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Discovery of cryptotephra at Middle-Upper Paleolithic sites Arma Veirana and Riparo Bombrini, Italy: a new link for broader geographic correlations

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93 Abstract

94 Chemical characterization of cryptotephra is critical for temporally linking archaeological 95 sites. Here, we describe cryptotephra investigations of two Middle-Upper Paleolithic sites from 96 northwest Italy, Arma Veirana (AV) and Riparo Bombrini (RB). Cryptotephra are present as 97 small (<100 micron) rhyolitic glass shards at both sites, with geochemical signatures rare for 98 volcanoes in the Mediterranean region. Two chemically distinct shard populations are present. 99 The first (P1) from AV is a high silica rhyolite (>75 wt. %) with low FeO (<1 wt. %) and a 100 K2O/Na2O >1 and the second (P2) is also a high silica rhyolite (>75 wt. %) but with high FeO 101 (2.33-2.65 wt. %). Shards at RB (P3) are the same composition as P1 shards at AV, providing a 102 distinct link between deposits at both sites. Geochemical characteristics suggest three possible 103 sources for P1 and P3; eruptions from Lipari Island (53-37.7 ka) in Italy, the Acigöl volcanic 104 field (180-20 ka) in Turkey and the Miocene Kirka-Phrigian caldera (18 Ma) in Turkey. 105 Eruptions from Lipari Island are the most likely source for P1,3 cryptotephra. This study 106 highlights how cryptotephra can benefit archaeology, by providing a direct link between AV and 107 RB as well as other deposits throughout the Mediterranean. 108 109 **Keywords:**

110 Middle Paleolithic, Upper Paleolithic, radiocarbon dating, cryptotephra, reworking

112 **1. Introduction**

113 In the past decade, the use of cryptotephra (microscopic glass shards) has transformed the 114 way scientists precisely date and link deposits in geology, paleoecology, and archaeology (Lowe, 115 2011; Lane et al., 2014; Lowe et al., 2015; Lowe et al., 2017). Cryptotephra preserves well in a 116 variety of depositional environments (e.g., peat bogs, marine and lake sediments, ice cores) and 117 can travel as far as 9,000 km from the source eruption (Smith et al., 2018), allowing for 118 isochrons (precise temporal markers) to be established across large geographic areas (Lane *et al.*, 119 2014; Lowe *et al.*, 2015). Tephra must be sourced to a volcanic eruption whose age is known 120 from independent dating methods to provide a precise chronological marker. However, unlike 121 other material, cryptotephra can provide a marker horizon even without a calculated age due to 122 the specific geochemical signatures associated with each eruption (Lowe, 2011; Lane *et al.*, 123 2014). The identified shards must show signs of minimal reworking to be a reliable stratigraphic 124 and temporal marker (Lane *et al.*, 2014). If shards have been severely reworked, then the 125 location is not indicative of primary deposition and therefore cannot be used as a reliable marker 126 horizon. Given the potential for precise dating, tephra studies have become especially important 127 in the field of archaeology for independently testing age models derived from other techniques 128 (Douka et al., 2014; Smith et al., 2018), linking archaeological deposits (Barton et al., 2014; 129 Lowe *et al.*, 2015; Smith *et al.*, 2018), and assisting with dating sites older than the limit of 130 radiocarbon dating (Veres et al., 2017).

This contribution presents the results of cryptotephra investigations at two Middle-Upper Paleolithic sites, Arma Veirana and Riparo Bombrini, located in Liguria, Italy. The sites are 80 km apart and contain similar Middle-Upper Paleolithic archaeological assemblages; however, dating the Middle Paleolithic deposits at both sites has been difficult. At Arma Veirana, current 135 radiocarbon dates for the Mousterian-bearing strata have so far been inconclusive, but they range 136 from near the limit of radiocarbon dating to beyond the limits (possibly > 50 ka) (Hodgkins 137 2019). At Riparo Bombrini, there are radiocarbon dates near the dating limit as well as some 138 dating inversions (Holt et al., 2018). Therefore, for this study, we sampled the two sites in the 139 hope of finding shards of similar composition. This would allow us to better date the 140 assemblages, if the shards could be correlated to a radiometrically dated eruption. Additionally, it 141 can assist in correlating the occupational history of both sites as well as establish an isochron(s) 142 applicable to other Paleolithic sites in southern Europe. Here, we report shard compositions and 143 suggest a stratigraphic location of the isochron at both sites based on a shard count profile and 144 micromorphological analyses. These analyses are important to understand the depositional 145 processes that may have affected the shards and identify a reliable isochron. These results 146 highlight the benefits of cryptotephra correlations as well as important factors that must be 147 considered when using this tool on archaeological sites.

149 **2. Site Description**



Figure 1. Location of study sites. AV is Arma Veirana located in the Ligurian pre-Alps. RB is
Riparo Bombrini located at the Franco-Italian border, along the present-day coastline. LP is
Lipari Island and is the location of a potential source volcano.

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155 2.1 Arma Veirana

Arma Veirana is a limestone cave situated on the south side of Neva Valley in Liguria (44° 08' 45.4" N, 08° 04' 18.8" E) approximately 14 km from the Mediterranean coast (Fig 1). It formed through differential erosion along a fault and is carved into a north-facing cliff. Formal excavations at Arma Veirana began in 2015. The cave floor slopes upward to the south, exposing younger sediments in the back and older sediments near the mouth of the cave. *In situ* Middle and Upper Paleolithic deposits have been excavated in trenches located near the mouth of the site, suggesting that most of the deposits are undisturbed. Micromorphological analyses show 163 that bioturbation is present at Arma Veirana; however, the amount of reworking between distinct 164 stratigraphic units is minimal and limited to a few centimeters at the contacts. This is important 165 to understand when identifying the exact stratigraphic location of shards (see section 5.7 for a 166 detailed discussion).

167 The stratigraphic units uncovered in the main trenches are, from bottom to top, Black 168 Mousterian (BM), Granular (Gr), Compact Strong Brown (CSB), and Rocky Brown (RB). The 169 CSB, Gr, and BM have yielded Mousterian lithics. Each stratigraphic unit contains a mixture of 170 material and is likely to have accumulated by colluviation and roof-fall. The BM fine fraction 171 consists of sandy, clayey silt with sub-rounded, gravel-sized fragments of bedrock. It is dark 172 gravish brown in color (10YR 3/2) which is clearly derived from the abundance of anthropogenic 173 components (charcoal, bone fragments, burnt bone) (Fig 2). The Gr is dominated by a medium 174 sandy silt that contains granules and gravel throughout. It contains a granular microstructure and 175 is less compact than the BM. Packing voids are present, but anthropogenic components are rare 176 (Fig 2). The proportion of comminuted charcoal and other combustion residues decrease 177 noticeably as one moves upward in the section, which suggests less anthropogenic influence. 178 Radiocarbon dating of the Mousterian-bearing deposits at Arma Veirana has been 179 unsuccessful. Oxford University and Eidgenössische Technische Hochschule (ETH) Zürich 180 analyzed charcoal and bone samples collected on site. Analyses at ETH Zürich dated the BM to 181 43,781-43,121 cal a BP and the Gr to 41,721- 41,174 cal a BP. Calibration for samples were 182 performed using OxCal 4.2 (Bronk Ramsey, 2013) and the IntCal13 calibration dataset (Reimer 183 et al., 2013). Samples were analyzed again at Oxford University and resulted in infinite ages (> 184 45,000 14C a BP) except one charcoal sample in stratigraphic unit Gr (49,400 \pm 1,900 14C a BP)

(Hodgkins 2019). Therefore, the exact time period of the Middle Paleolithic occupation at ArmaVeirana remains inconclusive.

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188 2.2 Riparo Bombrini

189 Riparo Bombrini is a collapsed rock shelter located on the Mediterranean coast near the 190 Franco-Italian border (43° 46' 59.6" N, 07° 32' 7.6" E) (Fig 1). The site was discovered in 1887 191 by E. Rivière (Rivière, 1887) after railroad construction along the coast cut through the cliff, 192 damaging and destroying a large part of the site. The remaining part of the site has been 193 excavated in stages over the last 40 years, first in 1976 by Giuseppe Vicino (Vicino, 1984), 194 second in 2002-2005 by Brigitte Holt (Holt et al., 2018), and currently (2015-2018) by Julien 195 Riel-Salvatore and Fabio Negrino (Riel-Salvatore and Negrino, 2018). From bottom to top, the 196 lower Mousterian stratigraphic units are labeled as M1-M7, the upper Mousterian units as MS1-197 MS2, and the Protoaurignacian units as A1-A3. These excavations revealed Late Mousterian 198 deposits and bladelet-rich Protoaurignician layers that appear undisturbed. The lithics found in 199 the Mousterian layers have some similarities with the lithics found in CSB, Gr, and BM 200 stratigraphic units at Arma Veirana. A modern human deciduous tooth was recovered in the 201 Protoaurignacian deposits (Level A2) making it one of the few Protoaurignacian sites associated 202 with diagnostic human remains (Benazzi et al., 2015).

Micromorphological analyses show that instances of bioturbation appear to be more significant in the upper layers (MS1-M3) and are rare to nonexistent in the lower layers (M4-M7) (Fig 2). Anthropogenic components like charcoal, bone fragments, or burnt bone are absent at Riparo Bombrini; however, flint is present in stratigraphic units M4-M6. Because flint is not naturally occurring in the rock shelter and is likely indicative of stone tool production, this suggests there is more anthropogenic influence in these layers. Mineral constituents in each stratigraphic unit also contain variable amounts of aeolian and volcanic materials. Volcanic material is more common in the upper layers (MS1-M3) and some have been identified as highly altered porphyritic andesite. This material belongs to sediments outside of the rock shelter and are also not naturally occurring within the shelter, suggesting input through aeolian processes (see section 5.7 for a detailed discussion).

214 Charcoal samples from exposed hearths were collected at Riparo Bombrini in 2002-05 215 (Holt et al., 2018). Analyses were completed at Beta Analytic, Inc. and calibrated using OxCal 216 4.2 (Bronk Ramsey, 2013) and the IntCal13 calibration dataset (Reimer et al., 2013). Samples 217 yielded eight AMS radiocarbon dates (Holt et al., 2018); however, three samples (RB 47, 69, 218 265) produced ages that were too young and do not agree with cultural or geological context, which may be due to disturbances associated with the 19th century railroad construction (Holt et 219 220 al., 2018). When considering only non-problematic dates, the occupation of Riparo Bombrini is 221 dated to 44,000 cal BP to 36,000 cal BP (see section 6.2 a detailed discussion).





226 lens (BM) shows mixing in the brown sediment (Gr), demonstrating that there is slight

reworking between stratigraphic units. **b**), Photo of stratigraphic unit M4 at Riparo Bombrini.

228 Reworking is present as bioturbation forming the light-colored areas but is minimal.

229

3. Methods

231 3.1 Cryptotephra sampling and extraction

In 2017 and 2018, we sampled for cryptotephra along exposed stratigraphic sections at Arma Veirana and Riparo Bombrini, following the methods of Lane et al. (2014). At both sites, we cleaned the sections and collected 10-20 g sediment samples from the bottom-up in 2 cm intervals, creating continuously sampled columns. Each stratigraphic unit was sampled, resulting in approximately 1.5 m of sample columns at Arma Veirana (Fig 4) and 1 m at Riparo Bombrini (Fig 6).

238 Samples were processed at the Cryptotephra Laboratory for Archaeological and 239 Geological Research (CLAGR) at the University of Nevada, Las Vegas (UNLV) using 240 techniques published in Blockley et al. (2005). Due to the extremely low abundance of 241 cryptotephra in our samples (3 shards/gram), we modified the methods following procedures 242 successfully employed in Smith et al. (2018). Samples were air-dried, weighed, and placed in 10 243 mL of 10% HCL to remove any carbonates. The material was then rinsed with distilled water 244 and wet-sieved into a 20-80 µm grain size fraction. Lithium metatungstate (LMT) heavy liquid at 245 densities of 2.2 g/cm³ and 2.5 g/cm³ was used to separate the vitric component from minerals 246 such as quartz and feldspar. LMT was added to each sample and centrifuged twice for 15 247 minutes at 2500 rpm to expedite the separation process. The separate was further cleaned with 248 distilled water and then mounted on a one-inch diameter epoxy round. Rounds sat for 24 hours

249	before being hand polished with four different clothes (6, 3, 1, and 0.25 μ m). Samples were then
250	scanned using a petrographic microscope to identify isotropic grains (potential glass shards).
251	Major element compositions of individual tephra grains were determined using a JEOL
252	JSX8900 SuperProbe EPMA, equipped with four wavelength dispersive spectrometers (WDS),
253	at the Electron Microanalysis and Imaging Laboratory at UNLV, following methodologies
254	published in Smith et al. (2018). We used a 15kV accelerating voltage, 10nA beam current and
255	beam size of 10 μ m for operating conditions of the EPMA. We set peak and background
256	counting times to 30 and 10 s for all elements except Na (Na was set to 10 and 5 s). To prevent
257	element migration from beam damage, elements Na and K were counted on the first WDS cycle.
258	The rhyolite glass standard ATHO-G, a part of the MPI-DING international standard set, was
259	analyzed alongside glass shards on the electron microprobe to monitor instrument accuracy and
260	precision (Jochum <i>et al.</i> , 2006). Analytical error was minimal for most elements ($< \pm 0.2$ wt. %)
261	except for SiO ₂ (±0.83 wt. %), Al ₂ O ₃ (±0.25 wt. %), and Na ₂ O (±0.57 wt. %).
262	Conducting trace element analyses is critical to correlating tephra to its proper source.
263	Since tephra from the same region can produce volcanic rocks with similar major elements
264	signatures (Vinkler et al., 2007; Lowe, 2011), trace elements are needed to properly identify the
265	source. Therefore, we performed trace element analyses on the glass shards that had been
266	analyzed for major elements. Analyses were completed at Michigan State University using a
267	Thermo Scientific ICAP Q Quadrupole Inductively Coupled Plasma Mass Spectrometer (ICP-
268	MS) integrated with a Photon Machines Analyte G2 193 nm excimer laser ablation system. This
269	laser ablation system is equipped with a 15 x 15 cm HelEx sample cell for solid sample
270	microanalyses. For our samples, we used a laser fluence of 4.1 J/cm ² at a repetition rate of 10 Hz
271	(10 laser hits per second). We adjusted beam diameters based on sample size and calibrated

concentrations at a 110 µm pit diameter on surface scans of NIST 612, USGS basalt glass
standards, and rock powder standards from the Geological Survey of Japan and the U.S.
Geological Survey. The ICP-MS was tuned using surface scans of NIST 612 and the oxide
production rate was kept at (ThO/Th) < 0.7% and double charged cations was (¹³⁷Ba++/¹³⁷Ba) <
3% while performing surface scans. We subtracted backgrounds from each analysis and
collected gas blanks after each standard and sample.

278

279 *3.2 Statistical analyses*

280 Statistical analyses were completed on cryptotephra compositional data using 281 OpenBUGS, a Bayesian statistics software that uses Markov chain Monte Carlo (MCMC), 282 following modified statistical methods from Smith et al. (2019) and Harris et al. (2017). Before 283 running the model, all major oxide data were normalized to ensure that the comparisons between 284 reference data were consistent. Reference data were chosen from published materials and 285 includes a variety of compositions from both proximal and distal sources (Table S2). To reduce 286 the impact of our biases on the results, we included potential volcano sources dated well beyond 287 the expected age range of the layers with cryptotephra (e.g., Miocene). We calculated the 288 standard deviation of each element within sources and between sources to determine which 289 elements varied the most. The elements exhibiting the highest variation were included in the 290 Bayesian model. A total of 10 major elements and 32 trace elements from 1379 samples were 291 included in the model. We divided the compiled data into 'training' and 'validation' subsets 292 allowing for the model performance to be tested through an out-of-sample cross validation. 293 Model performance results for major elements show a predictability accuracy of **XX%** and 294 results for trace elements show a predictability accuracy of **XX%**. **XX** samples were

misidentified and incorrectly identified as XX. After model performance was assessed, the
subsets of data were combined and used to predict the Arma Veirana and Riparo Bombrini
archaeological samples. For the major element data, 17 samples were from Arma Veirana and
three samples were from Riparo Bombrini. For the trace element data, five samples were from
Arma Veirana and six samples were from Riparo Bombrini.

300

301 **4. Results**

302 4.1 Arma Veirana and Riparo Bombrini cryptotephra horizons

303 There are two cryptotephra populations present at Arma Veirana (population one and 304 population two) and one cryptotephra population at Riparo Bombrini (population three). At both 305 sites, the shards are high-silica rhyolites and are in extremely low abundance (1-8 shards/gram). 306 Geochemical results are shown in Table 1 and Table 2. Population one (P1) has a rhyolitic 307 composition characterized by 75.09-78.32 wt.% SiO₂, 11.6-13.47 wt.% Al₂O₃, 0.44-0.91 wt.% 308 FeO, and a $K_2O/Na_2O > 1$. Population two (P2) also has a rhyolitic composition with 309 concentrations of 75.78-76.82 wt.% SiO₂, 11.27-12.27 wt.% Al₂O₃, and 2.33-2.65 wt.% FeO. 310 Population three (P3) has concentrations of 76.64 - 76.96 wt.% SiO₂, 11.79-12.44 wt.% Al₂O₃, 311 0.7-0.89 wt.% FeO, and a K₂O/Na₂O >1. Trace element analyses for P1 and P3 show depletions 312 in Ba and Sr, an Eu anomaly and an enrichment in heavy rare earth elements (HREE) (Fig 8). 313 For P2, trace element analyses show a depletion in Sr and an enrichment in light rare earth 314 elements (LREE) (Fig 8). 315 At Arma Veirana, P1 was found in stratigraphic units BM and Gr and is the most 316 common shard composition. Shards are small (<100 μ m) and appear to be rounded when viewed

in epoxy mounts, but several show angular and cuspate margins. The shards are entirely glass,

318 lack phenocrysts and several contain small vesicles (Fig 3). A shard count profile of P1 shows a 319 few distinct peaks concentrated in the BM (Fig 5). Sample AV651 shows the highest peak and 320 was collected at the base of the exposed stratigraphic section. It is possible that more shards are 321 present below the collected column, but the section has not been excavated below this point. P2 322 is located in stratigraphic unit Gr in sample AV665. These shards are larger than population one 323 (P1) (>100 μ m) and are tabular with sharp angular corners (Fig 3). P2 did not have enough 324 shards to generate a count profile (<3 shards).

At Riparo Bombrini, P3 was found in stratigraphic units M1 to M4 in extremely low
abundance (3 shards/gram) (Fig 6). Shards are small (~80 μm) and well-rounded (Fig 3). A shard
count profile of P3 shows distinct peak concentrated in M4/M3 (Fig 6).

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329



331 were taken from polished epoxy rounds using plane-polarized light. Image a was taken using

332 SEM back-scattered electrons. **a**, Shard from sample AV662 (P1). This is a high-resolution

- backscattered electron image. **b**, Shards from sample AV651 (P1). **c**, Shard from sample AV655
- 334 (P1). **d**, Shard from sample AV665 (P2). **e**, **f**, Shards from sample RB15a (P3).
- 335
- 336



- 338 Figure 4. Location of cryptotephra sample columns at Arma Veirana. Samples were
- 339 collected every 2 cm creating two continuous columns. The two columns overlap vertically by
- 340 approximately 10 cm. P1 is distributed over stratigraphic units Gr and BM.



- 343 Figure 5. Shard concentrations at Arma Veirana. Samples AV651 to AV662 contain P1
- 344 shards. Numbers in the photo are field sample numbers. The y-axis represents each sample
- 345 number and the x-axis shows shards per gram.

346



Figure 6. Shard concentrations at Riparo Bombrini. Samples BONT15 to BONT19 are

attributed to population three and are present in stratigraphic units M1-M4. The y-axis represents

ach sample number and the x-axis shows shards per gram.

353	Table 1. I	Major (v	vt.%) eleme	nt compositions	s for individual	l glass shards	from Arma
			,			a	

- 354 Veirana (AV) and Riparo Bombrini (RB). Major element totals are normalized to 100 wt.
- 355 %. The analytical total before normalization is given. Sample names represent site, sample
- 356 number, and individual shard. Samples AV651, AV653, and AV662 show compositions for
- 357 different shards within that sample (e.g. AV651-1 and AV651-2). Sample AV665 shows two
- analyses for the same shard (i.e. AV 665-2-1 and AV665-2-2).

				Popula	tion one					
AV651-	AV651-	AV651-	AV651-	AV652-	AV653-	AV653-	AV653-	AV653-	AV655-	AV656-
1	2	3	4	1	1	2	3	4	1	1

SiO_2	76.19	76.60	75.99	76.81	77.46	76.69	75.87	77.18	76.68	76.70	75.09
TiO_2	0.08	0.00	0.14	0.20	0.04	0.00	0.22	0.12	0.17	0.02	0.05
Al_2O_3	13.18	13.01	12.94	12.66	11.60	12.99	12.71	12.49	12.73	12.93	13.47
Cr_2O_3	0.00	0.10	0.00	0.07	0.00	0.00	0.09	0.00	0.01	0.03	0.00
FeO	0.72	0.58	0.79	0.61	0.50	0.73	0.79	0.44	0.73	0.60	0.79
MnO	0.07	0.10	0.08	0.05	0.00	0.02	0.05	0.09	0.07	0.03	0.05
MgO	0.02	0.01	0.03	0.02	0.01	0.04	0.02	0.06	0.04	0.00	0.00
CaO	0.78	0.92	0.99	0.95	0.90	1.00	1.05	0.56	0.89	0.84	1.05
Na ₂ O	3.61	3.35	3.97	3.60	3.74	3.52	3.41	3.87	3.49	3.69	3.70
K_2O	4.81	5.02	5.05	4.92	4.95	4.93	5.37	4.68	4.80	4.78	5.01
P_2O_5	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.78
F	0.29	0.22	0.00	0.00	0.33	0.00	0.33	0.17	0.27	0.29	0.00
Cl	0.08	0.06	0.01	0.06	0.08	0.08	0.07	0.04	0.09	0.08	0.00
SO_3	0.00	0.01	0.00	0.04	0.02	0.00	0.01	0.01	0.02	0.01	0.00
BaO	0.15	0.00	0.00	0.00	0.35	0.00	0.00	0.28	0.00	0.00	0.00
Total	98.68	96.60	97.26	96.91	96.17	96.12	97.06	97.68	97.44	99.13	99.97

Table 1 (continued)

			Popula	ation one			Popula	ation two	Ро	pulation th	nree
	AV661- 1	AV662- 1	AV662- 2	AV662- 3	AV662- 4	AV662- 5	AV665- 2-1	AV665- 2-2	RB15a- 1	RB15a -2	RB19a- 1
SiO ₂	76.51	78.32	77.71	76.54	77.49	77.52	75.78	76.82	76.96	76.84	76.64
TiO_2	0.02	0.00	0.00	0.11	0.00	0.08	0.28	0.38	0.04	0.00	0.00
Al_2O_3	13.17	12.09	12.52	12.71	12.64	12.00	12.27	11.27	11.79	12.31	12.44
Cr_2O_3	0.00	0.04	0.00	0.13	0.00	0.00	0.00	0.00	0.00	0.00	0.00
FeO	0.73	0.47	0.61	0.91	0.61	0.84	2.33	2.65	0.70	0.84	0.89
MnO	0.00	0.05	0.00	0.00	0.11	0.04	0.00	0.10	0.11	0.03	0.10
MgO	0.03	0.01	0.02	0.00	0.00	0.03	0.07	0.09	0.00	0.01	0.02
CaO	0.80	0.47	0.48	0.78	0.42	0.92	0.81	0.87	0.56	0.83	0.70
Na ₂ O	3.49	3.40	3.67	3.56	4.01	3.24	3.04	2.88	3.30	3.95	4.38
K_2O	4.78	5.03	4.70	4.69	4.53	5.06	5.33	4.72	5.41	4.62	4.51
P_2O_5	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.08	0.01	0.00	0.00
F	0.28	0.07	0.24	0.48	0.07	0.07	0.00	0.11	0.89	0.28	0.20
Cl	0.09	0.05	0.05	0.07	0.07	0.09	0.09	0.03	0.15	0.22	0.11
SO_3	0.00	0.00	0.00	0.01	0.02	0.12	0.00	0.02	0.07	0.07	0.00
BaO	0.10	0.00	0.01	0.00	0.01	NA	0.00	0.00	NA	NA	NA
Total	96.41	96.47	97.31	98.01	96.17	96.09	93.99	93.10	94	94.31	96.15

Table 2. Trace (ppm) element compositions for Arma Veirana and Riparo Bombrini glass

363 shards.

	Population one Population t					
AV653-1	AV653-2	AV653-3	AV653-4	AV656-1	AV665-2-1	

Ga	16.61	21.23	18.51	17.62	19.48	41.73
Rb	197.06	268.84	249.02	246.09	287.81	256.96
Sr	3.90	9.16	9.69	10.20	8.27	62.44
Y	35.41	62.93	61.80	53.93	64.78	94.01
Zr	82.16	128.88	130.14	110.68	101.16	956.35
Nb	54.09	30.86	30.46	27.28	33.72	78.59
Cs	4.80	6.38	4.02	3.99	5.21	6.75
Ва	6.98	4.03	3.86	6.26	0.00	1730.95
La	21.16	17.63	16.57	15.13	12.56	128.26
Ce	40.40	40.47	38.44	38.45	31.47	300.20
Pr	4.03	5.36	6.22	6.08	4.84	32.28
Nd	17.40	22.45	19.99	22.18	19.03	118.22
Sm	4.66	7.87	5.01	5.24	6.09	28.85
Eu	0.00	0.27	0.54	0.06	0.27	3.09
Gd	2.47	8.17	6.25	5.17	6.68	21.34
Tb	0.90	1.21	1.35	1.20	1.20	4.36
Dy	4.44	8.61	8.03	7.82	8.53	21.33
Ho	0.76	1.83	1.62	1.74	1.81	4.51
Er	2.76	6.05	5.40	5.41	6.13	14.90
Tm	0.47	0.79	1.19	0.97	1.12	1.90
Yb	2.29	7.76	6.59	6.84	7.34	14.08
Lu	0.44	1.52	1.15	1.09	1.19	1.96
Hf	3.71	5.79	5.39	4.50	4.91	25.43
Та	6.77	2.72	2.60	3.10	2.87	7.14
Pb	32.77	37.44	33.42	35.41	39.80	29.53
Th	20.28	29.18	32.16	27.21	27.66	29.39
U	8.03	5.83	5.05	6.35	6.82	6.53

Table 2 (continued).

			Populat	ion three		
	RB15a-1-1	RB15a-1-2	RB15a-2 1	RB15a-2-2	RB19a-1-1	RB19a-1-2
Ga	14.39	17.17	24.23	14.40	20.26	17.49
Rb	247.88	306.60	367.33	339.58	280.95	289.99
Sr	3.82	3.44	4.68	18.12	2.61	4.22
Y	83.08	104.96	171.19	121.20	91.82	99.13
Zr	118.46	132.21	155.57	169.52	122.58	133.33
Nb	39.04	43.59	50.43	37.42	39.68	40.91
Cs	6.81	7.70	7.84	7.96	7.32	7.46
Ba	2.03	1.41	4.06	14.17	0.67	2.51
La	8.48	10.89	9.86	5.52	11.45	11.15
Ce	25.07	30.63	26.25	20.57	31.82	31.78
Pr	3.16	3.86	3.29	1.83	4.96	4.07
Nd	18.00	20.55	12.78	15.78	21.44	21.51
Sm	6.66	6.85	10.62	8.11	7.76	7.77
Eu	0.00	0.00	0.00	0.26	0.12	0.00
Gd	6.76	9.66	11.99	11.15	9.44	8.23
Tb	1.43	1.76	2.15	2.44	1.52	1.81
Dy	11.22	14.07	19.19	16.40	12.66	11.62
Но	2.60	3.02	3.77	5.42	2.96	2.88
Er	8.96	10.99	17.30	12.65	10.27	10.30
Tm	1.39	1.68	2.57	13.48	1.70	1.58
Yb	8.88	11.87	22.07	56.76	11.69	11.71
Lu	1.57	1.75	2.77	1.80	1.88	1.63
Hf	5.78	6.51	8.96	11.21	7.37	6.88
Та	3.74	3.53	4.40	3.61	4.29	4.10
Pb	35.03	40.86	37.93	41.42	44.73	38.06
Th	27.79	33.61	40.77	35.87	34.57	33.99
U	6.98	8.89	9.21	8.67	9.07	7.91

5. Source of the shards

5.1 Locating potential sources

372 P1 shards (Arma Veirana) and P3 shards (Riparo Bombrini) are nearly identical in major 373 and trace element composition and are probably related to the same eruptive event, so we group 374 them for the purpose of locating a source and will refer to them as P1,3. P2 shows a very distinct 375 geochemical signature that is likely derived from an eruption in a different region. P1,3 shards 376 are high silica, calc-alkaline rhyolite with FeO<1 wt. % and K2O/Na2O >1 and are atypical of 377 rhyolite erupted from volcanoes in the Mediterranean region. The shards lack a distinctive Nb-Ta 378 trough characteristic of subduction zone magmatism and are more typical of intraplate 379 volcanism. P2 shards are also high silica, calc-alkaline rhyolites but with 2.33-2.65 wt.% FeO. 380 Magmatic provinces in Italy, except those in the Aeolian Islands, are mainly subduction related 381 and tend to be alkalic to ultrapotassic and have higher FeO and light rare earth element (LREE) 382 concentrations than AV or RB shards (Peccerillo, 2005). Other areas in the Mediterranean (i.e. 383 Aegean Sea, Marmara Sea, Greece) are also dominated by subduction zones and show 384 significant differences in trace elements when compared to P1,3 and P2 (Aksu *et al.*, 2008; 385 Tomlinson et al., 2012; Satow et al., 2015; Koutrouli et al., 2018). The tectonic setting of Lipari 386 Island in the Aeolian Island chain is somewhat controversial. Chiarabba et al. (2008) suggest that 387 Aeolian Island volcanism is related to post-subduction back-arc extension with an inactive 388 subducted slab at depth. The REE signature of P1,3 is very distinctive with depleted LREE, a 389 deep Eu anomaly and enriched HREE. This signature is very rare and is found in rhyolites with 390 high fluorine content that have undergone extensive crystal fractionation (Christiansen et al. 391 2007; Jowitt et al. 2017) or created by fractionation of rare-earth bearing minerals like Allanite 392 (Miller and Mittlefehldt, 1982). Many of these rhyolites are associated with economic mineral 393 deposits (reviewed in Jowitt et al. 2017). The Ba, Sr and Eu troughs are due to fractionation of 394 feldspar, suggesting that the source volcano is compositionally zoned. The trace element

signature for P2 show enriched LREE and follow similar trends to volcanoes on the western and
eastern side of Iceland, which are located along the axis of a rift (Jakobsson and Jónasson et al.,
2008).

398 Based on the geochemistry of the shards and lack of similar proximal sources, locating a 399 source volcanic eruption for Arma Veirana and Riparo Bombrini P1,3 and P2 shards required a 400 worldwide search for eruptions with a comparable major and trace element chemistry (Table S1 401 and Table S2). Ideally, major and trace element chemistry should be sufficient to match 402 cryptotephra to a source, but in the case of P1,3, several volcanic areas are candidate sources and 403 because most analyses for the comparison volcanoes are whole rock and not glass, we considered 404 other factors such as age of the enclosing sediments, shape and freshness of shards, and ease of 405 transport from the source volcano to the site of deposition in our search for a source. We 406 searched for eruptions in a variety of tectonic settings and, due to the poor age constraint at AV 407 and RB, we included a wide range of ages in our search (Table S1 and Table S2). Important 408 parameters for a chemical match of P1,3 shards to a possible source are SiO₂>75 wt. %, FeO<1 409 wt. %, K₂O/Na₂O>1, primitive mantle normalized Nb/Ta<1, depletion in Ba, Sr, Eu, and LREE 410 and enrichment in U, Th compared to primitive mantle. Parameters for a chemical match of P2 411 shards are SiO₂>75 wt. %, FeO>2 wt. %, K₂O/Na₂O>1, depletion in Sr and Eu and an 412 enrichment in LREE to primitive mantle. After the worldwide search, we narrowed the list of 413 possible sources for P1,3 shards to three volcanic fields and two volcanoes for P2. P1,3 possible 414 sources are the Acigöl volcanic field in Turkey, and the Kirka-Phrigian Caldera in central Turkey 415 and eruptions on Lipari Island, Italy, and P2 possible sources are Öraefajökull or Torfajökull in 416 Iceland.

418 **5.2** *Statistically distinguishing sources*

419 Add Bayesian results here when Jake is finished*

420

421 5.3 Acigöl Complex, Anatolia

422 The Acigöl Complex, located in central Anatolia, is similar in major and trace 423 compositions to P1,3 (Fig 7 and Fig 8). Various eruptions and deposits of the Acigol Complex 424 were examined as potential sources (i.e. Young Dome, Korudag, Bogazköy, lower Acigöl Tuff, 425 upper Acigöl Tuff, Kaleci, Tepeköy, Guneydag, Kuzay, and Karniyarik) that range in age from 426 180 ka to 20 ka. While the data are scarce (Druitt et al., 1995; Siebel et al., 2011), the 427 compositions of some of the younger eruptions (i.e. Karniyarik, Guneydag, Kuzay) are closer in 428 major and trace composition to P1,3 than the older eruptions (i.e. lower Acigöl Tuff, upper 429 Acigöl Tuff, Bogazköy). Both major and trace element concentrations for the younger (20 ka) 430 eruptions in the Acigöl volcanic field match P1,3 chemistry, but several factors rule out this area 431 as source: First, the eruptions occurred during tuff-ring formation prior to the extrusion of 432 rhyolite domes. These eruptions were low volume and unlikely to spread tephra far from the 433 source (Axel Schmitt, personal communication). Second, tephra transport from Turkey to Italy is 434 east to west against prevailing atmospheric circulation. We suggest that transport of low-volume 435 tephra in this direction is unlikely. Third, trace element chemistry was analyzed by X-ray 436 fluorescence spectrometry and not LA-ICP-MS. Although these data may be reliable, it is not 437 appropriate to compare datasets obtained by different analytical methods. Therefore, we rule out 438 eruptions from the Acigöl Complex as the source of P1,3 shards.





Figure 7 Geochemical comparisons of P1,3 and potential sources. a), Comparison of trace
element chemistry of P1,3 shards to rhyolite from Acigöl volcanic field. Trace element data is
normalized to primitive mantles of Sun and McDonough (1989). b), Comparison of trace
element chemistry of P1,3 shards to rhyolite from Kirka-Phrigian caldera. Trace element data is
normalized to primitive mantles of Sun and McDonough (1989).

447 *5.4 Kirka-Phrigian, Anatolia*

448 We also considered the possibility that P1,3 shards were reworked from local 449 sedimentary rocks that contain tephra from Miocene eruptions. One possible source of Miocene 450 shards is ash-flow tuff erupted during the formation of the Kirka-Phrigian Caldera in western 451 Anatolia at about 18 Ma (Seghedi and Helvac, 2016). Both major and trace elements whole-rock 452 data provide an excellent match to P1,3 (Fig 7 and Fig 8) and it is possible that a caldera forming 453 event of this magnitude could have spread tephra across Europe. However, this match is based 454 on a comparison of glass to whole rock data and glass analyses for the Kirka-Phrigian tuffs are 455 required to make a more robust correlation. Additionally, there are various factors that rule out

456 Kirka-Phrigian as a source for P1,3. Transport of tephra from Kirka-Phrigian to Italy involves a 457 complex series of events. The incorporation of Kirka-Phrigian shards in AV-RB sediment would 458 require that the caldera eruption spread tephra across Europe in the Miocene. Then, the tephra 459 would have to be stored in Miocene sediments like those described in western Italy in the Po 460 Valley (Ruffini, Cadoppi and D'Atri, 1995). Last, shards would have to be eroded from these 461 deposits, transported and deposited at AV and RB simultaneously. We consider this sequence of 462 events very unlikely. Shards are delicate and easily altered and thus would likely lose their 463 delicate angular sharp edges and vitric interiors if subjected to long distance transport by alluvial, 464 aeolian, and soil formation processes.

465

466 5.5 Lipari Island

467 The Lipari Volcanic complex in the Aeolian Islands formed between 267 ka and AD 776 468 to 1220 (Forni et al., 2013). Volcanoes erupted calc-alkaline basaltic andesite to rhyolite with 469 rhyolite being dominant for the last 43 ka. Eruptions from two of these volcanoes; Falcone (43-470 40 ka) and Punta del Perciato (56-43 ka) produced chemically identical high-silica rhyolite 471 domes and pyroclastic deposits and are candidate sources for P1,3 shards. We compared trace 472 elements of P1,3 to tephra produced by Falcone and Punta del Perciato volcanoes (FPelP) 473 compiled by Albert et al. (2017). Using a multielement plot normalized to primitive mantle (Fig 474 8) both P1,3 and FPdelP tephra show depletion in Ba, Sr, and Eu suggesting magmatic 475 fractionation of feldspar (most likely K-feldspar). Both have Nb/Ta<1 and enriched U contents. 476 P1,3, however, is depleted in light rare-earth elements (REE) and slightly enriched in heavy REE 477 compared to FPdelP. Also, Th is lower in P1,3 than FPdelP. REE differences at first appear to 478 invalidate a correlation between FPdelP and P1,3 but may be explained if P1,3 represents a

479 highly fractionated explosive phase of the FPdelP eruption. A common way of producing light 480 REE depletion in rhyolite is mineral fractionation of REE-rich accessory minerals like Allanite 481 and Monazite and to a lesser extent apatite and zircon (Miller and Mittlefehldt, 1982). Both 482 Allanite and Monazite become saturated in rhyolitic magma at low concentrations, and because 483 of their small size and low abundance, they are easily overlooked in thin sections using 484 traditional optical methods. Allanite and Monazite fractionation occur in the upper more highly 485 fractionated and volatile-rich part of a magma chamber that is erupted explosively early in an 486 eruption (Miller and Mittlefehldt, 1982). Shards produced by such an eruption would be carried 487 in the eruptive plume and eventually distally deposited. This event may not be recorded in 488 proximal deposits. We suggest that the light REE depletion in P1,3 formed in this manner and 489 that P1,3 represents a highly fractionated early erupted component of the eruption related to 490 FPdelP.

491 An example of a tephra unit erupted from Lipari but not recorded in the stratigraphic 492 record on the island is unit E-11, the oldest tephra deposit in Tyrrhenian Sea Marine Core 493 KET8003 (Paterne, Guichard and Labeyrie, 1988). The tephra is dated to 37.7 ka, it occurs 494 directly above the 39 ka Campanian Ignimbrite (CI) (De Vivo *et al.*, 2001), and it may be a 495 widespread marker bed (Albert et al., 2017) also found in the Ionia Sea as unit T1535 (Matthews 496 et al., 2015) and I-2 (Insinga et al., 2014). Although only major element chemistry is available 497 for tephra from these marine core units, they are most likely associated with the FPdelP 498 volcanoes on Lipari Island (Albert et al., 2017). Major elements for E-11, T1535 and I-2 are 499 similar to P1,3 (Fig 8). All are high silica, low FeO rhyolites with K2O/Na2O>1. CaO 500 concentrations are lower than P1,3 but fall within one standard deviation of mean P1,3 values. 501 Overall this marine core tephra compares well with P1,3. Albert et al. (2017) ruled out a direct

correlation with proximal units because FPdelP rhyolites are more elevated in K₂O than E-11 and
both are older than E-11 and predate the CI, whereas E-11 overlies the CI (Albert *et al.*, 2017).
Albert et al. (2017) suggest that E-11 may represent a younger eruption from Falcone, but all
evidence of this eruption on Lipari was erased by even younger eruptions from Monte Guardia.
Therefore, the tephra record in the marine core may provide a better historical eruption record
than found proximally on Lipari.

508 Eruptions from Lipari Island are the most likely source for P1,3 for the following 509 reasons: First, the age of eruptions (56-37.7 ka) is compatible with the age assumed for 510 sediments at Riparo Bombrini and Arma Veirana. Second, northward transport of tephra from 511 Lipari to northwest Italy is well documented. In fact, E-11 is found in the marine core to the 512 north of Lipari Island and T1535 and I-2 in the Ionian Sea. Third, the chemical match between 513 FPdelP and E-11 and P1,3 is not perfect, but major elements are very similar and as discussed, 514 P1,3 may represent an early explosive phase related to the FPdelP event. Unfortunately, the 515 record of eruptive events on Lipari Island is incomplete due to erosion or non-deposition, so 516 there is no record of this explosive phase on Lipari Island. Despite the incomplete record, the 56-517 37.7 ka Lipari eruptions still represent the best match to P1,3 based on age, compatibility with 518 the age of Arma Veriana and Riparo Bombrini sediments, ease of transport, and chemistry. 519 Determining the specific Lipari eruption responsible for P1,3 is a major objective. Future work 520 will focus on obtaining trace elements on glass shards associated with FPdelP dome eruptions 521 and marine core samples.

522

523 Table 3. Major element chemistry of possible sources and comparison to P1,3.

E-11* T1535** I-2*** Mean P1 SDEV ^{&} Mean P3 SDEV ^{&} Falcone [®] Percia SiO ₂ 76.73 76.92 76.56 76.81 0.79 76.59 0.49 76.28 7 TiO ₂ 0.06 0.09 0.06 0.07 0.45 0.04 0.19 0.19	a del									
SiO2 76.73 76.92 76.56 76.81 0.79 76.59 0.49 76.28 7 TiO2 0.06 0.09 0.06 0.07 0.45 0.04 0.04 0.19	ciato [%]	cone [%]	SDEV ^{&}	Mean P3	SDEV ^{&}	Mean P1	I-2***	T1535**	E-11*	
TiO ₂ 0.06 0.09 0.06 0.07 0.45 0.04 0.04 0.19	76.47	76.28	0.49	76.59	0.79	76.81	76.56	76.92	76.73	SiO ₂
	0.04	0.19	0.04	0.04	0.45	0.07	0.06	0.09	0.06	TiO ₂
A1 ₂ 0 ₃ 13.04 12.40 12.76 12.75 0.04 12.46 0.32 12.44 1	12.36	12.44	0.32	12.46	0.04	12.75	12.76	12.40	13.04	A1 ₂ 0 ₃
FeO# 1.01 1.31 1.35 0.66 0.13 0.93 0.19 1.50	1.16	1.50	0.19	0.93	0.13	0.66	1.35	1.31	1.01	FeO#
MgO 0.06 0.02 0.05 0.05 0.02 0.01 0.01 0.01	0.01	0.01	0.01	0.01	0.02	0.05	0.05	0.02	0.06	MgO
CaO 0.65 0.65 0.71 0.81 0.21 0.80 0.18 0.72	0.69	0.72	0.18	0.80	0.21	0.81	0.71	0.65	0.65	CaO
Na ₂ 0 3.27 3.73 3.49 3.63 0.20 4.00 0.31 3.33	3.37	3.33	0.31	4.00	0.20	3.63	3.49	3.73	3.27	Na ₂ 0
K2O 5.05 4.84 4.97 4.88 0.20 4.79 0.39 5.60	5.77	5.60	0.39	4.79	0.20	4.88	4.97	4.84	5.05	K ₂ O
Total 99.87 97.32 99.61 95.34 9	95.64	95.34					99.61	97.32	99.87	Total

*E-11 (Paterne et al. 1988)

**T1535 (Matthews et al. 2015)

***I-2 (Insinga et al. 2014)

[%]Falcone and Puna del Perciato (Albert et al. 2017)

#For E-11, reported as Fe2O3 converted to FeO

[&]SDEV=one standard deviation

Total is pre-normalization analytical total

524

525





533 5.6 Öraefajökull and Torfajökull, Iceland

534 The same potential sources were examined for P2 as P1,3. Some sources were easily 535 eliminated due to the higher FeO values (>2 wt.%) and different trace element values that P2. 536 For P2, the most probable source eruptions are from Iceland (Fig 9). Multiple tephra deposits 537 from a marine core collected in the North Atlantic show geochemical similarities to P2 (Abbott 538 et al., 2014). Deposits range in age from MIS 6 to MIS 4 (190-70 ka) and have been linked with 539 nearby cores (i.e. ENAM33). Potential source volcanoes are Öraefajökull or Torfajökull; 540 however, exact eruptions are not yet determined. Compositions from various deposits throughout 541 Iceland were also considered (Jónasson, 2007; Martin and Sigmarsson, 2007). Data from these 542 analyses show similarities in trace elements for Torfajökull and P2, further confirming this area 543 as a source (Fig 9). The primitive-mantle plot (Fig. 9) shows that both Torfajökull and P2 are 544 slightly depleted in Cs, Rb, Ba, Th and U. Therefore, the shards from P2 could have originated 545 from Torfajökull, but we have not identified the exact eruption. Further investigations will focus 546 in this region.



Figure 9. Geochemical comparison of P2 and potential sources. a), FeO vs. CaO (weight
percent, wt%). Data is retrieved from Abbott et al. (2014), Martin and Sigmarsson (2007) and
Tomlinson et al. (2010). b), Trace element chemistry of P2 shards to rhyolite from Torfajökull
(data from Abbott et al. (2014)). Trace element data is normalized to primitive mantles of Sun
and McDonough (1989).

555 5.7 Distinguishing primary and reworked tephra

556 There is currently little known about post-depositional processes of tephra within cave or 557 rock shelters due to the rarity of these finds (Housley and Gamble, 2015). More focus has been 558 placed on understanding secondary deposition, reworking and density movement through 559 peatlands and lacustrine deposits (Boygle, 1999; Beierle and Bond, 2002; Payne and Gehrels, 560 2010). This is an obvious area that needs to be explored for cave and rock shelter deposits; 561 however, for the time being, examining shard count profiles is the primary method. When 562 dealing with extremely low abundance shards, micromorphological analyses are also useful to 563 better understand the amount of reworking between stratigraphic units as well as how the

deposits accumulated at each site (Smith et al., 2018). This aides in quantifying what
depositional and post-depositional processes may have affected the shards. Therefore, a more
reliable tephra location can be determined based on shard count profiles and micromorphological
analyses and has been employed in this study.

568 A shard count profile was only developed for P1 and P3 (Fig 4 and 5) due to extremely 569 low abundance (<4 shards/gram) for P2. Shards from P2 at Arma Veirana were only present in 570 one sample (AV665) from stratigraphic unit Gr. Therefore, we assign a tentative isochron 571 location of P2 to stratigraphic unit Gr until more shards are discovered. The shard count profile 572 for P1 at Arma Veirana displays a few distinct peaks present in the BM and Gr, with the vast 573 majority of shards present in the BM. Because the highest shard count is at the base of the 574 section, it is possible that more shards continue below the collected section which has not been 575 exposed yet. Micromorphological analyses at Arma Veirana show a large amount of 576 anthropogenic input present in stratigraphic unit BM. However, Unit Gr shows less 577 anthropogenic influence and no clear signs of bioturbation implying it is the least disturbed. The 578 contact between these stratigraphic units appears sharp in the field. Under the microscope, the 579 contact appears more diffuse, but only on the order of 1-2 centimeters, demonstrating that there 580 is no significant mixing between these layers. Both Gr and BM consist of a mixture of material, 581 suggesting formation by colluviation and roof-fall. It is likely shard deposition occurred via 582 aeolian processes. Because the highest shard count is present in the BM, we give a tentative 583 isochron location of P1 to stratigraphic unit BM, with the assumption that shards continue further 584 down the unexposed section. It is important to note that the presence of P1 shards in the Gr is 585 likely due to slight reworking of shards and is not because they were primarily deposited in that

unit, which has been supported by the micromorphological analyses. Further excavation and
sampling will help determine the earliest stratigraphic appearance of P1 at Arma Veirana.

588 The shard count profile for P3 at Riparo Bombrini displays one peak present in 589 stratigraphic M4/M3 (Fig 6). Micromorphological analyses at Riparo Bombrini show minimal 590 bioturbation or reworking of the sediments. Mineral constituents in each micromorphology 591 sample contain aeolian and volcanic materials, which do not belong to the geology of the rock 592 shelter, suggesting they were secondarily deposited (i.e. wind-blown). The aeolian and volcanic 593 materials were potentially reworked from older sediments and deposited via wind deflation of 594 the shelf, which was exposed in the Upper Pleistocene. It is likely that shards entered the cave 595 during the deposition of these aeolian materials. Because shard abundance is extremely low, we 596 assign a tentative location of the isochron for P3 to stratigraphic units M4/M3 and more shards 597 need to be discovered to obtain a confident location. While the exact stratigraphic location of 598 shards is still under investigation, we have obtained a rare composition at both sites which has 599 the potential to be used as a link between deposits between AV and RB as well as other Middle-600 Upper Paleolithic sites throughout the region. This study also demonstrates that cave and rock 601 shelter sites can work as archives of cryptotephra and we need to always examine these areas, 602 despite the rarity of shards (Housley and Gamble, 2015).

603

604 **6. Pitfalls**

605 6.1 Comparing data from different labs

606 Compiling compositional data from published sources can be difficult due to the
607 differences in how worldwide laboratories analyze and report chemistry. While the development
608 of large-scale databases (e.g. the RESET Project, VOGRIPA, Tephrabase) are critical steps

609 forward, differences in the type of materials analyzed make it difficult to directly compare data 610 from various sources. While analytical conditions are often reported, variations in analytical 611 techniques must be taken into consideration when comparing data. Additionally, caution is 612 needed when comparing whole-rock data to glass data, as results can fluctuate depending on the 613 amount of crystals present in the whole-rock samples. If the percentage is small, then the whole-614 rock should be very similar to glass data (White, 2013). However, glasses can contain 615 compositional heterogeneity that is sometimes not preserved in whole-rock samples and when 616 compared, glass chemistry will be depleted in compatible elements and enriched in incompatible 617 elements (Tomlinson et al., 2015). To account for this issue, examining trace ratios like Ba/Nb 618 can be helpful. If the phenocrysts are in equilibrium with the liquid, this ratio should stay 619 consistent in both liquid and crystals, providing a temporary solution until more data are 620 available. Therefore, the potential sources we suggest in this study are not concrete correlations 621 and will not be confirmed until glass data is provided.

622

623 6.2 Correlation issue

624 The Middle-Upper Paleolithic transition is a difficult period to date. Currently, most sites 625 that preserve these records have been dated using radiocarbon (reference); however, the dating 626 limit of radiocarbon (50-40 ka) falls right at the middle of that transition (Higham, 2011). 627 Moreover, radiocarbon dates are commonly susceptible to contamination and can result in 628 underestimations of the real age (Higham, 2011). Despite methodological advancements 629 (Higham et al. 2014), the issues surrounding radiocarbon dating near its limit require 630 archaeologists to use complimentary dating and correlation methods. In this study, we noted the 631 complications with the radiocarbon dates from Arma Veirana and Riparo Bombrini. Dates at

632 Arma Veirana vary from near the dating limit of radiocarbon and beyond, whereas some dates at 633 Riparo Bombrini show underestimations of the expected calculated age based on the cultural 634 sequences (i.e. M2, M3 and M5). Therefore, radiocarbon dates cannot be used to test the amount 635 of overlap between deposits. While this does not mean calculated dates are necessarily wrong, it 636 simply calls for another method to correlate the deposits and further test the proposed 637 chronologies. In this study, we use cryptotephra as a supplementary method to correlate deposits 638 at Arma Veirana and Riparo Bombrini, given both sites have deposits close to the dating limit of 639 radiocarbon.

640 Cryptotephra investigations in this study show that P1 at Arma Veirana is the same as P3 641 at Riparo Bombrini, providing a potential marker horizon between deposits at both sites. With 642 this correlation, there are a few factors that need to be considered between both sites. First, Arma 643 Veirana and Riparo Bombrini preserve two different depositional environments, which means 644 the introduction of shards may have been at different times. While shards are present in two 645 technologically similar units at both sites, suggesting they were introduced during the same time, 646 these are important factors to keep in mind. Second, an exact isochron location has not yet been 647 identified. This is due to the extremely low abundance (<4 shards/gram) of shards for P3 at 648 Riparo Bombrini and the possibility that P1 shards at Arma Veirana continue further down the 649 unexcavated section. This will be critical in providing an exact link between both sites. More 650 cryptotephra samples need to be collected in order to solve both of these issues. Despite these 651 issues, we have identified a new composition that has not yet been reported in archaeological 652 sites in the Mediterranean region and it is in deposits that are close to the dating limit of 653 radiocarbon. Once the isochron location is refined, this marker horizon can be used to test

calculated dates (i.e. radiocarbon) as well as further refine correlations between archaeological
sites throughout the Mediterranean region during the Middle-Upper Paleolithic transition.

656

657 **7. Conclusions**

658 The use of cryptotephra in archaeological studies is advancing how scientists date and 659 correlate archaeological sites over large distances. Tephra studies have become especially 660 important as many sites rely on radiocarbon dating even when deposits are close to the limit of 661 this method (50-40 ka). In this contribution, we used tephrochronology to correlate the 662 occupational histories at two Middle-Upper Paleolithic sites, Arma Veirana and Riparo 663 Bombrini. These sites are located 80 km apart and contain similar cultural industries, suggesting 664 potential overlap between deposits. We sampled both sites with the goal of finding shards of the 665 same composition, allowing for a direct comparison of deposits. We also integrated 666 micromorphological studies in order to better understand the depositional and post-depositional 667 processes that may have affected the location of shards.

668 Our work resulted in the discovery of two shard populations (P1 and P2) at Arma Veirana 669 and one population (P3) at Riparo Bombrini. Geochemical analyses showed that P1 is from the 670 same eruption as P3, providing a unique marker between deposits. We suggest P1,3 shards 671 represent a highly fractionated early erupted component of 37.7-56 ka rhyolite from Lipari 672 Island, however, because no glass shard data is available for Lipari deposits, these conclusions 673 are tentative. P2 shards show a depletion in Sr and an enrichment in LREE which is likely 674 derived from Torfajökull in Iceland; however, we have not identified the exact eruption. The 675 most important result is the identification of P1,3 at both Arma Veirana and Riparo Bombrini, 676 allowing for a tool to test the amount of overlap between deposits. As discussed above, the exact

677 isochron location is not yet determined due to extremely low abundance (<4 shards/gram) of 678 shards in P3 and uncertainty regarding the distribution of shards in P1. Micromorphological 679 results show minimal reworking at both sites, suggesting the location of shards are reliable. 680 Despite these results, more shards need to be identified to refine the isochron and future 681 excavations will focus on this. 682 This study highlights how cryptotephra can be used to link archaeological deposits and 683 test the validity of other dating methods even without identifying a specific source eruption. The chemistry of P1,3 shards is distinctive and unusual for European volcanoes suggesting there is 684 685 still more work that needs to be done in this region. This particular marker will be important for 686 asking questions pertaining to the Middle-Upper Paleolithic transition and correlating other 687 Paleolithic sites throughout Europe.

688 Data Availability

- 689 Table S1 List of reference tephra
- 690 Table S2- Compiled data used for sourcing tephra
- 691

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705 Author Contribution

- J.H., C.O., F.N., J.R., D.S., M.P, S.B, and C.G. co-supervised excavations at Arma Veirana. J.R.
- and F.N. co-supervised excavations at Riparo Bombrini. J.N.H. collected cryptotephra samples at
- 708 Arma Veirana and Riparo Bombrini. J.N.H., R.J. and S.F. processed cryptotephra samples at the
- 709 University of Nevada, Las Vegas. M.R. analyzed shards by EPMA at the University of Nevada,
- The Las Vegas. E.S. supervised all cryptotephra analyses. Excavators supervised under J.H. and C.O.
- sampled for radiocarbon samples at Arma Veirana. J.H. and C.O. chose radiocarbon samples to
- send to Oxford University for analyses. J.A.H. completed statistical analyses on the compositions
- of shards. C.E.M. conducted micromorphological analyses at Arma Veirana and A.Z. and G.S.M
- conducted micromorphological analyses at Riparo Bombrini. C.W.M. and E.S. advised J.N.H
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- 726
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- 730

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