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## Ionic Nickel Embedded in Ceria with High Specific CO<sub>2</sub> Methanation Activity

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CO<sub>2</sub> hydrogenation to methane is gaining increasing interest as one of the most promising ways to store intermittent renewable energy in the form of chemical fuels. Ni particles supported on CeO<sub>2</sub> represents a highly efficient, stable and inexpensive catalyst for this reaction. Herein, Ni-doped CeO<sub>2</sub> nanoparticles were tested for CO<sub>2</sub> methanation showing an extremely high Ni mass-specific activity and CH<sub>4</sub> selectivity. Operando characterization reveals that this performance is tightly associated with ionic Ni and Ce<sup>3+</sup> surface sites, while formation of metallic Ni does not seem to considerably promote the reaction. Theoretical calculations confirmed the stability of interstitial ionic Ni sites on ceria surfaces and highlighted the role of Ce-O frustrated Lewis pair (FLP), Ni-O classical Lewis pair (CLP) and Ni-Ce pair sites to the activation of H<sub>2</sub> and CO<sub>2</sub> molecules. To a large extent, the theoretical predictions were validated by in situ spectroscopy under H<sub>2</sub> and CO<sub>2</sub>:H<sub>2</sub> gaseous environments.

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## Introduction

In 2021 the global CO<sub>2</sub> emissions from fossil fuel combustion and industrial processes have reached their highest ever annual level of 36.3 Gt.<sup>[1]</sup> Recycle CO<sub>2</sub> by carbon capture and utilization (CCU) process is a sustainable route towards CO<sub>2</sub> emission control, since it can partially close the carbon cycle.<sup>[2]</sup> Hence, increasing attention is being paid to use CO<sub>2</sub> as a feedstock to produce carbon-containing products via homogeneous, bio-, photo-, electro- and thermal-catalytic pathways.<sup>[3]</sup> Among them, heterogeneous thermal catalysis is better adapted to the current industrial infrastructure, which is a key advantage to deploy CCU process at large scale.

The catalytic reduction of CO<sub>2</sub> by H<sub>2</sub> into hydrocarbon fuels and chemicals (i.e. hydrogenation) is considered as the most realistic and attractive solution for CO<sub>2</sub> recycling.<sup>[4]</sup> This is because the H<sub>2</sub> required for the reaction can be produced from water electrolysis using surplus wind and solar electric power, generated when the demands for energy are low. Therefore, the problems of CO<sub>2</sub> disposal and storage of intermittent renewable energy can be tackled together providing a net CO<sub>2</sub> removal from the atmosphere. Depending on the reaction conditions and the type of catalyst, CO<sub>2</sub> hydrogenation can produce a wide variety of target molecules, including carbon monoxide, hydrocarbons and alcohols. Methane production, via methanation, or elsewhere known as the Sabatier reaction, is widely explored as one of the potential Power-to-Gas (PtG) technologies to substitute natural gas (CH<sub>4</sub> is the majority component of natural gas) while promoting the interaction between gas and electric grids.<sup>[5]</sup> In addition, methane can be produced at relatively mild reaction conditions with high CO<sub>2</sub> conversion rates and almost 100% selectivity.<sup>[6]</sup>

As in many heterogeneous catalytic reactions, the typical CO<sub>2</sub> methanation catalyst configuration consists of an active metal dispersed over a high surface area oxide support. Group 8-10 transition metals are suitable catalyst for the reaction, with Ru and Ni being the most active and selective.<sup>[5-7]</sup> Ni possesses remarkable advantages relative to Ru for large scale industrial applications due to the comparable catalytic performance and much lower cost. A plethora of supporting materials has been studied for this reaction, extending from conventional zeolites to more elaborated configurations such as core/shell structures or metal-organic frameworks (MOFs).<sup>[5]</sup> Reducible oxides, such as TiO<sub>2</sub> and CeO<sub>2</sub> have been widely used as supports for CO<sub>2</sub> methanation and in almost all cases were found to enhance the catalytic performance as compared to more inert supports such as Al<sub>2</sub>O<sub>3</sub> or SiO<sub>2</sub>.<sup>[8,9]</sup> Among the many reducible supports, CeO<sub>2</sub> has attracted strong interest in the field of CO<sub>2</sub> valorisation because Ce<sup>4+</sup> can be easily reduced to Ce<sup>3+</sup> and create oxygen vacancy (O<sub>v</sub>) sites.<sup>[10-12]</sup> The combination of the above

mentioned characteristics established CeO<sub>2</sub>-supported Ni catalysts as one of the most studied and promising candidates for the CO<sub>2</sub> methanation reaction.<sup>[13,14]</sup>

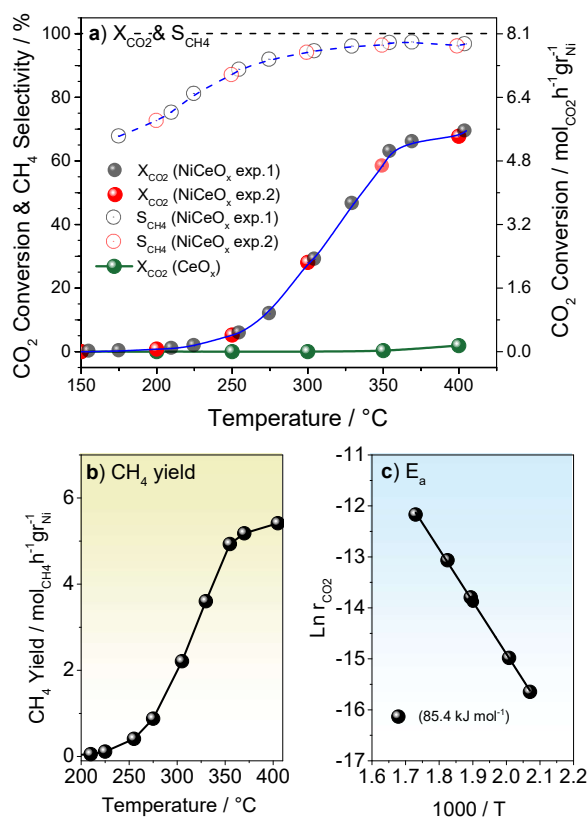
Although the factors controlling the performance of Ni/CeO<sub>2</sub> methanation catalysts are far from being completely understood, there is consensus that the Ni particle size,<sup>[9,15–17]</sup> the interaction at the Ni-CeO<sub>2</sub> interphase<sup>[16,18,19]</sup> and the reducibility of ceria<sup>[20,21]</sup> are the most critical features of the catalyst. Despite the ongoing debate on the optimal Ni particle size,<sup>[8,9,15–17]</sup> there is general consensus that metallic Ni is essential for CO<sub>2</sub> methanation, while ionic Ni deteriorates the catalytic reaction.<sup>[22]</sup> In sharp contrast to this picture, in the present work, using well defined Ni-doped ceria nanoparticles as catalyst, we show that metallic nickel is not indispensable for the CO<sub>2</sub> methanation reaction. On the contrary, we found that ionic Ni species embedded into interstitial ceria lattice sites are not just active, but possess a record-high Ni mass-specific activity for CO<sub>2</sub> methanation reaction combined with very high CH<sub>4</sub> selectivity.

## Results and Discussion

### *Catalytic tests*

Figure 1a shows the CO<sub>2</sub> conversion ( $X_{\text{CO}_2}$ ) and CH<sub>4</sub> product selectivity ( $S_{\text{CH}_4}$ ) of the NiCeO<sub>x</sub> catalyst measured in 2 independent experiments (exp. 1 and 2). Both parameters increase gradually with temperature and stabilize around 350 °C. For comparison, pure CeO<sub>x</sub> shows negligible activity under identical conditions indicating that Ni-dopant is directly involved in the catalytic cycle. The maximum  $X_{\text{CO}_2}$  (ca. 70%) is measured at 400 °C and is followed by  $S_{\text{CH}_4}$  around 98%. The performance of Ni-doped ceria is comparable with that of supported Ni/CeO<sub>2</sub> catalysts containing 5 times higher Ni loading (around 10 % wt or even more).<sup>[23–25]</sup> Consequently the nickel mass-specific CO<sub>2</sub> conversion and CH<sub>4</sub> yield (right-axis in Figure 1a and 1b) are among the highest reported for Ni/CeO<sub>2</sub> catalysts (see Table S1). This is also confirmed by the comparison of the CO<sub>2</sub> conversion between doped and supported ceria catalysts containing the same Ni nominal loading, shown in Figure S3.

The activation energy of the CO<sub>2</sub> hydrogenation ( $E_a$ ) was calculated from the Arrhenius plots, i.e., plots of the natural logarithm of the CO<sub>2</sub> consumption rate as a function of reciprocal temperature. As shown in Figure 1c the  $E_a$  is around 85 kJ/mol, which is among the lowest-reported for CO<sub>2</sub> methanation over Ni-based catalysts (typically around 120 kJ/mol)<sup>[26,27]</sup> suggesting facile activation of CO<sub>2</sub> over NiCeO<sub>x</sub>, in line with the high mass-specific activity.



**Figure 1.** CO<sub>2</sub> methanation performance of NiCeO<sub>x</sub> and CeO<sub>x</sub> catalysts as a function of temperature for two independent experiments (exp. 1 and 2) a) CO<sub>2</sub> conversion (X<sub>CO<sub>2</sub></sub>) and CH<sub>4</sub> selectivity (S<sub>CH<sub>4</sub></sub>). The X<sub>CO<sub>2</sub></sub> expressed as the overall CO<sub>2</sub> flow (%) (left y-axis) and normalized to the Ni loading (in mol<sub>CO<sub>2</sub></sub>h<sup>-1</sup>g<sub>Ni</sub><sup>-1</sup>) (right y-axis), b) the CH<sub>4</sub> yield expressed in mol<sub>CH<sub>4</sub></sub>h<sup>-1</sup>g<sub>Ni</sub><sup>-1</sup> c) calculated CO<sub>2</sub> activation energy. Reaction conditions: CO<sub>2</sub>:H<sub>2</sub> (1:4), GHSV=12000 h<sup>-1</sup>, 1 bar.

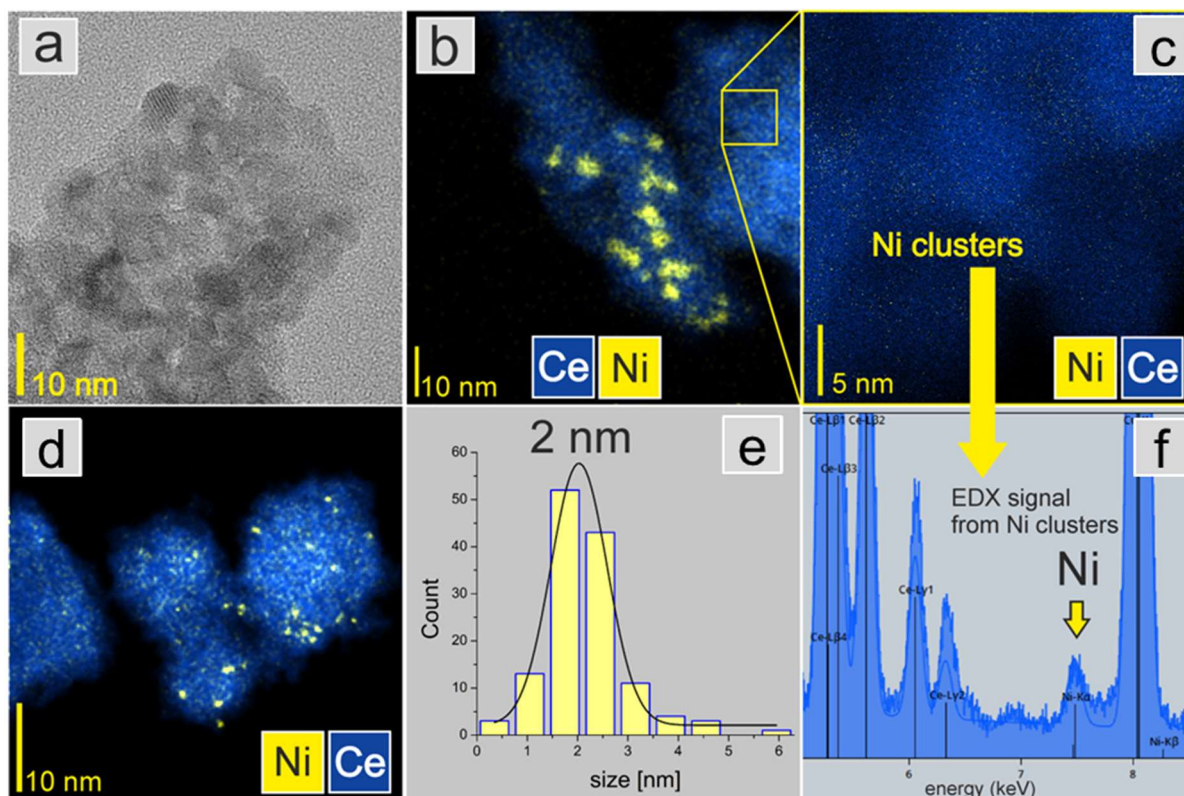
### Textural and physicochemical characterization of NiCeO<sub>x</sub>

The BET specific surface area and the pore volume of calcined catalyst are 65 m<sup>2</sup> g<sup>-1</sup> and 0.06 cm<sup>3</sup> g<sup>-1</sup>, respectively (Table S2). The H<sub>2</sub>-temperature-programmed reduction (H<sub>2</sub>-TPR) profile of calcined NiCeO<sub>x</sub> is shown in Figure S4. On the grounds of previous reports<sup>[28–30]</sup> the TPR peak around 230 °C is ascribed to the reduction of adsorbed oxygen species while the peak at 275 °C contains the contribution of Ni-CeO<sub>2</sub> interface sites and highly dispersed NiO particles. Please note that NiO reduction peak shifts to higher temperature with the increase of particle size.<sup>[30]</sup>

The XRD profiles of calcined and H<sub>2</sub>-activated (i.e. reduced) catalysts, shown in Figure S5, correspond to the ceria cubic fluorite phase (JCPDS 34e0394). Ni or NiO diffraction peaks are not detected suggesting high dispersion of Ni species, in accordance to previous results on Ni-doped ceria.<sup>[29,31]</sup> The crystallite size (d<sub>CeO<sub>2</sub></sub>), calculated by the Scherrer equation of the main (111) reflection peak (Table S2), for calcined catalyst was 6.1 nm and increases slightly after reduction to 7.5 nm. The ceria cubic fluorite lattice

parameter ( $\alpha$ ) of calcined catalyst is 5.414 Å which is somewhat higher than undoped ceria nanoparticles of similar sizes.<sup>[28,32]</sup> This indicates that Ni ions occupies interstitial positions in the ceria lattice (interstitial sites), since the substitution of Ce<sup>4+</sup> lattice sites with the smaller Ni ions would have led to lattice contraction (i.e. decrease of  $\alpha$ ).<sup>[33]</sup> After reduction treatment the  $\alpha$  parameter increases slightly to 5.418 Å which can be attributed to lattice expansion expected when Ce<sup>4+</sup> cations are reduced to bigger ionic radius Ce<sup>3+</sup>.

HR-TEM images of reduced NiCeO<sub>x</sub> catalyst show loosely packed crystalline ceria particles of rather small sizes (Figure 2a and Figure S6). Owing to the small contrast difference between Ni and CeO<sub>2</sub>, STEM/EDX elemental mapping was used to distinguish the two phases. As shown in Figure 2b and 2d, Ni is homogeneously distributed over ceria, while isolated Ni nanoparticles are also identified with mean particle sizes around 2 nm and quite narrow size distribution (Figure 2e). A significant concentration of atomically dispersed nickel can be distinguished in the higher magnification STEM/EDX image (Figure 2c) and confirmed by the EDX spectra (Figure 2f). These Ni clusters, which are too small to be considered in the Figure 2d histogram, constitute the majority of Ni sites and most likely correspond to Ni-dopant into ceria.



**Figure 2.** Microscopy images of reduced NiCeO<sub>x</sub> catalyst a) HR-TEM image, b), c) and d) STEM/EDX elemental mapping images with Ni and Ce signal overlay e) the corresponding particle-size distribution histogram (more than 100 particles analysed) and f) EDX spectrum from an area containing exclusively Ni clusters.

XANES and EXAFS measurements were used to obtain information on the local electronic structure and coordination environment of NiCeO<sub>x</sub> catalyst. The XANES spectra of oxidized and H<sub>2</sub>-activated NiCeO<sub>x</sub>, as well as those of Ni, NiO and Ni-Phthalocyanine (Ni-Ph, containing square planar Ni<sup>2+</sup> species) reference samples, are shown in Figure 3a. The Ni K-edge of H<sub>2</sub>-activated NiCeO<sub>x</sub> resembles that of NiO reference spectra implying that nickel remains largely oxidized after the H<sub>2</sub> treatment. Closer inspection of the Ni K-pre-edge (inset of Figure 3a) shows 3 characteristic structured features, indicated with the letters A, B and C in Figure 3a. The feature A, located at 8333 eV, is present in both octahedral and square planar coordinated Ni<sup>2+</sup> ions and is associated to 1s→3d transition.<sup>[34,35]</sup> The features B and C at 8337.8 eV and 8340.6 eV respectively, are absent in NiO reference spectrum signifying that the structure of NiCeO<sub>x</sub> differs from the common octahedral NiO. According to literature the 1s→4p<sub>z</sub> pre-edge peak appears at 8338 eV for planar four-coordinated or pyramidal five-coordinated Ni<sup>2+</sup> ion geometry (observable in Ni-Ph).<sup>[36]</sup> Accordingly, the component B could be attributed to Ni<sup>2+</sup> 1s→4p<sub>z</sub> transition, while C might be related to Ni ions in oxidation state higher than 2.<sup>[37]</sup>

In case of H<sub>2</sub>-activated NiCeO<sub>x</sub> is observed an increase in the global intensity of the rising-edge, followed by parallel drop of the white line peak, as compared to the oxidized sample. Comparison with the reference spectra suggests that these changes can be induced by superimposing spectral features related to metallic and/or square planar Ni<sup>2+</sup> sites, both characterized by a broad-intense rising-edge and a flatter white line with respect to the octahedral NiO reference. Nevertheless, linear combination fit, using the reference spectra, could not satisfactorily reproduce the Ni K-edge of NiCeO<sub>x</sub> catalyst, possibly due to the nanostructured nature of NiCeO<sub>x</sub> phases.

Fourier transform (FT) EXAFS spectra offer parallel insights into the average coordination environment of Ni centres. The FT-EXAFS signal in the *R*-space 1-4 Å range (Figure 3b) contains the contribution of the first two coordination shells of Ni-O and Ni-Ni, respectively, originating either from NiO or from Ni coordinated with ceria lattice. The first coordination shell of oxidized NiCeO<sub>x</sub> is characterized by two components. The one at higher radial distance is found also in the NiO reference spectra, hence it can be related to Ni-O bonding of NiO particles (Ni-O<sub>NiO</sub>).<sup>[38,39]</sup> The component at lower radial distance is absent in NiO reference, but clearly present also in the imaginary part of the FT-EXAFS (Figure S7). This component could be related to a Ni-O scattering paths from Ni species interacting with CeO<sub>2</sub> lattice sites (Ni-O<sub>NiCe</sub>). A first-shell FT-EXAFS fit (Figure S9a-c) confirmed this qualitative assignment indicating the parallel presence of nanostructured NiO and interstitial Ni, the former with longer Ni-O bond than the latter (Table S4). In case of H<sub>2</sub>-activated catalyst, the Ni-O<sub>NiO</sub> component is consumed while the Ni-O<sub>NiCe</sub> remains, suggesting higher stability of Ni-O<sub>NiCe</sub> species in reducing conditions.

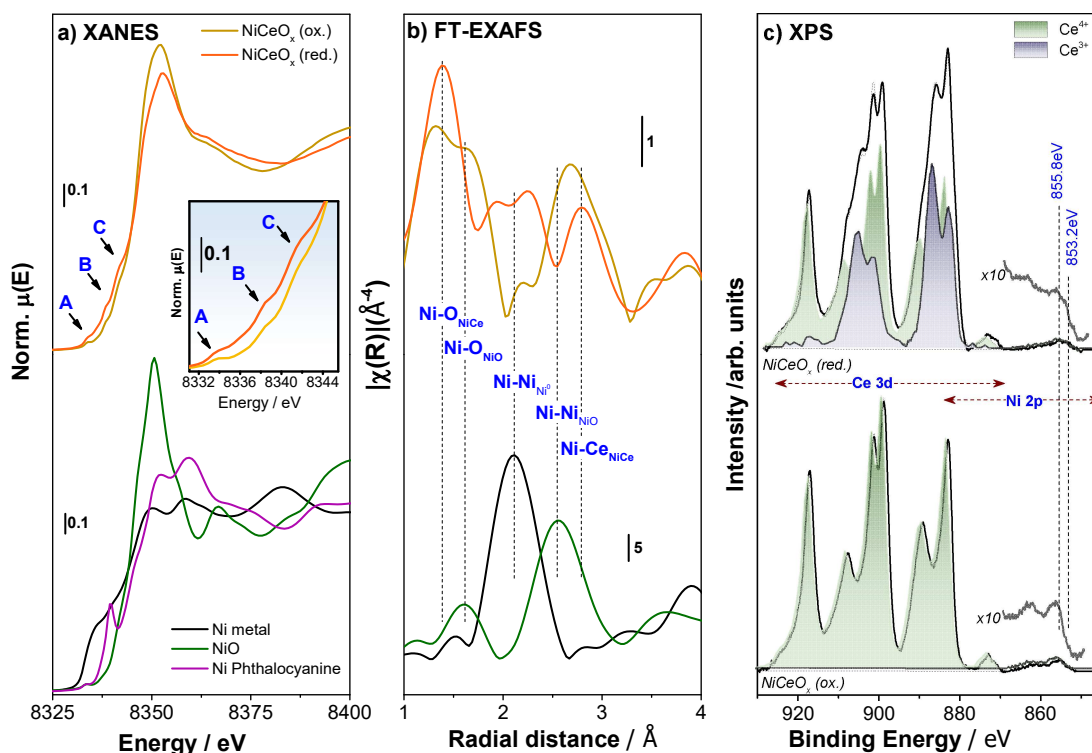


The second-shell region of calcined NiCeO<sub>x</sub> presents a higher degree of complexity. Direct comparison with NiO reference compound allowed to identify the Ni-Ni<sub>NiO</sub> scattering path. However, in case of NiCeO<sub>x</sub> this feature is shifted and presents a peculiar asymmetry at larger radial distances, suggesting the presence of 2 ionic Ni components. FT-EXAFS fitting up to 3.2 Å (Figure S9d-f) confirmed the presence of a Ni-Ce<sub>NiCe</sub> scattering path at a significantly longer distance than the Ni-Ni<sub>NiO</sub> one. Moreover, the FT-EXAFS fit allowed to quantify a 50:50 NiO:NiCe ratio. In parallel, the low coordination number ( $\approx 2$ ) refined for the Ni-Ni<sub>NiO</sub> scattering path indicated as NiO is present as small nanoparticles.

After H<sub>2</sub> treatment a component developed between first and second shell peaks, indicating the formation of metallic Ni-Ni, as also suggested from the XANES region. The complex nature and compositional characteristics of the system (high dilution of the Ni absorber in a heavily absorbing matrix, resulting in a limited resolution of the available EXAFS dataset), challenges quantitative EXAFS refinement. Yet, we attempted to fit the FT-EXAFS of reduced NiCeO<sub>x</sub> assuming full reduction of NiO nanoparticles to metallic Ni, even though part of NiO might be still present in the sample (Figure S9). The fit led to satisfactory results (Table S5), showing that a 50:50 Ni<sub>metal</sub>:NiCe ratio is maintained, and suggesting indeed that most of NiO was reduced after reduction at 360 °C. Moreover, we identified a Ni-Ce<sub>NiCe</sub> component at 3.10 Å, comparable with the results from calcined NiCeO<sub>x</sub> and in line with literature predictions of the Ni-Ce distance in the 2.8-3.2 Å range.<sup>[40]</sup> Nevertheless, it should be pointed out that FT-EXAFS fitting has a relatively high degree of uncertainty, due to high number of fitting parameters. Therefore dedicated studies are required in the future to fully unravel the local structure of Ni in NiCeO catalyst.

Overall, the analysis of XANES and EXAFS results yielded evidence for two types of Ni ions on NiCeO<sub>x</sub> with quite distinct reducibility under H<sub>2</sub>. The first is easier to reduce and resembles to Ni<sup>2+</sup> in NiO (hereafter abbreviated as NiO site), although its coordination environment is not alike to the standard octahedral bulk NiO. The second consists of Ni coordinated with oxygen atoms from ceria lattice (hereafter abbreviated as NiCe site). The NiCe is more difficult to reduce than NiO and according to XANES analysis is most likely in oxidation state higher than 2. On the basis of the STEM/EDX data of Figure 2 the NiO and NiCe sites are tentatively assigned to the 2 nm isolated Ni particles and the atomically dispersed Ni clusters on ceria, respectively.

The XANES Ce L<sub>3</sub>-edge was used to examine the chemical state of ceria. As shown in Figure S11 the spectra of calcined and reduced NiCeO<sub>x</sub> are almost identical and very much similar to that of CeO<sub>2</sub> reference. This indicates that in the bulk the catalyst is not notably affected by the H<sub>2</sub> activation (i.e., reduction treatment) and remains stable as Ce<sup>4+</sup>.



**Figure 3** a) Ni K-edge XANES and b)  $k^3$ -FT-EXAFS spectra of oxidized/calced ( $\text{NiCeO}_x$  (ox.)) and  $\text{H}_2$ -activated ( $\text{NiCeO}_x$  (red.))  $\text{NiCeO}_x$  catalysts (top) measured in fluoresce mode, as well as NiO, Ni metal and Ni-Phthalocyanine (square planar  $\text{Ni}^{2+}$  species) reference samples (bottom) measured in transmission mode c) Quasi in situ XPS Ce 3d and Ni 2p spectra (background subtracted) of oxidized and  $\text{H}_2$ -activated  $\text{NiCeO}_x$ , recorded in vacuum by using a monochromatic  $\text{AlK}\alpha$  X-ray source. The Ce 3d peak is deconvoluted into  $\text{Ce}^{3+}$  and  $\text{Ce}^{4+}$  while the Ni  $2p_{3/2}$  is magnified by 10 to better distinguish the spectral features.

The surface of calced and  $\text{H}_2$ -activated  $\text{NiCeO}_x$  was investigated in a laboratory XPS setup equipped with a reaction chamber (quasi in situ XPS). The Ce 3d and Ni  $2p_{3/2}$  peaks of calced catalyst (Figure 3c) correspond to the fully oxidized ceria and nickel states, respectively. After  $\text{H}_2$  treatment the Ce 3d peak deconvolution suggests partial ceria reduction ( $\text{Ce}^{3+}:\text{Ce}^{4+}$  about 2:3), unlike to the XANES findings in Figure S11, where mainly  $\text{Ce}^{4+}$  was detected. This signifies that ceria reduction is limited at the surface, in accordance to the well-known surface reducibility of ceria by Ni dopants.<sup>[28,41]</sup>

The low Ni 2p signal does not allow reliable quantification of Ni oxidation state however, a component at 853.2 eV, characteristic to metallic nickel, appears after  $\text{H}_2$ -activation indicating partial Ni oxide reduction. The Ni atomic percentage, calculated from the Ni 2p/Ce 3d peak area ratio, is around 4% close to the nominal bulk composition (3.6 at.%) found by ICP-OES. The Ni 2p/Ce 3d ratio is practically unaffected after reduction, implying that the abundance of surface nickel sites is not drastically influenced. Accordingly, one can exclude extended migration of ceria species over Ni, previously reported for supported Ni/ $\text{CeO}_2$  catalysts.<sup>[42]</sup>

## Monitoring the NiCeO<sub>x</sub> surface evolution in CO<sub>2</sub> methanation reaction by operando sXAS

sXAS was employed to analyse the surface state of NiCeO<sub>x</sub> catalyst during CO<sub>2</sub> methanation at 1 bar gas pressure. It is important to underline that the surface sensitivity of sXAS is about 5 nm, thus comparable to XPS and much higher than XANES.<sup>[43]</sup> Figure 4a and 4b show characteristic Ni L<sub>3</sub> and Ce M<sub>5</sub>-edge sXAS spectra respectively, recorded during H<sub>2</sub>-activation and CO<sub>2</sub> methanation reaction. The complete set of Ni L<sub>3</sub> edges recorded during the light-off methanation tests as well as details on the fitting process can be found in section S9 of the Supporting Information. A clear modification of sXAS peaks in reaction mixture as compared to the prior state in H<sub>2</sub> is noticed, while the peak shape evolves as the temperature increases. The sXAS spectra are simulated by linear combination of reference peaks following the methodology developed in our recent work<sup>[28]</sup> (see also Figure S13). As shown in Figure 4a during H<sub>2</sub>-activation nickel is partially reduced to Ni<sup>0</sup>/NiCe (spectra at the light-blue background). Under reaction conditions and at low temperature nickel is oxidized to NiO/NiCe mixed state evidently due to the interaction with CO<sub>2</sub> in the gas phase.<sup>[44]</sup> As the temperature increases Ni<sup>0</sup> is formed in the expense of NiO. Notably, the sole nickel species constantly present throughout the reaction is NiCe.

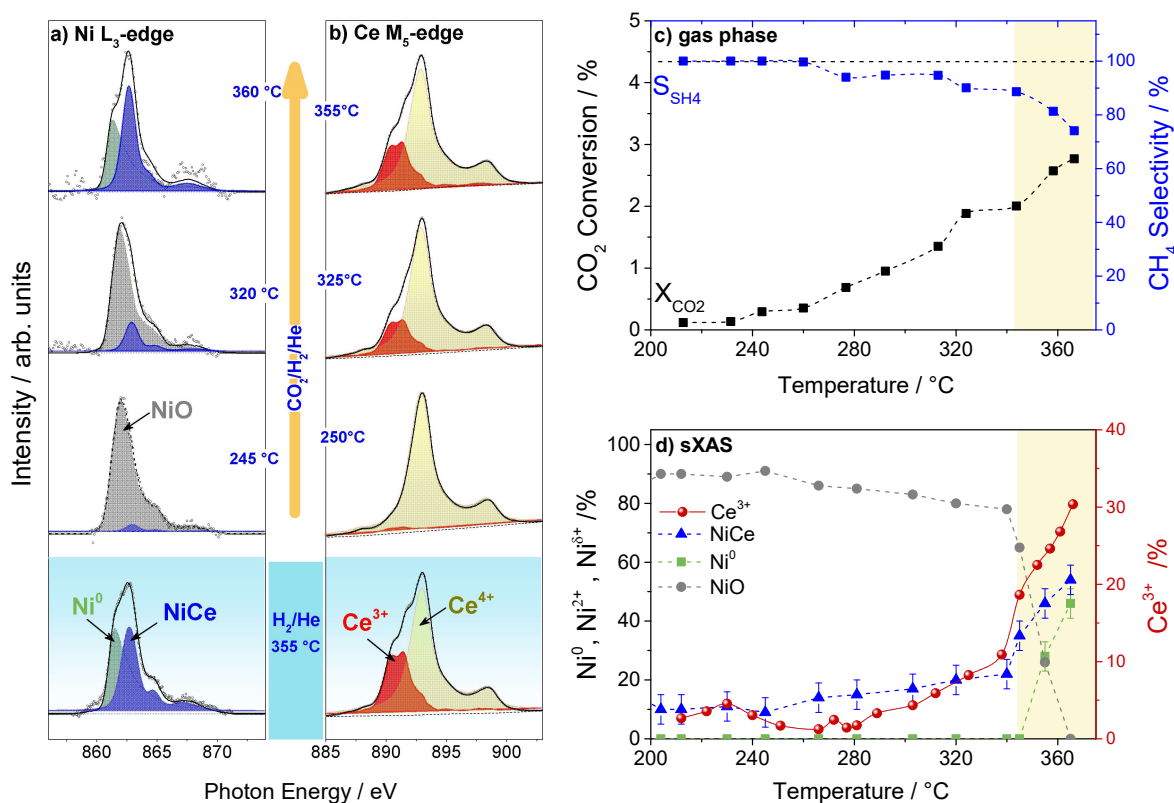
The analysis of Ce M<sub>5</sub>-edge (Figure 4b) reveals a redox evolution of ceria analogous to nickel. Specifically, the Ce<sup>3+</sup> present in H<sub>2</sub>, in the reaction mixture is oxidized initially to Ce<sup>4+</sup>, before reducing back to Ce<sup>3+</sup> at higher reaction temperature. Comparison between Figure 4a and 4b suggests a positive, almost linear, evolution of NiCe and Ce<sup>3+</sup> components, implying that the two species are associated. As discussed before,<sup>[28]</sup> this behaviour can be explained by charge transfer from Ce<sup>4+</sup> sites towards Ni<sup>2+</sup> to form stable Ce<sup>3+</sup>-Ni<sup>δ+</sup> pairs ( $2 < \delta < 3$ ).

On-line gas phase analysis of the sXAS cell via a micro gas chromatograph (GC) was used to connect the surface state with the catalytic performance. As shown in Figure 4c, X<sub>CO<sub>2</sub></sub> rises at around 230 °C and continuously increases up to 365 °C while S<sub>CH<sub>4</sub></sub> is initially very high (> 94%) but drops gradually above 310 °C. We should mention here that, despite its ability to operate in flow mode and at 1 bar gas pressure, the sXAS reactor has important differences from conventional fix-bed catalytic reactors since it is optimized for spectroscopy measurements (e.g. high reactor volume, reactants pass over and not through the catalyst, *etc.*). This justifies the much lower X<sub>CO<sub>2</sub></sub> as compared to the fixed-bed reactor experiments in Figure 1. Nevertheless, the evolution of X<sub>CO<sub>2</sub></sub> with temperature, as well as the high S<sub>CH<sub>4</sub></sub> are qualitatively similar in the two cases, which validates the correlation of catalytic and spectroscopic results.

The evolution of %Ce<sup>3+</sup> and nickel species in the course of the reaction as obtained by sXAS spectra analysis is shown in Figure 4d. Ceria reduction starts at 280 °C and continues up to 365 °C. In case of

nickel, NiO dominates at low temperature, but above 270 °C it is gradually converted first to NiCe and then to a mixture of Ni<sup>0</sup> and NiCe. The formation of Ni<sup>0</sup> at 340 °C boosts the NiO transformation process. Comparison with the catalytic data in Figure 4c, indicates a positive correlation between %Ce<sup>3+</sup> and X<sub>CO2</sub> in the whole temperature range.

Despite the net increase of X<sub>CO2</sub> in the 240-340°C region, the Ni L-edge analysis shows that nickel remains oxidized. Besides, formation of Ni<sup>0</sup> above 340 °C does not have a notable effect on X<sub>CO2</sub> which continue to increase linearly with temperature. These findings seem to challenge the widely accepted conception that metallic nickel is indispensable for H<sub>2</sub> activation during methanation reaction. In order to examine if the reaction can take place at reduced ceria sites, we performed a reference sXAS methanation experiment on pure CeO<sub>x</sub> nanoparticles. As shown in Figure S14, CeO<sub>x</sub> is not active for CO<sub>2</sub> conversion, confirming the results of Figure 1. However, based on the operando Ce M<sub>5</sub> sXAS spectra, a significant population of Ce<sup>3+</sup> species is present on the surface under these conditions (Figure S14). Therefore, the reactivity of Ce<sup>3+</sup> species is negligible without the presence of Ni ions, suggesting that nickel, and particularly ionic nickel species, plays a key role in methanation reaction.



**Figure 4.** a) Ni L<sub>3</sub>-edge and b) Ce M<sub>5</sub>-edge sXAS spectra of NiCeO<sub>x</sub> catalyst under activation and light-off CO<sub>2</sub> methanation reaction, c) CO<sub>2</sub> conversion (X<sub>CO2</sub>) and CH<sub>4</sub> selectivity (S<sub>SH4</sub>) as a function of temperature measured in the sXAS cell by *on line* GC, d) the evolution of various nickel and ceria oxidation states in the course of CO<sub>2</sub> methanation reaction obtained by the analysis of Ni L<sub>3</sub> and Ce M<sub>5</sub>-edges respectively. *Conditions:* activation in 1

bar 10% H<sub>2</sub>/He at 355 °C, cooled down in H<sub>2</sub>, introduction of CO<sub>2</sub>:H<sub>2</sub>:He (1:4:20) reaction mixture at 135 °C and temperature raise with a rate of 1.7 °C min<sup>-1</sup>. Spectra coming from reference samples: metallic Ni<sup>0</sup> (green), NiO (gray), NiCe (blue), Ce<sup>4+</sup> (dark yellow) and Ce<sup>3+</sup> (red).

### First principles calculations and APXPS experiments to rationalize the high activity of NiCeO<sub>x</sub> catalysts

The catalytic and spectroscopic results described above clearly show that surfaces containing ionic nickel species have considerable methanation activity per nickel mass, implying that metallic nickel is not indispensable, as it is usually anticipated for supported Ni/CeO<sub>2</sub> catalysts. In addition, partially reduced CeO<sub>x</sub> is inactive towards CO<sub>2</sub> hydrogenation (Figure S14), indicating that Ce<sup>3+</sup>-O<sub>v</sub> pairs are not sufficient for methanation reaction. This observation put forward ionic Ni-Ce<sup>3+</sup> pairs as efficient reaction sites, contrary to the commonly accepted notion that metallic Ni and Ce<sup>3+</sup> are the active sites for CO<sub>2</sub> hydrogenation.<sup>[11,16,18,21–23,42,44]</sup> The rather unexpected reactivity scheme is interpreted on the grounds of first principles calculations combined with ambient pressure XPS experiments.

First, we address the stability of interstitial Ni sites over ceria. Based on previous HR-TEM results,<sup>[29]</sup> we have mainly considered for the calculations the CeO<sub>2</sub>(111) and CeO<sub>2</sub>(100) surfaces. An oxygen vacancy (O<sub>v</sub>) was also introduced in these two models,<sup>[45]</sup> denoted as CeO<sub>2</sub>(111)-O<sub>v</sub> and CeO<sub>2</sub>(100)-O<sub>v</sub>, in Figure S15 and Figure 5a-b. This is required because the H<sub>2</sub> activation is likely to result in vacancies on ceria surface (O<sub>v</sub>s), as suggested by the XPS and sXAS in Figure 3c and 4b, respectively. For CeO<sub>2</sub>(100)-O<sub>v</sub>, some Ce atoms are exposed, forming Ce-O frustrated Lewis pairs (FLP), displayed with an arrow in Figure 5a. There are 4 or 6 adsorption sites for generating interstitial Ni on CeO<sub>2</sub>(111)-O<sub>v</sub> (Figure S15a) or CeO<sub>2</sub>(100)-O<sub>v</sub> (Figure S15b), respectively. The calculated adsorption energy (Table S7) shows that the Ni atom is very stable when adsorbed on two adjacent oxygen atoms on CeO<sub>2</sub>(100) surface, as its adsorption energy (-5.66 eV) is much larger than the cohesive energy of its bulk (-4.75 eV), which is consistent with that reported by Li et al.<sup>[46]</sup> The other adsorption configurations of the interstitial Ni on CeO<sub>2</sub>(100), as well as on CeO<sub>2</sub>(111), are much less stable and will not be considered thereafter in the calculations of H<sub>2</sub> and CO<sub>2</sub> interaction. For Ni-doped CeO<sub>2</sub>(100)-O<sub>v</sub> (Figure 5c, d), the combination of the exposed Ce with Ni generates a Ni-Ce pair with a distance of 3.18 Å and the Ni-O classical Lewis pair (CLP) has a distance of 1.77 Å, as shown by arrows in Figure 5c, both results are in line with FT-EXAFS fit results (Table S4). In addition, Bader charge analysis shows that Ni has a charge of +0.57 |e|, indicating its ionic nature. The above DFT results support the sXAS experiments (Figure 4a and 4d) which showed the formation of quite stable ionic nickel, in particular NiCe species, on NiCeO<sub>x</sub> catalyst.

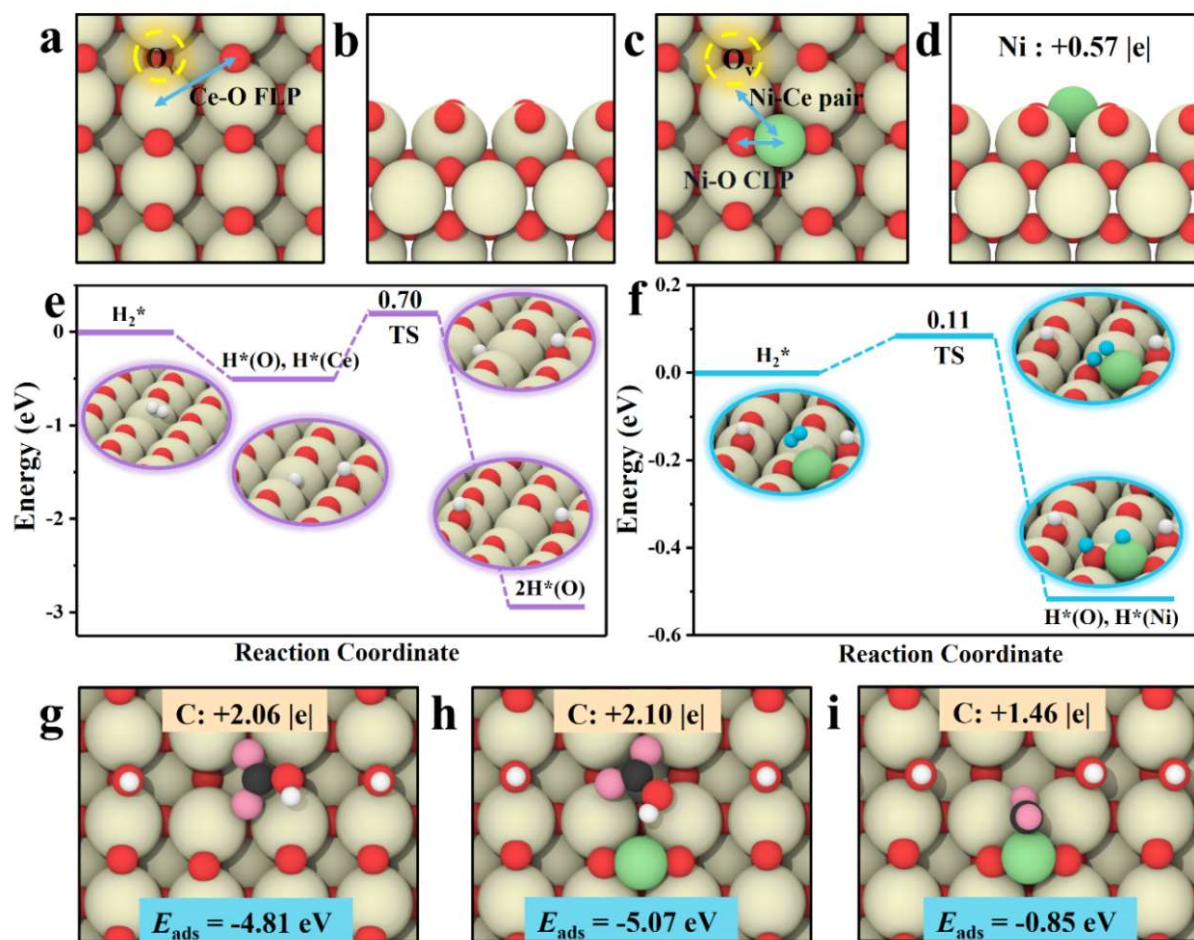
We examine next the interaction of H<sub>2</sub> with CeO<sub>2</sub> and NiCeO<sub>2</sub>, both containing O<sub>v</sub>. Previous studies have demonstrated that H<sub>2</sub> dissociation at FLP sites often proceeds heterolytically to form both protonic and hydridic species.<sup>[41,47]</sup> On CeO<sub>2</sub>(100)-O<sub>v</sub>, H<sub>2</sub> is first physisorbed on the Ce-O FLP site and subsequently

dissociates heterolytically to produce Ce-H and O-H without an energy barrier (Figure 5e), suggesting that OH groups are readily formed on ceria upon H<sub>2</sub> exposure. This result is consistent with the calculated energy barrier (0.08 eV) of H<sub>2</sub> dissociation at the FLP site on CeO<sub>2</sub>(100) reported in a previous work by Chang and co-workers.<sup>[47]</sup> Similar results can be found to occur on Ni-doped CeO<sub>2</sub>(100)-O<sub>v</sub> (Figure S16). On Ni-doped hydroxylated CeO<sub>2</sub>(100), the Ni-Ce pair is unlikely to activate the H<sub>2</sub> molecule due to a large energy barrier of 1.80 eV (Figure S17). Instead, the Ni-O CLP can facilitate H<sub>2</sub> dissociation to generate Ni-H and O-H with a small energy barrier of 0.11 eV (Figure 5f), indicating that the interstitial Ni on CeO<sub>2</sub>(100) can efficiently activate hydrogen. This finding is not only important to explain the reactivity of NiCeO<sub>x</sub> but can also rationalize our previous findings showing a net enhancement in the NiCeO<sub>x</sub> reducibility in H<sub>2</sub> as compared to CeO<sub>x</sub>.<sup>[28]</sup>

For CO<sub>2</sub> activation, our DFT results show that CO<sub>2</sub> can be bound to surface OH groups on both hydroxylated-CeO<sub>2</sub>(100) and Ni-doped hydroxylated-CeO<sub>2</sub>(100) surfaces to form strongly adsorbed carbonate intermediates ( $E_{\text{ads}}$  is about -5 eV) (Figure 5g, h). According to the Sabatier principle, intermediates with either too strong or too weak adsorption are not favourable to catalytic reactions. Especially, strongly adsorbed carbonates are expected to block surface reaction sites, reducing the catalytic turnover. Interestingly, in contrast to hydroxylated-CeO<sub>2</sub>(100), the Ni-doped hydroxylated-CeO<sub>2</sub>(100) possesses an alternative Ni-Ce site for effective activation of CO<sub>2</sub>, where the adsorbed CO<sub>2</sub> is bent by coordination of the C and O atoms to Ni and Ce, respectively (Figure 5i). In this case, CO<sub>2</sub> is found to have a moderate adsorption energy of -0.85 eV.

Furthermore, our calculations indicated that on the hydroxylated-CeO<sub>2</sub>(100) surface Ni can be coordinated with four surface oxygen atoms (O<sub>s</sub>) to form a square planar Ni (Figure S18a) with  $E_{\text{ads}}$  of -9.82 eV for the Ni atom, which is consistent with the experimental findings discussed above. However, the interstitial Ni in this structure allows only weak adsorption of CO<sub>2</sub> ( $E_{\text{ads}} = -0.30$  eV) with a linear configuration (Figure S18b, c) and therefore cannot activate CO<sub>2</sub>. This result is an evidence that the NiO species detected by sXAS and XANES (previously connected to square planar Ni<sup>2+</sup> coordination sites<sup>[28]</sup>) do not affect the reactivity of NiCeO<sub>x</sub> and the reaction mainly takes place over NiCe and Ce<sup>3+</sup> sites.

Bader charge analysis showed that the C atom in the adsorbed carbonate intermediate (Figure 5g-i) has a charge larger than +2 |e|. In contrast, the C atom of the adsorbed CO<sub>2</sub> on the Ni-Ce site has a less positive charge of +1.46 |e|, which is beneficial for promoting methanation by capturing H species, leading to methanation. To sum up, the DFT calculations confirm that the experimentally observed ionic state of Ni is essential in H<sub>2</sub> dissociation and CO<sub>2</sub> activation.



**Figure 5.** Top (a) and side (b) views of the CeO<sub>2</sub>(100) surface with an O<sub>v</sub> site (yellow circle). Top (c) and side (d) views of the Ni-doped CeO<sub>2</sub>(100) surface with an O<sub>v</sub> site (yellow circle). The Ce-O frustrated Lewis pair (FLP), Ni-O classical Lewis pair (CLP), and the Ni-Ce pair sites are marked with arrows. The Bader charge of Ni is also labeled. e) Reaction pathway for dissociation of H<sub>2</sub> at the Ce-O FLP and migration of H from Ce to nearby surface O on hydroxylated CeO<sub>2</sub>(100). f) Reaction pathway for dissociation of H<sub>2</sub> at the Ni-O CLP site on Ni-doped hydroxylated CeO<sub>2</sub>(100). Adsorption of CO<sub>2</sub> to form carbonate intermediate on (g) hydroxylated CeO<sub>2</sub>(100), (h) Ni-doped hydroxylated CeO<sub>2</sub>(100), and adsorption of CO<sub>2</sub> (i) at the Ni-Ce pair site of Ni-doped hydroxylated CeO<sub>2</sub>(100). The Bader charge of C and adsorption energy ( $E_{\text{ads}}$ ) is also labeled. *Color scheme:* Ni, green; Ce, yellow; surface O, red; O in CO<sub>2</sub>, pink; H, white or blue; C, black. The adsorbed species are denoted with asterisks (\*).

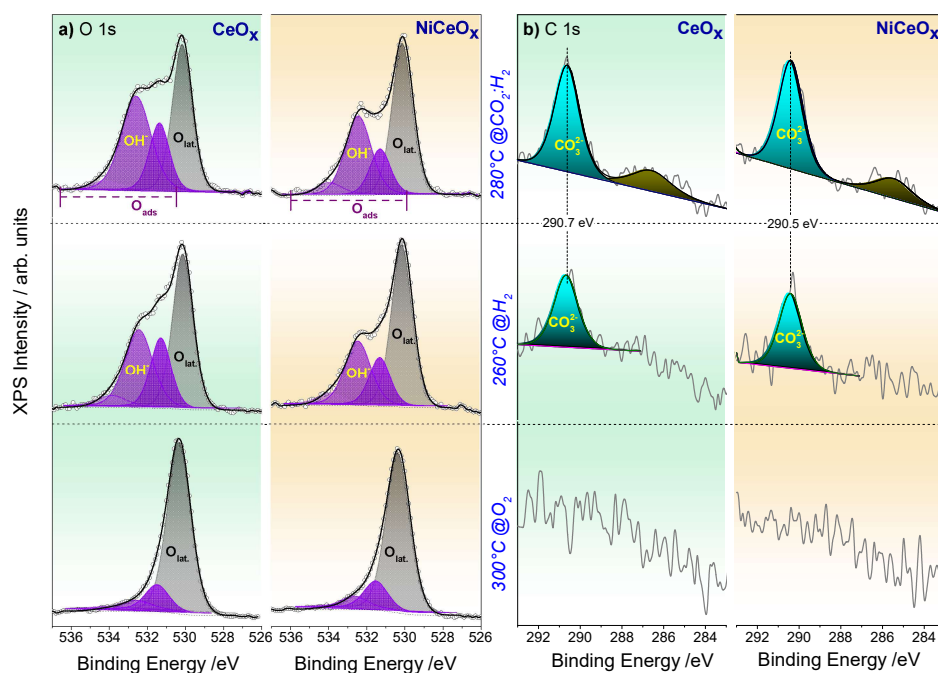
In situ ambient pressure XPS (APXPS) experiments were performed to verify the key findings of DFT calculations. To this scope, the CeO<sub>x</sub> and NiCeO<sub>x</sub> catalysts were examined in 2 mbar O<sub>2</sub>, H<sub>2</sub> and mixed CO<sub>2</sub>:H<sub>2</sub> (1:4) ambient under isobaric conditions, focusing on the type and the amount of surface adsorbates. The O 1s spectra of the two catalysts (Figure 6a) show a quite complex line shape. The intense peak around 530 eV is characteristic of bulk-like ceria lattice oxygen (O<sub>lat</sub>),<sup>[48–50]</sup> while the shoulder on the high BE side is assigned to oxygenated adsorbates (O<sub>ads</sub>).<sup>[48,50–53]</sup> Depth-dependent photoemission measurements (Figure S19) show a clear enhancement of O<sub>lat</sub> signal with the analysis depth, confirming the assignment above. In O<sub>2</sub> atmosphere O<sub>lat</sub> dominates the O 1s spectra, while in H<sub>2</sub> and CO<sub>2</sub>:H<sub>2</sub> there is clear development of the O<sub>ads</sub> spectral features. The best fitting of the O 1s spectrum

is obtained using 4 individual peaks centered ( $\pm 0.2$  eV) at 530.1, 531.2, 532.3 and 533.9 eV. Based on earlier photoemission and computational studies we can safely assign the peak at 530.1 eV to  $O_{\text{lat}}$  and those at 532.3 and 533.9 eV to  $\text{OH}^-$  groups and adsorbed  $\text{H}_2\text{O}$  species, respectively.<sup>[48–50]</sup> The peak at 531.2 eV may originate from several species, such as  $\text{OH-H}_2\text{O}$  pairs,<sup>[53]</sup> carbonates<sup>[53]</sup> or O-bonded to silicon impurities<sup>[54]</sup> (Figure S20). From the above presented analysis it is clear that both surfaces can effectively accommodate  $\text{OH}^-$  species in  $\text{H}_2$  atmosphere, in agreement with the DFT prediction that  $\text{H}_2$  readily dissociates over Ce-O FLP and Ni-O CLP surface sites (Figures 5e and S16).

The amount of  $\text{Ce}^{3+}$  species, as this is calculated by the deconvoluted Ce 3d spectra (examples of Ce 3d spectra deconvolution are given in Figure S21), is direct measure of the surface oxygen vacancies ( $O_{\text{v}}$ ) and thus proportional to the Ce-O FLP sites. According to the DFT results, on pure ceria  $\text{OH}^-$  groups are formed on Ce-O FLP, while in case of  $\text{NiCeO}_x$  the Ni-O CLP offer additional dissociation sites (Figure 5f). The  $\text{OH}^-$  to  $\text{Ce}^{3+}$  peak area ratio ( $\text{OH}^-/\text{Ce}^{3+}$ ) obtained from the O 1s and Ce 3d peak analysis, can help to verify this point. In particular, if hydroxyls are exclusively formed on  $O_{\text{v}}$  sites, then  $\text{OH}^-/\text{Ce}^{3+}$  should be the same for both samples. Apparently, this is not the case, since the  $\text{OH}^-/\text{Ce}^{3+}$  is systematically higher for  $\text{NiCeO}_x$  (Figure S22a), suggesting that this sample contains additional locations that can accommodate  $\text{OH}^-$  groups. In other words,  $\text{OH}^-$  can be formed on sites other than  $O_{\text{v}}$ . These “additional” sites correspond well to the Ni-O CLP proposed by the DFT calculations, making  $\text{NiCeO}_x$  catalyst very efficient to  $\text{H}_2$  activation.

We turn now our attention to the interaction of  $\text{CO}_2$  with the two samples. DFT calculations anticipate the formation of strongly adsorbed carbonate species ( $\text{CO}_3^{2-}$ ) when hydroxylated  $\text{CeO}_x$  and  $\text{NiCeO}_x$  surfaces are exposed to  $\text{CO}_2$ . In order to test this finding, we present in Figure 6b the C 1s spectra of the two samples recorded in  $\text{O}_2$ ,  $\text{H}_2$  and  $\text{CO}_2:\text{H}_2$  atmospheres. The absence of C 1s peak in  $\text{O}_2$  (spectra at the bottom of Figure 6b) suggests that both catalysts were initially clean from carbon impurities. Therefore, the C 1s peak appeared in  $\text{H}_2$  and  $\text{CO}_2:\text{H}_2$  originates from the interaction with the gas phase. Please note that the C 1s signal detected in  $\text{H}_2$  gas is due to preceding  $\text{CO}_2$  exposure tests (included in Table S8). The most intense C 1s peak around 290.5 eV is characteristic of carbonates,<sup>[55,56]</sup> in full agreement with the predictions of DFT calculations. The C 1s peaks at 286.6 ( $\text{CeO}_x$ ) and 285.5 eV ( $\text{NiCeO}_x$ ) it could be attributed to methoxy ( $\text{CH}_3\text{O}^-$ ) or carboxylate ( $-\text{CO}_2^-$ ) species.<sup>[56]</sup> These species contribute to about 20% of the overall adsorbed carbon and might be either the product of carbonates decomposition or actively participate to the reaction.





**Figure 6.** a) APXPS O 1s spectra of CeO<sub>x</sub> (left) and NiCeO<sub>x</sub> (right) catalysts measured with 710 eV photon energy (estimated probing depth ca. 2.5 nm) at the indicated conditions. The O 1s spectra are fitted with 4 components by applying appropriate constrains described in the text. For easier comparison of the peak shapes the intensity is normalized to the same height. b) C 1s spectra of CeO<sub>x</sub> (left) and NiCeO<sub>x</sub> (right) catalysts measured with 465 eV photon energy at the indicated conditions. The C 1s spectra are fitted with 2 components. In all cases the binding energy scale is calibrated to the Ce 4f peak of reduced ceria (at 2.0 eV) or O 2p peak of oxidized ceria (at 4.5 eV) recorded with the same photon energy.

Quantitative analysis did not show clear differences in the surface concentration of carbonates species between the two catalysts (Figure S22b). However, systematic C 1s measurements (see S23 and Table S8 for details) revealed a small (0.2 eV), but reproducible BE shift of the CO<sub>3</sub><sup>2-</sup> peak between the two catalysts. In particular, the mean BE was 290.69 (0.08) eV and 290.48 (0.10) eV (in parentheses are the standard deviation relative to the mean value) for CeO<sub>x</sub> and NiCeO<sub>x</sub> catalysts, respectively. Previous computational studies of carbonates have shown that the BE of the C 1s shifts to higher values as the charge of the C atom is more positive.<sup>[57]</sup> Consequently, the lower BE of carbonates over NiCeO<sub>x</sub> as compared to CeO<sub>x</sub> supports the Bader charge analysis results (Figure 5g-i) which showed that adsorbed CO<sub>2</sub> on the Ni-Ce sites has less positive charge, which in turn is beneficial for methanation reaction.

Gas phase analysis in the APXPS chamber using *on line* mass spectrometer did not show detectable activity, which not surprising considering the relatively low pressure (2 mbar) of the catalytic reaction. Therefore, despite the fact the samples were measured in CO<sub>2</sub>:H<sub>2</sub> reaction mixtures, the catalytic turnover at the surface sites is very low, if any, which prevents any conclusion about the influence of carbonate species in the reaction. Nevertheless, APXPS proves itself as a fine analysis technique able to

compare the surface species formed in the two cases and provides solid experimental evidence to support the DFT predictions.

## Conclusion

In this work, Ni-doped ceria nanoparticles prepared by the soft templating method were investigated as CO<sub>2</sub> hydrogenation catalysts, displaying one of the highest ever reported Ni mass-specific activities and almost 100% methane selectivity. Stable ionic Ni species, associated with interstitial Ni atoms in contact with Ce<sup>3+</sup> lattice are identified as very active sites for CO<sub>2</sub> conversion, indicating that metallic nickel is not indispensable for CO<sub>2</sub> hydrogenation. First principles calculations confirm the stability of interstitial ionic Ni on ceria surfaces and explain how they promote H<sub>2</sub> dissociation and CO<sub>2</sub> activation. The theoretical results were validated by in situ APXPS analysis of Ni-doped and pure ceria catalysts under H<sub>2</sub>-activation and CO<sub>2</sub> hydrogenation reaction conditions. We believe that this new paradigm of Ni-Ce pair active sites might also be applied to other type of ceria-based catalysts and possibly diverse oxide-catalyzed hydrogenation reactions, providing the design principles that could lead to more effective catalysts in the future.

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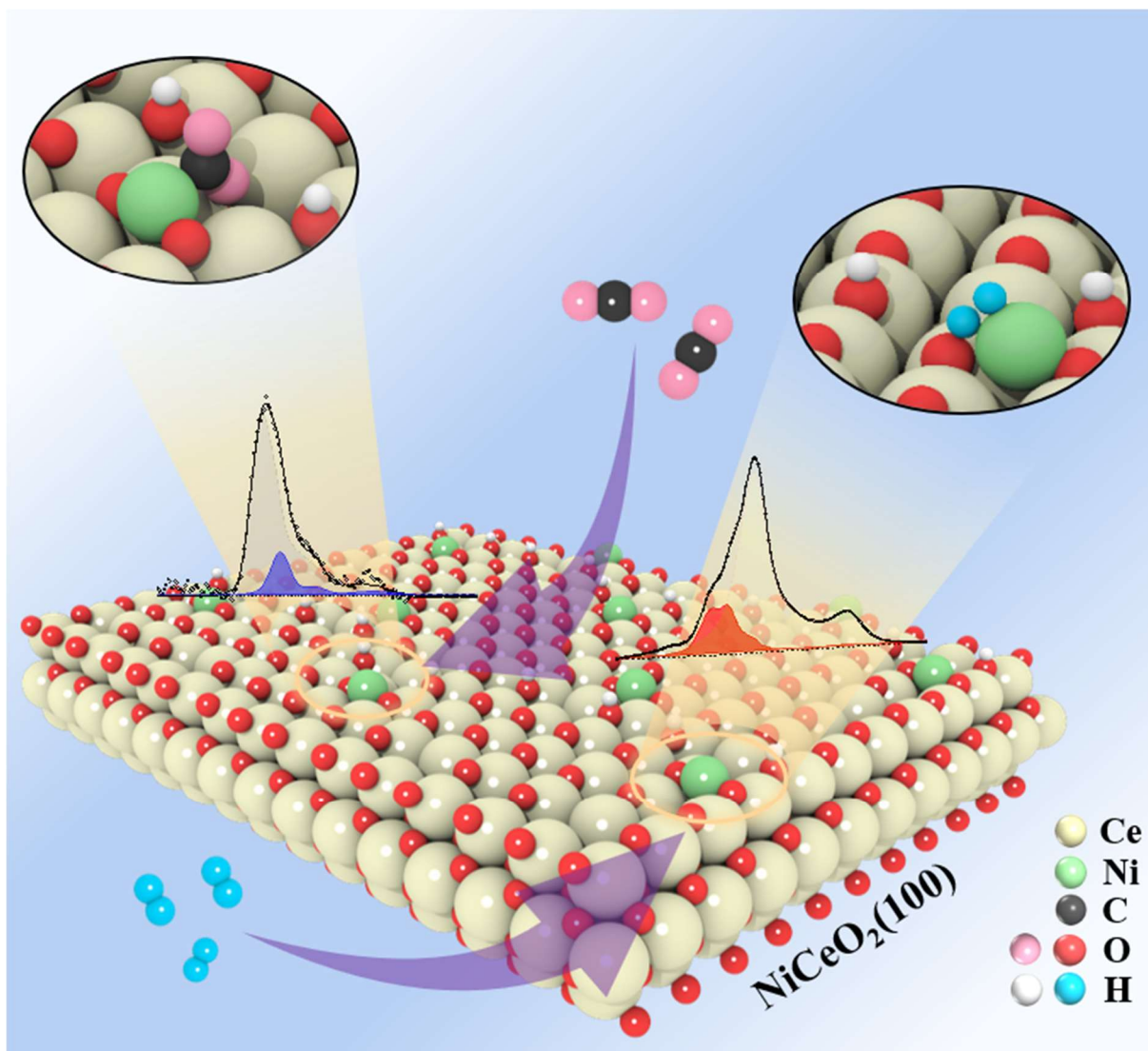
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Ni-doped CeO<sub>2</sub> nanoparticles were synthesized and tested for CO<sub>2</sub> hydrogenation to CH<sub>4</sub> showing high Ni mass-specific activity and CH<sub>4</sub> selectivity. Operando spectroscopy combined with theoretical calculations identified ionic Ni species embedded in ceria as the catalytically active centers. Installation of highly dispersed ionic Ni species in the oxide matrix achieved a heterogeneous catalyst with high performance.