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(Article begins on next page)

1 **The application protocol impacts the effectiveness of biocides against lichens**

2 Favero-Longo Sergio E. ^a, Vannini Andrea ^b, Benesperi Renato ^c, Bianchi Elisabetta ^{b,c},
3 Fačková Zuzana ^{b,d}, Giordani Paolo ^{e,*}, Malaspina Paola ^e, Martire Luca ^f, Matteucci Enrica
4 ^a, Paoli Luca ^{g,h}, Ravera Sonia ⁱ, Roccardi Ada ^l, Tonon Chiara ^a, Loppi Stefano ^b

5 ^a Dipartimento di Scienze della Vita e Biologia dei Sistemi, Università di Torino, Viale
6 Mattioli 25, 10125, Torino, Italia
7 (sergio.favero@unito.it; enrica.matteucci@unito.it; chiara.tonon@unito.it)

8 ^b Dipartimento di Scienze della Vita, Università di Siena, Via Mattioli 4, 53100, Siena, Italia
9 (stefano.loppi@unisi.it; andrea.vannini@unisi.it; zuzana.fackovcova@unisi.it;
10 elisabetta.bianchi@unisi.it)

11 ^c Dipartimento di Biologia, Università di Firenze, Via La Pira 4, 50121, Firenze, Italia
12 (renato.benesperi@unifi.it; e.bianchi@unifi.it)

13 ^d Plant Science and Biodiversity Center, Slovak Academy of Sciences, Dúbravská cesta 9,
14 84523 Bratislava, Slovakia (zuzana.fackovcova@savba.sk)

15 ^e Dipartimento di Farmacia, Università di Genova, Viale Cembrano 4, 16148, Genova, Italia
16 (giordani@difar.unige.it; pmalaspina81@gmail.com)

17 ^f Dipartimento di Scienze della Terra, Università di Torino, Via Valperga Caluso 35, 10125,
18 Torino, Italia (luca.martire@unito.it)

19 ^g Dipartimento di Biologia, Università di Pisa, Via Luca Ghini 13, 56126, Pisa Italia
20 (luca.paoli@unipi.it)

21 ^h Centro Interdipartimentale di Scienza dei Beni Culturali (CISBEC) dell'Università di Pisa,
22 Via Giuseppe Moruzzi 13, 56124, Pisa

23 ⁱ Dipartimento di Scienze e Tecnologie Biologiche Chimiche e Farmaceutiche, Università di
24 Palermo, Viale delle Scienze, 90128, Palermo, Italia (sonia.ravera@unipa.it)

25 ^l Istituto Superiore per la Conservazione ed il Restauro, Via di San Michele 23, 00153, Roma,
26 Italia
27 (ada.roccardi@beniculturali.it)

28

29 *, Corresponding author:

30 Prof. Paolo Giordani, PhD
31 Dipartimento di Farmacia, Università di Genova
32 Viale Cembrano 4, 16148, Genova, Italia
33 Phone: (+39) 010 33 52645
34 Fax: (+39) 010 353 2684
35 giordani@difar.unige.it

36

37 **Abstract**

38 This work analyzed the influence of different application protocols on the efficacy of two
39 biocides against the foliose lichen *Xanthoparmelia tinctoria* on the sandstones of the Roman
40 Archaeological site of Luni (Italy). The hypotheses that (a) biocide application tools (brush
41 vs. poultice), (b) pre-treatment hydration, and (c) post-treatment washing may affect
42 devitalization success were verified by monitoring chlorophyll *a* fluorescence of thalli, both
43 *in situ* and in laboratory conditions. The hypothesis that (d) stone substrate may act as
44 reservoir for later biocide release under repeated cycles of wetting and drying was also
45 assayed. Analyses confirmed the importance of the application tool, with cellulose poultice
46 being more effective than brush. Hydration influenced the biocide absorption by thalli.
47 Moreover it modulated the metabolic activity and susceptibility to the available toxic
48 compound, hindering lichens from entering a dormant state to tolerate stress. Depending on
49 the preparation solvent (water vs. white spirit), the biocide application benefited from pre-
50 treatment hydration and/or a post-treatment washing. Lastly, we showed that different
51 sandstones variously adsorb the biocides and potentially contribute as a reservoir for their
52 long-term release at low concentrations during successive hydration events.

53

54 **Keywords**

55 benzalkonium chloride, chlorophyll *a* fluorescence, lichen, thallus hydration, stone
56 conservation

57

58 **Highlights**

59 The protocol to apply biocides on lichens affects the devitalization effects
60 Cellulose poultice application of biocides is more effective than that by brush
61 Pre-hydration and/or post-washing of thalli regulate biocide effectiveness
62 The stone substrate acts as a reservoir for long-term release of biocide
63 Effective application protocols can limit useless chemical release to the environment
64

65 1. Introduction

66 The growth of lithobiotic (micro-)organisms widely affects the aesthetic and threatens the
67 durability of heritage surfaces (Caneva et al. 2008; Negi and Sarethy 2019). In particular,
68 lichens are primary agents of stone biodeterioration. Their metabolites induce mineral
69 leaching and biomineralization, and their hyphal penetration promotes disaggregation
70 processes (Adamo and Violante 2000; Favero-Longo et al. 2005; Seaward 2015). Despite
71 some bioprotective effects are recognized for certain species on certain lithologies (Salvadori
72 and Casanova-Municchia 2016), the removal of lichens is generally considered pivotal to
73 preserve heritage surfaces and is standard practice in conservation and restoration plans
74 (Pinna 2017).

75 Physical methods for the control of lithobionts (e.g., electromagnetic wavelengths, laser and
76 temperature shifts) have attracted recent research interests and showed promising results (e.g.
77 Tretiach et al. 2012; Mascalchi et al. 2015; Sanz et al. 2015; Rivas et al. 2018). Nevertheless,
78 their optimization and practical applicability at the scale of monumental surfaces is still
79 pending (Pozo-Antonio et al. 2019; Sanmartín et al. 2019). Accordingly, interventions
80 including devitalization of thalli by biocide application, followed by their removal by
81 mechanical methods, are still commonly used by restorers (Kakakhel et al. 2019). Killing
82 lichens prior to their brushing or scraping from the stone surfaces is recognized as a crucial
83 need to prevent the persistence of viable thalline fragments within rock fissures and the
84 dispersal of propagules, which may promote rapid recolonization processes (Pinna 2017).
85 However, the effectiveness of biocidal treatments against lichens is not generalizable, and
86 unsuccessful applications are widely documented in terms of poor devitalization results as
87 well as of an undesired boosting of more resistant and aggressive species (Seaward 2015). It
88 has been demonstrated that the effectiveness of biocidal products is species- and site-specific
89 and it is strongly influenced by the application tools adopted (Favero-Longo et al. 2017). *In*
90 *situ* preliminary assays are thus necessary to evaluate the site- and species-specific
91 devitalization power of biocidal products and application tools, before their wide scale use in
92 restoration interventions (Ascaso et al. 2002; de los Ríos et al. 2012; Favero-Longo et al.
93 2017; Pinna 2017). Certain practical steps of biocide application, which may affect their
94 effectiveness, are similarly worthy of investigation to validate protocols ensuring the
95 devitalization success.

96 Different substrate lithology and (micro)climatic conditions are site-related factors which may
97 alter the effects of biocide applications (Caneva et al. 2008; Salvadori and Charola 2011). In
98 strict relation to microenvironmental variation, the susceptibility of lichens to stress factors
99 depends on their hydration state. They are stress-tolerant when dry, while highly sensitive
100 when hydrated (even partially) and thus metabolically active (Tretiach et al. 2012). However,
101 the choice of applying biocides on previously hydrated or dry thalli is still a controversial
102 issue. Two contrasting hypotheses have been formulated, postulating that the pre-hydration of
103 lichen thalli may assist the biocide absorption or, oppositely, that it may favour a quicker
104 washing off and reduce absorption (Nugari and Salvadori 2003; Pinna 2017). Nevertheless, to
105 the best of our knowledge, this issue has not yet approached experimentally. Similarly, it was
106 hypothesized that the post-hydration may accelerate the action of the biocide (Tretiach et al.

107 2007). However, the practice of washing the treated surfaces some hours after biocide
108 application to limit potential interferences with the stone substrate (Nugari and Salvadori
109 2003) was never evaluated in terms of treatment effectiveness.

110 In this work, we aimed to verify the primary hypothesis that (a) biocide application tools, (b)
111 pre-treatment hydration step, and (c) post-treatment washing may, either singularly or in
112 combination, affect the effectiveness against lichens of biocides having different active
113 principles and dilution solvents. In particular, the effectiveness of different biocide treatments
114 against a foliose lichen, performed both in an archaeological site and in laboratory conditions,
115 was tested in terms of chlorophyll *a* fluorescence of the thalli with respect to a vitality
116 threshold ($F_V/F_M = 0.15$; Favero-Longo et al. 2017). We also verified the additional
117 hypothesis that (d) stone substrate may act as reservoir for later biocide release under repeated
118 cycles of wetting and drying.

119

120 **2. Materials and methods**

121 *2.1. Study site and lichen species*

122 Biocide applications were performed, *in situ*, on the walls of the Amphitheatre of the Roman
123 Archaeological site of Luni [Luni, La Spezia, Italy: UTM ED50, N 4879338, E 581882; 3 m].
124 Sandstones blocks of the Macigno Formation from Lunigiana were the main rock substrate.
125 The Macigno Formation consists of fine to coarse sandstones with a variable degree of sorting
126 that are mainly composed of quartz, feldspar and lithic grains (Franzini et al. 2007). Ripple
127 cross-lamination locally occurs in fine grained samples. Carbonate cement is scarce and some
128 clay may be present among grains.

129 Treatments were performed on the foliose lichen *Xanthoparmelia tinctoria* (Maheu & A.
130 Gillet) Hale, a species common from the submediterranean to the montane belt of Italy on
131 siliceous rock surfaces, including the stone cultural heritage (Nimis et al. 1992). A total of 96
132 thalli were selected and treated *in situ* in April 2018 and May 2019. Lichen identification was
133 performed in the field and checked in the laboratory following Giordani et al. (2002).

134

135 *2.2. Biocide application in situ*

136 Benzalkonium chloride (BAC) as 3% water solution of Preventol RI80 (alkyl dimethyl benzyl
137 ammonium chloride, approx 80%, and isopropyl alcohol, 2%, in water; Lanxess, Köln,
138 Germany), and N-octyl-isothiazolinone and 3-iodo-2-propynyl-N-butylcarbamate (OIT-IPBC)
139 as 3% solution of BiotinR (OIT, 3-5%, and IPBC, 10-25%, in diethylene glycol butyl ether;
140 CTS, Altavilla Vicentina, Italy) in white spirit (Kelix, Thormax Italia, Roma) were selected as
141 biocides. They were applied either (i) using a paint-brush or (ii) with a cellulose poultice
142 (Arbocel BC 1000, JR Pharma, Rosenberg, Germany), (i') after having moistened the thalli
143 with sprayed water or (ii') avoiding this pre-hydration step. Per each surface unit of thallus,
144 brush applications required approx. 0.3 mL cm^{-2} of diluted biocides; the applied poultice

145 layer, approx. 1 cm thick, contained approx. 12 mL cm⁻³. The cellulose poultice was covered
146 with a cotton fabric for 4 h and later gently removed with a small spatula, thereafter (i'')
147 washing the thalli or (ii'') avoiding this washing step. Thalli treated with water only in place
148 of biocides were assayed as negative controls. Three thallus replicates per biocide per
149 application method were examined [i.e. 3 replicates × (2 biocides + 1 control) × 2 application
150 tools × 2 pre-treatment approaches × 2 post treatment approaches]. Treatments including the
151 pre-hydration step were performed in April 2018, and the others in May 2019. Bottled water
152 with low mineral content (Fonti di Vinadio, Vinadio, Italy) was used as control, and for the
153 biocide dilution and the pre-hydration and washing steps.

154 Daily meteorological data (air temperature, relative humidity, rainfall) for the week prior and
155 after the biocide applications in April 2018 and May 2019 were obtained from the nearby
156 monitoring station of Luni (ARPA Liguria, 2018 and 2019; Fig. S1).

157

158 2.3. Biocide application in laboratory conditions

159 The application of BAC with the cellulose poultice was also tested in laboratory conditions.
160 Treatment was performed on 14 thalli of *Xanthoparmelia* collected from a natural outcrop at
161 Borgata Croux [Saint Cristophe, Aosta, Italy: UTM ED50, N 5068915, E 370323] together
162 with their silicate (gneiss) substrate, avoiding any damage to Luni heritage surfaces. Biocide
163 application was performed on thalli with and without pre-hydration step (moistening with
164 sprayed water). Seven replicates were performed for each condition.

165

166 2.4. Lichen vitality measurements

167 Chlorophyll *a* fluorescence measurements (Chl_aF) - recognized as a tool for checking the
168 vitality of photosynthetic organisms (Tretiach et al. 2008) - were carried out on *X. tinctoria in*
169 *situ* one day before (T0) and one day after (T1) biocide treatments, using a Handy-PEA
170 fluorimeter (Plant Efficiency Analyser, Hansatech instruments Ltd., Norfolk, England), .
171 Analyses were performed on dark-adapted thalli, covered overnight with a black cotton fabric,
172 which were moistened by sprayed water just before the measurements, to avoid that the
173 additional hydration may further affect the biocide action. Measurements on the thalli treated
174 in the laboratory were carried out one day before the biocide application (T0), immediately
175 after the removal of cellulose poultice (T4h) and one day after (T1). Analyses were performed
176 following the protocol adopted *in situ*, with the exception that the moistening at T0 was
177 avoided for thalli foreseen without the pre-hydration step, and that measurements at T1 were
178 performed for all thalli both before and after their moistening.

179 Five measurements were taken on each thallus, positioning the sensor head at 90° over its
180 surface, inducing Chl_aF by a red light (peak at 650 nm), and recording the data after a
181 saturating light pulse of 1s (Malaspina et al. 2014). Chl_aF increases from F₀, when all the
182 reaction centres of PSII are open, to F_M, when all the reaction centres of PSII are closed. The
183 maximum quantum efficiency of PSII, that is F_V/F_M (where F_V=F_M-F₀), a temperature-

184 independent parameter of Chl_aF emission, and variations in F_0 , related to chlorophyll contents
185 of the light harvesting complex (Baruffo and Tretiach 2007), were used to check the vitality
186 of the thalli, in agreement with previous researches on the effectiveness of biocidal treatments
187 against lichens (e.g. Tretiach et al. 2012; Favero-Longo et al. 2017).

188

189 2.5. Biocide absorption by lichen thalli

190 At the end of the fluorescence measurements at T1, the *X. tinctoria* thalli treated *in situ* with
191 BAC without performing the pre-hydration step, and the overall set of thalli treated in the
192 laboratory, were gently detached from the rock substrate with a scalpel and processed to
193 analyse the absorbed BAC. In particular, they were carefully cleaned under a
194 stereomicroscope and then left overnight in a climatic chamber at 16°C and 55% of relative
195 humidity (residual water content <10%). Samples of 50 mg were homogenized with 1 mL of
196 deionized water and centrifuged at 20,000 rfc for 10 min. The supernatant was filtered at 0.45
197 μm using a syringe filter and 30 μL of the solution were directly analyzed by HPLC (Water
198 LC I Plus). BAC was separated using a Phenomenex C18 (250 x 4.6 mm, particle size 5 μm)
199 using a mixture of acetonitrile-sodium acetate buffer (pH 5.0; 0.2 M) (70:30, v/v) as mobile
200 phase with flow rate 1 mL/min (Rojstithisak et al. 2005). Runs were monitored at 210nm.
201 Quantification was performed with a calibration curve (5 – 50 $\mu\text{g/mL}$) of BAC from Sigma-
202 Aldrich ($\geq 95.0\%$). The limit of quantification of the analysis was 0.04 $\mu\text{g mg}^{-1}$.

203

204 2.6. Adsorption and desorption of benzalkonium chloride by sandstone lithologies

205 The property of different sandstone lithologies to adsorb and desorb BAC upon its application
206 on the rock surface and a subsequent washing with deionized water was assessed in the
207 laboratory. In particular, four sandstone blocks of the Macigno Formation, similar to those
208 used in the Amphitheatre of Luni, were collected on the banks of the Parmignola, a stream
209 located at few hundreds of meters from the Roman site, and cross sectioned with a diamond
210 saw (section thickness >5 cm). In the central parts of the cut surfaces, parcels (2x3 cm) were
211 established and treated with 250 μL of 3% BAC (Sigma-Aldrich, St.Louis, MO, USA),
212 applied with a Transferpipette 100-1000 μL (Brand, Wertheim, Germany). The parcels were
213 let to dry overnight at room temperature. Thereafter, 250 μL of deionized water were applied
214 on each parcel and (after 30 seconds) a double layer of absorbent paper (9 mg cm^{-2}) was
215 applied -to simulate the potential absorption of a lichen thallus- and let dry on the rock
216 surface. The absorbent paper was then suspended in 2 mL of deionized water to extract BAC,
217 which was quantified as described above. Blocks of other sandstone lithologies employed in
218 the Italian stone cultural heritage were also cross-sectioned and similarly processed for
219 comparison, including the Pietra Serena, widely used in Tuscany (Fratini et al. 2014), the
220 Cortemilia sandstone, from Southern Piedmont (Gelati et al. 2010), and the sandstone of the
221 Verrucano Lombardo Formation, well known for rock-art in the Valle Camonica (Brack et al.
222 2008). At least three parcels per treatment (BAC, water) were considered per each block.
223 Moreover, the same process was repeated on glass slides, as negative control.

224 Thin cross sections prepared from the rock blocks were observed by plane polarized light
225 microscopy to characterize their mineral composition and texture. Scanning electron
226 microscopy in back scattered electron mode (SEM-BSE), undertaken with a JEOL JSM
227 IT300LV (High Vacuum - Low Vacuum 10/650 Pa - 0.3–30 kV) and coupled with image
228 analysis by the software WinCAM (Regent's Instrument, Canada), was used to estimate total
229 porosity (Favero-Longo et al. 2009).

230

231 2.7. Statistics

232 Generalized Linear Models (GLMs) were applied to describe the effects of the different
233 devitalization protocols on photobiont vitality *in situ* at T1, with the applied products (BAC,
234 OIT-IPBC, and water as control), the application tools (brush and cellulose poultice), the pre-
235 hydration and washing steps being considered as independent predictors. In particular, a
236 factorial ANOVA analysis was performed to detect significant differences in F_V/F_M and F_0
237 according to the different predictors (product, application tool, prehydration, washing). GLM
238 analyses were carried out with SYSTAT 10.2 (Systat Software Inc., San Jose, CA).

239 For all the analyses *in situ* and in the laboratory, significant differences in F_V/F_M at T1
240 between the different study cases and, for each study case, with respect to a viability threshold
241 (set at $F_V/F_M = 0.15$, see Favero-Longo et al. 2017, with refs. therein) were analyzed by
242 means of ANOVA with post-hoc Tukey's and t-test, respectively, using SYSTAT 10.2
243 ($P < 0.05$ as significant). For each study case, significant differences of F_0 in the thalli treated
244 with biocides with respect to the control ones were assessed at T1. Significant differences in
245 the absorption of BAC by lichen thalli, and in the BAC desorption patterns by different
246 sandstone lithologies, were also examined by means of ANOVA with Tukey's post-hoc test.

247

248 3. Results

249 3.1. Efficacy of devitalization treatments *in situ*

250 GLM analyses (Table 1) showed that all the considered factors (product, application tool, pre-
251 hydration and washing) contribute to determine the efficacy of devitalization treatments,
252 evaluated in terms of F_V/F_M and F_0 of the targeted *Xanthoparmelia* thalli.

253 F_V/F_M values of thalli treated with biocides, independently of the application tool and the
254 hydration protocol, were significantly lower than controls (Fig. 1). However, only in some
255 cases values decreased below the viability threshold ($F_V/F_M = 0.15$; Favero-Longo et al.,
256 2017, with refs. therein). In particular, biocide application by brush was effective for OIT-
257 IPBC, but only when coupled with thallus pre-hydration and/or post-treatment washing.
258 Application with cellulose poultice was generally effective for BAC, while the effectiveness
259 of OIT-IPBC was lower when thalli were not washed.

260 F_0 values (Figs. S2-S3) strongly decreased with respect to controls only for OIT-IPBC
261 application on pre-hydrated thalli (mean \pm SE: $-62\pm 8\%$), in particular when thalli were not

262 washed (-71±9%). A relative increase of F_0 (144±13%) followed all the applications of OIT-
263 IPBC on non pre-hydrated thalli. BAC induced only slight decreases of F_0 with respect to
264 controls (-16±3%).

265

266 3.2. Efficacy of devitalization treatments in the laboratory

267 In the laboratory, the application of BAC with cellulose poultice was effective against thalli
268 moistened before the treatment, while F_V/F_M of non pre-hydrated thalli did not significantly
269 decrease beneath the vitality threshold of 0.15 (Fig. 2). In particular, fluorimetric
270 measurements before the biocide application (T0) confirmed the well-known difference
271 between the F_V/F_M of moistened thalli (ca. 0.7) and dry thalli (ca. 0.07). At the removal of the
272 cellulose poultice (T4h), without any additional moistening, F_V/F_M of thalli treated in the wet
273 state was significantly lower than the vitality threshold and with respect to thalli treated in the
274 dry state. At T1, all thalli were dehydrated and F_V/F_M was significantly below 0.15, but after
275 their moistening, those which had received the poultice application in the dry state recovered
276 F_V/F_M values significantly higher than the threshold.

277

278 3.3. Biocide content in lichen thalli

279 The absorption of BAC was detected and quantified in all lichen thalli treated *in situ* without
280 performing pre-hydration, and in those treated in the laboratory, but concentrations strongly
281 differed depending on the application method (Fig. 3).

282 *In situ*, the thalli treated with cellulose poultice and not exposed to the final washing step
283 displayed one order magnitude higher content of BAC (mean 1.4 $\mu\text{g mg}^{-1}$) with respect to
284 those washed after the poultice removal and those treated with brush (0.1 $\mu\text{g mg}^{-1}$). In these
285 latter, the BAC content was similarly low, irrespective whether the final washing was
286 performed or not.

287 In the laboratory, the content of BAC absorbed by thalli which were moistened before the
288 application with cellulose poultice and not washed (mean 1.8 $\mu\text{g mg}^{-1}$) was similar to that
289 detected *in situ* with the same application tool, but without pre-hydration. By contrast, the
290 biocide content of thalli treated with cellulose poultice in the dehydrated state was
291 significantly lower (0.2 $\mu\text{g mg}^{-1}$).

292 3.4. Adsorption and desorption of benzalkonium chloride applied on sandstones

293 The amount of BAC desorbed from the rocks upon a re-wetting cycle, and thus absorbable by
294 the absorbent paper used as to simulate the lichen thallus, was extremely low (always <0.5%;
295 Fig. 4). The sandstone of the Verrucano Lombardo Formation showed a significantly higher
296 desorption (0.34%), but remarkable differences were also detectable between the blocks of the
297 Macigno sandstone, with values ranging from 0.15% (L2) to the detection limit (<0.03%; L1,

298 L3, L4). The recovery of BAC from a glass slide (non-adsorbing substrate) was two order of
299 magnitude higher, above 30%.

300 On the basis of SEM-BSE observations (Fig. S4), the Verrucano Lombardo showed an
301 intrinsic porosity remarkably lower than that of Macigno sandstone. Accordingly, BAC barely
302 entered the rock volume and, upon the drying step, recrystallized directly on the surface, from
303 which it was mobilized during the subsequent re-wetting. Oppositely, in the case of the other
304 sandstones, the applied biocide clearly entered the rock volume. In the case of the Macigno
305 sandstones, microscopic observations of petrographic thin cross sections showed that a clay
306 fraction occurred in L1, L3 and L4, while it was absent in L2 (Fig. S5). Pietra Serena showed
307 a fitted fabric due to pressure dissolution, with juxtaposed grains and absence of cement or
308 matrix, while the Cortemilia sandstone showed traces of carbonate cement and a clay fraction.
309 A fine-grained sericitic matrix possibly characterized the block of Verrucano Lombardo.

310

311 **4. Discussion**

312 Our findings support the hypothesis that the protocol adopted to apply biocides significantly
313 affects the devitalization of lichen thalli (Fig. 5). Besides confirming the importance of the
314 application tool, with cellulose poultice being more effective than brush (Favero-Longo et al.
315 2017; Matteucci et al. 2019), this experimental work clarified the remarkable influence of the
316 state of hydration of lichen thalli on their susceptibility to biocides. Hydration modulates the
317 biocide absorption by thalli. Moreover, it controls their maintaining an active metabolism or
318 entering a dormancy state, thus succumbing to or tolerating, respectively, the available toxic
319 compounds. In relationship with the water or organic solvent preparation of the assayed
320 biocides, we highlighted the biocide-specific advantage of pre-treatment hydration and/or
321 post-treatment washing of thalli to improve the application protocol effectiveness. In
322 particular, the poultice application was necessary to make effective against *X. tinctina* the
323 assayed water-solution of benzalkonium chloride (BAC), independently of the pre- or post-
324 treatment hydration of thalli. Differently, the washing of thalli after the biocide application
325 was necessary to make effective the assayed organic-solvent solution of N-octyl-
326 isothiazolinone and 3-iodo-2-propynyl-N-butylcarbamate (OIT-IPBC), either applied by
327 brush or with cellulose poultice. In this regard, until innovative strategies to control
328 biodeteriogens will be routinely available, the conventional use of traditional biocides by
329 restorers cannot overlook this necessity of adopting effective application protocols and hence
330 limit the useless release of biocides in the environment. In addition, this work showed that the
331 stone substrate, depending on the lithology, may variously absorb the applied biocide,
332 potentially contributing as a reservoir for its long-term release at low concentrations during
333 successive hydration events.

334 *4.1. Biocide efficacy and thallus hydration*

335 Lichen tolerance of extreme stress conditions is well documented and has been related to their
336 ability to cyclically enter and leave a dormancy state by thallus dehydration and rehydration,
337 respectively (Beckett et al. 2008). Such adaptation is supported by enzymatic and non-

338 enzymatic mechanisms to protect the integrity of cellular components and limit pro-oxidative
339 processes (Kranner et al. 2008), an effective machinery to maintain proteostasis (Armaleo et
340 al. 2019) and the interplay of the whole lichen microbiota (Cernava et al. 2019). A notable
341 example is the tolerance to high temperatures, which for dry thalli ranges from 70°C to more
342 than 100°C depending on species (Lange 1953), while it is generally lower than 45-50°C
343 when thalli are forcedly maintained in the hydrated state (McFerlane and Kershaw 1978;
344 Tretiach et al. 2012). In agreement, lichen resistance to gaseous pollutants, as SO₂ and O₃, is
345 higher during the dry state; by contrast, the pollutants can dissolve in the hydrated thallus, in
346 which the symbionts are metabolically active and sensitive to their toxic effects (Vannini et
347 al. 2020).

348 A similar pattern is here confirmed for the foliose lichen *X. tinctoria* treated with the water
349 soluble BAC and OIT-IPCB prepared in white spirit. The quaternary ammonium salt BAC
350 perturbs the phospholipid bilayer of the biological membranes, causing their damage and the
351 cell lysis (Wessels and Ingmer 2013). OIT oxidizes thiol-containing cytoplasmic and
352 membrane-bound compounds, yielding metabolic inhibition (Denyer and Stewart 1998), and
353 IPBC disrupts the formation of fungal cell walls by interfering with synthesis of
354 phospholipids and fatty acids (Biehl 2019). Despite their different active principles, target
355 molecules and solubility, thallus hydration influences the effectiveness of both products.

356 The poultice application of BAC *in situ*, which always decreased F_V/F_M below the viability
357 threshold set at 0.15, carried the water-dissolved biocide as well as contributed to maintain
358 wet the pre-hydrated thalli and to hydrate the thalli in the dry state (Favero-Longo et al.
359 2017). Such latter effect was clearly recognizable in the laboratory assays, in which the very
360 low F_V/F_M of the initially dry thalli remarkably increased at the time of the poultice removal
361 (T4h), indicating its metabolic activation by water rather than its devitalization by the biocide.
362 The dry state at the time of the application also implied in the laboratory a significantly lower
363 BAC absorption with respect to that detected in the pre-hydrated thalli. Such findings agree
364 with the linear positive correlation between the hydration of thalli and their efficiency to
365 accumulate persistent organic pollutants (Kylin and Bouwman 2012; Augusto et al. 2012) and
366 reject the hypothesis that pre-hydration may reduce the absorption of biocides (Tretiach et al.
367 2007).

368 *In situ*, BAC absorption in non pre-hydrated thalli was instead more similar to that of the pre-
369 hydrated thalli in the laboratory. With this regard, it is worth noting that F_V/F_M of lichen
370 thalli, and thus their metabolic activity, is also highly related to weather conditions during the
371 two days prior to the measurements (Vivas et al. 2017). Differing from the thalli kept in the
372 laboratory in the dry state, those in the study site were regularly exposed to high humidity
373 levels during the night (RH above 80-90% in the days before the treatment; Fig. S1).
374 Although they were dried at the time of biocide application (around noon), they likely had a
375 higher attitude, in terms of physiological state, to recover their metabolic activity by
376 effectively absorbing the water solution of BAC, even without the pre-treatment hydration
377 step.

378 The application of BAC by brush contributed a lower quantity of biocide and did not maintain
379 the hydration of the thalli, justifying a lower absorption and the poor devitalization
380 effectiveness. The fact that post-treatment washing did not lead to a further lowering of F_V/F_M
381 suggests that the effect was likely more limited by the biocide quantity than by a scarce
382 metabolic activation. This also agrees with the fact that the absorbed BAC did not decrease
383 with the post-washing step, suggesting that the available biocide had been effectively
384 absorbed and retained by the cell structures. In this sense, neither brush nor poultice
385 application determined at T1 a remarkable decrease of F_0 , detectable upon the loss of
386 chlorophyll following membrane integrity impairment (Vannini et al. 2018), suggesting that
387 BAC-driven cell lysis had still not deeply proceeded and that some absorbed BAC could not
388 be washed away.

389 In the case of OIT-IPBC, no difference was detected in the effectiveness of the assayed
390 application tools, indicating that the lower quantity of active principles carried by the brush
391 was sufficient to kill the lichens. However, for this biocide prepared in white spirit, the
392 wetting of thalli by the pre-treatment hydration and/or the post-treatment washing was a
393 necessary requirement to make the treatment effective. Accordingly, the removal of crustose
394 and foliose lichens following the application of BiotinR with a protocol which does not
395 mention hydration steps determined the persistence of thallus remains with some (few) viable
396 photobiont cells (de los Ríos et al. 2012). As hypothesized for other biocides, but not
397 experimentally verified (Tretiach et al. 2007), the post-treatment washing of thalli treated
398 when dry showed the highest effectiveness in the F_V/F_M decreasing, suggesting that the lichen
399 recovery of the metabolic activity in the presence of the toxic molecules was the most suitable
400 method to favour its susceptibility and face its defence strategies. This agrees with the report
401 that IPBC is highly soluble in organic solvents and poorly soluble in water (156 mg L^{-1} at
402 20°C ; Juergensen et al. 2000), but its efficacy depends on the water dissolved fraction and its
403 general wide use is related to strategies to allow its dissolution, including the predissolution in
404 organic solvents (Steinberg 2002). However, the highest decrease of F_0 ($>60\%$) was observed
405 in thalli pre-hydrated, either washed or unwashed, suggesting that they mostly faced a strong
406 damage of cell structures and the damage and loss of chlorophyll. In thalli treated when dry,
407 instead, F_0 showed a relative increase, which may reflect the initial presence of some free
408 chlorophyll due to membrane damage (Strasser 1997), or a resistance attempt towards a
409 treatment with incomplete killing efficacy (Favero-Longo et al. 2017). Further investigations
410 will be necessary to clarify such response patterns of thalli as well as to unveil if and how
411 different application protocols may also variously impact the hyphal penetration component
412 of lichens (*sensu* Favero-Longo et al. 2005) and the associated microbial communities, which
413 already revealed different sensitivity to different biocidal products (de los Ríos et al. 2012)
414 and play a crucial role in biodeterioration processes (Speranza et al. 2012).

415

416 4.2. Does substrate porosity influence the biocide efficacy?

417 The different effectiveness of biocides against the growth of algae inoculated on sandstone
418 lithologies was related to their different porosity and clay contents (Young et al. 1995).

419 Biocides can penetrate below the surface and either be bio-available while bound to the
420 minerals or be slowly desorbed and become available to (micro-)organism absorption under
421 repeated cycles of wetting and drying (Cameron et al. 1997). For some biocides, the
422 adsorption to clay minerals may determine their inactivation, but quaternary ammonium salts
423 should maintain their biocidal activity when bound (Walters et al. 1973; Cameron et al. 1997).
424 These processes, however, have been infrequently investigated (Koestler and Salvadori 1996)
425 and their consequences for practical issues of restoration protocols -such as their effect on
426 recolonization dynamics- are scarcely taken into account. In particular, they should be
427 carefully considered with respect to the widespread application of biocides as a preventive
428 tool to protect heritage surfaces from recolonization process, which is to maintain rock
429 cleaning after the removal of lichens and biofilms (Pinna 2017).

430 In agreement with previous works (Young et al. 1995; Cameron et al. 1997), our laboratory
431 experiment showed that the amount of BAC desorbed by a wetting event, following the
432 biocide application and consequent rock adsorption, depends on physical and mineralogical
433 properties of the different sandstone lithologies. In particular, the higher the rock porosity and
434 the presence of clay minerals, the lower the biocide desorption at new rain events or watering.
435 In the case of lithologies with very low porosity, such as Verrucano Lombardo, the biocide
436 visibly crystallized at the rock surface and could likely be washed off by flowing water rather
437 than persist as long-term protection (Cameron et al. 1997). Even within the same lithology,
438 clay contents can vary from a block to another, as in the case of the Macigno sandstone in
439 Luni, and thus differently affect biocide adsorption and desorption. For all the examined
440 lithologies, the amount of desorbed biocide potentially available to microbial absorption is 2-
441 3 orders of magnitude lower than that provided during the application, which turned effective
442 only in the case of the copious poultice treatment. Accordingly, the biocides applied after the
443 cleaning interventions may possibly exert their preventive protection insofar they remain
444 abundantly bound within the rock porosity (Cameron et al. 1997), although the bio-activity
445 should be demonstrated for each considered quaternary ammonium compound. On the other
446 hand, such application strategy produces a reservoir for their gradual release at low and likely
447 ineffective concentrations. With this regard, the phenomenon may be likely related to the
448 reported cases of surface eutrophication following the application of quaternary ammonium
449 salts, their degradation and consequent nitrogen supply, favouring recolonization processes by
450 nitrophilous, fast growing species (Scheerer et al. 2009). Moreover, the release of low and
451 ineffective concentrations of BAC can promote bacterial adaptation and antibiotic resistance
452 (Kampf 2018; Kim et al. 2018; Poursat et al. 2019).

453

454 **5. Conclusions**

455 This work confirmed the hypothesis of a biocide-specific importance of the application tools,
456 the pre-treatment hydration and/or the post-treatment washing to make the devitalization
457 treatments effective against lichens (F_V/F_M of lichen thalli after the treatment <0.15).

458

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468

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633

634 **Figure captions**

635 Fig. 1. Maximum quantum efficiency of Photosystem II photochemistry (F_v/F_M) in thalli of
636 *Xanthoparmelia tinctoria* measured one day (T1) after the application, with brush (left box-
637 plots) and cellulose poultice (right box-plots), of water (white box-plots; negative control),
638 BAC (light grey) and OIT-IPBC (dark grey), coupled or not with pre-hydration (non pre-
639 hydrated, D; pre-hydrated, H) and/or washing (non washed, NW; washed, W) of thalli. Box-
640 plots which do not share at least one letter are statistically different (ANOVA, Tukey's test,
641 $p < 0.05$). F_v/F_M values significantly lower than a viability threshold fixed at 0.15 (horizontal
642 dotted line) are marked (*; ANOVA, t -test; $p < 0.05$).

643 Fig. 2. Maximum quantum efficiency of Photosystem II photochemistry (F_v/F_M) in thalli of
644 *Xanthoparmelia tinctoria* measured one day before the application of BAC with cellulose
645 poultice (T0), immediately after the poultice removal (T4h) and one day after (T1), coupled or
646 not with pre-hydration and/or post-treatment washing of thalli (codes as in Fig. 1). At each
647 time point, box-plots related to thalli pre-hydrated (H) or not pre-hydrated (D) before the
648 biocide application which do not share at least one letter are statistically different (ANOVA,
649 t -test, $p < 0.05$). F_v/F_M values which are significantly lower than a viability threshold fixed at
650 0.15 (horizontal dotted line) are marked (*; ANOVA, t -test; $p < 0.05$). Thalli on which the
651 fluorimetric measurements were performed avoiding the usual moistening step are indicated
652 (#).

653 Fig. 3. BAC in thalli of *Xanthoparmelia tinctoria* after the application with brush and cellulose
654 poultice *in situ* (four left columns) and with cellulose poultice in the laboratory (two right
655 columns). Measures (mean \pm SE) deal with non pre-hydrated thalli (D) and pre-hydrated thalli
656 (H), which were washed (W) or not (NW) four hours after the biocide application. Separately
657 considering *in situ* and laboratory assays, bars which do not share letters are significantly
658 different (ANOVA, Tukey's test, $p < 0.05$).

659 Fig. 4. BAC absorbed by absorbent paper -used to simulate a lichen thallus- after its
660 desorption from the Macigno sandstone, used in the Amphitheatre of Luni, and from other
661 sandstones for comparison (Pietra Serena, PS; sandstone of Cortemilia, CS; sandstone of the
662 Verrucano Lombardo Formation, VL). Data are expressed as percentage of the amount of
663 benzalkonium chloride (7.5 mg) initially applied on the examined parcels (mean \pm SE). Bars
664 which do not share letters are significantly different (ANOVA, Tukey's test, $p < 0.05$).

665 Fig. 5. Synoptic comparison of the influence of different application protocols on the efficacy
666 of biocide treatments against the foliose lichen *Xanthoparmelia tinctoria* (F_v/F_M at T1 was, \vee ,
667 or was not, \times , significantly lower than the vitality threshold set at 0.15).

668

669 **Tables**

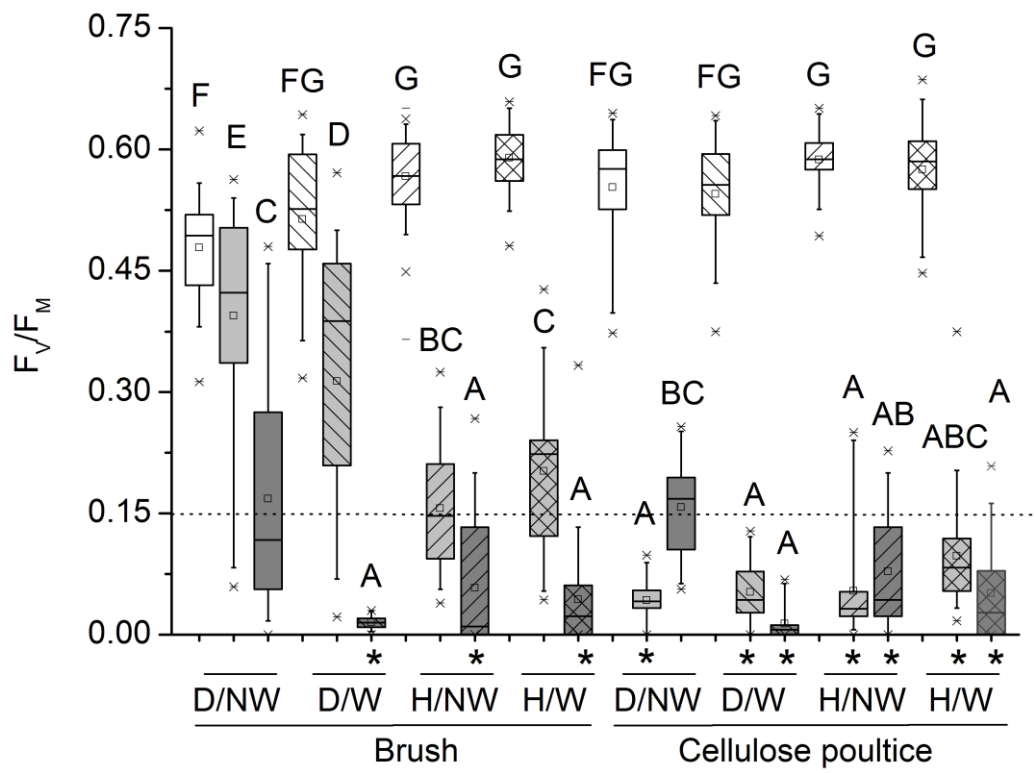
670 Table 1. Summary of the Generalized Linear Model

| Parameter | Source | Sum-of-Squares | df | Mean-Square | F-ratio | P |
|------------------|---------------|-----------------------|-----------|--------------------|----------------|--------------|
| A) F_V/F_M | Product | 32.029 | 2 | 16.015 | 196.125 | 0.000 |
| | Appl. Tool | 2.912 | 1 | 2.912 | 35.659 | 0.000 |
| | Pre-Hydration | 0.281 | 1 | 0.281 | 3.438 | 0.064 |
| | Washing | 1.659 | 1 | 1.659 | 20.317 | 0.000 |
| | Error | 55.852 | 684 | 0.082 | - | - |
| B) F_0 | Product | 149018.955 | 2 | 74509.478 | 4.629 | 0.010 |
| | Appl. Tool | 248020.760 | 1 | 248020.760 | 15.410 | 0.000 |
| | Pre-Hydration | 99353.046 | 1 | 99353.046 | 6.173 | 0.013 |
| | Washing | 126628.182 | 1 | 126628.182 | 7.868 | 0.005 |
| | Error | 1.10090E+07 | 684 | 16095.073 | - | - |

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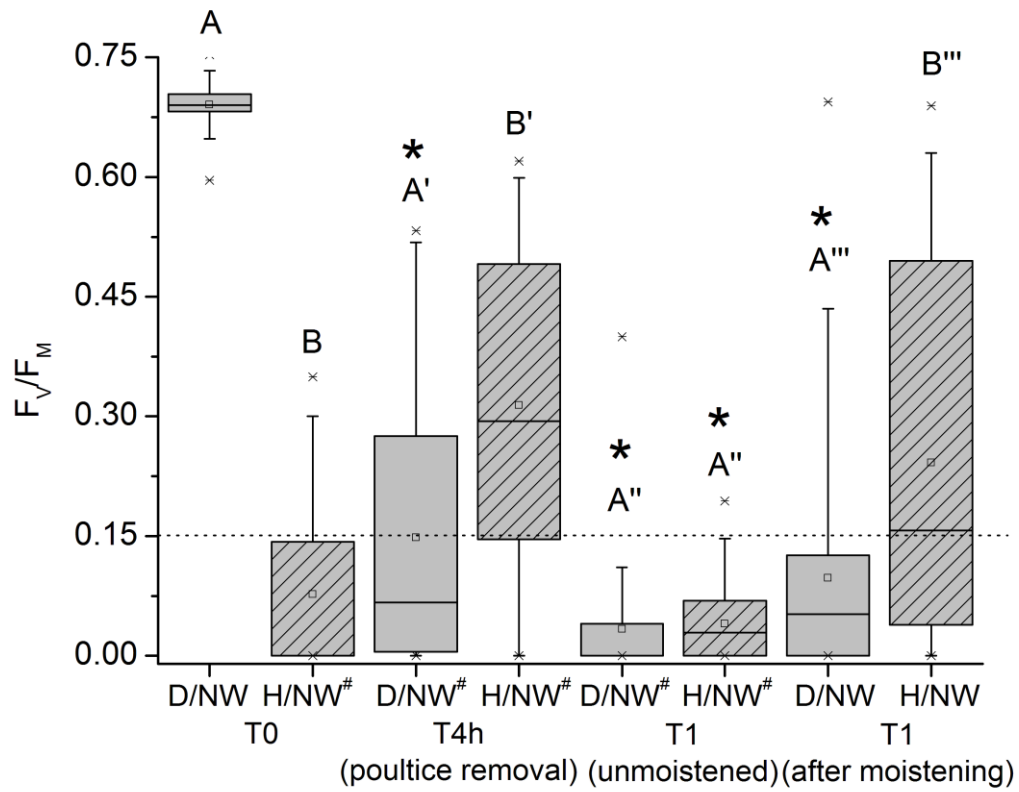
673 Fig. 1



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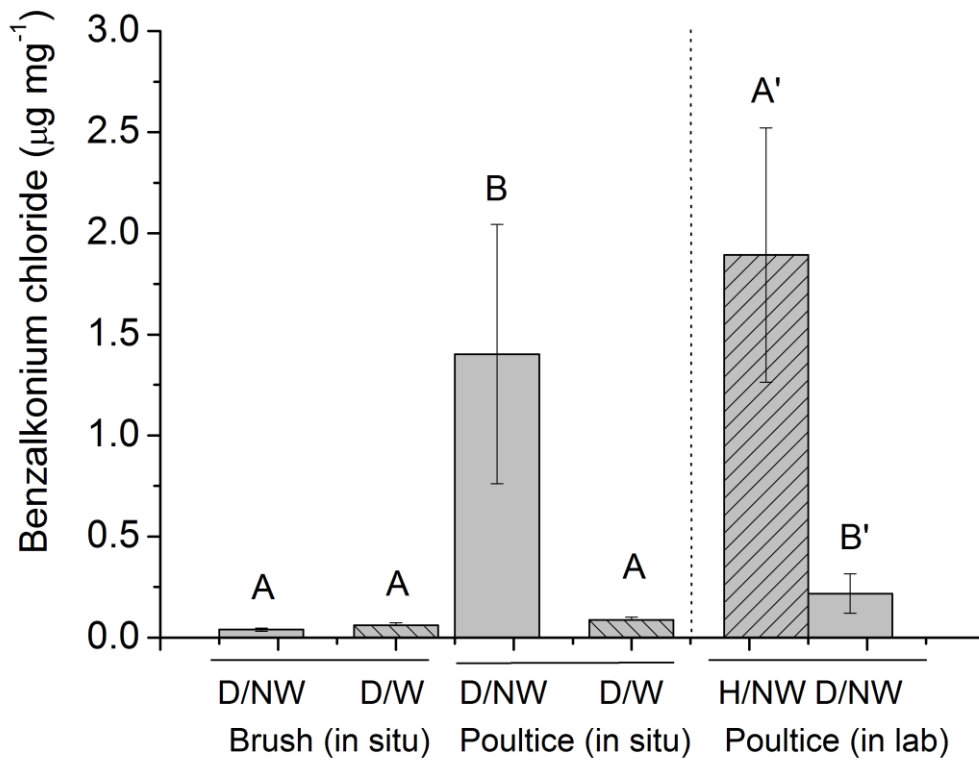
676 Fig. 2



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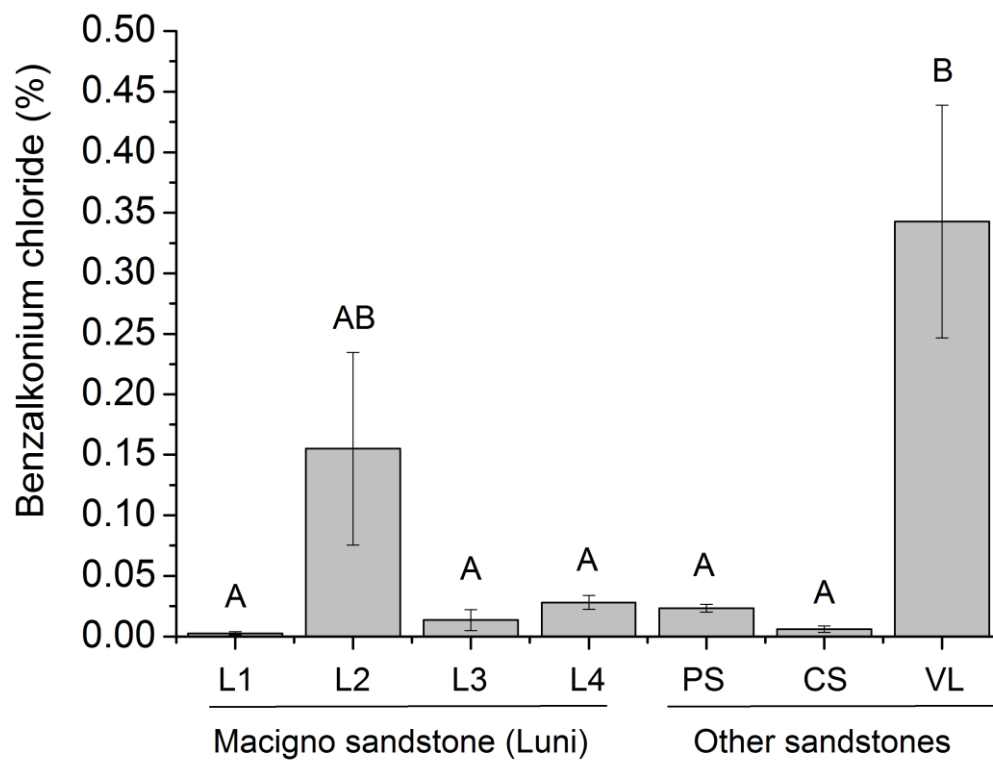
679 Fig. 3



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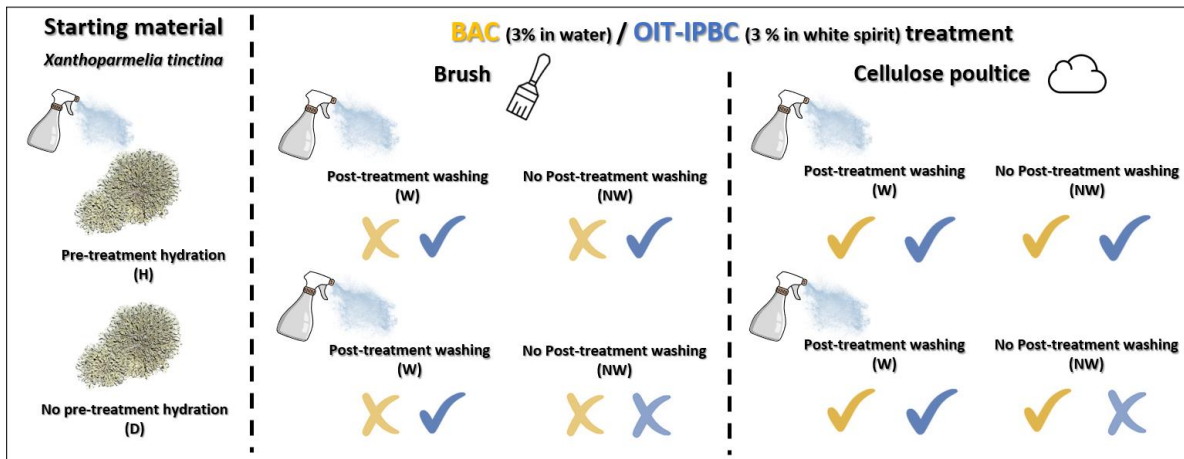
682 Fig. 4



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685 Fig. 5



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