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Deep Learning Methods for Faithful Data-To-Text Generation

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Abstract

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Data-To-Text Generation (DTT) is a subfield of Natural Language Generation aiming at transcribing structured data in natural language descriptions. The field has been recently boosted by the use of neural-based generators, which exhibit great syntactic skills without the need of hand-crafted pipelines, but do not currently guarantee a fully faithful natural language transduction. Two different approaches can help to reach this goal: the direct copy of some input content into the generated output, and the ability to avoid the generation of information which is not included in the input data – usually called *hallucinations*. In this thesis, both issues are analyzed, and new methods are introduced to deal with them.

We present an end-to-end sequence-to-sequence model with attention, enriched by a copy mechanism which reads and generates at a character level. Such architecture includes two major features: (i) the possibility to alternate between the standard generation mechanism and a copy one, which allows to directly copy input facts to produce outputs, and (ii) the use of an original training pipeline that further improves the quality of the generated texts.

In order to treat hallucinations, we introduce a Multi-Branch Decoder which is able to leverage word-level labels to learn the relevant parts of each training instance. These labels are obtained following a simple and efficient scoring procedure based on co-occurrence analysis and dependency parsing. The results obtained demonstrate a greater faithfulness of the generated text to input data.

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List of Abbreviations

ASCII	American Standard Code for Information Interchange
BLEU	BiLingual Evaluation Understudy
CIDEr	Consensus-based Image Description Evaluation
CNN	Convolutional Neural Network
CTG	Controlled-Text-Generation
DTT	Data-To-Text Generation
E2E	End-to-End
GPU	Graphical Processing Unit
GRU	Gated Recurrent Unit
HSMM	Hidden Semi Markov Model
LM	Language Model
LSTM	Long Short-Term Memory
MBD	Multi Branch Decoder
METEOR	Metric for Evaluation of Translation with Explicit ORdering
MR	Meaning Representation
NIST	National Institute of Standards and Technology
NL	Natural Language
NLG	Natural Language Generation
OOV	Out-Of-Vocabulary
PARENT	Precision And Recall of Entailed Ngrams from the Table
REG	Referring Expression Generation
ReLU	Rectified Linear Unit
RL	Reinforcement Learning
RNN	Recurrent Neural Network
ROUGE	Recall-Oriented Understudy for Gisting Evaluation
TL	Transfer Learning

Chapter 1

Introduction

On March 17, 2014, a magnitude 4.4 earthquake¹ struck the Los Angeles zone, in the south-western USA, at 13:25:36 UTC. Three minutes later, the *Los Angeles Times* published the following news article:

A shallow magnitude 4.7 earthquake was reported Monday morning five miles from Westwood, California, according to the U.S. Geological Survey. The temblor occurred at 6:25 a.m. Pacific time at a depth of 5.0 miles.

According to the USGS, the epicenter was six miles from Beverly Hills, California, seven miles from Universal City, California, seven miles from Santa Monica, California and 348 miles from Sacramento, California. In the past ten days, there have been no earthquakes magnitude 3.0 and greater centered nearby.

This information comes from the USGS Earthquake Notification Service and this post was created by an algorithm written by the author.²

As suggested by the last sentence, Ken Schwencke, formal author of the article, just had to hit a "Publish" button³, as the actual content was written by *Quakebot*, an automatic Natural Language Generation system that reads United States Geological Survey's earthquake reports and extracts from them the relevant information. Quakebot is a simple real-world example of Data-To-Text generation.

Data-To-Text generation (DTT) is an instance of Natural Language Generation (NLG). Reiter and Dale (1997) define NLG as "the subfield of artificial intelligence and computational linguistics that is concerned with the construction of computer systems than can produce understandable texts in English or other human languages from some underlying non-linguistic representation of information". Data-To-Text generation has been later characterized, in a more fine-grained way, as "the problem of generating descriptive text from database records" (Wiseman et al., 2017). This definition still includes a broad range of applications, including:

• summary of hospital patients conditions (Banaee et al., 2013; Gatt et al., 2009);

¹https://earthquake.usgs.gov/earthquakes/eventpage/ci15476961

²https://www.latimes.com

³https://slate.com

- current news reports (Leppänen et al., 2017);
- weather forecasts (Goldberg et al., 1994; Ramos-Soto et al., 2015; Reiter et al., 2005; Turner et al., 2007);
- financial reports (Plachouras et al., 2016);
- soccer matches chronicle (D. L. Chen & Mooney, 2008; Theune et al., 2001);
- museum-specific interactive information about archaeological finds, paintings, or sculptures (O'Donnell et al., 2001; Stock et al., 2007);
- persuading and motivating content (Carenini & Moore, 2006; Reiter et al., 2003).

Traditionally, the DTT problem has been faced via pipeline models, in which each submodule addresses a specific sub-task (detailed in Subsection 3.1). Symbolic systems were the *de facto* standard, even if they typically require hand-crafted rules heavily exploiting domain experts' work, and are very far from being generalizable. The rise of data-driven architectures, and particularly of Deep Learning-based ones in the last decade, reverberated on the Natural Language Processing field, including DTT. Modern architectures have typically an end-to-end architecture: their data-driven design allows the development of general models for DTT, but they require a significant amount of data to reach satisfactory performances. The need for larger and more complex datasets led the research community to the publication of new datasets (Gardent et al., 2017a; Lebret et al., 2016; Novikova et al., 2017b; Parikh et al., 2020; Wiseman et al., 2017), still used nowadays.

Interesting challenges arose from the joint use of Deep Learning architectures and massive datasets. What is the most effective way to encode database records? How do differences between DTT and NLG can be exploited to build better architectures? How datasets sizes affect the way they are built, and what are the consequences? How can we build models which are robust to noisy datasets?

The above challenges can be summarized by a single question: how can we ensure faithful generation using data-driven models? This thesis focuses on **faithful Data-To-Text Generation**. In particular, it (i) investigates the effectiveness of a copy-based model specifically designed for DTT, whose generality is enforced by a character-level tokenization, and (ii) it addresses the hallucination problem via a specifically built architecture, which minimizes the negative consequences of noisy, big-sized datasets.

In Chapter 2 the Data-To-Text task is formalized. Classical architectures are presented in Chapter 3, together with a brief overview of related work. Chapter 4 presents a copy mechanism for Data-To-Text Generation, applied in a character-wise fashion; in Chapter 5 the *hallucination problem* is presented and faced with a specially designed neural framework. Chapter 6 draws the conclusions of this thesis and suggests some research lines for future work.

Chapter 2

Data-To-Text Generation

2.1 Generating text from structured data

Data-to-Text Generation (DTT) is the subfield of Computational Linguistics and Natural Language Generation (NLG) that is concerned with transcribing structured data into natural language descriptions, or, said otherwise, transcribing machine understandable information into a human understandable description (Gatt & Krahmer, 2018). DTT objectives includes *coverage*, i.e. all the required information should be present in the text, and *adequacy*, i.e. the text should not contain information that is not covered by the input data.

DTT is a domain distinct from other NLG task (e.g. machine translation (Wiseman et al., 2017), text summarization (Kryscinski et al., 2019)) with its own challenges (Wiseman et al., 2017), starting with the nature of inputs (Narayan & Gardent, 2020; Reiter & Dale, 1997). Such inputs include and are not limited to databases of records, spreadsheets, knowledge bases, sensor readings, and they can effectively be expressed through the idea of *tables*.

In the DTT context, input tables are defined as variable-sized sets of key-value pairs, in which the key consist of a single vocabulary token, and the value is a sequence of words. Every table defines an *entity*: an example of a single-entity DTT dataset is the WikiBio dataset. Recent developments of DTT also involves multiple-entity inputs (Wiseman et al., 2017), as shown in Table 2.1. Fig. 5.1 shows a a WikiBio instance, where a data table containing information about Kian Emadi is paired with the corresponding natural language description found on Wikipedia.

Early approaches to DTT relied on static rules hand-crafted by experts, in which content selection (what to say) and surface realization (how to say it)

Dataset	Domain	Cont. selection	Noisy	Entity
WeatherGov (Liang et al., 2009) WikiBio (Lebret et al., 2016) WebNLG (Gardent et al., 2017a) E2E (Novikova et al., 2017b) RotoWire (Wiseman et al., 2017) SBNation (Wiseman et al., 2017) ToTTo (Parikh et al., 2020)	Weather forecast Biographies Various Restaurants Sportscast Sportscast Various	✓ ✓ ✓ ✓ ✓	× × × ×	Single Single Single Single Multiple Multiple Single

 TABLE 2.1: Most commonly used Data-To-Text Generation datasets and their main features.

Detect	No. of instances			Vocabulary	Avg. target	
Dataset	Train	Valid.	Test	size	length	
WeatherGov (Liang et al., 2009)	29	528 (tota	1)	345	30.6	
WikiBio (Lebret et al., 2016)	582 659	72831	72831	~ 400000	26.1	
WebNLG (Gardent et al., 2017b)	25	298 (tota	1)	8077	22.7	
E2E (Novikova et al., 2017b)	42 061	4672	4693	\sim 3000	14.3	
RotoWire (Wiseman et al., 2017)	3398	727	728	~ 11300	337.1	
SBNation (Wiseman et al., 2017)	7633	1635	1635	~ 68600	805.4	
ToTTo (Parikh et al., 2020)	120761	7700	7700	136 777	17.4	

TABLE 2.2: Size of the Data-To-Text Generation datasets included in Table 2.1

are typically two separate tasks (Ferreira et al., 2019; Reiter & Dale, 1997). In recent years, neural models have blurred this distinction: various approaches showed that both content selection and surface realization can be learned in an end-to-end, data-driven fashion (Liu et al., 2019a; Mei et al., 2016; Puduppully et al., 2019a). Based on the now-standard encoder-decoder architecture, with attention and copy mechanisms (Bahdanau et al., 2015; Bonetta et al., 2021; Roberti et al., 2019; See et al., 2017), neural methods for DTT are able to produce fluent text conditioned on structured data in a number of domains (Lebret et al., 2016; Puduppully et al., 2019c; Wiseman et al., 2017), without relying on heavy manual work from field experts.

Such advances have gone hand in hand with the introduction of larger and more complex benchmarks. In particular, surface-realization abilities have been well studied on hand-crafted datasets such as E2E (Novikova et al., 2017c) and WebNLG (Gardent et al., 2017a), while content-selection has been addressed by automatically constructed datasets such as WikiBio (Lebret et al., 2016) or RotoWire (Wiseman et al., 2017). These large corpora are often constructed from internet sources, which, while easy to access and aggregate, do not consist of perfectly aligned source-target pairs (Dhingra et al., 2019; Perez-Beltrachini & Gardent, 2017). Consequently, model outputs are often subject to over-generation: misaligned fragments from training instances, namely *divergences*, can induce similarly misaligned outputs during inference, the so-called *hallucinations*.

2.2 Data-To-Text tasks

Traditionally, the DTT problem has been faced by addressing a number of subtasks, simplifying the global objective of generating natural language from structured data. Reiter and Dale (1997) identify the following six sub-tasks:

Content selection: determining the subset of the input information to include in the generated text;

Text structuring: ordering the information in an accessible way;

Sentence aggregation: splitting the information in sentences, both at the semantic and at the syntactic level;

Lexicalization: deciding the words and phrases that verbalize information

- *Referring Expression Generation* (REG): choosing the words and phrases that unambiguously identify domain objects. The essential difference with lexicalization is that REG, consists in a discrimination task. This problem is in turn split in the determination of *referential forms* (pronoun, proper name, definite or indefinite description) and referential contents (set of properties that identify the target entity);
- *Surface realization:* generating the final well-formed, syntactically correct sentences. This involves ordering the sentence components, ensuring morphological correctness, producing function words and punctuation. Surface generation typically faces the generation gap and can be interpreted as a mapping between non-isomorphic structures (Ballesteros et al., 2015).

Splitting the DTT task expose generation systems to the *generation gap* (Meteer, 1991), defined as the presence of mismatches between early and later components, so that antecedent decisions in the pipeline have unexpected, and possibly unfavorable, consequences on the later ones (Gatt & Krahmer, 2018). The problem can be attenuated by merging two or more tasks, such as content selection and text structuring (Duboué & McKeown, 2003), lexicalization and surface realization (Elhadad et al., 1997), or content selection and REG (Engonopoulos & Koller, 2014). Data-driven end-to-end systems take this idea to an extreme, as they solve the DTT generation problem as a whole, without the need for splitting it in sub-tasks and therefore avoiding the consequences of the generation gap.

Chapter 3

Data-To-Text Models

Recent Data-To-Text Generation literature highlights two parallel global trends in research (Gatt & Krahmer, 2018). On the one hand, architectures formerly composed of a pipeline of self-contained, subsequent modules are now blurring the boundaries between their sub-tasks, turning into integrated end-toend systems. On the other hand, symbolic or knowledge-based systems are giving way to domain-independent data-driven methods, whose behavior is strongly defined by the examples they are fed.

These trends are embodied by neural generation systems, that are nowadays the state-of-the-art in DTT (Clive et al., 2021; Rebuffel et al., 2020a), as well as in other NLP tasks (Shoeybi et al., 2019; Yang et al., 2019). Neural methods for DTT are typically borrowed from Neural Machine Translation and Neural Summarization ones (Dusek & Jurcícek, 2016; Mei et al., 2016), but they can be further adapted to the task at hand.

Following the historical development of DTT methods, we briefly describe modular architectures in Section 3.1. We then present integrated data-driven models in Section 3.2, focusing on neural-based models, which range from Recurrent Neural Networks (and their improvements given by the attention mechanism) to the Transformer architectures.

3.1 Modular architectures

The classical three-stage pipeline architecture, originally introduced by Reiter (1994), consist of a *Text Planner*, a *Sentence Planner*, and a *Linguistic Realizer*. This abstract model, outlined by Figure 3.1, has been described as the "de facto standard" (Reiter, 2010; Reiter & Dale, 1997), even if a fair number of systems relax or violate it (Gatt & Krahmer, 2018).

The Text Planner is in charge of content selection and text structuring. It is often referred to as *Macroplanner*, and it determines "what to say". On the other side, the Sentence Planner incorporates sentence aggregation, lexicalization and referring expression generation. In opposite with the Text Planner, it



FIGURE 3.1: The classical three-stage pipeline architecture (Reiter, 1994)

Token	Description
<s></s>	Start of sequence
	End of sequence
<unk></unk>	Unknown, out-of-vocabulary word
<pad></pad>	Padding (used in mini-batching)

TABLE 3.1: Special tokens included in the vocabulary V, and their respective roles.

is often referred to as *Microplanner*, and it determines "how to say". Finally, the Linguistic Realizer carries out the surface realization task alone.

All the above sub-models have shared the historical path from earlier domaindependent, rule-based methods (Dale, 1989; Hovy, 1987; McKeown, 1985; Reiter et al., 1995; Reiter et al., 2005; Scott et al., 1991) to more recent data-driven ones (Althaus et al., 2004; Belz, 2008; Venigalla & Eugenio, 2013; Viethen & Dale, 2008; White & Howcroft, 2015). Architectures which are simultaneously modular and data-driven are less common, as the shift to data-driven techniques occurred in parallel with the movement of the research interest towards end-to-end architectures, described in the following Section.

3.2 Integrated architectures

3.2.1 Notation

Neural sequence-to-sequence architectures take a sequence $\{x_1, \ldots, x_{T_x}\}$ as input, and output another sequence $\{y_1, \ldots, y_{T_y}\}$. Both input and output sequences consist of lists of embedded tokens. Their lengths are T_x and T_y , respectively. Input sub-sequences ranging from 1 to j are referred to as $x_{1:j}$, defining in this way $x = x_{1:T_x}$. Similarly, output sub-sequences ranging from 1 to *t* are referred to as $y_{1:t}$, defining $y = y_{1:T_y}$.

More specifically, in Data-To-Text Generation inputs are variable-sized sets of key-value pairs $\langle k; w_{1:T_k} \rangle$, in which the key *k* consist of a single vocabulary token, and the value is a sequence of T_k words. Input and output sequences share the same *vocabulary* \mathcal{V} , defined as the set of all possible $V = |\mathcal{V}|$ tokens, including the special ones shown in Table 3.1.

Weight matrices and vectors, whose values are learned via back-propagation, are referred to as W_* and b_* respectively; subscripts are used to distinguish them from each other. Hidden states sizes (or *model sizes*) will be generically referred to as *emb* $\in \mathbb{N}^+$, regardless of their possible variations between layers.

3.2.2 RNN Encoder-Decoder

The Recurrent Neural Network Encoder-Decoder architecture (Cho et al., 2014b; Sutskever et al., 2014) consist of two separate RNNs, the *encoder* and the *decoder*, that play different roles.

Name	Reference	Formula	Notes
Additive	Bahdanau et al. (2015)	$b^{\intercal} \cdot \tanh(W \cdot [d;h])$	$b \in \mathbb{R}^{emb}$, $W \in \mathbb{R}^{emb imes 2 \cdot emb}$
General	T. Luong et al. (2015)	$d \cdot W \cdot h$	$W \in \mathbb{R}^{emb \times emb}$
Dot-product	T. Luong et al. (2015)	$d \cdot h$	
Scaled dot-product	Vaswani et al. (2017)	$\frac{d \cdot h}{\sqrt{emb}}$	

TABLE 3.2: Different approaches for computing score(d, h) in the attention mechanism.

The encoder is in charge of reading the input sequence token by token, updating its hidden state vector $h_i \in \mathbb{R}^{emb}$:

$$h_j = \text{RNN}_{\text{enc}}(x_j, h_{j-1}), \quad j = 1, \dots, T_x.$$
 (3.1)

The decoder updates its hidden state conditioned by the previous generated token and by the encoder's final hidden state, used as its initial one (i.e. $d_0 = h_{T_x}$):

$$d_t = \text{RNN}_{\text{dec}}(y_{t-1}, d_{t-1}), \quad t = 1, \dots, T_y.$$
 (3.2)

At each time step t, the corresponding decoder's hidden state d_t is projected to a vocabulary-sized vector o_t , which is in turn converted to a categorical probability distribution:

$$o_t = W_{do}^{\mathsf{T}} \cdot d_t \tag{3.3}$$

$$P(y_t|y_{1:t-1}, x) = \operatorname{softmax}(o_t),$$
 (3.4)

where $W_{do} \in \mathbb{R}^{emb \times V}$. The probability $P(y_t|y_{1:t-1}, x)$ is used to generate the output token y_t .

A typical RNN Encoder-Decoder shows the following features:

- the RNN variants used for both the encoder and the decoder are Long Short-Term Memory (Gers et al., 2000; Hochreiter & Schmidhuber, 1997) or, less frequently, Gated Recurrent Units (Cho et al., 2014b). Those architectures reduce the exploding or vanishing gradient problems (Bengio et al., 1993; Bengio et al., 1994) and better deal with long-term dependencies inside sequences;
- the encoder is bidirectional (Schuster & Paliwal, 1997), as the whole input sequence is typically available and information from both left and right tokens can be informative;
- the decoder uses input feeding, i.e. "attentional vectors are fed as inputs to the next time steps to inform the model about past alignment decisions" (T. Luong et al., 2015);
- the whole architecture is trained end-to-end, using Back-Propagation Through Time (Rumelhart et al., 1986; Williams & Zipser, 1989) and Teacher Forcing (Williams & Zipser, 1989).



FIGURE 3.2: The Encoder-Decoder with attention architecture (Bahdanau et al., 2015; T. Luong et al., 2015)

3.2.3 RNN Encoder-Decoder with Attention

The Recurrent Encoder-Decoder with attention (Bahdanau et al., 2015; T. Luong et al., 2015) is an improvement of the aforementioned RNN Encoder-Decoder architecture. The latter requires that the input information read by the decoder is contained in a fixed-sized vector $d_0 = h_{T_x}$ produced by the encoder. This fixed size is problematic, as it may lead to either overfitting or information loss, depending on the ratio between the amount of information to store and the RNNs' hidden size. Indeed, Cho et al. (2014a) observed that "the performance of the neural machine translation suffers significantly from the length of sentences". The attention mechanism overcomes this problem.

The attention mechanism is a neural network technique that consists in performing a weighted sum over a list of values, whose weights depend on their comparison with a query vector. The result of the weighted sum is called the *context vector*. Given the last decoder's hidden state d_{t-1} and the sequence of the encoder's hidden states h_j ($j = 1, ..., T_x$), the main components of the attention mechanism are:

(i) the alignment model γ_{tj}

$$\gamma_{tj} = \operatorname{score}(d_{t-1}, h_j), \qquad 1 \le j \le T_x, \quad 1 \le t \le T_y, \tag{3.5}$$

which scores how well input in position *j*-th and output observed in the *t*-th time instant match. It can be computed in several ways, as shown in Table 3.2.

(ii) the attention probability distribution α_{ti}

$$\alpha_{tj} = \frac{\exp(\gamma_{tj})}{\sum_{k=1}^{T_x} \exp(\gamma_{tk})}$$

$$= [\operatorname{softmax}(\gamma_t)]_j, \quad 1 \le j \le T_x, \quad 1 \le t \le T_y,$$
(3.6)

where $\gamma_t \in \mathbb{R}^{T_x}$ is the vector whose *j*-th element is γ_{tj} , i.e. $\gamma_t \coloneqq \gamma_{t,1:T_x}$.

(iii) the context vector C_t , weighted sum of the encoder annotations h_j

$$C_t = \sum_{j=1}^{T_x} \alpha_{tj} h_j, \quad 1 \le t \le T_y.$$
(3.7)

In the RNN Encoder-Decoder with Attention, the update of the decoder's hidden state only includes the currently useful input information, via the context vector C_t computed at each time step:

$$\tilde{d}_{t-1} = \tanh(W_{dc}^{\mathsf{T}} \cdot [d_{t-1}; C_t]), \quad t = 1, \dots, T_y$$
(3.8)

$$d_t = \text{RNN}_{\text{dec}}(y_{t-1}, \tilde{d}_{t-1}), \quad t = 1, \dots, T_{y},$$
 (3.9)

where \tilde{d}_{t-1} brings the information from both the decoder's last hidden and the context vector, and $W_{dc} \in \mathbb{R}^{2 \cdot emb \times emb}$ is a trainable parameter.

According to Bahdanau et al. (2015), the context vector C_t is the key element for evaluating the final conditional probability $P(y_t|y_{1:t-1}, x)$ to output a target token y_t , given the previously outputted tokens $y_{1:t-1}$ and the input x. In fact, they express this probability generalizing Eq. 3.3 as:

$$P(y_t|y_{1:t-1}, x) = g(y_{t-1}, d_t, C_t),$$
(3.10)

where *g* is a non-linear, potentially multi-layered, function. So doing, the explicit information about $y_{1:t-1}$ and *x* is replaced with the knowledge of the context C_t and the decoder's state d_t .

3.2.4 Transformer

Recurrent architectures are inherently sequential, which precludes parallelization and negatively affect computation times, especially for longer sequences. The Transformer architecture (Vaswani et al., 2017) overcomes these limitations, eschewing recurrence and relying entirely on the attention mechanism.

Similarly to the architectures presented earlier, it is composed by an encoder and a decoder, which mainly rely on improvements of the attention mechanism. *Multi-head attention* is a linear combination of independently computed *scaled dot-product* attentions (see Table 3.2); *self-attention* aims at finding relationships within a sequence's tokens.

The Transformer encoder is a stack of identical modules, each one consisting of a multi-head self-attention followed by a feed-forward layer. The Transformer decoder differs from the encoder in the facts that multi-head self-attention is followed by multi-head input-output attention, and it includes a linear projection and a softmax activation as final layers, determining the categorical distribution from which the *t*-th output token is sampled, similarly to Equations 3.3 and 3.10.

3.2.5 Data-To-Text adaptations

The main difference between Data-To-Text and other text-to-text tasks, such as Machine Translation, Automatic Summarization, and Dialogue Response Generation, is the non-linear structure of the input. Despite that, all the neural models described above assume the data they are fed with to be a sequence. Data tables can have either a key-value structure (Lebret et al., 2016; Novikova et al., 2017b), or a more complex table form (Wiseman et al., 2017): they need a pre-processing step called *linearization*, which makes them compatible with neural sequence-to-sequence systems. Linearization of the input involves (i) creating embedding vectors that encode it in a convenient way, and (ii) determining an arbitrary order for inherently unordered data.

Key-value pairs can be treated as independent subsequent embedded tokens, delegating to the network the task of distinguishing tokens belonging to data keys, from those belonging to the corresponding values (Dusek & Jurcícek, 2016). A more refined approach consists in concatenating the field embedding and each value token's one (Sha et al., 2018), possibly adding a linear projection and a non-linear activation, such as the hyperbolic tangent (Wiseman et al., 2017; Yang et al., 2017). The representation of the field relative of a given token can be enriched by such token's position, counted from both the start and the end of the sequence (Lebret et al., 2016; Liu et al., 2018).

Different ordering of key-value pairs during training impacts the resulting generation systems' performance (Kedzie & McKeown, 2020). In particular, when the order of the pairs matches the output sentence's realization order, models tend to be more controllable. Transformer-based models' faithfulness is less affected by the ordering of the pairs, as their architecture is less influenced by input tokens' positions. The positional encoding of the Transformer encoder can be simply removed, preserving the unorderedness of the input (Rebuffel et al., 2020a).

3.3 Previous work

Neural models for Data-To-Text generation gained popularity increasingly during the last decade.

Conditioned Neural Language Models Wen et al. (2015a) proposed the first neural system specifically designed for this task, which consists in a RNN Language Model (Mikolov et al., 2010), conditioned by a one-hot representation of the input data structure. The model is enriched by a CNN sentence model, which checks the generated sentence for semantic consistency, and by a backward RNN-based reranker. The architecture has been later improved by extending the recurrent LSTM architecture with a gating "sentence planning cell", yielding better results (Wen et al., 2015b).

Standard RNN Encoder-Decoder An RNN Encoder-Decoder with attention (see Section 3.2.3) for DTT has been developed by Dusek and Jurcícek (2016),

aiming to generate either a deep syntax dependency tree, realized by an external module, or the final sentence, in an end-to-end fashion. Again, a reranking strategy is applied, penalizing the absence of required information and the addition of irrelevant one. As an alternative to reranking, Chisholm et al. (2017) propose an auto-encoding strategy: an RNN Encoder-Decoder with attention is used to generate the natural language description of the input table, and then to get such table from the generated utterance. This constrains output sequences to only express the facts that are present in the data.

Improved Attention Mechanisms The encoder-aligner-decoder architecture (Mei et al., 2016) is an RNN Encoder-Decoder with a "coarse-to-fine alignment" mechanism. Standard attention weights are re-weighted by the probability of each input token of being selected, computed by a *pre-selector* solely on the basis of the input. This allows a more picky content selection phase. Differently from the previous architectures, the encoder-aligner-decoder takes rid of beam search, reranking and auto-encoding, simply relying on greedy generation. Sha et al. (2018) replace the conventional attention mechanism with a *dispatcher*, that uses a soft switch to choose between the standard content-based attention and a link-based attention, that learns the transition between table fields during decoding, explicitly modeling the generation order of the input fields. A more complex architecture is proposed by Puduppully et al. (2019b), as they interpose a content selection gate and a neural planner between the encoder and the decoder's attention mechanism, that uses the generated plan as the attention keys.

Encoding Structured Data The main difference between DTT and Machine Translation, i.e. the structured form of the data, has led to work on the encoding side. Lebret et al. (2016) use a novel table encoding and embedding strategy to condition a neural Manguage Model, both locally and globally. They also include copy actions, taking into account that input tables often contain output tokens. This encoding strategy has been included in an Encoder-Decoder architecture by Liu et al. (2018). Their encoding RNN is a modification of the LSTM cell, in which the cell state is updated using also the field information. Their dual attention mechanism uses the product of independent word-based and field-based attention weights to compute the final context vector. Differently, Puduppully et al. (2019d)'s model creates entity representations which are dynamically updated. Their attention mechanism has a hierarchical structure, and it takes into account both the input data and the entity representations. Hierarchical encoders are proposed by Liu et al. (2019b) and Rebuffel et al. (2020a) as well. The former use a word-level and an attribute-level LSTM, and the respective attention weights are combined via an element-wise product. The latter encodes entities from records, and data-structures from entities, taking advantage of Transformer-based architectures. This allows to encode multiple-entity data structures such as the ones included in the RotoWire (Wiseman et al., 2017) dataset.

The Decoding Side Compared to the encoder, relatively little work has been focusing on the decoder. In fact, generating human-like sentences is not an exclusive property of DTT, unlike encoding data tables. Wiseman et al. (2018) propose a neural reinterpretation of template-based models: their Hidden

Semi-Markov Model (HSMM) decoder architecture "learns latent, discrete templates jointly with learning to generate". Such templates are easily interpretable and facilitate the controllability of the generation, even if the quality of the resulting sentences is quite far from state-of-the-art models.

3.4 Perspectives

As seen in this Chapter, DTT is nowadays an ever-growing field of research. New models are still being proposed in the literature, mainly focusing on finding more convenient ways to encode structured data. However, sticking to the information provided by the input tables remains an open problem in this domain, as current systems do not guarantee a fully faithful natural language transduction. Faithfulness can be obtained by two main features. In the one hand, the ability to directly transcript input content to the generated output – in short, to copy; on the other hand, the ability to avoid the generation of information which is not included in the table, still allowing to produce content that can be inferred from it. In this thesis both components are analyzed, and new methods are subsequently introduced: Chapter 4 describes a character-level system which integrates a copy mechanism, while Chapter 5 presents a framework for reducing hallucinations, made of a word-level labeling procedure and a multi-branch deep neural model.

Chapter 4

A Copy Mechanism for Data-To-Text Generation

4.1 Introduction and related work

Recurrent Encoder-Decoder models with Attention have proved to be very effective in Data-To-Text Generation (DTT) and Natural Language Generation (NLG) tasks (Karpathy & Li, 2015; Mei et al., 2016; Wen et al., 2015b), as well as in machine translation (Bahdanau et al., 2015; Cho et al., 2014c; Sennrich et al., 2016b; Sutskever et al., 2014) and in language modeling (Al-Rfou et al., 2019).

In this Chapter we present a character-level model that results in a completely neural end-to-end architecture for DTT. When compared to traditional wordbased approaches, character-based ones entail several benefits:

- word-based models involve the non-trivial choice of a tokenization algorithm, which implies constraints on the vocabulary size, the presence of Out-Of-Vocabulary (OOV) words, finding (often non-optimal) subwords. The character-based approach implies, by definition, a predetermined and straightforward way of splitting inputs;
- OOV words are often *delexicalized* in data-to-text generation, i.e., table values are replaced by a key-dependent placeholder that needs to be post-processed when generated by the system. Character-based models do not include any OOV token, eliminating the delexicalization relexicalization procedure;
- lowercasing words is a common strategy to reduce the vocabulary size in word-based models, leading to a loss of useful information and to the need for a post-processing phase called truecasing. Character-based approaches bypass the issue, as they have a natively small vocabulary;
- differently from word-based paradigm, the character-based vocabulary does not depend on a specific domain's set of terms, but rather on a general, small-sized alphabet.

As we will see, our an approach achieves rather interesting performance results and produces a vocabulary-free model that is inherently more general. According to our experiments, it never hallucinates words, nor duplicates them. Because of this, it opens up the possibility to adapt already trained networks to deal with different datasets.



FIGURE 4.1: Encoder-Decoder with Attention model, described in Section 3.2.3

In sequence-to-sequence frameworks (Aharoni et al., 2016; Cho et al., 2014c; Sutskever et al., 2014), data are usually represented word-by-word both in input and output sequences; anyway, such schemes can't be effective without a special, non-neural delexicalization phase that handles unknown words, such as proper names or foreign words (see Wen et al. (2015b)). The delexicalization step has the benefit of reducing the dictionary size and, consequently, the data sparsity, but it is affected by various shortcomings. In particular, according to Goyal et al. (2016) (i) it needs some reliable mechanism for entity identification, i.e. the recognition of named entities inside text; (ii) it requires a subsequent "re-lexicalization" phase, where the original named entities take back placeholders' place; (iii) it cannot account for lexical or morphological variations due to the specific entity, such as gender and number agreements, that can't be achieved without a clear context awareness.

Some recent works tried to solve this problem: Gu et al. (2016) describe *CopyNet*, a word-based technique that can integrate output generation with a copying mechanism which can choose portions of the input sequence and include them in the final sentence. Similarly, in the word-based *Pointer-Generator Net-work* (See et al., 2017), a soft switch determines whether the next output token is generated or copied from the input, re-using the attention distribution. Such techniques, albeit conceived for words, can be adapted to the character copying task, leading to more robust and effective models. T. Luong et al. (2015) tries to extend neural networks with a post-processing phase that copies words as indicated by the model's output sequence. Some character-level aspects appear as a solution of the issue as well, either as a fallback for rare words (M.-T. Luong & Manning, 2016), or as subword units (Sennrich et al., 2016b).

A significantly different approach consists in employing characters instead of words, for input slot-value pairs tokenization as well as for the generation of the final utterances, as done for instance in Agarwal and Dymetman (2017) and Al-Rfou et al. (2019). One of the very first attempts to model natural language via a character-level mechanism is described by Sutskever et al. (2011). According to this paper, a simple variant of the vanilla recurrent neural network can generate well-formed sentences after being trained based on sequences of characters. A representative case of character-based systems is Goyal et al. (2016), but this model incorporates prior knowledge in the form of a finite-state automaton to prevent "the generation of non-words and the hallucination of named entities".

In this Chapter we present a character-level sequence-to-sequence model with attention mechanism that results in a completely neural end-to-end architecture. More specifically, our model shows two important features, with respect to the architecture proposed by Bahdanau et al. (2015): (i) a character-wise copy mechanism, consisting in a soft switch between generation and copy mode, that disengages the model to learn rare and unhelpful self-correspondences, and (ii) a peculiar training procedure, which improves the internal representation capabilities, enhancing recall; it consists in the exchange of encoder and decoder RNNs, – GRUs (Cho et al., 2014c) in our specific case – , depending on whether the input is a tabular Meaning Representation (MR) or a natural language sentence.

We also introduce a new dataset, described in Section 4.3.1, whose particular structure allows to better highlight improvements in copying/recalling abilities with respect to character-based state-of-art approaches.

In Section 4.2 we detail our model: Section 4.2.1 is devoted to explaining the copy mechanism while in Section 4.2.2 our peculiar training procedure is presented. Section 4.3 includes the datasets descriptions, some implementation specifications, the experimental framework and the analysis and evaluation of the achieved results.

4.2 Model Description

4.2.1 Learning to Copy

We build a character-based copy mechanism, depicted in Figure 4.2, inspired by the Pointer-Generator Network (See et al., 2017), a word-based model that hybridizes the Bahdanau traditional model and a Pointer Network (Vinyals et al., 2015). Basing on these ideas, in our model we identify two probability distributions that, differently from what done by See et al. (2017) and Wiseman et al. (2017), *act now on characters* rather than on words: the alphabet distribution P_{alph} and the attention distribution P_{att} .

The former is the network's generative probability of sampling a given character at time *t*, similarly to eq. (3.10):

$$P_{alvh}^{t} = \operatorname{softmax}(W[d_{t}; C_{t}] + b), \qquad (4.1)$$

where *W* and *b* are trainable parameters.

The latter is the distribution reminded in eq. (3.6), created by the attention mechanism over the input tokens, i.e. in our case, over input characters:

$$P_{att}^{t_j} \equiv \alpha_{t_j} \tag{4.2}$$

In our method this distribution is used for directly copying characters from the input to the output, pointing their input positions, while in Bahdanau et al. (2015) P_{att} is used only internally to weigh the input annotations and create the context vector C_t .

The final probability of outputting a specific character *c* is obtained combining P_{alph} and P_{att} through the quantity p_{gen}^t , defined later, which acts as a soft switch between generating *c* or copying it:

$$P^{t}(c) = p_{gen}^{t} \cdot P_{alph}^{t}(c) + (1 - p_{gen}^{t}) \sum_{j|x_{j}=c} P_{att}^{tj}(c),$$
(4.3)

where $P_{alph}^t(c)$ is the component of P_{alph}^t corresponding to that character *c*.

The backpropagation training algorithm, therefore, brings p_{gen}^t close to 1 when it is necessary to generate the output as in a standard Encoder-Decoder with Attention ($P^t(c) \simeq P_{alph}^t(c)$); conversely, p_{gen}^t will be close to 0 (i.e. $P^t(c) \simeq$ $\sum_{i|x_i=c} P_{att}^j(c)$) when a copying step is needed.

The model we propose therefore learns when to sample from P_{alph} for selecting the character to be generated, and when to sample from P_{att} for selecting the character that has to be copied directly from the input.

This copy mechanism is fundamental to output all the unknown words present in the input, i.e. words which never occur in the training set. In fact, generating characters in the right order to reproduce unknown words is a sub-task not "solvable" by a naive sequence-to-sequence model, which learns to output only known words.

The generation probability $p_{gen}^t \in [0, 1]$ is computed as follows:

$$p_{gen}^t = \sigma(W_y \cdot \tilde{y}_{t-1} + W_s \cdot d_t + W_p \cdot p_{gen}^{t-1} + W_c \cdot C_t)$$

$$(4.4)$$

where σ is the sigmoid function, \tilde{y}_{t-1} is the last output character's embedding, d_t is the current decoder's cell state and C_t is the current context vector. W_y , W_s , W_c and W_p are the parameters whose training allows p_{gen}^t to have the convenient value.

We highlight that in our formulation p_{gen}^{t-1} , i.e. the value of p_{gen}^{t} at time t - 1, contributes to the determination of p_{gen}^{t} . In fact, in a character-based model it is desirable that this probability remains unchanged for a fair number of time steps, to correctly complete the word; knowing its last value helps this behavior. Conversely, in word-based models (such as See et al. (2017)), copying for a single time step, when required, is typically enough.

We also help the model to learn when it is necessary to start a copying phase, using the following formulation of P(c) (Bonetta et al., 2021):

$$P^{t}(c) = p_{gen}^{t} \cdot P_{alph}^{t}(c) + (1 - p_{gen}^{t}) \sum_{j|x_{j}=c} P_{att}^{t,j-1}(c)$$
(4.5)

Sometimes, our model has difficulty in focusing on the first letter it has to copy. This may be caused by the variety of characters it could be attending on; instead, it seems easier to learn to focus on the most largely seen characters,



FIGURE 4.2: The copy mechanism included in our model. The final output $P^t(c)$ is the sum of P^t_{alvh} and P^{tj}_{att} , weighted by p^t_{gen} .



FIGURE 4.3: An example of shifting the attention distribution

as for instance ' ' and '['. As these special characters are very often the prefix of the words we need to copy, when this focus is achieved, we would like the attention distribution to be translated one step to the right, over the first letter that must be copied. Therefore, the final probability of outputting a specific character *c*, introduced in eq. (4.3), is modified to $P_{att}^{t,j-1}$, i.e. the attention distribution shifted one step to the right and normalized. Figure 4.3 shows the convenience of this approach.

Notice that $P_{att}^{t,j-1}$ is the only shifted probability, while P_{alph}^{t} remains unchanged. Therefore, if the network is generating the next token (i.e. $p_{gen}^{t} \simeq 1$), the shift trick does not involve $P^{t}(c)$ and the network samples the next character from P_{alph}^{t} , as usual. This means that the shift operation is not degrading the generation ability of the model, whilst improving the copying one.

4.2.2 Switching GRUs

Aiming at improving performance, we enrich our model's training pipeline with an additional phase, which forces an appropriate language representation inside the recurrent components of the model. In order to achieve this goal, the encoder and the decoder *do not own a fixed GRU*, differently from what happens in classical end-to-end approaches. The recurrent module is

passed each time as a parameter, depending on which one of the two training phases is actually performed.

Three main reasons make this switching procedure possible: (i) the character-based architecture, that leads the encoding and decoding RNNs to share the same vocabulary; (ii) the neural networks' effectiveness in Multi-Task Learning, (Abu-Mostafa, 1990; Caruana, 1997; Raffel et al., 2020) – both encoding and generating characters, in this case; (iii) the fact that both RNNs are bidirectional, and the decoder ignores the backward part of the recurrent output, as reported in Section 4.3.4.

In the first phase, similar to the usual one, the GRU assigned to the encoder deals with a tabular representation x as input, the GRU assigned to the decoder has to cope with natural language, and the model generates an output utterance $\tilde{y} = \Phi(x)$. Conversely, in the second phase, GRUs are switched, and we use as input the just obtained natural language utterance \tilde{y} to generate a new table $\tilde{x} = \Gamma(\tilde{y}) = \Gamma(\Phi(x))$. Therefore, the same model can build both Φ and Γ , thanks to the switch of GRUs, as shown by Figure 4.4.

In other words, the learning iteration is performed as follows.

- A dataset example (*x*, *y*) is given. *x* is a tabular meaning representation and *y* is the corresponding reference sentence.
- We generate an output utterance $\tilde{y} = \Phi(x)$
- We perform an optimization step on the model's parameters, aiming at minimizing L_{forward} = loss(ỹ, y)
- We reconstruct the meaning representation x̃ back from the previously generated output: x̃ = Γ(ỹ) = Γ(Φ(x))
- We perform a further optimization step on the model's parameters, this time aiming at minimizing *L*_{backward} = loss(\tilde{x}, x)

The higher training time, direct consequence of the just described technique, is a convenient investment, as it brings an appreciable improvement of the model's performance (see Section 4.3.5).

4.3 Experiments

4.3.1 Datasets

We tested our model on four datasets, whose main descriptive statistics are given in Table 4.1: among them, the most known and frequently used in literature is the E2E dataset (Novikova et al., 2017b), used as benchmark for the E2E Challenge organized by the Heriot-Watt University in 2017. It is a crowd-sourced collection of roughly 50,000 instances, in which every input is a list of slot-value pairs and every expected output is the corresponding natural language sentence. The dataset has been partitioned by the challenge organizers in predefined training, validation and test sets, conceived for training data-driven, end-to-end Natural Language Generation models in the restaurant domain. Table 4.2 shows a typical E2E data instance: in this dataset every Meaning Representation (MR) has 8.1 reference sentences on average; in turn,



FIGURE 4.4: In the training procedure detailed in Section 4.2.2, the encoder and the decoder do not own a fixed GRU. The configuration on the left models Φ ; the one on the right models Γ .

Dataset	Number of instances		Avg. numb	er of characters	
	training	validation	test	MRs	NL sentences
E2E	42,061	4,672	4,693	112.11	115.07
E2E+	42,061	4,672	4,693	112.91	115.65
Hotel	2,210	275	275	52.74	61.31
Restaurant	2,874	358	358	53.89	63.22

TABLE 4.1: Descriptive statistics: on the left, sizes of training, validation and test sets are shown. On the right, the average number of characters, respectively for Meaning Representations and natural language sentences, are presented

each MR is composed of a set of key-value pairs. The ontology consists of 8 attributes of different types.

However, during our experiments, we noticed that the values contained in the E2E dataset are a little naive in terms of variability. In other words, a slot like *name*, that could virtually contain a very broad range of different values, is filled alternating between 19 fixed possibilities. Moreover, values are partitioned among training, validation and test set, in such a way that test set always contains values that are also present in the training set. Consequently, we created a modified version of the E2E dataset, called E2E+, as follows: we selected the slots that represent more copy-susceptible attributes, i.e. *name*, *near* and *food*, and conveniently replaced their values, in both meaning representations and reference sentences. New values for *food* are picked from Wikipedia's list of adjectival forms of countries and nations¹, while both *name* and *near* are filled with New York restaurants' names contained in the Entree dataset presented in Burke et al. (1997). It is worth noting that none of the values of *name* are found in *near*; likewise, values that belong to the training set are not found in the validation set nor in the test one, and vice versa. This value partitioning

¹https://en.wikipedia.org/wiki/List_of_adjectival_and_demonymic_forms_for_ countries_and_nations, consulted on August 30, 2018

Meaning Representation	References
name[The Wrestlers], eatType[coffe shop],	Indian food meets coffee shop at The Wrestlers located in the city centre near Raja Indian Cuisine. This shop is family friendly and priced at less than 20 pounds.
food[Indian] priceRange[less than L20] area[city centre] familyFriendly[yes] near[Raja Indian Cuisine]	Near Raja Indian Cuisine, The Wrestlers provides the atmosphere of a coffee shop with Indian food. At less than 20 pounds, it provides a family friendly setting for its customers right in the city centre.
	The Wrestlers is a coffee shop providing Indian food in the less than L20 price range. It is located in the city centre. It is near Raja Indian Cuisine.

TABLE 4.2: An E2E data instance. The Meaning Representation appears in the dataset once for each reference sentence.

shall ensure the absence of generation bias in the copy mechanism, stimulating the models to copy attribute values, regardless of their presence in the training set. The *MR* and *1st reference* fields in Table 4.6 are instances of this new dataset.

Finally, we decided to test our model also on two datasets, Hotel and Restaurant, frequently used in literature (for instance in Wen et al. (2015b) and Goyal et al. (2016)). They are built on a 12 attributes ontology: some attributes are common to both domains, while others are domain specific. Every MR is a list of key-value pairs enclosed in a dialogue act type, such as *inform*, used to present information about restaurants, *confirm*, to check that a slot value has been recognized correctly, and *reject*, to advise that the user's constraints cannot be met. For the sake of compatibility, we filtered out from Hotel and Restaurant all inputs whose dialogue act type was not *inform*, and removed the dialogue act type. Besides, we changed the format of the key-value pairs to E2E-like ones.

Tables are encoded simply converting all characters to ASCII and feeding every corresponding index to the encoder, sequentially. The resulting model's vocabulary is independent of the input, allowing the application of the transfer learning procedure.

4.3.2 Metrics

We evaluated the models' performance on test sets' output utterances using the Evaluation metrics script² provided by the E2E NLG Challenge organizers. It rates quality according to five different metrics:

- BLEU (Papineni et al., 2002), a length-penalized precision score over *n*-grams, *n* ∈ [[1,4]], optionally improved with a smoothing technique (B. Chen & Cherry, 2014).
- NIST (Doddington, 2002), a variant of BLEU which gives more credit to rare n-gram and less credit to common ones.

²https://github.com/tuetschek/E2E-metrics

Hyperparameter	Value
Embedding size	16
GRU hidden size	256
N. of recurrent layers	4
Attention size	128
Learning rate	10^{-4}
β_1 ; β_2 for Adam (Kingma & Ba, 2015a)	0.9; 0.999
Max gradient norm (Pascanu et al., 2013)	1
Batch size	16
Max no. of epochs	30

TABLE 4.3: Model hyperparameters and training settings used in our experiments.

- METEOR (Banerjee & Lavie, 2005), that tries to overcome the fact that BLEU does not take recall into account, and it only allows exact n-gram matching. Hence, METEOR uses the F-measure and a relaxed matching criterion.
- ROUGE_L (C.-Y. Lin, 2004), based on a variation of the F-measure where the precision and recall are computed using the length of the longest common subsequence between hypothesis and reference.
- CIDER (Vedantam et al., 2015), that weighs each hypothesis's n-gram based on its frequency in the reference set and in the entire corpus. The underlying idea is that frequent dataset's n-grams are less likely to be informative/relevant.

4.3.3 Baselines

In order to show the effectiveness of our proposed Encoder-Decoder model with Attention, Copy and Switch (hereafter EDA_CS), we compare it with the following models:

- EDA, a character-based Encoder-Decoder model with Attention (Bahdanau et al., 2015), a standard baseline in literature (Agarwal & Dymetman, 2017; Goyal et al., 2016; See et al., 2017).
- TGen (Dusek & Jurcícek, 2016), the strong word-based baseline of the E2E challenge (Dusek et al., 2018). Its pipeline consists of a delexicalizer, a neural Encoder-Decoder system which outputs a syntax tree using beam search with reranking, a surface realizer, and a relexicalizer.

4.3.4 Implementation Details

We developed EDA and EDA_CS using the PyTorch framework³, release 0.4.1⁴. The training has been carried out as described in Subsection 4.2.2: this training procedure needs the two GRUs to have the same dimensions, in terms of input size, hidden size, number of layers and presence of a bias term. Moreover, they

³Code and datasets are publicly available at https://github.com/marco-roberti/ char-dtt-tailored

⁴https://pytorch.org/

EDA	BLEU	0.4999		BLEU	0.6538
	NIST	7.1146	EDA_S	NIST	8.4601
	METEOR	0.3369		METEOR	0.4337
	ROUGE_L	0.5634		ROUGE_L	0.6646
	CIDER	1.3176		CIDER	1.9944
	BLEU	0.6255		BLEU	0.6705
	BLEU NIST	0.6255 7.7934		BLEU NIST	0.6705 8.5150
EDA_C	BLEU NIST METEOR	0.6255 7.7934 0.4401	EDA_CS	BLEU NIST METEOR	0.6705 8.5150 0.4449
EDA_C	BLEU NIST METEOR ROUGE_L	0.6255 7.7934 0.4401 0.6582	EDA_CS	BLEU NIST METEOR ROUGE_L	0.6705 8.5150 0.4449 0.6894

TABLE 4.4: The ablation study on the E2E dataset. All five metrics considered in Section 4.3.2 are reported next to each model. The study evidences the final performance improvement reached by our model. Best values for each metric are highlighted (the higher the better)

both have to be bidirectional, even if the decoder ignores the backward part of its current GRU. We minimize the negative log-likelihood loss using teacher forcing (Williams & Zipser, 1989) and Adam (Kingma & Ba, 2015a), the latter being an optimizer that computes individual adaptive learning rates. As a consequence of the length of the input sequences, a character-based model is often subject to the exploding gradient problem, that we solved via the well-known technique of gradient norm clipping (Pascanu et al., 2013). The training stopping criterion was based on the absence of models' performance improvements (Dusek & Jurcícek, 2016).

Three-fold cross-validation was used to find the optimal hyperparameters and training settings values, using the BLEU metric (Papineni et al., 2002) for evaluating each model. In the resulting configuration shown in Table 4.3, our model has 9,719,920 trainable parameters.

Training and inference have been performed on 24GB NVIDIA GPUs (TITAN RTX and Quadro P6000). The training time is in the order of magnitude of \sim 10 hours, depending on the hardware. Generation at inference occurs in real time, i.e. roughly 2 minutes for the 4,693 test instances.

As for the TGen baseline, we used the code originally provided by Dusek and Jurcícek (2016)⁵.

4.3.5 Results and Discussion

In order to show that our model represents an effective and relevant improvement, we carry out two different experimentations: an ablation study and a quantitative and qualitative analysis, in comparison with the baselines described in Section 4.3.3

Our first experimentation, the **ablation study**, refers to the E2E dataset because of its wide diffusion, and is shown in Table 4.4; "EDA_CS" identifies our model, and 'C' and 'S' stand for "Copy" and "Switch", the two major improvements presented in this work. It is evident that the partially-improved

⁵https://github.com/UFAL-DSG/tgen

networks are able to provide independent benefits to the performance. Those components cooperate positively, as EDA_CS further enhances those results. Furthermore, the obtained BLEU metric value on the E2E test set would allow our model to be ranked fourth in the E2E NLG Challenge, while its baseline TGen was ranked tenth.

Our second experimentation, the **comparison study**, is shown in Table 4.5. The character-based design of EDA_CS led us to explore in this context also a possible behavior as a transfer learning capable model: in order to test this hypothesis, we used the weights learned during training on the E2E+ dataset as the starting point for a fine-tuning phase on all the other datasets. We chose E2E+ because it reduces the generation bias, as discussed in Subsection 4.3.1. We named this approach EDA_CS^{TL}.

A first interesting result is that our model EDA_CS always obtains higher metric values with respect to TGen on the Hotel and Restaurant datasets, and three out of five higher metrics values on the E2E dataset. However, in the case of E2E+, TGen achieves three out of five higher metrics values. These results suggest that EDA_CS and TGen are comparable, at least from the point of view of automatic metrics' evaluation.

A more surprising result is that the approach EDA_CS^{TL} allows to obtain better performance with respect to training EDA_CS in the standard way on the Hotel and Restaurant datasets (for the majority of metrics); on E2E, EDA_CS^{TL} outperforms EDA_CS only in one case (i.e. METEOR metric).

Moreover, EDA_CS^{TL} shows a BLEU increment of at least 14% with respect to TGen's score when compared to both Hotel and Restaurant datasets.

Finally, the baseline model, EDA, is largely outperformed by all other examined methods. Notice that its scores do not drop below a certain threshold because, even if new names are not correctly reproduced, values occurring in other fields of the generated sentences are generally still correct. We hypothesize that their performances would be even worse on datasets containing unseen values on other fields as well (e.g. *food, near*).

Therefore, we can claim that our model exploits its transfer learning capabilities effectively, showing very good performances in a context like data-to-text generation in which the portability of features learned from different datasets, in the extent of our knowledge, has not yet been explored.

We highlight that EDA_CS's model's good results are achieved even if it consists in a fully end-to-end model which does not benefit from the delexicalizationrelexicalization procedure, differently from TGen. Most importantly, the latter represents a word-based system: as such, it is bound to a specific, limited vocabulary, in contrast to the general-purpose character one used in our work.

Table 4.6 reports the output of the analyzed models for a couple of MR, taken from the E2E+ test set. The EDA's inability to copy is clear, as it tends, in its output, to substitute those values of *name*, *food* and *near* that do not appear in the training set with known ones, guided by the first few characters of the input slot's content. Besides, it shows serious coverage issues, frequently 'forgetting' to report information, and/or repeating more times the same ones.

		E2E+	E2E	Hotel	Restaurant
	BLEU	0.3773	0.4999	0.4316	0.3599
	NIST	5.7835	7.1146	5.9708	5.5104
EDA	METEOR	0.2672	0.3369	0.3552	0.3367
	ROUGE_L	0.4638	0.5634	0.6609	0.5892
	CIDER	0.2689	1.3176	3.9213	3.3792
	BLEU	0.6292	0.6593	0.5059	0.4074
	NIST	9.4070	8.6094	7.0913	6.4304
TGen	METEOR	0.4367	0.4483	0.4246	0.3760
	ROUGE_L	0.6724	0.6850	0.7277	0.6395
	CIDER	2.8004	2.2338	5.0404	4.1650
	BLEU	0.6197	0.6705	0.5515	0.4925
	NIST	9.2103	8.5150	7.4447	6.9813
EDA_CS	METEOR	0.4428	0.4449	0.4379	0.4191
	ROUGE_L	0.6610	0.6894	0.7499	0.7002
	CIDER	2.8118	2.2355	5.1376	4.7821
	BLEU	-	0.6580	0.5769	0.5099
	NIST	-	8.5615	7.4286	7.3359
EDA_CS ^{TL}	METEOR	-	0.4516	0.4439	0.4340
	ROUGE_L	-	0.6740	0.7616	0.7131
	CIDER	-	2.1803	5.3456	4.9915

TABLE 4.5: Performance comparison, according to the five metrics considered in Section 4.3.2, reported next to each model. Note the absence of transfer learning on the E2E dataset, as in this case the training and fine-tuning datasets are the same. Best values for each metric are highlighted (the higher the better)

These troubles are not present in EDA_CS output utterances: the model nearly always renders all of the input slots, still without duplicating any of them. This goal is achieved even in absence of explicit coverage techniques thanks to our peculiar training procedure, detailed in Section 4.2.2, that for each input sample minimizes also the loss on the reconstructed tabular input. It is worth noting that the performance of TGen and EDA_CS are overall comparable, especially when they deal with names or other expressions not present in training.

The joint analysis of the matrix of the attention distribution P_{att}^{tj} and the vector p_{gen}^{t} allows a deeper understanding of how our model works.

In Figure 4.5 every row shows the attention probability distribution "seen" when an output character is produced at the *t*-th time instant (i.e. the vector P_{att}^{tj} , $1 \le j \le T_x$), while every column shows values of the attention distribution corresponding to a specific input position *j* (i.e. the vector P_{att}^{tj} , $1 \le t \le T_y$). We can therefore follow the white spots, corresponding to higher values of attention, to understand the flow of the model's attention during the generation of the output utterance.

Moreover, p_{gen}^t values, which lie in the numeric interval [0, 1], help us in the



(B) On an E2E+ instance.

FIGURE 4.5: Attention matrix and vector p_{gen} , as calculated by the model. The former is a matrix of values between 0 (black, less attention) and 1 (white, more attention) with one column for each input character and one row for each generated one. The *i*-th attention row is associated to the *i*-th value of p_{gen} , which removes from 0 (black, approximately to 1 (white, approximately)).

which ranges from 0 (black, copy) to 1 (white, generation)

MR	<pre>name[New Viet Huong], eatType[pub], customer rating[1 out of 5], near[Ecco]</pre>
1st reference	The New Viet Huong is a pub near Ecco that has a customer rating of 1 out of 5.
EDA_CS	New Viet Huong is a pub near Ecco with a customer rating of 1 out of 5.
TGen	New Viet Huong is a pub near Ecco with a customer rating of 1 out of 5.
EDA	Near the riverside near the ERNick Restaurant is a pub near the ER-Nicker's.
MR	<pre>name[La Mirabelle], eatType[restaurant], food[Iraqi], priceRange[high], area[riverside], familyFriendly[yes], near[Mi Cocina]</pre>
1st reference	La Mirabelle is a children friendly restaurant located in the Riverside area near to the Mi Cocina. It serves Iraqi food and is in the high price range.
EDA_CS	La Mirabelle is a high priced Iraqi restaurant located in the riverside area near Mi Cocina. It is children friendly.
TGen	La Mirabelle is a high priced Iraqi restaurant in the riverside area near Mi Cocina. It is child friendly.
EDA	La Memaini is a high priced restaurant that serves Iranian food in the high price range. It is located in the riverside area near Manganaro's Restaurant.

TABLE 4.6: A comparison of the three models' output on some MR of the E2E+ test set. The first reference utterance is reported for convenience

interpretation of the attention: they are represented as a grayscale vector from zero (black) to one (white) under the matrices. Values close to 0 mean copying and those near 1 mean generating.

We can note that our model's behavior varies significantly depending on the dataset it has been trained on. Figure 4.5a shows the attention probability distribution matrix of EDA_CS (together with p_{gen}^t vector) trained on the E2E dataset: as observed before, attribute values in this dataset have a very low variability (and are already present in the training set), so that they can be individually represented and easily generated by the decoder. In this case, a typical pattern is the copy of only the first, discriminating character, clearly noticeable in the graphical representation of the p_{gen}^t vector, and the subsequent generation of the others. Notice that the attention tends to remain improperly focused on the same character for more than one output time step, as in the first letter of "high".

On the other hand, the copy mechanism shows its full potential when the system must learn to copy attribute values, as in the E2E+ dataset. In Figure 4.5b the diagonal attention pattern is pervasive: (i) it occurs when the model actually copies, as in "Harley Davidson" and "Coco Pazzo", and (ii) as a *soft track* for the generation, as in "customer rating", where the copy-first-generate-rest behavior emerges again.

A surprising effect is shown in Figure 4.6, when the model is expected to copy words that, instead, are usually generated: an initial difficulty in copying the word "The", that is usually a substring of a slot value, is ingeniously overcome as follows. The first character is purely generated, as shown by the white color in the underlying vector, and the sequence of the following characters,



FIGURE 4.6: Copying common words leads the model to "uncertain" values of p_{gen}^t

"he_", is half-generated and half-copied. Then, the value of p_{gen}^t gets suddenly but correctly close to 0 (black) until the closing square bracket is met. The network's output is not affected negatively by this confusion and the attention matrix remains quite well-formed.

As a final remark, the metrics used, while being useful, well-known, and broadly accepted, do not reflect the ability to directly copy input facts to produce outputs, so settling the rare word problem.

4.4 Limitations and future work

The major drawback of relying on a character-based model is the increased length of the sequences it deals with. As an example, the average English word length is 4.7 characters (Mayzner & Tresselt, 1965); therefore, one may expect a roughly $5 \times$ increase when switching from words to characters.

Recent developments in the field, however, may mitigate this issue in various ways: (i) the non-autoregressive nature of the more recent Transformer model (Vaswani et al., 2017) allows for a more aggressive parallelization of the computation flow than RNN-based methods such as EDA_CS. The quadratic space complexity of the former, that is generally considered as its major performance bottleneck, has already been faced in various ways (Child et al., 2019; Choromanski et al., 2021; Dai et al., 2019; Kitaev et al., 2020); (ii) the introduction of pre-trained character-based models, such as (Ma et al., 2020), should sharply reduce the training time requirements; and (iii) the hardware improvements in GPU and TPU's design and parallelization techniques remain a strong ongoing trend nowadays. Future work should include the incorporation and adaptation of such novel techniques to the model presented in this Chapter.
Chapter 5

Controlling Hallucinations at Word Level

5.1 Introduction

In this Chapter, we specifically address the issue of hallucinations, which is currently regarded as a major issue in DTT (Narayan & Gardent, 2020). Indeed, experimental surveys show that real-life end-users of DTT systems care more about reliability than about readability (Reiter & Belz, 2009), as unfaithful texts can potentially mislead decision makers, with dire consequences.

Even if the concept of hallucination is intuitive, a formal and universally accepted definition has not been stated yet. In this work, we stick to the one given by Dhingra et al. (2019): "Hallucination (K. Lee et al., 2019; Rohrbach et al., 2018) refers to when an NLG system generates text which mentions extra information than what is present in the source from which it is generated.". Dhingra et al. (2019) also state that the phenomenon occurs when "the reference contains extra information which no system can be expected to produce given only the associated table. We call such reference texts divergent from the table."

Hallucinations-reduction methods such as the one presented here have applications in a broad range of tasks requiring high reliability, like news reports (Leppänen et al., 2017), in which hallucinations may give rise to fake *news*, or summaries of patient information in clinical contexts (Banaee et al., 2013; Portet et al., 2009). When corpora include a mild amount of noise, as in handcrafted ones (e.g. E2E, WebNLG), dataset regularization techniques (Dusek et al., 2019; Nie et al., 2019) or hand crafted rules (Juraska et al., 2018) can help to reduce hallucinations. Unfortunately, these techniques are not suited to more realistic and noisier datasets, as for instance WikiBio (Lebret et al., 2016) or RotoWire (Wiseman et al., 2017). On these benchmarks, several techniques have been proposed, such as reconstruction loss terms (S. Lin et al., 2020; H. Wang, 2019; Wiseman et al., 2017) or Reinforcement Learning (RL) based methods (Liu et al., 2019c; Perez-Beltrachini & Lapata, 2018; Rebuffel et al., 2020b). These approaches suffer however from different issues: (1) the reconstruction loss relies on the hypothesis of one-to-one alignment between source and target which does not fit with content selection in DTT; (2) RLtrained models are based on instance-level rewards (e.g. BLEU (Papineni et al., 2002), PARENT (Dhingra et al., 2019)) which can lead to a loss of signal because divergences occur at the word level. In practice, parts of the target sentence

KEY	VALUE
name	kian emadi
fullname	kian emadi-coffin
currentteam	retired
discipline	track
role	rider
ridertype	sprinter
proyears	2012-present
proteams	sky track cycling

Ref.: kian emadi (born 29 july 1992) is a british track cyclist .

FIGURE 5.1: An example of a WikiBio instance, composed by an input table and its (partially aligned) description.

express source attributes (in Fig. 5.1 name and occupation fields are correctly realized), while others diverge (the birthday and nationality of Kian Emadi are not supported by the source table).

Interestingly, one can view DTT models as Controlled Text Generation (CTG) ones focused on controlling content, as most CTG techniques condition the generation on several key-value pairs of *control factors* (e.g. tone, tense, length) (Dong et al., 2017; Ficler & Goldberg, 2017; Hu et al., 2017). Recently, Filippova (2020) explicitly introduced CTG to DTT by leveraging an *hallucination score* simply attached as an additional attribute which reflects the amount of noise in the instance. As an example, the table from Fig 5.1 can be augmented with an additional line (hallucination_score, 80%)¹. However, this approach requires a strict alignment at the instance-level, namely between control factors and target text. A first attempt towards word-level approaches is proposed by Perez-Beltrachini and Lapata (2018) (also *PB&L* in the following). They design word-level alignment labels, denoting the correspondence between the text and the input table, to bootstrap DTT systems. However, they incorporate these labels into a sentence-level RL-reward, which ultimately leads to a loss of this finer-grained signal.

In this Chapter, we go further in this direction with a DTT model by fully leveraging word-level alignment labels with a CTG perspective. We propose an original approach in which the word-level is integrated at all phases:

- we propose a word-level labeling procedure (Section 5.3), based on cooccurrences and sentence structure through dependency parsing. This mitigates the failure of strict word-matching procedure, while still producing relevant labels in complex settings.
- we introduce a **weighted multi-branch neural decoder** (Section 5.4), guided by the proposed alignment labels, acting as word-level control

¹The reader may disagree with such a strong hallucination score. Indeed, while the birthdate and nationality are clearly divergences, the rest of the sentence is correct. This illustrates the complexity of handling divergences in complex datasets, where alignment cannot be framed as a simple word-matching task.

factors. During training, the model is able to distinguish between aligned and unaligned words and learns to generate accurate descriptions without being misled by un-factual reference information. Furthermore, our multi-branch weighting approach enables control at inference time.

We carry out extensive experiments on WikiBio, to evaluate both our labeling procedure and our decoder (Section 5.6). We also test our framework on ToTTo (Parikh et al., 2020), in which models are trained with noisy reference texts, and evaluated on references reviewed and cleaned by human annotators to ensure accuracy. Evaluations are based on a range of automated metrics as well as human judgments, and show increased performances regarding hallucinations reduction, while preserving fluency.

Moreover, our approach makes training neural models on noisy datasets possible, without the need to handcraft instances. This work shows the benefit of word-level techniques, which leverage the entire training set, instead of removing problematic training samples, which may form the great majority of the available data.

5.2 Related work

Handling hallucinations in noisy datasets. The use of Deep Learning based methods to solve DTT tasks has led to sudden improvements in state of the art performances (Lebret et al., 2016; Liu et al., 2018; Puduppully et al., 2019a; Wiseman et al., 2017). As a key aspect in determining a model's performance is the quality of training data, several large corpora have been introduced to train and evaluate models' abilities on diverse tasks. E2E (Novikova et al., 2017c) evaluates surface realization, i.e. the strict transcription of input attributes into natural language; RotoWire (Wiseman et al., 2017) pairs statistics of basketball games with their journalistic descriptions, while WikiBio (Lebret et al., 2016) maps a Wikipedia info-box with the first paragraph of its associated article. Contrary to E2E, the latter datasets are not limited to surface realization. They were not constructed by human annotators, but rather created from Internet sources, and consist of loosely aligned table-reference pairs: in WikiBio, almost two thirds of the training instances contain divergences (Dhingra et al., 2019), and no instance has a 1-to-1 source-target alignment (Perez-Beltrachini & Gardent, 2017).

On datasets with a moderate amount of noise, such as E2E, data pre-processing has proven effective for reducing hallucinations. Indeed, rule-based (Dusek et al., 2019) or neural-based methods (Nie et al., 2019) have been proposed, specifically with table regularization techniques, where attributes are added or removed to re-align table and target description. Several successful attempts have also been made in automatically learning alignments between the source tables and reference texts, benefiting from the regularity of the examples (Gehrmann et al., 2018; Juraska et al., 2018; Shen et al., 2020). For instance, Juraska et al. (2018) leverage templating and hand-crafted rules to re-rank the top outputs of a model decoding via beam search; Gehrmann et al. (2018) also leverage the possible templating formats of E2E's reference texts, and train an ensemble of decoders where each decoder is associated to one template; and Kasner and Dusek (2020) produce template-based lexicalizations and improve them via a *sentence fusion* model. The previous techniques are not applicable

in more complex, general settings. The work of Dusek et al. (2019) hints at this direction, as authors found that neural models trained on E2E were principally prone to omissions rather than hallucinations. In this direction, Shen et al. (2020) were able to obtain good results at increasing the coverage of neural outputs, by constraining the decoder to focus its attention exclusively on each table cell sequentially until the whole table was realized. On more complex datasets (e.g. WikiBio), a wide range of methods has been explored to deal with factualness such as loss design, either with a reconstruction term (H. Wang, 2019; Wiseman et al., 2017) or with RL-based methods (Liu et al., 2019c; Perez-Beltrachini & Lapata, 2018; Rebuffel et al., 2020b). Similarly to the coverage constraints, a reconstruction loss has proven only marginally efficient in these settings, as it contradicts the content selection task (H. Wang, 2019), and needs to be well calibrated using expert insight in order to bring improvements. Regarding RL, Perez-Beltrachini and Lapata (2018) build an instance-level reward which sums up word-level scores; Liu et al. (2019c) propose a reward based on document frequency to favor words from the source table more than rare words; and Rebuffel et al. (2020b) train a network with a variant of PARENT (Dhingra et al., 2019) using self-critical RL. Note that data regularization techniques have also been proposed (Thomson et al., 2020; H. Wang, 2019), but these methods require heavy manual work and expert insights, and are not readily transposable from one domain to another.

From CTG to controlling hallucinations. Controlled Text Generation (CTG) is concerned with constraining a Language Model's output during inference on a number of desired attributes, or *control factors*, such as the identity of the speaker in a dialog setting (Li et al., 2016), the politeness of the generated text or the text length in machine-translation (Kikuchi et al., 2016; Sennrich et al., 2016a), or the tense in generated movie reviews (Hu et al., 2017). Earlier attempts at neural CTG can even be seen as direct instances of DTT as it is currently defined: models are trained to generate text conditioned on attributes of interest, where attributes are key-value pairs. For instance, in the movie review domain, Ficler and Goldberg (2017) proposed an expertly crafted dataset, where sentences are strictly aligned with control factors, being either content or linguistic style aspects (e.g. tone, length).

In the context of dealing with hallucinations in DTT, Filippova (2020) recently proposed a similar framework, by augmenting source tables with an additional attribute that reflects the degree of hallucinated content in the associated target description. During inference, this attribute acts as an *hallucination handle* used to produce more or less factual text. As mentioned in Section 5.1, we argue that a unique value can not accurately represent the correspondence between a table and its description, due to the phrase-based nature of divergences.

Based on the literature review, the lack of model control can be evidenced when loss modification methods are used (Liu et al., 2019a; Rebuffel et al., 2020b; H. Wang, 2019), although these approaches can be efficient and transposed from one domain to another. On the other hand, while CTG deals with control and enables choosing the defining features of generated texts (Filippova, 2020), standard approaches rely on instance-level control factors that do not fit with hallucinations, which rather appear due to divergences at the word



FIGURE 5.2: The reference sentence of the example shown in Fig. 5.1. Every token is associated to its Part-of-Speech tag and hallucination score s_t . Words in red denote $s_t < \tau$. The dependency parsing is represented by labeled arrows that flow from parents to children. Important words are *kian*, *emadi*, 29, *july*, 1992, *british*, *track*, and *cyclist*.

level. Our approach aims at gathering the merits of both trends of models and is guided by previous statements highlighting that word-level is primary in hallucination control. More particularly, our model differs from previous ones in several aspects:

- (1) Contrasting with data-driven approaches (i.e. dataset regularization) which are costly in expert time, and loss-driven approaches (i.e. reconstruction or RL losses) which often do not take into account key subtasks of DTT (content-selection, world-level correspondences), we propose a multi-branch modeling procedure which allows the controllability of the hallucination factor in DTT. This multi-branch model can be integrated seamlessly in current approaches, allowing to keep peculiarities of existing DTT models, while deferring hallucination management to a parallel decoding branch.
- (2) Unlike previous CTG approaches (Ficler & Goldberg, 2017; Filippova, 2020; Li et al., 2016; Sennrich et al., 2016a) which propose instance-level control factors, the control of the hallucination factor is performed at the word-level to enable finer-grained signal to be sent to the model.

Our model is composed of two main components: (1) a word-level alignment labeling mechanism, which makes the correspondence between the input table and the text explicit, and (2) a multi-branch decoder guided by these alignment labels. The branches separately integrate co-dependent control factors (namely content, hallucination and fluency). We describe these components in Sections 5.3 and 5.4, respectively.

5.3 Word-level Alignment Labels

We consider a DTT task, in which the corpus C is composed of a set of entitydescription pairs, (e, y). A *single-entity table e* is a variable-sized set of T_e keyvalue pairs $x_j := (k_j, v_j)$, $j = 1, ..., T_e$. A *description* $y := y_{1:T_y}$ is a sequence of T_y tokens representing the natural language description of the entity; we refer to the tokens spanning from indices t to t' of a description y as $y_{t:t'}$. A description is made of *statements*, defined as text spans expressing one single idea (Appendix A.1 presents in detail the statement partitioning procedure). We refer to the first index of a statement as t_i , so that $y_{t_i:t_{i+1}-1}$ is the i^{th} statement itself. Fig. 5.1 shows a WikiBio entity made by 8 key-value pairs together with its associated description. First, we aim at labeling each word from a description, depending on the presence of a correspondence with its associated table. We call such labels *alignment labels*. We drive the word-level labeling procedure on two intuitive constraints: (1) important words (names, adjectives and numbers) should be labeled depending on their alignment with the table, and (2) words from the same statement should have the same label.

With this in mind, the *alignment label* for the t^{th} token y_t is a binary label: $l_t := \mathbb{1}_{\{s_t > \tau\}}$ where s_t refers to the *alignment score* between y_t and the table, and τ is set experimentally (see Sec. 5.5.3). The *alignment score* s_t acts as a normalized measure of correspondence between a token y_t and the table e:

$$s_t := \operatorname{norm}(\max_{x \in e} \operatorname{align}(y_t, x), \ y)$$
(5.1)

where the function *align* estimates the alignment between token y_t and a keyvalue pair x from the input table e, and *norm* is a normalization function based on the dependency structure of the description y. Fig. 5.2 illustrates our approach: under each word we show its word alignment score, and words are colored in red if this score is lower than τ , denoting an alignment label equal to 0. Below, we describe these functions (Appendix A.1 contains reproducibility details).

Co-occurrence-based alignment function (align(\cdot , **x**)). This function assigns to important words a score in the interval [0, 1] proportional to their co-occurrence count (a proxy for alignment) with the key-value pair from the input table. If the word y_t appears in the key-value pair x := (k, v), $align(y_t, x)$ outputs 1; otherwise, the output is obtained scaling the number of occurrences $co_{y_t,x}$ between y_t and x through the dataset:

$$\operatorname{align}(y_t, x) := \begin{cases} 1 & \text{if } y_t \in x \\ a \cdot (co_{y_t, x} - m)^2 & \text{if } m \le co_{y_t, x} \le M \\ 0 & \text{if } 0 \le co_{y_t, x} \le m \end{cases}$$
(5.2)

where *M* is the maximum number of word co-occurrences in the dataset vocabulary and the row *x*, *m* is a threshold value, and $a := \frac{1}{(M-m)^2}$.

Score normalization (norm(\cdot , **y**)). According to the already stated assumption (2) – words inside the same statement should have the same score – , we first split the sentence *y* into statements $y_{t_i:t_{i+1}-1}$, via dependency parsing and its rule-based conversion to constituency trees (Borensztajn et al., 2009; Han et al., 2000; Hwa et al., 2005; Xia & Palmer, 2001). Given a word y_t associated to the score s_t and belonging to statement $y_{t_i:t_{i+1}-1}$, its normalized score corresponds to the average score of all important words in this statement:

$$\operatorname{norm}(s_t, y) = \frac{1}{t_{i+1} - t_i} \sum_{j=t_i}^{t_{i+1}-1} s_j$$
(5.3)

This in-statement average depends on both the specific word and its context, leading to coherent hallucination scores which can be thresholded without affecting the syntactical sentence structure, as shown in Fig. 5.2.

5.4 Multi-Branch Architecture

The proposed Multi-Branch Decoder (MBD) architecture aims at separating targeted co-dependent factors during generation. We build upon the standard DTT architecture, an encoder-decoder with attention and copy mechanism, which we modify by duplicating the decoder module into three distinct parallel modules. Each control factor (i.e. content, hallucination or fluency) is modeled via a single decoding module, also called branch, whose output representation can be weighted according to its desired importance. At training time, weights change depending on the word currently being decoded, inducing the desired specialization of each branch. During inference, weights are manually set, according to the desired trade-off between information reliability, sentence diversity and global fluency. Text generation is thus controllable, and consistent with the control factors.

Figure 5.3 illustrates a training step over the sentence "*Giuseppe Mariani was an Italian art director*", in which *Italian* is a divergent statement (i.e. is not supported by the source table). While decoding factual words, the weight associated to the content (resp. hallucination) branch is set to 0.5 (resp. 0) while during the decoding of *Italian*, the weight associated to the content (resp. hallucination) branch is set to 0 (resp. 0.5). Note that the weight associated to the fluency branch is always set to 0.5, as fluency does not depend on factualness.

The decoding modules' actual architecture may vary, as we framed the MBD model from a high-level perspective. Therefore, all types of decoder can be used, such as Recurrent Neural Networks (RNNs) (Rumelhart et al., 1986), Transformers (Vaswani et al., 2017), and Convolutional Neural Networks (Gehring et al., 2017). The framework can be generalized to different merging strategies as well, such as late fusion, in which the final distributions are merged, instead of the presented early fusion, which works at the decoder states level.

In this Chapter, experiments are carried out on RNN-based decoders, weighting their hidden states. As stated above, the Transformer architecture (Vaswani et al., 2017) is perfectly compatible with our framework; however, its decoding module is slower than RNNs at inference (Zhang et al., 2018), as it recomputes the attentions over the whole sequence at every step. This drawback would be worsened by the multi-branch architecture, hence the choice of sticking to a more agile recurrent decoder.

The model works at the word level: character-based approaches, such as the one presented in Chapter 4, are not appropriate, as they do not preserve the one-to-one correspondence between word-level hallucination labels and the neural model's tokens. The need for word-level hallucination labels has been discussed in Section 5.2.

Section 5.4.1 presents the standard DTT encoder-decoder architecture; Section 5.4.2 shows how it can be extended to MBD, together with its peculiarities and the underlying objectives and assumptions.

5.4.1 Standard DTT architecture

Neural DTT approaches typically use an encoder-decoder architecture (Wiseman et al., 2017) in which (1) the encoder relies on a RNN to encode each element of the source table into a fixed-size latent representation h_i (elements



FIGURE 5.3: Our proposed decoder with three branches associated to content (in blue – left), hallucination (in red – middle) and fluency (in yellow – right). Semi-transparent branches are assigned the weight 0.

of the input table are first embedded into T_e *N*-dimensional vectors, and then fed sequentially to the RNN (Wiseman et al., 2017)), and (2) the decoder generates a textual description *y* using a RNN augmented with attention and copy mechanisms (See et al., 2017). Words are generated in an auto-regressive way. The decoder's RNN updates its hidden state d_t as:

$$d_t \coloneqq \operatorname{RNN}(d_{t-1}, [y_{t-1}, C_t]) \tag{5.4}$$

where y_{t-1} is the previous word and C_t is the context vector obtained through the attention mechanism. Finally, a word is drawn from the distribution computed via a copy mechanism (See et al., 2017).

5.4.2 Controlling Hallucinations via a Multi-Branch Model

Our objective is to enrich the decoder in order to be able to tune the content/hallucination ratio during generation, aiming at enabling generation of hallucination-free text when needed. Our key assumption is that the decoder's generation is conditioned by three co-dependent factors:

- Content factor constrains the generation to realize only the information included in the input;
- *Hallucinating factor* favors lexically richer and more diverse text, but may lead to hallucinations not grounded by the input;
- *Fluency factor*² conditions the generated sentences toward global syntactic correctness, regardless of the relevance.

Based on this assumption, we propose a multi-branch encoder-decoder network, whose branches are constrained on the above factors at word-level, as illustrated in Fig. 5.3. Our network has a single encoder and F = 3 distinct decoding RNNs, noted RNN^{*f*} respectively, one for each factor. During each decoding step, the previously decoded word y_{t-1} is fed to all RNNs, and a

²Wiseman et al. (2018) showed that the explicit modeling of a fluency latent factor improves performance.

final decoder state d_t is computed using a weighted sum of all the corresponding hidden states,

$$d_t^f \coloneqq \text{RNN}^f(d_{t-1}^f, [y_{t-1}, C_t])$$
(5.5)

$$d_t \coloneqq \sum_{f=1}^F \omega_t^f d_t^f \tag{5.6}$$

where d_t^f and ω_t^f are respectively the hidden state and the weight of the f^{th} RNN at time t. Weights are used to constrain the decoder branches to the desired control factors ($\omega_t^0, \omega_t^1, \omega_t^2$ for the content, hallucination and fluency factors respectively) and sum to one.

During training, their values are dynamically set depending on the *alignment label* $l_t \in \{0, 1\}$ of the target token y_t (see Sec. 5.5.3). While a number of mappings can be used to set the weights given the alignment label, early experiments have shown that better results were achieved when using a binary switch for each factor, i.e. activating/deactivating each branch, as shown in Fig. 5.3 (note that fluency should not depend on content and therefore its associated branch is always active).

During inference, the weights of the decoder's branches are set manually by a user, according to the desired trade-off between information reliability, sentence diversity and global fluency. Text generation is then controllable and consistent with the control factors.

5.5 Experimental setup

5.5.1 Datasets

We evaluated the model on two representative large size datasets, which have been collected automatically and present a significant amount of table-text divergences for training. Both datasets involve content selection and surface realization, and represent a relatively realistic setting.

WikiBio (Lebret et al., 2016) contains 728,321 tables, automatically paired with the first sentence of the corresponding Wikipedia English article. Reference text's average length is 26 words, and tables have on average 12 key-value pairs. We use the original data partition: 80% for the train set, and 10% for validation and test sets. This dataset has been automatically built from the Internet; concerning divergences, 62% of the references mention extra information not grounded by the table (Dhingra et al., 2019).

ToTTo (Parikh et al., 2020) contains 120, 761 training examples, and 7, 700 validation and test examples. For a given Wikipedia page, an example is built up by pairing its summary table and a candidate sentence, selected across the whole page via simple similarity heuristics. Such a sentence may accordingly realize whichever table cells, making content selection arbitrary; furthermore, its lexical form may strongly depend on the original context, because of pronouns or anaphoras. Divergences are of course present as well. Those issues have been addressed by Parikh et al. (2020) by (1) *highlighting* the input cells realized by the output, and (2) removing divergences and making the sentence self-contained (e.g. replacing pronouns with their invoked noun or noun

phrase). Fig. 5.6 exemplifies the difference between noisy and clean ToTTo sentences. In our experiments, we limit the input to the highlighted cells and use the original, noisy sentence as output. Noisy texts' average length is 17.4 words, and 3.55 table cells are highlighted, on average.

5.5.2 Baselines

We assess the accuracy and relevance of our alignment labels against the ones proposed by Perez-Beltrachini and Lapata (2018), which is, to the best of our knowledge, the only work proposing such a fine-grained alignment labeling.

To evaluate our Multi-Branch Decoder (MBD), we consider five baselines:

- *stnd* (See et al., 2017), a LSTM-based encoder-decoder model with attention and copy mechanisms. This is the standard sequence-to-sequence recurrent architecture.
- *stnd_filtered*, the previous model trained on a filtered version of the training set: tokens deemed hallucinated according to their hallucination scores, are removed from target sentences.
- *hsmm* (Wiseman et al., 2018), an encoder-decoder model with a multibranch decoder. The branches are not constrained by explicit control factors, but they are rather a neural transposition of the HSMM theoretical model (Yu, 2010). This model is used as a baseline to show that the multi-branch architecture by itself does not guarantee the absence of hallucinations.
- *hier* (Liu et al., 2019a), a hierarchical sequence-to-sequence model, with a coarse-to-fine attention mechanism to better fit the *attribute-value* structure of the tables. This model is trained with three auxiliary tasks to capture more accurate semantic representations of the tables: auxiliary sequence labeling, text auto-encoder and multi-label classification.
- *hal*_{WO} (Filippova, 2020), a *stnd*-like model trained by augmenting each source table with an additional attribute (*hallucination ratio*, *value*).

We ran our own implementations of *stnd*, *stnd_filtered* and *hal*_{WO}. Authors of *hier* and *hsmm* models kindly provided us their WikiBio's test set outputs. The metrics described in Sec. 5.5.4 were directly applied on them.

5.5.3 Implementation Details

During training of our multi-branch decoder the fluency branch is always active ($\omega_t^2 = 0.5$) while the content and hallucination branches are alternatively activated, depending on the alignment label l_t : $\omega_t^0 = 0.5$ (content factor) and $\omega_t^1 = 0$ (hallucination factor) when $l_t = 1$, and conversely. The threshold τ used to obtain l_t is set to 0.4 using human tuning to optimize for highest accuracy³. All hyperparameters were tuned in order to optimize the validation PARENT F-measure (Dhingra et al., 2019). In particular, we use the [0.4 0.1 0.5]

³Note that accuracy is not heavily impacted by different choices of τ . We report in Appendix A.2 the respective accuracy scores of our proposed automated labels for different values of τ .

weight combination during inference. See Sec. 5.6.2 for a discussion about weight combinations and Appendix A.2 for other implementation details.⁴

5.5.4 Metrics

To evaluate our model, we carried out (1) an automatic analysis and (2) a human evaluation for a qualitative analysis of generated sentences.

For the automatic analysis, we use five metrics:

- BLEU (Papineni et al., 2002), introduced in Section 4.3.2. Despite being the standard choice, recent findings show that it correlates poorly with human evaluation, especially on the sentence level (Novikova et al., 2017a; Reiter, 2018), and that it is a proxy for sentence grammar and fluency aspects rather than semantics (Dhingra et al., 2019).
- PARENT (Dhingra et al., 2019) computes smoothed *n*-gram precision and recall over both the reference and the input table. It is explicitly designed for DTT tasks, and its F-measure shows "the highest correlation with humans across a range of settings with divergent references in WikiBio." (Dhingra et al., 2019)
- The *hallucination rate* computes the percentage of tokens labeled as hallucinations (Sec. 5.3).
- The average generated sentence length in number of words.
- The classic readability Flesch index (Flesch, 1962), which is based on words per sentence and syllables per word, and is still used as a standard benchmark (Kosmajac & Keselj, 2019; Smeuninx et al., 2020; Stajner & Hulpus, 2020; Stajner et al., 2020).

Finally, we perform qualitative evaluations of the results obtained on WikiBIO and ToTTo, following the best practices outlined by van der Lee et al. (2019) for intrinsic evaluation, including multiple annotators, well-defined ranking criteria, Likert-scaled or continuous ranking, report of Inter-Annotator Agreement, random ordering of instances. We selected \sim 20 human annotators from several countries across Europe, between 20 and 55 years old and proficient in English. They have been assigned two different tasks: (i) hallucination labeling, i.e. the selection of sentence pieces which include incorrect information, and (ii) sentence analysis, i.e. evaluating different realizations of the same table according to their fluency, factualness and coverage. Scores are presented as a 3-level Likert scale for Fluency (Fluent, Mostly fluent, or Not fluent) and Factualness (likewise), while coverage is the number of cells from the table that have been realized in the description. To avoid all bias, annotators are shown a randomly selected table at a time, together with its corresponding descriptions, both from the dataset and the models that are being evaluated. Sentences are presented each time in a different order. Following Tian et al. (2019), we first tasked three expert annotators to annotate a pilot batch of 50 sentences. Once confirmed that Inter-Annotator Agreement was approx. 75% (a similar finding to Tian et al. (2019)), we asked 16 annotators to annotate a

⁴Code is given to reviewers and will be available upon acceptance.

Labels	Accuracy	Precision	Recall	F-measure	
PB&L	46.9%	21.3%	49.2%	29.7%	
ours	87.5%	80.6%	59.8%	68.7%	
			PARENT		
Labola					
Labels	BLEU	Precision	Recall	F-measure	
PB&L	BLEU 32.15%	Precision 76.91%	Recall 39.28%	F-measure 48.75%	

TABLE 5.1: Performances of hallucination scores on the WikiBio test set, w.r.t. human-designated labels (upper table) and *MBD* trained with different labeling procedures (lower table). Our model always significantly overpasses *PB&L* (T-test with p < 0.005).

bigger sample of 300 instances (where each instance consists of one table and four associated outputs), as Liu et al. (2019a).⁵

5.6 Results

We perform an extensive evaluation of our scoring procedure and multi-branch architecture on the WikiBio dataset: we evaluate - the quality of the proposed alignment labels, both intrinsically using human judgment and extrinsically by means of the DTT downstream task and - the performance of our model with respect to the baselines. Additionally, we assess the applicability of our framework on the more noisy ToTTo benchmark, which represents a harder challenge for today's DTT models.

5.6.1 Validation of Alignment Labels.

To assess the effectiveness of our alignment labels (Sec. 5.3), we first compare the alignment labels against human judgment, and then explore their impact on a DTT task. As a baseline for comparison we report performances of *PB&L*.

Intrinsic performance. Tab. 5.1 (top) compares the labeling performance of our method and *PB&L* against human judgment. Our scoring procedure significantly improves over *PB&L*: the latter only achieves 46.9% accuracy and 29.7% F-measure, against 87.5% and 68.7% respectively for our proposed procedure. Perez-Beltrachini and Lapata (2018) report a F-measure of 36%, a discrepancy that can be explained by the difference between the evaluation procedures: *PB&L* evaluate on 132 sentences, several of which can be tied to the same table, whereas we explicitly chose to evaluate on 300 sentences all from different tables in order to minimize correlation.

We remark that beyond F-measure, the precision of *PB&L*'s scoring procedure is at 21.3% compared to 80.6% for ours, and recall stands at 49.2% against 59.8%. We argue that selecting a negative instance at random for training their classifier leads the network to incoherently label words, without apparent justification. See Figure 5.4 for two examples of this phenomenon; and

⁵An eyesight of our platform is available in Appendix A.3.

namepatricia flores fuentesbirth_date25 july 1977birth_placestate of mexico , mexicooccupationpoliticiannationalitymexicanarticle_titlepatricia flores fuentes	_	KEY	VALUE
		name birth_date birth_place occupation nationality article_title	patricia flores fuentes 25 july 1977 state of mexico , mexico politician mexican patricia flores fuentes

Ref.: patricia flores fuentes -lrb- born 25 july 1977 -rrb- is a mexican
politician affiliated to the national action party .
PB&L: patricia flores fuentes <u>-lrb- born</u> 25 july 1977 <u>-rrb- is a mexican politician
affiliated to the national action party .
Ours: patricia flores fuentes -lrb- born 25 july 1977 -rrb- is a mexican
politician affiliated to the national action party .</u>

(A)

KEY	VALUE
name	ryan moore
spouse	nichole olson -lrb- m. 2011 -rrb-
children	tucker
college	unlv
yearpro	2005
tour	pga tour
prowins	4
pgawins	4
masters	t12 2015
usopen	t10 2009
open	t10 2009
pga	t9 2006
article_title	ryan moore -lrb- golfer -rrb-

Ref.: ryan david moore -lrb- born december 5 , 1982 -rrb- is an american professional golfer , currently playing on the pga tour . PB&L: ryan <u>david moore -lrb- born december 5 , 1982 -rrb- is an american</u> <u>professional golfer , currently playing on the pga tour .</u> Ours: ryan david moore <u>-lrb- born december 5 , 1982 -rrb-</u> is an american professional golfer , currently playing on the pga tour .

(B)

FIGURE 5.4: WikiBio instances' hallucinated words according either to our scoring procedure or to the method proposed by Perez-Beltrachini and Lapata (2018). *PB&L* labels word incoherently (a), and sometimes the whole reference text (b). In comparison, our approach leads to a fluent breakdown of the sentences in hallucinated/factual statements.

	$BLEU^\uparrow$	$PARENT^{\uparrow}$			Halluc.	Mean sent	
Model		Precision	Recall	F-measure	rate↓	length	Flesch↓
Gold	-	-	-	-	23.82%	19.20	53.80%
stnd	41.77%	79.75%	45.02%	55.28%	4.20%	13.80	58.90%
stnd_filtered	34.66%	80.90%	42.48%	53.27%	0.74%	12.00	62.10%
hsmm	35.17%	71.72%	39.84%	48.32%	7.98%	14.80	58.60%
hier	45.14%	75.09%	46.02%	54.65%	10.10%	16.80	56.20%
hal_{WO}	36.50%	79.50%	40.50%	51.70%	-	-	-
MBD	41.56%	79.00%	46.40%	56.16%	1.43%	14.60	58.80%

TABLE 5.2: Comparison results on WikiBio. ↑ (resp. ↓) means higher (resp. lower) is better. "Gold" refers to the gold standard, i.e. the reference texts included in the dataset.

Appendix A.4 for other comparisons. In contrast, our method is able to detect hallucinated statements inside a sentence, without incorrectly labeling the whole sentence as hallucinated.

Impact on a DTT downstream task. Additionally, we assess the difference of both scoring procedures using their impact on the WikiBio DTT task. Specifically, Tab. 5.1 (bottom) shows the results of training *MBD* using either *PB&L*'s or our labels. We observe significant improvements, especially in BLEU and PARENT-recall (40.5% vs 32.2% and 45% vs 39.3%), showing that our labeling procedure is more helpful at retaining information from training instances (the system better picks up what humans picked-up, ultimately resulting in better BLEU and recall).

5.6.2 Automatic System Evaluation

Comparison with SOTA systems. Tab. 5.2 shows the performances of our model and all baselines according to the metrics of Sec. 5.5.4. Two qualitative examples are presented in Figure 5.5 and more are available in Appendix A.4.

First of all, reducing hallucinations is reached with success, as highlighted by the hallucination rate (1.43% vs. 4.20% for a standard encoder-decoder and 10.10% for the best SOTA model on BLEU). The only model which gets a lower hallucination rate (0.74%, corroborated by its PARENT-precision of 80.9%), *stnd_filtered*, achieves such a result at a high cost. As can be seen in Figure 5.5 where its output is factual but cut short, its sentences are the shortest and the most naive in terms of the Flesch readability index, which is also reflected by a lower BLEU score. The high PARENT precision – mostly due to the shortness of the outputs – is counterbalanced by a low recall: the Fmeasure indicates the overall lack of competitiveness of this trade-off. This shows that the naive approach of simply filtering training instances is not the appropriate solution for hallucination reduction. This echoes (Filippova, 2020) who trained a vanilla network on the cleanest 20% of the data and found that predictions are more precise than those of a model trained on 100% but that PARENT-recall and BLEU scores are low.

At the other extreme, the best model in terms of BLEU, *hier*, falls short regarding precision, suggesting that often the generated text is not matched in the input table; this issue is also reflected by the highest hallucination rate of all models (10.10%). A reason could be the introduction of their auxiliary training tasks which often drive the decoder to excess in mimicking human behavior.

	PARENT			
BLEU	Precision	Recall	F-measure	
38.90%	80.37%	44.96%	55.29%	
41.56%	79.00%	46.40%	56.16%	
42.68%	72.99%	45.81%	53.74%	
22.64%	53.92%	32.96%	36.55%	
2.03%	57.88%	4.82%	6.79%	
0.32%	85.01%	1.02%	1.78%	
1.07%	62.71%	2.47%	3.66%	
2.81%	42.86%	6.15%	7.94%	
7.30%	41.78%	16.58%	18.68%	
15.51%	56.93%	32.85%	36.88%	
	BLEU 38.90% 41.56% 42.68% 22.64% 2.03% 0.32% 1.07% 2.81% 7.30% 15.51%	BLEU Precision 38.90% 80.37% 41.56% 79.00% 42.68% 72.99% 22.64% 53.92% 2.03% 57.88% 0.32% 85.01% 1.07% 62.71% 2.81% 42.86% 7.30% 41.78% 15.51% 56.93%	BLEU Precision Recall 38.90% 80.37% 44.96% 41.56% 79.00% 46.40% 42.68% 72.99% 45.81% 22.64% 53.92% 32.96% 2.03% 57.88% 4.82% 0.32% 85.01% 1.02% 1.07% 62.71% 2.47% 2.81% 42.86% 6.15% 7.30% 41.78% 16.58% 15.51% 56.93% 32.85%	



While BLEU score improves, overall factualness of outputs decreases, showing that the model picks up domain lingo (how to formulate ideas) but not domain insight (which ideas to formulate) (see Figure 5.5). This is in line with (Filippova, 2020; Reiter, 2018) who argue that BLEU is an inappropriate metric for generation tasks other than machine translation.

The analysis of *hsmm*, and especially of its relatively weak performance both in terms of BLEU and PARENT, highlights the insufficiency of the multi-branch architecture by itself. This reinforces the need of the additional hallucinations supervision provided by our labeling procedure.

Finally, in the comparisons with hal_{WO} , we can see that while it achieves one of the highest performances in term of precision (79.5%), this comes at the cost of the lowest recall (40.5%) of all models and thus poor F-measure. This confirms our hypothesis that, while effective at producing mostly factual content, modeling hallucination only as a fixed value for a whole instance is detrimental to the content generation procedure. Finer-grain annotations are required, as shown by our model recall (46.4%), coupled with a robust precision (79.0%).

Weight impact on decoding. As we deal with a CTG system, we can guide our network at inference to generate sentences following desired attributes. The impact of different weight combinations is explored in Tab. 5.3. In particular, we can see that changing weights in favor of the hallucination factor (top five lines) leads to decreases in both precision and recall (from 80.37% to 57.88% and 44.96% 4.82% respectively). We also observe that strongly relying on the hallucinating branch dramatically impacts performances ([0.0 0.5 0.5] obtains near 0 BLEU and F-measure), as it is never fed with complete, coherent sentences during training. However, some performance can still be restored via the fluency branch: [0.0 0.1 0.9] performs at 15.51% BLEU and 36.88% F-measure.

It is interesting to note that the relaxation of the strict constraint on the content factor in favor of the hallucination factor, ([0.4 0.1 0.5] \rightarrow [0.5 0.0 0.5]) obtains better performances (56.16% vs 55.29% F-measure). This highlights that strictly constraining on content yields sensibly more factual outputs (79% vs

	name	zack lee
	birth_name	zack lee jowono
	nationality	indonesian
	occupation	actor , boxer , model
	birth date	15 august 1984
	birth place	liverpool , mersevside , england , uk
	vears active	2003 - present
	parents	hendra and avu jowono
	spouse	nafa urbach (2007 - present)
	article title	zack lee
	4101010_01010	2004 100
Gold	zack lee (born 1	5 august 1984) is an indonesian actor , model and boxer of british
	descent.	
stnd	zack lee jowono	(born 15 august 1984) is an indonesian actor and model.
stnd_filtered	zack lee (born z	ack lee jowono ; 15 august 1984) is an indonesian actor .
hsmm	zack lee jowono	(born 15 august 1984) is an indonesian actor who has appeared
	in tamil films .	
hier	zack lee jowono	(born 15 august 1984), better known by his stage name zack lee
	, is an indonesia	n actor , model and model .
MBD[4 1 5	zack lee (horn '	zack lee jowono · 15 august 1984) is an indonesian actor boyer
IDD [. I, II,		Zaek iee jowono , 15 august 1964) is an indonesian actor , boxer
	and model.	
	and model .	(A)
	and model .	(A)
	and model.	(A) ayne r. dynes
	name w birth_date 2	(A) ayne r. dynes 3 august 1934
	name w birth_date 2 occupation p	(A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist
	name w birth_date 2 occupation p article_title w	(A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes
	name w birth_date 2 occupation p article_title w wavner. dynes((A) (A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian, encyclopedist
Gold	name w birth_date 2 occupation p article_title w wayne r. dynes (. and bibliograp	(A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian, encyclopedist her.
Gold	name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes ((A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her .
Gold	name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes ((A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her . (born august 23, 1934) is an american historian and encyclopedist
Gold stnd	name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes ((A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her . (born august 23, 1934) is an american historian and encyclopedist is a professor .
Gold stnd stnd_filtered hsmm	name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes (wayne r. dynes ((A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her . (born august 23, 1934) is an american historian and encyclopedist is a professor . (born august 23, 1934) is an american historian , bistorian and
Gold stnd hsmm	<pre>name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes (. wayne r. dynes (. wayne r. dynes (.</pre>	(A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her. (born august 23, 1934) is an american historian and encyclopedist is a professor . (born august 23, 1934) is an american historian , historian and
Gold stnd stnd_filtered hsmm	<pre>name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes (. </pre>	(A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her. (born august 23, 1934) is an american historian and encyclopedist is a professor . (born august 23, 1934) is an american historian , historian and (horn august 23, 1934) is an american professor of history at the
Gold stnd stnd_filtered hsmm hier	name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes (wayne r. dynes ; wayne r. dynes ; wayne r. dynes ; university of tex	(A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her. (born august 23, 1934) is an american historian and encyclopedist is a professor . (born august 23, 1934) is an american historian , historian and (born august 23, 1934) is an american professor of history at the cas at austin .
Gold stnd filtered hsmm hier	name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes (wayne r. dynes ((A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her . (born august 23, 1934) is an american historian and encyclopedist is a professor . (born august 23, 1934) is an american historian , historian and (born august 23, 1934) is an american professor of history at the cas at austin .
Gold stnd filtered hsmm hier MBD[.4, .1, .5	name w birth_date 2 occupation p article_title w wayne r. dynes (, and bibliograp wayne r. dynes (wayne r. dynes ((A) ayne r. dynes 3 august 1934 rofessor , historian , and encyclopedist ayne r. dynes (born august 23, 1934) is an american art historian , encyclopedist her . (born august 23, 1934) is an american historian and encyclopedist is a professor . (born august 23, 1934) is an american historian , historian and (born august 23, 1934) is an american professor of history at the cas at austin . (born august 23, 1934) is an american professor , historian , and

(B)

FIGURE 5.5: Qualitative examples of our model and baselines on the WikiBio test set. Note that: (1) *gold* references may contain divergences; (2) *stnd* and *hsmm* seem to perform well superficially, but often hallucinate; (3) *stnd_filtered* doesn't hallucinate but struggles with fluency; (4) *hier* overgenerate "human-sounding" statements, that lacks facutalness; (5) *MBD* sticks to the fact contained by the table, in concise and fluent sentences.

ge

TABLE 5.4: Results of the human evaluation on WikiBio⁶.

	*		$PARENT^\uparrow$			Human evaluation		
Model	BLEU	Precision	Recall	F-measure	Fluency↑	$Factualness^{\uparrow}$	Coverage	
Gold(noisy)	-	-	-	-	97.1% (97.1)	91.2% (79.4)	3.618	
stnd	21.27%	56.60%	25.16%	29.71%	55.9% (26.5)	53.0% (20.6)	2.824	
stnd_filtered	19.48%	56.69%	22.31%	27.18%	29.4% (8.8)	70.6% (50.0)	2.706	
hal _{WO}	17.06%	77.64%	22.65%	29.38%	61.7% (38.2)	61.8% (32.4)	2.725	
MBD	18.35%	50.44%	25.25%	28.25%	91.2% (50.0)	85.3% (55.9)	3.613	

TABLE 5.5: Comparison results on ToTTo. ↑ (resp. ↓) means higher (resp. lower) is better. In human evaluation for Fluency, reported are for "Fluent" and "Mostly Fluent", with only "Fluent" in parentheses. Same for Factualness.

80.37% precision), at the cost of constraining the model's generation creativity (46.40% vs 44.96% recall). The [0.4 0.1 0.5] variant has more "freedom of speech" and sticks more faithfully to domain lingo (recall and BLEU), without compromising too much in terms of content.

5.6.3 Human evaluation

To measure subtleties which are not captured by automatic metrics, we report in Tab. 5.4 human ratings of our model, two baselines and the gold. These baselines have been selected because they showcase interesting behaviors on automatic metrics: *hier* obtains the best BLEU score but a poor precision, and *stnd_filtered* gets the best precision but poor BLEU, length and Flesch index.

First, coherently with (Dhingra et al., 2019), we found that around two thirds of gold references contain divergences from their associated tables. Such data also confirm our analysis on the *stnd_filtered* baseline: it's training on truncated sentences lead to an unquestionable ability to avoid hallucinations, while dramatically impacting both its fluency and coverage, leading to less desired outputs overall, despite the high PARENT-precision score.

The comparison between *hier* and *MBD* shows that both approaches lead to similar coverage, with *MBD* obtaining significantly better performances in terms of factualness. We also highlight that *MBD* is evaluated as being the most fluent one, even better than the reference (which can be explained by the imperfect pre-processing done by Lebret et al. (2016)).

	page_titleHuge (TV series)section_titleEpisodesOriginal_air_dateJune 28 2010U.Sviewers_(millions)2.53
Gold (clean) Gold (noisy) stnd stnd_filtered halwo MBD[.4, .1, .5]	The TV series , Huge , premiered on June 28 , 2010 with 2.53 million viewers. The series premiered on June 28 , 2010 at 9 p.m. with 2.53 million viewers . On June 28 , 2010 , it was watched by 2.53 million viewers . was watched by 2.53 on June 28 , 2010 . June 28 , 2010 : Huge million viewers . Huge 's first episode , aired on June 28 , 2010 , was watched by 2.53 million .
	(A)
	page_titleLM317section_titleSpecificationParameterOutput voltage rangeValue1.25 - 37
Gold (clean) Gold (noisy) stnd stnd_filtered hal _{WO} MBD[.4, .1, .5]	LM317 produces a voltage of 1.25 V. Internally the device has a bandgap voltage reference which produces a stable reference voltage of Vref= 1.25 V followed by a feedback-stabilized amplifier with a relatively high output current capacity. The Output is a Output range of $1.25 - 37$. range from 1.25 to 37. Output voltage range $1.25 - 37 - 37$. The Output 's range is approximately 1.25.

(B)

FIGURE 5.6: Qualitative examples of *MBD* and hal_{WO} on ToTTO. hal_{WO} 's poor generation quality is not detected by discrete metrics. In contrast, *MBD* generates fluent and naively factual sentences. Note that *stnd* and *stnd_filtered* have the same behavior as on WikiBio: the former produces fluent but nonsensical text; the latter generates very un-fluent, but factual, text.

5.6.4 ToTTo: a considerably noisy setting

The ToTTo dataset is used in the following experiments to explore models' robustness to the impact of extreme noise during training. As stated in Section 5.5.1, we use as inputs only the *highlighted* cells, as content selection is arbitrary (i.e. the cells were chosen depending on the target sentence, and not vice versa). On the other hand, we use as targets the noisy references, which may contain both divergences and lexical issues. This setting is particularly challenging and is more effective in recreating a representational, hallucination-prone real-life context than WikiBio. Other datasets (Gardent et al., 2017a; Novikova et al., 2017c; Wen et al., 2015a) available in literature are too similar to WikiBio concerning their goals and challenges, and are therefore less interesting in this context.

Table 5.5 reports the performances of *stnd*, *stnd_filtered*, *hal*_{WO} and *MBD* with regards to automatic metrics and human evaluation. Compared to their respective performances on WikiBio, all models show significantly decreased scores. They struggle at generating syntactically correct sentences but, at the same time, they have still learned to leverage their copy mechanism and to stick to the input. This behavior is illustrated in both examples of Fig. 5.6. In particular, *hal*_{WO}'s high PARENT-precision score (77.64%) seems to be due to its tendency to blindly copy input data without framing them in a sentence structure, as its low BLEU and PARENT-recall scores suggests (17.06% and 22.65%). These lower scores are good indicators that the ToTTo task, as framed in this Chapter, is difficult. Following the same evaluation protocol than for WikiBio, we report human ratings of different models, also included in Table 5.5.

MBD's factualness is judged favorably, with 55.9% hallucination-free texts, and up to 85.3% texts with a single error at most. In contrast, *hal*_{WO} stands at 32.4% and 61.8% for error-free texts and single-error texts respectively. Interestingly, *stnd_filtered* obtains the second best performance (70.6% texts with a single error).

Fluency scores are also meaningful: *hal*_{WO} and *MBD* respectively obtain 61.7% and 91.2%. Word-based filtering is not suitable for noisy datasets, as shown by *stnd_filtered*'s worse fluency score, 29.4%.

As for coverage performances, our model *MBD* obtains the maximum coverage score 3.613, surpassing all baselines by at least 0.789 slots (the second best coverage score is obtained by *stnd* at 2.824), and getting very close to the Gold value (which stands at 3.618). These performances, and qualitative examples of Figure 5.6, suggest that *stnd_filtered* and *hal*_{WO} try to reduce hallucinations at the cost of missing some input slot, while *MBD* effectively balances both goals.

The analysis of Factualness, Fluency and Coverage can be enhanced using qualitative error analysis on randomly sampled generated texts (we report two such examples in Figure 5.6). In particular, we want to highlight the following considerations:

⁶Fluency reports the sum of "fluent" and "mostly fluent", as "mostly fluent" often comes from misplaced punctuation and doesn't really impact readability. However, Factualness reports only the count of "factual", as "mostly factual" sentences contain hallucinations and cannot be considered "factual".

- As most training examples are very noisy, sentence-level models fail at learning from them. *stnd_filtered* has been trained on factual statements only, at the cost of using mostly incomplete sentences during training. On both examples of Figure 5.6, it generated truncated sentences, missing their subjects. Its relatively high Factualness and low Fluency scores indicate that it did not learn to produce diverging outputs, nor complete sentences. Differently, *hal*_{WO} generates incorrectly ordered sequences of words extracted from the table (Fig. 5.6a), or repetitions (Fig. 5.6b). The low number of training instances containing the input pair (*hallucination ratio*, 0) does not allow to learn what a non-hallucinated sentence actually consists in.
- In contrast, our proposed finer-grained approach proves helpful in this setting, as shown by the human evaluation: sentences generated by *MBD* are more fluent and more factual. The multi-branch design enables the model to leverage the most of each training instance, leading to better performances overall.
- Finally, we acknowledge that despite over-performing other models, *MBD* obtains only 55.9% of *factual* sentences. For instance, in Figure 5.6b, our model does not understand that a range consists of two numbers. The difficulty of current models to learn on very noisy and diverse datasets shows that there is still room for improvement in hallucination reduction in DTT.

5.7 Limitations and future work

We designed our alignment procedure to be general and easily reproducible on any DTG dataset. One strength of our approach is that co-occurrences and dependency parsing can be used intuitively to extract more information from the tables than a naive word matching procedure. However, in the context of tables mainly including numbers (e.g., RotoWire), the effectiveness of the cooccurrence analysis is not guaranteed. A future work will be to improve upon the co-occurrence analysis to generalize to tables which contain less semantic inputs. For instance, the labeling procedure of Perez-Beltrachini and Lapata (2018) might be revised so that adverse instances are not selected randomly, which we hypothesize would result in more relevant labels.

Finally, experiments on ToTTo outline the narrow exposure to language of current models when used on very noisy datasets. Our model has shown interesting properties through the human evaluation, but it still shows itself to be perfectible. Recently introduced large pre-trained Language Models, which have seen significantly more varied texts, may attenuate this problem. In this direction, adapting the work of (Z. Chen et al., 2020; Kale & Rastogi, 2020) to our model could bring improvements to the results presented in this Chapter.

Chapter 6

Conclusions and future work

This thesis focuses on the Data-To-Text generation task, characterized as the development of systems that generate meaningful text from structured table records. Most recent research has moved away from rule-based models, ac-knowledging the better performance of end-to-end and data-driven methods, in particular following the outbreak of deep learning. New challenges arise from this shift, that are being faced by current research. Wiseman et al. (2017) outlines some of them, such as the difficulty in performing content selection, in keeping inter-sentence coherence, in avoiding redundance, and in being faithful to the input. This thesis elaborates on this last issue.

In particular, we inquire on the properties a neural Data-To-Text Generation system should own to ensure faithfulness of the generated outputs. The difficulties deep learning models encounter on this matter arise from the fact that they are data-driven to the core. The quality of the training data reflects itself on the quality of the deployed model. Nevertheless, more modern deep learning systems are composed of a huge number of trainable parameters, which results in the need for huge amounts of training data (LeCun et al., 2015). Reasonably sized DTT corpora can only be constructed from internet sources, resulting in roughly aligned source-target pairs (Dhingra et al., 2019). Nonperfect alignment degrades the models' performance. Manual data cleaning is prohibitive for such amount of data: the development of faithful-ensuring systems is therefore crucial (Filippova, 2020).

This work addresses the issue, arguing that faithful models for DTT must include two main features. First, the ability to copy input content to the output should be able to establish strong links between the best-aligning parts of a table and a corresponding utterance. Second, the ability to avoid the generation of information which is not included in the table weakens the alignment between such table and the divergent part of the reference. Meanwhile, the faculty of producing content that can be inferred from the table should not be affected. Both desired features are taken into consideration: Chapter 4 introduces a copy-enabled system working on characters, while Chapter 5 proposes a framework for reducing hallucinations, composed of a labelling procedure and a Multi-Branch Decoder. Experimental results validate the effectiveness of the proposed frameworks, pushing the DTT field towards designing more reliable and robust Natural Language Generation systems.

A qualitative analysis of the outputs generated by faithful-oriented systems (Appendix A) draws attention to a tradeoff between sticking to the input facts

and allowing for more complex (and risky) inferences. Once obtained sufficiently precise models, their constraints should be relaxed to allow the inclusion of some implicit information. In the biography domain, for example, the age can be inferred from the year of birth, while the nationality should not be deduced from the first name. Specialized submodules for logic reasoning (Shi et al., 2020; P.-W. Wang et al., 2019) or mathematical deduction (J. Lee et al., 2019; Schlör et al., 2020) may be integrated in neural DTT architectures. Besides, handling numeric values can be included via a generalization of the copy mechanism. As seen in Chapter 4, the binary soft switch determines the current reasoning mode between generation and copy. An *n*-ary switch, in contrast, would allow additional modes such as the numeric one. Another research direction involves the development of neural submodules explicitly tailored for macro and macro-planning, following the traditional pipeline architecture described in Section 3.1 (Puduppully et al., 2019a). Such components can naturally account for numeric and logic operations in the form of actions.

As the proposed systems integrate a computational and memory overhead, either in the training phase (such as the RNN switch described in Section 4.2.2) or at inference (as in the Multi-Branch Decoder structure detailed by Section 5.4), future work should reduce such added costs. The introduction of the Transformer (Vaswani et al., 2017) and, consequently, of pre-trained Language Models (Devlin et al., 2019; Raffel et al., 2020), opens new possibilities in this direction. The former's architecture allows for more aggressive parallelization strategies, resulting in faster optimization steps. The latter reduces the number of steps required to obtain a well-working model, as the initial weights configuration already contains a general knowledge of natural language's structure and semantics. Recent developments in attention-based architectures, outlined in Sections 4.4 and 5.7, can be merged with the techniques proposed and analyzed in this thesis, tracing a good path for bridging the gap between research and production systems.

Appendix A

Controlling Hallucinations at Word Level

A.1 Alignment labels reproducibility

We consider as *important words*, i.e. nouns, adjectives or numbers, those which are Part-of-Speech tagged as NUM, ADJ, NOUN and PROPN.

In order to apply the score normalization function $norm(\cdot, y)$, we separate sentences y into statements $y_{t_i:t_{i+1}-1}$. To do so, we identify the set of introductory dependency relation labels¹, following previous work on rule-based systems for the conversion of dependency relations trees to constituency trees (Borensztajn et al., 2009; Han et al., 2000; Hwa et al., 2005; Xia & Palmer, 2001). Our segmentation algorithm considers every leaf token in the dependency tree, and seeks its nearest ancestor which is the root of a statement.

Two heuristics enforce the score normalization: (i) conjunctions and commas next to hallucinated tokens acquires these lasts' hallucination scores, and (ii) paired parentheses and quotes acquire the minimum inner tokens' hallucination score.

Part-of-Speech tagging has been done using the HuggingFace's Transformers library (Wolf et al., 2019) to fine-tune a BERT model (Devlin et al., 2019) on the UD English ParTUT dataset (Sanguinetti & Bosco, 2015); Stanza (Qi et al., 2020) has been exploited for dependency parsing.

A.2 Implementation details

Our system is implemented in Python 3.8^2 and PyTorch $1.4.0^3$. In particular, our multi-branch architecture is developed, trained and tested as an Open-NMT (Klein et al., 2017) model. Sentence lengths and Flesch index (Flesch, 1962) are computed using the standard style Unix command.

Differently to Perez-Beltrachini and Lapata (2018), we did not adapt the original WikiBio dataset⁴ in any manner: as we work on the model side, we fairly preserve the dataset's noisiness.

¹acl, advcl, amod, appos, ccomp, conj, csubj, iobj, list, nmod, nsubj, obj, orphan, parataxis, reparandum, vocative, xcomp; every dependency relation is documented in the Universal Dependencies website.

²http://www.python.org

³http://www.pytorch.org

⁴https://github.com/DavidGrangier/wikipedia-biography-dataset

Threshold	Accuracy	F-measure	Precision	Recall
0.0	70.2%	56.8%	42.2%	86.7%
0.4	86.0%	70.6%	67.0%	74.6%
0.8	85.8%	62.8%	77.3%	52.9%

TABLE A.1.1: Accuracy scores of our proposed word-level automated labels for different values of the threshold τ .

			PARENT			
Model		BLEU	Precision	Recall	F-measure	
MBD[.4, .1,	.5]	42.50%	79.26%	46.09%	55.95%	

TABLE A.1.2: The performances of our model on the WikiBio validation set.

Word-level alignment labels are computed setting m = 5, following Mikolov et al. (2013). As stated in Sec. 5.5.3, the threshold τ 's value is optimized for highest accuracy via human tuning: Table A.1.1 shows accuracy scores of our proposed automated labels for different values of τ .

We share the vocabulary between input and output, limiting its size to 20000 tokens. Hyperparameters were tuned using performances on the development set: Tab. A.1.2 reports the performances of our best performing *MBD* on the development set. Our encoder consist of a 600-dimensional embedding layer followed by a 2-layered bidirectional LSTM network with hidden states sized 600. We use the *general* attention mechanism with input feeding (T. Luong et al., 2015) and the same copy mechanism as See et al. (2017). Each branch of the multi-branch decoder is a 2-layered LSTM network with hidden states sized 600 as well.

Training is performed using the Adam algorithm (Kingma & Ba, 2015b) with learning rate $\eta = 10^{-3}$, $\beta_1 = 0.9$ and $\beta_2 = 0.999$. The learning rate is decayed with a factor of 0.5 every 10000 steps, starting from the 5000th one. We used minibatches of size 64 and regularized via clipping the gradient norm to 5 and using a dropout rate of 0.3. We used beam search during inference, with a beam size of 10.

All experiments were performed on a single NVIDIA Titan XP GPU. Number of parameters and training times are shown in Table A.1.3. Same model's differences between WikiBio and ToTTo are justified by the different datasets' number of instances and input vocabulary sizes.

A.3 Annotation interface

The human annotation procedure is done via a web application specifically developed for this research. Fig. A.2.1a shows how the hallucination tagging user interface looked like in practice, while in Fig. A.2.1b a typical sentence analysis page is shown.

Dataset	Model	Size [M]	Training time [h]
	stnd	41	5
WikiBio	stnd_filtered	41	5
	hal_{WO}	41	5
	MBD	55	10
ТоТТо	stnd	62	4
	stnd_filtered	62	4
	hal_{WO}	62	4
	MBD	76	8

TABLE A.1.3: Sizes and training times of the implemented models.



(A) Hallucination tagging

		amanda fosang			
	name	amanda fosang			
	image	file ajf headshot.jpg			
	image_size	180px			
	birth_place	melbourne , victoria , australia			
	nationality	australia			
	field	arthritis , cartilage , skeletal development , metalloprote	einases , aggrecan		
	work_institutions	the university of melbourne and -lsb- murdoch children	s research institute	-rsb-	
#1 amanda fosang is	s an australian .		Fluency	Factualness	Favorite
			Fluent	Factual	
			Mostly Fluent	Mostly Factual	
Coverage: 0 1	234567	78910+	Not Fluent	Not factual	
#2 amanda fosang is	s a professor of	arthritis at the university of	Fluency	Factualness	Favorite
melbourne , austral	lia .		Fluent	Factual	\checkmark
			Mostly Fluent	Mostly Factual	
Coverage: 0 1	234567	78910+	Not Fluent	Not factual	
#3 amanda fosang is	s a biomedical re	searcher who has pioneered arthritis	Fluency	Factualness	Favorite
research in austra	lia .		Fluent	Factual	
			Mostly Fluent	Mostly Factual	
Coverage: 0 1	234567	78910+	Not Fluent	Not factual	

(B) Sentence analysis

FIGURE A.2.1: The human annotation tasks, as presented to the annotators.

A.4 Qualitative examples

Tables A.3.1 to A.3.5 show word-level labeling of WikiBio training examples. Underlined, red words are hallucinated according either to our scoring procedure or to the method proposed by Perez-Beltrachini and Lapata (2018).

In the subsequent tables, some WikiBio (A.3.6 to A.3.15) and ToTTo (A.3.16 to A.3.18) inputs are shown, coupled with the corresponding sentences, either as found in the dataset, or as generated by our models and baselines.

KEY	VALUE
name	susan blu
birth_name	susan maria blupka
birth_date	12 july 1948
birth_place	st paul , minnesota , u.s.
occupation	actress , director , casting director
yearsactive	1968 - present
article_title	susan blu

Ref.: susan maria blu -lrb- born july 12 , 1948 -rrb- , sometimes credited as sue blu , is an american voice actress , voice director and casting director in american and canadian cinema and television . PB&L: susan maria blu -lrb- born july 12 , 1948 <u>-rrb-</u> , sometimes <u>credited as</u> sue blu , <u>is an</u> american voice actress , voice director and casting director <u>in american and canadian</u> cinema <u>and</u> television . Ours: susan maria blu -lrb- born july 12 , 1948 -rrb- , <u>sometimes</u> <u>credited as sue blu</u> , is an american voice actress , voice director and casting director <u>in american and canadian</u> cinema <u>and</u> television .

> TABLE A.3.1: Hallucinated words according either to our scoring procedure or to the method proposed by Perez-Beltrachini and Lapata (2018).

KEY	VALUE
name	patricia flores fuentes
birth_date	25 july 1977
birth_place	state of mexico , mexico
occupation	politician
nationality	mexican
article_title	patricia flores fuentes

Ref.: patricia flores fuentes -lrb- born 25 july 1977 -rrb- is a mexican politician affiliated to the national action party . PB&L: patricia flores fuentes <u>-lrb-</u> born 25 july 1977 <u>-rrb- is a</u> mexican politician <u>affiliated to the national action</u> party . Ours: patricia flores fuentes -lrb- born 25 july 1977 -rrb- is a mexican politician <u>affiliated to the national action</u> party .

> TABLE A.3.2: Hallucinated words according either to our scoring procedure or to the method proposed by Perez-Beltrachini and Lapata (2018).

KEY	VALUE	
<pre>name birth_date birth_place death_date death_place sport article_title</pre>	ate faber 19 march 1894 leeuwarden , netherlands 19 march 1962 zutphen , netherlands fencing ate faber	
Ref.: ate faber -lrb- 19 march 1894 - 19 march 1962 -rrb- was a dutch fencer . PB&L: ate faber <u>-lrb- 19</u> march 1894 <u>-</u> 19 march 1962 <u>-rrb- was</u> a <u>dutch</u> fencer <u>.</u> Ours: ate faber -lrb- 19 march 1894 - 19 march 1962 -rrb- was a dutch fencer .		

TABLE A.3.3: Hallucinated words according either to our scoring procedure or to the method proposed by Perez-Beltrachini and Lapata (2018).

KEY	VALUE
name	alex wilmot sitwell
birth_date	16 march 1961
birth_place	uk
occupation	president , europe and emerging markets -lrb- ex-asia
	-rrb- of bank of america merrill lynch
article_title	alex wilmot-sitwell

Ref.: alex wilmot-sitwell heads bank of america merrill lynch 's businesses across europe and emerging markets excluding asia . PB&L: alex wilmot-sitwell heads bank of america merrill lynch 's businesses across europe and emerging markets excluding asia . Ours: alex wilmot-sitwell heads bank of america merrill lynch 's businesses across europe and emerging markets excluding asia .

> TABLE A.3.4: Hallucinated words according either to our scoring procedure or to the method proposed by Perez-Beltrachini and Lapata (2018).

KEY	VALUE
name	ryan moore
spouse	nichole olson -lrb- m. 2011 -rrb-
children	tucker
college	unlv
yearpro	2005
tour	pga tour
prowins	4
pgawins	4
masters	t12 2015
usopen	t10 2009
open	t10 2009
pga	t9 2006
article_title	ryan moore -lrb- golfer -rrb-
Ref.: rvan da	vid moore -lrb- born december 5 . 1982 -rrb- is an

american professional golfer , currently playing on the pga tour . PB&L: ryan <u>david</u> moore <u>-lrb-</u> <u>born december 5</u> , <u>1982 -rrb-</u> <u>is an</u> <u>american professional golfer</u> , <u>currently playing on the pga tour</u> . Ours: ryan david moore <u>-lrb-</u> <u>born december 5</u> , <u>1982 -rrb-</u> is an american professional golfer , currently playing on the pga tour .

> TABLE A.3.5: Hallucinated words according either to our scoring procedure or to the method proposed by Perez-Beltrachini and Lapata (2018).

title	prince of noër
name	prince frederick
image	prinsen af noer.jpg
<pre>image_size</pre>	200px
spouse	countess henriette of danneskjold-samsøe mary
	esther lee
issue	prince frederick , count of noer prince christian
	louise , princess michael vlangali-handjeri
	princess marie
house	house ofschleswig-holstein-sonderburg-augustenburg
father	frederick christian ii , duke of
	schleswig-holstein-sonderburg-augustenburg
mother	princess louise auguste of denmark
birth_date	23 august 1800
birth_place	kiel
death_date	2 july 1865
death_place	beirut
article_title	prince frederick of
	schleswig-holstein-sonderburg-augustenburg
Gold	prince frederick emil august of schleswig-holstein- sonderburg-augustenburg (kiel, 23 august 1800 – beirut , 2 july 1865), usually simply known by just his first name, frederick, "prince of noër", was a prince of the house of schleswig-holstein-sonderburg-augustenburg and a cadet-line descendant of the danish royal house
stnd	prince frederick of schleswig-holstein-sonderburg- augustenburg (23 august 1800 – 2 july 1865) was a member of the house of schleswig-holstein-sonderburg-augustenburg
stnd_filtered	prince frederick of schleswig-holstein-sonderburg- augustenburg (23 august 1800 – 2 july 1865) was a german
hsmm	prince frederick of schleswig-holstein-sonderburg- augustenburg (23 august 1800 – 2 july 1865) was a danish noblewoman.
hier	prince frederick of schleswig-holstein-sonderburg- augustenburg () (23 august 1800 – 2 july 1865) was a german prince of the house of schleswig-holstein-sonderburg-
MBD[.4, .1, .5]	augustenburg . prince frederick of schleswig-holstein-sonderburg- augustenburg (; 23 august 1800 – 2 july 1865) was the son of frederick christian ii , duke of schleswig-holstein- sonderburg-augustenburg and princess louise auguste of denmark .

TABLE A.3.6: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

name	godgory group or hand
origin	group_or_band karlstad sweden
genre	melodic death metal doom metal gothic metal
years_active	1992 - 2004
label	nuclear blast
current_members	s matte andersson erik andersson
<pre>past_members</pre>	mikael dahlqvist stefan grundel henrik lindström
	fredric danielsson thomas heder
article_title	godgory
Gold	godgory was a swedish melodic death metal or death doom
	metal band that was formed august 1992 by erik andersson (
	drums) and matte andersson (vocals) of no relation .
stnd	godgory was a melodic death metal band from karlstad , swe-
	den .
stna_111terea	godgory was a swedish metal band .
nsmm	doath motal hand from karlstad, swodon
hier	godgory was a melodic death metal hand from sweden swe-
	den .
MBD[.4, .1, .5]	godgory was a swedish melodic death metal band from karl-
	stad.

TABLE A.3.7: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

	name		christy mihos
	Image		magaachugetta turnnike commiggien member
	pxrollice	-	inju 1 1000
	term_start	,	july 1, 1999
	cerm_end		July I, 2004
	successor		daniel grabauskas
	birth_date	9	13 June 1949
	birth_plac	ce	brockton , massachusetts
	nationalit	5y	american
	occupation	1	convenience store franchise owner
	spouse		andrea mihos (1974-2013 ; divorce)
	religion		greek orthodox
	alma_mater	:	stonehill college
	party		republican
	article_ti	ltle	christy mihos
Gold		chris	ty peter mihos is an american politician and businessman
		from	massachusetts .
stnd		chris	ty mihos (born june 13, 1949 in brockton, massachusetts
) is a	former republican member of the massachusetts house
		of rep	presentatives.
stnd_f	iltered	chris	ty mihos (born june 13, 1949 in brockton, massachusetts
) is a	n american politician .
hsmm		chris	ty mihos (born june 13, 1949) is an american politician
		from	the state of massachusetts .
hier		chris	ty mihos (born june 13 , 1949) is an american politician
		who	served as the massachusetts state representative from
		1999	to 2004
MBD[.4	15]	chris	ty mihos (born june 13 , 1949 , in brockton , mas-
	, _,	sach	isetts) is a former member of the massachusetts turnpike
		comr	nission.
		20111	

TABLE A.3.8: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

I	name	duncan eagleson
I	nationality	american
ā	area	painter , writer , penciller , colorist ,
		sculptor , designer
	article_tit	le duncan eagleson
Gold	C 1	duncan eagleson is an american self-trained painter and for- mer graffiti artist .
stnd	(duncan eagleson is an american painter , writer , and penciller
stnd_fi	ltered o	duncan eagleson is an american painter .
hsmm	c 1	duncan eagleson (born 1975) is an american comic book painter and writer .
hier	(5	duncan eagleson is an american painter , illustrator , and de- signer .
MBD[.4,	.1, .5] .	duncan eagleson is an american painter , writer , and sculptor

TABLE A.3.9: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

name	gerald warner brace
imagesize	20opx 24 contember 1001
birth place	24 September 1901
deeth dete	1511p , long Island , suitoik county , new york
death_date	20 July 1978
death_place	blue hill , maine
occupation	novelist , writer , educator , sailor , boat
	builder
nationality	american
genre	fiction , non-fiction
article_title	gerald warner brace
Gold	gerald warner brace (september 24, 1901 – july 20, 1978)
	was an american novelist, writer, educator, sailor and boat
	builder .
stnd	gerald warner brace (september 24 , 1901 – july 20 , 1978) was
	an american novelist , writer , and boat builder .
stnd_filtered	gerald warner brace (september 24 , 1901 – july 20 , 1978) was
	an american novelist .
hsmm	gerald warner brace (september 24, 1901 – july 20, 1978) was
	an american novelist and writer .
hier	gerald warner brace (september 24, 1901 – july 20, 1978) was
	an american novelist , short story writer , educator , and sailor
MBD[.4, .1, .5]	gerald warner brace (september 24, 1901 – july 20, 1978) was
	an american author, educator, sailor, and boat builder.

TABLE A.3.10: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

name	robert b. murrett		
image	robertbmurrett.jpg		
office	4th director of the national geospatial-intelligence agency		
	director of the office of naval intelligence		
president	george w. bush barack obama george w. bush		
term_start	2006 2005		
term_end	2010 2006		
predecessor	james r. clapper richard b. porterfield		
successor	letitia long tony l. cothron		
alma_mater	university at buffalo georgetown university joint military		
	intelligence college		
branch	united states navy		
rank	vice admiral 20px		
article_title	robert b. murrett		
Gold	vice admiral robert b. murrett was the fourth director of		
	the national geospatial-intelligence agency , from 7 july 2006		
	through july 2010.		
stnd	robert b. murrett is a retired vice admiral of the united states		
	navy.		
stnd_filtered	robert b. murrett is the director of the national geospatial-		
	intelligence agency.		
hsmm	robert b. " bob " murrett (born 1956) is an american naval		
	officer and the director .		
hier	robert b. murrett is a retired vice admiral in the united states		
	navy.		

TABLE A.3.11: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

name		rosane ferreira
image	9	deputada federal rosane ferreira.jpg
offic	ce	federal deputy for state of parná
term_	start	1 february 2011
term_	end	actual
president		dilma rousseff
order		federal deputy for the state of roraima
birth_date		31 july 1963
birth_place		clevelândia , parná , brazil
dead	-	alive
natio	onality	brazilian
party	7	green party (brazil)
artic	cle_title	rosane ferreira
Gold	rosar	ne ferreira (cleusa rosane ribas ferreira , born clevelândia
	, para	aná , july 31 , 1963) , is a nurse and a brazilian politician .
stnd	rosar	ne ferreira (born 31 july 1963 in clevelândia , parná) is a
	brazi	lian politician.
stnd filtered rosan		ne ferreira (born 31 july 1963) is a brazilian politician .
hsmm rosar		ne ferreira (born july 31 , 1963) is a brazilian politician
	and t	he federal deputy.
hier	rosar	ne ferreira (born 31 july 1963) is a brazilian politician and
	the c	urrent federal deputy for the state of roraima.
MBD[.4, .1,	.5] rosar	ne ferreira (born 31 july 1963 in clevelândia, parná, brazil
- • •) is a	brazilian politician.
	,	*

TABLE A.3.12: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

name gender birth_date birth_place discipline article_titl	polina miller f 23 november 1988 saint petersburg , russian sfsr , soviet union wag e polina miller		
Gold	polina miller (, born november 23 , 1988 in saint petersburg)		
	is a russian gymnast .		
stnd	polina miller (born november 23 , 1988) is a russian artistic		
	gymnast .		
stnd_filtered	polina miller (born november 23 , 1988) is a .		
hsmm	polina miller (born 23 november 1988 in saint petersburg) is		
	a russian artistic gymnast .		
hier	polina miller (born 23 november 1988) is a russian rhythmic		
	gymnast .		
MBD[.4, .1, .5]	b] polina miller (born 23 november 1988 in saint petersburg russian sfsr , soviet union) is a russian gymnast .		

TABLE A.3.13: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

	name		pat burke
	irish		pádraig de búrca
	sport code		gaelic football
			football
	county		dublin
	province		leinster
	clposition		corner forward
	club		kilmacud crokes
	clubs		kilmacud crokes
	counties		dublin
	icprovince		1
	birth_place article_title		dublin , ireland
-			pat burke (gaelic footballer)
Gold		pat burke	is an irish gaelic footballer who plays for dublin and
		kilmacud	crokes.
stnd		pat burke	is a gaelic footballer from dublin , ireland .
stnd filtered patburke		pat burke	is a gaelic footballer for dublin .
hsmm		pat burke	(born in dublin) is a former irish gaelic footballer
		who playe	ed as a gaelic footballer.
hier		pat burke	is a former gaelic footballer for dublin .
MBD[.4, .1	, .5] pat burke is a gaelic footballer from county dublin .		

TABLE A.3.14: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.

na	ame	odiakes	
ba	ackground	non_vocal_instrumentalist	
bi	irth_date	march 22	
01	rigin	tokyo , japan	
ir	nstrument	keyboard , synthesizer	
ge	enre	j-pop , techno	
00	ccupation	composer	
ye	ears_active	1998 - present	
ai	rticle_title	odiakes	
Gold	odiakes (born japan who has	n march 22) is a japanese composer from tokyo , s worked for a variety of visual novel companies	
stnd	, better known by his stage name odiakes , is a japanese com-		
stnd_filtered	odiakes is a ja	panese composer .	
hsmm	odiakes " odiakes " (born march 22) is a japanese composer .		
hier	composer (born march 22) is a japanese j-pop player.		
MBD[.4, .1, .5]	odiakes (borr	n march 22 in tokyo, japan) is a japanese com-	
	poser.		

TABLE A.3.15: A WikiBio input table, coupled with the corresponding sentence and the models-generated outputs.
	Club		Istiklol
	Season		2015
	League		Tajik League
	<pre>page_title</pre>		Parvizdzhon Umarbayev
	section_title		Club
	secti	on_text	As of match played 29 July 2018
Gold		In 2015 , U	Jmarbayev signed for Tajik League FC Istiklol .
stnd		Umarbay	ev joined Tajik League side Istiklol in 2015 .
stnd_filtered 2015		2015 , he j	oined Istiklol in the Tajik League .
hal_WO		Parvizdzł	non joined Tajik League club Istiklol in 2015 .
MBD[.4, .1	, .5]	Umarbay	ev signed with Istiklol ahead of the 2015 Tajik League
		season.	

TABLE A.3.16: A ToTTo input table, coupled with the corresponding sentence and the models-generated outputs.

Rank	5		
Island	Hulhumeedhoo		
page_title	List of islands of the Maldives		
section_title	Islands by area size		
section_text	This list ranks the top 10 islands of the Maldives by area		
	Some islands in the Maldives , although geographically one		
	island , are divided into two administrative islands (for		
	example , Gan and Maandhoo in Laamu Atoll) .		
Gold	Hulhumeedhoo is the 5th largest island in Maldives .		
stnd	It has a area of Hulhumeedhoo km ² (Islands sq mi) .		
stnd_filtered	tered is the fourth of the Maldives in Maldives .		
hal_WO	Hulhumeedhoo is the largest islands of the Maldives by area		
	size.		
MBD[.4, .1, .5] Hulhumeedhoo is the fifth largest island by area size .		

TABLE A.3.17: A ToTTo input table, coupled with the corresponding sentence and the models-generated outputs.

	Single page_title section_title	24.7 (Twenty-Four Seven) Singular (band) 2010			
Gold	In 2010 , Singular released its first single , " 24.7 (Twenty				
	Seven)".				
stnd	The first sing	gle , 24.7 (Twenty-Four Seven) , was released in			
	2010.				
stnd_filtered	The band wo	The band won the 24.7 (Twenty-Four Seven) .			
hal_WO	24.7 (Twenty	24.7 (Twenty-Four Seven).			
MBD[.4, .1, .	5] Singular rele	Singular released their first album , 24.7 (Twenty-Four Seven			
).				

TABLE A.3.18: A ToTTo input table, coupled with the corresponding sentence and the models-generated outputs.

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