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Surgical and survival outcomes with perioperative or neoadjuvant immune-checkpoint inhibitors combined with platinum-based chemotherapy in resectable NSCLC: a systematic review and metaanalysis of randomised clinical trials

Daniele Marinelli, Filippo Tommaso Gallina, Sergio Pannunzio, Mattia Alberto Di Civita, Andrea Torchia, Raffaele Giusti, Alain Jonathan Gelibter, Michela Roberto, Monica Verrico, Enrico Melis, Riccardo Tajè, Fabiana Letizia Cecere, Lorenza Landi, Paola Nisticò, Nicla Porciello, Mario Occhipinti, Marta Brambilla, Patrick M Forde, Stephen V Liu, Andrea Botticelli, Silvia Novello, Gennaro Ciliberto, Enrico Cortesi, Francesco Facciolo, Federico Cappuzzo, Daniele Santini



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Surgical and survival outcomes with perioperative or neoadjuvant immunecheckpoint inhibitors combined with platinum-based chemotherapy in resectable NSCLC: a systematic review and meta-analysis of randomised clinical trials

Authors

Daniele Marinelli^{*#1,2}, Filippo Tommaso Gallina^{*#3}, Sergio Pannunzio⁴, Mattia Alberto Di Civita^{5,6}, Andrea Torchia^{5,6}, Raffaele Giusti⁷, Alain Jonathan Gelibter², Michela Roberto⁶, Monica Verrico⁶, Enrico Melis³, Riccardo Tajè³, Fabiana Letizia Cecere⁸, Lorenza Landi⁹, Paola Nisticò¹⁰, Nicla Porciello¹⁰, Mario Occhipinti^{1,11}, Marta Brambilla¹¹, Patrick M Forde¹², Stephen V Liu¹³, Andrea Botticelli⁵, Silvia Novello¹⁴, Gennaro Ciliberto¹⁵, Enrico Cortesi^{2,5}, Francesco Facciolo^{§3}, Federico Cappuzzo^{§8}, Daniele Santini^{6,16§}

- 1. Department of Experimental Medicine, Sapienza University, Rome, Italy
- 2. Division of Medical Oncology B, Policlinico Umberto I, Rome, Italy
- 3. Thoracic Surgery Unit, IRCCS "Regina Elena" National Cancer Institute, Rome, Italy
- 4. Comprehensive Cancer Center, Medical Oncology Unit, IRCCS Fondazione Policlinico Universitario "Agostino Gemelli", Rome, Italy
- 5. Department of Radiological, Oncological and Anatomopathological Sciences, Sapienza University, Rome, Italy
- 6. Division of Medical Oncology A, Policlinico Umberto I, Rome, Italy
- 7. Division of Medical Oncology, Sant'Andrea Hospital, Rome, Italy
- 8. Division of Medical Oncology 2, IRCCS "Regina Elena" National Cancer Institute, Rome, Italy
- 9. Clinical Trials Center: Phase 1 and Precision Medicine, IRCCS "Regina Elena" National Cancer Institute, Rome, Italy
- 10. Tumor Immunology and Immunotherapy Unit, IRCCS "Regina Elena" National Cancer Institute, Rome, Italy
- 11. Medical Oncology Department, Fondazione IRCCS Istituto Nazionale Dei Tumori, Milan, Italy
- 12. Bloomberg-Kimmel Institute for Cancer Immunotherapy, Sidney Kimmel Comprehensive Cancer Center, Johns Hopkins University, Baltimore, MD, USA
- 13. Division of Hematology and Oncology, Georgetown University, Washington, DC, USA
- 14. Department of Oncology, University of Turin, San Luigi Hospital, Turin, Italy
- 15. Scientific Direction, IRCCS "Regina Elena" National Cancer Institute, Rome, Italy
- 16. Department of Medical-Surgical Sciences and Biotechnologies, Sapienza University, Latina, Italy
- * Co-first authors

§ Co-last authors

Correspondence to:

Daniele Marinelli, MD; email: daniele.marinelli@uniroma1.it Department of Experimental Medicine, Sapienza University, 00181, Rome, Italy

Filippo Tommaso Gallina, MD; email: filippogallina92@gmail.com

Thoracic Surgery Unit, IRCCS Regina Elena National Cancer Institute, 00144, Rome, Italy

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Twitter handle

@dmarinelli93 @filippog92

Short biography

Daniele Marinelli, MD: resident in medical oncology and PhD student at Sapienza University in Rome, Italy.

Filippo Tommaso Gallina, MD: resident in thoracic surgery at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Sergio Pannunzio, MD: resident in medical oncology at IRCCS Fondazione Policlinico Universitario "Agostino Gemelli", Rome, Italy.

Mattia Alberto Di Civita, MD: resident in medical oncology and PhD student at Sapienza University in Rome, Italy.

Andrea Torchia, MD: resident in medical oncology at Sapienza University in Rome, Italy. Raffaele Giusti, MD: medical oncologist at Sant'Andrea Hospital in Rome, Italy.

Alain Jonathan Gelibter, MD, PhD: medical oncologist at Policlinico Umberto I in Rome, Italy. Michela Roberto, MD, PhD: medical oncologist at Policlinico Umberto I in Rome, Italy.

Monica Verrico, MD, PhD: medical oncologist at Policlinico Umberto I in Rome, Italy. Enrico Melis, MD: thoracic surgeon at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Riccardo Tajè, MD: resident in thoracic surgery at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Fabiana Letizia Cecere, MD: medical oncologist at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Lorenza Landi, MD: medical oncologist at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Paola Nisticò, MD: head of the Immunology and Immunotherapy Unit at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Nicla Porciello, MSc, PhD: postdoctoral researcher in the Immunology and Immunotherapy Unit at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Mario Occhipinti, MD: medical oncologist at Fondazione IRCCS Istituto Nazionale Dei Tumori, Milan, Italy and PhD student at Sapienza University in Rome, Italy.

Marta Brambilla, MD: medical oncologist at Fondazione IRCCS Istituto Nazionale Dei Tumori, Milan, Italy.

Patrick M Forde, MD: medical oncologist at Bloomberg-Kimmel Institute for Cancer Immunotherapy, Sidney Kimmel Comprehensive Cancer Center and associate professor at Johns Hopkins University, Baltimore, MD, USA.

Stephen V Liu, MD: medical oncologist at Division of Hematology and Oncology, Georgetown University, Washington, DC, USA.

Andrea Botticelli, MD, PhD: medical oncologist at Policlinico Umberto I and associate professor at Sapienza University in Rome, Italy.

Silvia Novello, MD, PhD: medical oncologist at San Luigi Hospital and full professor at University of Turin, Turin, Italy.

Gennaro Ciliberto, MD: scientific director at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Enrico Cortesi, MD: head of the Division of Medical Oncology B at Policlinico Umberto I and full professor at Sapienza University in Rome, Italy.

Francesco Facciolo, MD: head of the Thoracic Surgery Unit at IRCCS "Regina Elena" National Cancer Institute in Rome, Italy.

Federico Cappuzzo, MD: head of the Division of Medical Oncology 2, IRCCS "Regina Elena" National Cancer Institute, Rome, Italy

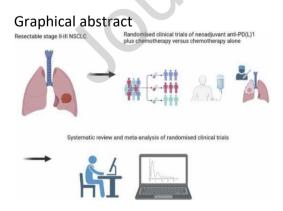
Daniele Santini, MD, PhD: head of the Division of Medical Oncology A at Policlinico Umberto I and full professor at Sapienza University in Rome, Italy.

Abstract

The use of neoadjuvant or perioperative anti-PD(L)1 was recently tested in multiple clinical trials. We performed a systematic review and meta-analysis of randomised trials comparing neoadjuvant or perioperative chemoimmunotherapy to neoadjuvant chemotherapy in resectable NSCLC.

Nine reports from 6 studies were included. Receipt of surgery was more frequent in the experimental arm (odds ratio, OR 1.39) as was pCR (OR 7.60). EFS was improved in the experimental arm (hazard ratio, HR 0.55) regardless of stage, histology, PD-L1 expression (PD-L1 negative, HR 0.74) and smoking exposure (never smokers, HR 0.67), as was OS (HR 0.67). Grade >= 3 treatment-related adverse events were more frequent in the experimental arm (OR 1.22).

The experimental treatment improved surgical outcomes, pCR rates, EFS and OS in stage II-IIIB, EGFR/ALK negative resectable NSCLC; confirmatory evidence is warranted for stage IIIB tumours and with higher maturity of the OS endpoint.



Keywords: NSCLC; immunotherapy; surgery; neoadjuvant; Chemotherapy

Introduction

Platinum-based neoadjuvant or adjuvant chemotherapy in resectable, early-stage non-small cell lung cancer (NSCLC) offers a modest but significant benefit in overall survival (OS) of approximately 5% at 5 years.¹⁻² While surgery and chemotherapy offer the opportunity for cure, recurrence is common.

The use of immune-checkpoint inhibitors (ICIs) either as a monotherapy or in combination with platinum-based chemotherapy in advanced NSCLC provided durable benefit to some patients, offering statistically and clinically significant improvements in progression-free survival (PFS), OS and quality-of-life (QoL).³⁻⁴

Recently, the phase III IMpower010 trial showed improved disease-free survival (DFS) in programmed death ligand 1 (PD-L1) positive and improved OS in PD-L1 50% NSCLC after surgical resection and adjuvant platinum-based chemotherapy and the phase III KEYNOTE-091/PEARLS trial showed improved DFS with adjuvant pembrolizumab in PD-L1 unselected, resected NSCLC.⁵⁻⁷

Neoadjuvant plus adjuvant administration of ICIs (i.e. perioperative) was shown to improve event-free survival (EFS) in resectable stage III-IV melanoma when compared to adjuvant only administration.⁸ Neoadjuvant ICIs were tested in multiple clinical trials in patients with resectable NSCLC leading to major pathological response (MPR) and pathological complete response (pCR) in 22-45% and 9-16% of patients, respectively.⁹⁻¹¹

Building on previous knowledge acquired from chemoimmunotherapy combinations in the advanced setting, neoadjuvant or perioperative ICIs were then combined with platinumbased chemotherapy in resectable NSCLC.¹²⁻²² The biological rationale for preoperative chemoimmunotherapy combinations is to promote neoantigen recognition prior to primary tumour resection and to tackle mechanisms of intrinsic resistance to single-agent immunotherapy, ultimately leading to an improved eradication of micrometastatic disease.²³ This strategy led to FDA and EMA approvals for neoadjuvant nivolumab in combination with platinum-based chemotherapy in node positive or \geq 4cm tumours and in node positive or \geq 5cm tumours with PD-L1 \geq 1%, respectively, based on the results of the CheckMate-816 clinical trial, showing statistically significant and clinically relevant improvements in EFS.¹³⁻¹⁴

However, upon delay of surgery due to preoperative medical treatment, up to 20% of patients with resectable disease at baseline do not receive surgical resection after neoadjuvant chemoimmunotherapy. Additionally, surgical resection of NSCLC after immunotherapy was shown to be technically challenging due to frequent hilar inflammation and fibrosis.²⁴⁻²⁵

Aiming at assessing the efficacy and safety of this treatment strategy and focusing on specific subgroups that led to divergent regulatory approvals, we performed a systematic review and meta-analysis of randomised clinical trials comparing perioperative or neoadjuvant ICIs combined with platinum-based chemotherapy to neoadjuvant platinum-based chemotherapy in resectable NSCLC.

Methods

Included studies

We included randomised clinical trials (RCTs) reporting on effectiveness and/or safety of neoadjuvant or perioperative anti-PD(L)1 combined with platinum-based chemotherapy compared to neoadjuvant platinum-based chemotherapy for patients with resectable

NSCLC. We applied no language or publication status restrictions. We considered RCTs studies for inclusion if one or more of the following comparisons were available:

- Perioperative anti-PD(L)1 combined with platinum-based chemotherapy compared to neoadjuvant platinum-based chemotherapy;
- neoadjuvant anti-PD(L)1 combined with platinum-based chemotherapy compared to neoadjuvant platinum-based chemotherapy.

A platinum-based chemotherapy regimen was defined as any platinum-based doublet given for at least one cycle. Reports regarding NSCLC not eligible for surgical resection at baseline were excluded.

Search strategy and selection processes

A literature search was conducted on the MEDLINE, EMBASE and CENTRAL databases on the 11th of June 2023 by the first authors (D.M. and F.T.G.). Medical Subject Headings (MeSH) for the MEDLINE and CENTRAL databases, Emtree for the EMBASE database and selected keywords were used to search for randomised clinical trials.

Abstracts from the American Society of Clinical Oncology (ASCO), ASCO Plenary Series, European Society of Medical Oncology (ESMO), European Lung Cancer Congress (ELCC), World Conference on Lung Cancer (WCLC) and American Association for Cancer Research (AACR) were additionally screened based on title and category (where available), regardless of their inclusion status in EMBASE; relevant reports not previously identified in the database search were selected for further investigation and eventual inclusion. Whenever judged appropriate by the first authors, we included results from unpublished online data matched to meeting abstracts. In case of multiple reports from the same trial, the most recent report for the investigated outcomes was selected.

The following baseline variables were extracted from all included studies: study name/reference, type of study (randomised phase II/phase III), primary endpoint, number of enrolled patients, randomisation rate, clinical stage at enrollment, stratification factors, histology, smoke, use of oncogene addicted-based exclusion criteria, treatment characteristics.

Endpoints

Primary endpoints:

- Receipt of surgery after preoperative treatment in all included studies;
- pCR, defined as no residual tumour cells in the primary tumour and resected lymph nodes in all included studies;
- EFS (defined as the time from randomization to any progression of disease precluding surgery, progression or recurrence of disease after surgery, progression of disease in the absence of surgery, or death from any cause) in studies comparing perioperative anti-PD(L)1 combined with platinum-based chemotherapy to neoadjuvant platinum-based chemotherapy.

Secondary endpoints:

- OS (defined as time from randomisation to death from any cause) in studies comparing perioperative anti-PD(L)1 combined with platinum-based chemotherapy to neoadjuvant platinum-based chemotherapy;
- Safety, defined as any grade treatment-related adverse events and grade >= 3 treatment-related adverse events in all included studies.

Exploratory endpoints:

- EFS in all included studies;
- OS in all included studies.

Subgroup analyses were pre-planned for stratification factors shared across multiple of the included trials. Exploratory subgroup analyses were performed on patients with clinical stage IIIB NSCLC and in never-smoker patients.

Statistical analysis

For each time-to-event endpoint, hazard ratios (HR) and their relative 95% confidence intervals (95% CI) were extracted; the overall effect was estimated with a random effects model based on inverse variance.

For categorical endpoints, the number of events for each group was collected; when the number of events was not available, the number of events was derived from the percentage of cases with an event. Odds ratios (OR) with the relative 95% CI were estimated with a Mantel-Haenszel random effects model. Statistical heterogeneity between studies was quantified with Higgins' I². Comparisons between subgroups were carried out using a χ^2 test. The risk of bias was assessed by the first authors (D.M. and F.T.G.) for each study and was reported graphically along with the primary endpoints.

This analysis was conducted in accordance with the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) guidelines. All analyses were performed with RevMan Web version 5.3.1 by the first author (D.M.). The *alpha* for all analyses was set at 0.05.

Sensitivity analyses

We investigated the robustness of the results by performing and reporting the following sensitivity analyses, when appropriate:

- undertaking the analysis using a fixed-effect model in case of low statistical heterogeneity;
- excluding studies with high risk of bias;
- leave-one-out analysis to assess impact of single studies on the results.

Specific considerations

In the NADIM-II trial, the composite endpoint of PFS was judged as comparable to the composite endpoint of EFS available for all other eligible studies; those endpoints were analysed jointly. Accordingly, the term "EFS" was also used for the PFS results from the NADIM-II trial throughout the paper.

The included trials used both the 7th and 8th edition of AJCC TNM Cancer Staging Manual for clinical and pathological staging of NSCLC:

- As stage IB tumours ≥ 4cm per AJCC TNM 7th edition are classified in the stage II category in the 8th edition of the Manual, those tumours were referred to as stage II tumours throughout the document, unless otherwise specified.
- Some of the patients enrolled in the included trials who presented with stage IIIA tumours per the 7th edition of the Manual may have stage IIIB tumours according to the 8th edition of the Manual; however, patient-level data were not available for this analysis. Therefore, to account for this potential source of bias, we planned an additional subgroup analysis on stage IIIB tumours *per* the 8th edition of the Manual.

Some of the included studies presented results for stage IIIA and stage IIIB tumours separately; when a pooled measure of outcome for all stage III tumours was not available at the study level, the separate estimates for stage IIIA and IIIB were included in the analyses.

Results

Included studies

The systematic literature search identified 149 records; after the exclusion of duplicated and not relevant reports, 9 reports from 6 studies were included (CheckMate-816¹³⁻¹⁴, NADIM-II¹⁵⁻¹⁷, AEGEAN¹⁸, NeoTORCH¹⁹⁻²⁰, KEYNOTE-671²¹, NCT04338620²²); the PRISMA flow diagram of the study is shown in Figure 1.

The total number of patients evaluated in this analysis is 2524. Four of the included studies were phase III clinical trials and 2 were randomised phase II clinical trials. Two studies (CheckMate-816 and NCT04338620) allowed administration of anti-PD(L)1 only in the neoadjuvant phase, while all other included studies administered perioperative anti-PD(L)1 in the experimental arm.

One study enrolled patients with stage IB-IIIA NSCLC (*per* AJCC 7th, CheckMate-816); three studies enrolled patients with stage II-IIIB NSCLC (*per* AJCC 8th, NeoTORCH, AEGEAN, KEYNOTE-671) and two study enrolled patients with stage IIIA-IIIB NSCLC (AJCC 8th, NCT04338620, NADIM-II). Results for the intention-to-treat (ITT) population of the NeoTORCH study were not available; thus, only patients in the stage III cohort were included.

Three studies (NCT04338620, KEYNOTE-671 and AEGEAN) allowed enrollment of patients with EGFR mutations or ALK fusions; in the AEGEAN study those patients were included in the ITT population and excluded in the modified ITT population). The characteristics of the included studies are summarised in Table 1. All of the included studies had unclear risks of bias in at least two categories (Fig. 2).

Receipt of surgery

A total of 2473 patients from 6 studies were included in the analysis on the receipt of surgery. Overall, 1992 (81%) patients received surgery after neoadjuvant treatment, 1028 out of 1244 (83%) in the experimental arm and 964 out of 1229 (79%) in the control arm. Receipt of surgery was more frequent in the experimental arm (OR 1.39, 95% CI 1.02-1.91, Fig. 2); a sensitivity analysis performed with a fixed effects model confirmed the results from the primary analysis (Fig. S1).

The subgroup analysis on stage III was performed on 806 patients from 4 studies (not included: AEGEAN and KEYNOTE-671 due to missing data). Receipt of surgery was more frequent in the experimental arm (OR 1.94, 95% CI 1.23-3.07, Fig. S2). Additional subgroup analyses were not performed due to missing data.

Pathologic complete response

A total of 2473 patients from 6 studies were included in the pCR analysis. Overall, 314 (13%) patients achieved a pCR after neoadjuvant treatment, 270 out of 1244 (22%) in the experimental arm and 44 out of 1229 (4%) in the control arm. Pathologic complete response was more frequent in the experimental arm (OR 7.60, 95% CI 4.34-13.32, Fig. 3 and Fig. S3); a sensitivity analysis performed with a fixed effects model confirmed the results of the primary analysis (Fig. S3).

The subgroup analysis for stage was performed on 1671 patients from 5 studies (not included: KEYNOTE-671 due to missing data) with available data for the pCR outcome. We did non find significant differences in treatment effect on the likelihood of pCR between patients with stage II and stage III NSCLC (p = 0.45, Fig. S4).

The subgroup analysis for histology was performed on 1179 patients from 3 studies (not included: KEYNOTE-671, NeoTORCH, NCT04338620 due to missing data). We did not find significant differences in treatment effect on the likelihood of pCR between patients with non-squamous and squamous NSCLC (p = 0.42, Fig. S5).

The subgroup analysis for PD-L1 was performed on 1073 patients from 2 studies (not included: NADIM-II, KEYNOTE-671, NeoTORCH, NCT04338620 due to missing data). We did not find significant differences in treatment effect on the likelihood of pCR between patients with PD-L1 positive and PD-L1 negative NSCLC (p = 0.36, Fig. S6).

Event-free survival

A total of 2025 patients from 4 studies were included in the primary EFS analysis; CheckMate-816 and NCT04338620 were excluded from the analysis due to study design (i.e. neoadjuvant-only administration of anti-PD(L)1). EFS was improved in the experimental arm (HR 0.55, 95% CI 0.44-0.69, Fig. 4); a sensitivity analysis performed with a fixed effects model confirmed the results of the primary analysis (Fig. S7).

The subgroup analysis for stage was performed on 2023 patients from 4 studies. We did not find significant differences in treatment effect on EFS between patients with stage II and stage III NSCLC (p = 0.24, Fig. S8).

The subgroup analysis for histology was performed on 2020 patients from 4 studies. We did not find significant differences in treatment effect on EFS between patients with non-squamous and squamous NSCLC (p = 0.61, Fig. S9).

The subgroup analysis for PD-L1 was performed on 2014 patients from 4 studies. We found a significant difference in treatment effect on EFS between patients with PD-L1 positive and PD-L1 negative NSCLC (p = 0.02, Fig. S10). However, a significant improvement in EFS with the experimental treatment was shown in both PD-L1 positive and PD-L1 negative tumours.

Overall survival

A total of 1287 patients from 3 studies were included in the OS analysis (not included: AEGEAN due to missing data); CheckMate-816 and NCT04338620 were excluded from the primary OS analysis due to study design. OS was improved in the experimental arm (HR 0.67, 95% CI 0.52-0.85, Fig. 5); a sensitivity analysis performed with a fixed effects model confirmed the results of the analysis (Fig. S11). Subgroup analyses for the OS endpoint were not performed due to missing data.

Safety

A total of 2524 patients from 6 studies were included in the safety analysis. We did not find significant differences in any grade treatment-related adverse events (OR 1.26, 95% CI 0.80-1.97, Fig. S12) or grade >= 3 treatment-related adverse events (OR 1.22, 95% CI 0.97-1.54, Fig. 6); however, a sensitivity analysis performed with a fixed effects model showed a higher rate of grade >= 3 adverse events in the experimental arm (Fig. S13).

Exploratory analyses

A total of 2473 patients from 6 studies were included in the exploratory EFS analysis. EFS was improved in the experimental arm regardless of experimental treatment modality (p = 0.33, Fig. S14).

A total of 1643 patients from 4 studies (not included: AEGEAN and NCT04338620 due to missing data) were included in the exploratory OS analysis. OS was improved in the experimental arm regardless of experimental treatment modality (p = 0.78, Fig. S15).

EFS was evaluated among 296 never-smoker patients from 4 studies (not included: NADIM-II and NCT04338620 due to missing data) and was improved in the experimental arm (HR 0.59, 95% CI 0.40-0.88, Fig. S16); however, after the exclusion of CheckMate-816, EFS was only numerically improved in the experimental arm (HR 0.67, 95% CI 0.43-1.04, Fig. S17). EFS was evaluated among 315 stage IIIB patients from 2 studies (not included: NADIM-II, KEYNOTE-671, CheckMate-816 and NCT04338620 due to missing data) and was numerically improved in the experimental arm (HR 0.51, 95% CI 0.19-1.39, Fig. S18).

Discussion

Single-agent ICIs or a combination of chemotherapy and ICIs currently serve as the standardof-care first-line treatments for patients with advanced NSCLC without actionable driver alterations.²⁶⁻²⁷ These approaches are also under investigation in resectable NSCLC. Here, we present the results of a systematic review and meta-analysis of randomised clinical trials in resectable NSCLC. Our study compares perioperative or neoadjuvant anti-PD(L)1 therapy combined with platinum-based chemotherapy against neoadjuvant platinum-based chemotherapy alone. We found substantial evidence to support the use of perioperative or neoadjuvant anti-PD(L)1 combined with platinum-based chemotherapy in most patients. This is due to statistically significant and clinically relevant improvements in both surgical and survival outcomes. However, our analysis also raises numerous points for discussion.

The vast majority of patients evaluated in our analysis had clinical stage IIIA disease. Therefore, while it seems likely that chemoimmunotherapy improves outcomes also in resectable stage IIIB NSCLC, more evidence is needed in this highly heterogeneous subset of tumours. We found that patients who underwent neoadjuvant chemoimmunotherapy were more likely to proceed with surgical treatment compared to those who received neoadjuvant chemotherapy alone. Although we were unable to conduct a subgroup analysis focused on the receipt of surgery in patients with stage IIIB tumours due to missing data, we believe that, especially in tumours with more advanced stages and nodal involvement, it is critical to assess the impact of adding ICIs to neoadjuvant chemotherapy on the completion of planned surgery.²⁸ While all the trials included in our analysis enrolled patients with resectable NSCLC at baseline, we currently lack clear evidence regarding the role of chemoimmunotherapy for borderline resectable disease—particularly in patients that are potentially eligible for concurrent chemoradiotherapy followed by consolidation immunotherapy.²⁹⁻³⁰

While patients with PD-L1 positive NSCLC were shown to have a larger EFS improvement, both patients with PD-L1 positive and PD-L1 negative tumours had improved outcomes with chemoimmunotherapy in our analysis.³¹⁻³² However, the conclusions stemming from the PD-

L1 subgroup analyses are hampered by the heterogeneity in PD-L1 assessment among trials and by the missing PD-L1 status in a relatively small fraction of patients.

The histology-based subgroup analyses showed similar results among squamous and nonsquamous NSCLC. However, 77% of the patients enrolled in the NeoTORCH trial had squamous histology while all other included trials had lower rates. Therefore, it is likely that the results in squamous NSCLC are significantly affected by the NeoTORCH trial, which also had the largest overall improvement in EFS and whose results were only available for patients with stage III tumours. At last, EGFR and ALK-positive NSCLC were excluded by most of the trials included in our analyses, as ICIs are known to have low efficacy in patients with those actionable alterations in advanced NSCLC.³³ Accordingly, we believe that perioperative chemoimmunotherapy is not a preferred choice for resectable, EGFR-mutated or ALK-positive NSCLC.

Multiple ongoing clinical trials are testing additional agents, different treatment schedules, and ICI-ICI combinations with chemotherapy. In our analysis, clinical outcomes were improved regardless of whether the anti-PD(L)1 treatment was administered in a perioperative or solely neoadjuvant fashion. Therefore, exploratory evidence suggests that adjuvant anti-PD(L)1 treatment could be omitted in selected patients who have experienced previous high-grade adverse events and show evidence of a pCR after surgery.^{13,17,21} However, since the aim of our meta-analysis was not to compare these two treatment modalities, it remains unclear whether perioperative immunotherapy yields better clinical outcomes than neoadjuvant-only treatment.

In conclusion, adding anti-PD(L)1 agents to neoadjuvant platinum-based chemotherapy led to improved surgical outcomes, pCR rates, EFS, and OS in patients with resectable NSCLC. These findings support the routine use of this treatment approach in patients with resectable, stage II-IIIB NSCLC, excluding those with ALK and EGFR aberrations. Further confirmatory evidence is warranted for stage IIIB tumours and with higher maturity of the OS endpoint.

Figure legends

	Identification of stud	ies via databases and registers	Identification of studies via other methods
Identification	Records identified from: - MEDLINE, n = 30 - EMBASE, n = 103 - CENTRAL, n = 12	Records removed before screening: - Duplicated records, n = 18 - Unpublished (protocol only), n = 2	Conference proceedings: - AACR, n = 1, - ASCO, n = 1 - ASCO Plenary Session, n = 1 Other, n = 1
6	Records screened, n = 125	Records excluded, n = 91	
Screening	Reports retrieved and assessed for eligibility, n = 37	Reports excluded: - Methods only, n = 7 - Not relevant, n = 13 - Outdated, n = 8	
Inclusion	Studies included, n = 6 Reports of included studies, n = 9		

Figure 1. PRISMA flow diagram of the study.

	Experin	Control			Odds ratio	Odds ratio			Risk of Bias					
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI	A	в	С	D	Е	F	
NCT04338620	40	43	42	45	3.3%	0.95 [0.18 , 5.00]	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	?	2	?	2		2 1	
AEGEAN	295	366	302	374	25.6%	0.99 [0.69 , 1.43]	•	2	2			2	2 1	
KEYNOTE-671	325	397	317	400	26.2%	1.18 [0.83 , 1.68]	-		٠	۲	?	٠		
CheckMate-816	149	179	135	179	19.0%	1.62 [0.96 , 2.72]	· · · · · · · · · · · · · · · · · · ·		2	2				
NeoTORCH	166	202	148	202	20.6%	1.68 [1.04 , 2.71]		?	2	۰	٠	2	2 1	
NADIM-II	53	57	20	29	5.2%	5.96 [1.65 , 21.56]		?	?	?	?	•	•	
Total (95% CI)		1244		1229	100.0%	1.39 [1.02 , 1.91]	•							
Total events:	1028		964				•							
Heterogeneity: Tau ² =	0.07; Chi	= 9.76, 0	if = 5 (P =	0.08); 2	= 49%		0.01 0.1 1 10 1	00						
Test for overall effect	Z = 2.06 ((P = 0.04)					Favours control Favours exp		tal					
Test for subgroup diff	erences: N	lot applica	able											
Risk of bias legend														
(A) Random sequence	e generati	on (selec	tion bias)											
(B) Allocation concea	Iment (sele	ection bias	s)											
(C) Blinding of partici	pants and	personne	(perform	ance bias	3)									
(D) Blinding of outcor	ne assessi	ment (det	ection bias	5)										
(E) Incomplete outcom	me data (a	ttrition bia	35)											
(F) Selective reportin	g (reporting	g bias)												

Figure 2. Receipt of surgery.

	Experin	nental	Con	loi		Odds	ratio	Ode	ds ratio		Ris	k of	Bias			
Study or Subgroup	Events	Total E	Events	Total	Weight	M-H, Fixe	d, 95% Cl	M-H, Fiz	ked, 95% Cl	A	в	D	EF	G		
AEGEAN	63	366	16	374	37.5%	4.65 [2.63 . 8.22	1		2	2 6		2 0	2 2		
NCT04338620	14	43	4	45	7.5%		48.16.57		1.1	2	2 4	2 2		2 2		
KEYNOTE-671	72	397	16	400	37.3%		3.03 . 9.32		-			. 2		2		
NADIM-II	21	57	2	29	4.8%	7.88 [1.	70.36.51	1		2	2 4	2 2		2		
CheckMate-816	43	179	4	179	8.7%	13.83 [4.	85.39.48	1			2 4			2		
NeoTORCH	57	202	2	202	4.1%	39.31 [9.4	4.163.63	1		• ?	2 4		2 9	2 2		
Total (95% CI)		1244		1229	100.0%	7.30 [5.	25,10.15	1								
Total events:	270		44			0										
Heterogeneity: Chi ² =	10.81. df =	= 5 (P = 0.0	06); 12 = 1	5496				0.01 0.1	1 10 1	100						
Test for overall effect	Z = 11.83	(P < 0.000	01)					Favours control	Favours exp		tal					
Test for subgroup diff	ferences: N	ot applicat	ole													
(D) Blinding of outcor (E) incomplete outco (F) Selective reportin (G) Other bias Figure	me data (at g (reporting	ttrition bias g bias)))		с са	omp	olet	e resp	onse.							
			Exper	imental	Control		Hazard	ratio	Hazard ratio				Risk	k of B	Bias	•
Study or Subgroup	log[HR]	SE	Т	otal	Total	Weight I	V, Randor	n, 95% Cl	IV, Random, 95	96 CI		A	вс	DI	EF	G
NeoTORCH	-0.91629	1 0.18134	2	202	202	23.0%	0.40 [0	.28 , 0.57]				2	2 .		2 2	2
NADIM-II	-0.75502	3 0.32104	12	56	28	10.5%	0.47 [0	25 . 0.88]				2 1	2 2	2 1		2
KEYNOTE-671	-0.54472	7 0.11429	4	397	400	34.7%	0.58 [0	46 . 0.73]						2 4		2
AEGEAN	-0.38566	2 0.12935	1	366	374	31.7%	0.68 [0	.53 , 0.88]				2	2 .	•	2 2	2

 Total (954 C0)
 1021
 1004 100.0%
 655 [0.44.0.09]

 Hearrogramming Tun³ = 0.03. Ch³ = 6.06. df = 3 (P = 0.11); P = 50%
 655 [0.44.0.09]
 655 [0.44.0.09]

 Test for overall effect Z = 5.16 (P < 0.00003)</td>
 Test for subgroup differences. Not applicable
 Favours control

 Risk of basisgroup differences. Not applicable
 Favours control
 Favours control

 (A) Random sequence generation (selection bas).
 (B) direction control matrix (descetant bas).
 (C) Binding of participants and personel (performance bas).

 (D) Binding of control measures data (attrition bas).
 (C) Sincher eporting (reporting bas).
 (C) Sincher eporting (reporting bas).

 (F) Selecher reporting (reporting bas).
 (C) Other bas.
 (C) Sincher eporting (reporting bas.)

Figure 4. Event-free survival in studies with administration of perioperative anti-PD(L)1 combined with platinum-based chemotherapy.

Study or Subgroup	log[HR]	SE	Exp	oerimental Total	Control Total	Weight	Hazard rati IV, Random, 95		Hazard ra IV, Random,	
NADIM-II	-0.84397	0.41851		57	29	8.9%	0.43 [0.19	0.98]	· · · · · · · · · · · · · · · · · · ·	
NeoTORCH	-0.478036	0/244273		202	202	26.1%	0.62 [0.38	1.00]	-	
KEYNOTE-671	-0.314711	0.154629		397	400	65.1%	0.73 [0.54	0.99]		
Total (95% CI)				656	631	100.0%	0.67 [0.52 ,	0.85]		
Heterogeneity: Tau ² =	0.00; Chi2 =	= 1.53, df =	2 (F	P = 0.47); I ²	= 0%					
Test for overall effect:	Z = 3.24 (P	= 0.001)						0.	1 0.2 0.5 1	2 5 10
Test for subgroup diffe	erences: No	t applicabl	e							Favours contr

Figure 5. Overall survival in studies with administration of perioperative anti-PD(L)1 combined with platinum-based chemotherapy.

	Experin	nental	Con	trol		Odds ratio	Odds ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% Cl
CheckMate-816	59	176	65	176	17.8%	0.86 [0.56 , 1.33]	
AEGEAN	136	400	134	399	27.5%	1.02 [0.76 . 1.37]	_
KEYNOTE-671	178	396	149	399	28.4%	1.37 [1.03 , 1.82]	
NeoTORCH	128	202	109	202	20.0%	1.48 [0.99 , 2.20]	1 A A
NADIM-II	11	57	3	29	2.7%	2.07 [0.53 . 8.11]	
NCT04338620	11	43	5	45	3.7%	2.75 [0.87 , 8.73]	
Total (95% CI)		1274		1250	100.0%	1.22 [0.97 , 1.54]	•
Total events:	523		465				•
Heterogeneity: Tau? =	= 0.03; Chi	= 7.87,	df = 5 (P =	0.16); F	= 36%		01 02 05 1 2 5 10
Test for overall effect	Z = 1.73 (P = 0.08	1				Higher in control Higher in experime
Test for subgroup diff	erences: N	tot applic	able				

Figure 6. Safety in all included studies: grade >= 3 treatment-related adverse events.

Tables

Table 1. Characteristics of included studies.

	CheckMate- 816 ^{9,10}	NADIM-II ^{11,12}	AEGEAN ¹³	NeoTORCH ^{14,15}	KEYNOTE-671 ¹⁶	NCT04338620 ¹⁷
Study design	Phill, open-label	PhII, open- label	PhIII, double- blind, P- controlled	PhIII, double-blind, P-controlled	PhIII, double- blind, P- controlled	Phil, open-label
Enrolled pts (ITT)	358	90	740	Missing data (stIII: 404)	797	94
Randomisati on	1:1	2:1	1:1	1:1	1:1	1:1
Primary endpoint	pCR, EFS	pCR	pCR, EFS	EFS, MPR in stIII and ITT	EFS, OS	pCR
cTNM	IB-IIIA (AJCC 7th)	IIIA-IIIB (AJCC 8th)	II-IIIBN2 (AJCC 8th)	II-IIIBN2 (AJCC 8th)	II-IIIBN2 (AJCC 8th)	IIIA-IIIB (AJCC 8th)
Stratificatio n	Stage, PD-L1, sex	None	Stage, PD-L1	Stage, PD-L1, type of surgery, histology	Stage, PD-L1, histology, region	None
Histology	sq: 51%, nonsq: 49%	sq: 41%, nonsq: 59%	sq: 49%, nonsq: 51%	sq: 77%, nonsq: 23%	sq: 43%, nonsq: 57%	sq: 67%, nonsq: 33%
Smoke	NS: 11%	NS: 6%	NS: 14%	NS: 12%	NS: 13%	NS: 23%
Stage	StIII: 64%, stIB-II: 36%	StIII: 100%	Still: 71%, stil: 29%	Still: 100% (missing data for stil)	Still: 70%	StIII: 100%
Oncogene addicted exclusion	ALK, EGFR	ALK, EGFR	ALK, EGFR	ALK, EGFR excluded from mITT	None	None
Exp	N + PI-d q3wks x3 cycles	N + Cb/pac q3wks x3	D + Pl-d q3wks x4	T + Pl-d q3wks x3	P + Pl-d q3wks x4	C + Pl-d q3wks x3
SoC	PI-d q3wks x3	Cb/pac q3wks x3	Plac + Pl-d q3wks x4	Plac + Pl-d q3wks x3	Plac + Pl-d q3wks x4	Pl-d q3wks x3
Adj, exp	Optional adj CHT +/- RT	Adj N q4wks x6	Adj D q4wks x12	Adj T + Pl-d q3wks x1 → T q3wks x13	Adj P q3wks x13 + optional RT	Obs
Adj, SoC	Optional adj CHT +/- RT	Obs	Adj Plac q4wks x12	Adj P + Pl-d q3wks x1 → P q3wks x13	Adj Plac q3wks x13 + optional RT	Obs
MPR rates	exp: 36.9%, SoC: 8.9%	exp: 52.6%, SoC: 13.8%	exp: 33.3%, SoC: 12.3%	exp: 48.5%, SoC: 8.4%	exp: 30.2%, SoC: 11%	exp: 65.12%, SoC 15.56%
pCR rates	exp: 24%, SoC: 2.2%	exp: 36.8%, SoC: 6.9%	Exp: 17.2%, SoC: 4.3%	exp: 28.2%, SoC: 1%	exp: 18.1%, SoC: 4%	exp: 32.56%, SoC 8.89%

N: nivolumab; D: durvalumab; T: toripalimab; P: pembrolizumab; C: camrelizumab; Pl-d: platinum-doublet; Cb: carboplatin; Pac: paclitaxel; Plac: placebo; obs: observation; neo: neoadjuvant; adj: adjuvant; CHT: chemotherapy; RT: radiotherapy; st: stage; q3wks: every 3 weeks; q4wks: every 4 weeks; SoC: standard-of-care arm; exp: experimental arm; Ph: phase; pts: patients; ITT: intention-to-treat; EFS: event-free survival, pCR: pathological complete response; MPR: major pathological response; inv: investigator-assessed; centr: central evaluation; BICR: blinded independent central review; NE: not evaluable; I: indeterminate; pneumo: pneumonectomy; lob: lobectomy; hist: histology; nonsq: non-squamous; sq: squamous;

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Declaration of interests

□ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

X The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Highlights

- Perioperative ICIs plus with neoadjuvant chemotherapy improves surgical outcomes
- Perioperative ICIs plus with neoadjuvant chemotherapy improves pCR, EFS and OS
- PD-L1 negative NSCLC benefits from chemoimmunotherapy combinations
- Higher rates of grade >= 3 adverse events were associated with experimental treatment
- Confirmatory evidence is warranted in stage IIIB tumours

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