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Efficacy of the environmentally sustainable microwave heating compared to biocide applications in the devitalization of phototrophic communities colonizing rock engravings of Valle Camonica, UNESCO world heritage site, Italy

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1 Efficacy of the environmentally sustainable microwave heating compared to biocide

2 applications in the devitalization of phototrophic communities colonizing rock engravings of

- 3 Valle Camonica, UNESCO world heritage site, Italy
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24 Abstract

- 25 The devitalization of lithobionts prior to their removal from engraved rocks is a common
- conservation practice periodically undertaken in rock art sites. In this study, we assessed *in situ* the
- efficacy of three traditional biocides and of an innovative microwave heating system, and compared
- 28 different application protocols to devitalize foliose and crustose lichens and a cyanobacteria-
- dominated biofilm on the rock engravings of Valle Camonica (UNESCO site n.94, Italy). The
- analysis of their vitality and stress responses by monitoring chlorophyll *a* fluorescence parameters
- 31 $(F_v/F_m, F_0, OJIP \text{ transient})$ showed that the common application of biocides by brush is rather
- 32 ineffective, particularly in the case of the resistant crustose lichens. The heating of rock surfaces to
- 70° C for a few minutes by the microwave system caused devitalization of lithobionts to a similar
- extent as the biocide application with cellulose poultice, which, however, introduced high amounts
- of chemicals in the environment. The microwave irradiation overcame any lithobiontic stress
- resistance and avoided useless or excessive spread of biocides, appearing a promising sustainable
- approach for the parallel conservation of rock art and its surrounding natural environment.
- 38

39 Keywords:

40 chlorophyll *a* fluorescence, environmentally safe art restoration, cyanobacteria, lichens, microwave,
41 biocide

43 **1. Introduction**

44 Open-air rock art sites are exposed to human actions and natural processes which can affect their

45 preservation, i.e. perpetuation of heritage asset, and conservation, i.e. physical lifetime (Darvill and

- 46 Batarda Fernandes 2014). Natural threats of stone cultural heritage include lithobiontic (i.e. rock
- dwelling) microorganisms, which generally affect the aesthetic appearance and historic value and
- put at risk the conservation of artworks because of their biodeterioration potential (Pinna 2017;
 Favero-Longo and Viles 2020). In the case of engraved rocks, the spatial extension of physical and
- 50 chemical interactions of lithobionts with the mineral substrate may be dimensionally similar to that
- 51 of the heritage objects (e.g. rock paint or engraving) and should thus deserve special attention in
- 52 management plans (Knight et al. 2004). The main public concern often relates to the aesthetic
- alteration caused by the lithobiontic covering which masks the appearance and alters the legibility
- of rock art surfaces to scholars and other visitors, thus affecting research activities and the tourism
- industry. Therefore, the removal of lithobiontic communities is a common periodic practice
- undertaken in many rock art sites. The simple mechanical removal of lithobionts from heritage
- 57 surfaces mostly favors the persistence of live structures within the substrate and the spread of viable
- 58 fragments thereof (Pinna 2017). Its effect in cleaning of rock art is generally only temporary
- 59 (Tratebas 2004 with refs. therein). Treatments using biocides have thus been widely combined with
- 60 mechanical cleaning, but only in a few cases the efficacy of the adopted protocols has been
- 61 evaluated by experimental assays on the devitalization effects and supported by medium- and long-
- term monitoring programs of their impact on the surface stability and bioreceptivity (Tratebas 2004;
 Sanmartín et al. 2019). As the efficacy of biocide treatments against lithobionts is both species- and
- 64 site-specific, biocides' assays need to be performed *in situ* and focused on the conservation threats 65 of each site (Favero-Longo et al. 2017).
- 66 On the other hand, alternative and sustainable devitalization approaches are increasingly invoked to 67 drastically reduce the use of chemicals, their potential interference with the rock substrates and their
- 68 threats to humans and the whole biosphere (UNESCO 2008; Cappitelli et al. 2020; Sanmartín et al.
- 69 2020). Recently, physical approaches have been experimented on rock art and other heritage
- ⁷⁰ surfaces by applying methods such as temperature shifts, electromagnetic radiations (e.g. gamma
- rays, UV, microwaves), and laser (Sanz et al. 2015; Pinna 2017 with refs. therein; Pozo-Antonio
 and Sanmartín 2018; Pozo-Antonio et al. 2019). Regarding temperature shifts, the heating of rock
- surfaces to 55-60°C, easily reached under direct sun radiation, is sufficient to devitalize lichens and
- 74 other biodeteriogens within few hours if they are artificially kept fully hydrated (Tretiach et al.
- 75 2012; Bertuzzi et al. 2013). However, the success of this approach strongly depends on the available
- sun radiation and, thus, on meteorological conditions, in a way that is hardly compatible with the
- planning of restoration interventions in temperate countries. The same approach was recently
- implemented shifting the energy input from the sun radiation to a microwave heating system (MW)
- suitable for usage in the field (Riminesi and Olmi 2016).
- 80 Microwave heating has already been applied to kill various targets, including insects and
- 81 microorganisms threatening stored food materials and, more recently, heritage artefacts (Macana
- and Baik 2018; Cappitelli et al. 2020; Soni et al. 2020). Regarding the stone, a preliminary in vitro
- 83 investigation highlighted the devitalization effect of MW on black fungi (Cuzman et al. 2013). Its
- potential has been also confirmed in the field on the easy geometries of tombstones (Mascalchi et
- al. 2015, 2020). However, the efficacy of microwaves against components of phototrophic
- 86 communities colonizing rock art (lichens and cyanobacteria) needs to be proven. Moreover,

information is lacking on the application of MW on the irregular shapes of natural outcrops hostingrock art.

- 89 This work aimed to assess *in situ* the efficacy of different approaches, including traditional
- 90 chemicals and the innovative MW, to devitalize lithobionts prior to their removal from engraved

sandstone outcrops in the Rock Engravings National Park of Naquane in Valle Camonica, part of

- 92 the UNESCO world heritage site n. 94 (Italy). Comparative assays encompassed (i) three
- 93 commercial biocides and MW, (ii) different application methods, and (iii) three biological targets
- 94 widespread in the site, namely a cyanobacteria-dominated phototrophic biofilm, crustose and
- foliose lichens. We tested the hypothesis that the same effects of traditional biocides may be
- 96 obtained with the environmentally safe MW. The devitalization and the physiological resistance of
- 97 lithobionts were evaluated measuring chlorophyll *a* fluorescence, an indicator of photosynthetic98 activity.
- 99

100 2. Materials & Methods

101 *2.1. Study site and target species*

Microwave and chemical treatments were performed on Rocks 30 and 31, respectively, of the Rock 102 Engravings National Park of Naquane, in middle Valle Camonica [Capo di Ponte, Brescia, Italy: 103 UTM ED50, N 5097692, E 604391; 475 m]. This intra-alpine area displays rainfall around 1000 104 mm yr⁻¹ and air temperatures ranging from av. 2°C in winter to av. 21°C in summer (monitoring 105 station n. 129 of ARPA Lombardia, Capo di Ponte, 342 m a.s.l., dataset 2003-2016). Petroglyphs 106 are carved in sandstone outcrops of the Verrucano Lombardo Formation (Upper Permian; Brack et 107 al. 2008), which are widely colonized by phototrophic biofilms and lichens. Coccoid (Gloeocapsa 108 109 sp., Chroococcus sp.) and filamentous (Scytonema sp., Stigonema sp.) cyanobacteria are the main components of biofilms, also including green algae, primordia of lichen thalli and microcolonial 110 black fungi. Foliose thalli of Xanthoparmelia [mostly X. conspersa (Ach.) Hale] and mesophytic 111 crustose species [mostly Circinaria caesiocinerea (Malbr.) A. Nordin, Savić & Tibell, Pertusaria 112 flavicans Lamy, Rhizocarpon disporum (Hepp) Müll. Arg., and Rufoplaca gr. arenaria (Pers.) 113 Arup, Søchting & Frödén,] are the dominant species in the lichen communities (Favero-Longo and 114

- 115 Matteucci 2022).
- 116 Documentation available on cleaning interventions in the Park (irweb.it), registered from early
- 117 1980s, does not mention Rocks 30 and 31. Thus they have been likely left uncleaned for not less
- than 40 years. Treatments were performed on $15-30 \text{ cm} \times 15-30 \text{ cm}$ parcels on the phototrophic
- biofilm, and on selected mature thalli of the foliose lichen *Xanthoparmelia conspersa* and the
- 120 crustose *Rufoplaca* gr. *arenaria* (Fig. S1a).
- 121

122 2.2. Biocide application

123 The three biocides were prepared following the manufacturer's instructions as follows: 2%

124 Preventol RI80 ® (benzalkonium chloride, ~80%, as active principle; Lanxess, Köln, Germany) in

125 water, 3% Biotin T ® (N-octyl-isothiazolinone, 7-10%, and didecyl-dimethyl ammonium chloride,

40-60%, as active principles; CTS, Altavilla Vicentina, Italy) in deionized water, and 3% Biotin R

127 ® (N-octyl-isothiazolinone, 3-5%, and 3-iodo-2-propynyl butylcarbamate, 10-25%, as active

- principles; CTS) in white spirit. Professional restorers applied the biocides in April 2018 on the
- 129 phototrophic biofilm and lichens pre-hydrated with sprayed water (Favero-Longo et al. 2020). The

- application was carried out using a paintbrush (Fig. S1b) and a cellulose poultice (Fig. S1c). The
- poultice was removed using a small spatula after 4 h, and then the treated thalli and biofilms were
- 132 gently washed with tap water. Deionized water only was also separately applied as negative control.
- 133 Three replicates per target organism (*X. conspersa, R. arenaria*, phototrophic biofilm) per product
- 134 (deionized water, DW; Preventol, PV; Biotin T, BT; Biotin R) per application method (brush,
- poultice) were examined [equivalent to a total of 72 assays].
- 136

137 2.3. Microwave application

138 A portable microwave system for localized surface and sub-surface treatment (Fig. 1a) was

- employed in this study (Riminesi and Olmi 2016). Its antenna, subsequently designated as
- 140 'applicator', consists of a truncated rectangular waveguide with a properly designed slot on the
- 141 aperture. The geometry of the applicator allows the devitalization of lithobiontic microorganisms,
- growing on and beneath stone surfaces, by concentrating the microwave field distribution on a
- semi-ellipsoidal volume of the treated material, with an elliptical surface footprint 4cm \times 3cm in
- size and a depth of approx. 1.5 cm (Riminesi and Olmi 2016). A key aspect of the method is that
 microwave radiation heats targets containing water, allowing the selective treatment of living cells
- 146 with a higher water content than that of the materials hosting the organisms..
- 147 The treatment was performed in June 2019 with two application methods: the applicator placed in
- direct contact with the colonized rock (Fig. 1b) and on a layer of cellulose poultice moistened with
- 149 water (Fig. S1c). In both cases, the rock surface and the lithobionts were previously moistened with
- sprayed water. Heating of the rock surface was monitored in real-time by a non-conductive fiber
- 151 optical sensor (Luxtron 1000A/A with fluoroptic probe; Luxtron, Cuneo, Italy). The microwave
- application was stopped when the rock surface had reached the threshold of 70° C for 170 ± 4 sec,
- which was recognized as the best microwave dose against other lithobionts (that is black fungi;
- 154 Cuzman et al. 2013). The cellulose poultice was removed a few minutes after the microwave 155 application and the surface was gently washed with tap water.
- Three replicates per target organism per application method were examined [n = 18, equivalent to 3 target organisms $\times 2$ application methods $\times 3$ replicas].
- 158

159 *2.4. Vitality measurements*

- Chlorophyll a fluorescence (Chl_aF) of phototrophic biofilms and lichens was quantified 4-6 hours 160 before (T0), 24 hours (T1) and 40 (T40) days after the treatments. In particular, the monitoring of 161 biocide effectiveness was performed on April 10th (T0_B, where "_B" stands for "Biocides"), April 11th 162 (T1_B) and May 19th (T40_B) 2018, and that of microwave effectiveness on June 20th 2019 (T0_M, 163 where "_M" stands for "Microwaves"), June 21st (T1_M) and July 30 (T40_M) 2019. Although the 164 monitoring of biocide and microwave assays was not performed at the same time due to logistic 165 constrains, the rather similar mild and rainy climate conditions of the study area in central-spring 166 $(T0_B-T40_B)$ and early-summer $(T0_M-T40_M)$ periods (Fig. S2) were considered acceptable to analyze 167 the treatment effects on similarly active lithobiontic targets. Nevertheless, any differences in the 168 starting photosynthetic efficiency observed at TO_B and TO_M were taken into account in the 169 evaluation of biofilm and lichen responses (sections 3.1 and 4.1). 170
- 171 Chl_aF was measured with a Handy-PEA fluorimeter (Hansatech Instruments Ltd., Norfolk, 172 England; saturating light pulse of 1s, 1500 μ mol m⁻²s⁻¹, peak at 650 nm) on moistened thalli and 173 biofilms, previously obscured with a black fabric for twenty minutes to allow dark-adaptation. All

measurements were collected early in the morning, before the stone heating by sun, except for those 174 at T40_B, which were performed late in the morning, when air temperature was approx. 20°C, but the 175 sun radiation had already started to warm the rock surface. At each time point, five measurements 176 were performed on each thallus of X. conspersa and R. arenaria, and on the biofilm parcels. The 177 maximum quantum yield of PSII (F_v/F_m , with $F_v=F_m-F_0$, F_0 and F_m = the minimum and maximum 178 fluorescence, with all reaction centres open and closed, respectively), indicates the functionality of 179 the photosynthetic process and, thus, the general level of phototrophic lithobionts' fitness (Tretiach 180 et al. 2010, 2012). F₀, which is related to the chlorophyll *a* content (Sanmartín et al. 2019), was also 181 monitored. The threshold 0.15 of F_v/F_m values and the decrease of $F_0 > 80\%$ were considered as 182 183 reference values for devitalized lichens, that is, when their metabolic recovery can be confidently ruled out (Favero-Longo et al. 2017 with refs. therein). Moreover, the OJIP transient was examined, 184 that is the Chl_aF polyphasic curve from F_0 (O) to F_m (P), with two steps at 2 ms (J) and 30 ms (I). 185 The very fast O to J phase (photochemical phase) is mostly due to the reduction of the primary 186 acceptor guinone of PSII (O_A) , being indicative of antenna size and connectivity of PSII reaction 187 centres (Stirbet and Govindjee 2011; Malaspina et al. 2015). The J to I and I to P rises (thermal 188 phase) are associated to the reduction of the plastoquinone-pool centers and the electron flow 189 through PSI, respectively (Stirbet and Govindjee 2011; Malaspina et al. 2015). Another inflection is 190 sometimes observed at 300 µs (K-step) as a response to thermal stress, attributed to the inactivation 191 of the oxygen-evolving complex (Strasser 1997; Stirbet et al. 2019). The study of the O(K)JIP curve 192 thus contributes to understand the impact of stress factors on the structure and functioning of the 193 photosynthetic apparatus. 194

As a complement of Handy-PEA measurements, the responses of lichens and the phototrophic biofilms observable with the naked eye were visually monitored and recorded using the digital camera of an iPhone 5. Moreover, lobes of *X. conspersa* thalli were cut with a lancet at T0, T1 and T40, cross-sectioned and observed using an epifluorescence microscope Nikon Eclipse 300 to obtain spatial information on the devitalization of the photobiont layer (Favero-Longo et al. 2017).

200

201 *2.5. Statistics*

A factorial ANOVA was used to detect significant differences in Chl_aF parameters (F_v/F_m , F_0)

according to the following independent variables: type of treatment (DW, PV, BT, BR, MW), time

point (T0, T1, T40), application method (brush/direct, cellulose poultice), and target lithobiont (X.

205 conspersa, R. arenaria, phototrophic biofilm). For each treatment, significant differences in F_v/F_m

and F_0 values at the different time points were analyzed by ANOVA with post-hoc Tukey's test

207 (P<0.05 as significant). As for microwave treatments, the times needed to reach temperature

thresholds fixed from 30° C to 80° C (at each 5° C interval) when the applicator was applied directly

209 on the rock surface or with the cellulose poultice were compared by ANOVA with post-hoc t-test,

and time intervals of the exposition to temperatures higher than thresholds fixed from 30° C to 80° C

(at each 10°C interval) were compared for the different study cases (target organisms × application
 methods) by ANOVA with post-hoc Tukey's test. All these analyses were carried out using

213 SYSTAT 10.2 (Systat Software Inc., San Jose, CA).

The shapes of OJIP curves were compared using the PEA Plus 1.12 software package (Hansatech

215 Instruments Ltd., UK).

216

218 **3. Results**

- 219 3.1. Chl_af of lichen thalli and the biofilm before treatments
- 220 Chl_af of the lichen thalli and of the biofilm was measured before the treatments with biocides and
- 221 microwaves in April (TO_B) and June (TO_M), respectively. Negative controls (deionized water
- treatments) were monitored between April $(T0_B)$ and May $(T40_B)$ in parallel with biocide treatments.
- The F_v/F_m values (Fig. 2) of negative controls were uniform at the different time points (T0_B, T1_B,
- T40_B), but differed significantly between biological systems (*X. conspersa,* av. 0.68 > R. arenaria,
- av. 0.44 > cyanobacterial biofilm, av. 0.26). Similarly, F₀ was higher in the foliose lichen thalli (av. 85) than in the crustose ones (av. 35) and the phototrophic biofilm (av. 22) (Fig. 3). F₀ values of the
- lichens and the biofilm measured at $T0_{\rm M}$ were generally higher than those measured at $T0_{\rm B}$.
- 229 The fluorescence transient curves of untreated X. conspersa (negative controls, and TO_B and TO_M of
- the different assays; Fig. 4a and Fig. S3a) showed the typical OJIP shape, as expected for unstressed
- thalli. A remarkable increase of F_0 and F_M , and of the whole amplitude of the curve, was observed
- at TO_M with respect to TO_B . *R. arenaria* (Fig. 4b and Fig. S4a) similarly showed the characteristic
- sequence of the OJIP steps at $T0_M$, while F_0 , F_M and the amplitude of the curve were remarkably
- lower at TO_B . At this time, a first peak along the curve was observed at 300 µsec (K-step), less
- pronounced or absent at $T0_M$. At $T40_B$, the negative controls of both lichens showed an anticipation of the P phase, chearned at 0.2 and rather than at 0.5 and
- of the P phase, observed at 0.3 sec. rather than at 0.5 sec.
- The fluorescent transient curves of the biofilm were of much lower amplitude than those of the
- foliose and crustose lichens (Fig. 4c and Fig. S5a). F_0 and F_M were higher at $T0_M$ than at $T0_B$. At
- this latter time, in particular, the amplitude of the IP phase was minimal, while it was better
- recognizable, in the series of negative controls, at $T40_B$. A K-step was observed at both $T0_B$ and T0_M.
- 242

243 *3.2. Heating of the rock surfaces treated with microwave radiation*

Real-time temperature monitoring of the surfaces treated with microwaves is summarized in Fig. 5. 244 All the parcels were exposed to rather similar heating rates, with the rock surface temperature 245 quickly increasing to 50°C (after 19±6 sec, mean±SD), 60°C (45±10 sec), and 70°C (104±21 sec) 246 (Fig. 5a). All the parcels were exposed to a temperature higher than 50°C for approx. 240 sec and to 247 a temperature equal or higher than 70°C for approx. 170 sec (Fig. 5b). The heating rate was slightly 248 faster for the parcels covered with cellulose poultice (Fig. 5a). Therefore, the total irradiation time 249 250 on these parcels was shorter than that of the parcels treated with the applicator in direct contact (particularly in the case of *R. arenaria*), and temperatures even briefly increased above 80°C. 251

252

253 *3.3. Effects of biocides and microwave heating on Chl_af*

At T40, each lithobiont treated with chemicals or MW exhibited a modified appearance in comparison to T0 and the controls, observable with the naked eye. The thalli of *X. conspersa* were yellowed (Fig. 1d-e and Fig. S6), those of *R. arenaria* appeared crumpled (Fig. S7), and the phototrophic biofilm exhibited wide detachments (Fig. S8). These modifications occurred regardless the treatment type and the application method. However, such homogeneity in the visible effects was not reflected by Chl_aF responses.

The factorial ANOVA showed that all the examined variables (type of treatment, time, target lithobiont, application method) significantly contributed to the devitalization effectiveness, as expressed by the F_v/F_m and F_0 parameters (Table S1). Both chemicals and MW devitalized the target lithobionts, but the efficacy strongly depended on the application method.

- After the application of the biocides by brush, F_v/F_m values of *X. conspersa* and *R. arenaria* remarkably decreased at T1_B (-65% to -95%, out of Preventol on *X. conspersa*), but at T40_B they
- showed a significant recovery above the vitality threshold of 0.15 (Fig. 2).
- 267 Uniquely, brush application of Preventol (PV) and Biotin T (BT) zeroed the median F_v/F_m values of
- the phototrophic biofilm, which was instead generally unaffected by Biotin R (BR). F_0 values of
- 269 *Xanthoparmelia* at T40_B were much lower than those at $T0_B$ and $T1_B$, but they neither zeroed nor
- decreased more than 80% (Fig. 3). Such fluorimetric results were also confirmed by epifluorescence
- 271 microscopy, displaying the persistence of residual, red autofluorescent viable cells in the lower part
- of the photobiont layer (Fig. S9). *R. arenaria* and the biofilm treated with PV and BT showed a
- considerable increase of F_0 values at $T1_B$ and a decrease at $T40_B$. Only the biofilm showed zeroed F_0 values after PV application.
- 275 On the contrary, both lichens and the biofilm were strongly affected by all the chemicals when
- applied by the cellulose poultice. In all cases (out of PV on *R. arenaria*), Fv/Fm values at T40_B
- were much lower than 0.15, and in most cases the strong devitalization effect was already detected
- at T1_B. F₀ values of X. conspersa at T40_B strongly decreased (>80%) being quite zeroed. Regarding
- 279 *R. arenaria* and the biofilm, the relative increase of F_0 at $T1_B$ was mostly followed at $T40_B$ by a
- strong decrease (>80%) and/or by zeroing of median values (with the confirmed exception of PV on
- 281 *R. arenaria*).
- The microwave heating system also showed different efficacies when applied directly on the rock or with the interposed layer of cellulose poultice. The direct application was very efficient, with F_v/F_m values of all lithobionts decreasing below 0.15 since T1_M and zeroing at T40_M in the case of *X*. *conspersa* and the biofilm. The zeroing of F₀ values at T40_M confirmed the result. By contrast, MW application on the surfaces covered by the cellulose poultice was only effective on *X*. *conspersa* ($F_v/F_m < 0.15$ at T1_M and T40_M; $\Delta F_0 > 80\%$). Both *R. arenaria* and the biofilm showed a low increase
- of F_0 at $T1_M$ and only a limited decrease of F_v/F_m and F_0 values at $T40_M$.

Fluorescent transient curves confirmed the importance of the application method on the efficacy of biocides (Figs. S3-S5) and microwave radiation (Fig. 4). The poultice application of biocides (Fig.

- S3) and the direct application of MW on *X. conspersa* (Fig. 4a) led to the flattening of the curve
- since $T1_{B,M}$ and to its zeroing at $T40_{B,M}$. The application by brush of BR similarly flattened the
- 293 curve at T1_B, while a minimal amplitude, with the appearance of a K-step at 300 μ sec, was still
- observed with BT; a slight rise of the OJ phase and a reduction of the IP amplitude were observed
- with PV (Fig.S3). For all the biocides, a minimum curve amplitude was still observed at $T40_{B}$, with
- the OJ and IP phases recognizable for BT >>BR > PV (Fig. S3). MW application with the
- interposed layer of cellulose poultice determined the increase of F_0 , but flattened the curve, which
- 298 definitely zeroed at $T40_M$ (Fig. 4a).

- BR and BT poultices (Fig. S4) and MW direct application (Fig. 4b) on *R. arenaria* determined at
- $TO_{B,M}$ an increase of F_0 and the flattening of the curve, which was still flattened and zeroed at
- 301 T40_{B,M}. PV poultice also flattened the curve at $T1_B$, without the increase of F_0 , but it recovered
- some amplitude and the characteristic OJIP steps at $T40_B$, with a well-defined K-step at 300 μ sec
- 303 (Fig. S4). Biocides applied by brush (Fig. S4) and the MW indirect application (Fig. 4b) also
- resulted in a strong reduction of the curve amplitude, with a remarked K-step and the flattening of the IP phase (and for BT and PV the increase of F_0). However, all the thalli recovered the
- the IP phase (and for BT and PV the increase of F_0). However, all the thalli reco characteristic OJIP steps at T40_{B.M}, more remarkably for BT, MW > BR, PV.
- Regarding the phototrophic biofilm, all the biocides caused an increase of F_0 and F_M at $T1_B$.
- 308 Poultice applications were characterized by a complete curve flattening, while a minimal
- preservation of the OJ rise and of the K-step followed the brush treatment (Fig. S5). At $T40_B$, the
- $fluorescent transient was flat and zeroed for PV treatments, flat for BT treatments (but with <math>F_0$
- around 10), and flat and zeroed for the poultice application of BR, while the OJ rise was still
- observed with brush. With the MW direct application (Fig. 4c), F_0 and F_M decreased since $T1_M$, and
- the fluorescent transient was flattened and zeroed at $T40_M$. The MW indirect treatment increased F_0
- and F_M values at $T1_M$, with some curve amplitude remaining, that is a profile similar to those
- observed with biocide treatments. The OJ rise was still observable also at $T40_M$.
- 316 It is worth noting that both chemical and MW applications, independently of their devitalization
- efficacy, did not directly lead to the complete removal of targeted lithobionts, which instead
- required successive interventions with mechanical tools to gently detach both lichen thalli and the
- 319 phototrophic biofilm, and thus achieve surface cleaning (Fig. 1f).
- 320

321 **4. Discussion**

The devitalization of lithobionts before their removal has long been recognized as a necessary step 322 to assure the efficacy and durability of cleaning procedures on engraved rock surfaces (Tratebas 323 2004). Unfortunately, if a biocide treatment fails to devitalize the target lithobionts, the restoration 324 would be ineffective and unjustifiably pollutive too, because of the useless spread of chemicals in 325 the environment. This drawback may be particularly critical when using biocides containing 326 benzalkonium chloride, which was suggested to serve as a nutrient to microorganisms due to its 327 nitrogen content, thus favoring recolonization dynamics (Scheerer et al. 2009), and to promote the 328 selection of resistant microbial strains (Martin-Sanchez et al. 2012; Kim et al. 2018). 329

This work showed that the application of biocides on rock art surfaces by brush -a commonly 330 practiced devitalization procedure saving time and materials- can be ineffective on resistant 331 lithobionts, as crustose lichens are (Table 1). Moreover, our results confirmed the hypothesis that 332 the heating of rock surfaces to 70°C for a few minutes by a microwave system causes the same 333 effective devitalization of the targeted lithobionts obtained with the cellulose poultice application of 334 335 biocides. It is worth mentioning that this latter approach introduces in the substrate higher amounts of biocides than the brush application (Favero-Longo et al. 2020), with consequent environmental 336 concern. Heating by microwave radiation does not leave any residual on the substrate. When 337 exposed to the oscillating microwave field, the water molecules of both lithobionts and the stone 338 move (ionic conduction) and rotate (dipolar rotation), with their frictions resulting in heat 339 generation and increase of temperature. After removing the microwave applicator, the molecules 340 stop moving and vibrating, and the temperature comes back rapidly, without leaving any residual 341

- effect, by emitting blackbody radiation in IR range $(9-12 \mu m)$ or dissipating their heat by
- conduction (Metaxas and Meredith 2011). Accordingly, microwave irradiation does not impact rock
 surfaces with temperature shifts similar to those used in pulsed laser irradiation, which instead may
 cause thermal stress and the melting of rock-forming minerals (De Cruz et al. 2014; Pozo-Antonio
 et al. 2019).

At present, the portable microwave system (MW) only allows the irradiation of small surfaces, as it 347 is necessary to perform multiple adjacent applications of the 4 cm \times 3 cm applicator, each taking 348 approx. 5 minutes to reach and maintain 70°C for approx. 3.0 minutes. This means that the device 349 takes approx. 6-7 hours to cover 1 m^2 , a treatment rate unsuitable to cover the wide outcrops of rock 350 art sites, but effective to treat lithobionts on small, engraved rock areas of peculiar interest. The 351 MW instrumentation is being developed further to allow treatments of larger surfaces. Here we 352 discuss the species-specific efficacy of physical and chemical devitalization treatments in relation to 353 the different physiological responses of target lithobionts, addressing critical issues for the 354 management of rock art and the surrounding natural environment. A first insight on the microwave 355 356 effects on the photosynthetic efficiency of lichens and cyanobacteria is provided.

357

358 4.1. Photosynthetic efficiency of target lithobionts

Different values of F_0 (and F_v/F_m) for each species at TO_B and TO_M indicated a seasonality of the 359 photosynthetic performance, which has been previously reported for saxicolous lichens of the 360 Mediterranean region, showing a marked reduction of quantum efficiency in summer drought 361 periods, followed by the recovery of their optimum fluorescence in autumn (Vivas et al. 2017). 362 However, the present study showed that lower F_0 and F_v/F_m values at $T0_B$ than at $T0_M$ were 363 measured in a humid week of a wet spring (as usual in Valle Camonica; see Gerosa et al. 2013; 364 cumulative rain in Oct17-Jul18 in Fig. S2b). Higher F₀ values and higher amplitude of OJIP curves 365 366 registered at T0_M (cumulative rain in Oct18-Jul19 in Fig. S2b) may be thus better explained by a recovery of the photobiont populations in the vegetative season, which determined a higher 367 chlorophyll content in the thalli (Baruffo and Tretiach 2007), rather than by the absence of drought 368 stress. 369

Different F_0 and F_v/F_m values at $TO_{B,M}$ of X. conspersa and R. arenaria indicated the well-known 370 interspecific variability of the lichen photosynthetic performances, with lower values reported more 371 often in crustose than foliose species (Jensen et al. 2002). However, although foliose species may be 372 373 expected to harbour more photobionts and thus contain higher chlorophyll contents than crustose 374 ones, the photosynthetic performance seems to be not uniquely related to growth form, but also influenced by the substratum and the microenvironmental conditions (Nayaka et al. 2009). 375 Accordingly, the direct contact of *R. arenaria* with the rock may regularly determine stressful 376 conditions, revealed by the small K-step observed along the OJIP curve already at TO_{B,M}, and 377 instead not detected in X. conspersa, in which the rhizinae are interposed between the rock and the 378 thallus. In plants, the K-step has been related with a heating induced injury in the oxygen-evolving 379 complex of PSII, determining an imbalance in the electron flow around P680 and the accumulation 380 of oxidized reaction centers (Strasser 1997; Kalaji et al. 2016). Small K-steps as observed in this 381 study for *R. arenaria*, even before any treatment, usually characterize highly stressed organisms 382 (Marečková et al. 2019). Surprisingly, the K-step was not observed in X. conspersa even at T40_B, 383

when the measures were performed late in the morning of a sunny day, and the fluorescent transientwas thus affected in the IP phase.

386 F_v/F_m values of the phototrophic biofilm at $TO_{B,M}$ (av. 0.26) were only slightly lower than maximum values reported for cyanobacteria in vitro (0.3-0.4; Gao et al. 2007). Such low values were obtained 387 using the "apparent" F_0 and F_M values, that were measured not considering that cyanobacteria. 388 unlike green algae and higher plants, use electron flows from PSII in both photosynthesis and 389 390 respiration (Stirbet et al. 2019). Approaches to obtain more reliable F_v/F_m values (reviewed in Stirbet et al. 2019) were not followed here, as the devitalization was evaluated by adopting the same 391 measuring conditions before and after both treatments. Similarly to lichens, the K-step at 300 µsec 392 is a marker of heat stress in cyanobacteria (Zhang and Liu 2016; Kvíderová and Kumar 2020). It 393 was observed in the measures of the phototrophic biofilm even before the devitalization treatments. 394 395 Accordingly, the phototrophic biofilm and *R. arenaria*, more adherent to the rock, appeared regularly affected by heat stress, while X. conspersa was not. 396

397

398 *4.2. Microwave heating and photosynthetic efficiency*

The application of microwave heating directly on the rock surface moistened with sprayed water 399 overcame the stress tolerance of all the lithobionts, with flattened fluorescent curves at T1_M, which 400 did not show any recovery at T40_M likely because of the high temperature of the irradiated surface. 401 Indeed, 70°C could be tolerated by lichens when dry (Lange 1953), but not in the hydrated state, 402 when their heat resistance ranged from 35° to 46°C (MacFarlane and Kerhaw 1980; Tretiach et al. 403 2012). The cyanobacteria-dominated phototrophic biofilm did not show the resistance demonstrated 404 by certain epilithic green-algae, which survived even in the hydrated state to a heat-shock treatment 405 at 60°C, as few unaffected cells re-established viable populations (Bertuzzi et al. 2017). MW 406 application with the interposed poultice layer gave a better glimpse of the lithobiontic response to 407 the heating stress. Together with the F_m decrease, the stress was indicated by F_0 increase in X. 408 conspersa > phototrophic biofilm > R. arenaria, which may depend on the heat-induced presence of409 free chlorophyll and uncoupled antennas proteins (Strasser 1997). Remarkably, the lower F_0 410 increase showed by the lithobionts more adherent to the substrate, and likely more used to heat 411 stress than X. conspersa (MacFarlane and Kershaw 1980), corresponded to their higher recovery at 412 F40_M. Accordingly, in laboratory experiments simulating some microclimatic conditions of the 413 warm Namib desert, the hydrated thallus of genus Xanthoparmelia (X. walteri) was still 414 photosynthetically active at 55°C, while that of genus Caloplaca (C. elegantissima, sharing with R. 415 arenaria the former genus Caloplaca s.l., before its recent subdivision based on molecular 416 phylogeny; Arup et al. 2013), was inactive above 45°C; nevertheless, the latter species was the most 417 widespread in the real desert, suggesting that its response implied some adaptation to this harsh 418 environment (Lalley and Viles 2006; see next section). On the other hand, although the different 419 lithobionts were exposed for the same time interval to 70°C and more, the different heating rate of 420 each surface corresponded to a different total time of microwave irradiation. In particular, the 421 duration was generally lower for the less effective poultice application, with the higher water 422 availability likely accounting for the higher heating rates (Metaxas and Meredith 2011). The 423 shortest irradiation interval and exposition to 40-60°C (but also the highest interval above 80°C) 424 were observed for the poultice application on the more resistant R. arenaria. Accordingly, the time 425 426 of exposition to temperatures around the limit of photosynthetic activity (approx. 50°C) may be

even more crucial in terms of treatment effectiveness than the high temperature (equal or above

428 70°C) at which lithobionts are metabolically inactive and thus more tolerant to stress (Lalley and

- 429 Viles 2006).
- 430

431 *4.3. Biocides and photosynthetic efficiency*

The higher resistance of R. arenaria > phototrophic biofilm > X. conspersa was also confirmed by 432 the results of biocide treatments, although there were some different patterns depending on the 433 product. $F_v/F_m < 0.15$ and the flattening and zeroing of the transient curve in *R. arenaria* were 434 observed only after the application of BR and BT by poultice. These biocides contain 435 isothiazolinones, which yield metabolic inhibition by targeting thiol-containing enzymes (Denyer 436 and Stewart 1998). PV contains benzalkonium chloride (BZC), which damages biological 437 membranes and causes cell lysis (Wessels and Ingmer 2013). Unlike the other two biocides, PV did 438 not cause the devitalization of the crustose lichen. Although the transient curve was rather flat at 439 $T1_{B}$, it recovered the OJIP shape at $T40_{B}$, including a small K-step, suggesting that the resistance to 440 heating stress is suitable to provide resistance also to the biocidal action of the quaternary 441 ammonium salt. This does not mean that quaternary ammonium salts did not affect the photobionts, 442 because after the application by brush of PV and BT, which contain BZC and didecyl-dimethyl 443 ammonium chloride, respectively, an increase of F_0 was observed at $T1_B$, possibly related to the 444 445 occurrence of free chlorophyll caused by the membrane perturbation (Strasser 1997). However, this effect likely did not occur in the whole photobiont layer and was therefore ineffective. Similarly, 446 another species of the genus Caloplaca s.l. (Variospora aurantia) was shown to preserve viable 447 algae in some parts of the photobiont layer after the poultice application of BZC (1.5%) on 448 449 carbonate blocks in a semi-arid environment, where lichens usually tolerate remarkable thermal and salt stresses (Matteucci et al. 2019). Differently, poultice applications of PV, and of the other two 450 biocides, effectively devitalized the photobionts of X. conspersa, and also those of the crustose 451 lichen Verrucaria nigrescens and of the placodioid Protoparmeliopsis muralis, which also 452 frequently occur on heritage surfaces (Favero-Longo et al. 2017). The hypotheses that the 453 *Trebouxia* photobionts involved in the symbiosis with the *Caloplaca* mycobionts are more stress 454 resistant than those of Xanthoparmelia and others, or that the Caloplaca mycobionts confer more 455 protection to their photobionts, allowing their resistance, appear worth to be investigated. Several 456 species of genus Caloplaca s.l. display fungal and algal stacks as an adaptation to strong light 457 458 radiation (Vondrák and Kubásek 2013), which may also confer tolerance to other stress factors.

F₀ increased at $T1_B$ when PV and BT were applied by brush, but not when applied by poultice. The result suggests that its initial rapid increase, caused by membrane damages, was still detectable the day after the application of a low amount of biocide, while it was followed by a quick decrease due to the more abundant amount of biocide. By contrast, F₀ increased only with the poultice application of BR, and it might be caused by the effect of white spirit solvent, as the same was observed when it was applied alone as control (not shown).

465 The biocide application by brush on both lichens was always followed by the recovery of

466 Fv/Fm>0.15 and of the OJIP shape of the transient curve, more remarkable for *R. arenaria*,

indicating the poor suitability of this method on potentially resistant crustose species such as those

468 of genus *Caloplaca s.l.* By contrast, some higher efficacy was observed when PV and BT were

applied by brush on the cyanobacteria-dominated biofilm. This result is of remarkable interest as 469 these lithobiontic communities widely covered the surfaces, and it is therefore difficult (and not 470 environmentally safe) to plan a poultice application at the scale of the whole outcrops. The result is 471 partially explained by the different hydrophilicity displayed by biofilms depending on the 472 composition of their extracellular polymeric substances (EPS; Sanmartín et al. 2020), which in the 473 examined case likely did not prevent the absorption of the water-dissolved quaternary ammonium 474 salts. The cyanobacterial cell membranes, not protected by the mycobiont as lichen green algae are, 475 were eventually damaged. Accordingly, a strong F₀ increase was observed at T1_B, after both brush 476 477 and poultice applications, suggesting again the presence of free chlorophyll (Strasser 1997). This finding agrees with the positive results of PV applied on cyanobacteria on carbonate rocks, causing 478 the complete disorganization of the prokaryotic cells (Ascaso et al. 2002), and with the significant 479 decrease of chlorophyll *a* observed in planktonic cyanobacterial cultures (*Nostoc* sp.) treated with 480 BT (Sanmartín et al. 2015). Oppositely, in this study, the phototrophic biofilm showed a better 481 resistance to BR application by brush than that of BT, contrasting with previous findings about its 482 treatment on carbonate substrates (de los Ríos et al. 2012). This result may depend on the different 483 substrates and/or a diverse composition and hydrophilicity of the EPS, which may together 484 influence the biocide availability and absorption (Favero-Longo et al. 2020; Sanmartín et al. 2020). 485

It is finally worth noting that all these different patterns of resistance or sensitivity to the various treatments were detected and quantified with the fluorimetric measures, which are confirmed as a crucial tool to assess the efficacy of devitalization protocols against phototrophic lithobionts, and validate their adoption in restoration interventions (Tretiach et al. 2010). By contrast, the sole observation of treated lithobionts with the naked eye may reveal a similar appearance for devitalized and (partially) live thalli and biofilms and it is thus not a reliable feedback to select effective strategies for biodeterioration control.

493

494 **5. Conclusions**

This work demonstrated that microwave heating represents an effective and sustainable method to devitalize cyanobacterial biofilms, crustose and foliose lichens that grow on the rock engravings of Valle Camonica, yielding the same successful devitalization obtained by an abundant biocide application, but avoiding any dispersal of toxic residues in the environment.

499

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- the work in progress to the visitors of the Rock Engravings National Park. In this way the
- 511 community is made aware of the management efforts for the conservation of the heritage site
- 512 inscribed in the WHL and the environment.
- 513

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685 Figure captions

- Fig. 1. Microwave treatment on Rock 30 (Rock Engravings National Park of Naquane, Valle
- 687 Camonica, Italy). (a) the portable microwave system; (b) microwave applicator on a parcel (30×30)
- 688 cm) with *Rufoplaca arenaria* (*); (c) microwave application with an interposed layer of cellulose
- poultice; (d-f) a surface with *Xanthoparmelia conspersa* before $(T0_M, d)$ and 40 days after $(T40_M; e)$
- the microwave treatment with the applicator in direct contact with the rock, and after the successive
- 691 cleaning using mechanical tools (f).
- 692 Fig. 2. Maximum quantum yield of PSII (F_v/F_m) in Xanthoparmelia conspersa (a), Rufoplaca
- 693 *arenaria* (b) and the phototrophic biofilm (c) 4-6 hours before (T0) and 24 hours (T1) and 40 days 694 (T40) after the treatment with deionized water only (DW), Preventol (PV), Biotin T (BT), Biotin R 695 (BR), and microwaves (MW), applied directly (brush or direct MW contact; light box plots) or with 696 the cellulose poultice (dark box plots). For each case study (biocidal approach × application method 697 × species), box plots not sharing any capital letter (A, B, C) differ significantly (P<0.05). F_v/F_m 698 values that significantly decreased below the threshold fixed at 0.15 (P<0.05), indicative of
- 699 devitalization, are marked (*).
- Fig. 3. F₀ values *Xanthoparmelia conspersa* (a), *Rufoplaca arenaria* (b) and the phototrophic
 biofilm (c) 4-6 hours before (T0) and 24 hours (T1) and 40 days (T40) after the treatment with
- deionized water only (DW), PV, BT, BR, and MW, applied directly (brush or direct MW contact; light columns) or with the cellulose poultice (dark columns). For each case study (biocidal approach \times application method \times species), box plots not sharing any capital letter (A, B, C) differ significantly (P<0.05). F₀ values that decreased at T1 and T40 more than 80% with respect to T0 (P<0.05) are marked (*).
- Fig. 4. OJIP transients of *Xanthoparmelia conspersa* (a), *Rufoplaca arenaria* (b) and the phototrophic biofilm (c) before ($T0_M$, filled quadrats), and 24 hours ($T1_M$, crossed quadrats) and 40 days ($T40_M$, empty quadrats) after microwave radiation applied directly (black symbols) or with an interposed layer of cellulose poultice (red symbols). Time is expressed as seconds; fluorescence is expressed as arbitrary units.
- Fig. 5. Real-time temperature monitoring of surfaces treated with microwaves. (a) times (av \pm SD) 712 needed to reach temperature thresholds (from 30° to 80°C) after the beginning of microwave 713 application; (b) time intervals (av. \pm SD) in which the rock surfaces colonized by Xanthoparmelia 714 conspersa (X). Rufoplaca arenaria (R) and the phototrophic biofilm (P) were exposed to 715 temperatures higher than thresholds ranging from 30°C to 80°C. The treatment was carried out with 716 the microwave applicator positioned directly on the rock surface (black symbols, a, black columns, 717 b) or with an interposed layer of cellulose poultice (red symbols, a; red columns, b). For each 718 temperature threshold, couples of points marked with an asterisk (a) and columns not sharing any 719 capital letter (b) significantly differ (P<0.05). 720

- Table 1. Synoptic comparison of the treatment effectiveness against the foliose lichen
- 723 *Xanthoparmelia conspersa*, the crustose lichen *Rufoplaca arenaria* and the cyanobacterial
- phototrophic biofilm, evaluated after the application of biocides (Preventol, PV; Biotin T, BT;
- Biotin R, BR) and microwaves (MW) (at T40 with respect to T0).

	Foliose lichen	Crustose lichen	Photo- trophic biofilm	Foliose lichen	Crustose lichen	Photo- trophic biofilm	
	Арр	Application by brush			Application with cellulose poultice		
PV	-	-	÷	 +*	-	* *	
BT	-	=	÷	÷*	÷	÷	
BR	-	=	=	**	÷*	÷*	
	Direct application			Interposed cellulose poultice			
MW	**	ţ.	**	÷*	-	Ť	

726 =, no significant decrease of F_v/F_m , and F_0 decrease lower than -80%

-, significant decrease of F_v/F_m , but not below the 0.15 threshold, and F_0 decrease lower than -80%

728 \ddagger , significant decrease of F_v/F_m , but not below the 0.15 threshold, and F_0 decrease higher than -80%

729 \dagger^* , significant decrease of F_v/F_m below 0.15, and F_0 decrease higher than -80%

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