# Coexisting conditions and concomitant medications do not affect venetoclax management and survival in chronic lymphocytic leukemia

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# Abstract

**Background:** The question of which parameters may be informative on venetoclax outcome in chronic lymphocytic leukemia (CLL) is still unclear. Furthermore, the choice to treat with venetoclax can be challenging in patients with baseline characteristics or comorbidities that may potentially favor some specific adverse events.

**Objectives:** This study was aimed to evaluate whether age, fitness status, patients'/disease characteristics, or concomitant medications may predict outcomes in CLL patients receiving venetoclax.

**Design:** Retrospective observational study.

**Methods:** Impact of age, presence of Cumulative Illness Rating Scale (CIRS) >6 or severe organ impairment (CIRS3+), Eastern Cooperative Oncology Group–Performance Status (ECOG-PS), renal function, and concomitant medications were retrospectively analyzed on treatment management (definitive discontinuation due to toxicity, discontinuation due to toxicity, Tox-DTD; permanent dose reduction, PDR) and survival [progression free survival (PFS), event free survival (EFS), overall survival (OS)] in unselected patients receiving venetoclax monotherapy in common practice.

**Results:** A total of 221 relapsed/refractory patients were included. Tox-DTD and PDR were reported in 5.9% and 21.7%, respectively, and were not influenced by any fitness parameter, age, number or type of concomitant medication, baseline neutropenia, or impaired renal function. None of these factors were associated with tumor lysis syndrome (TLS) development. Age and coexisting conditions had no influence on PFS and EFS. At univariate analysis, OS was significantly shorter only in patients with ECOG-PS >1 (p < 0.0001) and elderly ( $\geq$ 65 years) with CIRS >6 (p = 0.014) or CIRS3+ (p = 0.031). ECOG-PS >1 retained an independent role only for EFS and OS. While Tox-DTD affected all survival outcomes, no differences in PFS were reported among patients permanently reducing dose or interrupting venetoclax for >7 days. **Conclusion:** Clinical outcome with venetoclax is not influenced by comorbidities, patients' clinical characteristics, or concomitant medications. Differently from other targeted therapies, this demonstrates that, except ECOG-PS, none of the parameters generally considered for treatment choice, including baseline neutropenia or impaired renal function, should rule the decision process with this agent. Anyway, if clinically needed, a correct drug management does not compromise treatment efficacy and may avoid toxicity-driven discontinuations.

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# **Plain Language Summary**

Chapter 1: Why was this study done? Chapter 2: Which are the main findings of the study? Chapter 3: How these findings may impact on clinical practice?

Coexisting conditions and concomitant medications do not affect venetoclax management and survival in chronic lymphocytic leukemia

• The question of which parameters may be informative on venetoclax outcome in chronic lymphocytic leukemia is still unclear. Furthermore, the choice to treat with venetoclax can be challenging in patients with baseline characteristics or comorbidities that may potentially favor some specific adverse events (e.g. compromised renal function or baseline neutropenia).

• In our large series of patients treated outside of clinical trials, we demonstrated that neither age, fitness, comorbidities nor concomitant medications impact on venetoclax management and survival. Importantly, patients presenting with baseline neutropenia or impaired renal function did not have a higher rate of dose reductions or toxicity-driven discontinuations, thus further underlining that venetoclax may be safely administered even in those categories with no preclusions.

• Differently from other targeted agents, our data demonstrate that none of the baseline factors commonly considered in treatment decision process retains a role with venetoclax. Finally, permanent dose reductions and temporary interruptions did not adversely impact PFS suggesting that, if clinically needed, a correct drug management should be adopted with no risk of compromising venetoclax efficacy.

*Keywords:* CIRS, CLL, comorbidities, discontinuations, ECOG, fitness, reduction, targeted therapies, venetoclax

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#### Introduction

The introduction of novel agents, B-cell receptor (BCR) inhibitors and B-cell lymphoma 2 (Bcl-2) antagonists, has radically changed chronic lymphocytic leukemia (CLL) treatment scenario.1 These drugs appear to be generally well tolerated, offering an attractive treatment approach even in the elderly population.<sup>2–5</sup> The role of age, Eastern Cooperative Oncology Group-Performance Status (ECOG-PS), and comorbidities has been well established with chemotherapy or chemoimmunotherapy;6-8 nevertheless, it is not clear which parameters may have a predictive value with those target agents in the common clinical practice. Few studies have addressed the role of age and fitness status on BCR inhibitors management and outcome.9-11 In two retrospective studies of ibrutinib-treated patients outside clinical trials, Cumulative Illness Rating Scale (CIRS) and ECOG-PS emerged as reliable tools in predicting treatment tolerance and survival.<sup>9,11</sup> Among other parameters considered at baseline, in the study published by Tedeschi *et al.*,<sup>11</sup> only neutropenia independently influenced all the survival outcomes.

As regards venetoclax, most of the venetoclax analyses so far have focused on the role of age.<sup>12–14</sup> Similar quality and duration of responses in elderly compared with younger patients were observed both in trials and in common practice with venetoclax.<sup>13,14</sup> Furthermore, age was not detrimental to treatment management and tolerability.<sup>12,14</sup> Considering that every target agent has a unique and specific toxicity profile, renal function has a crucial role in patients treated with the Bcl-2 antagonist. A retrospective analysis by Roeker *et al.*<sup>15</sup> showed that a creatinine clearance (CrCl) < 80 ml/min was associated with a higher risk of tumor lysis syndrome (TLS).

In most of venetoclax clinical trials, CrCl <50 ml/min was considered as an exclusion criterion<sup>12,16,17</sup> while in the CLL14 study, that led to venetoclax obinutuzumab approval in unfit untreated CLL patients, only patients with a CrCl <30 ml/min were excluded. Furthermore, in the same trial, CIRS was considered as a key inclusion criterion.<sup>5</sup>

To the best of our knowledge, no studies so far have been specifically addressed to determine the role of CIRS or other fitness parameters on venetoclax management and outcomes. Moreover, the impact of concomitant medications, including CYP3A4 inhibitors, that may alter venetoclax plasma levels, has never been analyzed.

In the present retrospective study, we evaluated the impact of age, ECOG-PS, comorbidities, patients' and disease characteristics at baseline as well as concomitant medications, in a large population receiving venetoclax monotherapy outside of clinical trials.

### **Patients and methods**

The population of this study includes unselected consecutive relapsed/refractory (R/R) patients treated with venetoclax monotherapy outside of clinical trials in 16 Italian centers from December 2016 to August 2021. Venetoclax was given on label, and irrespective of this analysis, all patients signed an informed consent for treatment administration and data collection. All patients' details have been deidentified and considering the retrospective observational nature of the study, approval exemption was given. All patients receiving at least one dose of venetoclax treatment were considered. To assess whether any clinical baseline condition may predict venetoclax tolerance and influence drug management, thus avoiding both the bias of a co-administered anticancer drug and fixed duration schedule, we chose to analyze patients treated with continuous monotherapy. Medical records were reviewed to determine patients' characteristics at the time of venetoclax initiation including age, ECOG-PS, CrCl calculated according to the Cockcroft-Gault equation, concomitant nephropathy (intended as disease or damage of the kidney, which can eventually decline in kidney failure),<sup>18</sup>

presence of grade 3-4 neutropenia, number of concomitant medications, previous lines of therapy, previous ibrutinib administration, Rai stage, ImmunoGlobuline Heavy Chain Variable region (IGHV) mutational status, del(11q) and del(17p) by fluorescent in situ high hybridization, and TP53mut by Sanger Sequencing. Biological tests were locally performed. As a univocal definition of polypharmacy does not exist, polypharmacy was numerically defined as the concomitant use of >3 prescribed drugs assumed on regular basis.<sup>19</sup> Short-term course reliever drugs were excluded. Furthermore, comorbidities were evaluated at the time of venetoclax initiation, and the Charlson Comorbidity Index (CCI) and CIRS score were calculated.<sup>20,21</sup> As performed for other studies, medical conditions that were deemed to be complications of CLL (e.g. anemia, thrombocytopenia, and splenomegaly) were not included as part of the total CIRS score.8,9,11 According to previous CLL studies, patients were considered as having a high comorbidity burden if the total CIRS score was greater than 6 (CIRS >6). Patients were also assessed for the presence of CIRS3+ defined as a severe impairment (score 3 or 4) in any single organ system.<sup>22</sup>

Patients were stratified for age (<65 years versus  $\geq$ 65 years), ECOG-PS (0–1 versus >1), CCI (0–2 versus >2), CrCl (<30 versus 30–49 versus  $\geq$ 50), prior lines of therapy (1 versus >1), CIRS ( $\leq 6$  versus >6), and CIRS3+ (present versus absent). We analyzed the impact of age and patients' fitness (that includes ECOG-PS, CIRS, CIRS3+, CCI) on treatment management [defined as definitive treatment discontinuation due to toxicity (Tox-DTD) or permanent dose reduction (PDR)], on progression free survival (PFS, defined as time from treatment initiation to disease progression or death), event free survival (EFS, defined as time from treatment initiation to treatment discontinuation, disease progression, or death), and overall survival (OS, defined as time from treatment initiation to death). Survival functions for the timeto-event variables were estimated by the Kaplan-Meier method and the related strata compared using the log-rank test. Venetoclax was administered with weekly ramp-up schedule over 5 weeks to the recommended daily dose of 400 mg according to prescribing information for CLL.23

To investigate the impact of selected patients' characteristics (age, patients' fitness, concomitant medications >3, CYP3A4 inhibitors, nephropathy

CrCl, baseline neutropenia) before starting venetoclax therapy and of disease characteristics [del(17p) and TP53mut, del(11q), IGHV unmutated status, previous lines of therapy, previous ibrutinib treatment] on the time to Tox-DTD, PDR, PFS, EFS, and OS, univariate and multivariate Cox regression models were fitted. The multivariate models were obtained through a model building strategy that consisted of a number of steps. First, covariates that were significant in the univariate models at  $\alpha = 0.25$  were selected. After this step, models were re-assessed in terms of standard error of covariates to identify potential correlations and influence of collinearity on such covariates and on the dependent variable. If correlation was high, only the most clinically meaningful covariate was retained. In addition, at this stage, if covariates were not significant at  $\alpha = 0.05$ , the fit of the model was assessed with and without nonsignificant covariates through model performance parameters such as  $R^2$  and Akaike's information criterion (AIC). If the model fits the data better without them and there was no clinical justification to keep such covariates, they were removed from the model. Clinically relevant interaction terms were created and kept in the model if significant at  $\alpha = 0.10$ . Following these steps, final models were then used to obtain hazard ratios and their 95% confidence intervals for each outcome. Statistical analysis was performed using the SAS (Statistical Analysis System) version 9.4 (SAS Institute, Cary, NC, USA).

The reporting of this study conforms to the STROBE statement;<sup>24</sup> STROBE statement checklist is available at Supplemental Appendix 1. The data generated in this study are available upon request from the corresponding author.

#### Results

A total of 221 R/R patients treated with venetoclax monotherapy outside of clinical trials were analyzed. Median prior lines of therapy were 2 (range 1–9). In Table 1, patients' and disease characteristics are summarized. Median age was 70 years (range 27–81 years), 63.8% of patients being  $\geq$ 65 years. Overall, 16.7% presented an ECOG-PS >1. Median CIRS score for the whole population was 5 (range 0–19) with a CIRS >6 recorded in 37.6% of patients. In 25.8% of patients, a severe impairment of a single organ system was observed (CIRS3+). 19.9% of cases concomitantly presented CIRS >6 and CIRS3+. When patients were stratified according to CrCl, 3.2% had a value <30 ml/min, 19.5% between 30 and 49 ml/min, and  $77.4\% \ge 50 \text{ ml/min}$ . A concomitant diagnosis of nephropathy was present in 7.2% of cases. 16.7% started treatment with grade 3-4 baseline neutropenia. About half of patients (53.4%) were in treatment with 3 or more concomitant medications with a median number of 4 (range 0-13). In 22.6%, concomitant treatments were represented by CYP3A4 inhibitors. As regards disease characteristics, most patients (67%) had an IGHV unmutated status while 43% presented with del(17p) and TP53 mutation. All patients performed venetoclax ramp-up according to venetoclax prescribing information for CLL.23 After a median follow-up of 17.3 months (range 0.8-58.3), 136 (61.5%) are continuing treatment: 88/221 (39.8%) at the dose of 400 mg daily and 48/221 (21.7%) at a permanent lower dose (300 mg/daily in 21 patients; 200 mg/daily in 25, and 100 mg/daily in 2 patients). The main reason for PDR was recurrent drug-induced neutropenia (60.4%) followed by drug-to-drug interference (10.4%) and infections (8.3%). Venetoclax-induced neutropenia leading to PDR was recorded in 15 among the 37 patients with baseline neutropenia.

Venetoclax was definitively discontinued in 85 (38.5%) due to: CLL progression and Richter's transformation (17.2% and 9%, respectively), transplant procedure (3.6%), toxicity (5.9%), secondary malignancies (1.4%), and other reasons (i.e. patient or physician decision, 1.4%). Median time to Tox-DTD was 2.3 months (range 0.1–12.2 months), infections (53.8%), and cytopenia (30.8%) being the major causes. Pneumonia was the most common infection reported.

In the whole population, a transient dose reduction (for at least one transient episodel day) of dose reduction was necessary in 48 cases (21.7%); in 34 of them, venetoclax dose reduction was permanently maintained, while venetoclax was maintained permanently at an inferior dose in 34 of them.

Treatment was temporarily interrupted for at least 1 day in 69 patients (31.2%), being  $\geq$ 7 days in 46 cases (20.8%). Infections, neutropenia, and TLS development were the most frequent events leading to temporary discontinuation (42%, 17.4%, and 11.6% of 69 patients temporary interrupting the drug). Venetoclax dosing and discontinuations are shown in Table 2.

Table 1. Patients' characteristics.

Patients' characteristics total ( <i>N</i> =21)	Value N (%)
Median age, years (range) <65years/≫65years	70.0 (27–81) 80 (36.1)/141 (63.8)
Sex: male/female	145 (65.6)/76 (34.4)
EC0G-PS 0-1/>1	184 (83.3)/37 (16.7)
CIRSª median (range) CIRSª ≤6/CIRSª >6 CIRSª 3+ CIRS >6 and CIRS3+	5 (0–19) 138 (62.4)/83 (37.6) 57 (25.8) 44 (19.9)
CCI median (range) CCI <2/CCI ≥2	5 (0–24) 47 (21.3)/174 (78.7)
CrCl (ml/min) ≥50/30-49/<30	169 (77.4)/42 (19.5)/7 (3.2)
Nephropathy	27 (7.2)
Grade 3–4 neutropenia	37 (16.7)
Median <i>N</i> concomitant medications (range) Polypharmacy <sup>b</sup> CYP3A4 inhibitors	4 (0–13) 118 (53.4) 50 (22.6)
Rai stage 0-2 3-4	106 (48) 115 (52)
Prior Tx median (range) 1-2 ≥3	2 (1–9) 126 (57) 95 (43)
IGHV unmutated del(17p) and TP53mut del(11q) High risk <sup>c</sup>	148 (67.0) 95 (43.0) 56 (25.3) 184 (83.3)

CCI, Charlson Comorbidity Index; CIRS, Cumulative Illness Rating Scale; CrCl, creatinine clearance; ECOG-PS, Eastern Cooperative Oncology Group–Performance Status; Tx, therapy.

<sup>a</sup>Medical conditions that deemed to be complications of CLL not included as part of the total CIRS score. <sup>b</sup>Polypharmacy defined as >3 concomitant medications.

<sup>c</sup>High risk defined as: del(17p) or TP53mut or del(11q) or unmutated IGHV.

#### **Table 2.** Venetoclax dosing and discontinuations.

	Rate, % (proportion)
Achieved 400 mg daily	100% (221/221)
Maintained 400 mg daily	39.8% (88/221)
Permanently reduced venetoclax dose due to toxicity	21.7% (48/221)
Interrupted venetoclax for $\geq$ 7 days	20.8% (46/221)
Definitively discontinued venetoclax due to toxicity	5.9% (13/221)

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Figure 1. (a) Progression free survival, (b) event free survival, and (c) overall survival by ECOG-PS.

TLS, was observed overall in 27 patients (12.2%), being only laboratory in the majority of them (88.9%) and did not lead to any permanent discontinuation.

None of the parameters considered among age, ECOG-PS, CIRS >6, CIRS3+, CCI >2, polypharmacy, CrCl <50, and nephropathy showed to be associated with a higher risk of TLS. Neither age nor patients' fitness had an influence on PDR and Tox-DTD, even when patients were stratified according to age (<65 *versus*  $\geq$ 65 years). None of the variables considered at baseline, including polypharmacy, had an impact on drug management. Only trend toward increased PDR was observed with baseline a neutropenia (*p*=0.0531).

Patients temporarily interrupting venetoclax for  $\geq$ 7 days did not show a higher risk of PDR and Tox-DTD. TLS development did not translate in a permanent venetoclax discontinuation or dose reduction.

Number of previous lines (1 versus >1) and prior ibrutinib treatment were not associated with PDR while both significantly correlated with Tox-DTD (p=0.0427 and p=0.0235, respectively).

The median of PFS, EFS, and OS for the whole population was 38.6, 32.5, and not reached, respectively. Presence of del(17p) and TP53 mutations significantly influenced PFS, EFS, and OS, while no differences were observed when patients were stratified according to IGHV mutational status and 11q deletion. Patients who had received more than one line prior to venetoclax and those who received previous ibrutinib showed significantly inferior survival outcomes.

ECOG-PS >1 was the only fitness parameter influencing all three survival outcomes (p=0.0017, p=0.0021 and p<0.0001 for PFS, EFS, and OS, respectively; Figure 1).

Although CIRS > 6 and CIRS3+ in the whole population and in younger patients (<65 years) had no impact on PFS, EFS, and OS, only in the elderly both were detrimental on OS (Figure 2).

Age, baseline neutropenia, and concomitant medications were not associated with inferior prognosis. While PDR did not affect any survival parameters, Tox-DTD led to significantly worse PFS, EFS, and OS (p < 0.0001). Furthermore, a cut-off of >7 days of interruption affected only OS.

The results from univariate analysis are shown in Table 3.

At multivariate analysis, neither fitness status nor all the other baseline variables considered retained an independent role on Tox-DTD and PDR (Table 4). Again, ECOG >1 confirmed to be the only parameter independently affecting EFS and OS (p=0.0112 and p<0.0001, respectively).

#### Discussion

Novel inhibitors in all phase III randomized trials demonstrated improved PFS compared with chemoimmunotherapy.<sup>3–5,16,25–27</sup> In some of these studies, age and coexisting conditions were



**Figure 2.** (a) CIRS>6 and CIRS3+ overall and by age: overall survival by CIRS3+ in the whole population, (b) in patients <65 years, (c) in patients  $\geq65$  years, (d) overall survival by CIRS>6 in the whole population, (e) in patients <65 years, (f) in patients  $\geq65$  years.

applied as treatment selection criteria to better define the advantage of the novel drugs in this setting of difficult-to-treat population. Considering that all these agents have a specific spectrum of adverse events, there is still an unmet need to identify patient-related characteristics that may predict treatment tolerance and survival.

Venetoclax has a relevant role in the treatment of CLL both as single agent and in combination with anti-CD20 monoclonal antibodies. Many data in the literature clearly demonstrate the activity, durable responses and good safety profile of the Bcl-2 antagonist.<sup>5,12,16</sup> Based on its favorable tolerability, the CLL14 trial was specifically addressed to patients with a compromised renal function or comorbidities (CIRS >6). Despite the high median CIRS score of the population analyzed, this study confirmed a low rate of high-grade toxic effects.<sup>5</sup>

It is well known from ibrutinib experience that there are inconsistencies from clinical trials compared with common practice on data regarding discontinuations rate and toxicity.<sup>28–31</sup> This is mainly due to differences in patients' characteristics, control of adverse events, and physicians' experience on drug management.

There are not many studies exploring venetoclax tolerability outside of clinical trials, with the majority focused mostly on the role of age and renal function in respect to TLS and dose adjustments.<sup>14,15,32-34</sup> Furthermore, outcomes have been analyzed only in relation to age without considering the possible interference of other patient-related factors.

Although venetoclax in first line has recently been approved, cumulated clinical experience with the Bcl-2 antagonist mostly regards heavily pretreated diseases.<sup>32–36</sup> This is consistent with a population of patients of advanced age, often presenting with multiple comorbidities, assuming concomitant medications and deep immunosuppression. To assess whether any clinical baseline condition may predict venetoclax tolerance and

#### 0.0235 0.5016 0.4340 0.9554 0.2751 0.8307 0.8732 0.8025 0.7042 0.5267 0.8271 0.0427 CCI, Charlson Comorbidity Index; CI, confidence interval; CIRS, Cumulative Illness Rating Scale; CrCI, creatinine clearance; EC0G-PS, Eastern Cooperative Oncology Group– Performance Status; Neutrop, baseline neutropenia; PDR, permanent dose reduction; Polyph, polypharmacy; TLS, tumor lysis syndrome; Tox-DTD, toxicity-related discontinuation; Tx, therapy; UNM, unmutated. ٩ ī 1.12 [0.65-1.47] 1.01 [0.76-1.33] 0.82 (0.58-1.17) 0.97 [0.71-1.34] 1.06 [0.80-1.40] 0.90 [0.66-1.24] 0.72 [0.55-0.96] 0.90 (0.66–1.22) 0.97 (0.70-1.33) 0.96 [0.67-1.36] 0.96 [0.64-1.43] 0.73 (0.47-0.99) HR (95% CI) **Fox-DTD** 0.8263 0.3119 0.3011 0.4459 0.1140 0.2607 0.1907 0.4954 0.5221 0.0531 0.7584 0.5171 ٩ I ī ī. I ı. 1.03 (0.78-1.37) 0.89 [0.67-1.20] 0.83 (0.60-1.15) 0.80 (0.57-1.12) 0.69 [0.47-1.01] 0.91 (0.68-1.20) 0.83 (0.58-1.18) 0.94 [0.63-1.41] 0.85 (0.63-1.15) 0.91 [0.69-1.21] 0.88 (0.59-1.31) 0.76 [0.54-1.07] HR (95% CI) PDR < 0.0001 <0.0001 0.0018 0.5178 0.2635 0.0066 0.4763 0.6942 0.5837 0.3329 0.3952 0.0165 0.0784 0.7809 0.5486 0.5707 ٩ 1.34 [0.74-2.41] 20.2 [7.28-56.1] 1.17 [0.67-2.02] 1.37 [0.67-2.81] 2.71 [1.32-5.57] 1.98 [1.13-3.47] 1.98 [0.66–2.16] 0.78 [0.39-1.54] 1.12 [0.64–1.98] 1.21 (0.68-2.16) 3.53 [2.01-6.20] 2.90 (0.89-9.50) 1.09 (0.58-2.05) 1.46 [0.75-2.85] 2.80 [1.19-6.59] 1.23 [0.60-2.55] HR (95% CI) os All p values are reported in Italics; statistically significant p values are reported in bold. <0.0001 <0.0001 0.0016 0.0245 0.1645 0.8226 0.4193 0.2860 0.6246 0.8839 0.2043 0.2769 0.0021 0.7552 0.9771 0.9801 ٩ 0.79 [0.51-1.21] 0.99 (0.64-1.55) 2.79 [1.48-5.26] 3.07 (0.76-5.37) 0.99 [0.61-1.61] 1.47 [0.81-2.68] 1.06 [0.64-1.78] 36.1 [15.7-83.2] 0.83 [0.54-1.30] .28 (0.81–2.02) 2.15 [1.32-3.50] 1.15 [0.66–1.98] 1.08 [0.65-1.80] 1.47 (0.85-2.55) 0.95 (0.50-1.80) 1.64 [1.07-2.53] HR (95% CI) EFS <0.0001 0.0017 0.0002 0.0029 0.9903 0.4312 0.3408 0.7290 0.8365 0.0020 0.8534 0.9272 0.4576 0.3209 0.2642 0.8527 d .34 (0.75-2.41) 0.96 (0.60-1.53) 1.02 [0.64-1.63] .22 (0.75-1.98) 2.26 [1.36-3.75] .34 [0.73-2.44] 1.22 (0.72-2.06) .09 [0.68-1.76] .07 [0.56-2.04] 3.20 [1.53-6.68] 3.27 [1.76-6.07] 2.04 [1.28-3.25] 1.05 (0.63-1.75) 1.43 [0.77-2.66] 0.99 [0.57-1.73] 25.9 [11.2-59.8] HR (95% CI) PFS Prior ibrutinib >1 prior Tx 11q-/TP53 17p-/TP53 **JNM IGHV** ECOG-PS Tox-DTD CIRS >6 CIRS3+ Neutrop CYP3A4 Polyph PDR CrCl TLS Age CCI

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**Table 3.** Univariate analysis for venetoclax.

	PEG		FFC		SU		Tox-DTD		PUR	
	5		5		8					
	HR (95% CI)	р	HR (95% CI)	р	HR (95% CI)	р	HR (95% CI)	þ	HR (95% CI)	р
Age	0.99 (0.55–1.81)	0.984	0.79 (0.46–1.37)	0.402	0.91 (0.45–1.83)	0.783	1.08 [0.79–1.46]	0.636	1.07 (0.78–1.48)	0.664
EC0G-PS	1.67 (0.91–3.07)	0.096	2.00 [1.17–3.42]	0.011	3.41 [1.84-6.32]	<0.0001	0.85 (0.58–1.25)	0.421	0.97 (0.64–1.48)	0.897
CIRS3+	1.07 (0.60–1.91)	0.815	1.36 (0.78–2.37)	0.282	1.12 (0.58–2.18)	0.741	0.89 [0.62–1.29]	0.550	0.95 (0.64–1.40)	0.777
CIRS >6	0.90 (0.49–1.68)	0.742	0.67 (0.37–1.21)	0.179	0.89 [0.43–1.84]	0.751	1.03 [0.72–1.47]	0.887	0.98 (0.67–1.44)	0.925
Polypharmacy	1.01 (0.49–2.07)	0.978	1.03 (0.56–1.93)	0.915	1.18 [0.53–2.64]	0.685	1.09 [0.78–1.54]	0.615	1.08 [0.76–1.52]	0.678
Neutropenia	0.93 [0.47–1.83]	0.838	1.09 (0.59–2.03)	0.779	0.92 [0.43–1.97]	0.824	1.14 [0.77–1.69]	0.508	0.74 [0.49–1.11]	0.143
Crcl	1.16 [0.63–2.14]	0.639	1.11 [0.63–1.98]	0.717	1.14 [0.56–2.29]	0.721	1.02 (0.71–1.46)	0.933	0.88 (0.59–1.31)	0.529
Cl, confidence in reduction; Tox-D	terval; CIRS, Cumulativ TD, toxicity-related disc	/e Illness Rating continuation.	Scale; CrCl, creati	nine clea	rance; EC0G-PS, Ea	stern Cooper	ative Oncology Grou	up-Perform	ance Status; PDR, pe	rmanent dose

influence drug management, thus avoiding both the bias of a co-administered anticancer drug and fixed duration schedule, we chose to analyze patients treated with continuous monotherapy.

This large series is characterized by elderly patients with a relevant proportion of high comorbidity burden with 25% presenting a severe organ impairment. Furthermore, about 20% would have been excluded from most clinical trials due to compromised renal function (CrCl <50 ml/ min). Importantly, in contrast with that what previously reported with BCR inhibitors,<sup>10,28-30</sup> the low rate of toxicity-driven discontinuations seen with venetoclax in our series is consistent with that observed in common practice (7.7-21%)<sup>14,15,33</sup> and confirms the reproducibility of data from clinical trials (10-14.9%).<sup>12,37</sup>

Our analysis confirms<sup>14</sup> that Tox-DTD, mostly due to cytopenia, is an early event with venetoclax (median time 2.3 months), not related to age. Considering that the upper range limit of time to Tox-DTD in our series is about 1 year, this latter data appears informative also in the setting of fixed venetoclax schedule. Moreover, similar results were reported by Mato et al.34

Furthermore, in our series, a higher risk of definitive discontinuation was observed in patients previously treated with ibrutinib. This observation may be related to a reduced marrow reserve due to a higher number of previous treatments, including multiple lines of chemoimmunotherapy.

Importantly, this is the first study showing that none of fitness parameters nor the other baseline characteristics we considered had a significant influence on toxicity-related definitive treatment discontinuation. In contrast, the higher rate of PDR observed in our series compared with clinical trials (13-17%)<sup>12,37</sup> is possibly related to more stringent criteria on dose adjustments required by protocols and to the attitude of physicians in common practice to reduce treatment dose, rather than interrupting therapeutic continuity.

Importantly, age, ECOG-PS, and comorbidities did not result in a suboptimal venetoclax dosing, thus further confirming that the Bcl-2 antagonist may be safely administered even in frail patients. Permanent dose reduction did not increase the risk of progression or death at the Cox multivariate analysis. This highlights the importance of

Table 4. Cox proportional regression hazards model on PFS, EFS, OS, Tox-DTD, and PDR.

appropriate treatment managing to ensure optimal outcomes for patients receiving venetoclax.

As expected, Tox-DTD independently influenced survival outcomes and notably we found that prolonged dose interruption >7 days did not adversely impact PFS, as observed in both trials and common practice.<sup>15,37</sup> Neutropenia and characteristics that may be associated with higher risk of TLS are generally considered as tools of special interest with venetoclax that may potentially preclude the eligibility to this agent.

Presence of baseline neutropenia emerged as an unexpected important factor influencing survival in our previous large experience of CLL patients treated with ibrutinib.<sup>11</sup> Considering also that Bcl-2 is crucial for neutrophil precursor survival, we analyzed the role of neutropenia at baseline that is often seen in elderly heavily pre-treated patients. Notably, baseline neutropenia in this series did not translate into higher discontinuation or dose reduction rates, and in contrast with that previously observed with ibrutinib, it has no impact on patients' survival.

It is noteworthy that, differently from data reported by Mato *et al.*<sup>37</sup> in which more than 40% of patients temporary interrupted venetoclax at least once due to neutropenia, in our experience this adverse event led to transient interruption only in a minority, 12/221 patients (5.4%). This may be possibly explained by physicians' attitude toward clinical management of hematological toxicities rather than treatment interruption.

As regards TLS development, it is difficult to compare our series with others. The insufficiency of data on TLS risk prior to venetoclax initiation is due to the lack of uniformity in physicians' attitude to perform computed tomography (CT) scan. Nevertheless, while a higher rate of laboratory TLS was recorded,<sup>12,14,15,34,37</sup> only few patients (1.8%) developed clinical TLS compared with the literature, thus probably mirroring a maturate experience on the adherence to TLSprophylaxis safety measures.

Moreover, none of patients experiencing TLS definitively discontinued venetoclax, suggesting a greater physicians' confidence with the possible management of the drug even in case of this Adverse event (AE).

Even with a lower cut-off of CrCl of 50 ml/min,<sup>15</sup> in our population, we cannot confirm the role of impaired renal function as an additional risk factor in predicting TLS. Noteworthy, presence of coexisting conditions, and in particular nephropathy, did not show to increase TLS in our series.

Finally, survivals were comparable in patients presenting with concomitant nephropathy or impaired renal function, thus underlining that venetoclax treatment in these categories should not be *a priori* avoided.

It is well known that, as for Summary of Product Characteristics, concomitant use of a strong CYP3A inhibitor with venetoclax is contraindicated at therapy start and during ramp up phase, while at least 50% dose reduction is required on other treatment phases and with moderate inhibitors.<sup>23</sup> In clinical practice, it is not easy to evaluate clinicians' awareness on the correct pharmacovigilance, and there are not data reporting the role of these drugs in retrospective studies.

The role of the number of concomitant medications, in particular CYP3A inhibitors, on venetoclax treatment has never been analyzed. Importantly, these medications, and in general polypharmacy, did not influence rate of persistent dose reductions and Tox-DTD; furthermore, they did not affect any survival outcome.

Total CIRS score is generally considered an effective tool in categorizing patients' fitness in CLL.<sup>2,5,8,38,39</sup> Nevertheless, its value in predicting treatments outcome has not been consistently replicated across clinical trials.<sup>10,40</sup> On the contrary, a clear interaction has recently been observed in retrospective analysis in unselected patients receiving ibrutinib.<sup>9,11</sup>

To our knowledge, this is the first study exploring the role of ECOG-PS and comorbidities, not only on treatment management but also on patients' survival. Notably, both CIRS >6 and CIRS3+ were not detrimental to PFS and EFS.

Although not leading to treatment adjustments, both comorbidity burden and severity were predictors of inferior OS in our elderly population. We may speculate that in this setting, patient-related factors rather than disease control by venetoclax may project their adverse effects on survival. As observed with ibrutinib,<sup>11</sup> ECOG-PS, resulted to be the only parameter predicting inferior outcomes at univariate analysis. Compared with other fitness evaluating scales, ECOG-PS is immediate and easy-to-use and may represent an accurate tool to assess treatment feasibility and outcomes for patients with CLL receiving targeted agents.

The results of this study have clearly demonstrated that none of the patient-related factors analyzed should be considered informative for PFS with venetoclax. Further studies on common practice are needed to confirm this observation. As ECOG-PS in this series was the only variable independently influencing EFS and OS, this may imply that patients' level of functioning and ability to care for daily activities, may be highly relevant and informative on survival.

This study is limited by its retrospective design and may include biases associated with possible missing data. As venetoclax represented the real last salvage therapy for many of these patients, however, we tend to exclude the possibility of selection bias and consider that all patients were treated with the Bcl-2 antagonist whatever the baseline clinical features. Furthermore, it is possible to speculate that the physicians' attitude could have been more prone to manage adverse events maintaining treatment dose intensity.

Finally, as a growing number of treatment options can be offered earlier to CLL relapsing after immunochemotherapy, the optimal selection of individual treatment for each patient is challenging. Therefore, aside from biologic characteristics, it is crucial to identify patient-specific risk factors allowing a diversification and personalization of therapies. As a consequence, from our results, none of patients' fitness features nor any specific comorbidity may preclude the choice to start a treatment with venetoclax.

### Declarations

#### Ethics approval and consent to participate

All patients signed an informed consent for treatment administration and data collection. All patients' details have been deidentified and considering the retrospective observational nature of the study, approval exemption was given. *Consent for publication* Not applicable.

#### Author contributions

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Angelo Curto Pelle, Francesca Morelli, Lucio Malvisi and Livio Trentin have no conflict of interest to declare.

#### Availability of data and materials

All data produced in this study are available upon reasonable request to the authors.

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#### Supplemental material

Supplemental material for this article is available online.

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