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Land tessellation effects in mapping agricultural areas by remote sensing at field level

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1 **Land Tessellation Effects in Mapping Agricultural Areas by Remote**
2 **Sensing at Field Level**

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7

8 Land Tessellation Effects in Mapping Agricultural Areas by Remote

9 Sensing at Field Level

10 While mapping agricultural areas by remote sensing it is quite common to operate at
11 cadastral parcel level. Unfortunately this land tessellation is merely administrative: a
12 single parcel can, in fact, be made of differently managed parts whose spectral properties
13 can be significantly different, being often different their content. In this situation,
14 approaches that aggregate spectral signals of pixels belonging to the same parcel to
15 investigate their average behavior, can generate misleading results. In this work we
16 evaluated how different field tessellation schemes can condition the interpretation of the
17 spectral behavior of crops with special concern on time series of *NDVI* (Normalized
18 Difference Vegetation Index) and *NDWI* (Normalized Difference Water Index) spectral
19 indices, assumed as proxies of plant vigour and crop/soil water content, respectively. The
20 study relies on Sentinel 2 and Landsat 8 data imaging a rice cultivated area sited in
21 Piemonte (NW Italy). Two reference land tessellation geometries were taken into
22 account: a) the local cadastral map (purely administrative land division criterion); b) a
23 map obtained by image segmentation of the *NDVI* time series (purely spectral land
24 division criterion). After signal aggregation some statistics were therefore computed to
25 test differences both in time (within the same parcel along its temporal profile), and in
26 space (within the same image at different positions at the same time). Results, obtained
27 exploring the rice growing season 2016, showed that: a) in 23% (70% at 1 sigma) and 27
28 % (70 % at 1 sigma) of segments (respectively for *NDVI* and *NDWI*) spectral differences,
29 averagely along the year, are significant, possibly leading to wrong interpretation of
30 occurring dynamics in the area; b) in rice cultivated fields, spectral differences suffer
31 from seasonality with a higher incidence in Spring, when rice agronomic phases are more
32 dynamic and, in the meantime, critical for management.

33

34 Keywords: Spectral Indices; Image Time Series; Image Segmentation; Cadastral Parcels,
35 Crop Monitoring

36

37 1: Introduction

38 Nowadays remote sensing techniques are widely used in agricultural applications due to
39 the high availability of free pre-processed datasets, suggesting the implementation and
40 supplying of services (possibly web-based) for crop monitoring tending to a better

41 agronomic management by farmers and a more rigorous control of practices by
42 institutional administrations (Hatfiel et al.,2008; Karantzalos et al., 2015; Kliment et al.,
43 2014; Tey & Brindal, 2012). Nevertheless the adoption of remotely sensed images time
44 series has proved to improve accuracy of classification of crops and surfaces during
45 seasons (McNairn et al., 2009). While discussing about services for crop monitoring, it
46 can be observed that, cadastral maps play an important role since, they are often used to
47 aggregate spectral signal, thus assuming that no sub-parcel differentiated field
48 management is possible (Erden & Öztürk, 2015; Erden & Töreymen, 2015; Zelaya et al.,
49 2016); in these situations deductions from remotely sensed data can be highly misleading.
50 Crops, in general, are observed at parcel level by averaging the spectral behavior of
51 contained pixels, mostly synthesized by spectral indices as NDVI, SAVI (Soil Adjusted
52 Vegetation Index), EVI (Enhanced Vegetation Index) etc. intended as predictors of some
53 agronomic parameters (Hively et al., 2009; Huang et al., 2004; O' Connell et al., 2014;
54 Zerbato et al., 2016).

55 Unfortunately, especially in the Italian agricultural landscape, the favourable situation
56 where a single cadastral parcel is homogeneously managed, is often missing. The high
57 fragmentation of territory and the national slow process of cadastral maps updating are
58 majorly responsible of this fact. In many cases sub-parcel field division simply results in
59 a time shift of farming operations determined by a not contemporary seeding of the same
60 crop. In other more critical cases, it can be related to different crops that were planted in
61 the different parts of the same parcel. Whatever is the condition, signal aggregation at
62 parcel level (in general obtained by averaging spectral signal of the included pixels)
63 determines a not controllable error that generate unreliable deductions concerning crop
64 properties.

65 This critical feature of Italian (and not only) agricultural landscape is at the basis of the
66 EU regulation no. 809/2014 that binds payment claims related to CAP (Common
67 Agricultural Policy) to an electronic format, based on GIS (Geographic Information
68 System): the “*geo-spatial aided application form (GSAAF)*”. GSAAF is intended to limit
69 errors by beneficiaries when declaring their agricultural areas, making administrative
70 cross-checks more efficient. In addition, more accurate spatial information provided by
71 GSAAF will provide more reliable data to monitor and evaluate agronomic practices,
72 since the effective spatial distribution and extension of crops within, or over, cadastral
73 parcels will be considered and declared by applicants. This is a new trend in respect of
74 the current one where contributions are given with reference to the whole cadastral
75 parcel. In Italy, GSAAF is expected to be based on aerial orthoimages supplied by
76 National (AGEA) and Regional (ARPEA in Piemonte) agencies for payments in
77 agriculture.

78 A rice cultivation devoted area was assumed as reference for this work since rice
79 cultivation is mostly extensive and, in the Italian agricultural context, it appears as the
80 most homogeneously managed. Consequently, if significant differences can be observed
81 in this situation, more reasonably they could be found monitoring other crops. Moreover,
82 rice is the most common staple food and it represents a grounded base for food security
83 for about 3 billion people on Earth, especially in low- and lower-middle income countries
84 (Maclean et al., 2002) It is cultivated under a wide range of management conditions:
85 highly mechanised, irrigated, single summer cropping (i.e. Italy, Japan, the U.S.,
86 Australia, Brazil); rainfed rice systems across Latin America, sub-Saharan Africa, and
87 South and South-East Asia (Boschetti et al., 2014). In Europe, Italy is the first rice
88 producer having about $2.2 \cdot 10^5$ ha of cultivated areas and a production of $1.4 \cdot 10^6$ t·y⁻¹
89 corresponding to the 52% of the rice devoted areas and 50% rice production in Europe,

90 respectively (<http://www.enterisi.it>). Due to its flooded condition, rice is one of the main
91 consumers of world fresh water resources (Tuong & Bouman, 2003), making desirable
92 an efficient management.

93 The present work is part of a research project solicited by the Agriculture Department of
94 the Piemonte Region Administration, that asked about an evaluation of effects that a
95 different land tessellation scheme could generate while working with Normalized
96 Difference Vegetation Index, NDVI (Rouse et al., 1974) and Normalized Difference
97 Water Index, NDWI (McFeeters, 1996) time series. In particular Regional administrators
98 were interested in monitoring the rice flooding phase at sub-parcel level to understand
99 where and when water releases occur and how farmers use water. This is an important
100 issue to deal with since water demand in rice-cultivation generates a high pressure on
101 water resource especially during the rice-fields flooding step.

102 Rice crop monitoring by spectral indices time series is known to be effective in describing
103 main farming operations along the year: a) pre-seeding fields submersion , b) plant
104 emersion from water, c) pre-harvest ripening. NDVI and NDWI were selected as
105 reference indices being widely used to monitor crop phenology and crop irrigation
106 dynamics. In particular, NDVI proved to be able to monitor plants vigour; NDWI to
107 describe crop water status.

108 A composite image time series from Sentinel 2 and Landsat 8 datasets were used for this
109 purpose. According to the above mentioned tessellation schemes, differences affecting
110 spectral indices temporal profiles were tested both in time (within the same parcel along
111 its temporal profile), and in space (within the same image at different positions at the
112 same time).

113 Authors are conscious that results obtainable for a rice cultivated area cannot be
114 completely generalized for whatever agricultural context. Nevertheless, results from this

115 situation are expected to be optimistic in respect of any other crop, since rice fields, here,
116 are known to be homogeneously and extensively managed, reasonably limiting local
117 differences, that, for other crops will be certainly higher.

118

119 **2: Materials and Methods**

120 **2.1: Test Area**

121 The study area (about 8700 ha) is located in the Piemonte Region (NW Italy, Figure 1).
122 From the agricultural point of view, it is mainly devoted to rice cultivation; ISTAT (Italian
123 National Institute for Statistics) estimated that in 2016, rice crops took 116325 ha with a
124 production of 499273 tons within the whole Vercelli province where this area is sited
125 (ISTAT, 2018).

126 [FIGURE 1]

127

128 **2.2: Available data**

129 Twenty-two optical satellite images, covering the 2016 rice growing season, were used
130 for this work: twelve ESA (*European Space Agency*) Sentinel-2 Level 2A images
131 (hereinafter called S2) were obtained from the Copernicus Scientific Data Hub
132 (<https://scihub.copernicus.eu/>); ten NASA (*National Aeronautics and Space*
133 *Administration*) Landsat-8 images C1 Level 2 (hereinafter called L8) were obtained from
134 the Earth Explorer (<https://earthexplorer.usgs.gov/>) archive of the USGS (US Geological
135 Survey). Main technical features of both datasets are reported in Table 1.

136 [TABLE 1]

137

138 The above mentioned processing levels indicate that both the datasets were obtained as
139 at-the-ground reflectance calibrated images. S2 and L8 images were jointly used to
140 improve the temporal resolution of time series, especially during spring, when clouds

141 often cover the area. L8 images were preventively oversampled to the same Ground
142 Sample Distance, GSD, of S2 (10 m). Temporal distribution of satellite images is reported
143 in Figure 2 showing that the joint use of both datasets was helpful to reduce the effect of
144 cloud cover in the study area.

145 [FIGURE 2]

146

147 As far as S2 and L8 image integration is concerned, and specifically focusing on the joint
148 use of NDVI and NDWI spectral indices, it has already been demonstrated that they are
149 consistent enough to be aligned along the same time series (Barazzetti et al., 2016, Lessio
150 et al., 2017; Munyati, 2017).

151 A vector cadastral map (1:2000 scale, WGS84 UTM 32 N reference frame) was also
152 available for this work from the Piemonte Region Agricultural Department.

153

154 **2.3: Data processing**

155 Starting from the available at-the-ground reflectance calibrated images, the correspondent
156 NDVI and NDWI spectral indices were computed for both L8 and S2 images according
157 to eq.1 and 2.

158

$$159 \quad NDVI = \frac{\rho_{NIR} - \rho_{RED}}{\rho_{NIR} + \rho_{RED}} \quad ; \quad NDWI = \frac{\rho_{GREEN} - \rho_{NIR}}{\rho_{GREEN} + \rho_{NIR}} \quad (1, 2)$$

160

161 where ρ_{NIR} , ρ_{RED} , ρ_{GREEN} are the at-the-ground reflectances respectively for the Near
162 Infrared, Red and Green bands, that are slightly different for the two datasets (Table 1).

163 Two different time series of NDVI/NDWI images were obtained by averaging the local
164 spectral profiles, of neighbor pixels, according to different land tessellation schemes: the
165 first based on cadastral parcels as defined by the available cadastral map; the second

166 obtained by image segmentation of the original NDVI time series. Segmentation was
167 operated by a region-growing based algorithm (Comaniciu & Meer, 2002) available in
168 Orfeo ToolBox (OTB, v. 6.4.0). Image segmentation is known to detect homogeneous
169 portions of land in terms of similar spectral properties. At this point it is worth to stress
170 that spectral similarities of crops do not follow administrative boundaries. Consequently,
171 segments can represent areas completely included in a single parcel (sub-parcels) or wider
172 areas including portions of different adjacent parcels that behaves similarly. In this second
173 case, the administrative geometric coherence (which part of segments does belong to a
174 certain parcel?) can be easily recovered by intersection operations, involving cadastral
175 parcels and segments vector layers, achieved by ordinary GIS tools. To give a description
176 of the degree of sub-parcel potential fragmentation in the area we counted the number of
177 segments that totally, or partially, fell into each cadastral parcel. The correspondent
178 cumulative frequency distribution was therefore computed.

179 Since authors' hypothesis was that land portions of spectral homogeneity are different
180 from cadastral parcels, expectation was that: a) segmented patches (objects) were more
181 numerous than parcels; b) patches size was, generally, smaller. Consequently, some
182 statistics concerning shape properties of both segmented polygons and cadastral parcels
183 were computed by SAGA GIS software and compared. In particular patches area and
184 *Shape Index* (*SI*, Forman & Godron, 1986) values (eq. 3) were compared computing the
185 correspondent cumulative frequency distributions.

186

$$187 \quad SI = \frac{P}{2\sqrt{\pi A}} \quad (3)$$

188 where P is the polygon perimeter and A its area. SI represent circular objects when equal
189 1, square objects when around 1.128 and tends to increase for complex objects having
190 highly moved borders (a long perimeter in respect of a limited area).

191 Successively, the following four time series of locally averaged spectral indices were
 192 obtained: NDVI and NDWI in respect of cadastral map ($NDVI(x,y,t)^c$, $NDWI(x,y,t)^c$);
 193 NDVI and NDWI in respect of segmentation result ($NDVI(x,y,t)^s$, $NDWI(x,y,t)^s$).
 194 Comparison was achieved at both temporal and spatial level.
 195 Investigation at “spatial level” was achieved computing the local NDVI and NDWI *Mean*
 196 *Absolute Errors* (MAE, Willmott & Matsuura, 2005), obtaining two maps of MAE,
 197 hereinafter called $MAE(x,y)^{NDVI}$ and $MAE(x,y)^{NDWI}$ (eq. 4, 5).
 198

$$199 \quad MAE(x,y)^{NDVI} = \frac{\sum_{i=1}^n |NDVI(x,y,i)^s - NDVI(x,y,i)^c|}{n_images} \quad (4)$$

$$200 \quad MAE(x,y)^{NDWI} = \frac{\sum_{i=1}^n |NDWI(x,y,i)^s - NDWI(x,y,i)^c|}{n_images} \quad (5)$$

201
 202 where n_images is the total number of images (dates) belonging to the compared time
 203 series.

204 $MAE(x,y)^{NDVI}$ and $MAE(x,y)^{NDWI}$ were used to explore if differences were
 205 homogeneously distributed in the area.

206 Investigation “at time level” was, differently, performed by computing, for each explored
 207 date, the correspondent $MAE(t)^{NDVI/NDWI}$ from differences of the same time layer (eq.
 208 6 and 7).

$$209 \quad MAE(t)^{NDVI} = \frac{\sum_{j=1}^c \sum_{i=1}^r |NDVI(i,j,t)^s - NDVI(i,j,t)^c|}{n_pixels} \quad (6)$$

$$211 \quad MAE(t)^{NDWI} = \frac{\sum_{j=1}^c \sum_{i=1}^r |NDWI(i,j,t)^s - NDWI(i,j,t)^c|}{n_pixels} \quad (7)$$

212 where c and r are, respectively, the number of columns and rows of the raster layer of
 213 differences at the single date, $NDVI/NDWI(x,y,t)$ the local index value over the image at

214 the date t and n_pixels the number of “good” image pixels (excluded cloudy or failed
215 pixels).

216 This resulted into a time series made of 22 MAE(t) numerical values, each synthesizing
217 the average difference over image at the single date.

218 Both MAE maps and temporal profiles were finally analysed looking for an interpretation
219 key able to detect the main factors that, eventually, made an object-based approach more
220 desirable than the one based on cadastral parcels.

221

222 **3. Results and Discussions**

223 Concerning segmentation of the original NDVI time series by OTB, the “mean-shift”
224 algorithm was used with the following parameters: spatial radius = 5 pixels; range radius
225 = 0.1; minimum region size = 25 pixels (i.e. 0.25 ha, assumed as the minimum mapping
226 unit (MMU). Parameters properness was tested through some repeated tests. In figure 3
227 cadastre- and segmentation-based tessellation schemes are shown together with maps
228 resulting from NDVI values averaged at field level (example area at April 2016).

229

230 [FIGURE 3]

231

232 In figure 4 the cumulative frequency distribution of segments per parcel (totally, or
233 partially, contained) is reported to highlight the degree of sub-parcel divisions in the area.

234

235 [FIGURE 4] new addition

236

237 From a strictly geometrical point of view, the different type of landscape tessellation
238 scheme (cadastre- and object- based) determined a significantly different number of
239 patches: 4111 cadastral parcels showed an area greater or equal to 0.25 ha (consistent

240 with the segmentation algorithm parameters); 6788 objects were obtained by
241 segmentation (+ 65% in respect of cadastral parcels). Polygons area mean value (> 0.25
242 ha) passed from 1.20 ha (cadastre) to 0.80 ha (segmentation) with a reduction of 33%. *SI*
243 mean value passed from 1.50 to 1.74 moving geometries from a more regular (squared)
244 shape to a more irregular one. Cumulative distribution functions of both *Area* and *SI*
245 values were computed and reported in figure 5.

246

247 [FIGURE 5]

248

249 Looking at the *Area* distribution (figure 5, left) it can be observed that: a) no significant
250 changes occurred for smaller parcels (as expected) being, reasonably, always managed
251 unitarily; they represent the 50% of the total, suggesting that, the proposed methodology
252 will not affect this percentage of parcels; b) starting from parcels that were sized over
253 0.75 ha, the two compared distributions tended to differentiate much more, showing that,
254 in terms of homogeneity of spectral response (NDVI, i.e. vigor), cadastral parcels are
255 often managed differently (sub-parcel approach).

256 Looking at the distribution of *SI* (figure 5, right) it can be observed that in general,
257 cadastral parcels show a more regular shape (tending to a squared geometry) as the
258 highest percentage of polygons with lower *SI* demonstrate. Segmentation result was
259 compared, by photointerpretation, with a false color composite RGB from the S2 dataset
260 (R=b8, G=b4, B=b3; acquisition date: 21st July 2016), showing that the most of sub-parcel
261 segments were related to the country roads and channels networks, whose traits are often
262 included in the parcel, determining a significant variation of its average spectral response
263 together with an obvious geometrical decomposition of the whole parcel. Some other sub-
264 parcel segments, differently, were related to different local spectral responses of the same
265 parcel, possibly indicating a different intra-parcel behavior of crop. The consequent

266 question is therefore: is the average spectral response of the parcel homogeneously shifted
267 from the expected value due to the inclusion of channels/roads? Or, possibly, is a
268 differentiated management of parcels the responsible of sub-parcel spectral differences
269 occurrences?

270 To answer this question some samples temporal profiles were extracted from the NDVI
271 and NDWI averaged images and compared. In general, the spatial decomposition
272 generated by segmentation within a parcel generated significantly different temporal
273 profiles of spectral indices, indicating that, commonly in the area, a single large cadastral
274 parcel cannot be considered unitary from the agronomic point of view. Differences
275 between spectral index temporal profiles averaged over the whole parcel, and those
276 averaged separately for the segments falling within the parcel can be interactively tested
277 singularly. An example of these differences is given, for an example parcel, in figure 6,
278 where NDVI (left) and NDWI (right) profiles averaged at segment level (black lines) are
279 compared with those averaged at parcel level (red line). It can be easily noted that profile
280 shapes are significantly different (sometime higher than 0.1 and 0.2 points for NDVI and
281 NDWI, corresponding to a percentage difference of about 40% (0.1/0.25) and 100%
282 (0.2/(-0.2)), respectively.

283

284 [FIGURE 6]

285

286 Moreover, sample profiles reported in figure 6 suggest a seasonality in differences: NDVI
287 highest differences mainly affect the starting part of the growing season (beginning of
288 March - end of June), indicating that crop proceeds on with different velocities at different
289 times, especially during its germination and tillering phases. In the same way NDWI
290 highest differences occur in April when water releases from the local Irrigation
291 Consortium enter the fields to operate the pre-seeding submersion, suggesting a not

292 homogeneous distribution of water at parcel level. Consequently, it can be said that
293 segmentation improve crop behaviour interpretation, permitting a better management of
294 fields (farmer's point of view) or a more punctual control of water releases (Consortium's
295 point of view). A generalization of the reading key offered by figure 6 can be somehow
296 achieved by aggregating measures of differences through the synthetic statistic
297 parameters of equations 4-7.

298 Maps showing the spatial distribution of NDVI/NDWI MAE ($MAE(x,y)^{NDVI}$ and
299 $MAE(x,y)^{NDWI}$) were obtained by averaging differences at pixel level along its temporal
300 profile (eq. 4,5). A subset of the generated maps is reported in figure 6, where it can be
301 observed that the mean MAE local value is spatially varying. Maps confirm that MAE
302 values are higher for NDWI; they can be used to investigate, over the area, where the
303 most critical situations are located. This is an important issue especially when monitoring
304 water releases for control purposes from institutional players (like Consortium);
305 moreover this represents a further demonstration that a parcel-based approach it is not
306 enough to satisfyingly describe actual behaviors of crop/water dynamics.

307

308 [FIGURE 7]

309

310 At the moment authors are not able to give an interpretation key to robustly explain why
311 some areas are more critical than others. The different behaviors of the various parts of
312 the same parcel can rely on many motivations: different crop type, different treatments
313 times, different terrain properties, position of fields in respect of the channel network, etc.
314 An important issue to deal with when testing significance of differences, is the expected
315 theoretical accuracy of NDVI/NDWI measures. Reference values for accuracy of
316 NDVI/NDWI measures from satellite imagery can be found in literature (Borgogno-
317 Mondino et al., 2016). For vegetated areas, they are told to be reasonably about 0.02 (1

318 sigma) - 0.04 (2 sigma) points of NDVI/NDWI. Consequently, only differences higher
319 than these values have to be taken into account to demonstrate the impact of the different
320 approaches in reading crop and water dynamics in the area.

321 To test this condition, the cumulative frequency distribution function was computed for
322 both the above mentioned MAE maps and reported in figure 8 in respect of the number
323 of segments.

324

325 [FIGURE 8]

326

327 From the graph, it can be noted that: about 77 % of segments, averagely along the year,
328 have a NDVI value that, in respect of the correspondent cadastral parcel, is lower than
329 0.04 points, i.e. not significant; from these, ~ 30% is lower than 0.02. Similarly, about
330 73 % of segments, averagely along the year, show an NDWI value that, in respect of the
331 correspondent cadastral parcel, is lower than 0.04 points, i.e. not significant; but, again,
332 ~ 30% is lower than 0.02.

333 Conversely, in 23% (70% at 1 sigma) and 27 % (70 % at 1 sigma) of segments
334 (respectively for NDVI and NDWI) spectral differences, averagely along the year, are
335 significant, possibly leading to wrong interpretation of occurring dynamics in the area.

336 It is worth to remind that maps and statistics of figure 7 and 8, respectively, just point
337 out average occurrences and values of spectral index differences along the year.
338 Consequently, they cannot point out eventual criticalities related to a specific moment
339 along the growing season.

340 Trying to give a preliminary answer to this question, seasonality of NDVI/NDWI MAE
341 was explored computing the correspondent average profiles by eq. 6, 7. In figure 9, the
342 average MAE profile is reported (gray line) together with maximum and minimum
343 differences recorded at the single explored date. The average trend confirms what was

344 locally observed in the sample parcel of figure 6. The comparison of the average MAE
345 profile with those representing the maximum and minimum differences at the same time
346 over the scene, demonstrates that differences can reach values higher and higher than the
347 average one, making more desirable the adoption of segments in place of parcels to reduce
348 the risk of misunderstanding of measures.

349

350 [FIGURE 9]

351

352 Focusing on the MAE average time profile ($MAE(t)^{NDVI/NDWI}$), it can be observed that
353 maximum values were detected on the 19/04/2016 (start of growing season) and
354 21/05/2016 (during the typical first flooding step) for NDVI (about 0.07) and NDWI
355 respectively (about 0.11), corresponding, in terms of farming operations, to flooding and
356 plants development phases. Reported profiles show that, all along the year, average MAE
357 is always higher than NDVI/NDWI reference value (1 sigma = 0.02), demonstrating that
358 no measured difference is actually negligible; conversely, an approach based aggregating
359 spectral signal at parcel level can lead to interpretation errors while monitoring crop and
360 water dynamics in rice fields, especially in Spring, where differences appear to be higher.

361

362 **4. Conclusions**

363 The current period is showing an increasing demand and offer of services for agriculture
364 based on the continuous monitoring of crops at regional level by remote sensing. Free
365 archives of satellite imagery (both optical and radar) from main institutional suppliers
366 (NASA/USGS and ESA), is accelerating the transfer of this type of technology to the
367 operational compart. Scenarios for remote sensing adoption in agriculture are various,
368 ranging from plant, to field up to region level, each defining its proper players and data.
369 This work explore a criticality mainly related to the region level approaches, where

370 applications are mainly devoted to management of wide areas where, in many cases, the
371 minimum mapping unit to be investigated is assumed to be the cadastral parcel.
372 Unfortunately this land tessellation is merely administrative: a single parcel can, in fact,
373 be made of differently managed parts whose spectral properties can be significantly
374 different, being often different their content. In this situation, approaches that aggregate
375 spectral signals of pixels belonging to the same parcel to investigate their average
376 behavior, can generate misleading results. In this work, focusing on time series of *NDVI*
377 and *NDWI* spectral indices obtained from Sentinel 2 and Landsat 8 datasets, a cadaster-
378 based landscape tessellation is compared with an image segmentation-based one, with
379 special concerns about rice crops sited in the NW part of Italy. Since tessellation is
380 assumed to drive the local aggregation of spectral signal, differences affecting *NDVI* and
381 *NDWI* time series were tested at both spatial and temporal level by computing the above
382 mentioned statistics. Results, obtained for the rice growing season 2016, showed that
383 yearly-averaged local differences were significant (> 0.04 points of spectral index) in
384 about the 23 % and 27 % of segments (respectively for *NDVI* and *NDWI*). At the moment
385 authors are not able to give an interpretation key to robustly explain why some areas are
386 more critical than others. The different behaviors of the various parts of the same parcel
387 can rely on many motivations: different crop type, different treatments times, different
388 terrain properties, position of fields in respect of the channel network, etc. Further
389 investigation should be done, whenever the technology transfer occurred, based on local
390 features of the monitored area.

391 Results about the yearly trend of differences, showed that they suffer from seasonality
392 with a higher incidence in Spring, when rice agronomic phases are more dynamic and, in
393 the meantime, critical for management. $MAE_{x,y}(t)$, in fact, showed that highest differences
394 were concentrated at the beginning of the growing season: mid-April for *NDVI*, mid-May

395 for NDWI. Moreover they proved to be, averagely in the area, significant, therefore, not
396 negligible.

397 Consequently, authors retain that, whenever a monitoring service based on time series of
398 spectral indices was developed and launched, cadastral parcels could not be assumed as
399 reference unit to average spectral measures, since the actual agronomic tessellation
400 context (for the study area) is different from the administrative one. From this point of
401 view, image segmentation based on NDVI time series proved to be reliable enough to
402 describe a land division more consistent with the actual field management practices.

403 Authors are conscious that results cannot be generalized for whatever agricultural context.
404 Nevertheless, the quantification of differences, even if specifically related to the study
405 area, support the general idea that precision farming or administrative controls in
406 agriculture, cannot rely on cadastre basis. Moreover, since the study area is historically
407 devoted to rice cultivation, the results we found in this situation are expected to be
408 optimistic in respect of any other agricultural context: rice fields, here, are known to be
409 homogeneously and extensively managed, reasonably limiting local differences.

410 Finally, it is worth to remind that, recently, AGEA, the Italian paying agency for
411 agriculture (EU contributions), according to the art. 17, paragraph.2 of the European
412 Union Regulation n. 809/2014, has made mandatory for farmers, starting from the 2018
413 growing season, the redaction of the so called “*graphic application*”: they are, in fact,
414 requested to supply, as much precisely as possible, the georeferenced map of the field
415 they are going to request contribution for, drawing its actual border within a single (or
416 over many) cadastral parcel. Contributions will not anymore be accorded at cadastral
417 parcel level, but in reference of the actual managed field area. In this new legislative
418 context, the suggested approach appears to be more interesting, moving field detection
419 by remote sensing in such a direction consistent with the expected future controls that

420 AGEA will operate systematically to test consistency of declared areas by farmers,
421 making deductions more reliable.

422

423

424

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426

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505

506 Figure 1. Study area. White lines = cadastral parcels of rice-cultivated fields. Reference frame is WGS-84 UTM 32N.
507

508 Figure 2. Temporal distribution of datasets. The joint use of optical data from different missions (S2 black, L8 grey)
509 improves the acquisition rate especially when datasets are compromised by cloud cover (S2 series from March to
510 July).
511

512 Figure 3. Cadaster-based Vs segmentation-based tessellation of rice fields. A: NDVI original image (S2, April 2016);
513 B: Cadaster-based tessellation scheme (cadastral vector map); C: segmentation-based tessellation scheme as
514 generated by OTB; D: at-field level averaging of NDVI (April 2016) based on cadastral parcels; E: at-field level
515 averaging of NDVI (S2, April 2016) based on segmented polygons.
516

517 Figure 4. Cumulative frequency distribution of the number of segments per parcel (totally or partially included). The
518 percentage is referred to the total number of cadastral parcels.
519

520 Figure 5. Cumulative frequency distributions of Area (left) and SI (right) values computed for the two compared
521 tessellation schemes.
522

523 Figure 6. Effects of different tessellation schemes in respect of the local temporal profiles of both NDVI and NDWI.
524 Red line = average spectral index profile of the whole parcel. Segm 1,2,3,4 are the average spectral index profiles of
525 respectively sub-parcels 1,2,3,4. Left: NDVI profiles; Right: NDWI profiles.
526

527 Figure 7. Example subsets of MAE maps of NDVI (A) and NDWI (B). Maps are useful to interpret where the most
528 significant differences are located, when monitoring rice fields through a cadaster- or segments-based approach
529 based on remote sensing techniques.
530

531 Figure 8. Cumulative frequency distribution functions of NDVI and NDWI MAE maps (count is given considering
532 the number of segments). Significant differences are, precautionary, those higher than 0.04 (vertical line).
533

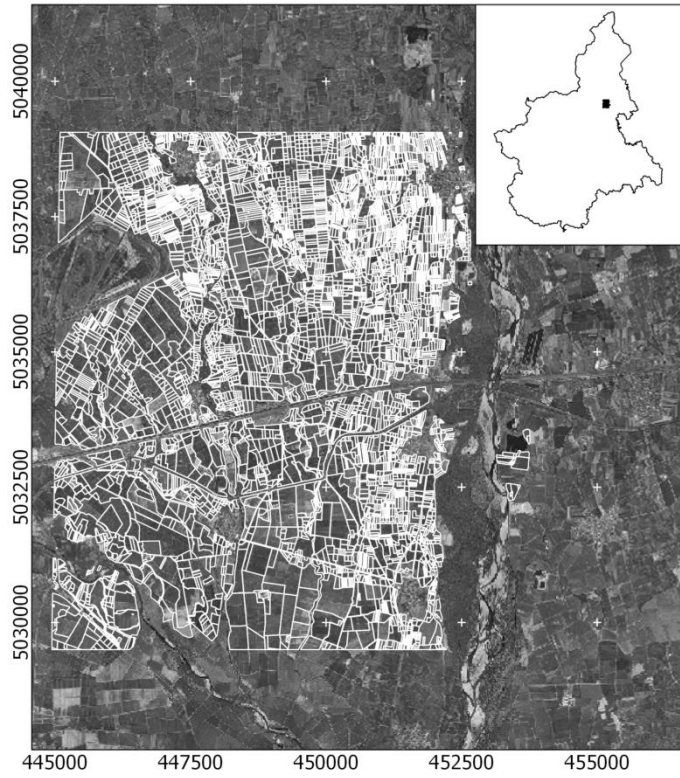
534 Figure 9. MAE(t) temporal profiles of NDVI (left) and NDWI (right). Red line = MAE(t), black triangles =
535 maximum differences at the single date, black squares = minimum differences at the single date. Graphs are useful to
536 interpret if spectral differences are somehow time-dependent, i.e. if and how agronomic phases can heavily be
537 conditioned by the different type of NDVI/NDWI averaging tessellation scheme.
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Table 1. Technical features of L8 and S2 datasets.

L8			S2					
Temporal resolution: 16 Days			Temporal resolution: 5 Days					
Bands	GSD (m)	Wavelength (μm)	Bands	GSD (m)	Wavelength (μm)	Bands	GSD (m)	Wavelength (μm)
<i>Band 1</i>	30	0.433-0.453	<i>Band 1</i>	60	0.423-0.463	<i>Band 8</i>	10	0.727-0.957
<i>Band 2</i>	30	0.450-0.515	<i>Band 2</i>	10	0.425-0.555	<i>Band 8a</i>	20	0.845-0.885
<i>Band 3</i>	30	0.525-0.600	<i>Band 3</i>	10	0.525-0.595	<i>Band 9</i>	60	0.925-0.965
<i>Band 4</i>	30	0.630-0.680	<i>Band 4</i>	10	0.635-0.695	<i>Band 10</i>	60	1.350-1.410
<i>Band 5</i>	30	0.845-0.885	<i>Band 5</i>	20	0.690-0.720	<i>Band 11</i>	20	1.520-1.700
<i>Band 6</i>	30	1.560-1.660	<i>Band 6</i>	20	0.725-0.755	<i>Band 12</i>	20	2.010-2.370
<i>Band 7</i>	30	2.100-2.300	<i>Band 7</i>	20	0.760-0.803			
<i>Radiometric resolution: 16 bit</i>			<i>Radiometric resolution: 12 bit</i>					

544



545

546 **Figure 1**

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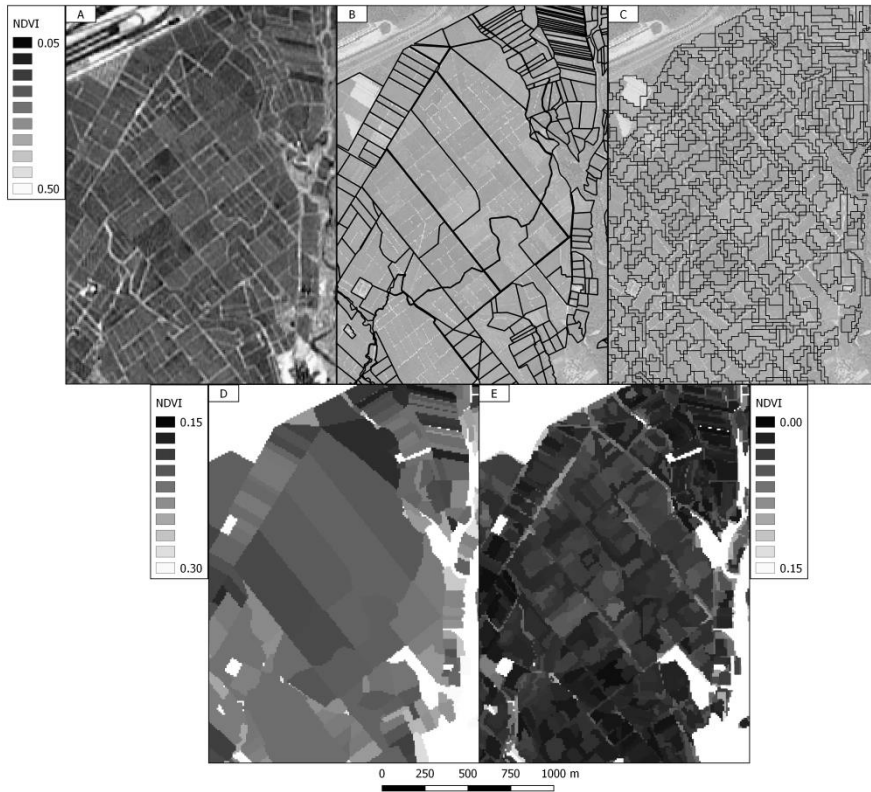


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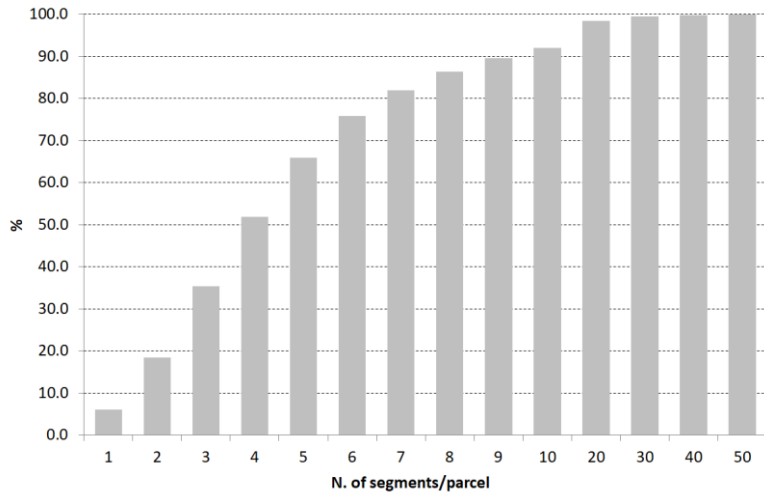
550 **Figure 2**

551



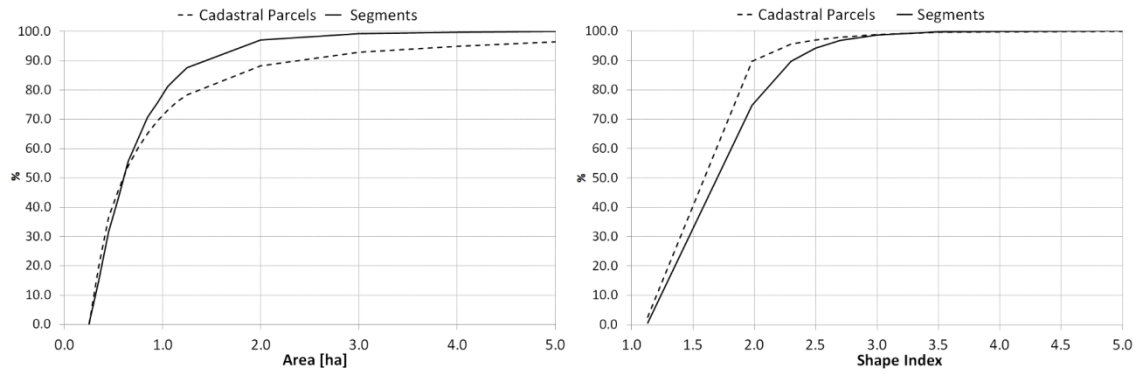
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553 **Figure 3**

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558 **Figure 4 (new addition)**

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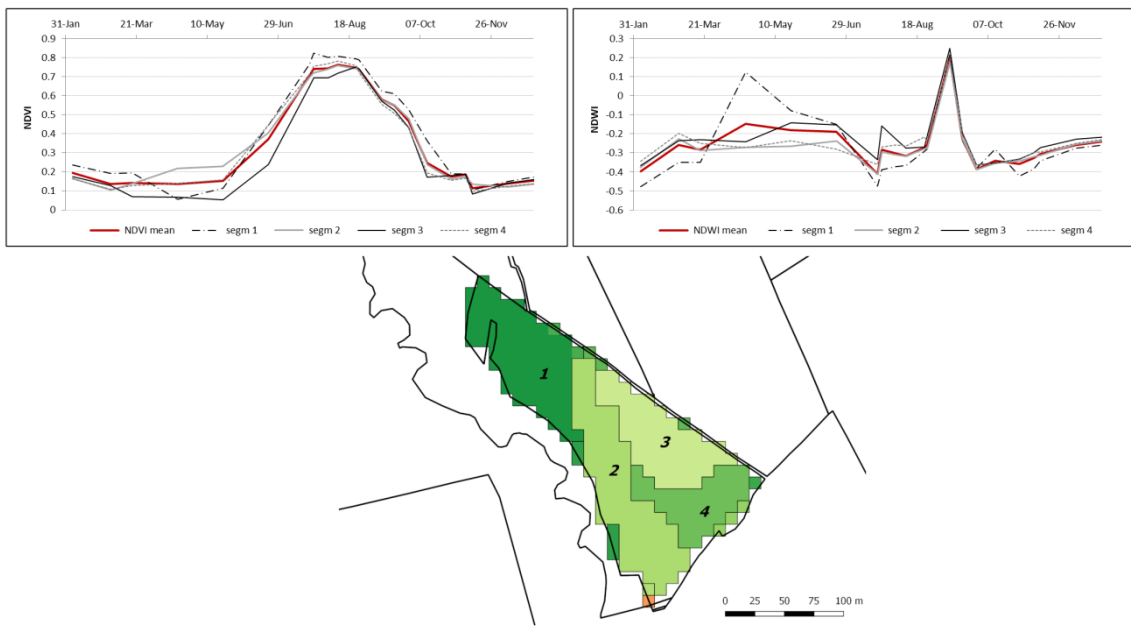


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561 **Figure 5**

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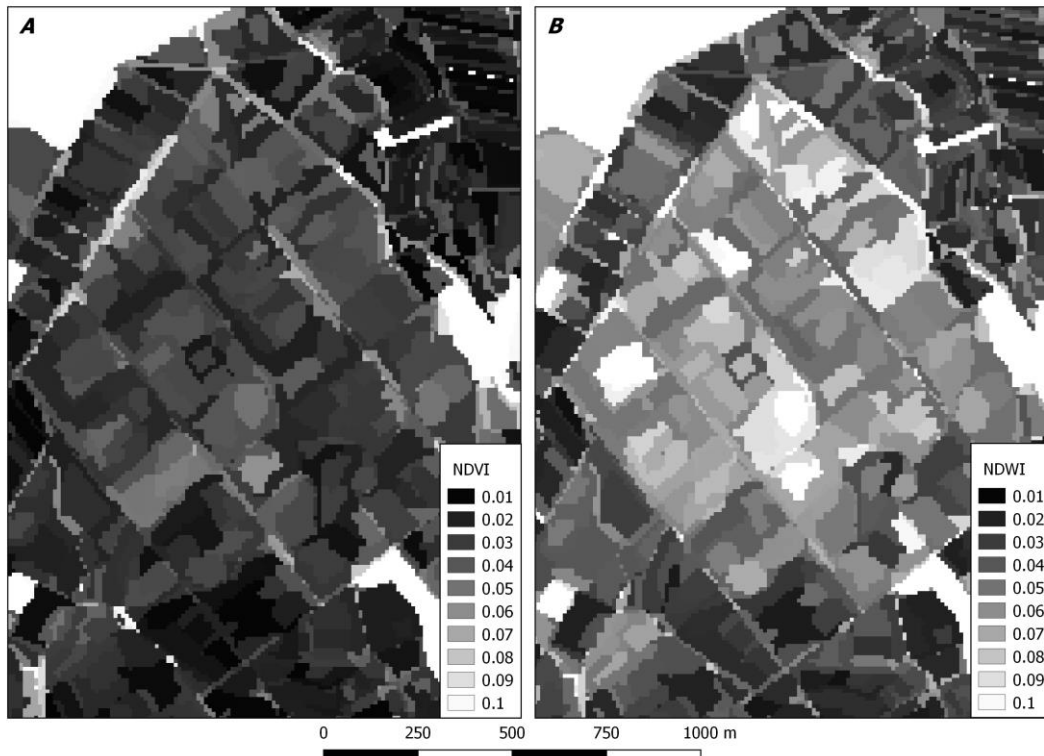
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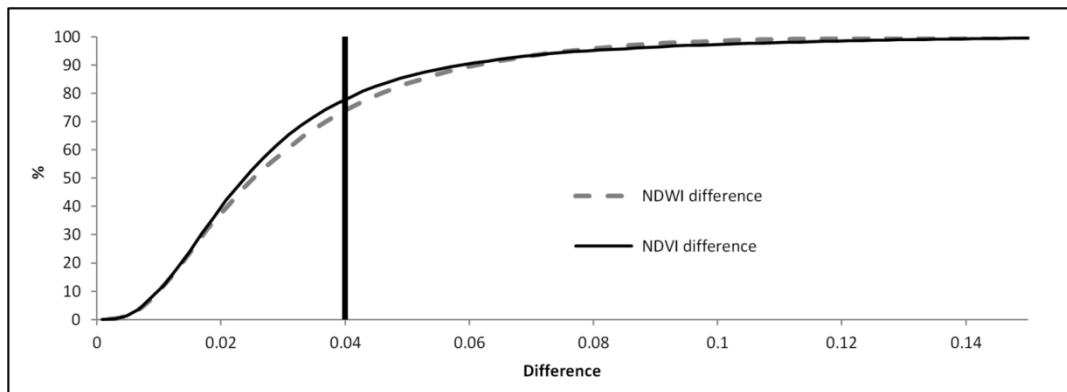
565 **Figure 6**

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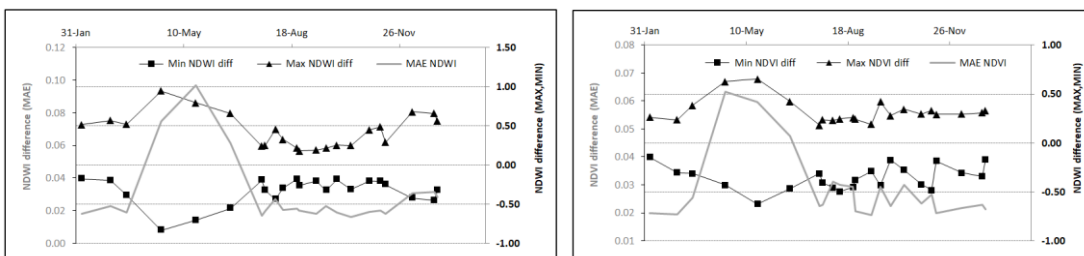
Figure 7



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Figure 8 (Changed – ex fig. 7)

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Figure 9 (changed – ex fig.8)