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# Radioembolization vs sorafenib in locally advanced hepatocellular carcinoma with portal vein tumor thrombosis: A propensity score and Bayesian analysis

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## Abstract

**Background & Aims:** Sorafenib is the recommended treatment for patients with advanced hepatocellular carcinoma (HCC). However, radioembolization (TARE) of HCC provides encouraging results both in terms of survival and capability to rescue unresectable tumors to surgery. This study evaluates the outcome reached with TARE and sorafenib in patients with HCC and intra-hepatic portal vein tumor thrombosis (PVTT).

**Methods:** Sixty-five patients with HCC and intra-hepatic PVTT treated in five Italian hospitals between 2012 and 2018 were included in the analysis. Exclusion criteria were any previous treatment, extension of PVTT to the main portal tract and extrahepatic involvement. Propensity score and Bayesian analysis were performed.

**Results:** Forty-one patients received TARE and 24 sorafenib. Eleven patients were rescued to curative-intent surgery (3 liver transplant and 8 hepatectomy), 10 with TARE and 1 with sorafenib; TARE was significantly more effective than sorafenib in downstaging patients to surgery providing a mean survival of 54 months. In the 54 patients without downstaging after treatment, 31 treated with TARE and 23 with sorafenib, median survival was 20 months and 9 months respectively ( $p=0.001$ ), with different 1, 2- and 3- years OS rates (64%, 43% and 37% vs 39%, 13% and 0%). Both propensity

score and Bayesian model averaging confirmed an improvement in overall survival in TARE group compared to sorafenib treatment.

**Conclusions:** TARE was more effective than sorafenib in downstaging patients to surgery providing a significant improvement in survival. Even in patients who were not rescued to surgery, survival appeared to be superior in TARE group.

**Word count: 250 (max 250)**

**Keywords:** Hepatocellular carcinoma; Portal vein tumor thrombosis; Sorafenib; Yttrium-90 microspheres; Trans-arterial radioembolization

## **Introduction**

Hepatocellular carcinoma (HCC) is the most frequent primary malignant liver tumor, and it represents the fourth leading cause of cancer-related death.(1,2) Despite recommended surveillance in at-risk patients, a high number of tumors are recognized at an advanced stage, when cure is no longer possible.(3) Portal vein tumor thrombosis (PVTT) develops in more than 30% of HCC patients during the disease course being a parameter of aggressiveness and a strong negative prognostic factor,(4–7) ranking the disease at an advanced stage conforming to the Barcelona Clinic Liver Cancer classification (BCLC).(2)

Sorafenib, an oral multikinase inhibitor, is the worldwide recommended therapy for advanced HCC.(2,8) Systemic treatment with sorafenib was found to stabilize disease progression by its action on cell growth.(9) Moreover, sorafenib extend life expectancy but is not effective in shrinking tumors and a decrease in dose or interruption are often needed for adverse effects.(10–12)

Transarterial radioembolization (TARE) is a loco-regional technique which consists in the infusion, through the hepatic artery, of microspheres carrying an yttrium-90 isotope, which deliver high energy of beta-radiation into the tumor. TARE has been reported to induce a significant decrease in tumor size with a low-grade toxicity, prolonging time to progression and survival.(13–15) TARE can be used with safety in patients with portal vein thrombosis (PVT) for its low risk of liver ischemia(16,17)

and it has been successfully performed in downstaging strategy in liver transplantation or resective surgery setting.(18–20)

Recently, two randomized trials, SARAH and SIRveNIB, did not show better survival of TARE over sorafenib in patients with advanced HCC.(11,21) The failure could be partially explained by the inclusion of a large share of patients with PVTT extended to the major portal trunk in the TARE arm, since it has recently been reported that the extension of PVTT to the main portal trunk makes TARE treatment futile.(22) Therefore, the scope of our work was to retrospectively investigate the outcome of patients treated with TARE or sorafenib as first-line therapy, focusing on patients with HCC and PVTT limited to the intra-hepatic branches.

## **Patients and methods**

### **Patients**

This retrospective study consisted of consecutive HCC patients treated with TARE or sorafenib between 2012 and 2018 at five large hospitals in Northwestern Italy: University Hospital “Città della Salute e della Scienza” Turin, Mauriziano Hospital Turin, Santa Croce e Carle General Hospital Cuneo, Regional Hospital of Aosta Valley Aosta, University Hospital “Maggiore della Carità” Novara. The treatment protocols were authorized by Institutional Ethical Committees and informed consent was obtained by all patients.

Inclusion criteria were naïve patients with Child-Pugh A liver cirrhosis, Eastern Cooperative Oncology Group Performance Status ECOG 0 or 1 and HCC with neoplastic portal vein thrombosis limited to the intra-hepatic branches. Neoplastic portal vein thrombosis was classified conforming to the Liver Cancer Study Group of Japan (LCSGJ):(23)VP1 (distal to but not in second-order branches of the portal vein), VP2 (in second-order branches of the portal vein), VP3 (in first-order branches of the portal vein), VP4 (tumor thrombus in the main trunk of the portal vein and/or contralateral to the trunk). Exclusion criteria were extra-hepatic localizations, presence of non-neoplastic portal vein

thrombosis, presence of neoplastic portal vein thrombosis VP4 and any previous and subsequent therapy. Histology or non-invasive imaging criteria were used for the diagnosis of HCC.(24) Differentiation of portal vein thrombosis from tumorous portal vein infiltration was made with great precision by contrast-enhanced imaging techniques.(25–28)

### **TARE procedure**

All patients underwent a standardized workup before treatment; an angiographic study was performed to assess collateral vessels supplying extrahepatic organs and subsequently 150 MBq of technetium-99-labeled macroaggregated albumin (99-Tc-MAA) were infused to evaluate the vascular distribution of the microspheres. A total body planar image and a photon emission computed tomography (SPECT-CT) were acquired. In order to administer the optimal tumoricide dose and not to exceed 30 Gy to the lung and 40 Gy to the surrounding liver parenchyma, activities were determined using the multicompartimental MIRD model. (29,30)

Transarterial radioembolization was performed, within two weeks, according to the manufacturer guidelines using either yttrium-90 resin (SIR-Spheres; Sirtex Medical Europe, GmbH) or yttrium-90 glass (TheraSphere, BTG International, London, UK) microspheres.(31)

### **Sorafenib treatment**

Sorafenib was started at the recommended dose of 400 mg twice a day, with dose modifications or interruption according to adverse effects (AEs), graded in agreement with the National Cancer Institute Common Toxicity Criteria (CTCAE3.0).

### **Follow up and response**

Liver function, serum  $\alpha$ -fetoprotein (AFP) and physical examinations were performed every month for the first 6 months and then every 3 months. Contrast enhanced computed tomography (CT) was performed at second month after treatment and every 3 or 6 months according to the clinical course

of the disease. Treatment response was evaluated according to the response evaluation criteria in solid tumors (mRECIST) and the EASL criteria on vascular enhancement.(32,33)

### **Statistical analysis**

Continuous variables were presented as median and interquartile range (IQR); categorical variables were expressed with number and percentage. TARE patients were compared with sorafenib using Mann-Whitney or Fisher's exact tests, as appropriate. The follow-up time was considered from the start of therapy with TARE or sorafenib until the date of death or last visit. The follow-up time was also censored at December 2019. Kaplan-Meier survival estimate were used to evaluate the overall survival in the propensity score analysis, the significance was assessed using Log-rank test. The effect of the outcome was expressed as Hazard Ratio (HR), Schoenfeld test was performed for test the proportional hazard assumption. P-values below 0.05 were considered statistically significant. To adjust for potential patient selection bias, attributable to non-randomized assignment of treatment, a propensity score analysis matching (PSM) and weighting (IPTW) was applied. Four independent prognostic factors were considered in the model: PVTT type 3, number of lesions, alpha-fetoprotein levels and disease progression time. Since both PSM and IPTW do not consider the best model of variable selection and residual imbalance between groups due to the small number of patients, a further analysis based on Bayesian Model Averaging (BMA) was performed on all patients. Hazard Ratio (HR) and the probability of a significant result provided the measure of the size of the association(34). (34). Probabilities greater than 95% were considered an indication of strong association, probabilities between 75 and 95% a positive indication of association and probabilities smaller than 75% were considered a weak evidence of an effect.(35) R version 3.6.1 was used to perform all analyses.(36)

## Results

Overall, 65 out of 286 patients with HCC and PVTT were recruited in the study. Forty-one were treated with TARE and 24 with sorafenib as first-line treatment. Twenty-three were treated with yttrium-90 resin microspheres and 18 with yttrium-90 glass microspheres. Several patients treated with sorafenib were excluded from the analysis due to previous treatments or due to the invasion of the PVTT in the main trunk.

Eleven patients were rescued to surgery: three patients underwent orthotopic liver transplantation (OLT) and 8 major right hepatectomy. Ten patients obtained a downstaging with TARE and 1 with sorafenib. Eight patients (73%) were still alive at the end of follow-up. Mean survival of the downstaging group was 54 months. Because of the small sample size of downstaged patients, no statistical inferential analysis was performed in this group.

Baseline demographic properties of the patients are listed in Table 1. Gender male predominance and higher MELD score in the sorafenib group were the only significant differences.

Median overall survival was 20.3 months (95% CI: 10.8–50.0) versus 9.1 months (95% CI: 5.8–18.5) in TARE versus sorafenib patients, respectively ( $p=0.001$ ).

The 1, 2 and 3-year OS rates were 64.5%, 42.6% and 37.3% vs 39.1%, 13.0% and 0% in TARE and sorafenib patients, respectively (Figure 1). At Univariate Cox model patients undergoing TARE had a longer survival in comparison to patients receiving sorafenib (HR 0.359; 95% CI: 0.190–0.678;  $p=0.002$ ).

The propensity matched score analysis (23 TARE vs 23 sorafenib patients) confirmed a median survival of 22.4 months (95% CI 10.8-NA) in the TARE group and 9.1 months (95% CI 5.8-18.5) in the sorafenib group ( $p=0.002$ ). The 1, 2 and 3- year OS rates in TARE and sorafenib group were 65.2%, 43.1% and 34.5% vs 39.1%, 13% and 0% respectively (Figure 2). Univariate Cox model confirmed the effect of the TARE on OS (HR 0.341; 95% CI: 0.168–0.691;  $p=0.003$ ).

At propensity score weighting, performed on all treated patients ( $n=31$  TARE vs  $n=23$  sorafenib), overall median survival resulted 20.3 months (95% CI: 10.8–50.0) in TARE and 10.5 months (95%

CI: 5.7–19.4) in sorafenib group ( $p=0.003$ ). The 1, 2 and 3-year OS rates in TARE and sorafenib group were 64.5%, 42.6% and 37.3% vs 40.5%, 16.4% and 0% respectively (Figure 3). Univariate Cox model confirmed the effect of the TARE on OS (HR 0.381; 95% CI:0.201–0.720;  $p=0.003$ ) (Table 2).

An additional analysis using Bayesian Model Averaging (BMA) was conducted on the entire cohort. As shown in Table 3 only TARE showed a strong correlation with the survival (HR 0.43 with a probability of being  $< 1$ , i.e. protective effect, equal to 0.976 with 95% credible interval: 0.19-0.99).



## Discussion

The clinical value of trans-arterial radioembolization with yttrium-90 microspheres in the management of advanced hepatocellular carcinoma is a matter for debate. Growing evidence suggest the role of radioembolization as a safe and efficient treatment for unresectable HCC.(17,37,38) Nevertheless, for many years randomized controlled trials have not been conducted, probably due to the intrinsic complexity of the procedure, requiring a multidisciplinary skilled team, that limits the spread of radioembolization.

Our retrospective multicenter study, focused on a highly selected cohort of patients with locally advanced hepatocellular carcinoma and PVTT limited to the intra-hepatic branches, showed that radioembolization was more performing than sorafenib in terms of survival and downstaging to further surgery intervention.

The 1, 2 and 3-year OS rates in TARE and sorafenib group were 64.5%, 42.6% and 37.3% vs 39.1%, 13.0% and 0%, respectively and overall median survival time was 2 time higher in TARE compared to sorafenib patients (20.3 months vs 9.1). A significant improvement of survival in TARE group (HR 0.359; 95% CI:0.190 0.678,  $p=0.002$ ) was demonstrate in all survival analysis.

Recently, two randomized controlled trials, the “Selective Internal Radiation Therapy Versus Sorafenib” (SIRveNIB) trial(21) and the “SorAfenib versus Radioembolization in Advanced Hepatocellular carcinoma” (SARAH)(11), failed to demonstrate the superiority of radioembolization versus sorafenib. Similar median overall survival was observed in both groups: 8.8 months versus 10 months in the SIRveNIB trial and 8.0 months versus 9.9 months in the SARAH trial for TARE and sorafenib, respectively. In our opinion, the inclusion of a significant proportion of patients with neoplastic invasion of the main portal trunk in these two trials may have adversely affected the outcome of the patients treated with TARE. Moreover, a recent study shows that PVTT extension, bilirubin level and tumor burden have an independent effect on long-term survival of TARE, while the extension of the PVTT to the main trunk makes futile the treatment with radioembolization.(22,39)

Furthermore, our study showed that TARE resulted more performing than sorafenib in downsizing/downstaging patients to a surgical curative-intent treatment: 10 of 41 patients were rescued to surgery with TARE, while only 1 of 24 with sorafenib. 73% (8/11 patients) were still alive at the end of the follow-up and the mean survival time was 54 months. It is important to underline that, at baseline, patients rescued to surgery have a lower AFP level, a lower number of lesions and a lower extension of PVTT compared to the others. These data should be taken in account when consider a treatment for patient with advanced HCC but have to be validated by more extensive studies.

Main significant biases of our study were the low number of enrolled patients and the retrospective collection of the data. Due to the restricted inclusion criteria many patients treated with sorafenib were excluded from the analysis, however the two groups of treatment at baseline were demographically and clinically similar. To overcome these limitations, we used the propensity score method to evaluate the impact of radioembolization and sorafenib on overall survival and Bayesian analysis to analyze the effect of different variables on the outcome. Both propensity score matched analysis and propensity score weighted analysis concurred to show an improvement in overall survival in TARE group in comparison to systemic treatment. These data indicate TARE as the best performing treatment in selected patients (HR 0.341; 95% CI:0.168–0.691;  $p=0.003$  and HR 0.381; 95% CI:0.201–0.720;  $p=0.003$  respectively). Similarly, Bayesian Model Averaging (BMA) analysis demonstrated that patients treated with TARE had a higher overall survival than sorafenib (HR 0.43 with 95% credible interval: 0,19-0,99), with a high probability of significant association and high probability of inclusion (90.7%).

Another possible limitation of our study is related to the adoption of two different devices for delivering selective internal radiation to the tumor; in fact, the two microsphere products are slightly different when it comes to number of particles, density, size and method of infusion.

However, it must be considered that in literature the survival and radiological response reported in HCC patients are similar for the two techniques. (39,40) In our opinion, we believe that the outcome is determined more by the accurate dosimetric study than by the device used.

In Advanced HCC patients the choice of the correct therapy is always a big challenge and many aspects have to be considered such as quality of life, costs and local availability. However, even if in this study we did not analyzed these data, a recent study compared cost of using radioembolization versus sorafenib and lenvatinib as primary treatment from the healthcare payer perspectives in Italy, France, Spain and UK, given the improved quality of life and the potential to rescue patients to curative therapies.(41) TARE resulted in cost reduction in all four states, even including the costs of curative treatment. Other studies were published in the past years supporting the same results.(42–44)

The therapeutic horizon of HCC is rapidly evolving and different immune-oncotherapeutic drugs, alone or in combination, recently showed encouraging results with regards to oncological response and therefore of downstaging capability to rescue to curative treatments.(45–48)

The widespread adoption of these upcoming treatments would inevitably lead to an increase in the total oncologic cost, that could be difficult to bear for the healthcare system.

In conclusion, our study showed that TARE can be considered as first option in selected patients with advanced HCC with branches portal vein tumor thrombosis and without extrahepatic disease; however, prospective studies are required to compare radioembolization with new upcoming immune-chemotherapeutic agents.

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## TABLES

**Table 1. Baseline characteristics of patients by treatment**

	Sorafenib	TARE	P-value <sup>1</sup>	Downstaging
<b>Number of patients</b>	23	31		11
<b>Age (years)</b>	75.00 [62.00, 81.00]	73.00 [63.00, 82.00]	0.800	77.00 [68.50, 80.00]
<b>Male sex, n (%)</b>	23 (100.0)	25 (80.6)	0.032	11 (100.0)
<b>Etiology, n (%)</b>				
<b>Viral</b>	13 (56.5)	21 (67.7)	0.569	8 (72.7)
<b>INR</b>	1.07 [1.00, 1.30]	1.09 [1.00, 1.13]	0.218	1.07 [1.00, 1.16]
<b>Total bilirubin (mg/dl)</b>	1.10 [0.70, 1.31]	0.90 [0.70, 1.05]	0.131	1.00 [0.85, 1.30]
<b>Albumin (g/dl)</b>	38.00 [36.00, 40.00]	36.00 [35.00, 39.50]	0.280	36.00 [35.00, 38.50]
<b>Creatinine (mg/dl)</b>	0.80 [0.68, 0.97]	0.80 [0.65, 0.90]	0.438	0.80 [0.66, 0.90]
<b>MELD score</b>	9.00 [8.00, 10.00]	8.00 [7.00, 9.00]	0.035	9.00 [8.00, 9.50]
<b>Main tumor diameter (mm)</b>	48.00 [40.00, 70.00]	55.00 [36.50, 72.50]	0.955	70.00 [51.50, 80.00]
<b>Number of lesions, n (%)</b>				
<b>Unifocal</b>	7 (30.4)	10 (32.3)	1.000	4 (36.4)
<b>PVTT Type 3, n (%)</b>	15 (65.2)	14 (45.2)	0.175	1 (9.1)
<b>AFP (ng/ml)</b>	907.00 [39.20, 2028.00]	326.00 [10.55, 1279.00]	0.416	24.00 [9.00, 343.50]
<b>AFP ≥ 400 (ng/ml), n (%)</b>	13 (56.5)	14 (45.2)	0.583	3 (27.3)

MELD, Model for End-stage Liver Disease; INR, International Normalized Ratio, AFP, Alpha-fetoprotein.

<sup>1</sup>Comparison between patients treated with TARE and patients treated with sorafenib

**Table 2. TARE vs sorafenib Hazard ratio**

	Hazard Ratio	Confidence interval 95%	p-value
<b>No propensity score</b>	0.359	0.190–0.678	p=0.002
<b>Propensity score matched</b>	0.341	0.168–0.691	p=0.003
<b>Propensity score weighted</b>	0.381	0.201–0.720	p=0.003

**Table 3. Bayesian model averaging results.**

Variable	Hazard Ratio	Probability of significant association with survival	Probability of inclusion	95% Credible Interval	
<b>AFP = &lt; 400</b>	0.87	0.690	29.50	0.50	1.48
<b>PVTT, Type 3</b>	0.94	0.625	17.50	0.66	1.35
<b>Number of lesions &gt; 1</b>	1.02	0.568	11.20	0.79	1.32
<b>Progression</b>	1.68	0.850	63.70	0.63	4.52
<b>TARE</b>	0.43	0.976	90.70	0.19	0.99

## FIGURE LEGENDS

Figure 1: Kaplan-Meier without Propensity Score

Figure 2: Kaplan-Meier after Propensity Score Matching

Figure 3: Kaplan-Meier after Propensity Score Weighting



