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1 **Assessment of volatile fingerprint by HS-SPME/GC-qMS and E-nose for the**  
2 **classification of cocoa bean shells using chemometrics**

3

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8

9 **Abstract**

10 Cocoa bean shell (CBS) is a main by-product of cocoa processing, with great  
11 potential to be used as ingredient for functional foods due to its nutritional and flavour  
12 properties. This study aimed to characterize and classify CBSs yielded from cocoa  
13 beans of diverse cultivars and collected in different geographical origins through their  
14 volatile profile assessed by headspace solid-phase microextraction gas chromatography-  
15 mass spectrometry (HS-SPME/GCqMS) and E-nose combined with Principal  
16 Component Analysis (PCA). The study provides, for the first time, in a large set of  
17 samples a comprehensive fingerprint and semi-quantitative data for more than 100  
18 volatile organic compounds (VOCs) such as aldehydes, ketones, pyrazines, alcohols,  
19 acids and others. Through PCA, a clear separation of *Criollo* cultivar from the others  
20 cultivars were achieved with both GC-qMS and E-nose analytical techniques due to the  
21 high content of key-aroma VOCs. Several biomarkers identified by GC-qMS, such as 2-  
22 hepanol, 2-methylpropanoic acid and 2,3,5-trimethylpyrazine, recognized as key-aroma  
23 compounds for cocoa, were found suitable for the classification of CBS according to the  
24 quality and origin of CBS. GC-qMS and E-nose appeared to be suitable analytical  
25 methodologies to classify CBS with high correlation between both analytical  
26 techniques. The volatile profile and classification of CBS will allow the selection of  
27 samples with a specific flavour profile according to the food application and therefore  
28 constitute an interesting approach to valorise this by-product as a food ingredient.

29

30 **Keywords (6-12)**

31 Cocoa bean shell, Cocoa by-product, HS-SMPE-GC-qMS, E-nose, Principal component  
32 analysis, volatile fingerprint, chemical markers, cocoa flavour/aroma

33

34 **Highlights** (85 characters, including spaces)

- 35 • The volatile fingerprint of CBS was established by HS-SPME/GC-qMS
- 36 • CBSs were classified by GC-qMS/PCA according to geographical origin and  
37 cultivar
- 38 • Several cocoa key-flavour markers present in CBSs contribute to the  
39 classification
- 40 • GC-qMS and E-nose allowed the discrimination of *Criollo* CBSs
- 41 • High correlations were found between E-nose and GC-qMS data sets

42

43 **Abbreviations**

44 CBS, cocoa bean shell; HS-SPME/GC-qMS, headspace solid-phase micro-extraction  
45 coupled with gas chromatography–quadrupole mass spectrometry; VOC, volatile  
46 organic compound; E-nose, electronic nose; ISTD, internal standard; PCA, principal  
47 component analysis.

48

## 49 **1. Introduction**

50 Cocoa bean (*Theobroma cacao* L.) is a ubiquitous edible product consumed across  
51 the world, of great economic significance, and the key raw material for chocolate  
52 manufacturing (Aprotosoaie, Luca, & Miron, 2016). According to the International  
53 Cocoa Organization, the world production of cocoa beans reached 4.7 million tonnes in  
54 the season 2016/2017 and the major producers are the West African countries, Ivory  
55 Coast and Ghana, and countries located in other tropical areas, like Central and South  
56 America (Brazil and Ecuador) or Southern Asia (ICCO, 2018).

57 The world cocoa market typically separates cocoa beans in two main categories  
58 according to their flavour, namely bulk or basic cocoa and fine or flavour cocoa  
59 (Afoakwa, Paterson, Fowler, & Ryan, 2008). Bulk cocoa is mainly produced from  
60 *Forastero* cultivar, with ordinary flavour properties and make up 95% of the world's  
61 total cocoa production. While, fine grade cocoa is exclusively produced from *Criollo*,  
62 *Trinitario* and *Nacional* cultivars, the last one grown in Ecuador, and is characterized  
63 for their remarkable flavour properties due to the fruity and floral aroma attributes  
64 (Saltini, Akkerman, & Frosch, 2013; Aprotosoaie, Luca, & Miron, 2016). Even though  
65 almost all the cocoa cultivated worldwide is *Forastero*, differences can be found in the  
66 flavour profiles of cocoa-derived products produced with cocoa beans from different  
67 geographical origins (Magagna et al., 2017; Oliveira et al., 2016, Than et al., 2015).  
68 Indeed, the quality and flavour of cocoa are not simply affected by genotype and  
69 geographical origin, but also of other factors, such as growth conditions, post-harvest  
70 treatments and industrial processing of beans (Kongor et al., 2016). In particular,  
71 fermentation and roasting are key steps responsible for the characteristics and desirable  
72 organoleptic properties of cocoa, such as aroma and flavour that are important quality  
73 attributes for consumer's acceptability (Afoakwa et al., 2008; Saltini et al., 2013).

74 To date, several hundreds of volatile organic compounds (VOCs) have been reported  
75 to characterise the cocoa aroma, mainly represented by pyrazines, aldehydes, ketones,  
76 alcohols, esters, furans, acids, pyrroles, phenols and terpenes (Afoakwa et al. 2008).  
77 Some of these molecules might be used as key indicators to certificate the quality and  
78 consent the discrimination of the cocoa products with label of origin, to ensure the food  
79 authentication, a new market trend of great interest for law enforcement, food  
80 producers, importers and exporters, and the consumers (Magagna et al., 2017; Danezis,  
81 Tsagkaris, Brusic, & Georgiou, 2016).

82 The solid-phase microextraction (SPME) coupled to Gas Chromatography (GC)  
83 Mass Spectrometry (MS) methodology has been widely used to identify and quantify  
84 the VOCs, and more recently in combination with multivariate analysis to consent the  
85 classification and discrimination of cocoa and cocoa-related products for the traceability  
86 of such products (Oliveira et al., 2016; Tran et al., 2015, Caprioli et al., 2016; Magagna  
87 et al., 2017). However, a reduce number of studies explored the potential applicability  
88 of electronic nose technologies to assess the cocoa quality and origin (Gu et al., 2013;  
89 Olunloyo, Ibidapo, & Dinrifo, 2012). To the best of our knowledge no studies are  
90 available in literature that explore and compare the potential applicability of both  
91 techniques for the classification of cocoa and related products.

92 Similar to other agro-food sectors, the cocoa industry also produces large amounts of  
93 by-products during manufacturing. Cocoa bean shell (CBS) is one of the main by-  
94 products generated after the roasting and husking processes of cocoa beans (about 12%  
95 of the total weight) and consequently more than 500 thousand of tonnes are produced  
96 every year that represents a disposal problem for the cocoa sector. However, recent  
97 studies have established that CBS might also be an economic source of fiber, minerals,  
98 polyphenols and methylxanthines with potential health benefits and therefore with great

99 potential to be used as an ingredient for functional foods, creating new food market  
100 perspectives (Nsor-Atindana, Zhong, & Mothibe, 2012; Mandrile et al., 2019; Barbosa-  
101 Pereira et al., 2017; Barbosa-Pereira, Guglielmetti, & Zeppa, 2018). Besides, Wang et  
102 al. (2015) patented a process for chocolate flavour production, with a real chocolate  
103 aroma, from dried CBSs using an enzymatic technology. Nevertheless, despite this  
104 product exhibit great potential as a food ingredient, to the best of our knowledge, no  
105 information is available in the literature describing the volatile composition of CBS. As  
106 for cocoa beans, the study of CBS volatile fingerprint is very important to define the  
107 quality and the flavour of the product. Moreover, selecting the CBS with a specific  
108 flavour profile according to the food application could be also an interesting approach to  
109 valorise this by-product as a food ingredient. Then, the aim of this study was to describe  
110 for the first time the volatile fingerprint of CBS by HS-SPME/GC-qMS and determine  
111 the volatile compounds responsible for differences among several CBSs yielded from  
112 cocoa beans collected in different geographical origins and cultivars to allow the  
113 traceability of this material. Moreover, we also explored the applicability of E-nose as a  
114 rapid methodology for the classification of CBS and evaluate the correlation between E-  
115 nose and GC-qMS data sets.

116

## 117 **2. Materials and Methods**

### 118 *2.1. Chemicals and Standards*

119 Methanol ( $\geq 99.9\%$ ), sodium chloride ( $\geq 99\%$ ), sodium hydroxide standard solution  
120 ( $0.1001\text{ mol L}^{-1}$ ) and n-alkanes (n-C7–n-C30) mix standard (Supelco, Italy) for retention  
121 index determination were obtained from Sigma-Aldrich (Milano, Italy). Ultrapure water  
122 was prepared in a Milli-Q filter system (Millipore, Milan, Italy).

123 The Internal standard (ISTD), 5-nonanol ( $\geq 95\%$  GC), for analyte response  
124 normalisation was provided by Sigma-Aldrich (Milano, Italy). A standard stock solution  
125 of 5-nonanol was prepared in ultrapure water at  $50 \text{ mg L}^{-1}$  concentration for the semi-  
126 quantification, and stored in a sealed vial at  $-20 \text{ }^{\circ}\text{C}$ .

127

## 128 2.2. CBS Samples

129 Fermented and dried cocoa beans (*Theobroma cacao* L.) from different cultivars and  
130 countries across the world, harvested during the seasons of 2014 and 2015, were  
131 purchased in several local cocoa companies. In total, 44 samples (2 batches each) from  
132 different geographical areas in 19 countries and four cultivars (*Criollo* (n=6), *Trinitario*  
133 (n=15), *Nacional* (n= 2) and *Forastero* (n=21) were collected as described in **Table 1**.  
134 Specific information related with fermentation and drying conditions are not available,  
135 since its suppliers retained this information confidential. To obtain CBS, object of this  
136 study, all samples were roasted individually using a standardized process performed in  
137 laboratory at  $130 \text{ }^{\circ}\text{C}$  (isothermal) for 20 min using a ventilated oven Memmert UFE 550  
138 (ENCO, Spinea, Italy). Then, CBS samples were separated from the beans and ground  
139 in a powder with  $250 \text{ }\mu\text{m}$  mesh size using an ultra-centrifugal mill Retsch ZM 200  
140 (Retsch GmbH, Haan, Germany). Samples were stored under vacuum at  $-20 \text{ }^{\circ}\text{C}$  before  
141 sample preparation and headspace analysis. The humidity content of the CBS samples  
142 determined using a Gibertini Eurotherm electronic moisture balance (Gibertini  
143 Elettronica, Novate Milanese MI, Italy) ranged between 5.46 and 9.22 %.

144

## 145 2.3. HS-SPME/GC-qMS analysis



146 The VOCs from the CBS samples were identified and analysed using a headspace  
147 solid phase micro extraction (HS-SPME) coupled with gas chromatography/quadrupole  
148 mass spectrometry (GC/qMS).

149

### 150 *2.3.1 HS-SPME conditions*

151 For the extraction of VOCs, 2.0 g of CBS powder were accurately weighed in a 20  
152 mL headspace vial for sealed. Then, 2 mL of sodium chloride (40% w/v) and 10  $\mu$ L of  
153 internal standard (IS) 5-nonanol (50  $\mu$ g/mL) were added to the sample, and the vial was  
154 immediately hermetically capped with a PTFE-silicon septum. The extraction was  
155 performed in an Autosampler for SPME COMBI PAL (PAL System, Switzerland)  
156 equipped with a HS-SPME unit. The sample was equilibrated at 60 °C with stirring at  
157 250 rpm for 10 min to reach equilibrium. Next, a well-conditioned SPME fiber coated  
158 with divinylbenzene/ carboxen/ polydimethylsiloxane (DVB/CAR/PDMS) ( $d_f$  50/30  
159  $\mu$ m, 1 cm) (Supelco, Bellefonte, PA, USA) was exposed to the headspace of the sample  
160 for another 30 min with continuous heating and agitation. After extraction, the fiber was  
161 inserted into the injection port of the GC system in splitless mode and desorbed at 260  
162 °C for 2 min. Three identical samples were prepared for each analysis.

163

### 164 *2.3.2. GC–qMS Instrument and Analytical Conditions*

165 GC/qMS analyses were performed on a Shimadzu GC-2010 gas chromatograph  
166 equipped with a Shimadzu QP-2010 Plus quadrupole mass spectrometer (Shimadzu  
167 Corporation, Kyoto, Japan). Separation of VOCs was performed on a DB-WAXETR  
168 capillary column of 30 m length, 0.25 mm internal diameter and 0.25 mm film thickness  
169 (J&W Scientific Inc., Folsom, CA, USA). The oven time–temperature programme was  
170 as follows: initial temperature 40 °C held for 5 min, from 40°C to 180°C at the rate of 5

171 °C min<sup>-1</sup> and then to 240°C at the rate of 10°C min<sup>-1</sup>, which was held for 5 min. The  
172 carrier gas was helium at a constant flow of 1 ml min<sup>-1</sup> with the splitless GC inlet mode.  
173 GC inlet and transfer lines were set at 260 and 240 °C, respectively. The MS  
174 fragmentation was performed by electron impact ionization mode (70 eV) and the  
175 temperatures of ion source and quadrupole were 240°C, respectively. The data were  
176 recorded in full-scan mode in the mass acquisition range of 30–450 *m/z* and 0.30 s scan  
177 time.

178

### 179 2.3.3. *Qualitative and quantitative analysis*

180 The identification of the volatile organic compounds, focused on 101 molecules  
181 described in **Table 2**, was performed by comparing the EI-MS fragmentation pattern of  
182 each compound with those available on the National Institute of Standards and  
183 Technology (NIST05) mass-spectral library and on our home-based library. Only  
184 compounds whose similarity is more than 75% were considered. Additionally, the  
185 confirmation of molecules identity was done by comparing the gas chromatographic  
186 retention indexes (RI) of volatile compounds, determined after injection of a series of *n*-  
187 alkane homologues (C7–C30) under the same GC–qMS analytical conditions described  
188 above, with literature data. The semi-quantitative concentrations of the VOCs identified  
189 were calculated as the area of the volatile marker component divided by the response  
190 factor of the ISTD 5-nonanol and expressed as micrograms of 5-nonanol equivalents per  
191 kg of sample (µg 5-nonanol Eq. kg<sup>-1</sup> of CBS). Data were acquired and analysed by  
192 using GC/qMS Solution Workstation software (version 4.3) (GC-qMS Solution,  
193 Shimadzu Corporation, Kyoto, Japan).

194

### 195 2.4. *E-nose analysis*

196 E-nose analyses were performed using a portable electronic nose system PEN3  
197 (Airsense Analytics GmbH., Germany). The system consists of a sampling unit and the  
198 gas detection system composed of 10 Metal Oxide Semiconductor (MOS) sensors,  
199 which are differentially sensitive to each characteristic volatile compound. The  
200 chemical sensors that composed the sensor array system are the following: S1, aromatic;  
201 S2, broad range; S3, aromatic; S4, hydrogen; S5, aromatic and aliphatics; S6, broad  
202 range and methane; S7, sulphur organic; S8, broad range alcohol; S9, sulphur and  
203 chlorinate; and S10, methane and aliphatics (Benedetti, Buratti, Spinardi, Mannino, &  
204 Mignani, 2008).

205 For the analysis, 2 g of CBS powder was placed in a 20 mL glass vial and capped  
206 with a PTFE septum. Then, each vial was incubated at 30°C for 30 min to reach the  
207 headspace equilibrium. The gas headspace was injected into the E-nose carried by air  
208 for 90 s at a constant flow rate of 400 mL min<sup>-1</sup> and during this time the sensor signals  
209 were recorded each second. After each analysis, the sensor system was purged with  
210 filtered air for 120 s, to allow reestablishment of the instrument baseline prior to the  
211 next sample injection. The sensor response, G/G0 (G and G0 stand for the conductance  
212 of the MOS connected with the sample and clean gas, respectively), is expressed as  
213 resistivity (Ohm) and changed accordingly to the composition of volatile compounds.  
214 Data were collected by the pattern recognition software (WinMuster, v.1.6., Airsense  
215 Analytics GmbH., Germany). Three replicates of each CBS sample were independently  
216 analysed and the average of sensor responses (area under the curve) was used for the  
217 subsequent statistical analysis.

218

219 *2.5. Chemometric analysis / Statistical analysis*

220 A total of 44 CBS samples convenient from the continents Africa and America were  
221 used to perform chemometric analysis. From these 44 samples, two badges of cocoa  
222 beans provided from the same producer were available (see **Table 1**). All samples were  
223 analysed in triplicate in a final number of 264 analyses for each methodology used (GC-  
224 qMS (101 VOCs each) and E-nose (10 sensors each). To discriminate the CBS samples  
225 as a function of geographical origin of production or variety principal-component  
226 analysis (PCA) based on the normalized data (log10) were built by using the *made4*  
227 package of R (<https://www.r-project.org>) and the function *dudi.pca*. Analysis of  
228 similarity based on VOCs and E-nose table was applied with 999 permutations to detect  
229 significant differences as a function of the continent, macroera, latitude, country of  
230 production or variety, by using the *anosim* function in *vegan* package or R. Non-  
231 parametric Kruskal-Wallis as well as Wilcoxon tests were carried out in order to find  
232 VOCs differentially abundant between all the variable. Data were visualized as box  
233 plots represented the interquartile range between the first and the third quartile, with the  
234 error bars showing the lowest and the highest value. Pairwise Spearman's non-  
235 parametric correlations (*corr.test* function in *psych* package of R) were used to study the  
236 relationships between VOCs and sensors. The correlation plots were visualized in R  
237 using the *made4* package of R. P-values were adjusted for multiple testing and a false  
238 discovery rate (FDR) < 0.05 or lower was considered as statistically significant.  
239

### 240 3. Results and Discussion

241 The study of volatile constituents (VOCs) of CBS is very important to define the  
242 quality and the flavour of the product to be used as food ingredient. The present study  
243 was divided on two main parts: the first one dedicated to the analyses of all samples  
244 using GC-qMS and E-nose to define the volatile profile and fingerprint of CBS; and  
245 then the classification of samples, using PCA analysis, and the identification of key  
246 compounds that differentiate the samples classes.

247

#### 248 3.1. Volatile profile of CBS characterized by HS-SPME/GC-qMS

249 The volatile components of CBS samples, extracted and identified by HS-  
250 SPME/GC-qMS are described in **Table 2**. Each compound (VOC) is characterized by  
251 its retention index (RI), odour description as reported in literature and the different  
252 semi-quantitative concentration ranges determined in the group of samples analysed. A  
253 total of 101 compounds, comprising aldehydes (n=15), ketones (n=9), sulphur  
254 compounds (n=4), esters (n=8), hydrocarbons (n=2), furans (n=3), pyrazines (n=21),  
255 alcohols (n=7), pyrroles (n=4), terpenes, isoprenoids and terpene alcohols (n=10), acids  
256 (n=10), lactones (n=3) and others (n=5) were semi-quantified as  $\mu\text{g kg}^{-1}$  of 5-nonanol  
257 equivalents. The average of the amounts of each VOC, the sum of each class of  
258 compounds and the total amount of VOCs presented in the single sample is shown in  
259 detail in **Table S1** (see supplementary material). The total amount of VOCs ranged  
260 between  $4.92 \mu\text{g g}^{-1}$  (VEN3) and  $16.10 \mu\text{g g}^{-1}$  (VEN10), both from Venezuela, and  
261 these concentrations represent 10-20% of that total amount described by Tran et al.,  
262 2015 for roasted cocoa beans (20.6 to  $142.5 \mu\text{g g}^{-1}$ ). In general, the most representative  
263 classes of compounds in CBS were aldehydes (35.8%), pyrazines (18.7%), acids  
264 (11.0%), alcohols (7.9%), ketones (7.7%) and furan derivatives (6.4%). The process of

265 roasting has a great impact on cocoa aroma and the alkyl pyrazines and Strecker  
266 aldehydes increased significantly with this stage in cocoa and consequently in CBS,  
267 which is a main by-product produced during this stage. This distribution is slightly  
268 different from that found in literature for roasted cocoa beans, that presented acids and  
269 alcohols as the main compounds at high concentration, or for roasted cocoa liquor,  
270 which displayed higher amounts of aldehydes, alcohols and ketones (Caprioli et al.,  
271 2016; Tran et al., 2015; Crafacck et al., 2014). However, the amounts of the several  
272 classes of compounds change with the cultivars and geographical origin of the cocoa  
273 beans (Bonvehí et al., 2005; Tram et al., 2015). In general, the CBS from *Trinitario*,  
274 *Criollo* and *Nacional* cocoa cultivars are those with highest amounts of VOCs than  
275 *Forastero* group. *Criollo* and *Nacional* cultivars display, in average, high amounts of  
276 pyrazines, acids, alcohols and ketones than *Trinitario* and *Forastero* cultivars. This data  
277 is in accordance with those find in literature for cocoa beans (Quin et al., 2017).

278 Since, no data are available in literature, the results of the present work will be  
279 discussed by comparison with studies performed for roasted cocoa beans and cocoa  
280 products, such as dark chocolate and cocoa powder described in several works found in  
281 literature (Tram et al., 2015, Bonvehí et al., 2005; Menezes et al., 2016; Owusu,  
282 Petersen, & Heimdal, 2012; Afoakwa et al., 2009).

283 Aldehydes were the most representative aroma compounds in CBS with total  
284 amounts ranging from 1444.83  $\mu\text{g kg}^{-1}$  to 5122.55  $\mu\text{g kg}^{-1}$  quantified in samples yielded  
285 from cocoa beans from Togo (TOG2) and Sao Tomé (SAT3) respectively, similar or  
286 higher amounts than that found in roasted cocoa beans (1.22-3.84  $\mu\text{g g}^{-1}$ ) (Tran et al.,  
287 2015). Among aldehydes, 2-methylpropanal, 3-methylbutanal, nonanal, benzaldehyde  
288 and phenylacetaldehyde were the most abundant in CBS as in cocoa beans (Bonvehí et  
289 al., 2005; Tram et al., 2015). The Strecker aldehydes 2-methylpropanal, 3-

290 methylbutanal and phenylacetaldehyde, formed during fermentation and roasting  
291 processes, are described in literature as flavour-active compounds and as key-aroma  
292 markers having a strong chocolate character with malty and buttery notes for the first  
293 two compounds and pleasant honey-like and nutty notes for phenylacetaldehyde  
294 (Afoakwa et al., 2009). Other aldehydes identified in CBS, such as 2-phenyl-2-butenal,  
295 nonanal, 5-methyl-2-phenyl-2-hexenal and 2-isopropyl-5-methyl-hex-2-enal (isomers 1  
296 and 2) has been also described as contributors for the cocoa odour and quality of final  
297 products conferring cocoa and fruity notes (Menezes et al., 2016; Owusu, Petersen, &  
298 Heimdal, 2012; Bonvehí et al., 2005).

299 Pyrazines were one of the most representative groups of VOCs present in CBS with  
300 concentrations ranging from 199.34  $\mu\text{g kg}^{-1}$  for the Sierra Leone (SLE) samples to  
301 5285.68  $\mu\text{g kg}^{-1}$  for the Venezuela (VEN9) samples as observed in several cocoa beans.  
302 In this study, 2,3,5,6-tetramethylpyrazine was the most abundant pyrazine in CBS, up to  
303 3298.06  $\mu\text{g kg}^{-1}$  in samples from Madagascar (MAD) that represented more than 50%  
304 of total amount of pyrazines present in all CBS samples. 2,3,5,6-Tetramethylpyrazine is  
305 one of the main components of CBS aroma that exhibited nutty and roasted and  
306 chocolate flavour notes as described in literature for dark chocolate (Afoakwa et al.,  
307 2009). Other pyrazines identified in CBS were 2,3,5-trimethylpyrazine, 2,3-dimethyl-5-  
308 ethylpyrazine, 2,3-dimethylpyrazine, 2-ethyl-6-methylpyrazine, 2,3,5-trimethyl-6-  
309 ethylpyrazine, 2-methylpyrazine, 2,6-dimethylpyrazine. All these compounds, derived  
310 from Maillard reactions and they are characteristic and responsible for the cocoa aroma,  
311 providing to CBS samples essential notes of cocoa, roasted, caramel, baked, nutty and  
312 earthy. 2,3,5-Trimethylpyrazine and 2-ethyl-3,5-dimethylpyrazine are recognised as  
313 key-aroma compounds for cocoa and cocoa products and therefore also for CBS  
314 (Frauendorfer et al., 2006; Frauendorfer et al., 2008). A tentative identification was also

315 performed for the pyrazine 2,5-dimethyl-3-isopentylpyrazine present in important  
316 amounts, up to 321.09  $\mu\text{g kg}^{-1}$  for CBS sample from Dominican Republic (DOR1),  
317 which is described for the first time in this work for cocoa products.

318 Another important group of VOCs consists of short and branched chain fatty acids  
319 such as acetic acid, 2-methylpropanoic acid and 3-methylbutanoic acid, which are key-  
320 aroma compounds used as markers of cocoa and cocoa products. The total amount of  
321 acids ranging from 100.43  $\mu\text{g kg}^{-1}$  to 4450.96  $\mu\text{g kg}^{-1}$  were found in samples yielded  
322 from Cameroon (CAM2) and Venezuela (VEN10), respectively. These amounts were  
323 lower than that described by Tran et al. (2015) for cocoa beans ranging from 8.27 to  
324 95.47  $\mu\text{g g}^{-1}$ . Despite acetic acid was found a major compound in CBS, the  
325 concentrations of this acid (21.76 – 1612.24  $\mu\text{g kg}^{-1}$ ) were lower than those described  
326 for cocoa beans (6.66 – 95.17  $\mu\text{g g}^{-1}$ ). However, the concentrations found for 2-  
327 methylpropanoic acid (2.07 – 454.27  $\mu\text{g kg}^{-1}$ ) and 3-methylbutanoic acid (36.27 –  
328 2154.25  $\mu\text{g kg}^{-1}$ ) were similar to those described for cocoa products in literature (Tran  
329 et al., 2015; Bonvehí et al., 2005). Although these acids are generally related with  
330 unpleasant odour because of their rancid, sour-vinegar and hammy notes in cocoa  
331 products, some acids present in CBS such as octanoic acid and nonanoic acid could  
332 show a pleasant odour with sweet notes.

333 Concerning alcohols, the total amount semi-quantified for these group of VOCs was  
334 in the range of 135.83 for *Forastero* CBS from Sao Tomé (SAT1) and 2310.18  $\mu\text{g kg}^{-1}$   
335 for *Criollo* CBS from Ecuador (ECU7), lower than that described by Tran et al., 2015  
336 for cocoa beans (5.83 – 27.07  $\mu\text{g g}^{-1}$ ). The main alcohols found in CBS were two key-  
337 aroma compounds of cocoa: 2-heptanol (19.36 – 1655.07  $\mu\text{g kg}^{-1}$ ) with citrus notes; and  
338 2-phenylethanol (52.01 – 936.97  $\mu\text{g kg}^{-1}$ ) that confer flowery, honey caramel and sweet



339 notes and was present at higher concentrations than that found in cocoa beans and cocoa  
340 powder (Tran et al., 2015; Bonvehí et al., 2005).

341 2,3-Butanedione, 2-heptanone and 2-nonanone were the main ketones up to 556,  
342 362.87 and 293.89  $\mu\text{g kg}^{-1}$ , respectively, present in *Criollo* and *Nacional* CBS samples  
343 from Ecuador (ECU4, ECU5 and ECU7) that contribute to the aroma with sweet,  
344 buttery, fruity and flowery notes.

345 Esters were other key VOCs present in CBS associated with fruity, floral and sweet  
346 notes attributed to cocoa aroma. The key-aroma marker, 2-phenylethyl acetate,  
347 characteristic by their honey, sweet and fruity notes, was the main ester present in the  
348 CBS followed by 3-methylbutyl acetate, methyl 2-phenylacetate and ethyl  
349 benzeneacetate. Despite the total amount of this group of VOCs was lower (73.35 –  
350 1036.65  $\mu\text{g kg}^{-1}$ ) than those found in cocoa products, the main esters identified in CBS  
351 samples from specific origins (e.g. Peru, Tanzania, Togo and Venezuela), were found in  
352 similar concentration than that found for cocoa beans (Tran et al., 2015).

353 Another VOCs derived from roasted cocoa identified in CBS, were the terpenes  
354 linalool and linalool oxide, both characteristic key chocolate flavours, characterized by  
355 sweet, nutty, fruity, floral/flowery notes (Afoakwa et al., 2009; Bonvehí et al., 2005).  
356 Furthermore, the pyrroles; 1H-pyrrole-2-carboxaldehyde, characterized by nutty, honey  
357 and candy notes; and 2-acetylpyrrole with notes of hazelnut, cocoa, chocolate, were  
358 identified and quantified in CBS in important amounts (up to 223.47  $\mu\text{g kg}^{-1}$  for CBS  
359 from Brazil (BRA) and up to 437.06  $\mu\text{g kg}^{-1}$  for CBS from Venezuela (VEN2),  
360 respectively). Further compound with odour description of cocoa, chocolate and roasted  
361 cocoa that contribute for the CBS flavour of cocoa was acetylfuran. Likewise in the  
362 furan derivate group, furfural is correlated with almond, caramel, sweet, woody and  
363 flowery notes of cocoa, was identified and quantified at high concentrations in *Criollo*

364 CBS samples from Venezuela (VEN10) ( $3911.55 \mu\text{g kg}^{-1}$ ). Finally, dimethyl  
365 trisulphide, also described as key-aroma compound for cocoa products, and dimethyl  
366 disulphide were identified and quantified in a range between  $3.86 - 284.33 \mu\text{g kg}^{-1}$  and  
367  $5.52 - 291.23 \mu\text{g kg}^{-1}$ , respectively. The highest amounts of both compounds were  
368 detected in CBS samples from Dominican Republic (DOR1) of *Trinitario* cultivar.

369 The present study identified other VOCs, present in lower concentrations, which are  
370 described for the first time for cocoa related products and may contribute for the total  
371 pleasant aroma of CBS (see **Table 2**, compounds highlighted with asterisk symbol, \*).  
372 Some of these molecules were ketones such as 2-decanone, 3-methyl-2-cyclohexen-1-  
373 one, 2-undecanone, characterized by nutty, floral and fruity notes; pyrazines such as  
374 2,3,5-trimethyl-6-isopentylpyrazine with floral notes; several terpenes that contribute  
375 with sweet, floral, fruity and citrus notes to the CBS aroma; and Massoialactone (S and  
376 R) that may confer coconut and nutty notes.

377

### 378 3.2. Classification of CBS based on VOCs determined by SPME-HS-GC-qMS

#### 379 3.2.1. Classification of CBS according to the cultivar and continent of origin – first 380 approach

381 Figure 1 shows the Principal Component Analysis (PCA) based on volatile  
382 fingerprinting of CBS that was used to find difference among type of cultivars (Fig. 1a)  
383 and continent of provenience (Fig. 1b). PCA clearly showed a separation ( $p < 0.001$ ) of  
384 *Criollo* CBS if compared with the other cultivars that clustered together (Fig. 1a)  
385 confirmed by ANOSIM statistical test. By taking into the account the continent of  
386 provenience it was possible to observe a clear separation ( $p < 0.007$ ) of American and  
387 African CBS samples (Fig. 1b).

388        Going more deeply in the volatile composition, the level of diversity of the VOCs  
389        was clearly different based on the CBS cultivar. Several compounds (48 VOCs) were  
390        found significant different according to the cultivar (FDR<0.001 (10 VOCs), FDR<0.01  
391        (17 VOCs) and FDR<0.05 (21 VOCs)) as shown in **Table S2.1** (see supplementary  
392        material). Key aroma compounds such 2-methylpropanal, phenylacetaldehyde, 2,3,5-  
393        trimethylpyrazine, 2-ethyl-3,5-dimethylpyrazine, 2-heptanol, 2-phenylethanol, 2-  
394        methylpropanoic acid and 3-methylbutanoic acid were found significant for the CBS  
395        discrimination of *Criollo* cultivar from the *Forastero* and *Trinitario* cultivars. Other  
396        compounds such as, benzaldehyde, methyl-2-phenylacetate, 2,3-dimethylpyrazine and  
397        2,3,5,6-tetramethylpyrazine present at high concentrations in *Criollo* CBSs can be also  
398        putative markers of *Criollo* cultivar. Tetramethylpyrazine was the most abundant  
399        pyrazine present in CBS yielded from *Criollo* cocoa beans as described by Tran et al.  
400        (2015) for cocoa beans of the same cultivar. The 3-methylbutanoic acid was found as a  
401        potential marker for the *Forastero* CBS and 2-phenylethanol and 2-heptanol for  
402        *Trinitario* CBS, as already described for cocoa beans (Quin et al., 2017). The boxplot of  
403        three volatile compounds highly significant for the classification of CBS according to  
404        cultivar is shown in **Fig. 1c** 2-methylpropanoic acid was found at high concentrations in  
405        *Criollo* CBS allowed to discriminate this cultivar from *Forastero* and *Trinitario*  
406        cultivars (FDR<0.001) and distinguished CBS *Trinitario* from the *Forastero* and  
407        *Nacional* cultivars (FDR<0.01 and FDR<0.05, respectively). Also 2-heptanol allowed  
408        to differentiate *Trinitario* CBS from the other cultivars (FDR<0.05) and additionally  
409        distinguished *Forastero* CBS from *Criollo* and *Nacional* (FDR<0.01 and FDR<0.05,  
410        respectively). Finally, 2,3,5-trimethylpyrazine was found highly significant to  
411        differentiate *Criollo* CBS from *Forastero* and *Trinitario* cultivars (FDR<0.01).

412 Taking into the account the geographical origin, several compounds (47 VOCs) were  
413 found significant to the classification of CBS according to the continent of origin  
414 (FDR<0.001 (11 VOCs), FDR<0.01 (19 VOCs) and FDR<0.05 (17 VOCs)) as shown in  
415 **Table S2.2** (see supplementary material). Considering the key aroma markers identified  
416 in cocoa samples (Frauendorfer et al., 2008) the boxplot showed that 2-heptanol  
417 (FDR<0.001) and 2-methylpropanoic acid (FDR <0.001) were found those volatiles  
418 with the highest concentration in CBS of *Criollo* cocoa from American continent.  
419 Moreover the most abundant pyrazine detected in CBS, 2,3,5,6-tetramethylpyrazine  
420 (FDR<0.01) was also associated with American samples (Fig. 1d).

421

### 422 3.2.2. Classification of *Forastero* CBS samples according to their geographical origins

423 PCA analysis was performed to evaluate the sample separation according to the  
424 CBSs origin of *Forastero* cultivar among 14 countries from Africa and America (see  
425 **Figure 2**). A clear separation ( $p<0.001$ ) was observed according to macroarea (Fig. 2a),  
426 latitude (Fig. 2b) and among the country of origin (Fig. 2c). For the classification  
427 according to latitude, the countries of production were distributed in four main groups:  
428 L1 (5°S–5°N); L2 (5°N–20°S); L3 (5°N–10°N) and L4 (10°N–20°N). By taking into the  
429 account the macroarea as a discriminant factor it was possible to observe that west  
430 Africa and south Africa CBS samples cluster together and were well separated  
431 ( $p<0.001$ ) from east Africa and Central America (Fig. 2a). Moreover the different  
432 volatile profile drove the impressive cluster separation ( $p<0.001$ ) according to the  
433 latitudes (Fig. 2b). In particular CBS from West Africa and latitude L3 (5°N–10°N)  
434 were those samples with low amounts of total VOC's, mainly aldehydes, pyrazines,  
435 sulphur compounds and high amounts of acids. Going more deeply in the classification  
436 of the CBS samples as a function of the geographical origins it was possible to

437 differentiate ( $p < 0.001$ ) the CBS sample according to the country of origin (Fig. 2c). We  
438 observed that Congo clustered together with Uganda and the two South America  
439 countries, Ecuador and Colombia, formed a central group in the centre of the PCA.  
440 Considering the CBS from cocoa beans produced in countries located at the latitude L2  
441 ( $5^{\circ}\text{N}$ – $20^{\circ}\text{S}$ ) such as Madagascar, Peru and Tanzania were those with high amounts of  
442 VOCs among *Forastero* cultivars (see **Table S1**). For these samples, cocoa key aroma  
443 compounds were found at high concentrations such as acids (acetic acid), aldehydes  
444 (benzaldehyde, 2-methylpropanal and 3-methylbutanal), esters (3-methylbutyl acetate  
445 and 2-phenylethyl acetate) and pyrazines such as 2,3,5-trimethylpyrazine and 2,3,5,6-  
446 tetramethylpyrazine. It could be pointed out that CBSs from Madagascar were well  
447 separated from Sao Tomé. Even though both countries are African, they belong to  
448 different macroarea and growth at different latitude. However they are both islands,  
449 with specific climate conditions that may affect the volatile profile of cocoa beans and  
450 their products (Afoakwa et al. (2008). CBS samples from these countries were  
451 characterized by low concentrations of alcohols and by the presence of 3-  
452 methylbutanoic acid, 3-methylbutanal, phenylacetaldehyde, dimethyl trisulphide,  
453 trimethylpyrazine and tetramethylpyrazine present at high concentrations conferring  
454 important flavour characteristics to CBS that can valorise the product. CBS from the  
455 Central America country Dominican Republic (L4  $10^{\circ}\text{N}$ – $20^{\circ}\text{N}$ ) was characterized by  
456 the presence of high amounts of aldehydes and pyrazines. Therefore, among *Forastero*  
457 cultivar, CBS samples from Sao Tomé, Madagascar, Dominican Republic and Peru  
458 were those with high amounts of VOCs and can be distinguished from the rest of  
459 samples (Fig. 2c).

460 By taking into the account the key VOCs of *Forastero* that drove this separation  
461 (**Table S3.1**, see supplementary material) 2-methylpropanal, 3-methylbutana,

462 phenylacetaldehyde, dimethyl trisulphide, 2-phenethyl acetate, 2-heptanol, 2-  
463 phenylethanol, 2-methylpropanoic acid, 3-methylbutanoic acid, 2,3,5-trimethylpyrazine  
464 and 2-ethyl-3,5-dimethylpyrazine were found as a putative markers of *Forastero* CBS  
465 according to the country of origin. In details it was possible to identify two main  
466 components that drove the separation among the different origins: phenylacetaldehyde  
467 and furfural. In particular phenylacetaldehyde was most present in CBS from  
468 Dominican Republic, Madagascar, Peru and Sao Tomé, while furfural in CBS from  
469 Sierra Leone, Togo and Tanzania (Fig. 2d).

470

### 471 3.2.3. Classification of *Trinitario* CBS samples according to their geographical origins

472 Considering *Trinitario* CBS samples (see **Figure 3**) we can clearly observed a  
473 separation ( $p < 0.001$ ) between samples from Central America and South Africa (Fig.  
474 3a). Moreover taking into the account the latitude we observed that samples from L1  
475 ( $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ) and L2 ( $5^{\circ}\text{N}$ – $20^{\circ}\text{S}$ ) cluster together and were well separated ( $p < 0.001$ ) from  
476 L3 ( $5^{\circ}\text{N}$ – $10^{\circ}\text{N}$ ) and L4 ( $10^{\circ}\text{N}$ – $20^{\circ}\text{N}$ ) (Fig. 3b). Going more deeply and taking into the  
477 account the CBS origins we observed that CBS yielded from cocoa beans grown in  
478 Central America, the following countries Dominican Republic, Jamaica and Mexico,  
479 located at the latitude L4 ( $10^{\circ}\text{N}$ – $20^{\circ}\text{N}$ ), clustered together. While from South America,  
480 CBS samples were divided in three latitudes, L1 ( $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ) comprising Ecuador and  
481 Colombia and L2 ( $5^{\circ}\text{N}$ – $20^{\circ}\text{S}$ ) comprising Brazil and Peru that were not separated  
482 among them, and finally CBS from Venezuela at the latitude L3 ( $5^{\circ}\text{N}$ – $10^{\circ}\text{N}$ ) that were  
483 separated from the other three groups (Fig. 3c). CBS from Dominican Republic, Mexico  
484 and Peru were those with high amounts of total VOCs among *Trinitario* CBS, including  
485 pyrazines (e.g. 2,3,5-trimethylpyrazine, see Fig. 3d.), ketones (2-nonanone) acids (acetic  
486 acid) and aldehydes (phenylacetaldehyde). Also CBS from Colombia and Jamaica

487 displayed intermediate amount of ketones (2-nonanone) terpenes, and aldehydes (3-  
488 methylbutanal) (See Fig. 3d and **Table S1**). However, Jamaica CBS was also  
489 characterized by low amounts of esters, furan derivatives and acids. CBSs yielded from  
490 cocoa beans grown in Brazil and Ecuador were characterized by low amounts of 2-  
491 methylpropanal, 2-methylbutanal and phenylacetaldehyde, and high amounts of nonanal  
492 and heptanal); the low amounts of pyrazines, esters and acids and the presence of high  
493 concentrations of furans (furfural and acetylfuran). CBS from Ecuador was separated  
494 from Brazil samples due to the high content of alcohols, mainly 2-heptanols and 2-  
495 phenylethanol (See **Table S1**).

496 As for *Forastero* CBS, also in this case several VOCs were found as potential  
497 markers for the classification of CBS. The volatile compounds that had significant  
498 differences (FDR<0.05 or lower) among American countries are shown in **Table S.3.2**  
499 (see supplementary material). Figure 3d, shows the boxplot of two key aroma  
500 compounds for cocoa, dimethyl trisulphide and 2,3,5-trimethylpyrazine, which  
501 contributed significantly (FDR<0.05 or lower) for the classification of CBS. In  
502 particular dimethyl trisulphide was most present in CBS from Dominican Republic,  
503 Colombia and Mexico, while 2,3,5-trimethylpyrazine in CBS from Mexico and Peru.

504

505 *3.3. Volatile profile of CBS characterized by E-nose and classification based on E-nose*  
506 *data set*

507 For all CBS samples, the changes in the variation of signals were found similar (data  
508 not shown). The sensors, S2 (broad), S6 (broad-methane), S7 (sulphur organic), S8  
509 (sensitive broad alcohol) and S9 (sensitive to aromatics and organic sulphides) were  
510 those that display high response intensity. The PCA analysis of E-nose data showed that  
511 the most significant classification of CBS was according to cultivars and country of

512 origin, **Figure 4**. A clear separation of CBS ( $p < 0.001$ ) samples yielded from cocoa  
513 beans of *Criollo* cultivar from the others cultivars was observed (Fig. 4a). In this case,  
514 the potential of the several sensors that compose the E-nose were considered for the  
515 classification of CBS. The sensors that displayed significant differences (FDR  $< 0.05$  or  
516 lower) among the cultivars are shown in **Table S.4** (see supplementary material). All  
517 sensors resulted highly significant for the separation of CBS from *Criollo* cultivar  
518 respect to *Trinitario* and *Forastero* cultivars. These results confirm the discrimination  
519 of CBS samples from *Criollo* cultivar obtained with GC-qMS data. Considering all  
520 cultivars, the most representative sensors for the classification of CBS were S5  
521 (sensitive to aromatic and aliphatics), S6 (broad-methane), S7 (sensitive to terpenes and  
522 sulphur containing organic compounds), and S10 (methane and aliphatics).

523 Taking into the account the geographical origin, the two groups of CBS samples  
524 from cultivars *Forastero* and *Trinitario* are shown in Fig. 4b and Fig. 4c, respectively.  
525 For *Forastero* cultivar, CBS from Madagascar was well separated ( $p < 0.001$ ) from the  
526 rest of samples. Likewise samples from Sierra Leone and Togo were separated from  
527 CBS from Dominican Republic and Ecuador as observed by GC-qMS data. However,  
528 E-nose was not able to separate Peru or Sao Tomé as we observed by GC-qMS data (see  
529 Fig. 2c). Considering *Trinitario* cultivar samples, displayed in Fig. 4c, a significant  
530 separation ( $p < 0.022$ ) of CBS samples was observed. Despite, the efficacy of separation  
531 was slighter than that observed for GC-qMS analysis, the results highlighted that E-nose  
532 can be used as a tool for rapid discrimination of CBS samples from different cultivars  
533 and origins.

534

535 *3.4. GC-qMS vs E-nose – two case studies*



536 In this section CBS from two representative countries of cocoa production with the  
537 most representative number of samples, Venezuela (n=10) and Ecuador (n=7), were  
538 taking into the account to verify if both GC-qMS and E-nose were able to classify CBS  
539 among the same country of origin. A significant separation ( $p < 0.001$ ) of CBS from  
540 Venezuela and Ecuador was observed using both analytical techniques as shown in  
541 **Figure 5**.

542 In the case of CBS from Venezuela (Fig. 5a and 5b), both techniques allowed to  
543 separate samples from *Criollo* cultivar (VEN7, VEN8, VEN9 and VEN10) from other  
544 cultivars except for samples from Canoabo (VEN5), which was not separated with E-  
545 nose (see Fig. 5a). However, E-nose was capable to differentiate CBS from Caucagua  
546 region (VEN2) of *Trinitario* cultivar from the other regions of the same cultivar (VEN1,  
547 VEN3, VEN4 and VEN6) that was not accomplished with GC-qMS. Figure 5b shows a  
548 clear separation of CBS samples of *Criollo* cultivar from the others cultivars using GC-  
549 qMS data. Considering GC-qMS data, CBS samples from Ocumare region (VEN6 and  
550 VEN10) were separated according to cultivar. Moreover, CBS from Canoabo (VEN5)  
551 was also separated from the samples clustered in the *Criollo* varietal group. As observed  
552 for E-nose data, this technique allowed the separation of a CBS from the rest of samples  
553 of the *Trinitario* varietal, but in this case was CBS from Ocumare region (VEN6).  
554 Therefore, the use of GC-qMS coupled to E-nose technique could be an interesting  
555 approach for the classification of Venezuela CBS. Volatile molecules such as 2,3,5-  
556 trimethylpyrazine and phenylacetaldehyde were identified as potential markers for the  
557 classification of CBS from Venezuela as shown in the boxplot represented in Fig. 5c.  
558 These and other volatile compounds that had significant differences ( $FDR < 0.05$  or  
559 lower) among Venezuela regions of production are shown in **Table S.5.1** (see  
560 supplementary material).

561 The PCA of CBS from Ecuador using E-nose and GC-qMS data sets are shown in  
562 Fig. 5d and Fig. 5e, respectively. As can be observed in Fig. 5d, E-nose technique  
563 allowed the classification of CBS according to the cultivar. Samples from *Criollo*  
564 cultivar (ECU7) and samples from *Nacional* cultivar (ECU4 and ECU5) were clearly  
565 separated from *Forastero* cultivar. However, this technique were not able to separate  
566 both *Nacional* cultivar CBSs (ECU4 and ECU5), as well the *Trinitario* cultivar CBS  
567 (ECU2) from *Forastero* cultivar samples (ECU1, ECU3 and ECU6), which were  
568 separated as for as GC-qMS. PCA based on GC-qMS data of CBS from Ecuador  
569 showed a significant separation (FDR<0.001) of the samples ECU7 (*Criollo* varietal)  
570 and ECU3 (*Forastero* varietal) among them and from the rest of the CBS samples. It  
571 can be also observed a clear separation between CBS samples from *Forastero* cultivar  
572 (ECU1, ECU3, ECU6) and CBS yielded from cocoa beans from “fine aroma”  
573 (*Nacional*, *Trinitario* and *Criollo* cultivars). This technique allowed a high separation of  
574 the CBS respect to E-nose that presented some limitations. Several VOCs were  
575 identified as potential markers for this classification (FDR<0.05 or lower) of CBS from  
576 Ecuador, such as 2-ethyl-6-methylpyrazine, 2-nonanone as shown in Fig. 5f (see **Table**  
577 **S.5.2**, supplementary material).

578

### 579 3.5. HS-SPME-GC-qMS vs E-nose – correlation

580 According to the results described above, GC-qMS and E-nose were capable of  
581 classify the CBS at different levels. VOC's found at higher concentrations than 100 µg  
582 kg<sup>-1</sup> at least for one sample were selected for the correlation since this is the limit of  
583 detection of E-nose according the manufacturer (Airsense Analytics GmbH., Germany).  
584 The correlation between VOCs and sensors are shown in **Figure 6**.

585 The heatmap shows clearly three main clusters of sensors: S1, S3 and S5 (Cluster 1);  
586 S2, S7 and S9 (Cluster 2); and S10, S4, S6 and S8 (Cluster 3). For Cluster 1, S1, S3 and  
587 S5 were found correlated positively with aromatic molecules (S1, S3 and S5) and  
588 aliphatic (S5), such pyrazines (2,3-dimethylpyrazine), alcohols (2-heptanol and 2-  
589 nonanol) and ketones (2-heptanone and acetophenone) and acids (2-methylpropanoic  
590 acid and 3-methylbutanoic acid). Cluster 2 results from the positive correlation of  
591 sensors S2, S7 and S9 with sulphur organic compounds representative of cocoa flavour:  
592 dimethyl trisulphide and dimethyl disulphide. Finally, Cluster 3 exhibited a high  
593 correlation between sensors related with long chain aliphatics compounds (mainly S4  
594 and S10) such as dodecane (hydrocarbons) and octanal.  
595

#### 596 4. Conclusion

597 This study provides information for the first time on the volatile fingerprint of CBS  
598 performed by HS-SPME/GC-qMS and identifies the molecules responsible for  
599 differences among an elevated number of samples yielded from cocoa beans collected  
600 in different geographical origins and cultivars.

601 The presence of high amounts of cocoa key-aroma markers in CBS samples, such as  
602 2-methylpropanal, 3-methylbutanal, phenylacetaldehyde, dimethyl trisulfide, 2-  
603 phenylethyl acetate, 2,3,5-trimethylpyrazine, 2-ethyl-3,5-dimethylpyrazine, 2-heptanol,  
604 2-phenylethanol, 2-methylpropanoic acid and 3-methylbutanoic acid valorise this by-  
605 product as food ingredient. CBSs yielded from cocoa beans of *Criollo* cultivar were  
606 those with high amounts of “fine aroma” molecules and therefore those with more  
607 interest as source of cocoa flavour.

608 GC-qMS-fingerprinting and E-nose data proved to be capable of identifying the fine  
609 flavour cocoa *Criollo* and classify the CBS according to their cultivar. Several markers,  
610 such as 2-methylpropanoic acid, 2,3-dimethylpyrazine and 2-heptanol were found  
611 mandatory for the classification of CBS according to the cultivar. It was also possible to  
612 classify the CBS samples on the basis of their different geographical origins by using  
613 GC-qMS and electronic nose. Markers such as phenylacetaldehyde and furfural were  
614 related with the CBS of *Forastero* cultivar from different countries from America and  
615 Africa. While for *Trinitario* cultivar, dimethyl trisulphide and 3-methylbutanal, among  
616 others, were found those markers capable of classify CBS from American territory.

617 The results highlighted remarkable diversity in the volatile profile of CBS and  
618 confirm the applicability of GC-qMS and E-nose for classification and future  
619 traceability of CBS. Moreover, this study correlates for the first time the E-nose and  
620 GC-qMS data using a high number of samples and with high significance.

621 Similar to cocoa beans, also for the CBS by-product might be considered the  
622 selective collection of this material, with the concept of single origin, to yield a food  
623 ingredient with better aroma and specific flavour characteristics that could be recycled  
624 inside the cocoa industry in a concept of circular economy as high add-value product.

#### 625 **Authors Contributions**

626 Conceptualization, L.BP, G.Z; Validation, M.G, G.Z; Investigation, O.RP, L.BP, I.F;  
627 Writing-original Draft Preparation, L.BP; Review and Editing, O.R.P, I.F, M.G, G.Z;  
628 Supervision, M.G, G.Z; Project Administration, L.BP, G.Z.

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#### 640 **Conflict of Interest**

641 The authors declare that they have no conflicts of interest.

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742

743 **Figure captions**

744 **Figure 1.** PCA based on the VOC's ( $\mu\text{g kg}^{-1}$ ) identified by HS-SPME/GC-qMS in all  
745 CBS samples as function of: (a) cultivar, (b) continent of origin. The variance explained  
746 by the first component of PCA (PC1) was 28.54 %, while the second component (PC2)  
747 explained 15.10 %. Box plots showing abundance of key VOC's that can be used as  
748 possible markers for (c) cultivar and (d) geographical origin. For interpretation of the  
749 legends, see **Table 1**.

750

751 **Figure 2.** PCA based on the VOC's ( $\mu\text{g kg}^{-1}$ ) identified by HS-SPME/GC-qMS in CBS  
752 samples of *Forastero* cultivar according to: (a) macroarea, (b) latitude, and (c) country  
753 of origin. The variance explained by the first component of PCA was 32.02 %, while  
754 the second component explained 13.71 %. (d) Boxplot showing abundance of VOC's  
755 that can be used as potential markers of origin: phenylacetaldehyde and furfural. For  
756 interpretation of the legends, see **Table 1**.

757

758 **Figure 3.** PCA based on the VOC's ( $\mu\text{g kg}^{-1}$ ) identified by HS-SPME/qGC-MS in CBS  
759 samples of *Trinitario* cultivar according to: (a) macroarea, (b) latitude and (c) country  
760 of origin. The variance explained by the first and second principal component was  
761 35.63% and 15.26%, respectively. (d) Boxplot showing abundance of VOC's that can  
762 be used as potential markers of origin: dimethyl trisulphide and 2,3,5-trimethylpyrazine.  
763 For interpretation of the legends, see **Table 1**.

764

765 **Figure 4.** PCA based on E-nose data set for: (a) all CBS samples as function of cultivar  
766 (the variance explained by the first and second principal component was 78.94% and  
767 11.06% respectively); (b) CBS samples of *Forastero* according to country of origin (the

768 variance explained by the first and second principal component was 74.89% and  
769 13.51%, respectively); (c) CBS samples of *Trinitario* cultivar according to country of  
770 origin (the variance explained by the first and second principal component was 68.30%  
771 and 13.97%, respectively). For interpretation of the legends, see **Table 1**.

772

773 **Figure 5.** PCA based on the VOC's ( $\mu\text{g kg}^{-1}$ ) identified by HS-SPME/GC-qMS and E-  
774 nose data set for CBS samples from different regions of Venezuela: (a) PCA based on  
775 E-nose data set (the variance explained by the first and second principal component was  
776 93.63% and 3.04%, respectively); (b) PCA based on HS-SPME/GC-qMS data set (the  
777 variance explained by the first and second principal component was 32.88% and  
778 22.41%, respectively). (c) Boxplot showing abundance of VOC's that can be used as  
779 potential markers of origin: 2,3,5-trimethylpyrazine and phenylacetaldehyde.

780 PCA based on the VOC's ( $\mu\text{g kg}^{-1}$ ) identified by HS-SPME/GC-qMS and E-nose data  
781 set for CBS samples from different regions of Ecuador: (d) PCA based on E-nose data  
782 set (the variance explained by the first and second principal component was 82.23% and  
783 10.76%, respectively); (e) PCA based on HS-SPME/GC-qMS data set (the variance  
784 explained by the first and second principal component was 27.76% and 24.13%,  
785 respectively). (f) Boxplot showing abundance of VOC's that can be used as potential  
786 markers of origin; 2-ethyl-6-methylpyrazine and 2-nonanone.

787 For interpretation of the legends, see **Table 1**.

788

789 **Figure 6.** Correlation between the abundance of VOCs ( $\mu\text{g kg}^{-1}$ ) and E-nose sensors.  
790 Rows and columns are clustered by Ward linkage hierarchical clustering. The intensity  
791 of the colours represents the degree of correlation between the samples and VOCs as

792 measured by the Spearman's correlations. Asterisks denote significant correlations after  
793 P value corrections (FDR < 0.05).