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(Article begins on next page)

# 1 **Functionalized dextrin-based nanosponges as effective carriers for the herbicide ailanthone**

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15

## 16 **Abstract**

17 Ailanthone, a quassinoid from *Ailanthus altissima* (Mill.) Swingle, is a natural herbicide,  
18 whose use is limited by its low persistence and rapid degradation in organic substrates. Dextrin-  
19 based nanosponges (NSs) are polymers with a cage-like structure that can complex several  
20 molecules, acting as carriers or protectors. Their encapsulation efficiency can be exploited in  
21 numerous applications. Hence this study explored at first the biological activity of eight different  
22 dextrin-based NSs, synthesized with 1,1'-carbonyldiimidazole (CDI) or pyromellitic dianhydride  
23 (PYRO) ( $\alpha$ NS-CDI,  $\beta$ NS-CDI,  $\gamma$ NS-CDI, LC NS-CDI,  $\alpha$ NS-PYRO,  $\beta$ NS-PYRO,  $\gamma$ NS-PYRO, and  
24 LC NS-PYRO), towards two model species (*Lepidium sativum* L. and *Raphanus sativus* L.) in filter  
25 paper under controlled conditions in laboratory. Then, the selected dextrin-based NSs were loaded

26 with ailanthone and applied in the concentration of 7.5 or 30 mg L<sup>-1</sup> of ailanthone in pre-emergence  
27 on the same species, initially on filter paper and subsequently on cultivation substrate for  
28 horticulture. In all three bioassays, the number of germinated seeds and the length of developed  
29 roots and hypocotyls were evaluated. In the first bioassay, the results showed that five dextrin-based  
30 NSs promoted the germination and root elongation, thus counteracting the herbicidal effect of  
31 ailanthone. Hence, three selected formulations ( $\alpha$ NS-CDI,  $\gamma$ NS-CDI, and LC NS-CDI) were loaded  
32 with ailanthone, with  $\gamma$ NS-CDI providing the highest loading capacity (1.36%) and encapsulation  
33 efficiency (55.15%). In the second bioassay, the phytotoxic activity of ailanthone was strengthened by  
34 dextrin-based NSs, always stronger by at least 58% than the pure compound across 30 days in  
35 paper, without differences between formulations. In the third bioassay, loading ailanthone in  $\gamma$ NS-  
36 CDI also prolonged its herbicidal activity, still reducing to only 20% the germination and growth of  
37 garden cress and radish 30 and 20 days after treatment, respectively. Overall, results demonstrated  
38 that dextrin-based nanosponges can be proposed as suitable carriers in the formulation of  
39 ailanthone-based herbicide. Their use both increased and extended the phytotoxic activity of  
40 ailanthone, leading to the possibility of reducing the amount applied for each treatment, or reducing  
41 the number of herbicide treatments.

42

43 **Keywords:** *Ailanthus altissima*, cyclodextrin, maltodextrin, phytotoxicity, pre-emergence,  
44 quassinoid

45

#### 46 **Abbreviations**

47 Ail: ailanthone

48 Ail-NS-CDI: ailanthone and nanosponge complex

49 CD: cyclodextrin

50 CDI: 1,1'-carbonyldiimidazole

51 DAT: days after treatment  
52 DMF: N,N-dimethylformamide  
53 DMSO: dimethyl sulfoxide  
54 IGe: index of germination  
55 IGr: index of growth  
56 LC: Kleptose Linecaps® Dextrose Equivalent 17  
57 NS: nanosponge  
58 NS-CDI: carbonate NS prepared from 1,1'-carbonyldiimidazole  
59 NS-PYRO: ester NS prepared from pyromellitic dianhydride  
60 PYRO: pyromellitic dianhydride

61

## 62 **1. Introduction**

63 Ailanthone (Ail) is a natural compound derived from *Ailanthus altissima* (Mill.) Swingle, a  
64 tree of Simaroubaceae family (Kowarik and Säumel, 2007). Ailanthone belongs to the quassinoids,  
65 natural compounds characterising Simaroubaceae plants, extensively studied for their antitumor,  
66 antimalarial, anti-inflammatory, antiparasitic, antifeedant, and herbicidal activities (Caser et al.,  
67 2020; Curcino Vieira and Braz-Felho, 2006; Daga et al., 2019; Dayan et al., 1999; Demasi et al.,  
68 2019a, 2019b). In particular, Ail phytotoxic activity was seen both in pre-emergence and post-  
69 emergence stage of some species, showing a non-selective spectrum of herbicidal effects on  
70 monocots and dicots under controlled conditions (Heisey and Heisey, 2003). Nevertheless, as well  
71 as other natural compounds, several constraints impede the commercial development of a natural  
72 herbicides based on Ail (Bhowmik and Inderjit, 2003; Duke et al., 2000; Heisey, 1999, 1996;  
73 Kowarik and Säumel, 2007; Sladonja et al., 2015; Soltys et al., 2013). The main problems are the  
74 high extraction and purification costs, as Ail is not currently produced on industrial scale, leading  
75 the cost of the pure compound between 2,000 and 3,000 Euros per gram, and the brief period of Ail

76 efficacy in field soil, demonstrated in previous trials performed in greenhouse (Heisey, 1996, 1990)  
77 or field (Heisey and Heisey, 2003). Recent studies (Demasi et al., 2019a, 2019b) started to explore  
78 the feasibility and efficacy of Ail application in the horticulture sector, where much lower doses of  
79 herbicides are used if compared with the open field. Besides, Ail application for weed control in  
80 urban green areas has been suggested, since in this context human exposure to synthetic products  
81 and the related environmental issues are currently a matter of concerns in Europe (EU Regulation  
82 No 1107/2009 and Directive 2009/128/CE). Results (Demasi et al., 2019a, 2019b) confirmed a  
83 strong herbicidal activity of Ail on two model species (*Lepidium sativum* L. – garden cress, and  
84 *Raphanus sativus* L. – radish) already at low doses (7.5 mg L<sup>-1</sup>) in paper in growth chamber, while  
85 moving to organic substrate for horticulture, higher doses (at least 30 mg L<sup>-1</sup>) were necessary. In  
86 both cases, the effect persisted for 20-30 days.

87 Synthetic or natural herbicides can be combined with several carriers in order to increase or  
88 extend their efficacy and the proper formulation might markedly affect the phytotoxic effect of a  
89 compound (Duke, 2017). Complexing molecules in polymer matrices is an effective strategy to  
90 protect them from degradation and release them with controlled and prolonged kinetics (Conte et  
91 al., 2014; Lo Meo et al., 2014; Taban et al., 2020; Venuti et al., 2017). Dextrin-based nanosponges  
92 (NSs) are hyper-cross-linked polymers that could be used to this end, as they own a cage-like three-  
93 dimensional structure able to entrap several molecules. Cyclodextrins (CDs) and maltodextrins are  
94 the main components of this typology of NSs. Cyclodextrins are starch-derived cyclic  
95 oligosaccharides composed of glucopyranose units linked by  $\alpha$ -1,4-glycosidic bonds. Alpha-,  $\beta$ -,  
96 and  $\gamma$ -CD, consisting of six, seven, and eight glucopyranose units arranged around a central cavity  
97 of approximately 0.57, 0.78, and 0.95 nm diameter, are the most widely used CDs (Bilensoy, 2011;  
98 Szejtli, 1988). Maltodextrins can act as complexing agents as well, thanks to the helical structure of  
99 their amylose chains. Among commercially available maltodextrins, Kleptose Linecaps® DE17 (LC  
100 – Roquette Frères, Lestreme, France) is a highly soluble product, prepared via partial hydrolysis of

101 pea starch. The encapsulation properties of LC derive from its high content of amylose, which is  
102 nearly 40% (Boursier, 2009; Juluri et al., 2016). Suitable bi- or poly-functional reactants, such as  
103 dianhydrides, active carbonyl compounds, diglycidyl ethers, diisocyanates, etc. can crosslink  
104 dextrans to form NSs. Dextrin-based NSs are usually able to encapsulate a wider spectrum of  
105 molecules, if compared with native dextrans, since in NSs guest molecules can be accommodated in  
106 the internal volumes of dextrans as well as in the interstitial spaces among dextrans (Caldera et al.,  
107 2017; Trotta and Fossati, 2017). Thereof, dextrin-based NSs have several applications, from drug  
108 delivery (Allahyari et al., 2019; Massaro et al., 2016) to pollutants removal (Baglieri et al., 2013).  
109 In horticulture, CD-NSs have been used successfully in previous studies to extend the postharvest  
110 quality and longevity of cut flowers through the loading of 1-Methylcyclopropene (Seglie et al.,  
111 2013, 2012, 2011a, 2011b). They could serve as herbicide carrier as well (Pawar et al., 2019),  
112 however this application is almost unexplored (Liu et al., 2020).

113 In this study, carbonate and ester NSs were synthesized according to established and patented  
114 procedures (Allahyari et al., 2019; Ramirez-Ambrosi et al., 2014; Conte et al., 2014; Trotta and  
115 Fossati, 2017; Trotta and Tumiatti, 2003; Trotta et al., 2004) by crosslinking LC,  $\alpha$ -,  $\beta$ -, and  $\gamma$ -CD  
116 with 1,1'-carbonyldiimidazole and pyromellitic dianhydride, respectively, to evaluate their  
117 biological activity on two model species (*L. sativum* and *R. sativus*) in pre-emergence in growth  
118 chamber, since their effects on plants were unknown. Then, the selected formulations were loaded  
119 with Ail and tested on the same species in filter paper and substrate for horticulture production. The  
120 study aimed at identifying for the first time a suitable formulation able to host Ail effectively and  
121 which possibly favours its efficacy, allowing to use smaller quantities of this compound.

122

## 123 2. Material and methods

### 124 2.1. Chemicals

125  $\alpha$ -CD and  $\gamma$ -CD were kindly provided by Wacker Chemie AG (Munich, Germany), while  $\beta$ -  
126 CD and LC by Roquette Freres (Lestrem, France). **Cyclodextrins** and LC were desiccated in oven at  
127 80°C up to constant weight prior to use. Ailanthone was purchased from Herbest (Baoji Herbest  
128 Bio-Tech Co., Ltd. Baoji, China). All the other chemicals mentioned in this study were purchased  
129 from Sigma-Aldrich (Saint Louis, US) and used as received, with the exception of N,N-  
130 dimethylformamide (DMF), which was treated with calcium hydride for anhydrification and then  
131 filtered, before use.

132

## 133 **2.2. Synthesis of dextrin-based nanosponges**

### 134 **2.2.1. Synthesis of carbonate NSs**

135 Carbonate NSs (NS-CDI, **Figure 1**) were prepared by heating a solution of dextrin and 1,1'-  
136 carbonyldiimidazole (CDI) in anhydrous DMF (Trotta and Tumiatti, 2003). Precisely, 6.500 g of  
137 dextrin were dissolved in 39 mL of DMF. After the addition of the proper amount of CDI (Table 1),  
138 the solution was heated at 90°C for 4 h. The rigid gel, that was formed during the crosslinking  
139 reaction, was ground in a mortar and washed with deionized water through Buchner filtration. After  
140 rinsing with acetone, the NS was purified by Soxhlet extraction in acetone for approximately 24 h  
141 and finally left to dry at ambient temperature. The NS-CDI were prepared in a 1:4 dextrin/CDI  
142 molar ratio. **Kleptose Linecaps® DE17** NS-CDI was synthesized using the same dextrin/CDI mass  
143 ratio of  $\beta$ NS-CDI, since LC has not a well-defined molecular weight.

144

### 145 **2.2.2. Synthesis of pyromellitic NSs**

146 A typology of ester NS, having pyromellitic bridges connecting dextrans (NS-PYRO, **Figure**  
147 **1**), was synthesized by reacting dextrans with pyromellitic dianhydride (PYRO) in the presence of  
148 triethylamine (Et<sub>3</sub>N) (Trotta et al., 2004). In details, 4.886 g of dextrin were solubilized in 20 mL of  
149 dimethyl sulfoxide (DMSO). Afterwards, 5 mL of triethylamine and the required amount of

150 pyromellitic dianhydride (Table 2) were introduced under continuous stirring at room temperature.  
151 As a result of the crosslinking reaction, a rigid gel was formed in just a few minutes. Twenty-four  
152 hours later, the gel was crushed in a mortar and then washed in a Buchner funnel with a large  
153 amount of deionized water and finally rinsed with acetone. Further purification of the NS was  
154 carried out by Soxhlet extracting the NS in acetone for approximately 24 h. Analogously to NS-  
155 CDI, NS-PYRO were prepared in a 1:4 dextrin/PYRO molar ratio. Kleptose Linecaps® DE17 NS-  
156 PYRO was synthesized using the same dextrin/PYRO mass ratio of  $\beta$ NS-PYRO, since LC has not a  
157 well-defined molecular weight.

158

### 159 **2.3. FT-IR characterization of dextrin-based nanosponges**

160 All the synthesized NSs were characterized by means of Fourier Transform Infrared  
161 Spectroscopy in Attenuated Total Reflectance mode (FTIR-ATR) using a PerkinElmer Spectrum  
162 100 spectrometer. The FTIR-ATR spectra were collected between 4000 and 650  $\text{cm}^{-1}$  at a resolution  
163 of 4  $\text{cm}^{-1}$  and scan number of 8.

164

### 165 **2.4. Bioactivity of dextrin-based nanosponges**

166 The bioactivity of the synthesized dextrin-based nanosponges was tested in the laboratories of  
167 the Department of Agriculture, Forest, and Food Sciences of the University of Torino in Italy  
168 (45°03'58.5" Lat. N; 7°35'29.1" Long. E). Trials were performed on two model species (garden  
169 cress – *L. sativum* 'Inglese' – and radish – *R. sativus* 'Tondo Rosso BIO'), which are fast-growing  
170 and are differently affected by toxins. Ten seeds per species were randomly put on one layer of  
171 filter paper (Whatman No. 1, Whatman, Maidstone, UK) in 90 mm Petri plates and spiked with 5  
172 mL of treatment. Specifically,  $\alpha$ NS-CDI,  $\beta$ NS-CDI,  $\gamma$ NS-CDI, LC NS-CDI,  $\alpha$ NS-PYRO,  $\beta$ NS-  
173 PYRO,  $\gamma$ NS-PYRO, and LC NS-PYRO, were diluted with deionised water to obtain three different  
174 concentrations of each (10, 100 and 1000  $\text{mg L}^{-1}$ ). Deionised water was used as control treatment.



175 Three plates were prepared per treatment per species and the experiment was performed in  
176 triplicate, for a total of 90 seeds; plates were covered with their lid, but not sealed and kept in a  
177 growth chamber in the dark at 25°C for 96 hours (ISTA, 2011). Then, in treated (t) and control (c)  
178 plates, the number of germinated seeds (n) and mean root length (r) of developed seedlings were  
179 recorded to calculate the following Index of Germination (IGe%), according to Demasi et al.,  
180 (2019b):

$$181 \text{ IGe}\% = \frac{n(t) * r(t)}{n(c) * r(c)} * 100 \quad (1)$$

182

## 183 **2.5. Inclusion of ailanthone in NSs and quantification of loaded ailanthone**

184 **According to the results of the dextrin-based nanosponges bioactivity, the  $\beta$ -CD NSs and the**  
185 **NSs prepared with PYRO as reactant were excluded from further trial.** The encapsulation of Ail in  
186  $\alpha$ NS-CDI,  $\gamma$ NS-CDI, and LC NS-CDI was achieved by stirring 2.000 g of NS in 10 mL of a 5 mg  
187 mL<sup>-1</sup> solution of Ail in methanol for 24 h. Subsequently, the NSs were recovered by filtration, dried  
188 at room temperature and stored in hermetic vials at 2-8°C.

189 The amount of Ail loaded in the NSs was quantified by means of High-Performance Liquid  
190 Chromatography (HPLC) analysis. The extraction of Ail was accomplished by stirring 50 mg of NS  
191 in 2.5 mL of water:methanol (75:25 v:v) solution. Twenty-four hours later, the dispersion was  
192 centrifuged at 4,000 rpm for 10 min and the supernatant was recovered as first extract and then  
193 replaced with 2.5 mL of fresh water:methanol solution. The extraction was repeated five more  
194 times. All the extracts were filtered over 0.2  $\mu$ m polytetrafluoroethylene (PTFE) syringe filters  
195 before injection. HPLC analysis was carried out at room temperature, using a PerkinElmer  
196 Brownlee Analytical C18 chromatographic column (250 mm x 4.6 mm, particle size 5  $\mu$ m)  
197 connected to a PerkinElmer HPLC system, comprising a Flexar pump working at a flow rate of 1  
198 mL min<sup>-1</sup> and Flexar UV-VIS detector set at 254 nm. The mobile phase was prepared mixing water  
199 and methanol (75:25 v:v) and elution was isocratic. The total run time was set to 14 min, while the

200 retention time of Ail was observed at 7 min, approximately. Ailanthone was quantified against an  
201 external calibration curve with standards (1, 2, 5, 10, 20, 50, 70, 100  $\mu\text{g mL}^{-1}$ ) prepared by serial  
202 dilution with mobile phase of a 1000  $\mu\text{g mL}^{-1}$  stock solution. Loading capacity and encapsulation  
203 efficiency were calculated using the following equations:

$$204 \text{ Loading capacity (\%)} = \frac{\text{Ail extracted from the NS (mg)}}{\text{NS loaded with Ail (mg)}} * 100 \quad (2)$$

$$205 \text{ Encapsulation efficiency (\%)} = \frac{\text{Ail extracted from the NS (mg)}}{\text{Ail used for the loading (mg)}} * 100 \quad (3)$$

206 The loading capacity represents the percentage amount of Ail loaded in the NS, with respect  
207 to the weight of the NS, whereas the encapsulation efficiency expresses the fraction of Ail that the  
208 NS was able to absorb during the loading step.

209

## 210 **2.6. Bioactivity of dextrin-based nanosponges loaded with ailanthone**

211 The bioactivity of  $\alpha$ NS-CDI,  $\gamma$ NS-CDI, and LC NS-CDI loaded with Ail was evaluated on  
212 two model species (garden cress and radish) in a growth chamber at 25°C, with 12 h-photoperiod  
213 ( $55 \mu\text{mol m}^{-2} \text{s}^{-1}$  under cool, white fluorescent lamps).  $\alpha$ NS-CDI,  $\gamma$ NS-CDI, and LC NS-CDI loaded  
214 with Ail were diluted with deionised water to obtain the concentrations of 7.5 or 30  $\text{mg L}^{-1}$  of Ail in  
215 the solution. These doses were previously seen to be effective for pure Ail in filter paper and  
216 substrate for horticulture, respectively (Demasi et al., 2019b). Five seeds per species were randomly  
217 placed on one layer of filter paper in 100 mL plastic flasks (base diameter 4.5 cm, top diameter 5.5  
218 cm), suitable to allow seedling elongation. Seeds were sprinkled with 1.7 mL of the treatment or  
219 deionised water as control at the beginning of the trial (0 Days After Treatment – DAT). Flasks  
220 were covered with their lid, but not sealed. Six flasks (replicates) per treatment per species were  
221 prepared and the experiment was performed in triplicate, for a total of 90 seeds. The bioactivity of  
222 the formulations sprinkled at 0 DAT was evaluated at three time-points, i.e. 10, 20, and 30 DAT on  
223 renewed seeds, without treating anymore. In detail, at 10 DAT, the number of germinated seeds (n)

224 and the root (r) and hypocotyl (h) length of developed seedlings were recorded in treated (t) and  
225 control (c) flasks to calculate the Index of Growth (IGr%) according to Demasi et al. (2019b):

$$226 \quad \text{IGr}\% = \frac{n(t) * r(t) * h(t)}{n(c) * r(c) * h(c)} * 100 \quad (4)$$

227 The evaluated seedlings and/or non-germinated seeds were removed with tweezers and new seeds  
228 were placed on the filter paper, solely adding 1.7 mL of deionised water to prevent dryness. The  
229 measurements to calculate IGr% were performed at 20 DAT; the procedure was repeated, acquiring  
230 data also at 30 DAT.

231 Analogously, the same trial was performed in a cultivation substrate for horticulture  
232 (Floradur® B Seed, Floragard Vertriebs-GmbH). Flasks were filled with 20 g of substrate and  
233 wetted with 5 mL of deionised water the day before the experiment. At 0 DAT, five seeds per  
234 species were randomly placed on the substrate and sprinkled with treatments (7.5 and 30 mg L<sup>-1</sup> of  
235 Ail) or deionised water. Seedlings and/or non-germinated seeds were evaluated at three time-points  
236 (10, 20, and 30 DAT), on renewed seeds.

237

## 238 **2.7. Statistical analyses**

239 Arcsine transformation was made on IGe and IGr percentages prior to analysis; the reported  
240 values are means of untransformed data. Data were tested for the homogeneity of variance (Levene  
241 test) and one-way ANOVA was performed on IGe and IGr to compare the biological activity of  
242 dextrin-based nanosponges and formulations loaded with Ail, at different concentrations and time-  
243 points. The IGe% and IGr% of control plates and flasks were obtained using the average values as  
244 control data (c) and each repetition as treatment data (t) in Equations (1) and (4). Tukey post-hoc  
245 test ( $p < 0.05$ ) was used to identify significant differences (SPSS Inc., V25, Chicago, Illinois).

246

## 247 **3. Results**

### 248 **3.1. FT-IR characterization of dextrin-based nanosponges**

249 The FTIR-ATR spectra of both PYRO and CDI NSs show a broad band between 3600 and 3000  
250  $\text{cm}^{-1}$ , due to O-H stretching vibrations and the typical absorption peaks of C-H stretching vibrations  
251 in the 3000-2850  $\text{cm}^{-1}$  range, whereas the stretching vibrations of C-O bonds in alcohol and ether  
252 moieties appear at approximately 1240 and 1025  $\text{cm}^{-1}$ , respectively. The presence of the crosslinker  
253 in the polymer structure of both PYRO and CDI NSs is confirmed by a strong absorption peak located  
254 at approximately 1700  $\text{cm}^{-1}$  (1740  $\text{cm}^{-1}$  in the case of CDI NSs, 1720 in PYRO NSs), which can be  
255 attributed to the stretching vibrations of carbonyl groups (not visible in the spectra of dextrans).

256 The NSs prepared with the same crosslinker, but different dextrin, exhibit the same absorption  
257 peaks, as they contain the same functional groups. FTIR-ATR analysis did not reveal the presence of  
258 ailanthonone in the ailanthonone-loaded NSs. This is probably due to the low content of ailanthonone and  
259 the intense absorption peaks of the nanosponges covering the peaks of ailanthonone. However, the  
260 quantification of ailanthonone was successfully assessed by HPLC analysis, as described below.

261

### 262 **3.2. Bioactivity of dextrin-based nanosponges**

263 The eight CD-NSs synthesized were tested on garden cress and radish without loading the Ail  
264 to test their biological activity. Data showed that the treatments differently stimulated the  
265 germination and root growth of the two model species compared with water control (Table 3). In  
266 the first species, all the dextrin types slightly promoted the IGe, but the  $\beta$ -cyclodextrin scored the  
267 highest value (116.8%), while no differences were attributable to the reactant, which IGe values  
268 were similar to the control, whether PYRO or CDI. Conversely, in the second species, no  
269 differences between dextrin types were recorded, while the PYRO reactant highly stimulated seeds  
270 germination and root length, giving an IGe higher than control (120%).

271

### 272 **3.3. Quantification of loaded ailanthonone**

273 Repeated extractions in water-methanol mixture were performed in order to evaluate the Ail  
274 content of the ailanthone-loaded NSs. The mass percentage of Ail, extracted from the NSs, is  
275 cumulatively plotted against time in Figure 2. For all tested NSs, three extractions were enough to  
276 remove the entire amount of Ail. A total amount of Ail equal to 0.92%, 1.36%, and 1.16% was  
277 extracted from Ail- $\alpha$ NS-CDI, Ail- $\gamma$ NS-CDI, and Ail-LC NS-CDI, respectively. Being calculated  
278 according to Eq. (1), these values also represent the loading capacities of the above listed NSs. As it  
279 appears from Table 4, loading capacity and encapsulation efficiency increase with the size of the  
280 dextrin cavity (from approximately 0.57 to 0.95 nm diameter from  $\alpha$ NS-CDI to  $\gamma$ NS-CDI), ~~with~~  
281 reaching the maximum values in the case of Ail- $\gamma$ NS-CDI (1.36% of loading capacity and 55.15%  
282 of encapsulation efficacy). **The cavity of  $\alpha$ NS-CDI, not large enough to form an inclusion complex**  
283 **with Ail, could probably host one of its hydrophobic moieties, while the rest of the molecule was**  
284 **encapsulated in the interstitial space between CDs (secondary cavities). This speculation seems to**  
285 **be confirmed by the amount of Ail encapsulated in  $\alpha$ NS-CDI (0.92 %), not negligible despite lower**  
286 **than  $\gamma$ NS-CDI (1.36 %).**

### 287

### 288 **3.4. Bioactivity of dextrin-based nanosponges loaded with ailanthone**

289 In filter paper, Ail- $\alpha$ NS-CDI, Ail- $\gamma$ NS-CDI, and Ail-LC NS-CDI were extremely phytotoxic  
290 compared ~~with~~ water control both at 7.5 and 30 mg L<sup>-1</sup> in each day of evaluation (10, 20, and 30  
291 DAT) and across time, without showing statistical differences between formulations and  
292 concentrations. Indeed, the IGr<sup>0</sup>% values ranged from 0% to 0.45% in garden cress (Table 5) and  
293 they were always equal to 0% in radish (Table 6).

294 In the substrate for horticulture, extremely low IGr<sup>0</sup>% were recorded compared ~~with~~ water  
295 control at 10 DAT both in garden cress (0-3%, Table 7) and radish (0-0.48%, Table 8), showing no  
296 significant differences between Ail- $\alpha$ NS-CDI, Ail- $\gamma$ NS-CDI, and Ail-LC NS-CDI, and 7.5 or 30 mg  
297 L<sup>-1</sup>. Afterwards, at 20 DAT, the IGr<sup>0</sup>% was significantly higher than IGr<sup>0</sup>% at 10 DAT in treated

298 seeds of garden cress, ranging from 74% to 108% and to a lesser extent, also in radish, ranging from  
299 43% to 78%. However, at this time-point, the formulation of  $\gamma$ NS-CDI at 30 mg L<sup>-1</sup> of Ail still  
300 reduced the IGr to circa 20% in both model species, showing an improved phytotoxic activity than  
301 the other formulations and control. The herbicidal effect was almost completely lost at 30 DAT in  
302 both species (IGr=75-96% in garden cress and IGr=96-140% in radish), with no differences  
303 between the control, the formulation used, and the concentration applied.

304

#### 305 **4. Discussion**

306 The results of the present study confirm Ail strong phytotoxicity towards two model species,  
307 namely garden cress and radish, previously recorded by different studies (Caser et al., 2020; Demasi  
308 et al., 2019a, 2019b; Heisey, 1996, 1990; Heisey and Heisey, 2003). However, Ail is expensive, has  
309 a short persistence in the environment, as observed in other natural compounds (Sladonja et al.,  
310 2015), and is subjected to a first-order degradation kinetic in organic substrates (Demasi et al.,  
311 2019b). In this study, dextrin-based NSs were evaluated for the first time as potential carriers for  
312 Ail, studying a suitable formulation for its application that possibly strengthen (i.e. increase the  
313 efficacy) or lengthen (i.e. prolong the efficacy) its herbicidal activity.

314 At first, all the cyclodextrins and maltodextrins were tested to evaluate their biological  
315 activity. The tested formulations somewhat promoted the growth of model species in paper, without  
316 loading Ail. The  $\beta$ -CD NSs and the NSs prepared with PYRO as reactant gave significantly higher  
317 IGe compared **with** control in garden cress and radish. Thus, these formulations that most promoted  
318 IGe in the model species were not loaded with Ail and were excluded from the successive trials to  
319 avoid a growth enhancement that could have counteracted the herbicidal purpose of Ail application.  
320 In literature, studies performed on the effect of CD-NSs on plants are almost lacking, but no  
321 significant differences in the growth of sweet corn was reported after the application of CD-NS  
322 loaded with iron (Fe) in hydroponics, compared **with** FeSO<sub>4</sub> and Fe-DTPA (Vercelli et al., 2015).

323 In the PYRO and CDI NSs, hydrolysis usually occurs in a few weeks or months, respectively. The  
324 result of this process is the complete degradation of the polymer into soluble fractions, composed of  
325 oligomers and the starting monomers, which can be easily absorbed by the plants, thus possibly  
326 acting as fertilizers. The effect of CD-NSs *per se* on seeds and plants should be therefore further  
327 investigated.

328 The selected formulations ( $\alpha$ NS-CDI,  $\gamma$ NS-CDI, and LC NS-CDI) were then loaded with Ail  
329 and all were suitable to host this molecule, though with different loading capacity and encapsulation  
330 efficiency. More specifically, the  $\gamma$ -CD-based NS seems to have the highest affinity for Ail, as the  
331 fraction of Ail that is retained after the first extraction is higher, if compared with the other NSs  
332 (Figure 2). The loading capacity values listed in Table 4 are comparable to those presented in  
333 previous studies. Peila et al. (2017) used NSs based on  $\beta$ -CD and CDI to store and release the  
334 insect-repellent N,N-diethyl-meta-toluamide (DEET) with slow kinetics. The amount of DEET that  
335 the NSs were able to encapsulate is between 0.5 and 2 wt%, approximately. While, in a study by  
336 Ramírez-Ambrosi et al. (2014) slightly higher values of loading capacity (1.9-3.2 wt%) were  
337 achieved by encapsulating polyphenols (i.e. phloridzin, rutin, and chlorogenic acid) from apple in  
338 CDI-based CD NSs. As for the encapsulation efficiency, the results shown in Table 4 are in the  
339 range of values that were achieved in the two above-mentioned studies. Moreover, in addition to  
340 their complexing properties and negligible toxicity, the studied NSs offer the advantage of being  
341 hydrolysable in the presence of water (Caldera et al., 2017; Shende et al., 2015).

342 Considering the herbicidal trials, the application of the selected NS-CDI loaded with Ail  
343 showed remarkable results on cress and radish using filter paper as substrate, regardless the  
344 concentration. In Figure 3, these results have been compared with that obtained applying pure Ail in  
345 the same experimental conditions (Demasi et al., 2019b). At 10 DAT, Ail was most effective on  
346 garden cress when loaded in  $\alpha$ NS-CDI,  $\gamma$ NS-CDI, and LC NS-CDI, with an improved efficacy of  
347 66.7%, 58.3%, and 66.7% respectively (Figure 3A) compared with the pure compound. Similarly,

348 the herbicidal effect of loaded Ail was more intense than pure Ail also at 20 DAT and even more at  
349 30 DAT, where the efficacy was 100% higher and zero seeds germinated. Concerning radish  
350 (Figure 3B), at 10 DAT no differences were recorded due to the already strong effect of pure Ail;  
351 anyway, the efficacy was improved by all dextrin-based nanosponges in the following evaluations  
352 (20 and 30 DAT), improving the herbicidal effect by 100%.

353 When moving to the horticulture substrate, all the treatments were highly effective towards  
354 both species compared with control at 10 DAT, when the IGr of both species was lower than 0.1%.  
355 Later (20 DAT) a lower phytotoxicity was recorded, being Ail- $\gamma$ NS-CDI at 30 mg L<sup>-1</sup> the only  
356 treatment to still reduce the IGr to 20% in new sown seeds of garden cress (Figure 4A) and radish  
357 (Figure 4B) compared with the pure Ail (-70.6% in garden cress and -51.4% in radish). At 30 DAT,  
358 again  $\gamma$ NS-CDI performed better than pure Ail in garden cress, with an improved effect of 38.7%,  
359 while this effect was lost in radish. The generally much higher IGr values at 20 and 30 DAT than  
360 that recorded in filter paper at the same time-points could have been probably caused either by the  
361 buffer capacity of the organic substrate used in the experiment, or a rapid degradation of Ail in this  
362 substrate (Demasi et al., 2019b).

363 These results outlined the ability of the tested formulations to effectively carry Ail and both  
364 strengthen and lengthen its phytotoxic activity. In particular, dextrin-based NSs increased Ail  
365 efficacy on both species at each time point in filter paper, allowing to reduce the amount of Ail  
366 applied. In cultivation substrate for horticulture, Ail activity was prolonged to 30 DAT in garden  
367 cress and 20 DAT in radish when applied in pre-emergence, with  $\gamma$ NS-CDI being the most efficient  
368 formulation.

369

## 370 **Conclusions**

371 The outcomes of this study highlighted for the first time the aptitude of NSs to preserve the  
372 efficacy of Ail over time and to release it with prolonged kinetics, especially in paper, without



373 showing differences between formulations in both model species, namely garden cress and radish.  
374 The efficacy was promoted also in cultivation substrate for horticulture, though to a lesser extent,  
375 where Ail- $\gamma$ NS-CDI performed better than pure Ail and the other formulations until 30 DAT in  
376 garden cress and 20 DAT in radish. This may result from a higher affinity of Ail for the larger  
377 cavity of  $\gamma$ -CD. Its extraction profile, loading capacity, and the encapsulation efficacy indeed  
378 suggested stronger physical interactions between Ail molecules and the cavities of  $\gamma$ NS-CDI.  
379 Hence, dextrin-based nanosponges and  $\gamma$ NS-CDI, in particular, can be suggested as suitable carriers  
380 in the formulation of Ail-based herbicide, being able to improve its phytotoxicity and persistence in  
381 laboratory under controlled conditions. These results suggest that fewer applications of herbicide  
382 can be performed or lesser amount of Ail can be used to obtain the same phytotoxic effects when  
383 loaded in dextrin-based nanosponges.

384

#### 385 **Author Contributions**

386 **Sonia Demasi:** conceptualization, data curation, investigation, formal analysis, writing—original  
387 draft. **Matteo Caser:** conceptualization, data curation, investigation, formal analysis, writing—  
388 review and editing. **Fabrizio Caldera:** investigation, formal analysis. **Nilesh Kumar Dhakar:**  
389 investigation. **Francesco Vidotto:** conceptualization. **Francesco Trotta:** resources, supervision,  
390 validation. **Valentina Scariot:** conceptualization, resources, supervision, validation, writing—  
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533

534 **Tables**

535 **Table 1.** Quantities of chemicals used for the synthesis of carbonate nanosponges (NS). ~~DMF=N,N-~~  
536 ~~dimethylformamide; CDI=1,1'-carbonyldiimidazole.~~

	DMF <sup>x</sup>	Dextrin	CDI <sup>y</sup>	Molar ratio CDI/dextrin
	mL	g	g	
$\alpha$ NS-CDI	39	6.500	4.334	4
$\beta$ NS-CDI	39	6.500	3.715	4
$\gamma$ NS-CDI	39	6.500	3.250	4
LC NS-CDI	20	6.500	3.715	-

537 <sup>x</sup>DMF=N,N-dimethylformamide;

538 <sup>y</sup>CDI=1,1'-carbonyldiimidazole

539

540 **Table 2.** Quantities of chemicals used for the synthesis of pyromellitic NSs. **DMSO=dimethyl**  
 541 **sulfoxide; Et<sub>3</sub>N=triethylamine; PYRO=pyromellitic dianhydride.**

	DMSO <sup>x</sup>	Dextrin	Et <sub>3</sub> N <sup>y</sup>	PYRO <sup>z</sup>	Molar ratio PYRO/dextrin
	mL	g	mL	g	
αNS-PYRO	20	4.886	5	4.382	4
βNS-PYRO	20	4.886	5	3.756	4
γNS-PYRO	20	4.886	5	3.286	4
LC NS-PYRO	20	4.886	5	3.756	-

542 <sup>x</sup>DMSO=dimethyl sulfoxide

543 <sup>y</sup>Et<sub>3</sub>N=triethylamine;

544 <sup>z</sup>PYRO=pyromellitic dianhydride



545 **Table 3.** Effects of dextrin type and reactant used to prepare the dextrin-based nanosponges on the  
 546 index of germination (IGe%) of garden cress (*Lepidium sativum*) and radish (*Raphanus sativus*) in  
 547 filter paper. Data are means  $\pm$  standard error.

Species	Dextrin type	IGe %		Reactant	IGe %	
Garden cress	Control	100.1 $\pm$ 5.31	b <sup>x</sup>	Control	100.1 $\pm$ 5.31	
	$\alpha$	108.3 $\pm$ 3.47	ab	PYRO	112.7 $\pm$ 1.93	
	$\beta$	116.8 $\pm$ 4.39	a	CDI	108.7 $\pm$ 2.07	
	$\gamma$	108.4 $\pm$ 2.76	ab			
	LC	109.2 $\pm$ 3.00	ab			
	<i>p</i> <sup>y</sup>	*			ns	
Radish	Control	100.0 $\pm$ 14.30		Control	100 $\pm$ 14.30	b
	$\alpha$	113.5 $\pm$ 2.69		PYRO	120.0 $\pm$ 1.93	a
	$\beta$	119.9 $\pm$ 2.99		CDI	111.8 $\pm$ 2.07	b
	$\gamma$	116.3 $\pm$ 4.31				
	LC	114.0 $\pm$ 3.01				
	<i>p</i>	ns			*	

548 <sup>x</sup>Similar letters inside the same column denote no significant differences according to Tukey post-  
 549 hoc test.

550 <sup>y</sup>The statistical relevance is provided (\* =  $p \leq 0.05$ ; ns = not significant).

551

552 **Table 4.** Loading capacity and encapsulation efficiency values of the carbonate NSs loaded with  
553 ailanthone (Ail).

Sample	Loading capacity %	Encapsulation efficiency %
Ail- $\alpha$ NS-CDI	0.92	37.14
Ail- $\gamma$ NS-CDI	1.36	55.15
Ail-LC NS-CDI	1.16	46.94

554

555 **Table 5.** Index of growth (IGr%) of garden cress (*Lepidium sativum*) in response to the application  
 556 of water (control) or  $\alpha$ ,  $\gamma$  and LC NS-CDI loaded with aianthone (Ail) to provide the dose of 7.5  
 557 and 30 mg L<sup>-1</sup> of Ail in the solution. Data were obtained in filter paper, at 10, 20 and 30 days after  
 558 treatment (DAT). Data are means  $\pm$  standard error.

Formulation	Ail concentration mg L <sup>-1</sup>	10 DAT <sup>x</sup>	20 DAT	30 DAT	<i>p</i> <sup>y</sup>
Control	0	101.20 $\pm$ 10.21 a <sup>z</sup>	99.11 $\pm$ 1.74 a	101.65 $\pm$ 15.97 a	ns
Ail- $\alpha$ NS-CDI	7.5	0.36 $\pm$ 0.06 b	0.36 $\pm$ 0.23 b	0.00 $\pm$ 0.00 b	ns
	30	0.05 $\pm$ 0.05 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
Ail- $\gamma$ NS-CDI	7.5	0.45 $\pm$ 0.27 b	0.20 $\pm$ 0.01 b	0.00 $\pm$ 0.00 b	ns
	30	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
Ail-LC NS-CDI	7.5	0.41 $\pm$ 0.25 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
	30	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
		***	***	***	

*p*

559 <sup>x</sup>DAT=days after treatment

560 <sup>y</sup>The statistical relevance is provided (\*\*\*) =  $p \leq 0.001$ ; ns = not significant).

561 <sup>z</sup>Similar letters inside the same column denote no significant differences according to Tukey post-hoc  
 562 test.

563 **Table 6.** Index of growth ( $IGr\%$ ) of radish (*Raphanus sativus*) in response to the application of  
 564 water (control) or  $\alpha$ ,  $\gamma$  and LC NS-CDI loaded with aianthone ( $Ail$ ) to provide the dose of 7.5 and  
 565 30 mg L<sup>-1</sup> of Ail in the solution. Data were obtained in filter paper, at 10, 20 and 30 days after  
 566 treatment ( $DAT$ ). Data are means  $\pm$  standard error.

Formulation	Ail concentration mg L <sup>-1</sup>	10 DAT <sup>x</sup>	20 DAT	30 DAT	$p^y$
Control	0	103.81 $\pm$ 26.84 a <sup>z</sup>	99.65 $\pm$ 14.21 a	110.44 $\pm$ 23.87 a	ns
Ail- $\alpha$ NS-CDI	7.5	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
	30	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
Ail- $\gamma$ NS-CDI	7.5	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
	30	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
Ail-LC NS-CDI	7.5	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
	30	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	0.00 $\pm$ 0.00 b	ns
		***	***	***	

567 <sup>x</sup>DAT=days after treatment

568 <sup>y</sup>The statistical relevance is provided (\*\*\*)  $p \leq 0.001$ ; ns = not significant).

569 <sup>z</sup>Similar letters inside the same column denote no significant differences according to Tukey post-  
 570 hoc test.

571

572 **Table 7.** Index of growth (IGr%) of garden cress (*Lepidium sativum*) in response to the application  
 573 of water (control) or  $\alpha$ ,  $\gamma$  and LC NS-CDI loaded with ailanthone (Ail) to provide the dose of 7.5  
 574 and 30 mg L<sup>-1</sup> of Ail in the solution. Data were obtained in cultivation substrate for horticulture, at  
 575 10, 20 and 30 days after treatment (DAT). Data are means  $\pm$  standard error.

Formulation	Ail concentration mg L <sup>-1</sup>	10 DAT <sup>x</sup>	20 DAT	30 DAT	<i>p</i> <sup>y</sup>
Control	0	99.90 $\pm$ 4.32 a <sup>z</sup>	99.52 $\pm$ 2.40 a	99.69 $\pm$ 3.38	ns
Ail- $\alpha$ NS-CDI	7.5	3.00 $\pm$ 0.43 b B	91.33 $\pm$ 6.66 a A	93.28 $\pm$ 5.72 A	***
	30	0.09 $\pm$ 0.04 b B	74.11 $\pm$ 15.33 a A	85.87 $\pm$ 6.92 A	***
Ail- $\gamma$ NS-CDI	7.5	2.92 $\pm$ 0.71 b B	79.23 $\pm$ 9.94 a A	80.65 $\pm$ 5.31 A	***
	30	0.04 $\pm$ 0.03 b B	21.65 $\pm$ 9.17 b B	74.72 $\pm$ 10.31 A	***
Ail-LCNS-CDI	7.5	2.96 $\pm$ 0.58 b B	102.39 $\pm$ 8.86 a A	89.13 $\pm$ 7.88 A	***
	30	0.00 $\pm$ 0.00 b B	108.23 $\pm$ 14.33 a A	95.58 $\pm$ 6.62 A	***
<i>p</i>		***	***	ns	

576 <sup>x</sup>DAT=days after treatment

577 <sup>y</sup>The statistical relevance is provided (\*\*\*)  $p \leq 0.001$ ; ns = not significant)

578 <sup>z</sup>Similar upper-case letters along the same treatment and similar lower-case letters within the same

579 DAT denote no significant differences according to the Tukey post-hoc test.

580 **Table 8.** Index of growth ( $IGr\%$ ) of radish (*Raphanus sativus*) in response to the application of  
 581 water (control) or  $\alpha$ ,  $\gamma$  and LC NS-CDI loaded with aianthone ( $Ail$ ) to provide the dose of 7.5 and  
 582 30 mg L<sup>-1</sup> of Ail in the solution. Data were obtained in cultivation substrate for horticulture, at 10,  
 583 20 and 30 days after treatment ( $DAT$ ). Data are means  $\pm$  standard error.

Formulation	Ail concentration mg L <sup>-1</sup>	10 DAT <sup>x</sup>	20 DAT	30 DAT	$p^y$
Control	0	99.06 $\pm$ 6.68 a <sup>z</sup>	99.00 $\pm$ 2.41 a	99.80 $\pm$ 11.55	ns
Ail- $\alpha$ NS-CDI	7.5	0.48 $\pm$ 0.19 b B	64.50 $\pm$ 9.60 a A	113.79 $\pm$ 7.99 A	***
	30	0.00 $\pm$ 0.00 b B	61.87 $\pm$ 14.42 a A	121.02 $\pm$ 10.85 A	***
Ail- $\gamma$ NS-CDI	7.5	0.26 $\pm$ 0.06 b B	53.90 $\pm$ 3.41 a A	107.03 $\pm$ 8.35 A	***
	30	0.00 $\pm$ 0.00 b B	19.31 $\pm$ 8.88 b B	96.34 $\pm$ 13.58 A	***
Ail-LCNS-CDI	7.5	0.08 $\pm$ 0.03 b B	78.37 $\pm$ 11.79 a A	104.21 $\pm$ 20.80 A	***
	30	0.00 $\pm$ 0.00 b B	43.15 $\pm$ 12.13 a A	140.32 $\pm$ 20.41 A	***
		***	***	ns	

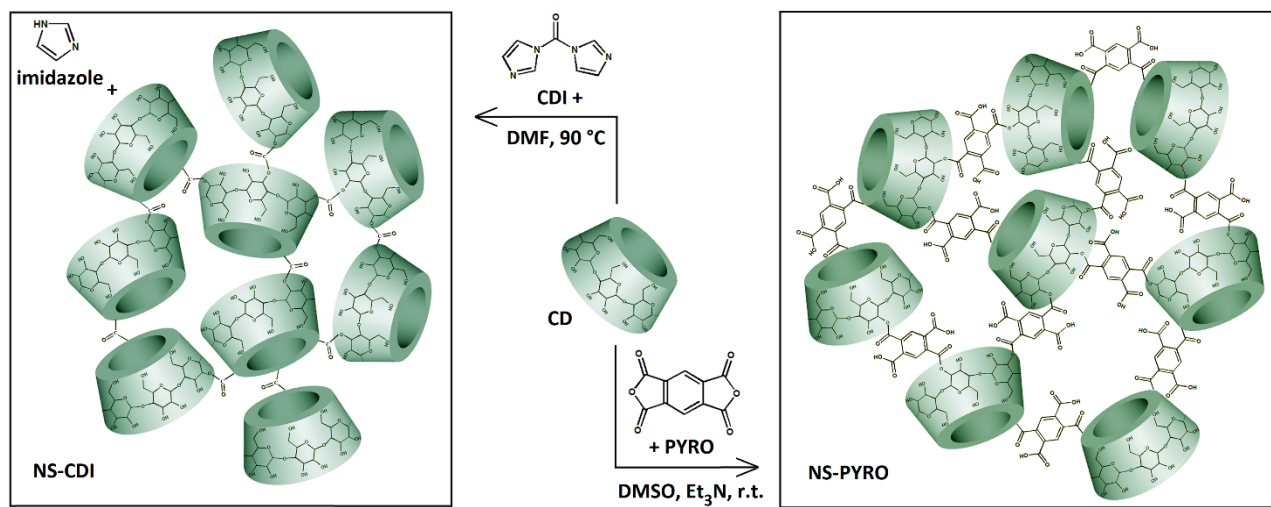
584 <sup>x</sup>DAT=days after treatment

585 <sup>y</sup>The statistical relevance is provided (\*\*\*)  $p \leq 0.001$ ; ns = not significant)

586 <sup>z</sup>Similar upper-case letters along the same treatment and similar lower-case letters within the same

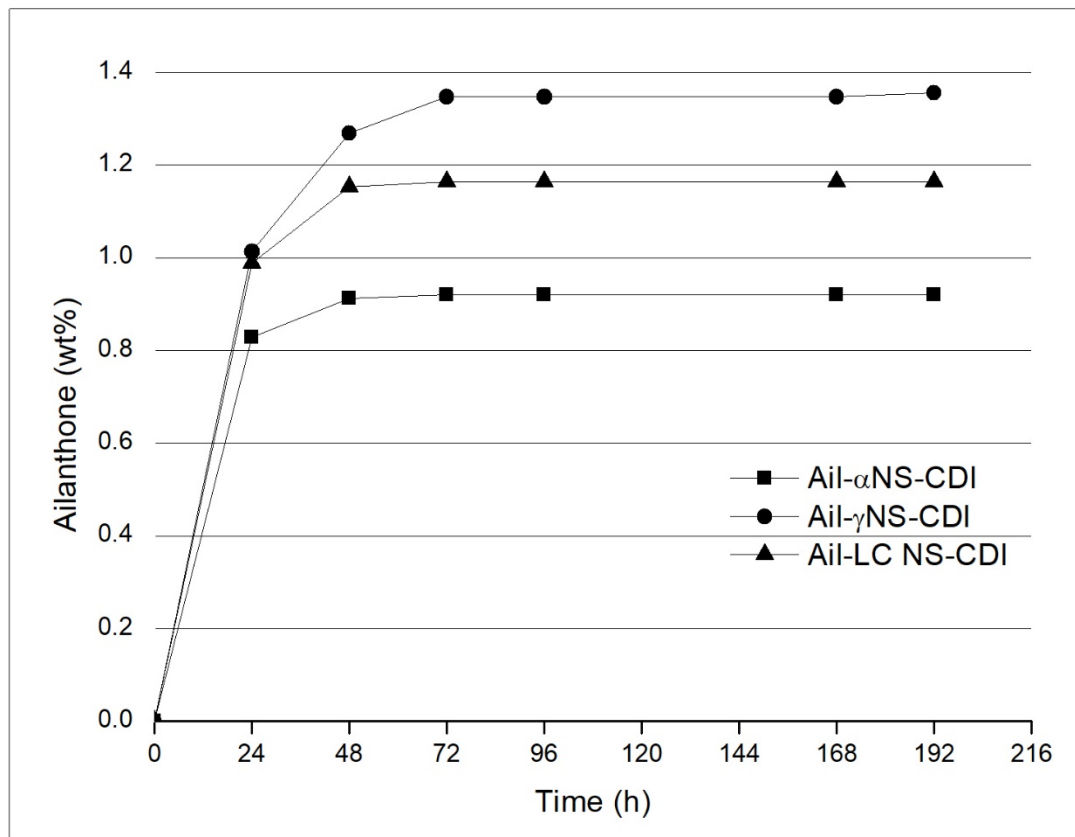
587 DAT denote no significant differences according to the Tukey post-hoc test.

588 **Figure 1**



589

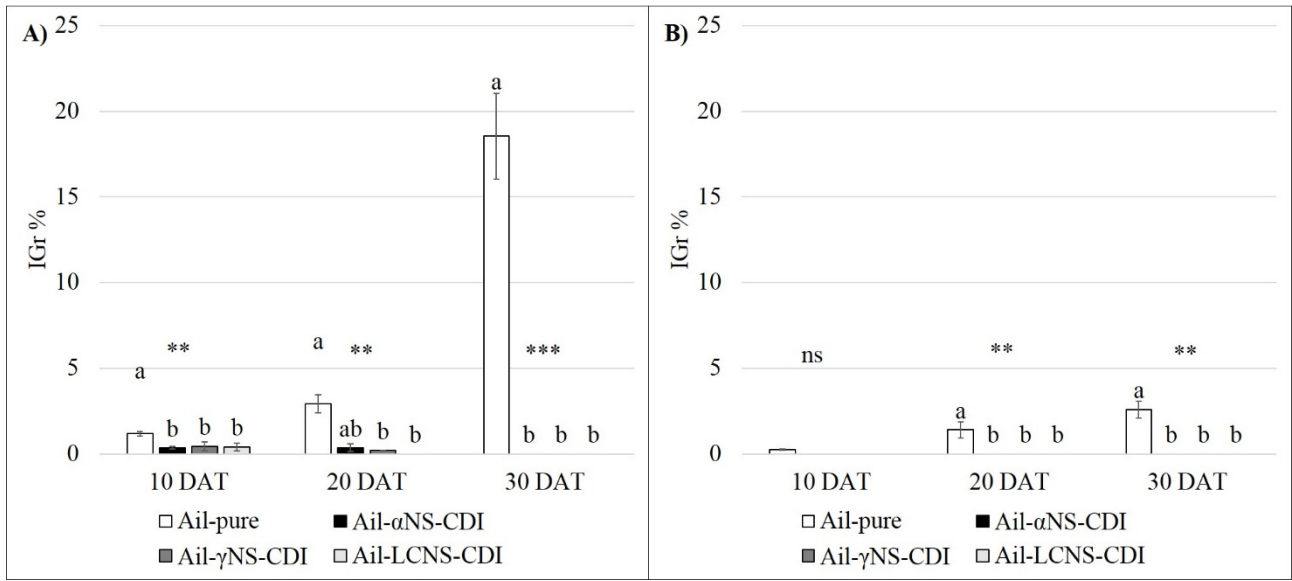
590 **Figure 2**



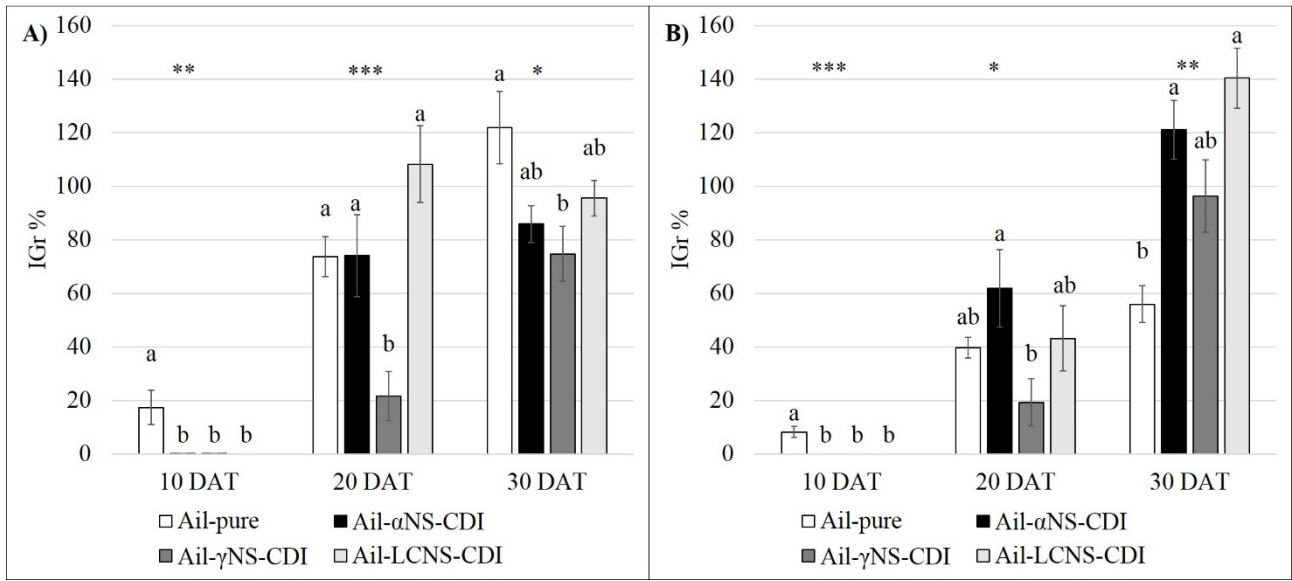
591



592 **Figure 3**



593



596 **Figure captions**

597 **Figure 1.** Schematic representation of the synthesis reaction and crosslinked structure of the  
598 carbonate (on the left) and pyromellitic (on the right) cyclodextrin nanosponges. CDI = 1,1'-  
599 carbonyldiimidazole; CD = cyclodextrin; DMF = N,N-dimethylformamide; DMSO = dimethyl  
600 sulfoxide; Et<sub>3</sub>N = triethylamine; NS = nanosponges; PYRO = pyromellitic dianhydride.

601 **Figure 2.** Cumulative extraction of ailanthone from the ailanthone-loaded NSs. Wt% = weight.

602 **Figure 3.** Index of growth (IGr%) of A) garden cress (*Lepidium sativum*) and B) radish (*Raphanus*  
603 *sativus*) in response to the application of 7.5 mg L<sup>-1</sup> of pure ailanthone (Ail) (Demasi et al., 2019b)  
604 and  $\alpha$ ,  $\gamma$ , and LC NS-CDI loaded with Ail to provide the dose of 7.5 mg L<sup>-1</sup> of Ail in the solution.  
605 Data were obtained in filter paper, at 10, 20, and 30 days after treatment (DAT). Data are means  $\pm$   
606 standard error. Similar lower-case letters within the same DAT denote no significant differences  
607 according to the Tukey post-hoc test. The statistical relevance is provided (\*\*\*) =  $p \leq 0.001$ ; \*\* =  $p$   
608  $\leq 0.01$ ; \* =  $p \leq 0.05$ ; ns = not significant).

609 **Figure 4.** Index of growth (IGr%) of A) garden cress (*Lepidium sativum*) and B) radish (*Raphanus*  
610 *sativus*) in response to the application of 30 mg L<sup>-1</sup> of pure ailanthone (Ail) (Demasi et al., 2019b)  
611 and  $\alpha$ ,  $\gamma$ , and LC NS-CDI loaded with Ail to provide the dose of 30 mg L<sup>-1</sup> of Ail in the solution.  
612 Data were obtained in cultivation substrate for horticulture, at 10, 20, and 30 days after treatment  
613 (DAT). Data are means  $\pm$  standard error. Similar lower-case letters within the same DAT denote no  
614 significant differences according to the Tukey post-hoc test. The statistical relevance is provided  
615 (\*\*\*) =  $p \leq 0.001$ ; \*\* =  $p \leq 0.01$ ; \* =  $p \leq 0.05$ ; ns = not significant).