

Observation of Structures in the Processes $e^+e^- \rightarrow \omega\chi_{c1}$ and $\omega\chi_{c2}$

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We present measurements of the Born cross sections for the processes $e^+e^- \rightarrow \omega\chi_{c1}$ and $\omega\chi_{c2}$ at center-of-mass energies \sqrt{s} from 4.308 to 4.951 GeV. The measurements are performed with data samples corresponding to an integrated luminosity of 11.0 fb⁻¹ collected with the BESIII detector operating at the Beijing Electron Positron Collider storage ring. Assuming the $e^+e^- \rightarrow \omega\chi_{c2}$ signals come from a single resonance, the mass and width are determined to be $M = (4413.6 \pm 9.0 \pm 0.8)$ MeV/ c^2 and $\Gamma = (110.5 \pm 15.0 \pm 2.9)$ MeV, respectively, which is consistent with the parameters of the well-established resonance $\psi(4415)$. In addition, we also use one single resonance to describe the $e^+e^- \rightarrow \omega\chi_{c1}$ line shape and determine the mass and width to be $M = (4544.2 \pm 18.7 \pm 1.7)$ MeV/ c^2 and $\Gamma = (116.1 \pm 33.5 \pm 1.7)$ MeV, respectively. The structure of this line shape, observed for the first time, requires further understanding.

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In the past decades, charmonium physics has gained great interest from both theory and experiment, stimulated by the observations of charmoniumlike states, such as $X(3872)$, $Y(4230)$, and $Z_c(3900)$ [1–3]. These states, comprised of charm-anticharm ($c\bar{c}$) quark pairs, do not fit in the conventional charmonium spectroscopy and could be exotic states [4–7]. Above the open-charm threshold, besides the three well-known vector structures observed in the inclusive hadronic cross section, i.e., $\psi(4040)$, $\psi(4160)$, and $\psi(4415)$ [8], a few vector Y states are also observed, namely, $Y(4230)$, $Y(4360)$, $Y(4500)$, and $Y(4660)$ in the hidden-charm final states [2,9–11] and $Y(4790)$ in the $D_s^{*+}D_s^{*-}$ final state [12]. The overpopulation of observed vector states compared to the expected charmonium states in this energy region implies the presence of new formation mechanisms. Some theoretical models interpret these as charmonium hybrids or tetraquark states [5–7]. More experimental measurements are needed to understand the nature of these states.

In addition, the conventional vector charmonium states above the open-charm threshold have not been understood well yet. Besides the inclusive hadronic final states, they are seldom observed in exclusive final states [8]. Especially, the $\psi(4415)$ has never been observed in any hidden-charm decay [8]. Therefore, a search for new decays is essential to enhance our understanding of these states.

The BESIII Collaboration has reported the first observation of the process $e^+e^- \rightarrow \omega\chi_{c1}$ at the center-of-mass energy $\sqrt{s} = 4.600$ GeV and $e^+e^- \rightarrow \omega\chi_{c2}$ at $\sqrt{s} = 4.420$ GeV [13]. The \sqrt{s} -dependent line shapes of their cross sections, however, are still absent, key information which could help us clarify the sources of these signals and gain further insights into these observed vector states. Since then, the BESIII Collaboration has collected more data in a wider center-of-mass energy range, allowing for the determination of the $e^+e^- \rightarrow \omega\chi_{c1,2}$ line shape with high precision.

In this Letter, we report studies of the processes $e^+e^- \rightarrow \omega\chi_{c1,2}$ based on the e^+e^- annihilation data taken at $\sqrt{s} = 4.308$ to 4.951 GeV in 2013–2014 and 2019–2021, where $\chi_{c1,2}$ are reconstructed via the decay modes $\chi_{c1,2} \rightarrow \gamma J/\psi$, $J/\psi \rightarrow \ell^+\ell^-$ ($\ell = e, \mu$), and ω is reconstructed via its decay $\omega \rightarrow \pi^+\pi^-\pi^0$, $\pi^0 \rightarrow \gamma\gamma$.

The BESIII detector is a magnetic spectrometer [14] located at the Beijing Electron Positron Collider (BEPCII) [15]. The cylindrical core of the BESIII detector consists of a helium-based multilayer drift chamber (MDC), a plastic scintillator time-of-flight system (TOF), and a CsI (TI) electromagnetic calorimeter (EMC), which are all enclosed in a superconducting solenoidal magnet, providing a 1.0 T magnetic field. The solenoid is supported by an octagonal flux-return yoke with resistive plate chamber muon identifier modules interleaved with steel. The acceptance of charged particles and photons is 93% over the 4π solid angle. The charged-particle momentum resolution at 1 GeV/ c is 0.5%, and the dE/dx resolution is 6% for the electrons from Bhabha scattering. The EMC measures photon energies with a resolution of 2.5% (5%) at 1 GeV in the barrel (end cap) region. The time resolution of the TOF

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barrel section is 68 ps, while that of the end cap section is 110 ps. The end cap TOF system was upgraded in 2015 with multigap resistive plate chamber technology, providing a time resolution of 60 ps [16]; this improvement benefits about 70% of the data sample used in this work.

This analysis is performed based on the data samples collected at 25 energy points in the range of $\sqrt{s} = 4.308\text{--}4.951$ GeV. The center-of-mass energy and the integrated luminosity of each sample are measured with the dimuon and Bhabha processes, respectively [17–19], as listed in Supplemental Material [20]. A GEANT4-based Monte Carlo (MC) simulation is implemented to optimize the signal selection criteria, extract the efficiencies, and study the backgrounds. The $e^+e^- \rightarrow \omega\chi_{c1,2}$ signal samples at each energy point are simulated according to the phase space model with the initial state radiation (ISR) effect taken into account.

The candidate events must comprise four good charged tracks with zero net charge and at least three photons, because the final states of the signal processes include $3\gamma\pi^+\pi^-\ell^+\ell^-$ ($\ell = e, \mu$). Here, each good charged track needs to satisfy the following criteria: The distance of closest approach to the interaction point is within 10 cm along the beam direction and 1 cm in the plane perpendicular to the beam direction. In addition, the polar angles (θ) of the tracks must be within the fiducial volume of the MDC ($|\cos\theta| < 0.93$). Photon candidates are reconstructed from isolated showers in the EMC at least 10° away from the nearest charged tracks. The photon energy is required to be at least 25 MeV in the barrel region ($|\cos\theta| < 0.80$) or 50 MeV in the end cap region ($0.86 < |\cos\theta| < 0.92$). To suppress electronic noise and energy depositions unrelated to the event, the EMC cluster timing from the reconstructed event start time is further required to satisfy $0 \leq t \leq 700$ ns.

The tracks with momenta higher than 1 GeV/ c are identified as the leptons from J/ψ ; otherwise, they are treated as the pions from the ω decay. Furthermore, electron candidates need to deposit an energy larger than 1 GeV in the EMC, and muon candidates less than 0.4 GeV. In order to further suppress the backgrounds and improve the mass resolutions, a five constraint (5C) kinematic fit is implemented by constraining the total four-momentum of the reconstructed particles to the total four-momentum of the colliding beams and the invariant mass of two photons to the π^0 nominal mass. If more than one combination is found among the final state particles, the one with the least χ_{5C}^2 of the kinematic fit is chosen. The χ_{5C}^2 is required to be less than 60.

The results at $\sqrt{s} = 4.436$ and 4.600 GeV are shown and discussed as examples in the main text due to their high signal significances. With all the event selection criteria imposed, the distributions of $M(\pi^+\pi^-\pi^0)$ versus $M(\ell^+\ell^-)$ of the candidate events are shown in Fig. 1. Clear event accumulations appear in the ω and J/ψ signal regions,

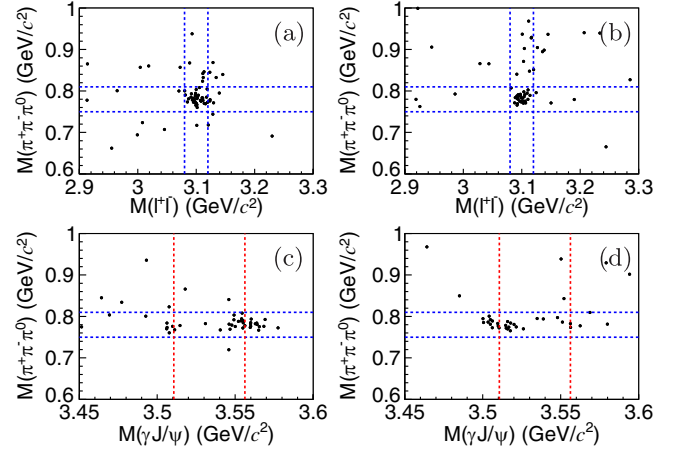


FIG. 1. Distributions of $M(\pi^+\pi^-\pi^0)$ versus $M(\ell^+\ell^-)$ for data at $\sqrt{s} = 4.436$ (a) and 4.600 GeV (b) and distributions of $M(\pi^+\pi^-\pi^0)$ versus $M(\gamma J/\psi)$ for data at $\sqrt{s} = 4.436$ (c) and 4.600 GeV (d). The blue dashed lines mark the signal regions of ω and J/ψ , and the red dashed lines mark the nominal masses of $\chi_{c1,2}$.

which are defined as [0.75, 0.81] and [3.08, 3.12] GeV/ c^2 , respectively. The ω and J/ψ mass windows are about 3 times the width of signal MC shape. The efficiency of the mass windows varies from 82% to 91% depending on the collision energy. The distributions of $M(\pi^+\pi^-\pi^0)$ versus $M(\gamma J/\psi)$ after the J/ψ mass window requirement are also shown in Fig. 1. Significant $\omega\chi_{c2}$ signals are observed at $\sqrt{s} = 4.436$ GeV, and the $\omega\chi_{c1}$ signals at $\sqrt{s} = 4.600$ GeV.

After performing the selection of the ω signal, Fig. 2 shows the corresponding one-dimensional projections on the $M(\gamma J/\psi)$ distribution which are used to extract the signal yields. The backgrounds are studied using the ω - J/ψ two-dimensional sideband regions defined as [0.66, 0.72] and [0.84, 0.90] GeV/ c^2 for the ω and [3.00, 3.06] and [3.14, 3.20] GeV/ c^2 for the J/ψ . No significant peaking background is observed.

For the energy points where the signal is observed with significance above 3σ , an unbinned maximum-likelihood fit is performed on the $M(\gamma J/\psi)$ spectrum of selected events to determine the χ_{c1} and χ_{c2} yields. The fit function is a sum of the MC-determined χ_{c1} and χ_{c2} shapes and a flat background. Figure 2 shows the fit results at $\sqrt{s} = 4.436$

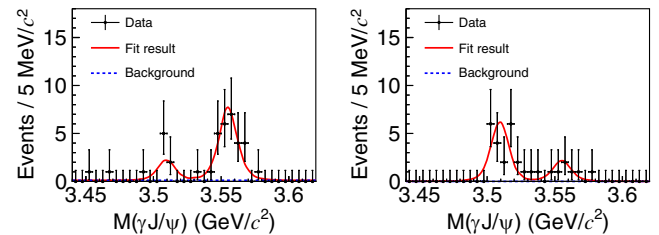


FIG. 2. Fits to the $M(\gamma J/\psi)$ distributions at $\sqrt{s} = 4.436$ (left) and 4.600 GeV (right), respectively.

and 4.600 GeV. The goodness of the fit $\chi^2/\text{n.d.f.}$ is 29.3/33 at $\sqrt{s} = 4.436$ and 27.1/33 at 4.600 GeV, where n.d.f. denotes the number of degrees of freedom. For the energy points with a signal significance less than 3σ , the signal yields are obtained by directly counting the events in the χ_{c1} and χ_{c2} signal regions which are defined as [3.49, 3.53] and [3.536, 3.576] GeV/c^2 , respectively. The background is estimated in the $\chi_{c1,2}$ sideband in [3.43, 3.47] GeV/c^2 and then subtracted. The results at all the energy points used in this work are summarized in Supplemental Material [20].

The Born cross section at each energy point is calculated by

$$\sigma^B(e^+e^- \rightarrow \omega\chi_{c1,2}) = \frac{N^{\text{sig}}}{\mathcal{L}_{\text{int}}\epsilon(1+\delta)\frac{1}{|1-\Pi|^2}(\mathcal{B}_e + \mathcal{B}_\mu)\mathcal{B}_1}, \quad (1)$$

where N^{sig} is the signal yield, \mathcal{L}_{int} is the integrated luminosity, ϵ is the selection efficiency obtained with the signal MC, \mathcal{B}_e is the branching fraction $\mathcal{B}(J/\psi \rightarrow e^+e^-)$, \mathcal{B}_μ is $\mathcal{B}(J/\psi \rightarrow \mu^+\mu^-)$, \mathcal{B}_1 is $\mathcal{B}(\chi_{c1,2} \rightarrow \gamma J/\psi) \times \mathcal{B}(\omega \rightarrow \pi^+\pi^-\pi^0) \times \mathcal{B}(\pi^0 \rightarrow \gamma\gamma)$, $(1/|1-\Pi|^2)$ is the vacuum polarization factor [21], and $(1+\delta)$ is the radiative correction factor [22,23]. We can see the cross section is obtained using selection efficiency and radiative correction factor, which, in turn, depends on the input cross section distribution in MC simulation [24]. So the iterative procedure is used to determine the Born cross section [24]. The values of the $e^+e^- \rightarrow \omega\chi_{c1,2}$ cross sections at different energy points can be found in Supplemental Material [20].

The systematic uncertainties of the Born cross section measurements come mainly from luminosity measurement, tracking efficiency, photon detection, kinematic fit requirement, J/ψ mass window, angular distribution, line shape, fit range, signal shape, background shape, and branching fraction.

The uncertainty from the luminosity measurement is about 1.0% [17–19]. The uncertainty in tracking efficiency is obtained as 1.0% per track [25], so a 4.0% uncertainty contributes to the final results. The uncertainty in photon reconstruction is about 1.0% per photon, which is estimated with a control sample of $J/\psi \rightarrow \rho^0\pi^0$ decays [26].

The uncertainty caused by the 5C kinematic fit is estimated with the method of correcting the track helix parameters, where the correction factors for π , e , and μ are obtained by using control samples of $e^+e^- \rightarrow \pi^+\pi^-J/\psi$, $J/\psi \rightarrow e^+e^-$, and $\mu^+\mu^-$ [27]. The difference in detection efficiency with and without the correction is taken as the systematic uncertainty. The corresponding uncertainty from the J/ψ mass window cut is estimated using the control sample $e^+e^- \rightarrow \gamma_{\text{ISR}}\psi(3686)$, $\psi(3686) \rightarrow \pi^+\pi^-J/\psi$, and 1.6% is assigned as the associated systematic uncertainty [28].

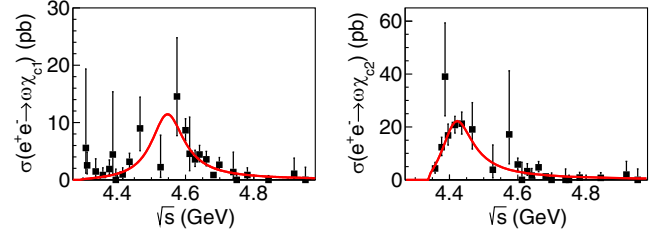


FIG. 3. Fits to the cross sections of $e^+e^- \rightarrow \omega\chi_{c1}$ (left) and $e^+e^- \rightarrow \omega\chi_{c2}$ (right) with one single resonance.

In order to estimate the uncertainty caused by the ω angular distribution in the signal simulation, the ω helicity angle function is set to $1 \pm \cos^2\theta$ in the generator instead of the phase space model, where θ is the polar angle of ω in the e^+e^- rest frame. The efficiency difference between them divided by $\sqrt{12}$ is used as the systematic uncertainty [29]. For the processes $e^+e^- \rightarrow \omega\chi_{c1,2}$, single Breit-Wigner (BW) functions describe the line shapes well. To estimate the uncertainty from the line shapes, we change the mass and width of the BW functions by $\pm 1\sigma$, and the maximum change of the results is regarded as the systematic uncertainty.

To examine the systematic uncertainty due to the fits to extract the $\chi_{c1,2}$ yields, we change the fit range by $\pm 10 \text{ MeV}/c^2$, and the maximum difference between the new and nominal results is regarded as the systematic uncertainty. In order to estimate the uncertainty from the signal shape, we use the MC-determined line shape convolved with a Gaussian function as an alternative description, and the change to the fitted yield is regarded as the systematic uncertainty. In order to estimate the systematic uncertainty caused by the smooth background shape, which is constant in the nominal fit, we describe the background by a linear function, and the difference to the fitted signal yield is regarded as the systematic uncertainty. The uncertainty from the branching fractions quoted from the PDG [8] is considered as a systematic uncertainty.

All the systematic uncertainties on the $e^+e^- \rightarrow \omega\chi_{c1,2}$ cross sections are summarized in Supplemental Material [20]. The overall systematic uncertainties are obtained by adding each systematic uncertainty in quadrature under the assumption that they are independent. This results in a total systematic uncertainty of about 6%–10% for each energy point.

Figure 3 shows the $e^+e^- \rightarrow \omega\chi_{c1,2}$ dressed cross sections (the cross section without the correction for vacuum polarization, $\sigma = (\sigma^B/|1-\Pi|^2)$) at each energy point. Assuming that the $\omega\chi_{c1}$ and $\omega\chi_{c2}$ signals each come from one single resonance, we fit these cross sections with a maximum-likelihood method. In the fit, the structure is described by a BW function:

$$\sigma(\sqrt{s}) = \frac{12\pi\Gamma_{ee}\mathcal{B}(\omega\chi_{cJ})\Gamma}{(s-M^2)^2 + M^2\Gamma^2} \times \frac{\Phi(\sqrt{s})}{\Phi(M)}, \quad (2)$$

where M is the mass, Γ is the total width, Γ_{ee} is the electronic partial width, and $\Phi(\sqrt{s})$ is the two-body phase space factor. The fit results are $M_1 = (4544.2 \pm 18.7 \pm 1.7)$ MeV/ c^2 , $\Gamma_1 = (116.1 \pm 33.5 \pm 1.7)$ MeV, and $\Gamma_{ee}\mathcal{B}(\omega\chi_{c1}) = (1.86 \pm 0.32 \pm 0.13)$ eV for the $e^+e^- \rightarrow \omega\chi_{c1}$ process and $M_2 = (4413.6 \pm 9.0 \pm 0.8)$ MeV/ c^2 , $\Gamma_2 = (110.5 \pm 15.0 \pm 2.9)$ MeV, and $\Gamma_{ee}\mathcal{B}(\omega\chi_{c2}) = (3.17 \pm 0.39 \pm 0.24)$ eV for the $e^+e^- \rightarrow \omega\chi_{c2}$ process, where the first uncertainties are statistical and the second systematic as discussed later. The fit qualities are $\chi^2/\text{n.d.f.} = 25.0/22$ for $\omega\chi_{c1}$ and $\chi^2/\text{n.d.f.} = 11.9/19$ for $\omega\chi_{c2}$; both are acceptable, implying that the $e^+e^- \rightarrow \omega\chi_{c1,2}$ cross sections can be well described by a single BW function, respectively. With the current amount of data, it is unclear whether there are more resonances in these two line shapes, which needs more data to confirm. We also try to fit the $e^+e^- \rightarrow \omega\chi_{c1,2}$ cross sections using a coherent sum of BW function and phase space term and find that the phase space term does not contribute significantly. The statistical significances of the two resonances over the phase space term are 5.9σ for $e^+e^- \rightarrow \omega\chi_{c1}$ and 10.7σ for $e^+e^- \rightarrow \omega\chi_{c2}$. Taking into account systematic uncertainties decreases the significance of the structure in $e^+e^- \rightarrow \omega\chi_{c1}$ by less than 0.1σ . This indicates that the structure in $e^+e^- \rightarrow \omega\chi_{c1}$ is observed for the first time. The parameters of the structure observed in $e^+e^- \rightarrow \omega\chi_{c2}$ are consistent with the known $\psi(4415)$ parameters [8].

The sources of systematic uncertainties on the resonant parameters are dominated by those due to the beam energy, BW parametrization, and cross section measurement.

We conservatively take 0.8 MeV as the systematic uncertainty in the beam energy [30] for the mass measurements of the resonances. To estimate the uncertainty from the BW parametrization, Γ is set to be the energy-dependent width $\Gamma(\sqrt{s}) = \Gamma^0(\sqrt{s}/M)$, where Γ^0 is the nominal width of the resonance. The difference between the updated and nominal results is taken as the corresponding systematic uncertainty.

The systematic uncertainty of the cross section measurement consists of two parts: One is the uncorrelated uncertainty due to the 5C kinematic fit, line shape, and angular distribution, while the other is the common uncertainty that includes all other systematic sources mentioned above. The former part is considered by including the uncorrelated systematic uncertainty in the fit to the cross section; the change of the fitted parameter is taken as the systematic uncertainty. The latter part is common for all data points, and we simultaneously vary the cross sections at each energy point by $\pm 1\sigma$ of the systematic uncertainty. The difference between the new and nominal results is taken as the systematic uncertainty. The total systematic uncertainty is obtained by adding these two items in quadrature under the assumption that they are independent.

TABLE I. Systematic uncertainties on the resonant parameters. The first values in brackets are for the structure in $e^+e^- \rightarrow \omega\chi_{c1}$, and the second for the structure in $e^+e^- \rightarrow \omega\chi_{c2}$.

	$\Gamma_{ee}\mathcal{B}(\omega\chi_{cJ})$ (eV)	M (MeV/ c^2)	Γ (MeV)
Beam energy	(-, -)	(0.8, 0.8)	(-, -)
Parametrization	(0.01, 0.07)	(1.1, 0.2)	(0.2, 2.9)
Cross section	(0.13, 0.23)	(0.9, 0.1)	(1.7, 0.3)
Total	(0.13, 0.24)	(1.7, 0.8)	(1.7, 2.9)

Table I summarizes all the systematic uncertainties of the resonant parameters. The overall systematic uncertainties are obtained by adding all the sources of systematic uncertainties in quadrature.

In summary, the $e^+e^- \rightarrow \omega\chi_{c1,2}$ Born cross sections have been measured at the center-of-mass energies from 4.308 to 4.951 GeV at the BESIII experiment. For each process, a nontrivial feature is observed in the cross section line shape. Under the assumption that one single resonance describes the corresponding shape, the mass and width for $e^+e^- \rightarrow \omega\chi_{c1}$ are determined to be $M = (4544.2 \pm 18.7 \pm 1.7)$ MeV/ c^2 and $\Gamma = (116.1 \pm 33.5 \pm 1.7)$ MeV, respectively. This structure is observed for the first time with a significance of 5.8σ . The mass is significantly higher compared to the structures recently observed around 4.480 GeV/ c^2 in $e^+e^- \rightarrow K^+K^-J/\psi$ and $e^+e^- \rightarrow D^{*0}D^{*-}\pi^+$ [10,31]. It is yet unclear whether or not these two states are the same based on the currently available information, and further measurements with higher precision in this energy region will be necessary. For the $e^+e^- \rightarrow \omega\chi_{c2}$ process, the extracted parameters are $M = (4413.6 \pm 9.0 \pm 0.8)$ MeV/ c^2 and $\Gamma = (110.5 \pm 15.0 \pm 2.9)$ MeV, suggesting that this is likely the well-known $\psi(4415)$ and implying the existence of the hidden-charm decay $\psi(4415) \rightarrow \omega\chi_{c2}$.

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