & Fagin, 2009).

The in vitro experiments demonstrated that CN-CPT also shows increased ability to inhibit endothelial cells adhesiveness to ATC cells and migration of ATC cells, similarly to what we previously showed for prostate cancer cells. This activity might be related to the ability of CN-CPT to downmodulate expression of  $\beta$ -Pix involved in negative regulation of formation of focal adhesions, which may enhance formation of lamellipodia and cell motility (Kim et al., 2013; Occhipinti et al., 2013; Dianzani et al., 2014; Stevens et al., 2014).

The *in vivo* experiments using the SCID xenograft orthotopic model implanted with CAL-62 cells substantially supported these findings since treatment with CN-CPT was more effective than the free drug in improving mice survival and decreasing tumor growth and metastatization at a dose ( $1 \text{ mg}\cdot\text{kg}^{-1}$ ) that did not display any substantial side effects.

Beside the effect on cell proliferation, apoptosis, adhesion and migration, the anti-tumor CN-CPT activity may also involve inhibition of tumor neoangiogenesis as suggested by the CN-CTP ability to inhibit VEGF- $\alpha$  and IL-8 secretion in ATC cells lines *in vitro* and tumor vascularization *in vivo*. We previously showed that CN-CPT, but not free CPT, inhibits proliferation and migration of endothelial cells, proteolytic degradation of the extracellular matrix, and in several *in vitro* models of angiogenesis, inhibited tumor neoangiogenesis in mouse models of prostate cancer (Gigliotti et al., 2016a). Solid tumors cannot grow beyond a certain size, generally  $1\text{-}2 \text{ mm}^3$ , without being supported by tumor neoangiogenesis (Li et al., 2012), that plays a key role also for metastatic dissemination of cancer cells. Another point is that the higher *in vivo* activity of CN-CPT compared to free CPT may be partly due to its ability, displayed by most nanoparticles, to accumulate in tumors because of the enhanced permeation and retention effect across the atypical highly fenestrated blood vessels of the tumor (Wang & Thanou, 2010).

The greater size of the necrotic core detected in the tumors of the control group compared to those of the CN-CPT treated group may be ascribed to the faster growth of the former that may prevent adequate nutrition of the tumor core. The finding that the tumors of CN-CPT treated mice contained a high density of Ki67<sup>+</sup> cells at the invasive edge suggests that the anti-proliferative activity of the drug has limited effects in this area of the tumor. However, the CN-CPT effect might be potentiated by combination of CN-CPT with surgery and radiation, since the multimodality approach represents the standard treatment of choice in ATC (Denaro et al., 2013) and the striking CN-CPT effect on tumor metastatization may be crucial to implement the effect of those therapies. The safety (Gigliotti et al., 2016a) and the cheap cost of CN-CPT are also to be considered.

## **Conclusion**

In conclusion, the antineoplastic activity of CN-CPT may result from the combination of the antiproliferative effect associated with the increase of apoptosis in the tumor cells, the inhibition of migration, invasion and metastatization, and, ~~possibly~~, the inhibition of the neoplastic neovascularisation. Therefore, the anticancer activity of CN-CPT, without evident toxicity, opens up therapeutic perspectives for the ATC, which doesn't respond to conventional therapy. Translational and clinical studies will ultimately determine the clinical utility and safety of CN-CPT, used alone or in combination with other chemotherapies, as an option for the treatment of this kind of tumor.

## **Declaration of interest**

The authors report no conflicts of interest. This work was supported by the University of Turin 2014 (ex 60% by G.B., R.C., and C.D.), by Fondazione Amici di Jean, and Associazione Italiana Ricerca sul Cancro (IG 14430, AIRC, Milan).

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### **Figure Captions**

Figure 1 Inhibition of cell viability following CPT or CN-CPT treatments. CAL-62 (A, B) or BHT-101 (C, D) cells ( $1 \times 10^3$ /well) were treated with the indicated concentrations of drug for 24-48 h. Results are expressed as % of viability inhibition of control and shown as mean  $\pm$  SEM ( $n = 5$ ). \* $p < 0.05$ , \*\* $p < 0.01$  significantly different from the same concentration of CPT.

Figure 2 Induction of cell cycle arrest by CPT or CN-CPT treatment. CAL-62 (A, B) and BHT-101 (C, D) cells ( $1.5 \times 10^5$ ) were treated or not with CPT or CN-CPT ( $10^{-8}$  and  $10^{-9}$  M) for 48 h and cell

cycle was then assessed by flow cytometry. CPT and CN-CPT were replenished every 24 h (48 h culture: 24 + 24 h) without changing the culture medium. Graphs show: (A, C) the % of cells in each cycle phase detected in one representative experiment, (B, D) the % of cells in S phase cycle expressed as means  $\pm$  SEM ( $n = 3$ ). Each experiment was performed in triplicate. §§  $p < 0.01$ , significantly different from untreated cells; \* $p < 0.05$ , \*\* $p < 0.01$ , significantly different from treated cells at the same drug concentration.

Figure 3 Proportions of Annexin-V positive cells and levels of caspase-3 activity after CPT or CN-CPT treatment. Annexin-V positive cells (A, B) and caspase-3 activity (C, D) was evaluated in CAL-62 (A, C) and BHT-101 (B, D) cells cultured for 48 h in the presence or absence of CPT or CN-CPT. CPT and CN-CPT were replenished every 24 h (48 h culture: 24 + 24 h) without changing the culture medium. Results are expressed as % of Annexin V positive cells and relative caspase activity %, calculated as result displayed by each treatment / the results displayed by untreated cells ( $n = 5$ ). § $p < 0.05$ ; §§ $p < 0.01$ , significantly different from untreated cells; \* $p < 0.05$ ; \*\* $p < 0.01$ , significantly different from treated cells at the same drug concentration.

Figure 4 Effect of CPT and CN-CPT on cells adhesion and cell migration of CAL-62 and BHT-101 cell lines. (A,B) HUVEC were treated or not treated with CPT or CN-CPT for 24 h, washed and used in the adhesion assay with untreated CAL-62 (A) and BHT-101 (B) cells ( $1 \times 10^5$ /well). The data are presented as percentage of inhibition of the adhesion of treated cells compared to control (untreated cells). Each experiment was performed in triplicate. Data shown are means  $\pm$  SEM ( $n = 5$ ). \* $p < 0.05$ ; \*\* $p < 0.01$  significantly different from the same concentration of CPT. (C,D) In the Boyden chamber assay, CAL-62 (C) and BHT-101 (D) cells were plated onto the apical side of Matrigel-coated filters in the presence and absence of either CPT or CN-CPT, and FCS 20% was loaded in the basolateral chamber as a chemotactic stimulus. Data are expressed as mean  $\pm$  SEM ( $n$

= 5) of the percentage of inhibition versus control migration  $**p < 0.01$  significantly different from the same concentrations of CPT.

Figure 5 Effect of CPT and CN-CPT on  $\beta$ -PIX expression and Erk1,2 phosphorylation in CAL-62 (A), and BHT-101 (C). Cells were treated with CPT or CN-CPT ( $10^{-8}$  and  $10^{-9}$  M) for 48 h. CPT and CN-CPT were replenished every 24 h (48 h culture: 24 + 24 h) without changing the culture medium. The same blots were probed with anti  $\beta$ -actin antibody as a control. (A, C): Western blot analysis from a representative experiment. (B, D): Densitometric analysis of  $\beta$ -PIX expression and Erk1,2 phosphorylation expressed in arbitrary units; data are expressed as means  $\pm$  SEM ( $n = 3$ ) and shown as % of the controls.  $\$p < 0.05$  significantly different from untreated cells;  $*p < 0.05$  significantly different from treated cells at the same concentration.

Figure 6 Treatment with CN-CPT delays the growth of orthotopic anaplastic thyroid carcinoma xenografts *in vivo*. CAL-62 cells ( $1 \times 10^6$ ) were injected into the right thyroid lobe of NSG mice. Mice were injected with PBS (○,  $n = 5$ ), CPT (●,  $n = 7$ ) or CN-CPT (□,  $n = 7$ ) at day 10 after tumor challenge. (A) Kaplan-Meier survival analysis of untreated and treated mice.  $*p < 0.05$  compared to the PBS-treated group. Graphs show: (B) tumor velocity and (C) the number of metastasis in the lung for each group, expressed as median and interquartile range.  $*p < 0.05$ ;  $***p < 0.001$  compared to the PBS-treated group and CPT-treated group. (D) Representative immunohistochemical staining for Ki-67 of tumor sections from mice treated with PBS, CPT or CN-CPT sacrificed at day 34 after tumor challenge. (E) Ki-67 staining of tumor section from a mouse treated with CN-CPT and sacrificed at day 51 after tumor challenge. (F) Graph shows the tumor microvessel density (TMD) determined as the percentage of CD31-positive area on the tumor sections. Three randomly selected areas from three tumors from each group were analysed; data are expressed as median and interquartile ranges.  $***p < 0.001$  compared to the PBS-treated group and CPT-treated group.

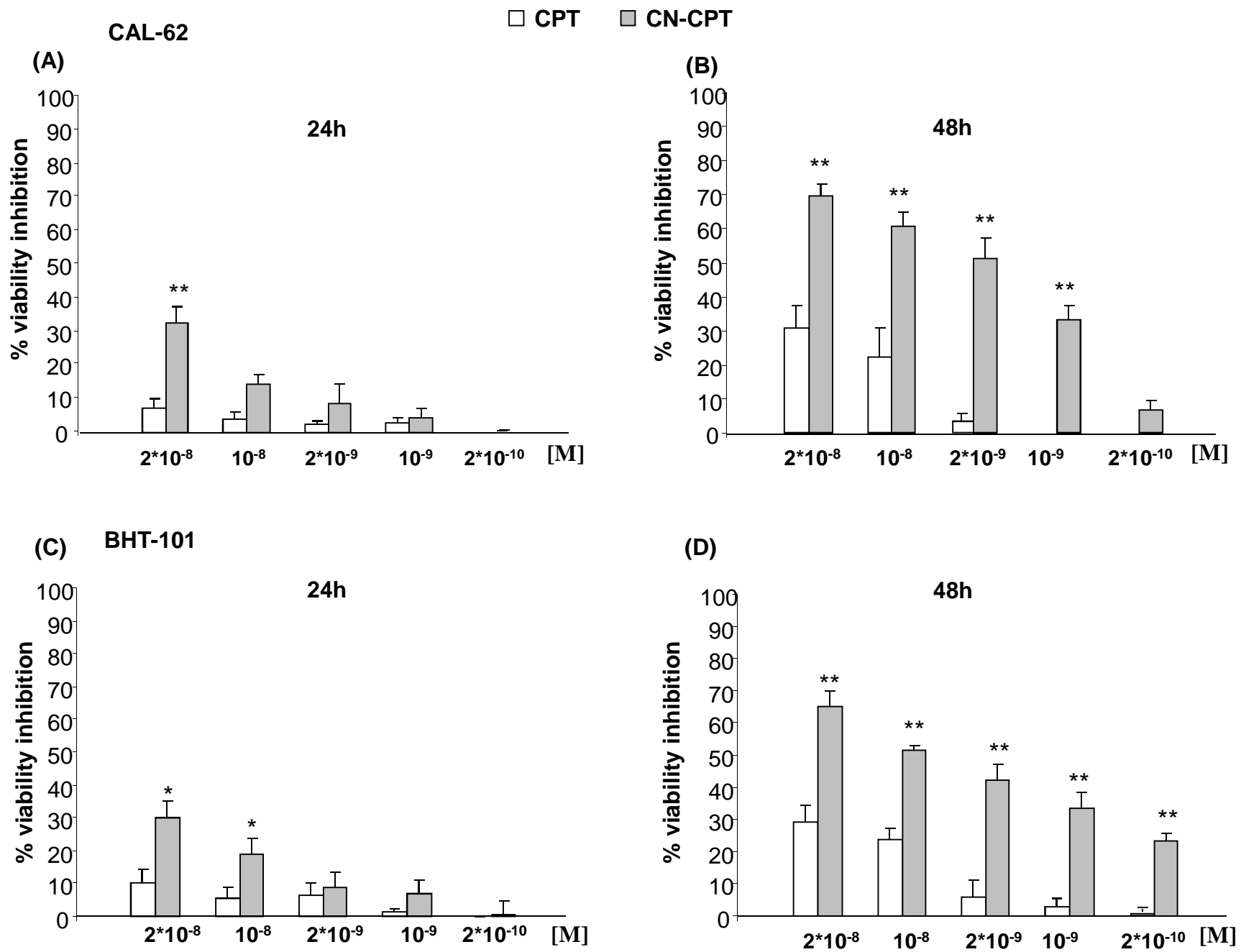


Figure 1

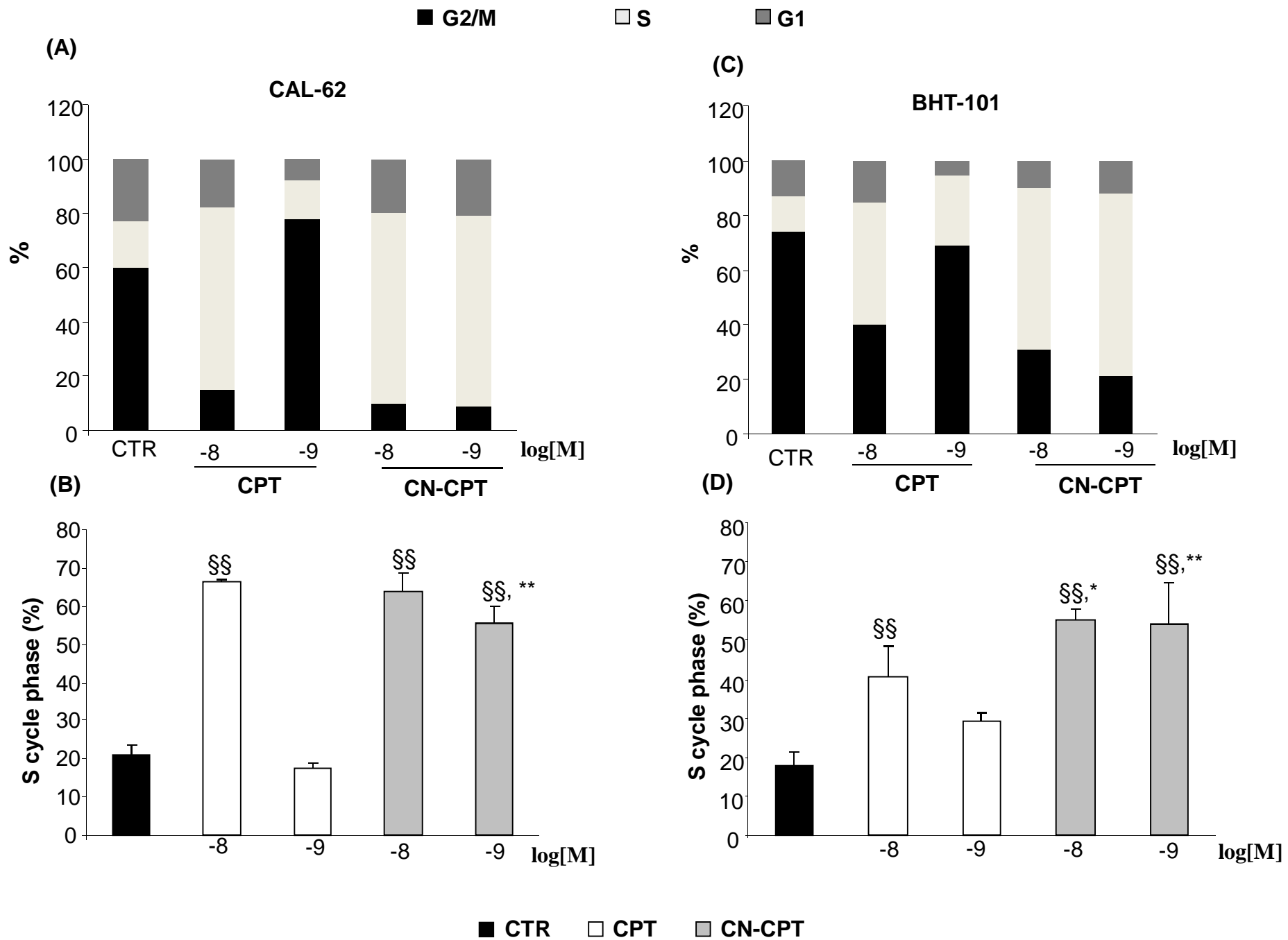
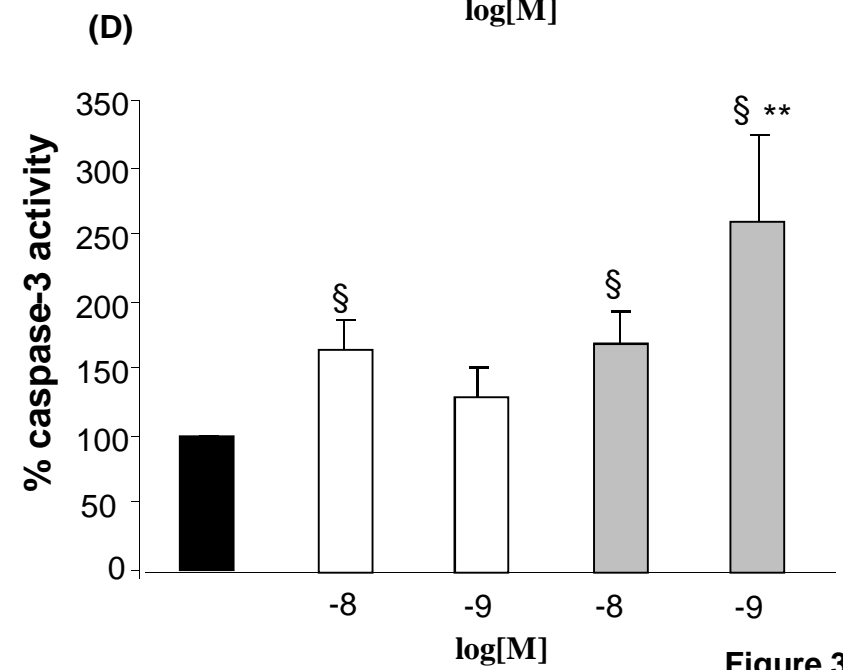
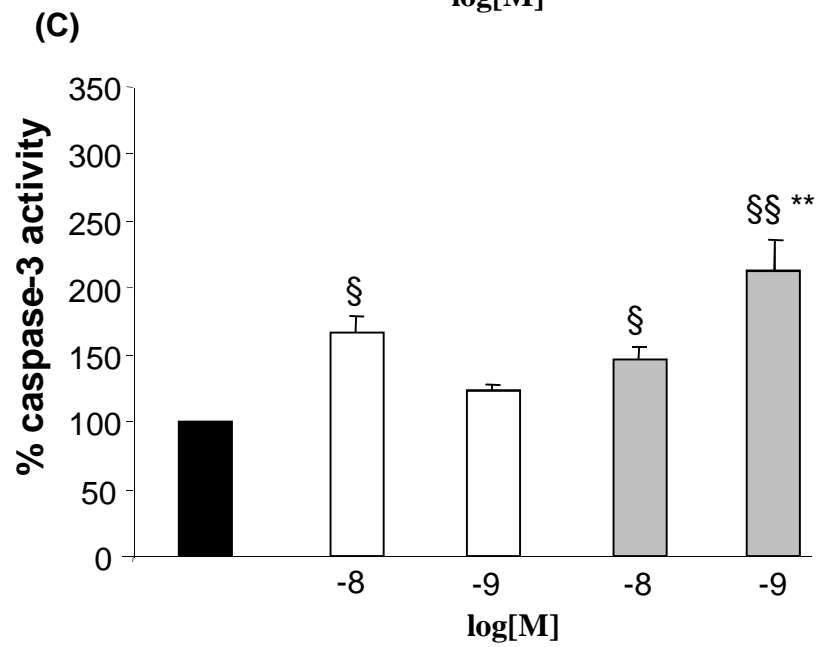
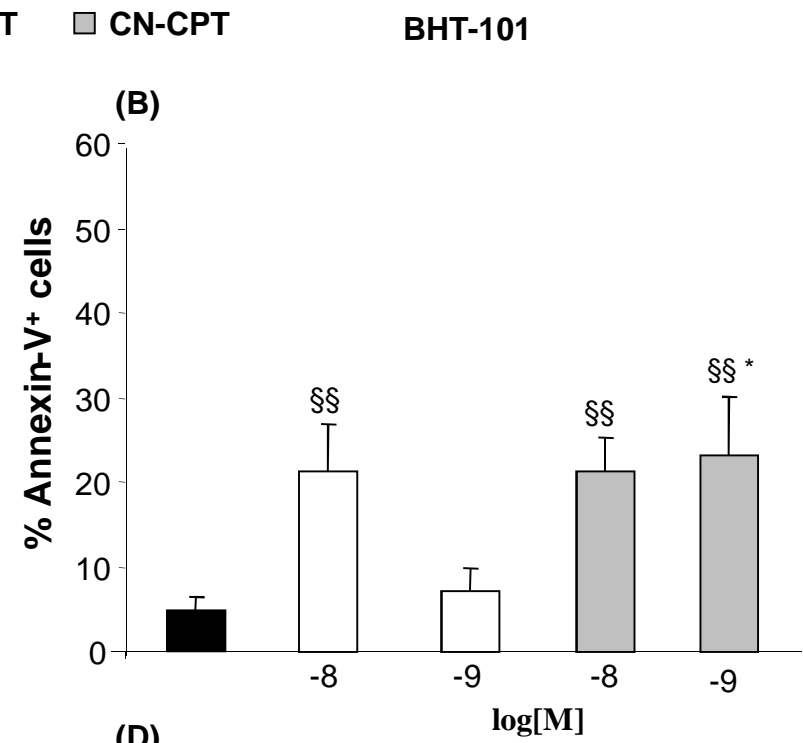
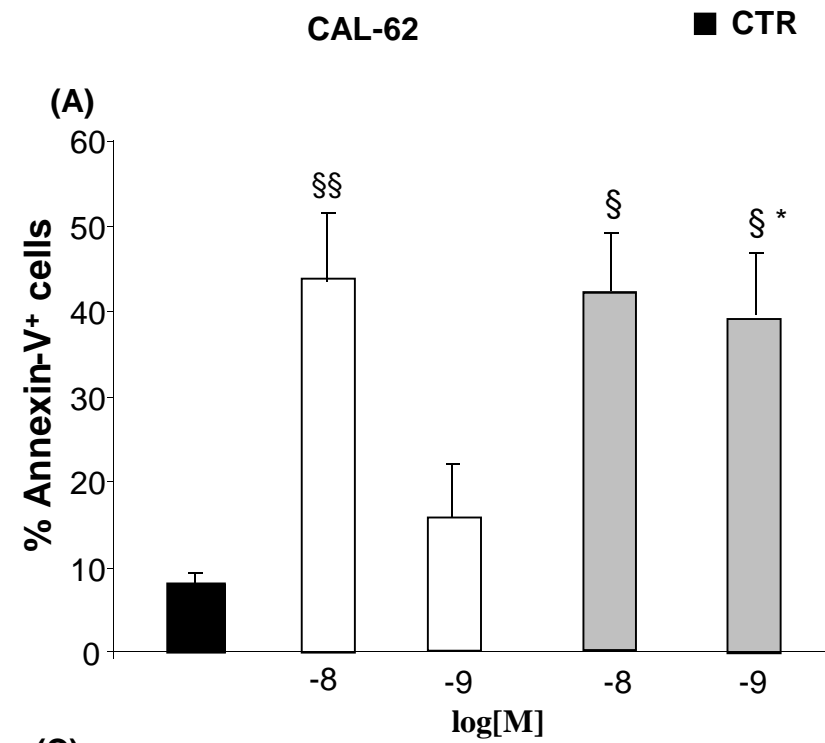


Figure 2



**Figure 3**

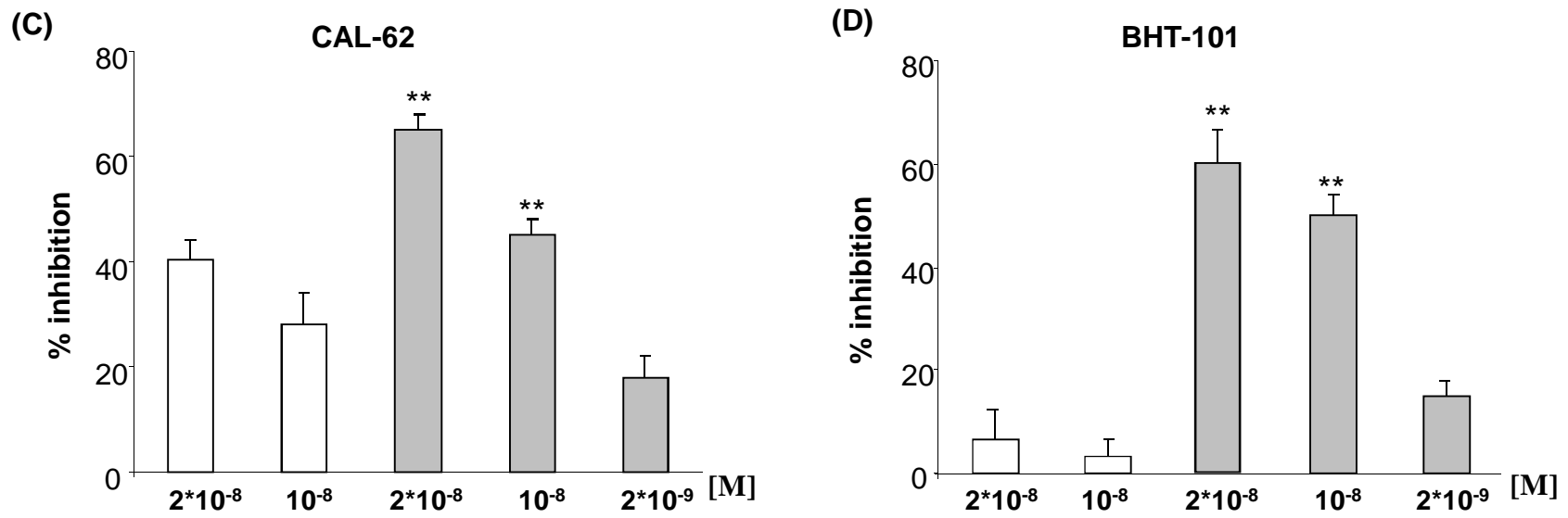
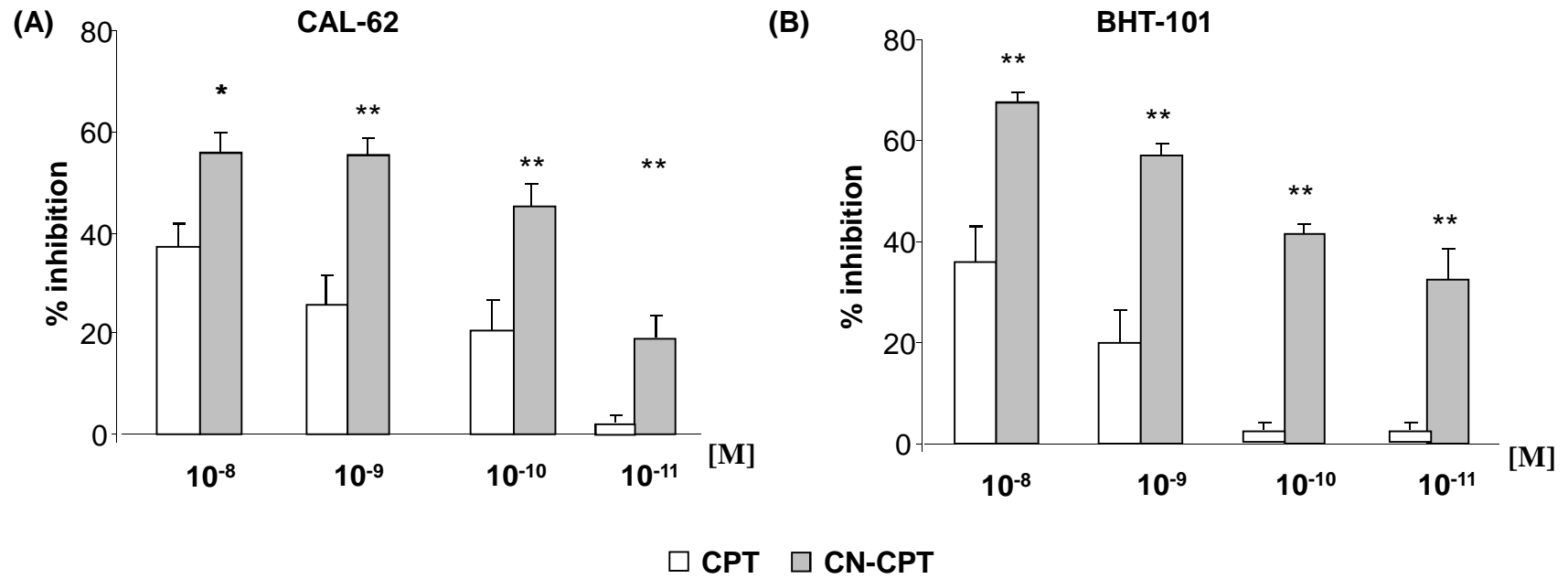


Figure 4

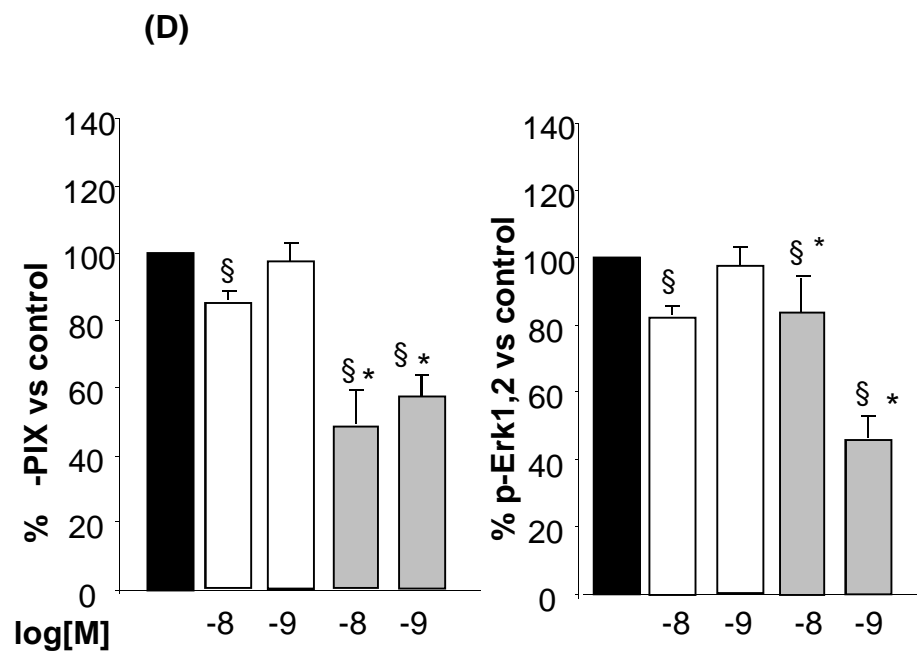
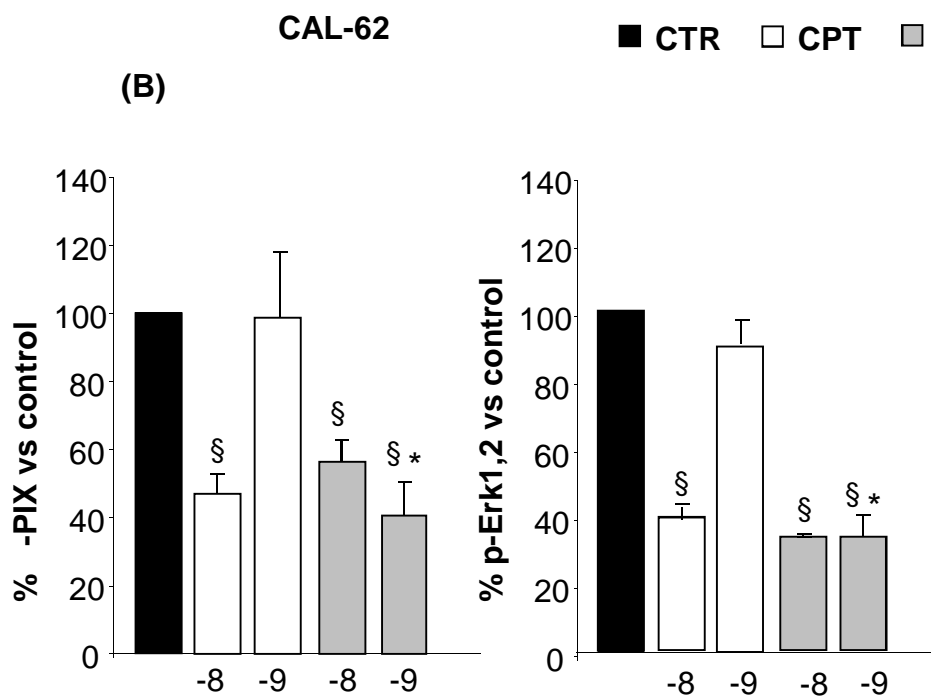
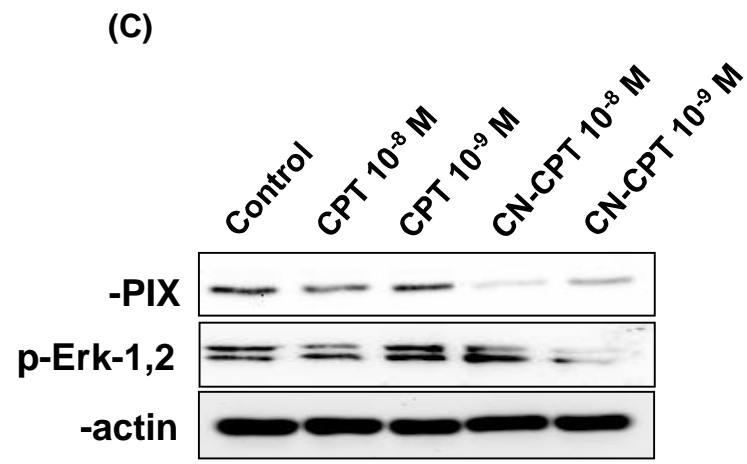
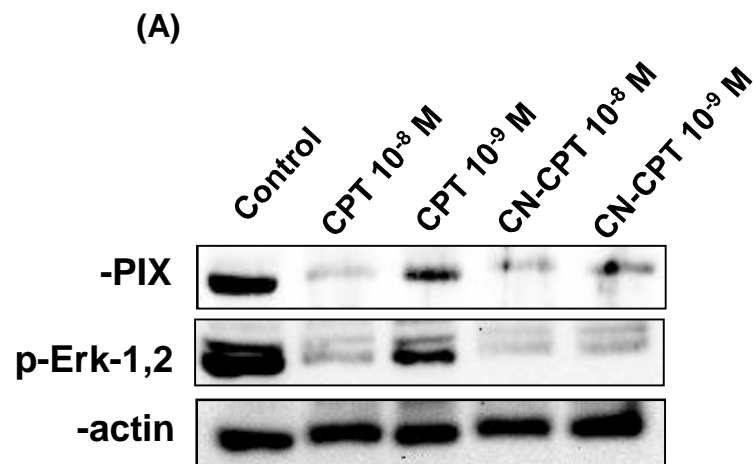


Figure 5

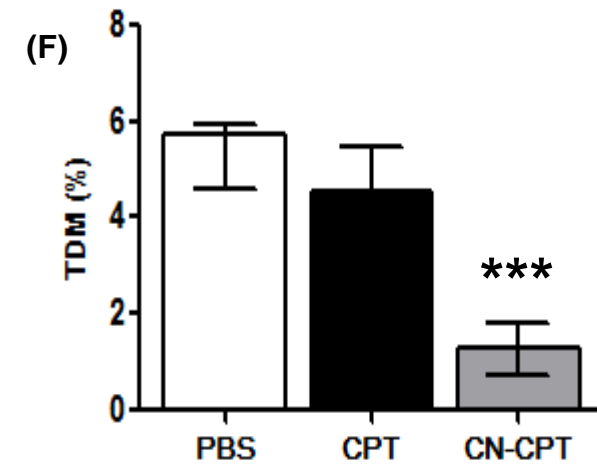
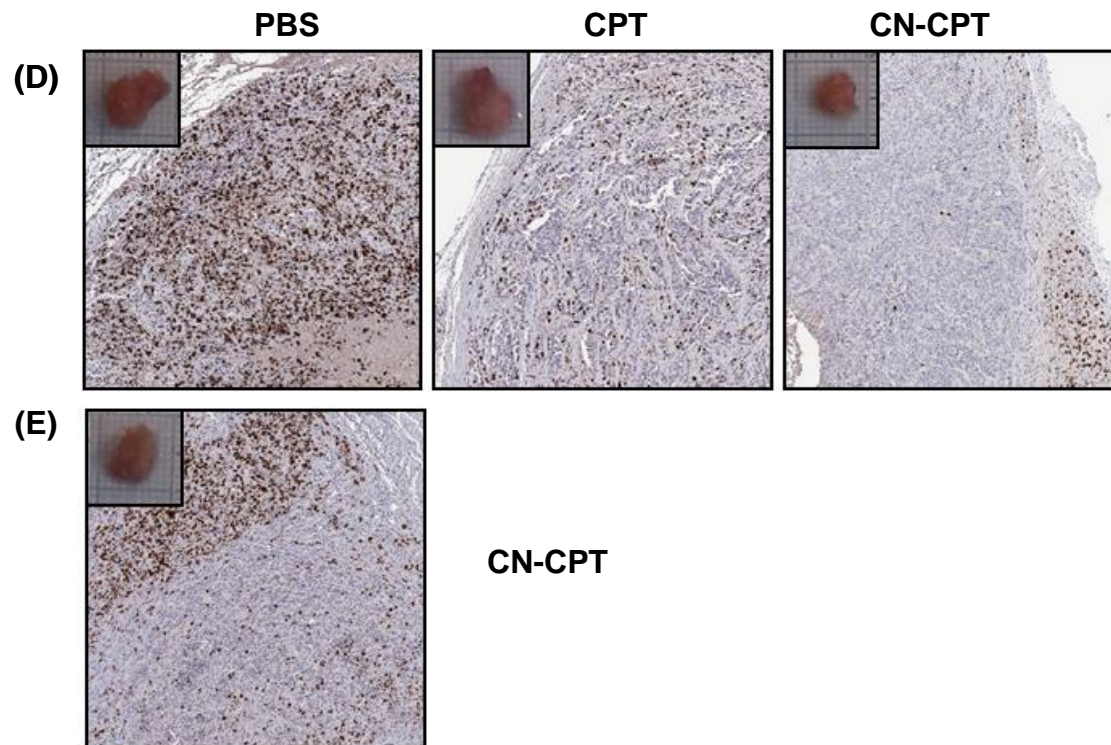
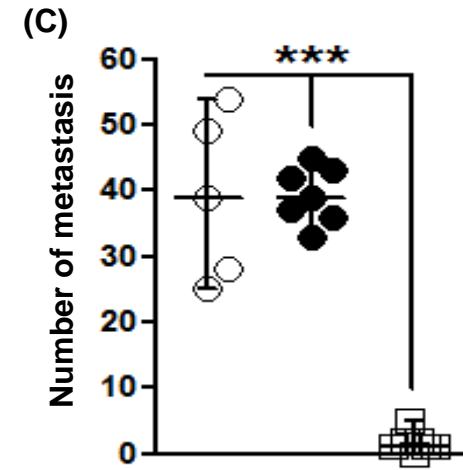
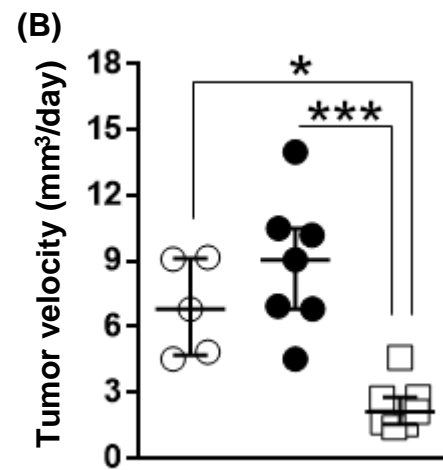
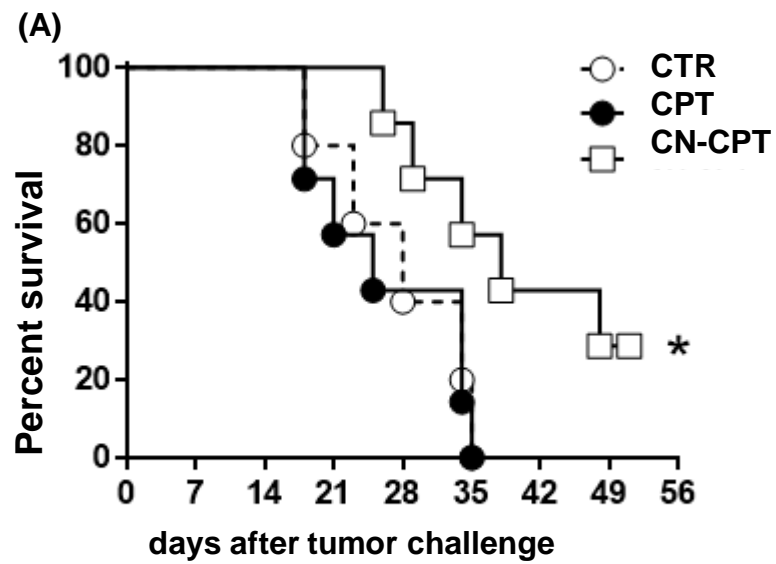
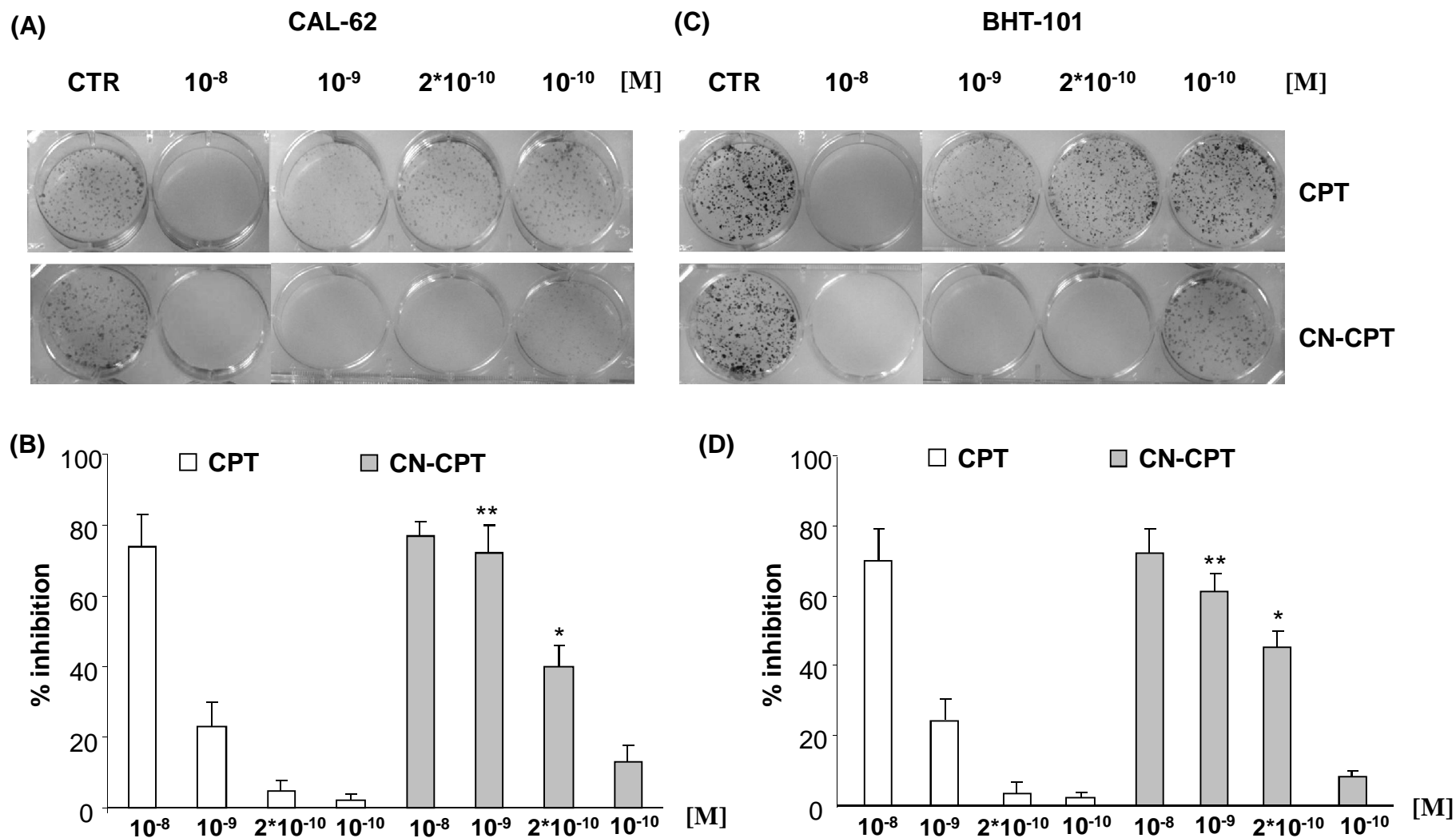
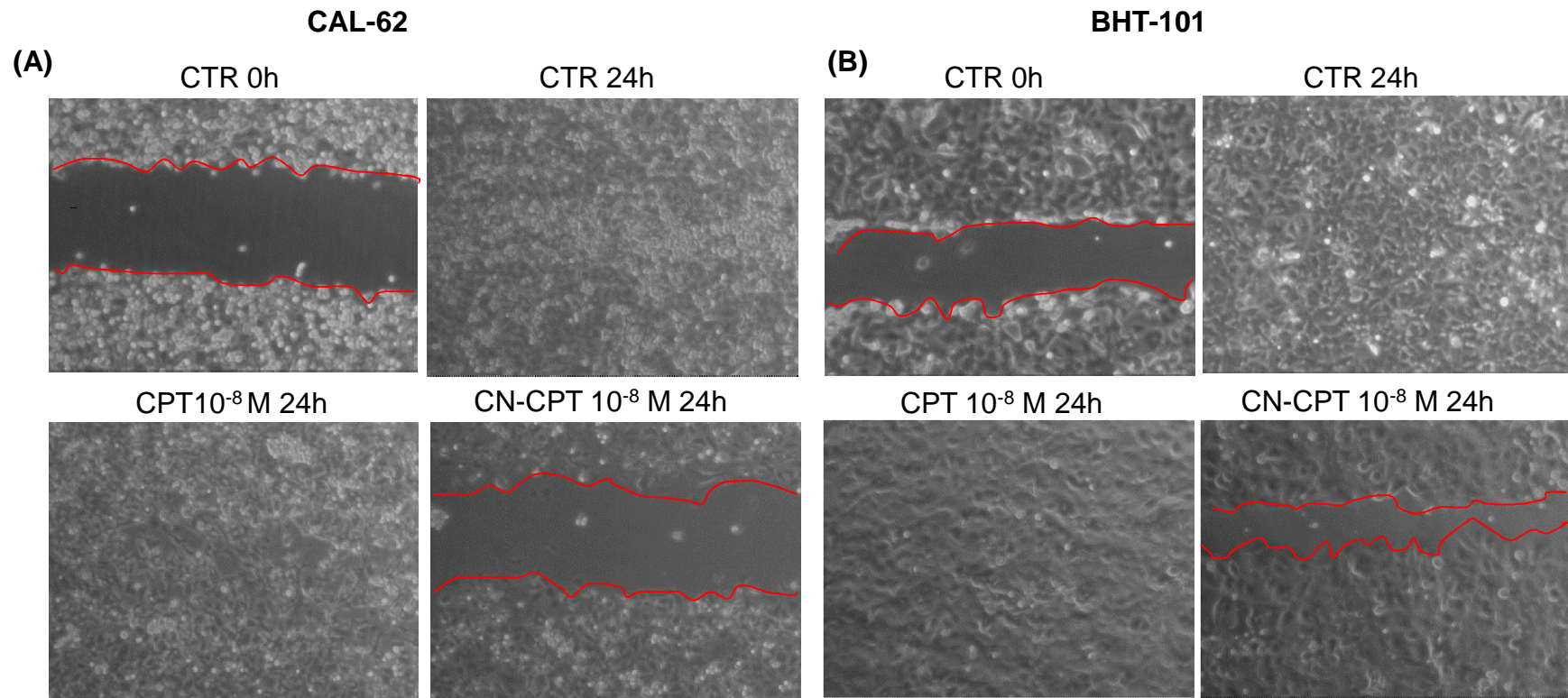


Figure 6



**Figure S1:** Effect of CPT and CN-CPT on cell clonogenicity was tested by the colony forming assay. CAL-62 (A, B) and BHT-101 (C, D) cells (800/well) were seeded in six-well plates and treated with each drug formulation at the indicated concentrations for 72 h. The medium was then changed and cells were cultured for an additional 10 days and subsequently fixed and stained with crystal violet. (A, C) Photographs of the fixed plates from a representative experiment. (B, D) Clonogenicity inhibition % (compared to controls) expressed as means  $\pm$  SEM ( $n = 5$ ). \* $P < 0.05$ , \*\* $P < 0.01$  significantly different from the same concentration of CPT.



**Figure S2:** Effect of CPT and CN-CPT on motility of CAL-62 and BHT-101 cell lines assessed using the wound healing assay. A scratch was made through CAL-62 (A) and BHT-101 (B) cell layer and then cells were cultured in the presence and absence of CPT or CN-CPT for 24 h. Microphotographs of the wounded area were taken immediately after the scratch (0 h) and 24 h later, in order to monitor cell migration into the wounded area ( $n = 3$ ).