



# A QCD analysis of ZEUS diffractive data

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## Abstract

ZEUS inclusive diffractive-cross-section measurements have been used in a DGLAP next-to-leading-order QCD analysis to extract the diffractive parton distribution functions. Data on diffractive dijet production in deep inelastic scattering have also been included to constrain the gluon density. Predictions based on the extracted parton densities are compared to diffractive charm and dijet photoproduction data.

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## 1. Introduction

Many aspects of diffractive interactions can be described in the framework of quantum chromodynamics (QCD) as long as a hard scale is present, so that perturbative techniques can be used and the dynamics of the processes can be formulated in terms of quarks and gluons. HERA data have contributed significantly to the understanding of such interactions, since events characterised by the diffractive dissociation of virtual photons,  $\gamma^* p \rightarrow Xp$ , constitute a large fraction ( $\approx 10\%$ ) of the visible cross section in deep inelastic scattering (DIS). Diffractive reactions in DIS are a tool to investigate low-momentum partons in the proton, notably through the study of diffractive parton distribution functions (DPDFs). The latter are the densities of partons in the proton when the final state of the process contains a fast proton of specified four-momentum. A precise knowledge of the DPDFs is an essential input to predictions of hard diffractive processes at the LHC.

Several recent sets of DPDFs [1–4] have been determined in global fits using the conventional DGLAP formalism [5–7] in next-to-leading-order (NLO) QCD. The diffractive structure function,  $F_2^D$ , which dominates the cross section, is directly sensitive to the quark density, whereas the gluon density is only indirectly constrained via scaling violations in the inclusive diffractive DIS cross sections. The inclusion of diffractive dijet data provides an additional constraint on the gluon density, since gluons directly contribute to jet production through the boson–gluon fusion process [8,9].

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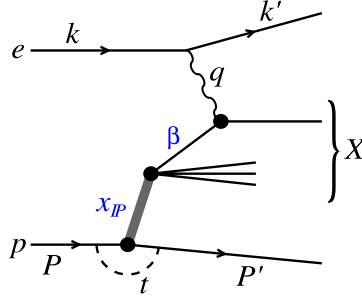
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 Fig. 1. Schematic diagram of the reaction  $ep \rightarrow eXp$ .

For this paper, recently published ZEUS inclusive diffractive data [10] and diffractive DIS dijet data [11] were used to extract the DPDFs. The inclusive data were first fitted alone and then in combination with the dijet data. The results are compared to H1 fits [1,2], as well as to ZEUS diffractive charm data [12] and to ZEUS diffractive dijet photoproduction data [13].

## 2. Theoretical framework

The cross section for diffractive DIS,  $ep \rightarrow eXp$ , in the one-photon-exchange approximation, can be expressed in terms of the diffractive reduced-cross-section  $\sigma_r^{D(3)}$ :

$$\frac{d\sigma^{ep \rightarrow eXp}}{d\beta dQ^2 dx_{\mathbb{P}}} = \frac{2\pi\alpha^2}{\beta Q^4} [1 + (1-y)^2] \sigma_r^{D(3)}(\beta, Q^2, x_{\mathbb{P}}), \quad (1)$$

which depends on the diffractive structure functions,  $F_{2/L}^{D(3)}$ , as

$$\sigma_r^{D(3)}(\beta, Q^2, x_{\mathbb{P}}) = F_2^{D(3)}(\beta, Q^2, x_{\mathbb{P}}) - \frac{y^2}{1 + (1-y)^2} F_L^{D(3)}(\beta, Q^2, x_{\mathbb{P}}). \quad (2)$$

The kinematic variables used in Eqs. (1) and (2), illustrated in Fig. 1, are defined as follows:

- $Q^2 = -q^2 = -(k - k')^2$ , the negative invariant-mass squared of the exchanged virtual photon, where  $q = k - k'$  is the difference of the four-momenta of the incoming and outgoing leptons;
- $x_{\mathbb{P}} = (P - P') \cdot q / P \cdot q$ , the fraction of the momentum of the proton carried by the diffractive exchange, where  $P$  and  $P'$  are the four-momenta of the incoming and outgoing protons, respectively;
- $\beta = Q^2 / 2(P - P') \cdot q$ , the Bjorken variable defined for the diffractive exchange;
- the inelasticity  $y = (q \cdot P) / (k \cdot P)$ .

The four-momentum transfer squared at the proton vertex,  $|t|$ , is integrated over in Eqs. (1) and (2).

The QCD factorisation theorem [14–17] allows the diffractive structure functions,  $F_{2/L}^{D(3)}$ , to be expressed in terms of convolutions of coefficient functions and DPDFs:

$$F_{2/L}^{D(3)}(\beta, Q^2, x_{\mathbb{P}}) = \sum_i \int_{\beta}^1 \frac{dz}{z} C_{2/L,i} \left( \frac{\beta}{z} \right) f_i^D(z, x_{\mathbb{P}}; Q^2), \quad (3)$$



where the sum runs over partons of type  $i$  and  $z$  is the longitudinal momentum fraction of the parton entering the hard subprocess with respect to the diffractive exchange. In the lowest-order quark–parton model process,  $z = \beta$ . The inclusion of higher-order processes leads to  $\beta < z$ . The coefficient functions  $C_{2/L,i}$  are the same as in inclusive DIS. In analogy to the usual parton distribution functions, the DPDFs  $f_i^D(z, x_{\mathbb{P}}; Q^2)$  are densities of partons of type  $i$  with fractional momentum  $zx_{\mathbb{P}}$  in a proton, probed with resolution  $Q^2$  in a process with a fast proton in the final state with fractional momentum  $(1 - x_{\mathbb{P}})$ . The dependence of the DPDFs on the scale  $Q^2$  is given by the DGLAP evolution equations.

Proton-vertex factorisation [18] was adopted to model the  $x_{\mathbb{P}}$  dependence of the DPDFs. Two contributions were assumed, called Pomeron and Reggeon, separately factorisable into a term depending only on  $x_{\mathbb{P}}$  and a term depending only on  $z$  and  $Q^2$ ,

$$f_i^D(z, x_{\mathbb{P}}; Q^2) = f_{\mathbb{P}}(x_{\mathbb{P}})f_i(z, Q^2) + f_{\mathbb{R}}(x_{\mathbb{P}})f_i^{\mathbb{R}}(z, Q^2). \quad (4)$$

The flux-factors  $f_{\mathbb{P}}$  and  $f_{\mathbb{R}}$  describe the emission of the Pomeron and Reggeon from the proton. Such an assumption was shown [10] to work to a good approximation for the data used in this analysis.

### 3. Analysis method

The DGLAP evolution equations yield the distributions  $f_i(z, Q^2)$  of the quarks and gluons at all values of  $Q^2$ , provided the DPDFs are parameterised as functions of  $z$  at some starting scale  $Q_0^2$ . The input parameters were fitted to the data by minimising a  $\chi^2$  function [9]. Correlated systematic uncertainties were taken into account by using the method described in an earlier ZEUS publication [19].

The QCD evolution was performed with the programs QCDNUM [20] as well as a newly developed package, QCDC.<sup>57</sup> The strong coupling constant was set to  $\alpha_s(M_Z) = 0.118$ . The contribution from heavy quarks was treated within the general-mass variable-flavour-number scheme of Thorne and Roberts (TR-VFNS) [21], which interpolates between the threshold and the high- $Q^2$  behaviour for heavy quarks. The values of the heavy-quark masses used were  $m_c = 1.35$  GeV and  $m_b = 4.3$  GeV [9]. The influence of  $F_L^{D(3)}$  was accounted for through its NLO dependence on the parton densities.

The NLO QCD predictions for the diffractive structure functions were obtained by convoluting the DPDFs with the QCD coefficient functions. Predictions for the inclusive diffractive reduced cross sections were obtained using  $Q$  as the factorisation and renormalisation scales; for the dijet cross sections,  $Q$  was taken as the factorisation scale and the transverse energy of the leading jet,  $E_T^{\text{jet}}$ , as the renormalisation scale. Predictions for the dijet cross sections at the parton level were performed at NLO with DISENT [22] and NLOJET++ [23]. The two programs agree within 5%. These programs can deal with an arbitrary number of flavours but treat all quarks as massless. Thus they match the TR-VFNS at scales much larger than the quark masses, but may give imprecise results for scales close to the mass thresholds. The predictions were corrected for hadronisation effects [24].

<sup>57</sup> Computer code developed by W. Slominski.

Table 1

The values of the parameters fixed in the fits and the measurements providing this input.

Parameter	Fixed to (GeV <sup>-2</sup> )	Measurement (GeV <sup>-2</sup> )	Ref.
$\alpha'_{\mathbb{P}}$	0	$-0.01 \pm 0.06$ (stat.) $^{+0.04}_{-0.08}$ (syst.) $\pm 0.04$ (model)	[10]
$\alpha'_{\mathbb{R}}$	0.9	$0.90 \pm 0.10$	[32]
$B_{\mathbb{P}}$	7.0	$7.1 \pm 0.7$ (stat.) $^{+1.4}_{-0.7}$ (syst.)	[10]
$B_{\mathbb{R}}$	2.0	$2.0 \pm 2.0$	[32]

### 3.1. Parameterisation of the DPDFs

The DPDFs were modelled at the starting scale  $Q_0^2 = 1.8 \text{ GeV}^2$  in terms of quark singlet,  $f_+ = \sum_q (f_q + f_{\bar{q}})$ , and gluon,  $f_g$ , distributions. The neutrality of the diffractive exchange requires  $f_{\bar{q}} = f_q$  for all flavours. The light-quark distributions were assumed to be equal,  $f_u = f_d = f_s$ . The distributions of the  $c$  and  $b$  quarks were generated dynamically at the scale  $Q$  above the corresponding mass threshold, i.e. no intrinsic charm or bottom were assumed. At the starting scale, chosen to be below the charm threshold, the quark-singlet parameterisation is summed over the light-quark distributions,  $f_+ = 6f_q$ , where  $q$  denotes any of  $u, d, s$  and their antiquarks. The distributions were parameterised at  $Q_0^2$  as

$$\begin{aligned} z f_{d,u,s}(z, Q_0^2) &= A_q z^{B_q} (1-z)^{C_q}, \\ z f_g(z, Q_0^2) &= A_g z^{B_g} (1-z)^{C_g}. \end{aligned} \quad (5)$$

An additional factor,  $e^{-\frac{0.001}{1-z}}$ , was included to ensure that the distributions vanish for  $z \rightarrow 1$  even for negative values of  $C_{q,g}$ .

The  $x_{\mathbb{P}}$  dependence was parameterised using Pomeron and Reggeon fluxes

$$f_{\mathbb{P},\mathbb{R}}(x_{\mathbb{P}}, t) = \frac{A_{\mathbb{P},\mathbb{R}} e^{B_{\mathbb{P},\mathbb{R}} t}}{x_{\mathbb{P}}^{2\alpha_{\mathbb{P},\mathbb{R}}(t)-1}}, \quad (6)$$

with linear trajectories  $\alpha_{\mathbb{P},\mathbb{R}}(t) = \alpha_{\mathbb{P},\mathbb{R}}(0) + \alpha'_{\mathbb{P},\mathbb{R}} t$ , where  $t$  is the four-momentum transfer at the proton vertex. The flux factors in Eq. (6) were integrated over  $t$  between  $-1 \text{ GeV}^2$  and the kinematically allowed maximum value, as for a previous ZEUS publication [10]. The values of the parameters which were fixed in the fits are summarised in Table 1. The value of the normalisation parameter  $A_{\mathbb{P}}$  was absorbed in  $A_{q,g}$ . The Reggeon parton densities,  $f_i^{\mathbb{R}}$  in Eq. (4), were taken from a parameterisation derived from fits to pion structure-function data [25].

In total, 9 parameters were left free in the fits:  $A_{q,g}$ ,  $B_{q,g}$ ,  $C_{q,g}$ , the Pomeron and Reggeon intercepts,  $\alpha_{\mathbb{P}}(0)$  and  $\alpha_{\mathbb{R}}(0)$ , and the normalisation of the Reggeon term,  $A_{\mathbb{R}}$ .

### 3.2. Data

Inclusive diffractive data were fitted alone as well as in combination with a sample of diffractive dijet data. The inclusive diffractive reduced-cross-section data [10] used in the fits were selected using two methods: the requirement of a large rapidity gap between the final-state proton and the rest of the hadronic system (LRG sample) and the detection of the final-state

Table 2

Data sets used and parameterisation of the gluon density at the starting scale for the different fits.

Fit name	Data set	$zg(z)$
ZEUS DPDF S	LRG + LPS	$A_g z^{B_g} (1-z)^{C_g}$
ZEUS DPDF C	LRG + LPS	$A_g$
ZEUS DPDF SJ	LRG + LPS + DIS dijets	$A_g z^{B_g} (1-z)^{C_g}$

proton in the ZEUS leading proton spectrometer (LPS sample).<sup>58</sup> These data cover photon–proton centre-of-mass energies in the range  $40 < W < 240$  GeV, photon virtualities in the range  $2 < Q^2 < 305$  GeV<sup>2</sup> (LRG) and  $2 < Q^2 < 120$  GeV<sup>2</sup> (LPS) and hadronic-final-state masses in the range  $2 < M_X < 25$  GeV (LRG) and  $2 < M_X < 40$  GeV (LPS). They span the following  $x_{\mathbb{P}}$  ranges:  $0.0002 < x_{\mathbb{P}} < 0.02$  (LRG) and  $0.002 < x_{\mathbb{P}} < 0.1$  (LPS). Both samples are corrected for background from double-diffractive events  $ep \rightarrow eXN$ , in which the proton also dissociates into a low-mass state,  $N$ . The extracted DPDFs hence correspond to the single-diffractive reaction  $ep \rightarrow eXp$ . Only inclusive data with  $Q^2 > 5$  GeV<sup>2</sup> were included in the fits. In order to minimise overlap between the two samples, LPS data with  $x_{\mathbb{P}} < 0.02$  were excluded from the fit. Reduced cross sections in bins of  $Q^2$ ,  $x_{\mathbb{P}}$  and  $\beta$  were used. The total number of fitted data points was 229 from the LRG sample and 36 from the LPS sample.

The diffractive dijet sample in DIS [11] used in the fits covers transverse energies  $E_T^{\text{jet}} > 4$  GeV and  $x_{\mathbb{P}} < 0.03$ . Differential cross sections as a function of  $z_{\mathbb{P}}^{\text{obs}}$ , an estimator of  $z$ , in different  $Q^2$  bins were used. The variable  $z_{\mathbb{P}}^{\text{obs}}$  was calculated as  $z_{\mathbb{P}}^{\text{obs}} = \frac{Q^2 + M_{jj}^2}{Q^2 + M_X^2}$ , where  $M_{jj}$  is the invariant mass of the dijet system. This sample provided 28 additional points.

The overlaps between the LRG and dijet samples and between the LPS and dijet samples are small. Therefore, the three data sets were considered statistically independent. The following sources of correlated systematic uncertainties were considered:

- LRG data: energy scale, reweighting of the simulation in  $x_{\mathbb{P}}$ , variation of the energy threshold on the most forward energy-flow object and proton-dissociation background [10];
- LPS data: energy scale, reweighting of the simulation in  $x_{\mathbb{P}}$  and  $t$  and proton-dissociation background [10];
- dijet data: energy scale and proton-dissociation background [11].

The energy scale was taken to be common for all the data sets. The normalisation uncertainty due to the proton-dissociation background was taken as fully correlated between the LRG and dijet samples.

## 4. Results

Fits were performed to the data sets described in Section 3.2. Two different parameterisations of the gluon density at the starting scale were used. This is summarised in Table 2.

<sup>58</sup> The ZEUS measurements obtained with a third selection method based on the analysis of the distribution of the hadronic-final-state mass ( $M_X$  method) [26,27] were not included in the fits as this data sample is highly statistically correlated with the LRG sample.

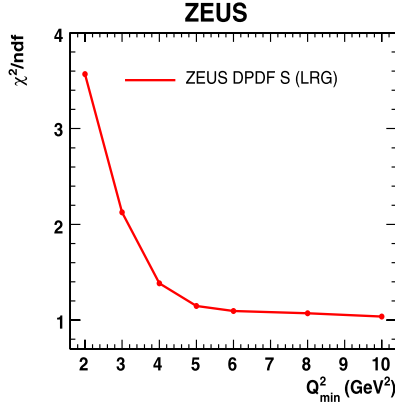


Fig. 2. Dependence of  $\chi^2/\text{ndf}$  for the fit ZEUS DPDF S on the value of the minimum  $Q^2$ ,  $Q_{\text{min}}^2$ , of the LRG data entering the fit.

Table 3

Parameters obtained with the different fits and their experimental uncertainties.

Parameter	Fit value DPDF S	Fit value DPDF C	Fit value DPDF SJ
$A_q$	$0.135 \pm 0.025$	$0.161 \pm 0.030$	$0.151 \pm 0.020$
$B_q$	$1.34 \pm 0.05$	$1.25 \pm 0.03$	$1.23 \pm 0.04$
$C_q$	$0.340 \pm 0.043$	$0.358 \pm 0.043$	$0.332 \pm 0.049$
$A_g$	$0.131 \pm 0.035$	$0.434 \pm 0.074$	$0.301 \pm 0.025$
$B_g$	$-0.422 \pm 0.066$	0	$-0.161 \pm 0.051$
$C_g$	$-0.725 \pm 0.082$	0	$-0.232 \pm 0.058$
$\alpha_{\mathbb{P}}(0)$	$1.12 \pm 0.02$	$1.11 \pm 0.02$	$1.11 \pm 0.02$
$\alpha_{\mathbb{R}}(0)$	$0.732 \pm 0.031$	$0.668 \pm 0.040$	$0.699 \pm 0.043$
$A_{\mathbb{R}}$	$2.50 \pm 0.52$	$3.41 \pm 1.27$	$2.70 \pm 0.66$
$\chi^2/\text{ndf}$	$315/265 = 1.19$	$312/265 = 1.18$	$336/293 = 1.15$

Two fits were performed to the LRG + LPS data: ‘Standard’, with  $A_g$ ,  $B_g$  and  $C_g$  as free parameters; ‘Constant’, with  $B_g = C_g = 0$ . The corresponding sets of DPDFs are referred to as “ZEUS DPDF S” and “ZEUS DPDF C”, respectively.

Only data with  $Q^2 > Q_{\text{min}}^2 = 5 \text{ GeV}^2$  could be fitted within the combined assumptions of DGLAP evolution and proton-vertex factorisation. The quality of the fit drops rapidly for  $Q_{\text{min}}^2 < 5 \text{ GeV}^2$ , as shown in Fig. 2, where  $\chi^2/\text{ndf}$  obtained for the fit ZEUS DPDF S, for statistical reasons restricted to the LRG data, is presented as a function of  $Q_{\text{min}}^2$ . Although the proton-vertex factorisation assumption worked reasonably well at low  $Q^2$  for the LRG data [10], the combination of this assumption with the DGLAP evolution in  $Q^2$  breaks down below  $Q^2$  of  $5 \text{ GeV}^2$ . In contrast, in fully inclusive DIS, DGLAP fits were performed successfully [19] down to  $Q^2$  of  $2.5 \text{ GeV}^2$ .

The results of the fits are listed in Table 3. In the minimisation procedure, the  $\chi^2$  was calculated using only the statistical and uncorrelated systematic errors. The correlated systematic uncertainties were treated according to the “offset method” [19] and were included in the determination of the full experimental uncertainty. To evaluate the goodness of the fit, the  $\chi^2$  shown in Table 3 was recalculated by adding the statistical, uncorrelated and correlated systematic errors

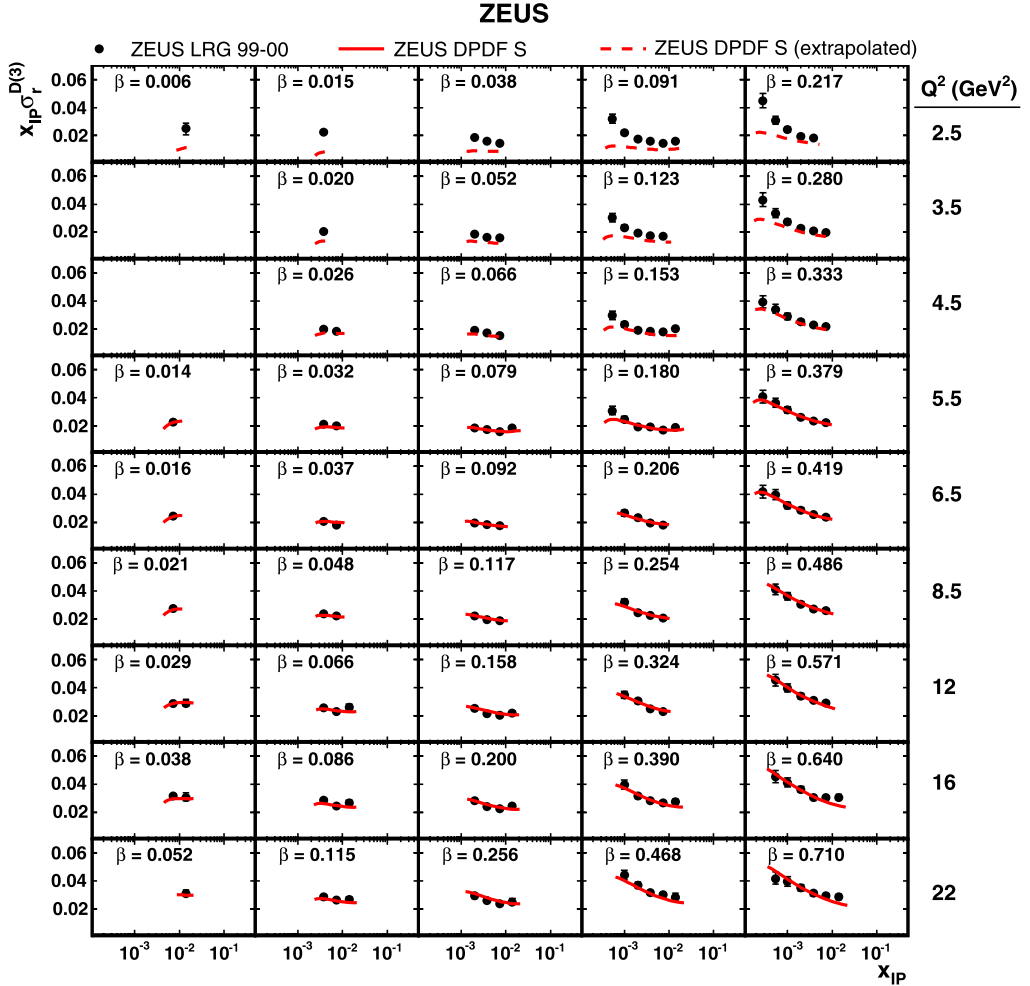


Fig. 3. The fit ZEUS DPDF S compared to the ZEUS LRG data [10] as a function of  $x_{IP}$  for different  $\beta$  and  $Q^2$  values at low  $Q^2$ . Where visible, the inner error bars show the statistical uncertainties and the full bars indicate the statistical and systematic uncertainties added in quadrature. The dashed lines represent the DGLAP extrapolation beyond the fitted region.

in quadrature. The number of degrees of freedom in this case corresponds to the number of fitted points.

The inclusive data are sensitive to all three quark-related parameters,  $A_q$ ,  $B_q$  and  $C_q$ . However, they show very little sensitivity to the gluon shape ( $B_g$  and  $C_g$ ). This follows from  $F_2^{D(3)}$  being directly sensitive only to quarks. The values obtained for  $\alpha_P(0)$ ,  $\alpha_R(0)$  and  $A_R$  in all fits are fully consistent with those extracted from a fit [10] to the same data based on the proton-vertex factorisation assumption of Eq. (4).

Fits ZEUS DPDF C and S are of equally good quality and the predicted reduced cross sections are indistinguishable. Figs. 3–5 show fit S compared to the LRG and LPS data. Both data samples are well described. For  $Q^2 < 5 \text{ GeV}^2$ , the predictions are extrapolated and underestimate the

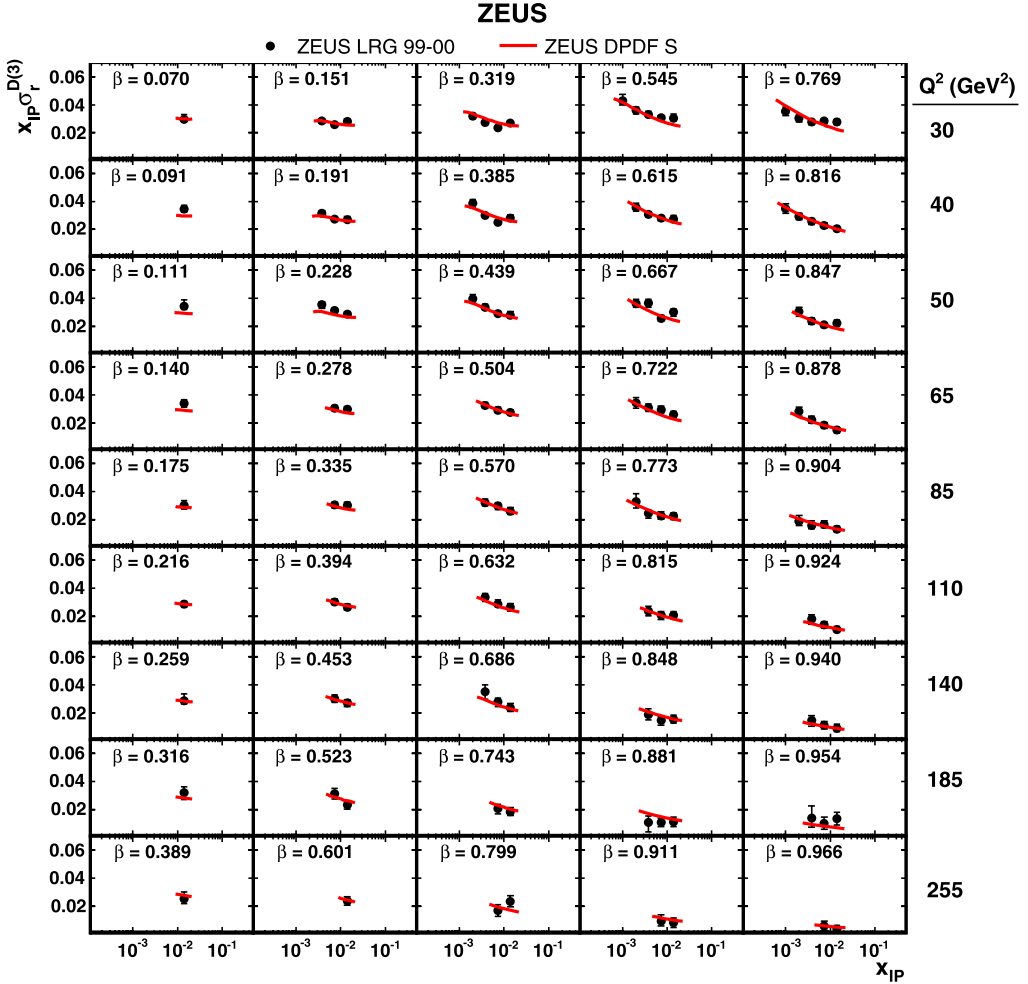


Fig. 4. The fit ZEUS DPDF S compared to the ZEUS LRG data [10] as a function of  $x_{\mathbb{P}}$  for different  $\beta$  and  $Q^2$  values at high  $Q^2$ . Where visible, the inner error bars show the statistical uncertainties and the full bars indicate the statistical and systematic uncertainties added in quadrature.

LRG as well as the LPS data for  $x_{\mathbb{P}} < 0.005$ . The fit is above the LPS data in the low- $\beta$  region, where there are no LRG data.

The quark and gluon densities,  $zf_q(z, Q^2)$  and  $zf_g(z, Q^2)$ , from fit S are shown with their experimental uncertainties in the upper (lower) part of Fig. 6 for  $Q^2 = 6, 20, 60$  and  $200 \text{ GeV}^2$ . The DPDFs from fit C are also shown. The relative normalisation of the Pomeron flux,  $f_{\mathbb{P}}$ , and of the DPDFs,  $f_i(z, Q^2)$ , in Eq. (4) is arbitrary; for Fig. 6 the normalisation was chosen such that at  $x_{\mathbb{P}} = 0.003$  the quantity  $x_{\mathbb{P}} f_{\mathbb{P}}(x_{\mathbb{P}}) = 1$ .

The quark distributions are very similar for the two fits while the gluon densities are significantly different. Gluons from fit S grow rapidly at high  $z$ , while those from fit C vanish as  $z \rightarrow 1$  in a smoother way. The large discrepancy demonstrates the low sensitivity of the inclusive data to

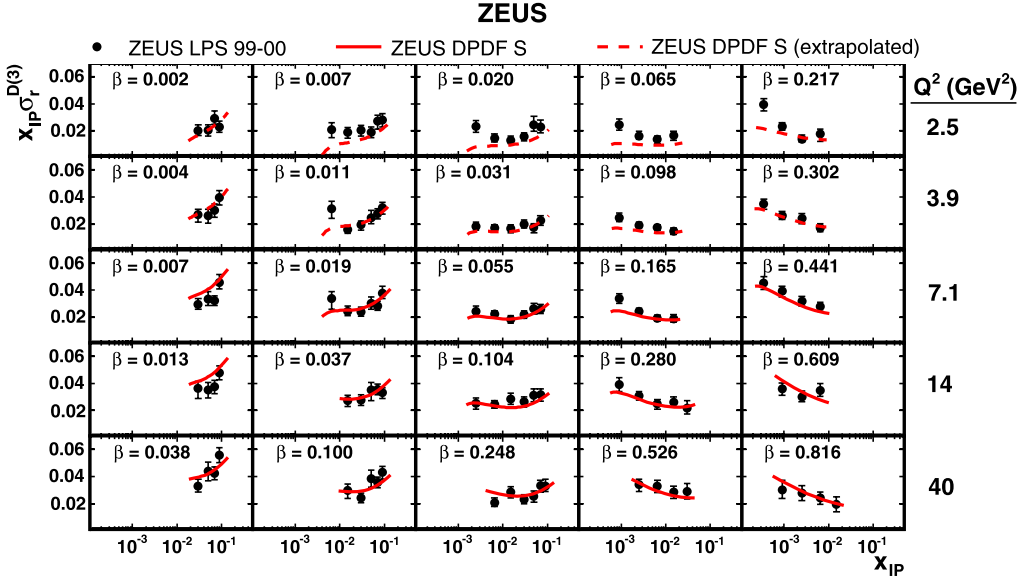


Fig. 5. The fit ZEUS DPDF S compared to the ZEUS LPS data [10] as a function of  $x_{\mathbb{P}}$  for different  $\beta$  and  $Q^2$  values. The inner error bars show the statistical uncertainties and the full bars indicate the statistical and systematic uncertainties added in quadrature. The dashed lines represent the DGLAP extrapolation beyond the fitted region.

gluons. To constrain the gluons better, a more exclusive process is needed where photon–gluon fusion contributes at leading order.

Predictions based on ZEUS DPDF S and C are compared to the double-differential diffractive dijet cross section [11] in Fig. 7. The uncertainty due to the variation of the renormalisation scale in the calculation between  $0.5E_T^{\text{jct}}$  and  $2E_T^{\text{jct}}$  is also shown. At high  $z_{\mathbb{P}}^{\text{obs}}$  the predictions based on fit C give a good description of the dijet data throughout the whole kinematic region. This is not the case for fit S. Fig. 7 clearly shows that the dijet data are sensitive to the gluon density.

A third fit was performed to the LRG + LPS data in combination with the dijet data. The corresponding DPDFs are referred to as “ZEUS DPDF SJ” and the resulting parameters are also given in Table 3. This fit is indistinguishable from fit S when compared to the inclusive data and also provides a remarkably good description of the dijet data, as shown in Fig. 8.

The quark and gluon densities from fit SJ are shown with their experimental uncertainties in Fig. 9 for  $Q^2 = 6, 20, 60$  and  $200 \text{ GeV}^2$ . The result of fit C is also shown for comparison. Again, the plotted quantities are  $zf_{q,g}(z, Q^2)$  with the normalisation  $x_{\mathbb{P}} f_{\mathbb{P}}(x_{\mathbb{P}}) = 1$  at  $x_{\mathbb{P}} = 0.003$ . The decrease in the uncertainty on the gluon distribution with respect to the fit without jet data (Fig. 6) is clearly seen. Combining the inclusive and dijet data constrains the gluon and the quark densities with a comparable precision across the whole  $z$  range.

Fig. 10 shows the  $Q^2$  dependence of the fraction of the longitudinal momentum of the diffractive exchange carried by the gluons,  $g_{\text{frac}}$ , according to fit ZEUS DPDF SJ, integrated over the range  $10^{-5} < z < 1$ . Over the wide range  $5 < Q^2 < 300 \text{ GeV}^2$ , the fraction amounts to approximately 60%. The fall with  $Q^2$  is a direct consequence of the DGLAP evolution which forces  $g_{\text{frac}}$  to approach  $\approx 0.5$  at high  $Q^2$ , while the slope change at  $Q = m_b$  reflects the change in the number of flavours from four to five.

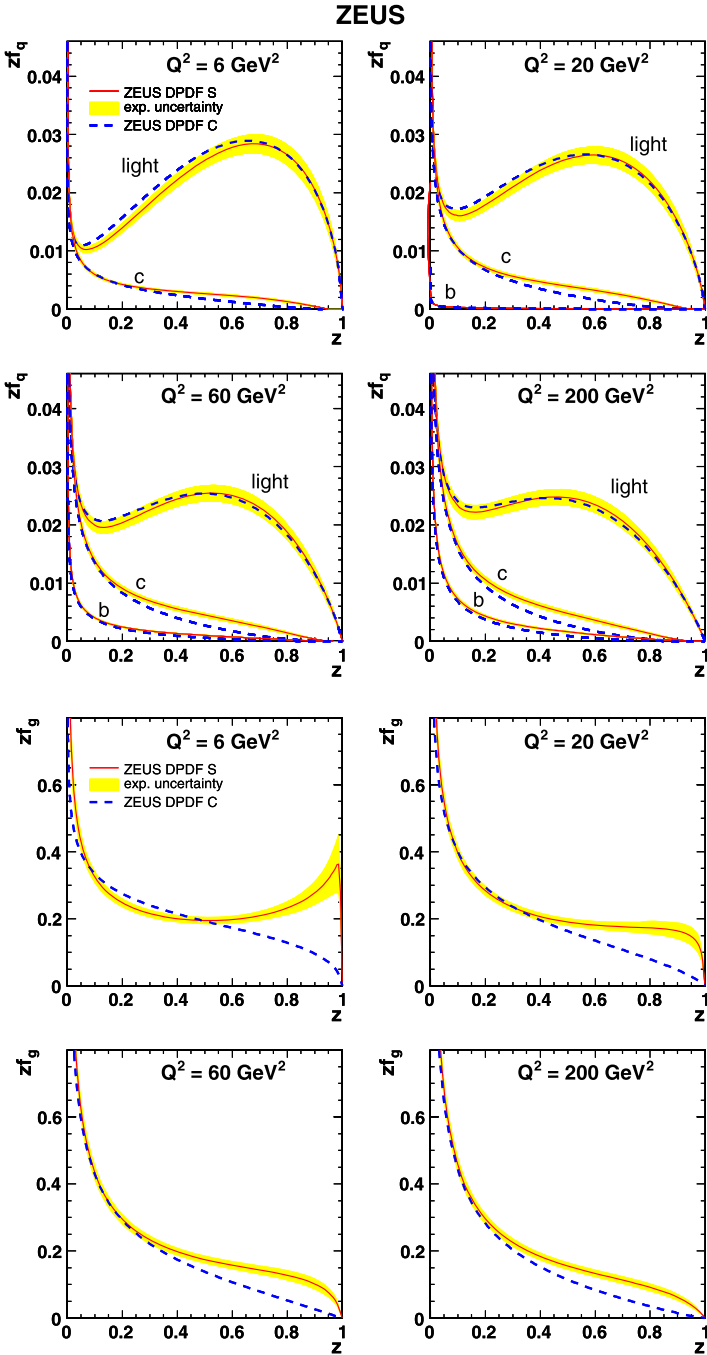


Fig. 6. Four upper (lower) plots: the quark (gluon) distributions obtained from fits ZEUS DPDF S (continuous line) and ZEUS DPDF C (dashed line), shown for four different values of  $Q^2$ . The shaded error bands show the experimental uncertainty.



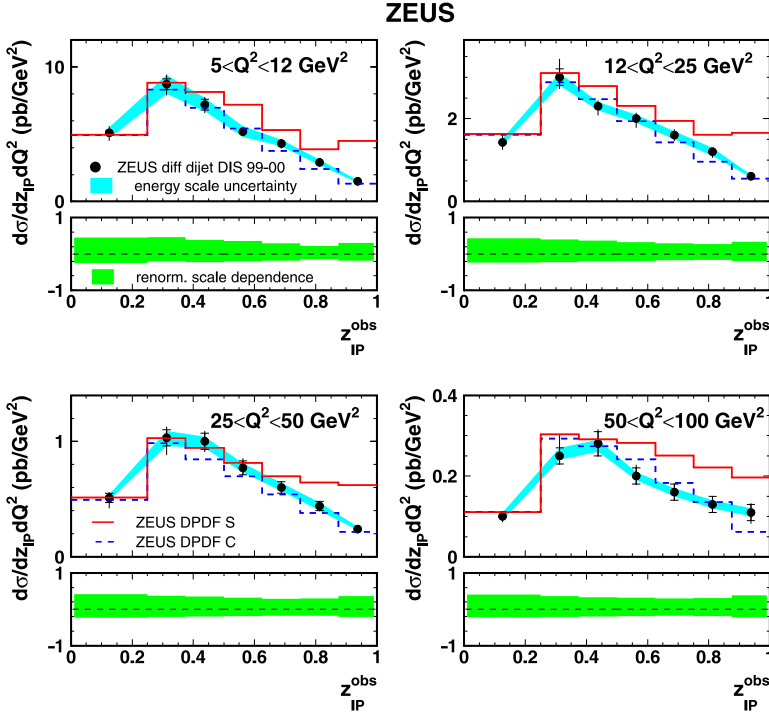


Fig. 7. Predictions based on ZEUS DPDF S (continuous line) and ZEUS DPDF C (dashed line) compared to the ZEUS diffractive dijet data [11] as a function of  $z_{IP}^{obs}$  for different  $Q^2$  values. The inner error bars show the statistical uncertainties and the full bars indicate the statistical and systematic uncertainties added in quadrature. The dark shaded bands indicate the jet energy scale uncertainty. The light shaded bands at the bottom of each plot show the renormalisation scale uncertainty.

#### 4.1. DPDF uncertainties

The following sources of uncertainties were investigated:

- the starting scale  $Q_0^2$ . The value  $1.8 \text{ GeV}^2$  was chosen as it minimises the  $\chi^2$ . Varying  $Q_0^2$  for fit C between  $1.6$  and  $2 \text{ GeV}^2$  yielded a  $\chi^2$  between  $1.18$  and  $1.20$ ; the DPDFs did not change significantly;
- the fixed parameters in the fits (Table 1). Variations within the measurement errors resulted in a simple scaling of the fluxes integrated over  $t$ , absorbed into the normalisation parameters  $A_q$ ,  $A_g$  and  $A_R$ , with negligible effect on the DPDFs;
- the renormalisation scale dependence. The scale  $\mu_R$  for dijet data was taken as  $0.5E_T^{\text{jet}}$  and  $2E_T^{\text{jet}}$ , whereas it was kept as  $Q$  for the inclusive data. The effect on the parton densities was within 5% for light quarks, 15% for  $c$  and  $b$  and 30% for gluons, while the  $\chi^2$  increased significantly;
- the masses of the charm and beauty quarks. The nominal values of  $m_c = 1.35 \text{ GeV}$  and  $m_b = 4.3 \text{ GeV}$  were varied in the ranges  $1.35 < m_c < 1.75 \text{ GeV}$  and  $4.3 < m_b < 5 \text{ GeV}$ . Neither the quark nor gluon distributions were sensitive to variations of  $m_b$ , whereas  $m_c$

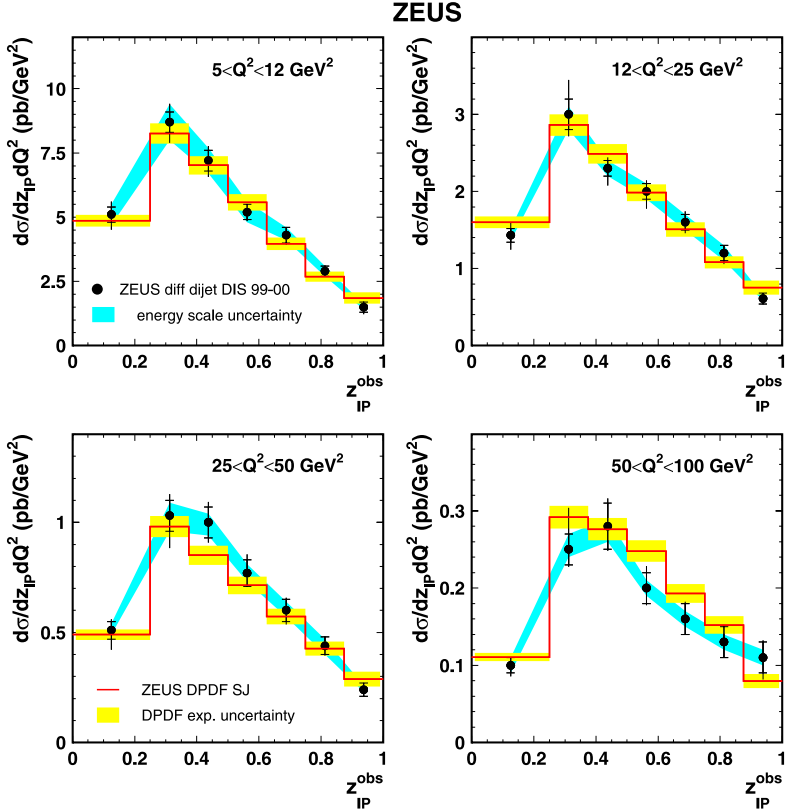


Fig. 8. The fit ZEUS DPDF SJ compared to the ZEUS diffractive dijet data [11] as a function of  $z_{\text{IP}}^{\text{obs}}$  for different  $Q^2$  values. The inner error bars show the statistical uncertainties and the full bars indicate the statistical and systematic uncertainties added in quadrature. The dark shaded bands indicate the jet energy scale uncertainty. The light shaded bands show the DPDF experimental uncertainties.

produced an effect comparable to the experimental uncertainty. The  $\chi^2$  value changed only slightly, reaching the minimum at the nominal mass values.

These theoretical uncertainties are not shown in Figs. 6, 9 and 10. Given are the total experimental uncertainties as determined with the offset method [19].

#### 4.2. Comparison to other HERA DPDFs

An earlier ZEUS DPDF fit to inclusive diffractive measurements combined with data on charm production [28] did not include data points with values of  $\beta > 0.4$ . Also, in the current analysis a far larger data sample is used. This previous analysis is therefore superseded by the results of the present paper.

Several DPDF fits are available from the H1 Collaboration, among which the most recent are based on an inclusive diffractive sample [1] and on a combination of data from inclusive and diffractive dijets in DIS [2]. In Fig. 11, the diffractive reduced cross sections from the ZEUS LRG sample as a function of  $Q^2$  for fixed  $\beta$  and  $x_{\text{p}}$  are compared to the fit ZEUS DPDF SJ

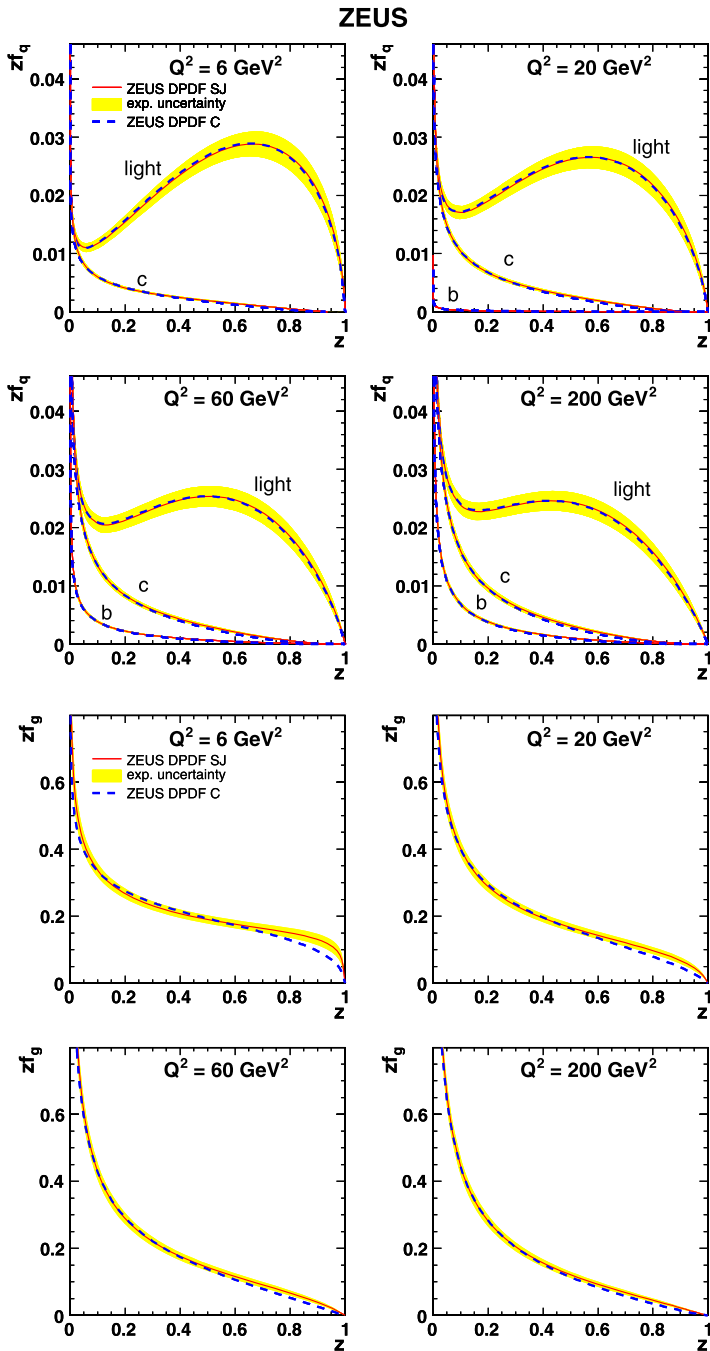


Fig. 9. Four upper (lower) plots: the quark (gluon) distributions obtained from fits ZEUS DPDF SJ (continuous line) and ZEUS DPDF C (dashed line), shown for four different values of  $Q^2$ . The shaded error bands show the experimental uncertainty.

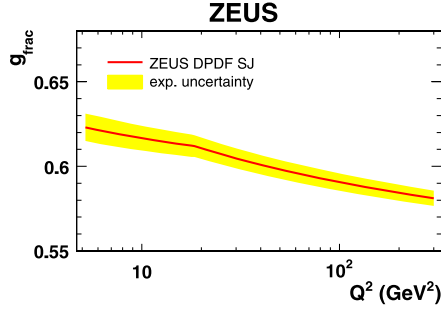


Fig. 10.  $Q^2$  dependence of the gluon momentum fraction,  $g_{\text{frac}}$ , according to the fit ZEUS DPDF SJ. The shaded error band shows the experimental uncertainty.

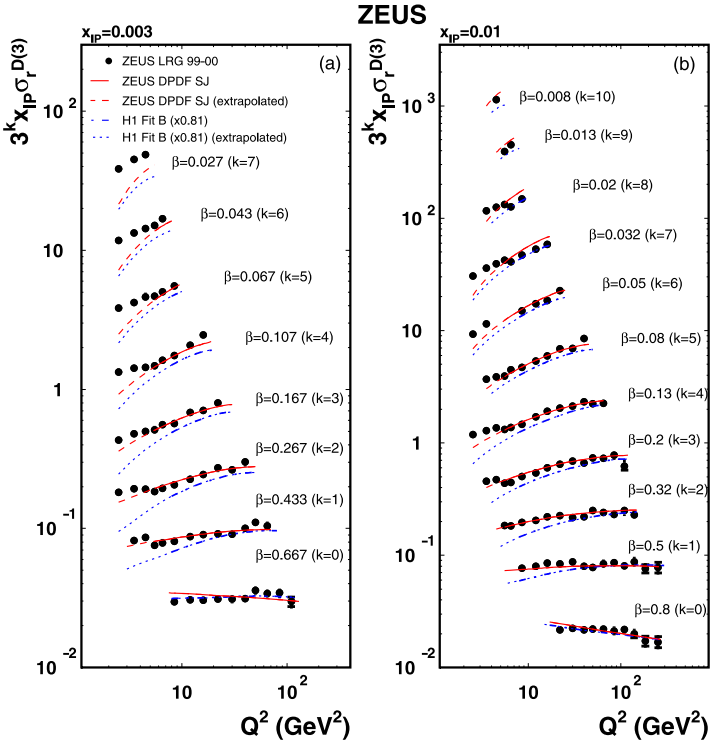


Fig. 11. The fit ZEUS DPDF SJ (continuous line) and H1 Fit B [1] (dashed–dotted line) compared to the ZEUS LRG data [10] at (a)  $x_p = 0.003$  and (b)  $x_p = 0.01$  as a function of  $Q^2$  for different  $\beta$  values. Where visible, the inner error bars show the statistical uncertainties and the full bars indicate the statistical and systematic uncertainties added in quadrature. The H1 predictions are corrected to  $M_N = m_p$  via the scaling factor 0.81. The dashed (dotted) lines represent the DGLAP extrapolation beyond the ZEUS (H1) fitted region.

as well as to the predictions from “H1 2006 Fit B” [1]. The latter, extracted for masses of the dissociative system  $M_N < 1.6$  GeV, were multiplied by the scaling factor 0.81 [1] to account for the correction to the ZEUS elastic case  $M_N = m_p$ . For  $Q^2 < 5$  GeV<sup>2</sup> in the ZEUS case and for  $Q^2 < 8.5$  GeV<sup>2</sup> in the H1 case, the fits are extrapolated. For  $\beta < 0.2$ , the two fits agree in

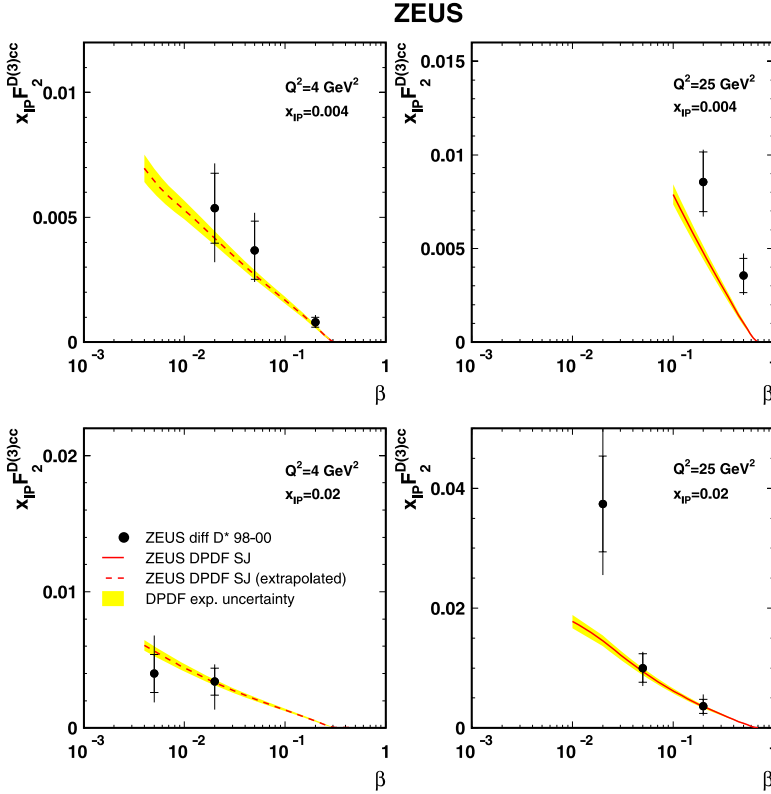


Fig. 12. ZEUS DPDF SJ predictions compared to the ZEUS measurement of the charm contribution to the diffractive structure function multiplied by  $x_{\mathbb{P}}$ ,  $x_{\mathbb{P}} F_2^{D(3)c\bar{c}}$  [12] as a function of  $\beta$  for different  $Q^2$  and  $x_{\mathbb{P}}$  values. The inner error bars show the statistical uncertainties and the full bars indicate the statistical and systematic uncertainties added in quadrature. The dashed lines represent the extrapolation of the predictions beyond the kinematic region where they were obtained. The shaded bands show the DPDF experimental uncertainty.

shape throughout the fitted range, but the normalisation of the ZEUS curve is above that of H1. At higher  $\beta$  and where the predictions are extrapolated the agreement worsens. These features reflect the degree of consistency between the ZEUS and H1 data [29].

#### 4.3. Comparison to charm and dijet photoproduction data

Predictions from the fit ZEUS DPDF SJ are compared in Fig. 12 to data [12] on the charm contribution to the diffractive structure function multiplied by  $x_{\mathbb{P}}$ ,  $x_{\mathbb{P}} F_2^{D(3)c\bar{c}}$ , for  $x_{\mathbb{P}}$  of 0.004 and 0.02 and  $Q^2$  of 4 and 25  $\text{GeV}^2$ . The predictions are in fair agreement with the data.

Figs. 13a and 14a show the prediction from the fit ZEUS DPDF SJ compared to the diffractive dijet photoproduction data [13] as a function of  $x_{\gamma}^{\text{obs}}$ , the fraction of the photon energy invested in producing the dijet system, and of the transverse energy  $E_T^{\text{jet}}$  of the leading jet, respectively. Dijet photoproduction at leading order in QCD proceeds through two type of processes: direct processes ( $x_{\gamma}^{\text{obs}} \approx 1$ ), in which the exchanged photon interacts as a point-like particle with the partons from the diffractive exchange; and resolved processes ( $x_{\gamma}^{\text{obs}} < 1$ ), in which the pho-

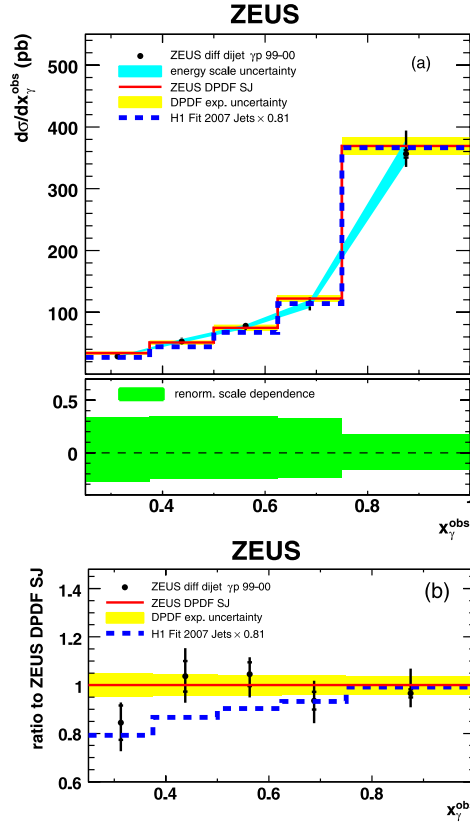


Fig. 13. (a) ZEUS DPDF SJ predictions compared to the ZEUS diffractive dijet photoproduction data [13] as a function of  $x_\gamma^{\text{obs}}$ . The predictions from H1 Fit 2007 Jets [2] are also shown, corrected to  $M_N = m_p$  via the scaling factor 0.81. (b) Ratio of the data and of the H1 predictions to the ZEUS DPDF SJ predictions. The inner error bars show the statistical uncertainties and the full bars indicate the statistical and systematic uncertainties added in quadrature. The dark shaded bands indicate the jet energy scale uncertainty. The light shaded bands show the DPDF experimental uncertainty. The shaded band at the bottom of (a) shows the renormalisation scale uncertainty.

ton behaves as a source of partons which interact with the diffractive exchange. For the latter, as in hadron–hadron interactions, QCD factorisation is not expected to hold [14]. Comparing calculations based on DPDFs extracted from other diffractive processes to diffractive dijet photoproduction data provides a valuable test of QCD factorisation. The predictions shown in Figs. 13a and 14a, obtained with the program of Klasen and Kramer [30], agree adequately with the data over the whole  $x_\gamma^{\text{obs}}$  and  $E_T^{\text{jet}}$  ranges.<sup>59</sup> A further confirmation is provided by Figs. 13b and 14b, where the ratio of the data and the predictions is presented as a function of  $x_\gamma^{\text{obs}}$  and  $E_T^{\text{jet}}$ , respectively. The ratio is consistent with unity. This reinforces the conclusion of an earlier ZEUS publication [13], where the data were found to be compatible with no suppression either of the resolved component, or of both components globally. The slight normalisation difference between

<sup>59</sup> The program of Frixione and Ridolfi [31] was also used and gave the same results.

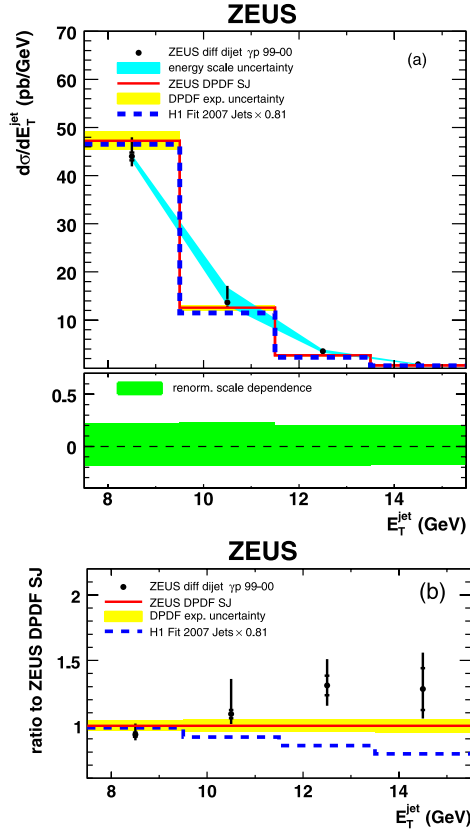


Fig. 14. (a) ZEUS DPDF SJ predictions compared to the ZEUS diffractive dijet photoproduction data [13] as a function of  $E_T^{\text{jet}}$ . The predictions from H1 Fit 2007 Jets [2] are also shown, corrected to  $M_N = m_p$  via the scaling factor 0.81. (b) Ratio of the data and of the H1 predictions to ZEUS DPDF SJ predictions. Other details as in caption to Fig. 13.

the predictions shown here and those of the earlier ZEUS publication [13] is due to the usage of different DPDFs (“H1 2006 Fit B”), extracted in a fixed-flavour-number scheme.

Also shown in Figs. 13 and 14 are the predictions from “H1 Fit 2007 Jets” [2], multiplied by the scaling factor 0.81 [1] to account for the correction to  $M_N = m_p$ . They overlap with the ZEUS predictions in the lowest  $E_T^{\text{jet}}$  and highest  $x_\gamma^{\text{obs}}$  bins. At high  $E_T^{\text{jet}}$  and low  $x_\gamma^{\text{obs}}$ , the two predictions differ by as much as 20%.

## 5. Summary and conclusions

ZEUS diffractive inclusive-cross-section data, together with data on diffractive dijet production in DIS, have been used in an NLO DGLAP QCD analysis to determine the diffractive parton distribution functions. Only data with  $Q^2 > 5 \text{ GeV}^2$  could be fitted within the combined framework of DGLAP evolution and proton-vertex factorisation. The extracted DPDFs correspond to the single-diffractive reaction with a proton in the final state and are valid in the region  $|t| < 1 \text{ GeV}^2$ ,  $M_X > 2 \text{ GeV}$ ,  $x_{\mathbb{P}} < 0.1$ .

NLO QCD predictions based on diffractive parton densities extracted from the inclusive data provide a good, simultaneous description of the inclusive and dijet data. The quark densities are well constrained by the inclusive data, whereas the sensitivity to the gluon density decreases as the momentum fraction  $z$  increases.

The inclusion of the dijet data provides an additional constraint on the gluons, allowing the determination of both the quark and gluon densities with good accuracy.

Predictions based on the extracted parton densities give a fair description of diffractive charm production data; also, they are in good agreement with diffractive dijet photoproduction cross sections over the whole kinematic region, thus indicating no suppression of the resolved component.

## Acknowledgements

The design, construction and installation of the ZEUS detector were made possible by the ingenuity and dedicated efforts of many people inside DESY and from the home institutes who are not listed as authors. Their contributions are acknowledged with great appreciation. We thank the DESY directorate for their strong support and encouragement, and the HERA machine group for their diligent effort. We are grateful for the support of the DESY computing and network services.

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