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An Ergonomic Approach to Sustainable Development: The Role of Information Environment and Social-Psychological Variables in the Adoption of Agri-Environmental Innovations

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Drivers of Innovation Adoption for Sustainable Agriculture

Running head: DRIVERS OF INNOVATION ADOPTION FOR SUSTAINABLE AGRICULTURE

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For Peer Review

Drivers of Innovation Adoption for Sustainable Agriculture

16 **Abstract**

17 Sustainability is defined as meeting the human needs of current as well as future
18 generations. This anthropocentric perspective leads to joint objectives between
19 sustainability and ergonomics. In the present study, we adopted a systems ergonomic
20 approach and, based on the Theory of Planned Behavior, we analyzed the paths by which
21 the information environment can affect farmers' adoption of sustainable measures through
22 the mediation of attitudes, social pressure, and behavioral control. One hundred ninety-
23 nine Italian farmers completed a questionnaire assessing exposure to impersonal, personal-
24 formal, and personal-informal sources of information on the one hand and attitudes,
25 perceived behavioral control, and subjective norms toward the adoption of two types of
26 sustainable innovations (technological solutions and organizational/managerial practices)
27 on the other. The results showed that attitudes and perceived behavioral control were the
28 dominant determinants of farmers' adoption behavior, and personal-formal sources of
29 information were positively associated with perceived behavioral control. Possible
30 interventions are discussed for farmers' information environment to promote the adoption
31 of sustainable innovations.

32
33 *Keywords:* Innovation adoption; Systems ergonomics; Sustainable agriculture;
34 Theory of Planned Behavior; Italy.

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36
37**Introduction**

38 For most of human history (and prehistory), the size of the world population was
39 small enough that humans did not suffer from a significant loss of resources, since they
40 could relocate or resources could naturally regenerate. However, as the human population
41 grew, the pressures on resources increased, and the problem of sustainability arose
42 (Thatcher & Yeow, 2016). Sustainability is mainly an issue of resource scarcity or damage,
43 either at present or at some time in the future (Dekker, Hancock, & Wilkin, 2013).
44 Sustainable development has been defined by Brundtland's World Commission on
45 Environment and Development (World Commission on Environment and Development,
46 1987) as "development that meets the needs of the present without compromising the
47 ability of future generations to meet their own needs" (p. 41), and it is often
48 operationalized as a triple bottom line, maintaining the balance among the three pillars of
49 economic, environmental, and social capital, to achieve development that is sustainable
50 over a significant period of time (Lange-Morales, Thatcher, & García-Acosta, 2014).

51 Policymakers have integrated sustainability principles into regulations governing
52 agricultural systems. In 1990, the US Congress promulgated the Farm Bill, which applied
53 the concept of sustainability to the agricultural sector, defining agricultural sustainability
54 as an "integrated system of plant and animal production practices having a site specific
55 application that will, over the long term: (a) satisfy human food and fiber needs; (b)
56 enhance environmental quality; (c) make efficient use of non-renewable resources and on-
57 farm resources and integrate appropriate natural biological cycles and controls; (d) sustain
58 the economic viability of farm operations; and (e) enhance the quality of life for farmers
59 and society as a whole" (Public Law 101-624, Title XVI, Subtitle A, Section 1603, Senate
60 and House of Representatives of the United States of America, 1990). Since 1992, the
61 European Union has progressively integrated the sustainability principles in the Common

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62 Agricultural Policy, moving from price and production support to a policy of direct income
63 aid and rural development measures, including the so-called ‘agri-environment measures’,
64 to encourage more rational land use and protection of the environment, with the aims of
65 ensuring the delivery of environmental services, preserving the natural space and
66 landscape, and preventing the abandonment of agricultural land (European Union, 2008).

67 Agri-environment commitment can be pursued through the adoption of both
68 technological solutions and organizational/managerial practices (Lioutas & Charatsari,
69 2017). Technological solutions include all innovative technologies (e.g., farm equipment
70 and irrigation technologies) that can be merged into the production process.
71 Organizational/managerial practices refer either to changes in the organizational structure
72 of a farm enterprise or to the application of new ideas, methods, and techniques in the way
73 farming is practiced (e.g. the reuse of agricultural waste). Both of these types of measures
74 have the potential to deliver more sustainable agricultural production and to boost
75 agricultural productivity, based on a more precise and resource-efficient approach, thus
76 representing a new lever to enhance common or growing trends in agricultural
77 exploitations, such as family and organic farming (Knickel, Ashkenazy, Chebach, &
78 Parrot, 2017). Therefore, fostering the adoption of these measures is considered a key
79 element of the transition toward sustainable development by both policymakers and the
80 business sector (Schaltegger, Etxeberria, & Ortas, 2017).

81 Despite their relevance, the uptake of these measures is still sporadic, and knowledge
82 of the triggers of their adoption is underdeveloped (Burton, 2004a; Unay Gailhard,
83 Bavorová, & Pirscher, 2015). At present, the literature has mainly focused on economic
84 variables. According to a meta-analysis by Baumgart-Getz, Prokopy, and Floress (2012),
85 investment is the best predictor of the adoption of sustainable practices. Somewhat

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3 86 consistently, according to Long, Blok, and Coninx (2016), costs hinder the adoption of
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5 87 climate-smart agricultural innovations and technologies.

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8 88 Although farmers certainly have an interest in maximizing profit, the cost-benefit
9
10 89 models cannot sufficiently capture the complexity of farmers' decision-making and
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12 90 behaviors. Indeed, farmers sometimes do not adopt a sustainable measure even though the
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14 91 economic evidence suggests they should and, vice versa, they adopt a sustainable measure
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16 92 even when the economic prospects are not clear or favorable (Flett et al., 2004; Wauters,
17
18 93 Biielders, Poesen, Govers, & Mathijs, 2010). The failure of the models focused on
19
20 94 economic triggers led to an increase in the application of new approaches in agricultural
21
22 95 studies (Baumgart-Getz et al., 2012; Burton, 2004a), indicating the role played by social-
23
24 96 psychological constructs such as attitudes, values, and goals in farmers' decision making
25
26 97 (Unay Gailhard & Bojnec, 2016) and stressing the importance of considering these factors
27
28 98 together with economic, environmental, social, and technological factors to contribute to
29
30 99 the process of rural development (Chang et al., 2017; Jafry & O'Neill, 2000).

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35 100 Ergonomics and its systems approach represent an effective perspective from which
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37 101 to investigate these issues. Ergonomics is the scientific discipline that applies theory,
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39 102 principles, data, and methods to evaluate and adapt the design of tasks, jobs, products, and
40
41 103 environments to make them compatible with the needs, abilities, and limitations of people
42
43 104 (see <https://www.iea.cc/whats/index.html>). To achieve this aim, a systems approach to
44
45 105 understanding the interactions among people and all the other elements (artifacts,
46
47 106 information, environments, or other people) within a defined context is adopted (ISO
48
49 107 9000:2015; Wilson, 2014). A fundamental issue in ergonomics is the evaluation of the
50
51 108 efficiency of the system, defined as the resources expended in relation to the accuracy and
52
53 109 completeness of goals achieved, which is one of the main components for the assessment
54
55 110 of the usability of systems, products, and services (ISO 9241-11: 2018). Sustainable
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3 111 development and ergonomics share the joint objective of promoting an efficient allocation
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5 112 and use of resources, adopting a common anthropocentric perspective centered on human
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7 113 needs and actions (Thatcher & Yeow, 2016). The systems ergonomic approach can also
8
9 114 provide a deeper understanding of the necessary behavioral changes required by societies
10
11 115 to become sustainable (Hanson, 2013). In recent years, the ergonomic approach has been
12
13 116 used fruitfully in the agricultural and forestry sectors, leading to significant improvement
14
15 117 in work practices and to a significant increase in productivity through the standardization
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17 118 of agricultural equipment, machine design, and health and safety legislation (Baron, Estill,
18
19 119 Steege, & Lalich, 2001).

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24 120 In the present study, a systems ergonomic approach was adopted to analyze the
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26 121 relationships between social-psychological variables and the farmers' information
27
28 122 environment about sustainable innovations to determine the best predictors of the adoption
29
30 123 of technological solutions and practices for sustainable agriculture in a group of Italian
31
32 124 farmers. The approach builds on the social-psychological Theory of Planned Behavior
33
34 125 (TPB: see Ajzen, 1991) and the innovation diffusion theory (Rogers, 2010) to build and
35
36 126 empirically test a fully mediated model aimed at predicting farmers' adoption of
37
38 127 sustainable measures as a function of farmers' impersonal and personal (formal and
39
40 128 informal) sources of information, with the mediation of attitudes, subjective norms, and
41
42 129 perceived behavioral control. This analysis can suggest possible solutions in terms of
43
44 130 policies, user-centered design of training, and information activities to support and
45
46 131 promote the adoption of innovation for sustainable agriculture among the agricultural
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48 132 population (Daberkow & McBride, 2003; Lu, Yao, & Yu, 2005).

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53 133 In the following section, we develop the research framework on the TPB and the role
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55 134 of different sources of information in innovation adoption. Then, the context of the study is
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57 135 described. Subsequent sections describe the methods applied (questionnaire) and the
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3 136 outcome of the study. Finally, the results are discussed, and conclusions are derived in the
4
5 137 last two sections.

7
8 138 **Theoretical background**

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10 139 Based on the increasing importance of the social-psychological perspective in the
11
12 140 investigation of the uptake of sustainable measures (Burton, 2004a), in the present study,
13
14 141 we performed our analysis by referring to the Theory of Planned Behavior (Ajzen, 1991),
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16 142 which has been widely used to predict and explain a specific behavior. According to the
17
18 143 TPB, human action is guided by the degree to which the execution of the behavior is
19
20 144 positively or negatively evaluated (attitude), by the perceived social pressure to engage or
21
22 145 not to engage in the behavior (subjective norm), and by one's own perceived capability to
23
24 146 perform the behavior successfully (perceived behavioral control). Together, these
25
26 147 components lead to a positive or negative intention to perform the targeted behavior,
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28 148 which, in turn, efficiently predicts the actual behavior.

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33 149 In recent years, the TPB has been fruitfully adopted in sustainable agricultural
34
35 150 studies. Wauters et al. (2010) showed that farmers' attitudes predicted the adoption of soil
36
37 151 conservation practices in Belgium. Moreover, Menozzi, Fioravenzi, and Donati (2015)
38
39 152 found that attitudes positively affected farmers' intentions to implement eco-friendly
40
41 153 farming practices in Italy, whereas attitudes and perceived behavioral control predicted the
42
43 154 intention to adopt voluntary sustainability schemes. Finally, in their study of the adoption
44
45 155 of green innovations in Greece, Lioutas and Charatsari (2017) noted that subjective norms
46
47 156 did not affect the adoption decision.

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51 157 However, in these studies, external factors influencing attitudes, subjective norms,
52
53 158 and perceived behavioral control have been underinvestigated. A relevant role could be
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55 159 played by the potential users' exposure to different sources of information, in that this
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57 160 variable has been shown to be a key factor in a wide range of decision-making situations
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3 161 (Rogers & Beal, 1958). Moreover, information asymmetry has been listed among the
4
5 162 barriers to the diffusion of technological innovations, both in agriculture and in other fields
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7
8 163 (Long et al., 2016; Lu et al., 2005; Tabrizian, 2019).

9
10 164 Regarding innovation adoption, in the present study, we built on the innovation
11
12 165 diffusion theory developed by Rogers (2010) to investigate the role played by information
13
14 166 sources on farmers' adoption of sustainable measures. Moreover, based on the "Guidelines
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17 167 for Collecting and Interpreting Innovation Data" of the European Community Survey
18
19 168 (Organisation for Economic Co-operation and Development [OECD], 2005), we
20
21 169 considered innovation the implementation of a relevantly improved product, process, or
22
23 170 method in business practices, productive organizations, or relations. Thus, consistent with
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26 171 Genius and Pantzios (2014; see also Unay Gailhard et al., 2015), we considered
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28 172 innovations to be not only technological developments, which are typically considered a
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30 173 disruptive advancement in agriculture (Cavallo, Ferrari, & Coccia, 2015), but also agri-
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32 174 environmental practices and strategies and organizational and managerial procedures,
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34 175 which are innovative and can enhance the environmental sustainability of a production
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36 176 activity (Biddoccu, Opsi, & Cavallo, 2014; Pulina et al., 2018).

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38
39 177 According to Rogers (2010), the diffusion of innovation is the "process by which an
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41 178 innovation is communicated through certain channels over time among the members of a
42
43 179 social system" (p. 46). Information is shared with potential users through two main
44
45 180 channels: one impersonal channel (i.e., without a direct face-to-face exchange, such as the
46
47 181 mass media) and one personal channel (i.e., communication contacts that involve a direct
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49 182 face-to face exchange). Personal contacts can be further divided into informal contacts
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51 183 with relatives and peers and formal contacts with institutionalized sources. Valente (1995)
52
53 184 defines the diffusion of innovation as a communication process in which an adopter
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55 185 persuades those who have not yet adopted to adopt. Through the communication channels,
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186 potential users are at first exposed to an innovation, and if they are interested, they actively
187 seek related information. Then, the individual weighs the advantages/disadvantages of
188 using the innovation and decides whether to adopt or reject the innovation. Then, he/she
189 finalizes his/her decision to continue using the innovation.

190 The few studies referring to Rogers' (2010) constructs for the adoption of sustainable
191 measures in agriculture showed that impersonal communication is the major source of
192 knowledge about an innovation (Defrancesco, Gatto, Runge, & Trestini, 2008; McBride &
193 Daberkow, 2003), while personal contacts are more effective in forming and changing
194 attitudes toward a new idea and thus in influencing the decision to adopt or reject it
195 (McBride & Daberkow, 2003). In addition, personal contacts are more effective than the
196 impersonal channel in enhancing users' innovativeness and adoption behavior, since they
197 involve users in a more direct way, thus making it difficult to ignore the message (Unay
198 Gailhard et al., 2015).

199 Regarding formal and informal personal sources, contrasting results have been
200 reported. Some studies have shown that farmers who participate in formal organizations
201 are more inclined to adopt different types of innovations (Jallow, Awadh, Albaho, Devi, &
202 Thomas, 2017; Unay Gailhard, Bavorová, & Pirscher, 2012), whereas other research has
203 noted the higher efficacy of frequent informal contacts (Defrancesco et al., 2008; Polman
204 & Slangen, 2008). However, in these studies, the role of the information environment has
205 usually been analyzed through discrete choice (logit or probit) models, while only
206 mediated models allow the researcher to detect the reason for the association between the
207 independent and dependent variables.

Hypotheses

209 The research conducted by Rogers (2010) showed that innovation adoption starts
210 with diffusion through communication channels. Since the adoption behavior is one type of

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211 behavior and the TPB postulates three components that explain a behavior (Ajzen, 1991),

212 we tested the following hypotheses:

213 H1: Having received information will show a positive association with attitudes

214 toward adoption;

215 H2: Having received information will show a positive association with subjective

216 norms toward adoption; and

217 H3: Having received information will show a positive association with perceived

218 behavioral control over adoption.

219 Given the inconsistent results in the extant literature, we made no specific

220 hypotheses regarding the association between each different source of information and

221 attitudes, subjective norms and perceived behavioral control.

222 According to the TPB (Ajzen, 1991), people who have positive attitudes toward the

223 behavior, who think that there is normative support for it, and who perceive that they can

224 easily perform it should have strong intentions to perform the behavior. Accordingly, we

225 developed and tested the following hypotheses:

226 H4: Positive attitudes will show a positive association with adoption;

227 H5: Higher perceived behavioral control will show a positive association with

228 adoption; and

229 H6: Higher social pressure (i.e., subjective norms) will show a positive association

230 with adoption.

231 Considering the variety of sustainable measures listed in the literature (Lioutas &

232 Charatsari, 2017; Unay Gailhard et al., 2015; Wauters et al., 2010), the model parameters

233 were tested across two different types of sustainable measures: technological solutions and

234 organizational/managerial practices (Lioutas & Charatsari, 2017). This approach allowed

235 us to determine whether the decision-making process varies for the different investigated

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236 measures and to eventually note the critical variables to be addressed with targeted
237 interventions to promote farmers' adoption of each type of sustainable measure.

238 **Context of the study**

239 We investigated the abovementioned issues in a sample of Italian farmers. Europe is
240 a particularly relevant context to investigate the issues related to the adoption of
241 sustainable measures, since the environmental objectives have become increasingly
242 integrated into the EU's Common Agricultural Policy, leading to an augmented importance
243 of understanding and anticipating the response of individual actors to policy measures
244 integrating sustainable practices (Burton, 2004a; Defrancesco et al., 2008; Long et al.,
245 2016). Among the EU-28 countries, Italy has the second-largest agriculture, with a
246 turnover of more than EUR 55 billion in 2015 (Eurostat, 2016). Italian farmers are
247 generally not deeply oriented toward environmental protection and sustainability (Menozzi
248 et al., 2015). Within Italy, the Piedmont region (northwestern Italy) offers an apt
249 representation of the Italian farming system and rural population, since it includes
250 approximately 10% of the total Italian utilized agricultural area and over 61,000 out of the
251 1,620,884 Italian agricultural holdings operate in this region (Istituto Nazionale di
252 Economia Agraria [INEA], 2014).

253 **Materials and methods**

254 **Participants and setting**

255 The study involved a sample of 199 male farmers. Table 1 summarizes their
256 characteristics. The participants were recruited among the visitors of the 37th National
257 Exhibition of Agricultural Mechanization in Savigliano, the largest agricultural machinery
258 exhibition in the Piedmont region. The 2018 edition of the show (March 15-18) was
259 attended by over 60,000 visitors, with an exhibition area of approximately 49,000 m². The
260 Savigliano Exhibition is a public event that mostly features equipment but also attracts

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261 people and families because of its recreational and entertaining activities. Agricultural
262 exhibitions play an important role in the life of Italian small country towns, where they
263 often combine amusement elements, breeding stock exhibitions and sports happenings,
264 with lectures, seminars and conferences. These features make this kind of agricultural
265 exhibitions a suitable place for survey and data collection (Caffaro, Micheletti Cremasco,
266 Roccato, & Cavallo, 2018a; Cavallo, Görücü, & Murphy, 2015; Ferrari, Spinelli, Cavallo,
267 & Magagnotti, 2012; Reichardt, Jürgens, Klöble, Hüter, & Moser, 2009; Reichardt &
268 Jürgens, 2009).

Instrument

270 Participants were administered a 21-item paper-and-pencil questionnaire (see
271 Appendix 1) that was pilot-tested before use. The questionnaire was composed of 4
272 sections. In the first section, participants had to choose from a list of six sustainable
273 measures the one that they had already experienced or used or had heard about. The six
274 measures represented two types of innovations: technological improvements (sensors and
275 apps, systems for precision agriculture, and systems for diesel engine exhaust gas emission
276 abatement) and organizational/managerial practices (use of organic fertilizers, conservation
277 tillage, and rational use of water). These six measures were chosen to represent the
278 different types of sustainable innovations described by Lioutas and Charatsari (2017), and
279 they emerged as the most frequently cited measures in previous studies regarding
280 agricultural sustainability in different European countries (Lioutas & Charatsari, 2017;
281 Unay Gailhard et al., 2015; Wauters et al., 2010).

282 After this choice, the participants were asked to indicate whether they actually used
283 or intended to use the measure they had chosen on a 4-point rating scale based on
284 McDonald and Alpert (2001) (0: I do not use it and do not intend to adopt it; 1: I do not use

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3 285 it, but I may adopt it in the future; 2: I do not use it, but I am planning to adopt it; 3: I have
4
5 286 already adopted it in my farm).

7 287 In the second section of the questionnaire, building on Adrian, Norwood, and Mask
8
9
10 288 (2005) and on Ajzen (2002), participants had to report on a 4-point scale (ranging from 1: I
11
12 289 not at all agree to 4: I completely agree) their agreement with the following 3 statements
13
14 290 regarding their attitude toward the chosen measure: adopting this measure is useful for
15
16 291 farm operations, adopting this measure is beneficial for farm operations, and adopting this
17
18 292 measure is stressful. These items were designed to represent both the instrumental and
19
20 293 experiential components of the overall attitude toward a behavior (Ajzen, 2002).

23 294 In the third section, subjective norms and perceived behavioral control (Ajzen, 2002)
24
25 295 were measured. Based on the scales developed by Lioutas and Charatsari (2017), the
26
27 296 participants had to indicate on a 4-point scale (from 1: not at all true to 4: completely true)
28
29 297 how true they considered the following statements about the possible reasons to adopt the
30
31 298 chosen measure: being motivated by my friends, because people around me adopted it, I
32
33 299 want to keep up with the new trends within my community, I want to enjoy the
34
35 300 appreciation of my peers, I did not want to be the last to adopt it, I had the expertise needed
36
37 301 to apply it, and I thought it would be easy to deal with it.

40 302 In the fourth section, the participants had to indicate on a 4-point scale (1: never, 4:
41
42 303 often) how often they were exposed to the following different sources of information about
43
44 304 the chosen measure: exhibitions, journals/advertisements/internet, training courses,
45
46 305 discussions with peers/relatives, and discussions with consultants/trade organizations.
47
48 306 These sources of information were selected based on those emerging from the literature
49
50 307 and previous studies as the most recurrent sources of information about innovation among
51
52 308 farmers (Rogers & Beal, 1958; Unay Gailhard et al., 2012). Based on Rogers and Beal
53
54 309 (1958), we expected the following factorial structure of the battery: discussions with
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310 peers/relatives to load on one factor (i.e., ‘personal-informal’); training courses,
311 discussions with consultants/trade organizations to load on another factor (i.e., ‘personal-
312 formal’); and journals/advertisements/internet and exhibitions to load on another factor
313 (i.e., ‘impersonal’).

314 A standard sociodemographic form that assessed participants’ work-related
315 characteristics (profession, years of experience in the agricultural sector and farm size)
316 ended the questionnaire.

317 **Procedure**

318 Trained research assistants provided the questionnaire to exhibition attendees. The
319 assistants explained the aims of the study and informed the participants that the
320 questionnaire was anonymous. The questionnaire was in Italian, and its completion took
321 approximately 5-6 minutes. No incentive was offered to participate in the survey. The
322 response rate was approximately 90%.

323 **Data analysis**

324 We tested our hypotheses via a series of path analyses and utilized Amos 20
325 (Arbuckle, 2014). Path analysis is an extension of multiple regression that is particularly
326 effective in the pursuit of our goals for reasons that are twofold. First, path analysis allows
327 us to test mediated models, i.e., models in which some variables (in our case, the sources
328 of information) are postulated as causes, other variables are postulated as effects (in our
329 case, the adoption of agri-environmental sustainable innovations), and still other variables
330 are postulated as mediators, i.e., as effects of the former and causes of the latter (in our
331 case, the attitudes toward the adoption, the subjective norms about the adoption, and the
332 perceived behavioral control over the adoption). Second, path analysis allows for the
333 testing of the fit of the model to the data, giving the researcher much richer diagnostic
334 information on the model than that available in the multiple regression approach. We

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335 estimated our models using the maximum likelihood estimate, which facilitates the
336 identification of the parameters that maximize the likelihood function linking the model to
337 the observations.

338 We considered parameters with an associated p value $< .05$ to be significant. The fit
339 of the model was tested by combining different indices: the incremental fit index (*IFI*;
340 Bollen, 1990); the comparative fit index (*CFI*; Bentler, 1990); and the root mean square
341 error of approximation (*RMSEA*; Steiger, 1990). Based on the guidelines proposed by
342 Bentler (1990), we considered the *IFI* and the *CFI* to be satisfactory if they were higher
343 than .90. The *RMSEA* was considered satisfactory if it was lower than .05 and acceptable if
344 it was lower than .08. With the exception of the tests of structural invariance (see below),
345 when evaluating the overall fit of the model, we did not take into consideration the χ^2 ,
346 since its significance depends on the sample size.

347 Moreover, we tested the structural invariance of the model across the two types of
348 sustainable measures we analyzed. As suggested by Reise, Widaman, and Pugh (1993), we
349 first tested the fit of a *baseline model*, in which the model was tested simultaneously in the
350 participants who answered the questionnaire making reference to technological solutions
351 (sensors/apps, precision agriculture, and emission abatement) and in those who answered
352 the questionnaire making reference to organizational/managerial practices (use of organic
353 matter, conservation tillage, and rational use of water). Subsequently, we tested the fit of
354 an *invariant model*, in which all the parameters were constrained to be equal across the two
355 groups of sustainable measures. The model was considered invariant if the difference in
356 the χ^2 of the invariant model and of the baseline model did not reach statistical significance
357 for a number of degrees of freedom (DF) equal to the difference in DF of the two models.
358 In this case, we could indeed conclude that constraining the model's parameters to be equal
359 across the two groups of participants did not lead to a significant worsening in the model's

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360 fit. In this case, the use of the χ^2 did not lead to a distortion, since the N of the two models
361 was the same.

Results

363 Table 2 reports the descriptive statistics for the variables we measured and their
364 correlations.

365 As a first step, we tested the model we described in the Hypotheses paragraph.

366 Some of the parameters of such an initial model did not reach statistical significance.
367 Moreover, this model's fit was not satisfactory ($\chi^2(9) = 124.14, p < .001, IFI = .24, CFI =$
368 $.18, RMSEA = .25, 90\% CI = .22, .30$). Thus, we performed a series of other path analyses,
369 progressively deleting the variables that had nonsignificant associations with the predicted
370 variables and/or those worsening the fit of the model. These analyses led us to obtain the
371 model displayed in Figure 1. All of its parameters were statistically significant (all $ps <$
372 $.05$), and its fit was satisfactory ($\chi^2(4) = 6.78, p = .15, IFI = .97, CFI = .97, RMSEA = .06,$
373 $90\% CI = .00, .13$). Thus, we considered it our final model. Unidirectional arrows
374 represent regression betas, and bidirectional arrows represent correlations.

375 Contrary to H1, impersonal information showed a negative association with attitude
376 toward adoption. Contrary to H2, none of the sources of information showed a significant
377 association with our social-psychological variables. However, consistent with H3,
378 exposure to personal-formal information was positively associated with perceived
379 behavioral control. Consistent with H4 and H5, respectively, attitude toward adoption and
380 perceived behavioral control showed a positive association with participants' intention to
381 adopt agri-environmental sustainable innovation. However, contrary to H6, subjective
382 norms about adoption did not show a significant association with the dependent variable.
383 Bootstrap estimation (500 samples) showed that both of these indirect associations were
384 significant (*indirect effects* = .03, $p = .01$ and *indirect effects* = -.03, $p = .01$, respectively).

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3 385 The model explained approximately 11% of the variance of the dependent variable ($R^2 =$
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5 386 .11). Figure 1 shows the parameters we analyzed.

7 387 Table 3 shows that our final model's parameters were invariant across the type of
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9 388 sustainable measures (technological solutions and organizational/managerial aspects).

12 389 Discussion

14 390 In this study, we adopted a human-centered systems ergonomics perspective to investigate
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16 391 the drivers of the adoption of sustainable measures in a sample of Italian farmers. Although
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18 392 the explicit reference to the concept of sustainable development is relatively new in
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20 393 ergonomics, the underlying ideas and approaches are not, particularly the systems
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22 394 approach (Thatcher & Yeow, 2016). Indeed, the identification and visualization of links
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24 395 and relationships between the different human-technical components of the system may
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26 396 support the management of drivers and indicators that precede key social and
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28 397 environmental impacts (Schaltegger et al., 2017).

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31 398 In particular, we analyzed the effects of the interaction between subjective factors
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33 399 considered in the TPB (Ajzen, 1991) and the exposure to different sources of information
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35 400 considered in Rogers' theory about innovation diffusion (Rogers, 2010) to identify critical
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37 401 paths and components that may benefit from user-centered interventions (ISO 9241-
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39 402 11:2018) aimed at enhancing the adoption of sustainable innovations in farming.

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42 403 The results showed that exposure to impersonal and personal-formal information
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44 404 sources was associated with attitudes toward adoption and perceived behavioral control,
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46 405 which in turn were positively associated with the adoption of sustainable measures. In
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48 406 particular, personal-formal contacts had a positive association with perceived behavioral
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50 407 control, whereas impersonal information sources had a negative association with attitudes.

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52 408 More specifically, the positive association between exposure to formal personal
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54 409 sources of information (e.g., farmers' associations and training courses) and the adoption
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3 410 of a sustainable measure is consistent with previous results by Unay Gailhard et al. (2015),
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5 411 which showed that an effective diffusion of information on organic farming could be
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7 412 expected among German farmers when they were approached by formal organizations.
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9 413 This positive association also confirms McBride and Daberkow's (2003) evidence that
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11 414 technical (or "how-to") information from sources including vendors and professional
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13 415 consultants were the most important to the potential adopters of precision farming
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15 416 technologies among US farmers.

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19 417 This result could be interpreted by considering Roger's (2010) concepts of
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21 418 homophily and heterophily and their role in innovation diffusion and adoption. Homophily
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23 419 is the degree to which a pair of individuals who communicate are similar, whereas
24
25 420 heterophily is the degree to which pairs of individuals who interact are different in certain
26
27 421 attributes. In the diffusion of innovation, the participants are usually quite heterophilous (a
28
29 422 consultant, for instance, is more technically competent than his/her clients), and this
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31 423 information asymmetry (Tabrizian, 2019) frequently leads to ineffective communication
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33 424 because the two individuals do not speak the same language. However, when two
34
35 425 individuals are identical regarding their technical grasp of an innovation, diffusion cannot
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37 426 occur, as there is no new information to exchange. Thus, the nature of diffusion demands
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39 427 that at least some degree of heterophily be present between the two participants in the
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41 428 communication process (Rogers, 2010). Formal communication in our sample appeared to
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43 429 be successful in helping individuals overcome informational asymmetry, since it enhanced
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45 430 our participants' perceived behavioral control of the innovation, fostering further
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47 431 investigations on how the information is provided to potential users, i.e., the key messages
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49 432 conveyed and the media through which they are disseminated.

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56 433 The significant effect of perceived behavioral control on innovation adoption is
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58 434 consistent with that stemming from the study by Fielding, Terry, Masser, and Hogg (2008),
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2
3 435 which found that perceived behavioral control was a significant predictor of the intention
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5 436 to engage in riparian zone management among Australian farmers. As noted by Rogers
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7 437 (1976), the topic of control is strictly interwoven with the concept of development, which
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9 438 can be defined as “a widely participatory process of social change in a society intended to
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11 439 bring about both social and material advancement (including greater equality, freedom, and
12
13 440 other valued qualities) for the majority of people through their gaining greater control over
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15 441 their environment” (p.224). Enabling farmers to perceive the adoption of innovative
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17 442 farming measures as being under one’s own volitional control appeared in our study to be a
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19 443 key concept promoting sustainable development in agriculture, and it shall be pursued
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21 444 through user-centered institutionalized communication activities built on the limits and
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23 445 capabilities of the potential users (Agbedahin, 2019). Similar to the proposal of Hickman,
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25 446 Rogers, and Fisk (2007) regarding training on a hydroponic garden control system, some
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27 447 practical training could also be proposed, with periodic refreshes when technological
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29 448 upgrades are released or new practices are introduced.
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35 449 Based on the present results, personal sources of information may have a substantial
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37 450 capacity to influence farmers’ decision-making about the adoption of sustainable measures,
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39 451 highlighting the need to involve farmers’ associations and consultants in the organization
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41 452 of informative events and focused training activities to provide farmers with the skills
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43 453 needed to address different practices and innovations. Education has been acknowledged
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45 454 as the most powerful transformative force in the achievement of a sustainable future
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47 455 (Hopkins & McKeown, 2001). Education requires a participatory approach in teaching and
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49 456 in the development of training methods (Caffaro, Micheletti Cremasco, Bagagiolo,
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51 457 Vigoroso, & Cavallo, 2018b) to motivate and empower both apprentices and teachers to
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53 458 learn, reflect, change their behavior, and take action for sustainable development. The
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55 459 participation of stakeholders is deeply rooted in the vision of sustainable development as a
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collaborative process of involving stakeholders to initiate and diffuse the technical, organizational, and social innovations necessary for greater sustainable development, with regard to environmental, social, and economic aspects (Moss & Fichter, 2003).

On the other hand, the results of the study showed that impersonal sources of information (e.g., exhibitions, internet, and advertisements) affect the adoption of a sustainable measure through a negative association with attitude. The role played by attitude is consistent with those identified in previous studies applying the TPB to the agricultural domain, in which farmers' attitudes consistently emerged as an important predictor of the adoption of soil erosion control practices in Belgium (Wauters et al., 2010), riparian zone management in Australia (Fielding et al., 2008), and climate information use and water conservation activities in Iran (Sharifzadeh, Zamani, Khalili, & Karami, 2012; Yazdanpanah, Hayati, Hochrainer-Stigler, & Zamani, 2014). The present result highlighted the importance of understanding farmers' attitudes to effectively promote the adoption of sustainable innovations. Further insights into the critical factors in the development of attitudes will be necessary to design policy measures that can alter farmers' attitudes and thus promote engagement in sustainable practices.

Innovatively, compared to the existing literature, impersonal sources of information showed a negative association with attitudes. Information disseminated by the mass media is usually the most common channel through which farmers are made aware of the existence of an innovation (McBride & Daberkow, 2003). Ineffective communication at this level can lead to a lack of awareness, resulting in failed diffusion and lower rates of adoption (Rogers, 2010). Based on the present results, it is possible that current traditional argument-based communication campaigns should be reconsidered, and tailored communication approaches that take different farmers' attitudes into account could be recommended (Jansen, Renes, & Lam, 2010). As suggested by Menozzi et al. (2015), a

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3 485 peripheral route of communication using implicit persuasion techniques (Jansen et al.,
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5 486 2010), which is recommended when farmers are less motivated to perform the desired
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7 487 behavior, could also be appropriate.

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10 488 Personal-informal sources of information did not show any significant relationships
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12 489 with attitudes, subjective norms, perceived behavioral control, and the adoption of a
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14 490 sustainable measure. Similarly, subjective norms did not show any association with
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16 491 adoption. At first glance, the lack of association between personal-informal sources of
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18 492 information and the dependent variable could appear somewhat surprising, since the
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20 493 literature showed that interpersonal informal networks comprising friends and colleagues
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22 494 represent an important source of information (Unay Gailhard et al., 2012, 2015). On the
23
24 495 other hand, the result regarding subjective norms is consistent with the evidence of the
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26 496 meta-analytic review about TPB (Armitage & Conner, 2001), which noted that subjective
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28 497 norms were the weakest predictor of actual behavior, as well as with the results of previous
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30 498 studies applying the constructs of TPB to the agricultural sector (Lioutas & Charatsari,
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32 499 2017; Menozzi et al., 2015; Wauters et al., 2010). The nonsignificant effects of informal
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34 500 communication and subjective norms could be interpreted by considering that farmers
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36 501 attribute high importance to independence (Caffaro et al., 2018c; Sullivan, 1996) and often
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38 502 perceive themselves as uninfluenced by neighboring farmers' opinions and behaviors
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40 503 (Burton, 2004a, 2004b). This evidence may suggest that key stakeholders in sustainability
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42 504 promotion (e.g., public authorities) should improve the involvement of farmers in
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44 505 exchanging information and sharing the benefits of implementing sustainable measures.
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51 506 On a related note, our model was invariant across the two different types of
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53 507 sustainable measures considered. Further investigations that widen the range of sustainable
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55 508 measures surveyed could be interesting. At present, however, based on our results,
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57 509 sustainable measures diffusion appears to be a general process that displays patterns and
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3 510 regularities that are not bound by the type of innovation studied (Rogers, 2004). Thus, we
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5 511 provisionally conclude that communication interventions aimed at widening the adoption
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7 512 of sustainable measures do not need to be tailored to the characteristics of the specific
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9 513 measure one aims to promote.

514 Limitations of the study and future research developments

14 515 Some limitations of the present study should be acknowledged. First, the participants
16 516 were farmers only from the Piedmont region and were not chosen via a random sampling
18 517 procedure. However, the suboptimal representativeness of our sample could be less
20 518 problematic than it may appear at first glance for reasons that are twofold. First, previous
22 519 studies have shown that farmers from Piedmont can be usefully surveyed to analyze the
24 520 dynamics of the Italian farming population (Caffaro et al., 2018b). Second, we were
26 521 interested in studying the relations between variables in at least bivariate analyses and not
28 522 their absolute state as resulting from univariate analyses. In these cases, the bias stemming
30 523 from the lack of complete representativeness of the sample is significantly less impacting
32 524 (Roccatò, 2008). Moreover, participants were selected among the visitors of an agricultural
34 525 exhibition. Generally, according to the literature on social capital theory, one could expect
36 526 that our sample was probably composed of farmers who are more open to innovative/new
38 527 ideas relative to nonparticipants (Polman & Slangen, 2008). If this were true, our sampling
40 528 process could lead to a selection bias. However, due to the very peculiar characteristics of
42 529 the Savigliano exhibition (which combines amusement elements with seminars and
44 530 conferences), we are confident that participants involved in the study nicely represent
46 531 Italian farmers. Thus, as a whole, we are confident about the validity of our results.
48 532 However, new research performed in additional regions of Italy and in other countries to
50 533 obtain more generalizable results would be welcome.

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534 Moreover, the findings of this study need to be interpreted with caution due to the
535 collection of cross-sectional data, which does not allow for the establishment of causal
536 links among the investigated variables. To address this issue, in a future development of
537 the research, a longitudinal design with a weekly recording of the exposure to different
538 sources of information about sustainable measures (e.g., exhibitions, training courses, and
539 informal communications) could be developed (similar to what has been developed for
540 farm accidents by Glasscock, Rasmussen, Carstensen, & Hansen, 2006), and the actual
541 adoption of the targeted innovations could be monitored over a longer time period.

542 Finally, in the present study, we relied on self-report data. Although self-reporting is
543 a common strategy in this kind of investigation (Defrancesco et al., 2008; Lioutas &
544 Charatsari, 2017; Menozzi et al., 2015) and in ergonomics research (Kirwan & Ainsworth,
545 1992), it might be that participants' responses were affected by memory bias. Thus, in a
546 future development of the research, it will be useful to triangulate (MacLeod, Wells, &
547 Lane, 2000) this kind of data with on-field observations to provide further consistent
548 results. Additionally, the recall of autobiographical memories stimulated by single words
549 or phrases, as discussed by Charatsari (2014), could be used in new research to investigate
550 farmers' attitudes toward sustainable innovations and their course over time.

551 Autobiographical memories indeed allow us to collect retrospective data that are less
552 vulnerable to response bias, since participants are not asked direct questions on the target
553 topic.

Conclusions

555 The choice to adopt sustainable innovations is a rather complex process among farmers,
556 that needs to be analyzed by integrating theories drawn from diverse fields of study, both
557 social-psychological and technical in nature. Some recommendations arise from the
558 present study: to encourage the adoption of innovations (regardless of whether it is

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3 559 technological or organizational/managerial, Lioutas & Charatsari, 2017), it would be useful
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5 560 to adopt multidimensional measurements and a systems ergonomic approach focusing on
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7 561 the skills needed to empower farmers and enhance their perceived control of the
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9 562 innovation. In addition, information campaigns could be developed adopting a bottom-up
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11 563 participatory approach to the diffusion of innovation. To maximize the fit between
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13 564 farmers' needs and sustainable innovation, such an approach should be based on the co-
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15 565 creation of tailor-made and customized innovative communication solutions to better
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17 566 support the transition toward a more sustainable farming paradigm.
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21 567 **Competing interests:** None to declare.
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Drivers of Innovation Adoption for Sustainable Agriculture

810 Table 1. *Main Socio Demographic Characteristics of the Participants.*

Variable	Levels	<i>n</i>	%	<i>M</i>	<i>SD</i>
Gender	Male	199	100		
	Female	0	0		
Occupation	Farmer	108	54.82		
	Farmworker	18	9.14		
	Contractor ^a	3	1.52		
	Other ^b	70	34.52		
Education	Elementary school	5	2.54		
	Middle school	68	34.52		
	High school	108	53.80		
	University degree	18	9.14		
Farm size	Up to 2 ha	28	14.74		
	From 2 to 9 ha	58	25.79		
	From 10 to 29	51	26.84		
	From 30 to 49	32	16.84		
	50 and over	30	15.79		
Age				38.46	16.92
Years of work experience in agriculture				17.60	15.75

^a Someone who is temporally hired jointly with a specific equipment to perform work at a certain price or within a certain time.

^b Includes the so-called 'part-time' farmers, those who do not have an official role in the agricultural industry but, in addition to their main occupation, spend time working in agriculture and using agricultural machinery (Singh & Williamson, 1981).

Drivers of Innovation Adoption for Sustainable Agriculture

812 Table 2. *Descriptive Statistics for the Variables Investigated and Bivariate Correlations between Them.*

	Mean	SD	1	2	3	4	5	6	7
1. Personal-informal information (source: Rogers, 2010)	2.17	.98	1	.40***	.12	-.10	.02	.10	.13
2. Personal-formal information (source: Rogers, 2010)	1.20	.87		1	.20**	-.06	.12	.14	.18*
3. Impersonal information (source:Rogers, 2010)	1.64	.79			1	-.13	.05	.05	.01
4. Attitude toward adoption (source: Adrian, Norwood, & Mask, 2005)	2.86	.71				1	.12	.52***	.29***
5. Subjective norm (source: Lioutas & Charatsari, 2017)	1.86	.58					1	.10	-.03
6. Perceived behavioral control (source: Lioutas & Charatsari, 2017)	2.97	.79						1	.29***
7. Adoption (source: McDonald & Alpert, 2001)	2.40	.97							1

813 *Note.* ** $p < .01$. *** $p < .001$.

Drivers of Innovation Adoption for Sustainable Agriculture

814 Table 3. *Structural Invariance of the Final Model across Type of Sustainable Measure*

	χ^2	<i>IFI</i>	<i>CFI</i>	<i>RMSEA</i> (90% <i>CI</i>)	χ^2 difference
B model	$\chi^2(8) = 10.70, p = .22$.97	.97	.04 (.00, .10)	
I model	$\chi^2(13) = 13.72, p = .39$.99	.99	.02 (.00, .07)	$\chi^2(5) = 3.02, p = .70$

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For Peer Review

Drivers of Innovation Adoption for Sustainable Agriculture

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3 817 Figure captions.
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5 818 *Figure 1. Prediction of Participants' Intention to Adopt Sustainable Agri-Environmental*

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7 819 Innovations as a Function of Sources of Information Exposure and Social-Psychological

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10 820 Variables.
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For Peer Review

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Appendix 1. The questionnaire we used in the study.

MEASURES FOR SUSTAINABLE AGRICULTURE: TELL US WHAT YOU THINK!

We are conducting a survey on the diffusion of practices and technologies for sustainable agriculture on farms. Thinking about the different types of strategies that are proposed to you, select the picture on each statement that best represents your thoughts.

Among the following the strategies for agricultural sustainability, please choose the one you had already experienced or used or had heard about:

- Rational use of water water (e.g., drip irrigation)
- Use of organic fertilizers (burying of crop residues, green manure, compost)
- Conservation tillage (minimum/zero tillage, crop rotation)
- Systems for diesel engine exhaust gas emission abatement (urea-water solution – SCR, particulate filter – DPF)
- Sensors and apps for farm management (humidity sensors, temperature sensors, smartphone apps)
- Precision agriculture (driver assistance system, variable rate treatments)

Thinking about the strategy you have chosen, please tell us whether you use it or intend to use it in your farm:

	I have already adopted it in my farm	I do not use it, but I am planning to adopt it	I do not use it, but I may adopt it in the future	I do not use it and do not intend to adopt it
Rational use of water (e.g., drip irrigation)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Use of organic fertilizers (burying of crop residues, green manure, compost)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Conservation tillage (minimum/zero tillage, crop rotation)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Systems for diesel engine exhaust gas emission abatement (urea-water solution – SCR, particulate filter – DPF)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sensors and apps for farm management (humidity sensors,	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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temperature sensors, smartphone apps)

Precision agriculture (*driver assistance system, variable rate treatments*)

831

832 Thinking about the strategy you have chosen, answer the following questions:

How much do you agree with the following statements about the chosen measure?

I not at all
agree

I completely
agree

Adopting this measure is useful for farm operations

1	2	3	4
---	---	---	---

Adopting this measure is beneficial for farm operations

1	2	3	4
---	---	---	---

Adopting this measure is stressful

1	2	3	4
---	---	---	---

833

How true are for you the following statements on the possible reasons to adopt the measure?

not at all
true

completely
true

Being motivated by my friends

1	2	3	4
---	---	---	---

Because people around me adopted it

1	2	3	4
---	---	---	---

I had the expertise needed to apply it

1	2	3	4
---	---	---	---

I want to keep up with the new trends within my community

1	2	3	4
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I thought it would be easy to deal with it

1	2	3	4
---	---	---	---

I want to enjoy the appreciation of my peers

1	2	3	4
---	---	---	---

I did not want to be the last to adopt it

1	2	3	4
---	---	---	---

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How often did you use the following sources to inform you about this measure?

Never

Rarely

Sometimes

Often

Exhibitions

Journals/advertisements/internet

Discussions with peers/relatives

Discussion with consultants/trade organizations

Training courses

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SOCIO-DEMOGRAPHIC INFORMATION

837
838 **Gender:** Male Female

839 **Age:** _____

840 **Education:**

None Middle school diploma Bachelor/Master Degree

Elementary school diploma High school diploma Post-graduate

841 **Profession:**

Farmer Contractor

Farmworker Other _____

842 **Years of work experience in agriculture** _____

843 **Farm size (ha):**

Up to 2 From 30 to 49

From 2 to 9 50 and over

From 10 to 29

844

Figure 1.

