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Prognostic role of CHA$_2$DS$_2$-VASc score for mortality risk assessment in non-advanced idiopathic pulmonary fibrosis: a preliminary observation

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Abstract

During the last decade, the CHA$_2$DS$_2$-VASc score has been used for stratifying the mortality risk in both atrial fibrillation (AF) and non-AF patients. However, no previous study considered this score as a prognostic indicator in non-AF patients with mild-to-moderate idiopathic pulmonary fibrosis (IPF). All consecutive non-AF patients with mild-to-moderate IPF, diagnosed between January 2016 and December 2018 at our Institution, entered this study. All patients underwent physical examination, blood tests, spirometry, high-resolution computed tomography and transthoracic echocardiography. CHA$_2$DS$_2$-VASc score, Gender-Age-Physiology (GAP) index and Charlson Comorbidity Index (CCI) were determined in all patients. Primary endpoint was all-cause mortality, while the secondary endpoint was the composite of all-cause mortality and rehospitalizations for all causes over mid-term follow-up. 103 consecutive IPF patients (70.7 ± 7.3 yrs, 79.6% males) were retrospectively analyzed. At the basal evaluation, CHA$_2$DS$_2$-VASc score, GAP index and CCI were 3.7 ± 1.6, 3.6 ± 1.2 and 5.5 ± 2.3, respectively. Mean follow-up was 3.5 ± 1.3 yrs. During the follow-up period, 29 patients died and 43 were re-hospitalized (44.2% due to cardiopulmonary causes). On multivariate Cox regression analysis, CHA$_2$DS$_2$-VASc score (HR 2.15, 95% CI 1.59–2.91) and left ventricular ejection fraction (LVEF) (HR 0.91, 95% CI 0.86–0.97) were independently associated with all-cause mortality in IPF patients. CHA$_2$DS$_2$-VASc score (HR 1.66, 95% CI 1.39–1.99) and LVEF (HR 0.94, 95% CI 0.90–0.98) also predicted the secondary endpoint in the same study group. CHA$_2$DS$_2$-VASc score > 4 was the optimal cut-off for predicting both outcomes. At mid-term follow-up, a CHA$_2$DS$_2$-VASc score > 4 predicts an increased risk of all-cause mortality and rehospitalizations for all causes in non-AF patients with mild-to-moderate IPF.

Keywords Idiopathic interstitial pneumonia · Risk score · Prognostic factors · Mortality

Abbreviations

2D	Two-dimensional
6MWT	Six-minute walking test
ACEIs	Angiotensin-converting enzyme inhibitors
AF	Atrial fibrillation
ARBs	Angiotensin II receptor blockers
AUC	Area under the curve
CAC	Coronary artery calcification
CAD	Coronary artery disease
CCI	Charlson comorbidity index
CHA$_2$DS$_2$-VASc	Congestive heart failure or left ventricular dysfunction, Hypertension, Age ≥ 75 years, Diabetes, Stroke/TIA, Vascular disease, Age 65–74 years, and Sex category
CIs	Confidence intervals
COPD	Chronic obstructive pulmonary disease
CRP	C-reactive protein

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DLCO	Diffusing capacity of the lungs for carbon monoxide
ECG	Electrocardiography
eGFR	Estimated glomerular filtration rate
FEV1	Forced expiratory volume in the first second
FVC	Forced vital capacity
GAP	Gender-age-physiology
GERD	Gastroesophageal reflux disease
HAS-BLED	Hypertension, Abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile international normalized ratio, Elderly (> 65 years), Drugs/alcohol concomitantly
HRCT	High-resolution computed tomography
HU	Hounsfield unit
IPF	Idiopathic pulmonary fibrosis
IVC	Inferior vena cava
LV	Left ventricular
LVEF	Left ventricular ejection fraction
NT-proBNP	N-terminal pro-brain natriuretic peptide
OSAS	Obstructive sleep apnea syndrome
RDW	Red cell distribution width
ROC	Receiver operating characteristics
SPAP	Systolic pulmonary artery pressure
STE	Speckle tracking echocardiography
TAPSE	Tricuspid annular plane systolic excursion
TRV	Tricuspid regurgitation velocity
TTE	Transthoracic echocardiography
UIP	Usual interstitial pneumonia

Introduction

Idiopathic pulmonary fibrosis (IPF) is a chronic, progressive, interstitial lung disease of unknown cause, with a median survival of about 2.5–5 years after definite diagnosis [1]. Its prevalence is increasing worldwide [2].

In addition to the adverse effects caused by pulmonary fibrosis, IPF patients have an increased risk of adverse cardiovascular manifestations, such as pulmonary hypertension, right heart failure, coronary artery disease (CAD), cardiac arrhythmias and stroke [3–5]. Indeed, after respiratory failure, cardiovascular disease is the second main cause of death in these patients [5].

For this reason, it is mandatory to research prognostic indicators that could independently predict the risk of death and/or cardiovascular events in IPF patients.

During the last decade, several biochemical, spirometric, radiological and echocardiographic prognostic indicators [6–20] have been separately investigated in IPF patients. In addition, a number of clinical scores evaluating comorbid conditions, such as the coronary artery calcification (CAC) score [21], the Gender-Age-Physiology (GAP) index [22] and the Charlson comorbidity index (CCI) [23], have been employed for predicting the risk of mortality and/or adverse clinical events in IPF patients.

Given the association between IPF and increased risk of cardiovascular and thromboembolic events [24], the CHA_2DS_2-VASc (Congestive heart failure or left ventricular dysfunction, Hypertension, Age $\geq$ 75 years, Diabetes, Stroke/TIA, Vascular disease, Age 65–74 years, and Sex category) score might improve the prognostic risk stratification of these patients. This score, developed by Lip GY et al. [25] in 2010, is actually recommended for estimating thromboembolic risk and deciding on anticoagulation therapy in atrial fibrillation (AF) patients [26, 27]. In the last few years, the incremental prognostic role of CHA_2DS_2-VASc score has been demonstrated in several cardiovascular [28–33] and non-cardiovascular diseases [34, 35], irrespective of AF presence.

Whether the CHA_2DS_2-VASc score stratifies mortality risk in non-AF patients with mild-to-moderate IPF is unknown and, as far as we know, literature data are missing.

Accordingly, the present study was primarily designed to investigate whether the CHA_2DS_2-VASc score can predict the primary outcome of "all-cause mortality" over a medium-term follow-up in a consecutive population of IPF patients without severe pulmonary hypertension and with no evidence of AF. The prognostic value of other clinical scores, such as the HAS-BLED (Hypertension, Abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile international normalized ratio, Elderly > 65 years, Drugs/alcohol concomitantly) score [36], the CAC score, the GAP index and the CCI was also examined in the same study population.

METHODS

Patient selection

All consecutive patients with mild-to-moderate IPF, followed at the Division of Pneumology of San Giuseppe Hospital, a tertiary reference center for interstitial lung diseases in Italy, between January 2016 and December 2018, were retrospectively analyzed. The study period was chosen to provide at least three years of follow-up on participants.

Diagnosis of IPF was made according to the latest clinical practice guidelines [1] by adopting a multidisciplinary approach involving pulmonologists, radiologists, and pathologists experienced in the diagnosis of interstitial lung disease, especially for those patients with a radiological pattern

of probable usual interstitial pneumonia (UIP) or indeterminate for UIP.

Inclusion criteria were: 1) mild-to-moderate IPF defined by forced vital capacity (FVC) > 50% and diffusing capacity of the lungs for carbon monoxide (DLCO) > 35% and by a tricuspid regurgitation velocity (TRV) < 3.4 m/sec, as noninvasively assessed by two-dimensional (2D) transthoracic echocardiography (TTE) [37]; 2) no evidence of AF; 3) IPF patients who had undergone a multi-instrumental approach comprehensive of blood tests, spirometry and DLCO, six-minute walking test (6MWT), high resolution computed tomography (HRCT), electrocardiography (ECG) and 2D-TTE; 4) hemodynamic stability for at least three months at the time of enrollment.

Criteria of exclusion were the following: FVC ≤ 50%; DLCO ≤ 35%; IPF patients with a diagnosis of AF based on 12-lead ECG or 24-h ECG Holter or cardiac telemetry monitoring in hospitalized patients and/or with a history of chronic AF; IPF patients with a high probability of severe pulmonary hypertension; IPF patients with congestive right heart failure at basal evaluation; IPF patients without complete spirometry, ECG and echocardiographic data.

Following demographic, anthropometric, clinical and biochemical parameters were collected from the patients' hospital medical charts: anagraphic age; body surface area; body mass index; prevalence of following cardiovascular risk factors: smoking history and smoking exposure pack-years, hypertension (defined as arterial blood pressure persistently ≥ 140/90 mmHg or treatment with one or more anti-hypertensive drugs), type 2 diabetes mellitus (defined as a fasting serum glucose level ≥ 126 mg/dl confirmed by several tests performed in different days or treatment with one or more oral or parenteral hypoglycemic agents) and dyslipidemia (defined as serum total cholesterol ≥ 200 mg/dl, serum HDL-cholesterol ≤ 40 mg/dl and serum triglycerides ≥ 150 mg/dl); history of CAD (previous acute coronary syndrome, previous percutaneous and/or surgical coronary revascularization); history of stroke and/or transient ischemic attack; information concerning the patient's atherosclerotic disease burden, such as the degree of carotid artery stenosis, coronary artery calcification and lower extremity peripheral artery disease; pulmonary function tests; the total distance walked during 6MWT; electrocardiographic data (heart rate and pattern of intraventricular conduction); the main comorbidities, such as cancers, chronic obstructive pulmonary disease (COPD), obstructive sleep apnea syndrome (OSAS), gastroesophageal reflux disease (GERD), hypothyroidism and mixed anxiety–depressive disorder; blood tests comprehensive of complete blood count for determining hemoglobin concentration and red cell distribution width (RDW), serum levels of creatinine and estimated glomerular filtration rate (eGFR) [38], serum levels of C-reactive protein (CRP), N-terminal pro-B-type natriuretic peptide (NT-proBNP) and total cholesterol; finally, the current medical treatment.

At the basal evaluation, each patient underwent accurate anamnesis, complete physical examination comprehensive of spirometry, DLCO and 6MWT, arterial blood gases, blood analysis, HRCT, 12-lead ECG and finally conventional 2D-TTE.

All procedures were performed according to the ethical standards of the institutional research committee and to the Declaration of Helsinki (1964) and its subsequent amendments or equivalent ethical standards. The study protocol was authorized by the local Ethics Committee (Committee's reference number 544/202).

Clinical prognostic scores

For each IPF patient, following scores were retrospectively calculated: 1) the CHA_2DS_2-VASc [Congestive heart failure or left ventricular dysfunction (1 point), Hypertension (1 point), Age ≥ 75 years (2 points), Diabetes (1 point), Stroke/TIA (2 points), Vascular disease (1 point), Age 65–74 years (1 point), and Sex category (female; 1 point)] score [25]; 2) the HAS-BLED [Hypertension (1 point), Abnormal renal/liver function (1 or 2 points), Stroke (1 point), Bleeding history or predisposition (1 point), Labile international normalized ratio (1 point), Elderly (> 65 years) (1 point), Drugs/alcohol concomitantly (1 or 2 points)] score [36]; 3) the GAP index, which assigned 1 point for male sex, age 61–65 years, FVC 50–75% and DLCO 36–55%; 2 points for age > 65 years, FVC < 50%, DLCO ≤ 35%; 3 points for inability to perform spirometry [22]; 4) the CCI, which assigned 1 point for each of the following comorbidities: myocardial infarction, congestive heart failure, peripheral vascular disease, dementia, cerebrovascular disease, chronic lung disease, connective tissue disease, ulcer, chronic liver disease, diabetes; 2 points for each of hemiplegia, moderate or severe kidney disease, diabetes with end-organ damage, tumor, leukemia, lymphoma; 3 points for moderate or severe liver disease; and 6 points for tumor metastasis or AIDS [23].

High-resolution computed tomography

At the time of diagnosis, all IPF patients underwent HRCT examination. CT scans were interpreted by two expert radiologists (R.T. and M.Z.) which independently described the pattern of fibrosis and measured the CAC score according to the Agatston method [39]. The amount of CAC was quantified using semiautomatic software (CaScoring, Syngo.via VB30A, Siemens Healthineers). Notably, the software employed a deep-learning approach based on two consecutive convolutional neural networks to detect calcifications and to label them according to the affected vascular bed. In

this study, the total amount of calcification detected in the coronary arteries (CAC score) was calculated. Only voxels with an intensity of at least 130 Hounsfield unit (HU), which is the standard threshold for calcium scoring, were taken into consideration [40]. The calcified plaques were assigned manually to their respective coronary artery by a mouse click, with a subsequent automatic evaluation of the lesions by a 3D segmentation algorithm.

Conventional echoDoppler examination

All echocardiograms were performed by the same expert cardiologist (A.S.) using a Philips Sparq ultrasound machine (Philips, Andover, Massachusetts, USA) with a 2.5 MHz transducer. All parameters were measured according to the Recommendations of the American Society of Echocardiography and the European Association of Cardiovascular Imaging [41, 42].

Following M-mode and 2D echocardiographic parameters were recorded: (1) relative wall thickness; (2) left ventricular mass index, calculated by the Deveraux formula; (3) left ventricular end-diastolic volume index, left ventricular end-systolic volume index and left ventricular ejection fraction (LVEF) estimated with the biplane modified Simpson's method [41]; (4) left atrial volume index; (5) right ventricular inflow tract, right ventricular to left ventricular (LV) basal diameter ratio and tricuspid annular plane systolic excursion (TAPSE) from the apical four-chamber view; 6) finally, the inferior vena cava (IVC) diameter from a subcostal view.

Doppler measurements included the E/A ratio and the average E/e' ratio, the latter as an index of left ventricular filling pressure [42]. Systolic pulmonary artery pressure (SPAP) was derived by the modified Bernoulli equation, where $SPAP = 4 \times (\text{tricuspid regurgitation velocity})^2 + \text{right atrial pressure}$ [37]. The latter was estimated from IVC diameter and collapsibility.

Degree of valvulopathy was assessed according to the AHA/ACC recommendations for the management of patients with valvular heart disease [43].

Endpoint definition

The primary endpoint of the study was to identify the independent predictors of "all-cause mortality" in a consecutive cohort of IPF patients without severe pulmonary hypertension, over a medium-term follow-up.

The secondary endpoint was to evaluate the independent predictors of the composite of "all-cause mortality and rehospitalizations for all causes" in the same study group.

Causes of death and rehospitalizations for each IPF patient were determined by accessing medical records available in the hospital archive and/or from telephone interviews.

Statistical analysis

For statistical power calculation, we hypothesized that, on the basis of available literature data [44, 45], by dividing IPF patients into two main categories (those with CHA_2DS_2-VASc score > 4 and those with CHA_2DS_2-VASc score ≤ 4), IPF patients with CHA_2DS_2-VASc score > 4 might have a significantly higher risk of all-cause mortality than those with CHA_2DS_2-VASc score ≤ 4. Assuming that IPF patients with CHA_2DS_2-VASc score > 4 and those with CHA_2DS_2-VASc score ≤ 4 might have 3-year mortality of 20 and 9%, respectively, a sample size of 103 IPF patients would reach a statistical power of 100% for determining a statistically significant difference between mortality rates, using a two-tailed t test with type I error at 5%.

For the whole cohort of IPF patients included, continuous data were summarized as mean ± standard deviation, while categorical data were presented as number (percentage).

Univariate Cox regression analysis was performed to evaluate the effect of the main demographics and anthropometrics, cardiovascular risk factors, clinical predictive scores (expressed as continuous variables), biochemical parameters, ECG and echoDoppler variables and discharge medical treatment, on the occurrence of both primary and secondary endpoints during the follow-up period, in the whole study population. For each variable investigated, correspondent hazard ratios with 95% confidence intervals (CIs) were calculated. Only the variables with the statistically significant association on univariate analysis (p value < 0.05) were thereafter included in the multivariate Cox regression model.

The receiver operating characteristics (ROC) curve analysis was performed to establish the sensitivity and specificity of the CHA_2DS_2-VASc score for predicting the above-mentioned endpoints. Area under the curve (AUC) was estimated. The optimal cutoff of CHA_2DS_2-VASc score was calculated using the maximum value of the Youden Index (determined as sensitivity + [1-specificity]).

Kaplan–Meier survival curves were designed to measure differences between CHA_2DS_2-VASc score categories in the rates of "all-cause mortality" and "all-cause mortality and rehospitalizations for all causes" respectively, over a mid-term follow-up, for the whole study population.

Statistical analysis was performed with SPSS version 26 (SPSS Inc., Chicago, Illinois, USA), with two-tailed p values below 0.05 deemed statistically significant.

Results

Between January 2016 and December 2018, 170 patients referred to our Division of Pneumology received a diagnosis of IPF, confirmed by adopting a multidisciplinary approach: among them, 18 patients with AF and 49 patients with severe pulmonary hypertension were excluded from the present study according to the above-mentioned exclusion criteria. The remaining 103 IPF patients (mean age 70.7 ± 7.3 yrs) were retrospectively analyzed.

All clinical parameters recorded in the study population at baseline are summarized in Table 1.

As expected, IPF patients were predominantly men (79.6%) with a smoking history (80.6%) and a moderate prevalence of hypertension (57.3%) and dyslipidemia (51.4%). Moreover, they showed a moderate atherosclerotic disease burden, expressed by more than mild carotid artery stenosis in 30.1% of patients, diffuse CAC on HRCT in 48.5% of patients and polidistrectual vasculopathy in 13.6% of patients.

Blood tests revealed chronic renal failure (eGFR < 60 ml/min/1.73 m²) in 16.5% of patients; in addition, the whole series of IPF patients was found with a mild chronic inflammation (serum CRP 1.2 ± 1.6 mg/dl; normal value < 0.05 mg/dl) and a moderate increase in serum NT-proBNP levels (384.8 ± 912.0 pg/ml; normal value < 125 pg/ml).

The values of the CHA_2DS_2-VASc score (3.7 ± 1.6) and HAS-BLED score (2.6 ± 1.7) measured in our study population at baseline suggested a moderate-to-high risk for thromboembolic and hemorrhagic events, respectively.

Main non-cardiovascular comorbidities detected in our cohort of IPF patients were GERD, COPD and hypothyroidism; cancers and OSAS were observed less frequently. GAP index was 3.6 ± 1.2, whereas the CCI score was 5.5 ± 2.3, indicating high comorbidity.

Concerning the pharmacological treatment, less than half of IPF patients were regularly treated with cardioprotective drugs. Notably, oral anticoagulants, beta-blockers and statins were prescribed in only 10.7%, 35.9% and 33% of IPF patients. In addition, 42.7% of IPF patients were in oxygen therapy; approximately one-third of IPF patients (30.1%) received a low dose of oral corticosteroids (< 10 mg/die); finally, 37.9% and 54.4% of IPF patients were treated with pirfenidone and nintedanib, respectively.

Table 2 lists all instrumental variables detected by HRCT, spirometry, ECG and conventional TTE, respectively, in our cohort of IPF patients, at basal evaluation.

Concerning the radiological findings, the definite UIP pattern at HRCT was the prevalent pattern (62.1% of cases), followed by a pattern of probable UIP (23.3% of cases), whereas an indeterminate pattern was detected in 14.6% of cases. CAC score at basal evaluation was 768.9 ± 998.4 HU.

Analysis of spirometric parameters revealed that FVC (81.8 ± 17.9% of predicted) and forced expiratory volume in the first second (FEV1) (86.0 ± 17.8% of predicted) were slightly impaired, while DLCO (52.0 ± 15.5% of predicted) was moderately reduced. 58.2% of IPF patients had a restrictive pattern. Moreover, IPF patients showed a moderate decrease in pulse oximetry and a moderate reduction in effort tolerance during the 6MWT.

On resting ECG, the heart rate was normal (73.3 ± 11.4 bpm) and 17.5% of IPF patients had delayed intraventricular conduction.

Conventional TTE examination showed normal biventricular and biatrial cavity chamber sizes. The LV concentric remodeling was the most common LV geometric pattern detected in IPF patients (49.5% of total), followed by normal LV geometry (34.0% of cases); on the other hand, LV concentric hypertrophy (10.7% of cases) and LV eccentric hypertrophy (5.8% of cases) were rarely detected. The great majority of IPF patients (75.7%) had preserved LV systolic function (LVEF ≥ 55%), whereas 25 patients (24.3% of total) presented LV systolic dysfunction (LVEF < 55%). Among IPF patients with LV systolic dysfunction, the vast majority (23 patients, 92% of total) showed a mild reduction in systolic function (LVEF between 45 and 54%), whereas only two patients had LVEF < 45%. Analysis of LV diastolic function revealed that grade 1 diastolic dysfunction was the most frequently detected LV diastolic filling pattern (95.2% of patients), while a pseudonormal filling pattern of the left ventricle was rarely detected (4.8% of patients). Moreover, left ventricular filling pressures measured in IPF patients were in the gray zone of 8 to 13 (average E/e' ratio 11.6 ± 3.1). In addition, we observed a low prevalence of valvular heart disease: a more than mild mitral regurgitation and aortic regurgitation was observed in 14.6% and 7.8% of IPF patients, respectively, while no IPF patient was diagnosed with aortic stenosis. Finally, a mild pulmonary hypertension (TRV 2.9 ± 0.4 m/sec) was detected in our cohort of IPF patients. Only 23 patients (22.3% of the total) were found with right ventricular systolic dysfunction, expressed by reduced TAPSE (< 20 mm).

Survival analysis

Mean follow-up time was 3.5 ± 1.3 yrs. During the follow-up period, 29 in-hospital deaths and 43 rehospitalizations were recorded. Rehospitalizations were secondary to 1) cardiovascular causes (18.6%): acute ischemic stroke/transient ischemic attack (6 patients) and acute coronary syndrome (2 patients); 2) cardiopulmonary causes (44.2%): right heart failure (11 patients) and acute pulmonary embolism (8 patients); 3) pulmonary causes (37.2%): acute respiratory failure secondary to IPF progression (7 patients), pneumonia

Table 1 Baseline clinical characteristics of the whole study population. Data are expressed as mean ± SD or as number (percentage)

Clinical variables	All patients ($n = 103$)
Demographics and anthropometrics	
Age (yrs)	70.7 ± 7.3
Male sex (%)	82 (79.6)
BSA (m^2)	1.9 ± 0.2
BMI (Kg/m^2)	27.2 ± 3.6
Yrs from diagnosis of IPF	3.6 ± 1.3
Cardiovascular risk factors	
Smoking history (%)	83 (80.6)
Smoking exposure pack-years	28.8 ± 17.8
Hypertension (%)	59 (57.3)
Type 2 diabetes mellitus (%)	29 (28.1)
Dyslipidemia (%)	53 (51.4)
History of cardiovascular and/or cerebrovascular events	
History of CAD (previous PCI/CABG) (%)	20 (19.4)
Previous stroke/TIA (%)	7 (6.8)
Atherosclerotic burden	
> 50% carotid artery stenosis (%)	31 (30.1)
Coronary artery calcification on HRCT (%)	50 (48.5)
Lower extremity peripheral artery disease (%)	4 (3.9)
Polidistrectual vasculopathy (%)	14 (13.6)
Blood tests	
Serum hemoglobin (g/dl)	14.2 ± 1.4
RDW (%)	14.1 ± 1.0
eGFR (ml/min/1.73 m^2)	80.1 ± 17.7
eGFR < 60 ml/min/1.73 m^2 (%)	17 (16.5)
Serum NT-proBNP (pg/ml)	384.8 ± 912.0
Serum CRP (mg/dl)	1.2 ± 1.6
Serum total cholesterol (mg/dl)	188.8 ± 36.2
Clinical prediction scores for anticoagulation	
CHA$_2$DS$_2$-VASc score	3.7 ± 1.6
HAS-BLED score	2.6 ± 1.7
Comorbidities	
Cancers (%)	11 (10.7)
COPD (%)	18 (17.5)
OSAS (%)	9 (8.7)
GERD (%)	30 (29.1)
Hypothyroidism (%)	14 (13.6)
Mixed anxiety–depressive disorder (%)	5 (4.8)
GAP index	3.6 ± 1.2
CCI	5.5 ± 2.3
Cardioprotective treatment	
Antiplatelets (%)	43 (41.7)
Anticoagulants (%)	11 (10.7)
ACEi-ARBs (%)	42 (40.8)
Calcium channel blockers (%)	15 (14.6)
Beta blockers (%)	37 (35.9)
Diuretics (%)	28 (36.9)
Statins (%)	34 (33.0)
Oral hypoglycaemic agents (%)	21 (20.4)
Proton pump inhibitors (%)	27 (26.2)

Table 1 (continued)	Clinical variables	All patients (n = 103)
	Respiratory treatment	
	Oxygen therapy (%)	44 (42.7)
	Oral corticosteroids (%)	31 (30.1)
	Inhalation therapy (%)	17 (16.5)
	Pirfenidone (%)	39 (37.9)
	Nintedanib (%)	56 (54.4)
	No antifibrotic therapy (%)	8 (7.7)

ACEIs angiotensin-converting enzyme inhibitors, *ARBs* angiotensin II receptor blockers, *BMI* body mass index, *BSA* body surface area, *CAD* coronary artery disease, *CABG* coronary artery bypass graft, *CCI* charlson comorbidity index, *CHA₂DS₂-VASc* congestive heart failure or left ventricular dysfunction, Hypertension, Age ≥ 75 years, Diabetes, Stroke/TIA, Vascular disease, Age 65–74 years, and Sex category, *COPD* chronic obstructive pulmonary disease, *CRP* C-reactive protein, *eGFR* estimated glomerular filtration rate, *GAP* gender-age-physiology, *GERD* gastroesophageal reflux disease, *HAS-BLED* hypertension, abnormal renal/liver function, stroke, bleeding history or predisposition, Labile international normalized ratio, Elderly (> 65 years), Drugs/alcohol concomitantly, *HRCT* high resolution computed tomography, *IPF* idiopathic pulmonary fibrosis, *NT-proBNP* N-Terminal pro-B-Type Natriuretic Peptidem, *OSAS* obstructive sleep apnea syndrome, *PCI* percutaneous coronary intervention, *RDW* red cell distribution width, *TIA* transient ischemic attack

(6 patients), pneumomediastinum (2 patients) and pneumothorax (1 patient).

Univariate and multivariate Cox regression analysis performed for identifying the main independent predictors of "all-cause mortality" during the follow-up period in the whole study population is reported in Table 3. CHA_2DS_2-VASc score (HR 2.15, 95% CI 1.59–2.91, $p < 0.001$) and LVEF (HR 0.91, 95% CI 0.86–0.97, $p = 0.003$) were the only variables that resulted independently associated with the above-mentioned outcome. A CHA_2DS_2-VASc score > 4 (90% sensitivity, 99% specificity, AUC = 0.97) and an LVEF < 55% (65% sensitivity and 69% specificity, AUC = 0.69) showed the greatest sensitivity and specificity for predicting the primary endpoint in the whole study population.

On multivariate Cox regression analysis performed for detecting the variables independently associated with the composite of "all-cause mortality and rehospitalizations for all causes" in the whole study population, only CHA_2DS_2-VASc score (HR 1.66, 95% CI 1.39–1.99, $p < 0.001$) and LVEF (HR 0.94, 95% CI 0.90–0.98, $p = 0.003$) maintained statistical significance. A CHA_2DS_2-VASc score > 4 (64% sensitivity, 100% specificity, AUC = 0.91) and an LVEF < 55% (51% sensitivity and 99% specificity, AUC = 0.75) were the best cut-off values for predicting the secondary endpoint in the whole study population.

Fig. 1 illustrates prognostic ROC curves and Kaplan-Meier survival curves drawn to compare the rates of the endpoint "all-cause mortality" (Panels A1 and A2) and the endpoint "all-cause mortality and rehospitalizations for all causes" (Panels B1 and B2) in IPF patients, categorized according to CHA2DS2-VASc score ≤ 4 and > 4, respectively.-

Discussion

Main findings of the study

This monocentric study, specifically designed to evaluate the main indicators of adverse clinical outcomes in a consecutive cohort of non-AF patients with mild-to-moderate IPF, demonstrated that: (1) the CHA_2DS_2-VASc score was independently associated with "all-cause mortality" and with the composite of "all-cause mortality and rehospitalizations for all causes" in the whole study population, over a medium-term follow-up; (2) the CHA_2DS_2-VASc score showed an incremental prognostic value over the individual components of the score, over other clinical (GAP, CCI and HAS-BLED) and radiological (CAC) scores and over biochemical predictors, such as RDW, eGFR, CRP and NT-proBNP; (3) IPF patients had a significantly increased prevalence of adverse cardiovascular and cardiopulmonary events; (4) "all-cause deaths" were recorded within 4 yrs after hospital discharge in the great majority of IPF patients.

Our results revealed that a CHA_2DS_2-VASc score > 4 at basal evaluation allowed us to distinguish, among IPF patients, those with an increased probability of all-cause mortality, over a medium-term follow-up. Notably, IPF patients with a CHA_2DS_2-VASc score > 4 had about a twofold higher risk of mortality and rehospitalizations for all causes than those with a CHA_2DS_2-VASc score ≤ 4. This finding could be related to the older age, to the increased prevalence of hypertension, chronic renal failure and congestive clinical signs and to the higher atherosclerotic disease burden detected in most patients with CHA_2DS_2-VASc score > 4. On the other hand, IPF patients

Table 2 Main instrumental variables detected by HCRT, spirometry, ECG and conventional TTE, respectively, in the cohort of IPF patients, at basal evaluation. Data are expressed as mean ± SD or as number (percentage)

Instrumental parameters	All patients ($n = 103$)
Radiological findings	
Definite UIP (%)	64 (62.1)
Probable UIP (%)	24 (23.3)
Indeterminate pattern (%)	15 (14.6)
CAC score (HU)	768.9 ± 998.4
Spirometry parameters	
FVC (l)	2.7 ± 0.6
FVC (%)	81.8 ± 17.9
FEV (l)	2.3 ± 0.5
FEV1 (%)	86.0 ± 17.8
FEV1/FVC ratio	0.8 ± 0.1
TLC (l)	4.9 ± 1.1
TLC (%)	79.0 ± 14.8
DLCO (ml/min/mmHg)	12.5 ± 3.6
DLCO (%)	52.0 ± 15.5
Restrictive pattern (%)	60 (58.2)
ΔSaO2 (%)	6.2 ± 4.0
6MWT (m)	415.7 ± 95.2
ECG variables	
Heart rate (bpm)	73.3 ± 11.4
Intraventricular delay (%)	18 (17.5)
EchoDoppler parameters	
RWT	0.43 ± 0.07
LVMi (g/m^2)	96.7 ± 19.5
Normal LV geometric pattern (%)	35 (34.0)
LV concentric remodeling (%)	51 (49.5)
LV concentric hypertrophy (%)	11 (10.7)
LV eccentric hypertrophy (%)	6 (5.8)
LVEDVi (ml/m^2)	40.9 ± 10.6
LVESVi (ml/m^2)	17.6 ± 8.1
LVEF (%)	57.0 ± 7.7
E/A ratio	0.75 ± 0.17
Average E/e' ratio	11.6 ± 3.1
LAVi (ml/m^2)	32.5 ± 8.6
More than mild MR (%)	15 (14.6)
More than mild AR (%)	8 (7.8)
More than mild TR (%)	29 (28.1)
RVIT (mm)	32.8 ± 4.1
RV/LV basal diameter ratio	0.74 ± 0.12
TAPSE (mm)	21.7 ± 4.0
TRV (m/sec)	2.9 ± 0.4
IVC (mm)	17.4 ± 2.5
SPAP (mmHg)	36.8 ± 10.5

6MWT six-minute walking test, *ΔSaO2* absolute difference between peak exercise and rest oxygen saturation, *AR* aortic regurgitation, *CAC* coronary artery calcification, *DLCO* diffusing capacity of the lung for carbon monoxide, *ECG* electrocardiogram, *FEV1* forced expiratory volume in 1 s; *FVC* forced vital capacity, *HRCT* high resolution computed tomography, *HU* hounsfield unit, *IPF* idiopathic

Table 2 (continued)

pulmonary fibrosis, *IVC* inferior vena cava, *LAVi* left atrial volume index, *LV* left ventricular, *LVEDVi* left ventricular end-diastolic volume index, *LVEF* left ventricular ejection fraction, *LVESVi* left ventricular end-systolic volume index, *LVMi* left ventricular mass index, MR mitral regurgitation, *RV* right ventricular, *RVIT* right ventricular inflow tract, *RWT* relative wall thickness, *SPAP* systolic pulmonary artery pressure, *TAPSE* tricuspid annular plane systolic excursion, *TLC* total lung capacity, *TR* tricuspid regurgitation, *TRV* tricuspid regurgitation velocity, *TTE* transthoracic echocardiography, *UIP* usual interstitial pneumonia

with CHA$_2$DS$_2$-VASc score ≤ 4 had a significantly increased probability of event-free survival over the follow-up period.

LVEF assessed by 2D-TTE examination was another independent prognostic indicator of increased risk of mortality and adverse clinical events in IPF patients.

Comparison with other studies and interpretation of results

Consistent with the literature data [46–48], our findings confirmed the poor prognosis of IPF patients. Indeed, approximately one-third of the IPF patients enrolled (28.1%) died within 4 years of follow-up.

To the best of our knowledge, no previous study employed the CHA$_2$DS$_2$-VASc score for the mortality risk stratification of a retrospective cohort of IPF patients without severe pulmonary hypertension and no evidence of AF.

The CHA$_2$DS$_2$-VASc score was originally developed for stroke risk stratification of nonvalvular AF patients, particularly for detecting patients at low risk who require no antithrombotic therapy [49, 50].

Although current guidelines recommend using the CHA$_2$DS$_2$-VASc score for evaluating embolic risk in AF patients [26, 27], during the last decade this score has been assessed in many categories of patients without AF. Notably, a number of studies have tested the predictive value of CHA$_2$DS$_2$-VASc score for clinical outcomes different from stroke such as death, heart failure hospitalizations and cardiac hospitalizations in various cardiovascular and non-cardiovascular diseases [28–35]. In particular, CHA$_2$DS$_2$-VASc score has been strongly associated with major adverse cardiac outcomes in non-AF community populations [28] and in the following categories of non-AF patients: patients discharged after an acute coronary syndrome and/or acute myocardial infarction [29]; heart failure patients [30, 31]; patients with arterial hypertension [32]; patients with peripheral artery disease [33]; finally patients with COPD [34] and SARS-CoV-2 [35].

The results of our study revealed that approximately two-thirds of rehospitalizations observed in IPF patients during the study period were related to cardiovascular and cardiopulmonary causes. The increased prevalence

Table 3 Univariate and multivariate Cox regression analysis for identifying the variables independently associated with all-cause mortality over medium-term follow-up in the whole study population

Variables	Univariate cox regression analysis			Multivariate cox regression analysis		
	HR	95% CI	P value	HR	95% CI	P value
Demographics and anthropometrics						
Age (yrs)	1.00	0.95–1.05	0.98			
Male sex	2.02	0.70–5.79	0.19			
BMI (Kg/m^2)	1.09	0.99–1.20	0.07			
Cardiovascular risk factors						
Smokers	1.27	0.48–3.34	0.62			
Hypertension	1.49	0.69–3.21	0.31			
Diabetes mellitus	1.73	0.81–3.66	0.15			
Dyslipidemia	1.37	0.66–2.85	0.40			
Clinical prognostic scores						
CHA$_2$DS$_2$-VASc score	2.08	1.72–2.50	**< 0.001**	2.15	1.59–2.91	**< 0.001**
HAS-BLED score	1.25	1.01–1.55	**0.03**	1.14	0.83–1.57	0.42
GAP index	1.21	0.89–1.65	0.21			
CCI	1.25	1.08–1.43	**0.001**	1.18	0.95–1.48	0.14
Radiological findings						
Definite UIP pattern on HRCT	2.02	0.82–4.98	0.13			
Calcium score at basal evaluation (× 100 HU)	1.00	0.97–1.03	0.49			
Biochemical parameters						
RDW (%)	1.14	1.03–1.27	**0.01**	1.13	0.93–1.38	0.22
eGFR (ml/min/m^2)	0.97	0.95–0.99	**0.01**	0.98	0.95–1.00	0.10
CRP (mg/dl)	1.24	1.05–1.46	**0.01**	1.11	0.80–1.53	0.53
NT-proBNP (pg/ml)	1.04	1.01–1.06	**0.02**	1.02	0.98–1.06	0.29
ECG parameters						
Heart rate (bpm)	1.03	1.00–1.07	**0.03**	1.02	0.98–1.06	0.31
IV conduction delay	1.89	0.81–4.46	0.14			
Spirometry parameters						
FVC (%)	0.98	0.95–1.00	0.06			
FEV1 (%)	0.98	0.96–1.00	0.09			
DLCO (%)	0.98	0.95–1.01	0.15			
6MWT (m)	0.99	0.99–1.00	**0.001**	0.99	0.99–1.00	0.43
Echocardiographic variables						
LVMi (g/m^2)	1.01	0.99–1.03	0.19			
LVEF (%)	0.90	0.86–0.94	**< 0.001**	0.91	0.86–0.97	**0.003**
E/e'	1.10	1.00–1.22	0.06			
TRV (m/sec)	1.03	0.88–1.19	0.73			
Current medical treatment						
Antiplatelets	1.12	0.72–1.78	0.75			
ACEIs/ARBs	1.06	0.50–2.22	0.88			
Beta blockers	0.94	0.44–2.03	0.88			
Statins	0.91	0.42–2.01	0.82			
Antifibrotic therapy	0.99	0.24–4.22	0.99			

Significant *p* values are in bold. *6MWT*, six-minute walking test; *ACEIs* angiotensin-converting enzyme inhibitors, *ARBs* angiotensin II receptor blockers, *BMI* body mass index, *CHA$_2$DS$_2$-VASc* congestive heart failure or left ventricular dysfunction, Hypertension, Age ≥ 75 years, Diabetes, Stroke/TIA, Vascular disease, Age 65–74 years, and Sex category; *CCI* charlson comorbidity index, *COPD* chronic obstructive pulmonary disease, *CRP* C-reactive protein, *DLCO* diffusing capacity of the lung for carbon monoxide, *eGFR* estimated glomerular filtration rate, *FEV1* forced expiratory volume in 1 s, *FVC* forced vital capacity, *GAP* gender-age-physiology, *HAS-BLED* hypertension, abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile international normalized ratio, Elderly (> 65 years), Drugs/alcohol concomitantly; *HRCT* high resolution computed tomography, *HU* hounsfield unit, IV, intraventricular, *LVMi* left ventricular mass index, *LVEF* left ventricular ejection fraction, *NT-proBNP* N-Terminal pro-B-Type Natriuretic Peptide, *RDW* red cell distribution width, *TRV* tricuspid regurgitation velocity, *UIP* usual interstitial pneumonia
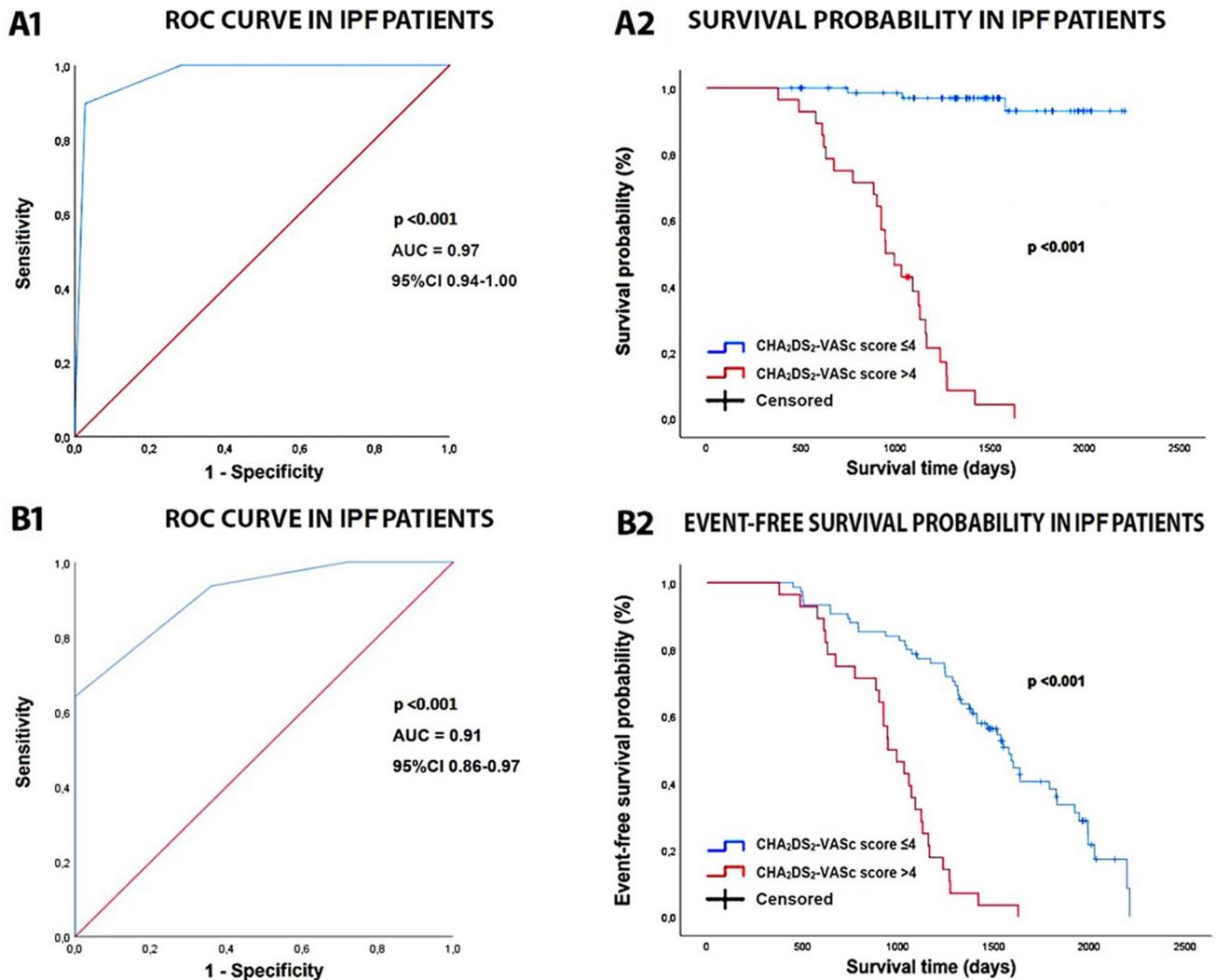
Fig. 1 Prognostic ROC curves and Kaplan–Meier survival curves were drawn to compare the rates of the endpoint "all-cause mortality" (Panels A1 and A2) and the endpoint "all-cause mortality + rehospitalizations for all causes" (Panels B1 and B2) in IPF patients, categorized according to CHA_2DS_2-VASc score ≤ 4 and > 4, respectively.

AUC, area under the curve; CHA_2DS_2-VASc, Congestive heart failure or left ventricular dysfunction, Hypertension, Age ≥ 75 years, Diabetes, Stroke/TIA, Vascular disease, Age 65–74 years, and Sex category; IPF, idiopathic pulmonary fibrosis; ROC, receiver operating characteristics

of adverse cardiovascular events over a medium-term follow-up may have been favoured by the high atherosclerotic disease burden and the concomitant comorbid conditions (especially cancers and COPD) detected in our study population. Secondly, our cohort of IPF patients was undertreated with cardioprotective drugs, especially beta-blockers and statins. This finding was in alignment with the literature data [51] and with our previous findings [52].

In addition, our findings highlighted the high negative predictive value of a CHA_2DS_2-VASc score ≤ 4 for future major adverse clinical events over the follow-up period, similarly to that observed by previous authors in AF patients [53].

The present study also revealed that IPF patients with left ventricular systolic dysfunction (LVEF < 55%) had an increased risk of both mortality and rehospitalizations during the follow-up period. The impairment in LV systolic function, detected in 24.3% of our cohort of patients, was predominantly of mild degree (LVEF between 45 and 55%). This finding was in alignment with what observed by previous authors [54, 55], which demonstrated the absence of a significant reduction in LVEF in IPF patients at an early stage of the disease; on the other hand, a subclinical myocardial dysfunction, expressed by the early impairment in left ventricular global longitudinal strain [54] and left atrial reservoir strain [55], was detected by

2D speckle tracking echocardiography (2D-STE) in the same patients.

Implications for clinical practice

In light of our findings, the CHA_2DS_2-VASc score assessment could be employed for the routine clinical evaluation of IPF patients, for better prognostic risk stratification of IPF patients without advanced lung disease.

Given that the CHA_2DS_2-VASc score is simple and only based on the clinical history and no laboratory or imaging parameters, it has the great advantage that it can be quickly calculated, at the patient's bedside also. Moreover, IPF patients with CHA_2DS_2-VASc score > 4, given the highest cardiovascular risk profile, would need a more intensive treatment of comorbidities, a closer clinical follow-up and/or uptitration of cardioprotective drugs, such as beta-blockers and statins.

Limitations

Main limitations of the present study were its retrospective nature, the monocentric design, the lack of external validation and the limited number of IPF patients included, due to the rarity of the disease. However, the great number of major adverse clinical outcomes detected over a mid-term follow-up allowed us to perform an accurate survival analysis in this study group.

Despite the prognostic relevance of myocardial strain parameters assessed by 2D-STE in various clinical settings [56–58], the present study did not evaluate their prognostic role in IPF patients, due to the retrospective design of the study.

Finally, inflammatory biomarkers, including Krebs von den Lungen-6 (KL-6), surfactant protein-A (SP-A) and D (SP-D), matrix metalloproteinase-7 (MMP-7), were not assessed in our study population. However, even if these markers have been identified as having a potential diagnostic and prognostic value in IPF [8–11], they are not yet considered applicable for routine risk assessment of these patients.

Conclusions

CHA_2DS_2-VASc score is independently associated with all-cause mortality and rehospitalizations for all causes over a medium-term follow-up in non-AF patients with non-advanced IPF.

A CHA_2DS_2-VASc score > 4 allows us to identify, among IPF patients, those with increased risk of mortality and for whom additional preventive measures might be beneficial to improve outcomes.

Further multicentric prospective studies are needed to confirm the present results.

Declarations

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