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Unveiling the interaction between PDT active squaraines with ctDNA: a spectroscopic study

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- 39 being, from a pharmaceutical point of view DNA is a central target for most oncologic drugs, as

40 well as many antiretroviral and antimicrobial agents [1]. Based on the mechanism of interaction 41 with DNA, drugs are distinguished between groove-binders, intercalating, strand-breaking and 42 covalent bonding [2]. Some of these drugs generates harmful reactive oxygen species (ROS) 43 capable to produce DNA damage. Proteins, lipids and nucleic acids are the biological targets of 44 ROS. The alteration induced by ROS usually produce disfunctions of normal activities of the 45 biological targets and that brings eventually to cell death [3,4]. From a clinical point of view the 46 generation of ROS in specific and defined target points in the human body are of utmost 47 importance in photodynamic therapy (PDT). PDT encompasses the topical or systemically 48 administration of a photosensitizer which, after irradiation at an appropriate wavelength, is 49 capable of producing ROS, resulting in damage to target cancer cells in which it is selectively 50 accumulated [3,5]. Recently our group synthesized and studied some series of polymethine dyes 51 demonstrating their ability to release ROS [6,7]. It was shown that in particular two squaraines 52 named Br-C4 and I-C4 are potential photosensitizers for PDT (Figure 1) [6].

53 Squaraine dyes are the products of dicondensation reactions between electron-rich substrates 54 and squaric acid. In the last two decades great interest was addressed to this class of organic 55 dyes because of their interesting photochemical and photophysical characteristics. Indeed, 56 squaraines display an intense absorption and emission in the red to near infrared region. These 57 photophysical features raised their use in a huge amount of technologies, such as renewable 58 energy [8–11], biological applications [6,12–15] and sensitizers for PDT [5,16,17]. Moreover, 59 different studies have proved that while in dark conditions squaraines are hardly cytotoxic, after 60 irradiation at proper wavelength, they induced a significative phototoxic activity in cancer cells, 61 which was proportional to the squaraine concentration [5,16].

62 Since small compounds can interact with the double strand of DNA in different ways, it is essential 63 to decipher the mechanism of interaction at molecular level in order to design novel and promising 64 drugs for clinical use. Intercalators and groove binders behave differently with the genetic 65 material: the intercalators insert into the double helix, forming a stronger and more damaging 66 bond with DNA than groove binders. The groove binders, on the other hand, remain on the outside 67 of the double helix, bonding in a less powerful manner; anyway, this still causes DNA damage 68 even if to a lesser extent. These differences on the binding with DNA allow us to discriminate the 69 various bonds through the use of spectrophotometric techniques. Although several papers have 70 been published concerning the photodynamic activity of halogenated squaraines and their 71 damage to DNA [6.16,18], little is known about their mechanism of interaction with DNA. For a 72 better understanding of the mechanism of photobiological activity, in this study, we used several 73 spectrophotometric techniques, like UV-Vis spectroscopy, fluorescence spectroscopy and FRET 74 (Supporting Information), to determine how the squaraines bind to DNA.



- 76
- 77
- 78 Fig. 1. Molecular structure of the two investigated squaraines.
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80 2. Materials and methods

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82 2.1. Materials

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84 Ethidium bromide (EB), calf thymus DNA (ctDNA) and dimethyl sulfoxide (DMSO) were 85 purchased from Merck and used without further purification. An in-house Millipore system was 86 used to obtain Millipore grade water (resistivity: 18.2 M Ω cm at 25 °C). Squaraines were synthetized as previously reported [6]. TNE buffer was obtained using-Picofluor™ protocol. TNE 87 88 buffer was prepared by dissolving 12.1 g of Tris, 3.7 g of EDTA and 116.9 g of NaCl in 1 L of 89 water. The pH of TNE was adjusted to 7.4 with HCI. All dilutions useful to the various analyses in 90 this study were performed using this particular buffer that provides solutions to the correct ionic 91 strength.

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2.2. Sample preparation

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95 ctDNA stock solution was obtained by dissolving it in water under stirring and stored at 0-4 °C in 96 the dark. The concentration of ctDNA stock solution was calculated by measuring the absorbance 97 at 260 nm and using a molar absorption coefficient of 6600 L mol⁻¹ cm⁻¹. The purity of DNA 98 solution was checked by calculating the absorbance ratio A_{260}/A_{280} . As the value of the ratio was 99 between 1.8 and 1.9, thus meaning that ctDNA was satisfactorily free from protein, no further 100 purification was required [19,20]. All the working solutions were further prepared by diluting the 101 stock solution in buffer.

Squaraines stock solutions (1 mM) were obtained by dissolving the solid in DMSO and dilutionsfor the experiments were made in TNE buffer.

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2.3.1. UV-Vis measurements

2.3. Methods

108 A UH5300 Hitachi spectrophotometer and a 1 x 1 cm quartz cuvettes were used to record all the 109 absorption measurements. The UV-Vis spectra of squaraines complexed with ctDNA were 110 measured in the 200–700 nm range. Experiments were carried out by keeping a fixed amount of 111 squaraines, 10 μ M, in a total volume of 3 mL and subsequently titrated with increasing 112 concentration (0–77.4 μ M) of ctDNA.

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2.3.2. Fluorescence measurements

115 A Horiba Jobin Yvon Fluorolog 3 spectrofluorophotometer and a 1.0 cm quartz cells were used 116 to record all the fluorescence spectra. The fluorescence of ctDNA-EB complex was obtained in 117 the range 585-750 nm upon excitation at 286 nm; slits were set on 5 nm and 3 nm for excitation 118 and emission, respectively. ctDNA and EB concentrations to form the complex were set at 36.7 119 μ M and 10 μ M₇ respectively, in a volume of 3 mL; squaraines concentration was varied from 0– 120 40 μ M.

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2.3.3. Competitive displacement assays

Hoechst 33258 (HOE) was added to a ctDNA solution in order to study the competitive displacement. The HOE-ctDNA complex containing 1 μ M HOE and 10 μ M ctDNA was excited at 355 nm. Emission spectra were recorded in the spectral range 375-600 nm. Squaraine was gradually added (0-200 μ M) to a constant concentration of HOE-ctDNA complex. The cuvette was filled with TNE buffer to a final volume of 3 mL.

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2.3.4. lodide quenching studies

The iodide quenching tests were accomplished after exciting squaraines and recording the emission spectra in presence of gradually increased amounts of KI, both in absence and presence of ctDNA (50 μ M). A total of 3 mL solution comprised 50 μ M squaraine, TNE buffer and variable concentration of KI in the range of 0-4 mM. Fluorescence spectra were measured over the spectral range 280-500 nm upon excitation at 260 nm. Fluorescence quenching efficiency both in absence and presence of ctDNA was analyzed using the Stern-Volmer equation.

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2.3.5. Role of ionic strength

138 The effect of ionic strength was investigated by changing the concentration of sodium chloride 139 (NaCl) in the range of 0-90 mM, in a total volume of 3 mL containing 50 μ M squaraine, 50 μ M 140 ctDNA and TNE buffer. Fluorescence spectra were recorded in the spectral range 280-500 nm 141 and the excitation wavelength was set at 260 nm.

3. Results and discussion

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3.1. UV-Vis absorption studies

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147 UV-Vis spectroscopy is one of the simplest and easily reproducible technique used to assess the 148 type of interaction between macromolecules (usually proteins) and small molecules [21]. Through 149 UV-Vis study the structure and the conformation of complexes can be explored. The absorption 150 spectra of squaraines with and without ctDNA were registered and are displayed in Figure 2. 151 Generally, the bound of small molecules to DNA via intercalation, involves the aromatic groups 152 of the chromophore and the DNA base pairs, of whom mechanism of interaction is stacking-153 based. The resulting maximum of absorption will display bathochromism and a significant 154 hypochromic effect. When intercalation takes place, the probability of electron transition and 155 coupling energy are reduced, thus resulting in a color reduction and a red-shift phenomenon 156 [2,22,23]. On the other hand, if electrostatic interaction occurs, mainly a hyperchromic effect will 157 be observed as a consequence of the changes of the structure and conformation of DNA upon 158 the formation of the complex between the compound and DNA. Generally speaking, when a 159 positively charged compound bind to the negatively charged phosphate groups of DNA backbone, 160 the secondary structure of DNA will shrink and spectroscopically a hyperchromic effect is usually 161 observed [24,25]. Similarly, the groove binding interaction produces an enhancement of the 162 absorption bands, which is proportional to the concentration of DNA[23]. As shown in Figure 2, 163 with the addition of increasing concentration of ctDNA to a SQ dye solution, no bathochromic 164 shifts or hypochromic effects were observed. Actually, upon increasing the concentration of DNA, 165 we recorded an increase of the absorption bands at 596 and 660 nm corresponding to squaraines. 166 These observations suggest that rather than an intercalative mode of interaction between 167 squaraines and ctDNA, an electrostatic or groove binding mode is preferred. 168





Figure 2. UV-Vis spectra of squaraines-ctDNA interaction. In the spectrum (A) it is represented the interaction between ctDNA and Br-C4 and in the spectrum (B) the interaction between ctDNA and I-C4. The black dashed line represents the absorption spectrum of squaraine alone, while the colored lines represent squaraine absorption spectrum at increasing concentrations of ctDNA.

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3.2. Fluorescence quenching mechanism of SQ-ctDNA-EB system

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178 Ethidium bromide (EB) is a planar molecule characterized by a very weak fluorescence in 179 aqueous medium. However, when it intercalates into the base pairs of DNA, it encounters a more 180 hydrophobic environment that forces the loss of any water molecule associated with EB. As water 181 is a very efficient fluorescence guencher the result is a great increase of fluorescence emission 182 [19,26-28]. With this in mind, a complex ctDNA-Ethidium Bromide (EB) was used because the 183 emission spectrum of the ctDNA alone is too low in terms of intensity. The enhanced fluorescence 184 intensity can be turned off by adding a second molecule and the amount of fluorescence 185 quenching can be used to define the binding between the second compound and ctDNA[29]. 186 Figure 3 shows the fluorescence spectra of ctDNA-EB complex upon the increasing 187 concentrations of squaraines (SQ). The fluorescence of ctDNA-EB systems at 605 nm decreased as the amount of squaraines increased. The fluorescence quenching data of the complex SQ-188 189 ctDNA-EB were analyzed by three different approaches in order to calculate the equilibrium 190 association (KA) and dissociation (KD) constants. For the SQ-ctDNA-EB system, two possible 191 reasons describe the reduction of the ctDNA-EB emission upon addition of SQ. The first reason 192 consists in replacement of the intercalated EB from the double strand which decreases the 193 concentration of EB binding to ctDNA. The second reason is the turn off the fluorescence of 194 ctDNA-EB complex by bond of SQ [1]. The absorption measurements suggest that the binding 195 mechanism between SQ and ctDNA was not relying on intercalation but more likely on 196 electrostatic or groove binding modes. Moreover, based on the values of binding constant 197 reported in Table 1, we can state that the replacement of EB from ctDNA was not possible as the 198 binding constant of EB-DNA is 5.16 x 10⁵ mol L⁻¹ as reported by Ramana et al. [19,26], while for 199 the SQ-ctDNA-EB system, binding constant are on average 5 fold lower. To confirm this 200 hypothesis further investigation were conducted.





204 Figure 3. Fluorescence spectra of SQ-ctDNA-EB. (A) Interaction between ctDNA-EB and Br-C4 205 and (B) interaction of ct-DNA-EB and I-C4. The most intensive light blue lines represent emission 206 spectra of ctDNA-EB complex; all the other colored lines are the emission spectra of interactions 207 between ctDNA-EB and squaraines. [Br-C4]_{a-k} = 0, 2.5, 5, 7.5, 10, 15, 20, 25, 30, 35, 40 μM; [I-208 C4]a-i = 0, 5, 7,5, 15, 20, 25, 30, 35, 40 µM. The insets represent the variation of the maximum of 209 fluorescence upon increasing the concentration of squaraine.

3.2.1. Stern-Volmer equation

212 The fluorescence quenching data of SQ-ctDNA-EB complex were analyzed according to Stern-213 Volmer equation (Eq.1)

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$$\frac{F_0}{F} = 1 + K_{SV}[Q]$$
(Eq. 1)

215 where F_0 is the fluorescence intensity of ctDNA-EB alone and F is the fluorescence intensity of 216 the complex in presence of increased concentration of squaraine, K_{SV} is the Stern-Volmer 217 quenching constant and [Q] is the squaraine concentration. Quenching data are presented as 218 plots of F₀/F versus [Q], yielding an intercept of one on the y-axis and a slope equal to K_{SV}. The 219 fluorescence quenching of ctDNA-EB upon binding to SQ shows positive deviation from the Stern-220 Volmer equation. This tendency is often observed when the extent of quenching is large. In such 221 events, usually only the linear part of the plot is fitted to Stern-Volmer equation (insets on Figure 222 4A and 4B), in order to gain information about the SQ-ctDNA-EB interaction constant [30].

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3.2.2. Non-linear least squares

Data were fitted also according to a non-linear fit method, based on Equation 2: 225

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 $y = \frac{(B_{max} \cdot [Q])}{(K_D + [Q])}$ (*Eq*. 2)

227 where, y is the explicit binding obtained by measuring fluorescence intensity, Bmax is the maximum extent of the complex SQ-ctDNA-EB created at saturation and KD is the equilibrium 228

dissociation constant. Since in a biomolecular reaction at equilibrium the K_D and K_A are mutually
correlated, we calculated K_A as the reciprocal of K_D. The binding curves are displayed in Figure 4
(C and D); the percentage of bound ctDNA, that is, the ordinate axis, calculated from the emission
maximum intensities, is plotted against the squaraines concentration. The K_A and K_D values are
reported in Table 1.

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3.2.3. Lineweaver-Burk

Another frequently used method to linearize data is the Lineweaver–Burk equation based onEquation 3:

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$$\frac{1}{(F_0 - F)} = \frac{1}{F_0} + \frac{K_D}{F_0 \cdot [Q]}$$
(Eq. 3)

In Figure 4 the reciprocals of F_0 -F are plotted against reciprocals of [Q]. K_D/F_0 ratio is the slope of the line while F_0 is the reverse of the intercept. The equilibrium dissociation (K_D) and association (K_A) constants are thus easily calculated and are reported in Table 1.

As reported in Table 1, we can observe that constants obtained with different analysis methods perfectly agree to each other. Association constants are in the order of 10^5 M^{-1} which is appreciably lower than that of the intercalation binding mode. The K_A of classic intercalators such as ruthenium complexes are reported to be around 10^6 M^{-1} [31,32], while even higher values on the order of 10^7 M^{-1} were reported for anthracycline as daunorubicin [33,34]. The value of the obtained binding constants is an indirect confirmation of the mode of interaction of squaraine with DNA.

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Table 1. Association (K_A) and Dissociation (K_D) constants at 25 °C calculated with different
 mathematical models.

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	Stern-Volmer	Non-Linear Fit		Lineweaver-Burk	
	Ksv	KD	KA	KD	KA
	(·10 ⁵ M ⁻¹)	(·10 ⁻⁶ M)	(·10 ⁵ M ⁻¹)	(·10 ⁻⁶ M)	(·10 ⁵ M ⁻¹)
Br-C4	2.10	8.40	1.19	8.92	1.12
I-C4	2.09	7.39	1.35	8.38	1.19





Figure 4. Data analysis of fluorescence quenching. In the spectra (A) and (B) are represented the Stern-Volmer analysis of BrC4-ctDNA-Eb and IC4-ctDNA-EB interactions, respectively. The insets on (A) and (B) reports the linear tract of the Stern-Volmer plot. In the spectra (C) and (D) are represented the non-linear least squares analysis of the same interactions. At last, in the spectra (E) and (F) are represented the Lineweaver-Burk analysis of the interactions.

3.3 Competitive Displacement Assay

263 To better understand how squaraines bind DNA, we carried out competitive binding experiments. 264 In this experiment, Hoechst 33258, a commonly used minor groove binder of DNA with high 265 specificity for AT-rich sequences, was employed to study the competitive replacement by groove 266 binders [1,20,21]. Hoechst 33258 has a very weak fluorescence intensity in aqueous solutions 267 however, on binding with DNA, it shows an increase in the fluorescence intensity characterized 268 by a strong band at 458 nm [26,35]. Molecules that bind DNA via groove binding mode can replace 269 Hoechst 33258 from the minor groove of DNA that resulting in quenching of fluorescence of the 270 band at 458 nm, which corresponds to the DNA-Hoechst system. As shown in Figure 5, upon 271 addition of gradually increased squaraine concentration, the fluorescence intensity of Hoechst-272 ctDNA complex was found to diminish. This spectroscopic response can be explained as 273 squaraines gradually replaced the groove bounded dye from ctDNA. This result suggests that 274 rather than intercalation, there is a high probability that the binding of squaraine to ctDNA involves 275 the minor groove sites, as indeed proved by squaraine ability to displace Hochest. This hypothesis 276 was further evaluated by performing iodide quenching experiment.





- 280 Figure 5. Fluorescent intensity of Hoechst-ctDNA in presence of increasing concentrations of Br-
- 281 C4 (A) and I-C4 (B). [Br-C4]_{a-r} = 0, 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 150,

282 200 μ M; [I-C4]_{a-o} = 0, 5, 10, 15, 20, 25, 30, 35, 40, 50, 60, 80, 100, 150, 200 μ M. Schematic 283 representation of the displacement of Hoechst by squaraine on the minor groove (C).

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285 3.4 lodide Quenching Study

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287 lodide ion quenching experiments are useful on understanding if the location of the bonded 288 molecules is placed either outside or inside of DNA helix. Iodide ions are known to turn off the 289 fluorescence of small compounds in aqueous environments. When DNA is present, the negative 290 charges on the phosphate groups repeal the iodide ions. If a small compound intercalates the 291 double strand of DNA, then it will be well protected, as the contact with negatively charged 292 molecules (such as iodide ions) toward such compound will be restricted because of electrostatic 293 repulsion. Though, molecules that bind DNA preferably by groove binding or electrostatic 294 mechanism, meaning that these molecules are exposed to the surrounding environment, will be 295 susceptible to the attack of quenchers present in the free medium even when DNA is present 296 [20,21]. The level of accessibility of small compounds to negatively charged quenchers in the 297 environment and in presence of ctDNA is described by K_{SV} that can be calculated using Stern-298 Volmer equation (see Eq. 1 and Figure 6). Reduction in the magnitude of Ksv in presence of DNA 299 is achieved with intercalation, though for groove binders or electrostatic interaction, it remains 300 mostly unaffected. In Figure 6, is reported that KI could efficiently quench the emission of 301 squaraines. However, the presence of ctDNA, produce a slight increase of the Ksv value. The 302 calculated K_{SV} values in the absence and presence of ctDNA for Br-C4 by KI was found to be 38 303 and 70 M respectively, while for I-C4 the Ksv values are 20 and 34 mol L-1 respectively. The 304 magnitude of quenching of squaraines that we observe in the presence and in the absence of 305 ctDNA is almost unchanged and therefore, there is a higher probability that the interaction of 306 squaraines with DNA take place outside the DNA helix. Taking together the competitive 307 displacement assay and the iodide quenching study, we can state with more confidence that the 308 binding of squaraines to ctDNA is mainly guided by groove binding interaction.



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Figure 6. Stern-Volmer plots for fluorescence quenching of Br-C4 (A) and I-C4 (B) by KI in the absence and presence of ctDNA (50 μ M). Concentrations of KI was varied from 0.5 to 3 mM. Schematic representation of the mechanism of quenching of fluorescence of the groove binders by action of iodide (C). While intercalators are well protected by being inserted between nucleotides, groove binders are directly exposed to the surrounding environment.

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317	3.5 Effect	of lonic	Strength
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319 Another methodology used to discriminate the binding between small compounds and ctDNA is 320 the investigation of ionic strength on the system. Normally, strong electrolyte as sodium chloride 321 (NaCI) is used for this assay. After solubilization, Na⁺ partially neutralizes the anionic helix of DNA so reducing the electrostatic repulsion between DNA strands. Small molecules that intercalate 322 323 into the adjacent base pairs of DNA will be shielded from the surrounding solvent and so the 324 emission intensity will be almost unvaried with the increasing of the concentration of NaCI [36]. In 325 case of surface binding molecules, particularly for electrostatic-dependent binding, the bound with 326 DNA takes place outside the groove; in this case NaCl will reduce the interaction which will be 327 translated in the reduction of emission intensity. Nonetheless, for groove binding molecules, with 328 the increasing of the concentration of NaCl a proportional decrease of fluorescence intensity is 329 usually observed. The increased ionic strength shrinks and deepens the DNA grooves. The

induced structure modification of the helix cause the release of the grove bonded molecule and that translates in the decrease of fluorescence intensity [11,37]. So, the effect of NaCl on the fluorescence of ctDNA-squaraine were studied. The experimental results indicate that the fluorescence intensities of ctDNA-squaraine was decreased with increasing of NaCl concentration (Figure 7). These results can further confirm that the interaction between ctDNA and squaraines is groove binding related.

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Figure 7. Effects of ionic strength on the fluorescence intensity of Br-C4-ctDNA (A) and I-C4ctDNA (B). Schematic representation of the ionic strength on the structure of DNA and the implication of squaraine accommodation into the minor groove (C).

- 342
- 343 4. Conclusions
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In this study the interaction between ctDNA and Br- and I-C4 squaraines has been studied through different spectroscopic techniques. Based on the data collected by absorption and emission spectroscopies, displacement assay, iodide quenching study, ionic strength effect and FRET (Supporting Information) data, we can state that the binding mode of squaraine with DNA was disclosed as minor groove binding. The squaraines have a K_A order of 10⁵ M⁻¹. Considering that the order of magnitude of association constant of groove binders is usually known to be around 10³ M⁻¹ [38,39], we conclude that Br- and I-C4 have quite a high affinity for ctDNA. This work is

352	expect	ted to provide deeper insight into understanding the mechanism of interaction of squaraines		
353	with D	ONA and the knowledge gained from this study could be used for the development of		
354	potent	ial probes for DNA as well as more selective and efficient photodynamic therapy agents.		
355				
356	Decla	ration of competing interests		
357				
358	The a	The authors declare that they have no known competing financial interests or personal		
359	relationships that could have appeared to influence the work reported in this paper.			
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