Phosphorylated TDP-43 aggregates in peripheral motor nerves of patients with amyotrophic lateral sclerosis

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Phosphorylated TDP-43 (pTDP-43) aggregates in the cytoplasm of motor neurons and neuroglia in the brain are one of the pathological hallmarks of amyotrophic lateral sclerosis. Although the axons exceed the total volume of motor neuron soma by several orders of magnitude, systematic studies investigating the presence and distribution of pTDP-43 aggregates within motor nerves are still lacking. The aim of this study is to define the TDP-43/pTDP-43 pathology in diagnostic motor nerve biopsies performed on a large cohort of patients presenting with a lower motor neuron syndrome and to assess whether this might be a discriminating tissue biomarker for amyotrophic lateral sclerosis and non-amyotrophic lateral sclerosis cases.

We retrospectively evaluated 102 lower motor neuron syndrome patients referred to our centre for a diagnostic motor nerve biopsy. Histopathological criteria of motor neuron disease and motor neuropathy were applied by two independent evaluators, who were blind to clinical data. TDP-43 and pTDP-43 were evaluated by immunohistochemistry, and results compared to final clinical diagnosis.

We detected significant differences between amyotrophic lateral sclerosis and non-amyotrophic lateral sclerosis cases in pTDP-43 expression in myelinated fibres: axonal accumulation was detected in 98.2% of patients with amyotrophic lateral sclerosis versus 30.4% of non-amyotrophic lateral sclerosis samples (P < 0.0001), while concomitant positive staining in Schwan cell cytoplasm was found in 70.2% of patients with amyotrophic lateral sclerosis versus 17.4% of patients who did not have amyotrophic lateral sclerosis (P < 0.001). Importantly, we were also able to detect pTDP-43 aggregates in amyotrophic lateral sclerosis cases displaying normal features at standard histopathological analysis.

Our findings demonstrated that a specific pTDP-43 signature is present in the peripheral nervous system of patients with amyotrophic lateral sclerosis, and could be exploited as a specific, accessible tissue biomarker. The detection of pTDP-43 aggregates within motor nerves of living patients with amyotrophic lateral sclerosis, occurring before axonal degeneration, suggests that this is an early event that may contribute to amyotrophic lateral sclerosis pathogenesis.
Amyotrophic lateral sclerosis (ALS) is the most common and severe form of motor neuron disease (MND), a group of neurodegenerative disorders characterized by loss of motor neurons in the central brain and spinal cord. The absence of specific diagnostic biomarkers is a significant constraint in clinical diagnosis, which still relies on the demonstration of the presence and progression of signs of upper and lower motor neuron dysfunction, as defined by the revised El Escorial Criteria (R-EEC). Phenotypic heterogeneity represents a major challenge for the diagnosis of MNDs, in particular for patients presenting with isolated signs of lower motor neuron dysfunction, defined as lower motor neuron syndrome. Indeed, previous studies showed a percentage of misdiagnosis of about 10% in ALS population studies, rising to 19% when considering patients presenting with a lower motor neuron phenotype.

We previously showed that in selected cases, motor nerve biopsy may be useful for an early differential diagnosis of patients presenting with atypical lower motor neuron syndrome, and for the recognition of certain rare forms of motor neuropathy; however, motor nerve biopsy impact in clinical practice has not been fully assessed. Pathological hallmarks of ALS are the detection of selective motor neuron death, together with evidence of phosphorylated transactive response DNA-binding Protein 43 (pTDP-43)-positive aggregates in the cytoplasm of motor neurons and glia of the CNS. Additionally, the pivotal role of TDP-43 in the pathogenesis of ALS suggests promise for the development of novel TDP-43-based biomarkers for ALS; however, attempts in this direction have been non-conclusive so far. Systematic studies investigating the presence and distribution of TDP-43 aggregates within motor nerves are still lacking, despite signs of pTDP-43 pathology having been identified on small cutaneous nerves fibres and skeletal muscles of ALS patients.

Herein, we aim to define the TDP-43/pTDP-43 pathology in diagnostic motor nerve biopsies performed on a large cohort of patients with lower motor neuron syndrome and to assess whether this might be a discriminating tissue biomarker for differentiating between ALS and non-ALS cases.
Table 1 Clinical features of ALS and non-ALS patients at baseline

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
<th>ALS</th>
<th>Non-ALS mimics</th>
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<tbody>
<tr>
<td></td>
<td>n = 102</td>
<td>n = 71</td>
<td>n = 31</td>
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<tr>
<td>Demographic features</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Sex, male</td>
<td>73 (71.6%)</td>
<td>49 (69%)</td>
<td>24 (77.4%)</td>
</tr>
<tr>
<td>Age of onset, years</td>
<td>52 (42–63)</td>
<td>53 (43–65)</td>
<td>51 (37–63)</td>
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<tr>
<td>Age at biopsy, years</td>
<td>59 (44–67)</td>
<td>59 (46–66)</td>
<td>57 (39–69)</td>
</tr>
<tr>
<td>Disease duration at biopsy, months</td>
<td>28 (13–51)</td>
<td>25 (12–45)</td>
<td>35 (17–88)</td>
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<tr>
<td>Clinical findings</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Upper motor neuron score</td>
<td>0 (0–3)</td>
<td>1 (0–3)</td>
<td>0 (0–0)</td>
</tr>
<tr>
<td>Sensory symptoms/signs</td>
<td>36 (35.3%)</td>
<td>18 (25.4%)</td>
<td>18 (58.1%)</td>
</tr>
<tr>
<td>Diagnostic work-up</td>
<td></td>
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<tr>
<td>Abnormal CSF analysis</td>
<td>15/61 (24.6%)</td>
<td>10/39 (25.6%)</td>
<td>5/22 (22.7%)</td>
</tr>
<tr>
<td>Anti-GM1 IgM antibody</td>
<td>4/79 (5.3%)</td>
<td>2/51 (3.9%)</td>
<td>2/24 (8.3%)</td>
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<tr>
<td>Revised El Escorial Criteria</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Possible ALS</td>
<td>3 (2.9%)</td>
<td>3 (4.2%)</td>
<td>0</td>
</tr>
<tr>
<td>Probable laboratory-supported ALS</td>
<td>5 (4.9%)</td>
<td>5 (7%)</td>
<td>0</td>
</tr>
</tbody>
</table>

Data are presented as n (% of the total column unless specified) or median (IQR). Abnormal CFS albumino-cytological dissociation (protein level > 60 mg/ml, cell count < 5) in 11/15 cases; raised protein level together with cell count in 4/15.

the first symptom and motor nerve biopsy. Follow-up consisted of retrieval of outpatient/inpatient post-biopsy records and phone contacts; the time from biopsy to the date of last contact or death/tracheostomy was then calculated. Only patients with clinical data at follow-up sufficient to determine final diagnosis were included in the study. The good clinical practice of a complex clinical diagnosis, which included assessment of signs of upper and lower motor neuron dysfunction, ascertainment of a disease progression consistent with ALS and the exclusion of mimicking syndromes, was the reference standard for ALS diagnosis. A diagnosis other than ALS was established according to standard clinical criteria for motor neuropathies and other neuromuscular conditions.18–22

Statistical analysis

Data are expressed as n (%) or median (interquartile range, IQR). Descriptive parameters were compared by the chi-squared or Kruskal–Wallis tests, when appropriate. The association of TDP-43 and pTDP-43 positive versus negative staining within each nerve area with ALS was evaluated with the Fisher’s exact test with a Bonferroni correction to account for multiple comparisons. The Kaplan–Meier method was used to construct curves of survival from disease onset (overall survival) and time of biopsy (post-biopsy survival) according to the level of axonal degeneration. A Cox multivariate analysis was subsequently applied; age at onset, disease duration at biopsy and revised El Escorial Criteria category, known predictors of survival were considered as covariates. Significant P-values, set at < 0.05, and their 95% confidence intervals (CI) were reported. SPSS (SPSS Inc., USA) v.22 was used for all analyses.

Data availability

The data that support the findings of this study are available from the corresponding author on reasonable request.
ALS patients (n = 31, 30.4%) (Table 1 and Supplementary Tables 1 and 2): the most common were inflammatory neuropathies (n = 11, 35.5%), including three cases fulfilling the criteria for multifocal motor neuropathy (MMN, n = 3, 9.7%), one for chronic inflammatory demyelinating polyneuropathy (CIDP, n = 1, 3.2%), one Lewis–Sumner syndrome and one associated with systemic lupus erythematosus, followed by idiopathic neuropathy (n = 11, 35.5%), of which seven sensory-motor neuropathy and four motor neuropathy (n = 4) and myopathy (n = 3, 9.7%), of which two fulfilling the criteria for inclusion body myositis. Rare disease entities included amyloid polyneuropathy (n = 2, 6.5%) and paraneoplastic anti-Hu sensory-motor neuronopathy (n = 1, 3.2%); other clinical conditions were spondylotic myelopathy (n = 2, 6.5%) and femoral nerve entrapment (n = 1, 3.2%).

Histopathology
After evaluation of nerve morphology, 61 of the 102 eligible cases (59.8%) received a histopathological diagnosis of pathological MND (Fig. 1 and Table 2). Of these, 56 (91.8%) cases belonged to the ALS group (Fig. 2A–C). In the remaining five cases (8.2%), we observed signs of chronic axonal damage in two idiopathic motor neuropathies and one spondylotic myelopathy, while myelin ovoids, indicating acute axonal degeneration, were prominent in one amyloid neuropathy, which subsequently tested positive for Congo red stain, and one paraneoplastic anti-Hu sensory-motor neuropathy, reflecting the subacute clinical course of the disease. Eighteen cases (17.6%) received the histopathological diagnosis of pathological motor neuropathy, which was confirmed by clinical follow-up in all cases. In most biopsies we detected signs of myelin pathology, such as small onion bulb formations (n = 8) or inflammation (n = 6), and high density of clusters of regenerating fibres (Fig. 2D–F). One patient had nerve oedema, demyelination and onion bulb formations associated with nerve inflammatory infiltrates, fulfilling the pathological diagnostic criteria for CIDP (Fig. 2G–J). Finally, 25 motor biopsies (24.5%) were considered not diagnostic for the reason that they either displayed normal morphology (n = 18, of which 11 with a final diagnosis of ALS), or did not fit any of the criteria for pathological MND or pathological motor neuropathy (n = 7, of which four were ALS). Within the latter subgroup, although one ALS biopsy fulfilled criteria for pathological MND it also showed an inflammatory infiltrate surrounding the wall of a small epineurial vessel, resembling microvasculitis (Fig. 2K–M); however, no fibrinoid necrosis of the vessel wall could be detected and we finally favoured a descriptive diagnosis, classifying this sample as uncertain. This case and the amyloid neuropathy case required discussion to reach consensus for a final histopathological diagnosis, resulting in an inter-reader agreement of 0.96 (Cohen’s k; 95% CI: 0.92–1). A Kaplan–Meier analysis showed that in ALS the density of axonal degeneration is significantly associated with reduced overall survival [log-rank (Mantel–Cox) \( \chi^2 = 18.74, P < 0.001 \) and post-biopsy survival [log-rank (Mantel–Cox) \( \chi^2 = 9.66, P = 0.022 \)], while multivariate analysis confirmed axonal degeneration as an independent negative factor (P = 0.001 and P = 0.009, respectively) (Supplementary Table 3).

TDP-43 and pTDP-43 pathology in motor nerves
Of the 102 cases included in the study, 22 paraffin blocks were either unavailable or showed low tissue quality (Fig. 1), therefore, 80 patients (57 ALS and 23 non-ALS) were included in the TDP-43 and pTDP-43 analysis. TDP-43 confirmed its ubiquitous physiological nuclear staining, present in all cases of both disease groups and particularly expressed in endoneurial, perineurial and vessel-wall nuclei (Fig. 3A–C). Focusing on myelinated fibres, we identified TDP-43 accumulation in a higher proportion of ALS compared with non-ALS patients, a difference that, however, did not reach statistical significance: in ALS patients we identified TDP-43 accumulation within myelinated fibres (either in axons or Schwann cell cytoplasm).
accumulation in Schwann cells cytoplasm in 38 cases (66.7%) and in the axon in 26 ALS samples (45.6%), while in non-ALS patients TDP-43 accumulation was detected in Schwann cells cytoplasm in eight cases (34.8%) and in the axon in five samples (21.7%) (Supplementary Tables 1, 2 and 4). The differences between ALS and non-ALS cases were significantly more pronounced when analysing pTDP-43 expression in myelinated fibres: axonal accumulation was detected in 56 ALS cases (98.2%) versus seven in non-ALS samples (30.4%) (P < 0.0001), while concomitant positive Schwann cell cytoplasmic staining was found in 40 ALS patients (70.2%) versus four non-ALS cases (17.4%) (P < 0.001) (Fig. 3 D–H and Supplementary Tables 1, 2 and 4). Therefore, the best predictors for a diagnosis of ALS were pTDP-43 accumulation in axons and Schwann cell cytoplasm, as detailed next. In a minority of the non-ALS cases, however, we observed abnormal pTDP-43 accumulation within myelinated fibres, in Schwann cell cytoplasm in four cases and in the axon in seven cases (eight cases in total; 34.8%), including idiopathic motor neuropathy (n = 4), myopathy (n = 3) and amyloid neuropathy (n = 1) (Supplementary Tables 1, 2 and 4).

Notably, when focusing on the 11 ALS cases whose motor biopsies showed normal features at standard histopathology analysis, we were able to demonstrate a positive immunohistochemistry pTDP-43 signal in the axons of all samples (100%) and in Schwann cells cytoplasm in six ALS cases (54.5%), suggesting that these findings may represent an early pathological event, preceding axonal degeneration and fibres loss. Indeed, Kaplan–Meier analysis showed no association between of pTDP-43 pathology and ALS survival. In the non-ALS group, we demonstrated a positive pTDP-43 signal in axons and in Schwann cells cytoplasm in respectively four (50.0%) and two (25.0%) cases of the eight biopsies showing normal or specific features at standard histopathology analysis. Specifically, one patient with amyloid neuropathy showed pTDP-43 aggregates in both axons and Schwann cells, three patients with myopathy (of which two fulfilled the criteria for inclusion body myositis) showed pTDP-43 aggregates in axons, while in one idiopathic motor neuropathy patient pTDP-43 was detected in Schwann cells.

### Discussion

Here we provide first evidence that pathological pTDP-43 accumulates within the motor nerves of living ALS patients from the diagnostic stages and may be considered to be a tissue biomarker for the diagnosis of ALS. Notably, all ALS motor nerves with a normal morphology at standard histopathological examination displayed signs of pTDP-43 aggregation, suggesting that this is an early event in the disease course that may have potential pathogenetic implications.

The present study of a large retrospective cohort of patients with lower motor neuron syndrome confirms that motor nerve biopsy may be a useful add-on tool for the aetiological diagnosis of difficult cases presenting with a lower motor neuron syndrome. More recently, there have been significant advances in neurophysiology, imaging, ALS genetics and the development of fluid biomarkers. Additionally, the presence of cognitive and behavioural changes, now known to occur in up to 50% cases of ALS, and the association of ALS with the frontotemporal lobar degeneration (FTLD) spectrum have now been clearly recognized. Therefore, there is increasing awareness of the limitations and weaknesses of the El Escorial Criteria, their 2000 revision as well as the Awaji amendment, with particular reference to their lack of sensitivity. The new Gold Coast criteria for ALS have, therefore, been recently proposed, to overcome these limitations. These criteria at this stage do not include the presence or absence of specific mutations or cognitive and behavioural changes; however, they would be able to capture patients who have pure lower motor neuron forms of ALS with the involvement of at least two body regions. Within this changing context, even if these criteria still require validation, motor nerve biopsy may be considered in a limited number of difficult cases presenting with a lower motor neuron syndrome. It is also important to acknowledge that while motor nerve biopsy can support a pathological diagnosis of MND (pathological MND), the diagnosis of ALS remains clinical, and can usually be achieved through a combination of careful history taking, clinical examination, electrophysiological testing, laboratory studies, imaging and close follow-up, without the necessity of performing a nerve or...
Identification of specific pathological features also allows the recognition of potentially treatable and rare disorders, such as motor CIDP or amyloid neuropathy. Interestingly, in one ALS patient the motor nerve biopsy showed signs of inflammation, an intriguing feature that was previously observed in sural nerve biopsies of ALS patients with sensory deficits. The pathogenic implication of these findings, however, are not clear, and were not replicated in our case-series. Our study additionally showed that myelin ovoids, indicating ongoing axonal degeneration, proved to be associated with poor survival in MND, independently from other previously reported prognostic factors. Importantly, we demonstrated a pathological pTDP-43 signature occurring before axonal degeneration in ALS motor nerves obtained for diagnostic purposes. This observation is unprecedented, but consistent with previous post-mortem studies in the CNS that established cytoplasmic aggregation and phosphorylation of TDP-43 in the brain and spinal cord as a pathological hallmark of ALS. We therefore further investigated the diagnostic sensibility and specificity of pTDP-43 aggregates in motor nerve biopsies and found that testing for pTDP-43 pathology in myelinated fibres structures...
revealed high sensitivity and specificity and was able to reliably distinguish between ALS and non-ALS mimic disorders, including inflammatory motor neuropathy. We, however, found some positive cases in some specific non-ALS neuromuscular disorders, such as inclusion body myositis and idiopathic motor neuropathy. Specifically, pTDP-43 pathology is detected in over 70% of inclusion body myositis muscle biopsies, and patients with mutated valosin containing protein (VCP), an ALS-linked gene, may develop either ALS or inclusion body myositis with frontotemporal dementia, highlighting a link between these disorders. We additionally observed pathological pTDP-43 signature in four patients with a final diagnosis of idiopathic motor neuropathy; in biopsy-proven ALS with features of axonal degeneration, pTDP-43 is expressed in ovoids indicating axonal degeneration and axons; two large myelinated nerve fibres showing strong pTDP-43 immunoreactivity in a different pattern: axon and Schwann cell (H, high magnification, arrow) and Schwann cell (H, high magnification, arrowhead). Scale bar = 50 μm (A–D); 30 μm (E–H); and 10 μm (inset in G and H).

Figure 3 Immunohistochemistry localization of TDP-43 and pTDP-43 in motor nerve biopsies. TDP-43 immunoreactivity is present in the endoneurium in nuclei of cells, including Schwann cells (A–C, arrows), in both motor neuropathy (A, arrows) and ALS nerves (B and C, arrows); in C, asterisks mark two large myelinated nerve fibres. (D) Staining for pTDP-43 is negative in motor neuropathy; in ALS patients with normal morphology at biopsy, pTDP-43 immunoreactivity is present in cytoplasm of Schwann cells (E and F, arrowheads) and axons (E and F, arrows). In biopsy-proven ALS with features of axonal degeneration, pTDP-43 is expressed in ovoids (G, arrows) indicating axonal degeneration and axons (H, arrows); two large myelinated nerve fibres showing strong pTDP-43 immunoreactivity in a different pattern: axon (H, high magnification, arrow) and Schwann cell (H, high magnification, arrowhead). Scale bar = 50 μm (A–D); 30 μm (E–H); and 10 μm (inset in G and H).

(HSPB8). Additionally, increased levels of HSPB8 protein, a molecular chaperone, protected against TDP-43-mediated toxicity and extended survival in the hSOD1G93A ALS mice. We also observed a peripheral pTDP-43 pathology in amyloid motor neuropathy; however, further studies are needed to confirm the potential links between pTDP-43 pathology and amyloidosis.

The demonstration of pTDP-43 aggregates within motor nerves of ALS patients at the diagnostic stages of disease as well as in cases displaying normal features at standard histopathological analysis, before the occurrence of axonal degeneration, leads to the speculation that they might also exert a pathogenetic effect. Motor neurons are extremely polarized cells and the length of axons by far exceeds the dimension of the motor neuron cell body. Hence, a better comprehension of the molecular events occurring in the peripheral nervous system could be crucial for a better understanding of ALS pathogenic mechanisms. Axonal transport of RNA granules is disrupted by ALS-linked TDP-43 mutations, and may deprive distal compartments, including neuromuscular junctions, of mRNAs essential for local function, making them susceptible to neurodegeneration. A strict control of TDP-43 concentration and localization is essential for axonal homeostasis. Increased levels of axonal TDP-43 were observed following
anatomy,\textsuperscript{41} suggesting a role in nerve regeneration while overexpression of wild-type or mutated p.Gly348Cys TDP-43 in mice led to prolonged cytoplasmic translocation, negatively affecting axonal regeneration and impairing axonal sprouting.\textsuperscript{42} Interestingly, we also showed that pTDP-43 accumulates in the cytoplasm of Schwann cells, suggesting a role is played by peripheral glia in the disease pathogenesis, in line with recent findings showing a non-cell autonomous pathogenic nature of the disease.\textsuperscript{43} Schwann cells are essential for axonal homeostasis and repair after injury, and Schwann cells impairment has been reported in ALS,\textsuperscript{44} although their contribution to disease still needs to be clarified. In the CNS, pathological pTDP-43 aggregates have been found in oligodendrocytes in both grey and white matter of the spinal cord, even preceding inclusions in motor neurons and potentially exerting a negative effect on cellular functioning and survival.\textsuperscript{43,44} Similarly, pTDP-43 aggregates in Schwann cells may hamper their critical role for axonal homeostasis.

This study has some limitations. The retrospective design and recruitment from tertiary referral centres may have indeed biased our study population. Furthermore, our analysis does not include healthy controls, due to its clinically based retrospective study design. A prospective study including a larger population of both ALS and potentially treatable ALS mimicking conditions would be indeed ideally needed to confirm our results. Moreover, motor nerve biopsy, being a technique requiring specific surgical skills and experience to guarantee low complication rates and overall high-quality standards, is a diagnostic procedure with limited clinical indications. The development of a widely accessible specific TDP-43-based tissue or wet biomarker is urgently needed for ALS. Notwithstanding these limitations, we were able to study the specific motor nerve ALS endophenotype, including axons, which represent >95% of the total cellular volume of motor neurons. It is important to point out that further studies are needed to unravel the potential pathogenetic implications of pTDP-43 aggregates within the peripheral nervous system in ALS pathogenesis.

In conclusion, we demonstrated a specific pTDP-43 signature in the peripheral nervous system of ALS patients, that may be exploited as a specific, accessible tissue biomarker. The combined evaluation of pTDP-43 and histopathology was effective as an add-on test in differentiating ALS from non-ALS mimics. With the hoped-for advent of more effective treatments, an early diagnosis will be of increasing and utmost importance, since the efficacy of any treatment would be maximized if started at the earliest stages of disease and prior to the occurrence of axonal degeneration. Finally, our findings open the way to the hypothesis that pTDP-43 aggregates within the peripheral nervous system may represent a novel therapeutic target for innovative strategies aimed at preventing ALS-linked axonal degeneration.

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Competing interests
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Supplementary material
Supplementary material is available at Brain online.

References


