

BMJ Open Quality improvement interventions to prevent the use of hospital services among nursing home residents: protocol for a systematic review and meta-analysis

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ABSTRACT

Introduction Quality improvement interventions are a promising strategy for reducing hospital services use among nursing home residents. However, evidence for their effectiveness is limited. It is unclear which characteristics of the quality improvement intervention and activities planned to facilitate implementation may promote fidelity to organisational and system changes. This systematic review and meta-analysis will assess the effectiveness of quality improvement interventions and implementation strategies aimed at reducing hospital services use among nursing home residents.

Methods and analysis The MEDLINE, CINAHL, Cochrane Library, Embase and Web of Science databases will be comprehensively searched in September 2023. The eligible studies should focus on the implementation of a quality improvement intervention defined as the systematic, continuous approach that designs, tests and implements changes using real-time measurement to reduce hospitalisations or emergency department visits among long-stay nursing home residents. Quality improvement details and implementation strategies will be deductively categorised into effective practice and organisation of care taxonomy domains for delivery arrangements and implementation strategies. Quality and bias assessments will be completed using the Quality Improvement Minimum Quality Criteria Set and the Joanna Briggs Institute Critical Appraisal Tools.

The results will be pooled in a meta-analysis, by combining the natural logarithms of the rate ratios across the studies or by calculating the rate ratio using the generic inverse-variance method. Heterogeneity will be assessed using the I^2 or H^2 statistics if the number of included studies will be less than 10. Raw data will be requested from the authors, as required.

Ethics and dissemination Ethical approval is not required. The results will be published in a peer-review journal and presented at (inter)national conferences.

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INTRODUCTION

By 2050, the global population aged 80 years or over is estimated to triple¹ and the demand

STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ The protocol complies with the Preferred Reporting Items for Systematic Reviews and Meta-analyses Protocol guideline.
- ⇒ A comprehensive search strategy has been developed to include all eligible studies meeting the inclusion criteria.
- ⇒ The study screening, selection, data extraction and assessment of the risk of bias will be completed by two independent reviewers.
- ⇒ The study will assess both the risk of bias and quality of quality improvement interventions.
- ⇒ The search strategy will not include grey literature.

for nursing home (NH) services is expected to increase. NH residents have complex health needs and challenging medical situations^{2,3} that lead to frequent hospital service use.⁴⁻⁶ These are costly and entail the risk of iatrogenic harms, including delirium, infections and loss of functional dependency.⁷ Although a significant proportion of access to hospital services are helpful and necessary, international research suggests that up to 55% of hospitalisations in NHs can be avoided with appropriate care.⁸ In fact, many conditions that result in admission or emergency department visit could be averted through proper prevention (eg, exacerbation of chronic diseases or functional decline) or effective on-site management at an early stage (eg, infection or dehydration).^{9,10} Improving the NH staff's skills in early recognition and management of acute change of conditions, and the use of standardised communication tools could prevent avoidable access to hospital services.¹¹ Similarly, promoting palliative care and advanced care planning enables healthcare professionals to be aligned with



residents' preferences and values, ensuring the provision of respectful and patient-centred care.¹²

Quality improvement (QI) interventions may be a promising strategy for improving care for NH residents and preventing hospital service use.^{13 14} QI intervention is defined as a systematic and continuous approach that designs, tests and implements changes using real-time measurements to improve the safety, effectiveness and experience of care.¹⁵ QI interventions are planned as a cyclical process, starting with problem analysis to design a tailored intervention before implementation.¹⁶ Changes are constantly measured during and after implementation to understand the impact and adopt the required adjustments.¹⁶ The iterative cycle, also known as the Plan-Do-Study-Act (PDSA) method is the model used by several QI interventions, such as Total Quality Management (TQM), Lean and Six Sigma.¹⁷ QIs are usually designed as multicomponent interventions to tackle an improvement problem, involving all the organisation providers, including front-line staff and using recognised methods to identify all potential causes of the problem and assess the impact of the intervention against the expected results through reliable process and outcome measures.¹⁶ QI interventions rely on several implementation strategies to improve adaptation and stakeholder engagement, which may vary widely across projects and include audits, feedback, staff education, tools and site champions.^{13 18} However, the effects of different implementation strategies on the success of QI interventions remain unclear.

To better describe the heterogeneity of the healthcare interventions, including QI research, the Cochrane Effective Practice and Organisation of Care (EPOC) group developed a taxonomy for quality interventions based on pragmatic descriptions of components rather than theoretical constructs.¹⁹ The EPOC taxonomy, which can be used as a framework for exploring interventions, includes four domains of intervention delivery arrangements, financial arrangements, governance arrangements and implementation strategies each of which is divided into categories and subcategories.²⁰

Previous experiences in hospital acute care settings found QI interventions beneficial in enhancing process care outcomes, such as organisational culture or teamwork, and improving patient care, by reducing the nosocomial infection rate, preventing falls or improving surgical outcomes.^{21–24} Although previous studies have obtained encouraging results related to QI interventions, evidence of its effectiveness in NH remain limited.^{25 26} In particular, the INTERACT II intervention significantly reduced hospital admissions through a multicomponent QI intervention aimed at training NH staff to identify and proactively manage major geriatric syndromes, encouraging advanced care planning and promoting palliative care-oriented care.²⁷

Given the high rate of hospital service use among NH residents, it is important to understand whether QI interventions can prevent avoidable transfers. Compared with the hospital setting, the long-term care context

poses several challenges that could impede the smooth implementation of a QI initiative.^{25 28 29} The long-term care context has multiple unique barriers at the organisational level: inner/internal barriers (eg, organisational culture, leadership or learning climate), outer/external barriers (eg, organisational funding, law and regulation) and barriers at the staff level (eg, knowledge, skills and motivation).³⁰

The NH environment can be particularly challenging because of workforce shortages and high turnover rates.^{31 32} Introducing a practice change that requires staff engagement in an under-resourced organisation may result in poor adherence to the intervention or an unsuccessful programme because of lack of time.³³ Additionally, a high staff turnover may lead to a continuous need to support training and education in evidence-based practices and QI methods.²⁹

Another important factor that may influence an organisation's readiness to change is the involvement of leadership in QI interventions.³⁴ The extent to which management sustains and reinforces cultural change, establishes a positive relationship with front-line staff, and invests resources in the adoption of a new model of care, are crucial features for achieving a high standard of care.^{29 35} However, NH management is often characterised by a vertical hierarchical structure that can hinder an open flow of communication and prevent all stakeholders from collaborating fruitfully.³⁶

To date, no secondary studies have investigated the effectiveness of QI interventions to prevent hospital services use among NH residents by exploring the factors that contribute to their success, such as delivery arrangements and implementation strategies. Therefore, this systematic review aims to estimate the effectiveness of QI interventions and their implementation strategies in reducing hospital service use among NH residents. In addition, given that the quality of QI interventions is often debated in the literature,³⁷ the secondary aim is to assess the quality and rigour of QI interventions by evaluating whether the solutions tested consider the fundamental domains of a QI interventions, such as organisational readiness, implementation phase, sustainability or adherence.³⁸ Moreover, we will describe delivery arrangements and implementation strategies of QI interventions.

Research questions

How effective are the QI interventions and implementation strategies aimed at preventing hospital service use among NH residents?

What is the quality and rigour of the QI interventions provided in NHs?

METHODS AND ANALYSIS

The protocol complies with the Preferred Reporting Items for Systematic Reviews and Meta-analyses Protocol 2015 statement for reporting³⁹ (online supplemental material 1). It has been registered in PROSPERO.

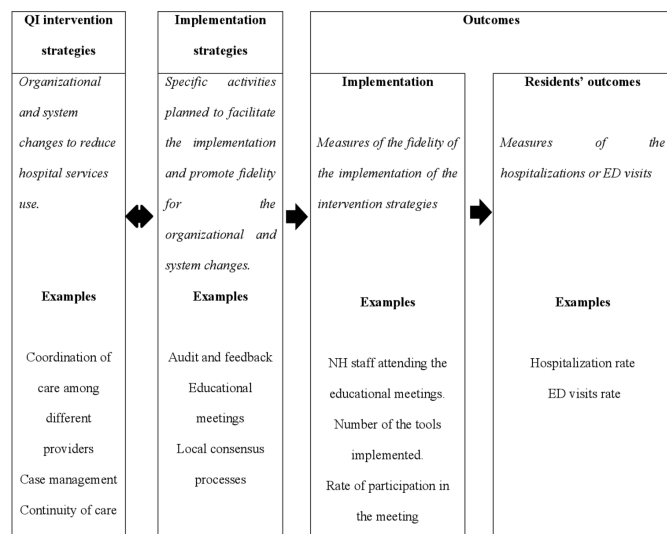


Figure 1 Adaptation of the implementation research conceptual model. According to the model proposed by Proctor *et al*,⁴⁰ QI intervention strategies and implementation processes are separated but linked domains. Both domains impact different but inter-related types of outcomes, implementation and residents' outcomes. ED, emergency department; NH, nursing home; QI, quality improvement.

Review conceptual model

The review will be developed following the implementation research conceptual model proposed by Proctor and collaborators.⁴⁰ According to the model (figure 1), QI intervention strategies and implementation processes are separated but linked domains. In this review, QI strategies are defined as organisational and system changes to reduce hospital services use. The implementation process involves activities that transfer QI intervention strategies into clinical practice. Both domains impact different but inter-related types of outcomes: implementation and resident outcomes. Implementation outcomes are used to assess the fidelity of implementation strategies. This review aims to assess the effectiveness of QI intervention strategies on hospital service use, assuming that this effect is mediated by implementation strategies and outcomes.

Search strategy

Three steps will be used: (1) a preliminary search of PubMed will be conducted to identify keywords; (2) peer-reviewed publications will be sought in the MEDLINE, CINAHL, Cochrane Library, Embase and Web of Science databases; grey literature will be excluded; and (3) the reference lists of all eligible studies will be manually searched for additional papers.

The search strategy has been developed in collaboration with an expert librarian, by combining terms according to the PICO framework (Population, Intervention, Comparison, Outcomes). All terms were searched as controlled vocabulary and text words with title and abstract field limiters, and combined with Boolean Operators (AND, OR). The research has been set from 2000, as no QI has been undertaken before this date,³⁰ until

31 December 2022, and will be re-run on 1 September 2023. No language limitations have been applied. The full search strategy is available in the online supplemental material 2.

Based on a recent international survey,⁴¹ an extensive list of terms referring to 'nursing homes' has been included.

For the intervention concept, search terms which focus on 'Quality improvement' or 'Organizational innovation' or 'Quality Assurance, Health Care' or 'Management Quality Circles', or on a formal model of QI intervention have been used (PDSA; Six Sigma including DMAIC (define, measure; analyze, improve, control) or DMADV (define, measure, analyze, design, verify); TQM, Continuous Quality Improvement, Focus Analyse Develop Execute).⁴² Moreover, terms concerning implementation strategies have been used, including 'Implementation Science', 'Program Implementation' or 'Diffusion of Innovation'. Indeed, when complex interventions are introduced in a real-world context with the goal of changing healthcare professionals' behaviours, the implementation phase needs to be developed and planned along with the intervention itself.⁴³

For the outcomes, search terms focusing on 'Hospital admissions' or 'Emergency Service, Hospital' have been used.

Eligibility criteria

The review's eligibility criteria will be identified based on the following elements of the PICO framework:

Types of participants/setting: Long-stay NH residents, defined as persons who have been institutionalised for at least 30 days. Residents requiring short-term NH or rehabilitation services will be excluded. Studies that recruited mixed populations (short-term and long-term residents) that did not present stratified results, as well as those undertaken in multiple settings (ie, NHs, acute care hospitals, home health agencies) and no opportunity to detect the impact of the QI in NH will be excluded. NHs are defined as facilities that provide nursing care for people with functional or cognitive disabilities and assist them with activities of daily living, with the aim of providing a safe and supportive environment.⁴⁴ Studies conducted in facilities providing accommodations, without on-site nurses will be excluded.

Intervention(s): This review will include studies focusing on the implementation of QI interventions aimed at reducing hospital services use among NH residents. The Academy of Medical Royal Colleges definition of QI will be used.¹⁵ Collaborative QI interventions will also be included because of the importance of the model in healthcare setting.⁴⁵

Empirical studies will be included if they (1) report measurable continuous local iterative testing of solutions, (2) use real data to guide the change, (3) obtain practical contextual knowledge and (4) encompass at least one implementation strategy developed by the EPOC taxonomy of interventions targeting healthcare workers

(eg, distribution of educational materials, educational meetings, clinical practice guidelines, overcoming challenges to improving quality, local opinion leaders).²⁰ These studies may or may not use a formal model (PDSA, Six Sigma, TQM, etc) or a framework for improvement.

Alternatives to QI strategies, such as research (studies that aim to produce generalisable knowledge, testing a hypothesis, through a rigorous method), service evaluation (aims to assess current patient care) or clinical transformation (radical or deep transformation activity without the iterative test of change will be excluded).⁴⁶

Types of comparison(s): Studies must have a control group that does not receive any QI interventions or a historical cohort to compare the changes before and after the intervention.

Types of outcomes: Primary outcome of the review will focus on hospitalisations, defined as the acute admissions occurring for any conditions, while the secondary outcomes will include hospitalisations at the end of life (last 60 days of life), potentially avoidable hospitalisations (as defined by the authors, using all the existing metrics⁸), emergency department (ED) visits (the following terms will be considered interchangeably 'ED transfers' or 'ED attendances' or 'ED presentations' or 'Unplanned transfers') and readmissions.

Both subjective (eg, self-reported by NH staff) or objective measure (eg, hospital database) of hospital service use will be collected.

Type of study designs: Randomised controlled trials, non-randomised controlled trials, uncontrolled before-and-after trials or interrupted time series designed with at least three data points before and three after the intervention.

Selecting studies

Two reviewers (IB and SG) independently performed the screening process to determine eligibility. Zotero will be used as the reference manager software. First, the title and abstract will be evaluated; then, the full text of potentially eligible studies will be examined for compliance with the inclusion criteria. Any disagreements will be resolved by a third author (ADM).

Risk-of-bias assessment

Two independent reviewers will assess the risk of bias of the studies included in the review using the Joanna Briggs Institute Critical Appraisal Tools, based on the study design.⁴⁷ These tools provide a set of questions that reviewers can answer with yes (ie, criterion met), no (ie, a criterion not met), unclear or not applicable. No study will be excluded by the methodological quality assessment.

Appraisal of the quality of QI interventions

The quality of the QI interventions will be appraised for each included study using the QI Minimum Quality Criteria Set (QI-MQCS) to inform the transferability of the best evidence into clinical practice.³⁸ The QI-MQCS addresses the following core QI domains: organisational

motivation, intervention rationale, intervention description, organisational characteristics, implementation, study design, comparator, data source, timing, adherence/fidelity, health outcomes, organisational readiness, penetration/reach, sustainability, spread and limitations.

Data extraction

Two members (IB and SG) of the research team will independently extract the following study characteristics:

- ▶ Study details: Study design, date of publication, participants (NH organisational characteristics, ownership, size, etc) and study setting.
- ▶ QI intervention details: Characteristics and implementation strategies, data on the formal model used (if any) and information to appraise the quality of QI interventions (description of organisational problems, reasons or motivations for the intervention, intervention description, basic characteristics of the organisation, etc) were extracted.
- ▶ Hospital service use: Data on hospitalisations, potentially avoidable hospitalisations, end-of-life hospitalisations, ED visits and readmissions.

Data synthesis

Data from the included studies will be combined into a meta-analysis based on the outcomes. The results will be pooled by combining the natural logarithms of the rate ratio across studies, or by calculating the rate ratio using the generic inverse-variance method. We will use a permutation random-effect model to estimate meta-analysis effect. Heterogeneity will be assessed using the I^2 statistics and we will consider a high level of heterogeneity an $I^2 > 75\%$. Considering that the I^2 statistics is biased in small meta-analysis, we will test heterogeneity with the H^2 if it will be included in less than 10 studies. We choose an acceptable level of H^2 under 1.88 with a confidence of 95%.⁴⁸

Publication bias will be visually evaluated using funnel plot if more than 10 studies will be included. We will request raw data from the authors when the reported outcomes in the included studies are not homogeneous. All analyses will be performed using the Stata/SE V.17.

A narrative synthesis will also be arranged. The characteristics of the included studies will be synthesised and compared in a table. The characteristics of the QI and implementation strategies will be deductively categorised into the EPOC taxonomy's domains on delivery arrangements and implementation strategies, using all subcategories.²⁰ The domains of governance and financial arrangements will be excluded because they are beyond the scope of this review.

Patient and public involvement

Patients and/or the public were not involved in this research's design, conduct, reporting or dissemination plans.

ETHICS AND DISSEMINATION

Ethical approval is not required for this study as it is a review based on published studies. The findings of this systematic review and meta-analysis will support clinical and organisational decision-making by determining which QI interventions effectively prevent the use of hospital services and identifying which implementation strategies are most successful in fostering adherence to organisational and system changes within NH settings. The results of this study will be presented at a scientific conference and submitted to a peer-reviewed journal for publication.

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Contributors IB, ADM, SCam, SG, EB and SCar jointly contributed to the study aims, research design and methodology. IB, SG, SCar and EB produced the first draft of the article outline with the guidance of SCam, and ADM. IB and EB designed the search strategy. All authors (IB, ADM, SCam, SG, SCar and EB) contributed substantially to the manuscript and critically revised the content. All authors read and approved the final version of the manuscript.

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