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**Reattachment of osteochondritis dissecans lesions in the lateral femoral trochlear ridge with bioabsorbable screws in yearling standardbreds**

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6 **with bioabsorbable screws in yearling standardbreds**

7

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18

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20 screws, cartilage reattachment

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33 **Abstract**

34

35           This case series aimed to describe an alternative surgical technique to obtain internal  
36 fixation and the reattachment of osteochondritis dissecans (OCD) lesions in the lateral trochlear  
37 ridge (LTR) of the femur in horses and the clinical and radiological outcome of treated cases. Four  
38 yearlings standardbred (from 8 to 10 months) with a large OCD defect in the LTR of the femur  
39 underwent surgical fixation of the lesions under arthroscopy guidance. Internal fixation of the OCD  
40 flap was obtained with headless 3.0 mm bioabsorbable poly-L-lactic acid (PLLA) screws inserted  
41 perpendicularly to the cartilage surface into the lesion through a small arthrotomy. All horses were  
42 discharged from the hospital without complications. Clinical and radiological follow-up of the  
43 treated lesions at 6 and 12 months were collected and reviewed. Successful fixation of the OCD  
44 flap occurred in all treated horses. The horses of this case series had a favorable outcome in term  
45 of athletic performances and reduction of femoro-patellar synovitis.

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## 65 1. Introduction

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67 Osteochondritis dissecans (OCD) localized to the lateral trochlear ridge (LTR) of the femur is  
68 a common lesion among skeletally immature racehorses [1]. Therefore, a radiographic screening  
69 of the stifle is routinely performed in immature racehorses at the weanlings age raised for sales  
70 and racing. Based on radiographic appearance, the OCD lesions in the LTR are classified  
71 considering the length of the defect, according to the grading score proposed by Foland et al., in  
72 1992 [2]. The OCD lesions at this site ranged in severity from a simple trochlear ridge flattening to  
73 the presence of large OCD fragments. Grade I lesions are <2cm long, grade II lesions are 2-4 cm  
74 long, whereas grade III lesions are longer than 4 cm, when measured on the lateromedial x-ray  
75 view of the stifle. Grade I and II lesions are usually asymptomatic in young horses, while horses  
76 with grade III OCD lesions are commonly presented with notable femoro-patellar joint effusion  
77 and hindlimbs' stiffness [2]. Lesions more than a half the length of the LTR warrant invariably a  
78 poor prognosis concerning the athletic career [3].

79 A percentage of 6.2% of French Standardbred trotters has been reported to be affected by  
80 OCD localized to the LTR of the femur in a study including 161 foals at the age of 6 months [4].  
81 Abnormal radiological findings in the LTR of the femur identified at the age of 6 months had  
82 disappeared at the age of 18 months in 46.6% of cases, emphasizing the spontaneous healing  
83 capacity of LTR at the early age [4]. Large OCD lesions in the femoral LTR are frequently combined  
84 with irregularly deepen defects in the underlying bone >5 mm in depth. Those OCD lesions,  
85 apparently stable at the early age, may become unstable OCD flaps and trigger a chronic  
86 inflammatory synovitis without a satisfactory healing. Unstable LTR lesions in the adult life can  
87 detach and move freely into the joint compartment causing continuous synovial inflammation and  
88 even lameness. This is the main reason because surgical treatment in the affected horses is  
89 currently indicated at around 18 months for any femoral OCD lesions that contains osseous  
90 densities in presence of synovial effusion [5,6]. A common point of debate for the asymptomatic  
91 and radiographically evident OCD lesions in the LTR is the real need to perform surgery and the  
92 effectiveness of surgical interventions in term of future athletic soundness. It has been  
93 demonstrated that even grades I and II OCD lesions in the LTR of the femur have the potential to  
94 impact future athletic performances, lowering the percentage of horses qualified to race from 55%  
95 to 25% in Standardbred trotter if OCD lesions are left untreated [7].

96           The current surgical intervention proposed for OCD of the LTR is the arthroscopic removal  
97 of loose osteochondral fragments and the surgical curettage to the underlying bone until the  
98 bleeding was reached [1,8]. A micro-picking technique is encouraged after OCD debridement to  
99 stimulate subchondral bone healing [9]. In term of athletic performance, the arthroscopic  
100 debridement of the OCD localized to the LTR of the femur and longer than 4 cm was reported to  
101 have a favorable success rate in 54% of the affected horses only, in comparison to the 78% of  
102 horses with lesions less than 2 cm long [2]. Therefore, grade III OCD lesions of the LTR pose a  
103 particular challenge. Conservative management of such grade III OCD lesions commonly failed to  
104 be successful, due to the chronic synovitis and the early development of degenerative joint  
105 disease in the affected joints, independently from the athletic activity the horse is destined.  
106 Extensive arthroscopic debridement of large OCD flaps in the LTR of the femur could compromise  
107 the femoro-patellar joint, leading to patellar instability and early osteoarthritis [3,4]. For these  
108 reasons, major concern exists when a full debridement of large OCD flaps of the LTR is going to be  
109 planned.

110           Surgical reattachment of large OCD flaps has been proposed using polydioxanone  
111 resorbable pins to avoid extensive debridement of the subchondral bone in the LTR of the femur.  
112 The ideal candidate for this procedure is an horse having a smooth cartilage surface at the  
113 arthroscopic observation of the OCD and >50% of the flap border still continuous with the  
114 surrounding unaffected cartilage. The procedure was described in 12 horses having  $9.7 \pm 3.3$   
115 months [10]. Long-term follow-up of pins' reattachment of dissected articular cartilage flaps  
116 showed an improved clinical and radiographic outcome over more traditional debridement [11].  
117 Farther, a tissue engineered scaffold were implanted with success in a 15-months TBR filly with  
118 bilateral lesions with large OCD defect of the LTRs [12]. Controversial opinions still exist on the  
119 best age to perform surgery due to the report of spontaneous radiographic resolution of OCD  
120 lesions in young horses. On the other side it is well reported that OCD lesions over the age of 8  
121 months are substantially stable [4,13]. It must be considered that deterioration of the LTR surface  
122 can be rapid in affected animals and the ability to obtain cartilage reattachment and save the  
123 subchondral bone decline soon if the surgery is delayed too far [11].

124           Bioabsorbable poly-L-lactic acid (PLLA) screws are newly developed implants for treatment  
125 of osteochondral fragmentations traumatic in origin in the humane knee and thereafter have the  
126 potential to perform fixation of unstable OCD under arthroscopic observation [14]. The use of  
127 PLLA implant has the advantage of avoiding the need of a second surgery for implant removal [15].

128 Degradation time of PLLA is undetermined [16–18]. The aim of this study is to report for the first  
129 time the use of bioabsorbable screw fixation to stabilize large osteochondral flaps in a small group  
130 of yearlings Standardbred trotter having grade III OCD lesions in the LTR of the femur.

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## 132 **2. Case Report**

133

134 This report documents the surgical treatment of OCD lesion of the LTR of the femur with PLLA  
135 screws and the clinical outcome in four Standardbred yearlings referred to the Veterinary Teaching  
136 Hospital of Turin.

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### 138 **2.1 Case history**

139

140 Four young trotters ranging from 10 to 14 months of age were diagnosed with large OCD  
141 defects of the LTR of one or both stifles at the pre-sale radiographic screening. An arthroscopic  
142 fixation of the OCD flap with bioabsorbable screws was planned early after the initial diagnosis, due  
143 to the large osteochondral flaps observed in those animals, using a surgical technique previously  
144 prepared on cadavers.

145

### 146 **2.2 Clinical findings and diagnosis**

147

148 All the enrolled horses were screened radiographically for OCD in the fetlock and hocks joints  
149 at the age of 10-12 months. Stifle were screened for OCD only when a synovial effusion was  
150 identified by direct observation of the femoro-patellar joints from the side of the animals. One horse  
151 of this series had a synovial effusion identified by the breeder in the last 3 months when it was  
152 examined.

153 For this purpose, a latero-medial and caudo-cranial x-ray views of the affected stifle were  
154 performed. There were four yearlings with an average age of 11.5 months, two males and two  
155 females. Data reporting clinical characteristics of the animals treated in the article are summarized  
156 in **Table 1**. Two of these horses had a radiographic lesion on the LTR of one stifle only. The other  
157 foals had radiographic lesions in the LTRs of both stifles. The size of the lesions was determined and  
158 measured in the latero-medial x-ray view of the stifle (**Fig. 1**).

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160

**Table 1**

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**Figure 1\_Preoperative x-rays**

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**Table 1\_Signalment and clinical details of the OCD in the LTR of the femur observed in four operated horses**

Case ID	Age (months)	Gender	Degree of synovial effusion	Lesion location	Defect length*	Number of used PLLA screws
1	12	Male	Mild	LTR, left stifle	42 mm	2
2	10	Female	Mild	LTRs, left and right stifle	40 mm (L) 32 mm (R)	2 1
3	12	Female	Severe	LTRs, left and right stifle	36 mm (L) 42 mm (R)	1 2
4	11	Male	Mild	LTR, right stifle	46 mm	3

164

\*Measure obtained on the latero-medial x-ray view of the stifle

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166

**2.3 Surgical treatment**

167

168

The surgery was planned shortly after the radiographic OCD screening was performed. Preoperatively, lesions length, measured on the latero-medial x-ray projection, ranged from 32 to 46 mm, with an average of 38 mm.

171

Horses were operated in general anesthesia after a premedication with acepromazine, followed by a combination of medetomidine and butorphanol and the induction of general anesthesia with a combination of ketamine and diazepam. Ampicillin (15 mg/kg) and gentamicin sulphate (6.6 mg/kg), both intravenously were administered only one shot perioperative, half an hour before general anesthesia. Phenylbutazone were administered pre-emptive during the surgery day at 4.4 mg/kg intravenously.

177

During the surgical procedure, the anesthetized animals were positioned in dorsal recumbency with the stifle in full extension and prepared with sterile scrub in one or both joints, accordingly to the surgical planning. A routine cranial arthroscopic approach to the femoro-patellar joint, between the lateral and the middle patellar ligaments, was made. An oblique x-ray views were taken intraoperatively to confirm the position of the osteochondral flap in comparison to the intended position of the arthroscope and a skin staple was positioned at the level of the lesion. The angle of the stifle extension was further adjusted intra-operatively to allow the best surgical access to the lesion. The articular surface of the OCD lesions during arthroscopy examination of the joint

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185 appears grossly smooth, without any irregular surface or articular cartilage softening. A clear  
186 demarcation line in the hyaline cartilage was observed intraoperatively only in 3 examined stifles.  
187 In the others, a superficial demarcation line between the normal cartilage and the OCD flap surface  
188 was visible. All the OCDs appeared stable at palpation with the arthroscopy probe.

189 A 3-mm diameter bioabsorbable screws equipment (Bio-Compression screws; Arthrex, Inc.,  
190 Naples, FL, USA) was employed. At the beginning, a 1.3 mm K-wire was placed in the middle of the  
191 OCD flap under arthroscopic guidance and confirmed by an x-ray view. The K-wire was placed as  
192 much perpendicular to the cartilage surface as possible, to maximize the axial compression of the  
193 screw over the osteochondral flap against the healthy subchondral bone. All the instruments used  
194 to drill and thread the subchondral bone are cannulated and could be inserted through the pre-  
195 positioned K-wire. The PLLA screws only are not cannulated, and they were inserted under  
196 arthroscopy guidance without the help of any guide. Screws were seated about 1 mm under the  
197 cartilage surface of the joint (**Fig. 2**) to avoid any interference [19]. At least two bioabsorbable  
198 screws were employed for each OCD flap, using 3.0 mm of diameter screws, and ranging from 22  
199 mm to 26 mm of length, depending on the depth of the lesion, to provide a satisfactory anchorage  
200 of the OCD to the healthy underlying subchondral bone. This is required for an optimal stabilization  
201 of the OCD flap. The joint was flexed and extended at the end of the procedure by the surgeons to  
202 check the preservation of the full range of motion, after screws implantation. The closure of the  
203 arthroscopy portals was performed in one layer and 10 mg of morphine were injected  
204 intraarticularly at the end of the procedure. A sterile self-adhesive plastic anti-microbial dressing  
205 were placed on the dorsal aspect of the stifle prior to recovery from general anesthesia.

206

## 207 **2.4 Post-operative management**

208

209 Six femoro-patellar joints were operated in four animals. Post-operatively all the operated  
210 animals received a course of non-steroid anti-inflammatory drugs for two days (phenylbutazone  
211 2.2 mg/kg intravenously). All the horses were discharged from the hospital within 5 days after  
212 surgery. A moderate effusion of the femoropatellar joints was still observed at discharge from the  
213 hospital in two horses (cases 1 and 3). The surgical incisions were intact at that time. No fever, no  
214 discharge from the arthroscopic portals, or signs of lameness developed during the hospitalization  
215 period. The owners were instructed for restricting the exercise to box rest for 12 weeks, then a  
216 daily walking program in hand was introduced, by increasing 5 minutes per week. Free exercise in



217 the paddock was not allowed for 12-16 weeks after the procedure. The synovial effusion resolves  
218 completely in all the operated stifles at 12 weeks post-operatively.

219

## 220 **2.5 Long-term follow-up**

221

222 The x-ray view was performed at 6 and 12 months after surgery. The radiographic outcome was  
223 defined satisfactory for subchondral contour and subchondral filling in two out of four operated  
224 animals at 6 months and in all the operated animals at 12 months as summarized in Table 2. This  
225 indicated a complete integration of the OCD flap with the subchondral bone of the LTR of the femur  
226 in the operated stifle (**Fig. 3**). In one case, although healing was considered satisfactory, only a small  
227 fragment was still evident at the site of the previous lesion. All the operated animals enter a regular  
228 training program in a period from 12 to 36 months. Two animals were sold in an international sale  
229 after the x-ray views have been re-evaluated by a veterinary commission.

230

### 231 **Table 2**

232

233 **Table 2\_Clinical outcomes in the operated horses**

Case ID	Lameness		Synovitis		Radiographic healing	
	Preop	Postop	Preop	Postop	Subchondral contour	Subchondral filling
1	0/5	0/5	+	-	Normal	+ / ++
2	0/5	0/5	+	-	Flat	++ / ++
3	1/5	0/5	+	-	Normal	+ / ++
4	1/5	0/5	+	-	Normal	++ / ++

234

235

## 236 **3 Discussion**

237

238 This small case series describes an alternative technique for the fixation of large OCD flaps  
239 localized to the LTR of the femur, proposing an alternative approach to the classical debridement,  
240 fragment removal and bone curettage. We employed bioabsorbable screws to fix large OCD flaps  
241 to the subchondral bone using an arthroscopic approach.

242 This surgical technique has been applied to grade III lesions, those with the worst prognosis  
243 reported in the literature, because the main part of the horses diagnosed with this severe grade of  
244 OCD develop degenerative osteoarthritis [2].

245 Articular osteochondrosis is a well-known manifestation of the interruption of the blood  
246 supply to the epiphyseal bone at the level of the ossification front in growing animals. The articular  
247 cartilage overlying the bone with an interrupted blood supply will rupture creating an OCD flap  
248 [20]. The OCD of the LTR of the femur is an invalidating condition in yearling racehorses, where a  
249 strong relationship exists between the extension of articular cartilage lesion and the risk of early  
250 osteoarthritis. Contrary to the findings of a large retrospective study in racehorses, length of the  
251 lesion did not have a significant effect on the long-term outcome in a cohort of sport-horses. This  
252 is probably the consequence of a different training strategy between racehorses and sport-  
253 horses[3].

254 Currently, methods for the preservation of articular cartilage had been developed [11]. An  
255 innovative surgical technique using absorbable polydioxanone pins for flap fixation was firstly  
256 described by the group of Nixon [10]. Reattachment of osteochondral flap in a joint affected by  
257 large OCD is a suitable technique when mineralized and smooth to mildly irregular flaps have still  
258 continuous borders with the surrounding unaffected cartilage [10,11]. This flap condition is often  
259 observed in young horses at the beginning of the disease. Most OCD flaps in the LTR in older  
260 animals are irregular, clefted or ulcerated, and appear inadequate for any reattachment  
261 technique. Nixon reported 17 horses with an age <18 months treated with OCD flap reattachment  
262 using polydioxanone pins [10]. To obtain a correct fixation of osteochondral flaps, the  
263 reattachment technique required two to ten polydioxanone pins [10]. In our case series, one or  
264 two bioabsorbable screws has been employed to fix a single osteochondral flap. This is probably  
265 related to the elevate compression force, exerted by the PLLA screws penetrating the subchondral  
266 bone. Comparing with arthroscopic debridement alone, where grade II and III lesions have been  
267 respectively reported a 63% and 54% success rates [2], the reattachment technique increases the  
268 success rate of treatment of these lesions until 95% [11]. In children and adolescent knees, Din et  
269 al. reported the use of a 3.9 adsorbable pins (average) per patient, to perform internal fixation of  
270 unstable OCD [21]. PLLA screws can be easily applied without arthrotomy and do not need to be  
271 removed, in contrast to other metal implants. This technique is recently proposed in humane to  
272 treat knee OCD [22,23] and for fixation of scarf osteotomy in case of hallux valgus [24]. The  
273 authors described a recovery time of 8 weeks before the involved knee can progressively tolerate

274 an increase of the weight bearing, and the complete healing can occur after one year [23].  
275 Reported complications of this procedure in humane are fragment non-union, osteolysis, synovitis,  
276 and breakage of the screws [23]. Different clinical reports described an unknown reabsorption  
277 time for the PLLA screws. Three years after surgery screws were still visible in a single case of  
278 hallux valgus causing a negative skin reaction, due to a small protrusion of one screw [23].  
279 Even if a small number of cases had been treated with this technique, an optimal bone contouring  
280 and filling of the OCD defect was observed. This is a promising result that support the attempt to  
281 recur at an active fixation of the lesion rather than conservative treatment. Multiple study  
282 reported good results after performing OCD debridement and multiple drilling. However, the  
283 advantage of avoiding articular cartilage removal and provide a solid fixation of the flap is  
284 undoubted, due to the ability to preserve an optimal cartilage surface. A second look arthroscopy  
285 should be optimal to assess the articular cartilage at long term after flap reattachment, but it was  
286 never possible in racehorses that are normally sold for training purpose. In the study by Chun et al.  
287 in 2016 the effectiveness of the technique applied to the knees had been demonstrated in 11  
288 humane patients through MRI and second-look arthroscopy [14].

289

#### 290 **4 Conclusion**

291

292 In treating juvenile OCD lesions in the LTR of the equine femur, arthroscopic implantation of  
293 bioabsorbable screw fixation seems to be an effective treatment method for large flap  
294 reattachment and show satisfactory preliminary results in a limited number of cases.

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392 **Tables** (submitted in the text)

393

394 **Supplementary material**

395 None

396 **Author contributions**

397 AB and MP participated in the conceptualization; MP,GP and DV participated in data curation; AB,  
398 MP, and EP participated in the investigation; AB was the supervision; GP, DV, and MP wrote the  
399 original draft; AB, EP and BR performed a critical review & editing

400

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404

405 **Fig. 1.** (A) The arthroscopic image from case n.2 shows a stable OCD lesion of the lateral trochlear  
406 ridge of the femur. (B, C) The drill and the thread bits were inserted in perpendicularly to the  
407 cartilage surface into the lesion. (D) The arthroscopic image shows a bioabsorbable screw  
408 positioned in the middle of the OCD flap. The head of the screw is positioned 1-2 mm below the  
409 cartilage surface.

410

411 **Fig. 2.** (A) Radiographic images from a 10-months-old standardbred with chronic, extensive OCD of  
412 the right LTR of the stifle. The image is more caudolateral to craniomedial oblique than a  
413 lateromedial view to better delineate the LTR lucency with mineralization within the flap. (B)  
414 Follow-up radiograph of the stifle 6 months after surgical reattachment with PLLA screws showing  
415 restoration of the LTR contour, with only a residual subchondral bone lysis. Satisfactory  
416 radiographic healing will require other 3 months.

417

418 **Fig. 3.** (A) Radiographic image from a 11-months-old standardbred with extensive, mineralized  
419 OCD flap of the right LTR of the stifle localized in the distal third of the ridge. (B) The  
420 proximocranial-distocranial flexed view of the same stifle shows the extension of the lesion depth  
421 into the subchondral bone. (C) Follow-up radiograph of the stifle 12 months after surgical  
422 reattachment with PLLA screws showed satisfactory healing of the LTR

423

424 **Fig. 4.** (A) Radiographic images from a 12-months-old standardbred with extensive OCD of the left  
425 LTR of the stifle. (B) Follow-up radiograph of the stifle 36 months after surgical fixation with PLLA  
426 screws showed satisfactory bone filling of the LTR defect suggesting optimal cartilage flap

427 reattachment. A small radiolucency in the LTR is still visible at the deep margin of the repaired LTR  
428 flap.  
429