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Gibbardian Collapse and Trivalent Conditionals

Paul Égré* Lorenzo Rossi† Jan Sprenger‡

Abstract

This paper discusses the scope and significance of the so-called triviality result stated by Allan Gibbard for indicative conditionals, showing that if a conditional operator satisfies the Law of Import-Export, is supraclassical, and is stronger than the material conditional, then it must collapse to the material conditional. Gibbard’s result is taken to pose a dilemma for a truth-functional account of indicative conditionals: give up Import-Export, or embrace the two-valued analysis. We show that this dilemma can be averted in trivalent logics of the conditional based on Reichenbach and de Finetti’s idea that a conditional with a false antecedent is undefined. Import-Export and truth-functionality hold without triviality in such logics. We unravel some implicit assumptions in Gibbard’s proof, and discuss a recent generalization of Gibbard’s result due to Branden Fitelson.

Keywords: indicative conditional; material conditional; logics of conditionals; trivalent logic; Gibbardian collapse; Import-Export

1 Introduction

The Law of Import-Export denotes the principle that a right-nested conditional of the form $A \rightarrow (B \rightarrow C)$ is logically equivalent to the simple conditional $(A \wedge B) \rightarrow C$ where both antecedents are united by conjunction. The Law holds in classical logic for material implication, and if there is a logic for the indicative conditional of ordinary language, it appears Import-Export ought to be a part of it. For instance, to use an example from (Cooper 1968, 300), the sentences “If Smith attends and Jones attends then a quorum

*Institut Jean-Nicod (CNRS/ENS/EHESS), Département de philosophie & Département d’études cognitives, Ecole normale supérieure, PSL University, 29 rue d’Ulm, 75005, Paris, France. ORCID: 0000-0002-9114-7686. Email: paul.egre@ens.fr

†Munich Center for Mathematical Philosophy (MCMP), Fakultät für Philosophie, Wissenschaftstheorie und Religionswissenschaft, Ludwig-Maximilians-Universität München, Geschwister-Scholl-Platz 1, D-80539 München. ORCID: 0000-0002-1932-5484. Email: lorenzo.rossi@lrz.uni-muenchen.de

‡Center for Logic, Language and Cognition (LLC), Department of Philosophy and Educational Science, Università degli Studi di Torino, Via Sant’Ottavio 20, 10124 Torino, Italy. ORCID: 0000-0003-0083-9685. Email: jan.sprenger@unito.it

will be present”, and “if Smith attends, then if Jones attends, a quorum will be present” appear to convey the same hypothetical information. The same appears to hold more generally, at least when A , B and C themselves are non-conditional sentences, and the equivalence has been described as “a fact of English usage” (McGee 1989).¹

In a celebrated paper, however, Allan Gibbard (1980) showed that a binary conditional connective ‘ \rightarrow ’ collapses to the material conditional of classical logic ‘ \supset ’ if the following conditions hold: (i) the conditional connective satisfies Import-Export, (ii) it is at least as strong as the material conditional ($A \rightarrow C \models_L A \supset C$), where \models_L is the consequence relation of the target logic of conditionals, (iii) it is supraclassical in the sense that it reproduces the valid inferences of classical logic in conditional form ($\models_L A \rightarrow C$ whenever $A \models_{CL} C$). From (i)–(iii) and some natural background assumptions, Gibbard infers $A \supset C \models_L A \rightarrow C$. Given (ii), \rightarrow and \supset are thus logically equivalent, according to the logic of conditionals (\models_L) under consideration. Prima facie, the conditional then needs to support all inference schemes validated by the material conditional in classical logic. However, inferences such as $\neg A \models A \rightarrow C$ (one of the paradoxes of material implication) enjoy little plausibility in ordinary reasoning with conditionals.

Gibbard’s result poses a challenge for theories that compete with material implication as an adequate analysis of the indicative conditional.² For example, Stalnaker’s logic C2 (Stalnaker 1968) and Lewis’s logic VC (Lewis 1973) are both supraclassical and make the conditional stronger than the material conditional, but they invalidate Import-Export for that matter.

Not all theories make that choice, however. All of the above logics operate in a *bivalent* logical setting, thus limiting their options. In this paper, we explore how certain *trivalent* logics of conditionals address Gibbard’s challenge. These logics, which retain truth-functionality, analyze an indicative conditional of the form “if A then C ” as a *conditional assertion* that is *void* if the antecedent turns out to be false, and that takes the truth value of the consequent C if A is true (Reichenbach 1935; de Finetti 1936; Quine 1950; Belnap 1970). This analysis assigns a third truth value (“neither true nor false”) to such “void” assertions, and gives rise to various logics that combine a truth-functional conditional connective with existing frameworks for trivalent logics (e.g., Cooper 1968; Farrell 1979; Milne 1997; Cantwell 2008; Baratgin, Over, and Politzer 2013; Égré, Rossi, and Sprenger 2020a,b).

This chapter clarifies the scope and significance of Gibbardian collapse results with specific attention to such trivalent logics, in which the conditional is undefined when its antecedent is false. We begin with a precise explication of Gibbard’s result, including

¹Import-Export has been challenged on linguistic grounds, see for instance Khoo and Mandelkern (2019), drawing on examples from Fitelson. The alleged counterexamples are subtle, however, and even Khoo and Mandelkern accept a version of the law. See also Appendix A.

²Notable defenders of the material implication analysis are Lewis (1976a), Jackson (1979) and Grice (1989).

a more formal version of his original proof sketch (Section 2). Then we present two trivalent logics of indicative conditionals, paired with Strong Kleene semantics for conjunction and negation, and we examine how they deal with Gibbardian collapse (Section 3 and 4). We then turn to trivalent logics that replace Strong Kleene operators with Cooper’s quasi-connectives where the conjunction of the True and the third truth value is the True (Section 5). Specifically, we show why rejecting superclassicality—and retaining both Import-Export and a stronger-than-material conditional—is a viable way of avoiding Gibbardian collapse.

In the second part of the paper, we consider a recent strengthening of Gibbard’s result due to Branden Fitelson and apply it to the above trivalent logics (Section 6, 7 and 8). From this analysis it emerges that Gibbard’s result may be better described as a *uniqueness result*: we cannot have two conditional connectives that satisfy Import-Export as well as Conjunction Elimination, where one is strictly stronger than the other, and where the weaker (already) satisfies Modus Ponens. We also provide three appendices: Appendix A rebuts a recent attempt at a *reductio* of Import-Export, Appendix B provides the proofs of various lemmata stated in the paper, and Appendix C gives a more constrained derivation of Gibbardian collapse than his original proof, of particular relevance for the first trivalent system we discuss. For more in-depth treatment of trivalent logics of conditionals, we refer the reader to our comprehensive survey and analysis in [Égré et al. \(2020a,b\)](#).

2 Gibbard’s Collapse Result

The Law of Import-Export is an important bridge between different types of conditionals: it permits to transform right-nested conditionals into simple ones. Import-Export is of specific interest in suppositional accounts of indicative conditionals that assess the assertability of a conditional by the corresponding conditional probability (as per Adams’ thesis, viz. [Adams 1965](#)). Import-Export is then an indispensable tool for providing a probabilistic analysis of embedded conditionals. However, when Adams’ Thesis, originally limited to conditionals with Boolean antecedent and consequent, is extended to nested conditionals, Import-Export creates unexpected problems.³ For example, a famous result by David [Lewis \(1976b\)](#) shows that combining this latter equation with the usual laws of probability and an unrestricted application of Import-Export trivializes the probability of the indicative conditional.⁴ Gibbard establishes a second difficulty with

³The unrestricted version of Adams’s equation is often called Stalnaker’s Thesis (going back to [Stalnaker 1970](#)) or simply “The Equation”, with the latter name being prevalent in the psychological literature. Adams defends it in his [1975](#) monograph, too.

⁴On the reasons to defend Import-Export in relation to probabilities of conditionals, see [McGee \(1989\)](#) and [Arló-Costa \(2001\)](#). A discussion of the links between Gibbardian collapse and Lewisian triviality lies beyond the scope of this paper, but we refer to [Lassiter \(2019\)](#) for a survey of Lewisian triviality results

Import-Export, namely that any conditional satisfying Import-Export in combination with other intuitive principles collapses to the material conditional.

Gibbard’s original proof (Gibbard 1980, 234–235)—in reality more of an outline—was based on semantic considerations and left various assumptions implicit. Here we provide a more formal derivation. In particular, Gibbard only stressed conditions (i)–(iii) below, but implicitly assumed two further conditions, here highlighted as (iv) and (v), as well as structural constraints on the underlying consequence relation. In what follows we use \models_{CL} for classical consequence, and \equiv_L for the conjunction of \models_L and its converse. Under (v) we mean that \supset obeys a classical law whenever it obeys a classical inference or a classical metainference.⁵

Theorem 1 (Gibbard). *Suppose L is a logic whose consequence relation \models_L is at least transitive, with \supset and \rightarrow two binary operators, obeying principles (i)–(v) for every formulae A, B, C . Then \rightarrow and \supset are provably equivalent in L .*

- | | | |
|-------|---|---------------------------|
| (i) | $A \rightarrow (B \rightarrow C) \equiv_L (A \wedge B) \rightarrow C$ | Import-Export |
| (ii) | $A \rightarrow B \models_L (A \supset B)$ | Stronger-than-Material |
| (iii) | If $A \models_{\text{CL}} B$, then $\models_L A \rightarrow B$ | Supraclassicality |
| (iv) | If $A \equiv_L A'$ then $A \rightarrow B \equiv_L A' \rightarrow B$ | Left Logical Equivalence |
| (v) | \supset obeys classical laws in L | Classicality of \supset |

Proof.

1. $(A \supset B) \rightarrow (A \rightarrow B) \equiv_L ((A \supset B) \wedge A) \rightarrow B$ by (i)
2. $((A \supset B) \wedge A) \equiv_L (A \wedge B)$ by (v) (classical inferences)
3. $(A \supset B) \wedge A \rightarrow B \equiv_L (A \wedge B) \rightarrow B$ by 2 and (iv)
4. $(A \wedge B) \rightarrow B \equiv_L (A \supset B) \rightarrow (A \rightarrow B)$ 1, 3 and the transitivity of \models_L
5. $A \wedge B \models_{\text{CL}} B$ Conjunction Elimination
6. $\models_L (A \wedge B) \rightarrow B$ 5 and (iii)
7. $\models_L (A \supset B) \rightarrow (A \rightarrow B)$ 4, 6, and the transitivity of \models_L
8. $(A \supset B) \rightarrow (A \rightarrow B) \models_L (A \supset B) \supset (A \rightarrow B)$ by (ii)
9. $\models_L (A \supset B) \supset (A \rightarrow B)$ 7, 8 and the transitivity of \models_L
10. $A \supset B \models_L A \rightarrow B$ by 9 and (v) (classical metainference)

□

This is not the only proof of Gibbard’s result. In particular Fitelson (2013) and Khoo and Mandelkern (2019) give more parsimonious derivations. But it closely matches the structure of his original argument: first Gibbard shows that $(A \supset B) \rightarrow$

and their treatment in a trivalent framework.

⁵An inference is a relation between (sets of) formulae: for instance the relation between $(A \supset B) \wedge A$ and $A \wedge B$; a metainference is a relation between inferences, for example the relation between $A \models B$ and $\models A \supset B$.

$(A \rightarrow B)$ is a theorem of L (step 1–7), from that he derives $\models_L (A \supset B) \supset (A \rightarrow B)$ (step 8–9) and finally, he infers $A \supset B \models_L A \rightarrow B$ (step 10).

With Gibbard we can grant that the assumptions (ii) and (iii) introduced alongside Import-Export are fairly weak. Stronger-than-Material is shared by all theories that classify an indicative conditional with true antecedent and false consequent as false.⁶ Supraclassicality, a restricted version of the principle of Conditional Introduction, means that deductive relations are supported by the corresponding conditional. Even that could be weakened by just assuming the conditional to support conjunction elimination as in step 6. In section 6 we discuss more general conditions for Gibbardian collapse proposed by Branden Fitelson (2013).

Assumptions (iv) and (v), on the other hand, are stronger than meets the eye. While the substitution rule LLE was taken for granted by Gibbard, likely on grounds of compositionality, it raises issues in relation to counterpossibles and other forms of hyperintensionality (see Nute 1980; Fine 2012). However, even if one is inclined to give up principle (iv), one may not find fault with applying it in this particular case. Similarly, (v) implies that the material conditional supports classical absorption laws (step 2 of the proof) and (meta-inferential) Modus Ponens (step 10) in L — two properties not necessarily retained in non-classical logics.

Gibbard’s result also leaves a number of questions unanswered. One of them concerns the implication of the mutual entailment between \rightarrow and \supset . Does the collapse imply that the two conditionals can be replaced by one another in all contexts, for example? The answer to this question is in fact negative, as we proceed to show using trivalent logic in the next section.

3 The Trivalent Analysis of Indicative Conditionals

From his result, Gibbard drew the lesson that if we want the indicative conditional to be a propositional function, and to account for a natural reading of embedded indicative conditionals, then the function must be ‘ \supset ’, namely the bivalent material conditional. We disagree with this conclusion: trivalent truth-functional accounts of the conditional can satisfy Import-Export and yield a reasonable account of embeddings without collapsing to the material conditional. We now explain why one may want to adopt such an approach, and then, in the next two sections, how they deal with Gibbard’s result.

Reichenbach and de Finetti proposed to analyze an indicative conditional “if A , then

⁶The name MP is sometimes used for this principle, see Unterhuber and Schurz (2014), or Khoo and Mandelkern (2019) who call it Modus Ponens. We find more appropriate to use ‘Stronger-than-Material’ since Modus Ponens is strictly speaking a two-premise argument form. The two principles are not necessarily equivalent: in the system DF/TT for instance, Stronger-than-Material holds but not Modus Ponens (in the form $A \rightarrow B, A \models B$).

$f_{\rightarrow_{DF}}$	1	1/2	0
1	1	1/2	0
1/2	1/2	1/2	1/2
0	1/2	1/2	1/2

$f_{\rightarrow_{CC}}$	1	1/2	0
1	1	1/2	0
1/2	1	1/2	0
0	1/2	1/2	1/2

Table 1: Truth tables for the de Finetti conditional (left) and the Cooper-Cantwell conditional (right).

C ” as an assertion about C upon the supposition that A is true. Thus the conditional is true whenever A and C are true, and false whenever A is true and C is false. When the supposition (=the antecedent A) turns out to be false, there is no factual basis for evaluating the conditional statement, and therefore it is classified as neither true nor false. This basic idea gives rise to various truth tables for $A \rightarrow C$. Two of them are the table proposed by Bruno [de Finetti \(1936\)](#) and the one proposed independently by William [Cooper \(1968\)](#) and John [Cantwell \(2008\)](#) (see Table 1). In both of them the value $1/2$ can be interpreted as “neither true nor false”, “void”, or “indeterminate”. There is moreover a systematic correspondence and duality between those tables: whereas de Finetti treats “not true” antecedents (< 1) in the same way as false antecedents ($= 0$), Cooper and Cantwell treat “not false” antecedents (> 0) in the same way as true ones ($= 1$). Thus in de Finetti’s table the second row copies the third, whereas in Cooper and Cantwell’s table it copies the first.

	f_{\neg}	f_{\wedge}	1	1/2	0	f_{\supset}	1	1/2	0
1	0	1	1	1/2	0	1	1	1/2	0
1/2	1/2	1/2	1/2	1/2	0	1/2	1	1/2	1/2
0	1	0	0	0	0	0	1	1	1

Table 2: Strong Kleene truth tables for negation, conjunction, and the material conditional.

One way to define the other logical connectives is via the familiar Strong Kleene truth tables (see Table 2). Conjunction corresponds to the “minimum” of the two values, disjunction to the “maximum”, and negation to inversion of the semantic value. In particular, beside the indicative conditional $A \rightarrow C$, the trivalent analysis also admits a Strong Kleene “material” conditional $A \supset C$, definable as $\neg(A \wedge \neg C)$ (see again Table 2).

To make a logic, however, we also need a definition of validity. This question is non-trivial in a trivalent setting since preservation of (strict) truth is not the same as preservation of non-falsity. Like Cooper and Cantwell, and based on independent arguments,⁷ we opt for a tolerant-to-tolerant (TT-) consequence relation where non-

⁷All other consequence relations come with problematic features (Fact 3.4 in [Égré et al. 2020a](#)): they

falsify is preserved: an inference $A \models C$ is valid if, for any evaluation function (of the appropriate kind) v from the sentences of the language to the values $\{0, 1/2, 1\}$, whenever $v(A) \in \{1/2, 1\}$, then also $v(C) \in \{1/2, 1\}$. This choice yields two logics depending on how the conditional is interpreted: the logic DF/TT based on de Finetti's truth table, and the logic CC/TT based on the Cooper-Cantwell table.⁸

Both logics make different predictions, but they agree on a common core, and they give a smooth treatment of nested conditionals. In particular both DF/TT and CC/TT satisfy the Law of Import-Export. We now investigate how they deal with Gibbardian collapse.

4 Gibbardian collapse in DF/TT and CC/TT

We first consider Gibbard's triviality result in the context of DF/TT with its indicative and material conditionals. DF/TT is contractive, reflexive, monotonic and transitive. An inspection of the principles (i)–(v) in Theorem 1 shows that:

- Assumption (i) holds. In particular, both sides of the Law of Import-Export receive the same truth value in any DF-evaluation.
- Assumption (ii) also holds: if there is a DF-evaluation v such that $v(A \supset B) = 0$, then $v(A) = 1$ and $v(B) = 0$, but then $v(A \rightarrow B) = 0$ as well, thus failing to make $A \rightarrow B$ tolerantly true.
- Assumption (iii) holds in DF/TT. We prove this in Appendix B.
- Assumption (iv) *fails* in DF/TT. In fact, $A \models_{\text{DF/TT}} B$ and $B \models_{\text{DF/TT}} A$ if, for any DF-evaluation v , one of the following is given:

- | | |
|-------------------------|----------------------------|
| (a) $v(A) = 1 = v(B)$ | (c) $v(A) = 1; v(B) = 1/2$ |
| (b) $v(A) = 1/2 = v(B)$ | (d) $v(A) = 1/2; v(B) = 1$ |

Therefore, letting $v(C) = 0$, cases (c) and (d) provide counterexamples since either $A \rightarrow C \not\models_{\text{DF/TT}} B \rightarrow C$ or $B \rightarrow C \not\models_{\text{DF/TT}} A \rightarrow C$. A concrete example is the following:

$$p \vee \neg p \models_{\text{DF/TT}} (p \rightarrow \neg p) \vee (\neg p \rightarrow p)$$

$$(p \rightarrow \neg p) \vee (\neg p \rightarrow p) \not\models_{\text{DF/TT}} p \vee \neg p$$

but

$$\underline{[(p \rightarrow \neg p) \vee (\neg p \rightarrow p)] \rightarrow (p \wedge \neg p) \not\models_{\text{DF/TT}} (p \vee \neg p) \rightarrow (p \wedge \neg p)}$$

either fail the Law of Identity (i.e., $\not\models A \rightarrow A$), or they license the inference from a conditional to its converse (i.e., $A \rightarrow C \models C \rightarrow A$).

⁸The system CC/TT actually matches Cantwell's system. Cooper's logic, called OL rests on a different choice of truth tables for conjunction and disjunction, and restricts valuations to two-valued atoms.

- Assumption (v) *fails* in general of \supset in DF/TT. In particular, step 2 of Gibbard’s proof fails: $(A \supset B) \wedge A \not\models_{\text{DF/TT}} A \wedge B$, assuming $v(A) = 1/2$ and $v(B) = 0$.

The failure of Gibbard’s conditions (iv) and (v) may seem to make DF/TT irrelevant for the discussion of his result. But this is not so: despite assumptions (iv) and (v) failing for DF/TT’s indicative conditional and material conditional, the two conditionals turn out to be equivalent. More precisely, DF/TT validates the equivalence of $A \supset B$ and $A \rightarrow B$, as a reciprocal entailment ($\equiv_{\text{DT/TT}}$), as a material biconditional (denoted by \supset), and as an indicative biconditional (denoted by \leftrightarrow).

Lemma 2. *For every $A, B \in \text{For}(L)$:*

$$\begin{aligned} A \supset B &\equiv_{\text{DF/TT}} A \rightarrow B \\ &\models_{\text{DF/TT}} (A \supset B) \supset (A \rightarrow B) \\ &\models_{\text{DF/TT}} (A \supset B) \leftrightarrow (A \rightarrow B) \end{aligned}$$

This result is not a coincidence. As it turns out, Gibbard’s result can be derived only using principles (i), (ii), (iii), (v) and structural assumptions on logical consequence, in such a way that all uses of (v) are DF/TT sound. This result directly follows from the version of Gibbard’s result established by [Khoo and Mandelkern \(2019\)](#), as we prove in [Appendix C](#). We also give a sequent-style proof of the collapse in [Appendix B](#), making use of the system presented in our [Égré et al. \(2020b\)](#).

However, such an extended form of equivalence between the indicative and the material conditional in DF/TT does not mean that the two conditionals are identified with each other or indistinguishable. In fact, they obey very different logical principles, such as the following connexive law:

$$A \rightarrow B \models_{\text{DF/TT}} \neg(A \rightarrow \neg B) \quad \text{but} \quad \neg A \vee B \not\models_{\text{DF/TT}} \neg(\neg A \vee \neg B).$$

This shows that indicative and material conditional cannot be validly replaced in complex formulae in DF/TT. Put differently, DF/TT fails the classical principle of replacement of equivalents.

What is, then, the import of DF/TT’s equivalences between different conditionals? Not much, one might argue. A look at the DF semantics and the status of the premises of Gibbard’s Theorem in DF/TT shows that such equivalences are largely a byproduct of (i) the fact that the DF truth table assigns value 0 to an indicative conditional in the same cases in which it assigns value 0 to a material conditional, and (ii) the fact that the tolerant-tolerant consequence relation does not distinguish between value 1 and $1/2$.

Notably, things are different when we move to CC/TT, keeping the tolerant-tolerant notion of consequence fixed, but moving to a truth-table for the conditional which

assigns value 0 to the indicative conditional in more cases. Like DF/TT, CC/TT is contractive, reflexive, monotonic and transitive. Moreover:

- Assumption (i) and (ii) hold in CC/TT for the same reasons as DF/TT.
- Assumption (iii) *fails* in CC/TT. For example, $A \wedge \neg A \models_{\text{CL}} B$, but $\not\models_{\text{CC/TT}} (A \wedge \neg A) \rightarrow B$. A CC-evaluation v s.t. $v(A) = 1/2$ and $v(B) = 0$ provides a counterexample.
- Assumption (iv) holds in CC/TT. As in the DF/TT case, we have that $A \models_{\text{CC/TT}} B$ and $B \models_{\text{CC/TT}} A$ if, for any CC-evaluation v , one of the following is given:

$$\begin{array}{ll} \text{(a) } v(A) = 1 = v(B) & \text{(c) } v(A) = 1; v(B) = 1/2 \\ \text{(b) } v(A) = 1/2 = v(B) & \text{(d) } v(A) = 1/2; v(B) = 1 \end{array}$$

However, the row of value 1 is identical to the row of value $1/2$ in CC-truth tables of the indicative conditional. Therefore, whenever one of (a)–(d) holds, for every formula C , we have that $v(A \rightarrow C) = v(B \rightarrow C)$, proving the claim.

- Assumption (v) *fails* in CC/TT, for the same reason it fails in DF/TT.

One of (i)–(iv) thus fails for CC/TT as it does for DF/TT, and (v) fails in both. The failure of assumption (iii), supraclassicality, is irrelevant for blocking the proof since the only classically valid inference required for the proof is Conjunction Elimination ($A \wedge B \models A$). This inference is also validated by CC/TT. The proof is thus blocked exclusively by the failure of assumption (v): \supset does not behave classically in CC/TT (i.e., step 2 in our reconstruction of Gibbard’s proof fails). Unlike DF/TT, CC/TT avoids Gibbardian collapse: it declares both conditionals materially equivalent, but neither logically equivalent nor equivalent according to the indicative biconditional:

Lemma 3. For every $A, B \in \text{For}(L)$:

$$\begin{aligned} A \rightarrow B \models_{\text{CC/TT}} A \supset B \quad \text{but} \quad A \supset B \not\models_{\text{CC/TT}} A \rightarrow B \\ \models_{\text{CC/TT}} (A \supset B) \supset (A \rightarrow B) \\ \not\models_{\text{CC/TT}} (A \supset B) \leftrightarrow (A \rightarrow B) \end{aligned}$$

In general, the indicative conditional of CC/TT is *strictly stronger* than its material counterpart: $A \rightarrow B$ entails $A \supset B$, but is not entailed by it. And this is, by the light of a logic of indicatives, a welcome result: the paradoxes of material implication consist, for the most part, of conditional statements that are clearly unacceptable, but are declared valid by the material conditional analysis. The Cooper-Cantwell analysis validates *fewer* conditional principles (‘fewer’ in the sense of inclusion), and avoids the most problematic paradoxes.

Altogether, DF/TT and CC/TT avert Gibbardian triviality in different ways. In both of them the material conditional is not fully classical, but an extensional collapse takes place in DF/TT anyway; this, however, does not make the material conditional always replaceable by the indicative in DF/TT. On the other hand, the indicative conditional of CC/TT is more remote from its material counterpart: not only does it validate different conditional principles (removing the most pressing paradox of material implication), it is also extensionally distinct from the material conditional within CC/TT itself.

Summing up, while Gibbardian collapse is avoided more markedly in CC/TT than in DF/TT, in neither logic does it constitute a form of “triviality”: even when indicative and material conditionals are declared to be equivalent, they are firmly set apart by their inferential behavior. This concludes our study of Gibbard’s original collapse result in trivalent logics based on Strong Kleene connectives. In the next section, we expand the scope of our analysis and look at trivalent logics of conditionals with a different semantics for the standard logical connectives.

5 Gibbardian Collapse in QCC/TT

The logics DF/TT and CC/TT solve a large set of problems related to the indicative conditional, but they also have important limitations. First, both CC/TT and DF/TT validate the Linearity principle $(A \rightarrow B) \vee (B \rightarrow A)$ for arbitrary A and B . This schema was famously criticized by MacColl (1908): neither of “if John is red-haired, then John is a doctor” and “if John is a doctor, then he is red-haired” seems acceptable in ordinary reasoning. So it is unclear on which basis we should accept, or declare as true, the disjunction of both sentences. Imagine, for example, that John is a black-haired doctor or a red-haired carpenter.

In a similar vein, some highly plausible conjunctive sentences can never be true on DF/TT or CC/TT. The schema $(A \rightarrow A) \wedge (\neg A \rightarrow \neg A)$ (“if A , then A ; and if $\neg A$, then $\neg A$ ”) is always classified as neither true nor false, although each of the conjuncts is a DF/TT- and CC/TT-theorem.⁹ Likewise, an ensemble of conditional predictions of the form $(A \rightarrow B) \wedge (\neg A \rightarrow C)$ will always be indeterminate or false (Bradley 2002, 368–370). However, a sentence such as:

- (1) If the sun shines tomorrow, Paul will go to the office by bike; and if it rains, he will take the metro.

seems to be true (with hindsight) if the sun shines tomorrow and Paul goes to the office by bike.

A principled reply to these challenges consists in modifying the truth tables for trivalent conjunction and disjunction, as proposed by Cooper (1968) (see also

⁹We are indebted to Paolo Santorio for this example.

f'_\wedge	1	1/2	0	f'_\vee	1	1/2	0	f'_\supset	1	1/2	0
1	1	1	0	1	1	1	1	1	1	0	0
1/2	1	1/2	0	1/2	1	1/2	0	1/2	1	1/2	0
0	0	0	0	0	1	0	0	0	1	1	1

Table 3: Truth tables for trivalent quasi-conjunction and quasi-disjunction and the material conditional based on quasi-disjunction, as advocated by Cooper (1968).

Dubois and Prade 1994 and Calabrese 2002). In these truth tables, reproduced in Table 3, the conjunction of value 1 and value 1/2 is value 1, and vice versa for disjunction. This is coherent with the idea that a conditional assertion with two components (e.g., in Bradley’s examples) should be classified as true if one of the assertions came out true, and the other one void. Notably, the material conditional $A \supset C$ (definable as $\neg A \vee B$ or as $\neg(A \wedge \neg B)$) of a TT-logic based on these quasi-connectives blocks the paradoxes of material implication ($\neg A \not\models A \supset C$, $C \not\models A \supset C$), in line with the failure to validate Disjunction Introduction.

Adopting “quasi-conjunction” and “quasi-disjunction” (the terminology is due to Adams 1975) invalidates Linearity and gives non-trivial truth conditions for ensembles or partitions of conditional assertions. In particular, $(A \rightarrow A) \wedge (\neg A \rightarrow \neg A)$ is always true, and so is $(A \rightarrow B) \wedge (\neg A \rightarrow C)$ when one of its conjuncts is true. We call the resulting logics QDF/TT and QCC/TT.¹⁰ However, when paired with DF/TT, quasi-conjunction leads to a violation of Import-Export, but not so in CC/TT. So the system of interest for us in this section is QCC/TT.

How does QCC/TT then fare with respect to the five premises of Gibbard’s proof?

- Assumption (i) holds since both sides of the Law of Import-Export receive the same truth value in any QCC-evaluation.
- Assumption (ii) *fails* since the (quasi-)material conditional is strictly stronger than the indicative conditional. The valuation $v(A) = 1$ and $v(B) = 1/2$ is a model of $A \rightarrow B$, but not of $A \supset B$, which takes the same truth values as $\neg A \vee B$.
- Assumption (iii) and (v) *fail* with the same countermodels as in CC/TT.
- Assumption (iv) holds: it is independent of the interpretation of the standard connectives and the proof for CC/TT can be transferred.

In QCC/TT, two steps of Gibbard’s proof are blocked, corresponding to the failure of assumptions (ii) and (v). Like before, the failure of (iii) is inessential since the proof just requires Conjunction Elimination instead of the more general property of Supraclassicality.

¹⁰QCC/TT is almost identical to Cooper’s logic OL, except that Cooper requires valuations to be bivalent

Condition	(i)	(ii)	(iii)	CE	(iv)	(v)	TRM	$\equiv?$	$\leftrightarrow?$	$\supset\supset?$
DF/TT	✓	✓	✓	✓	✗	✗	✓	✓	✓	✓
CC/TT	✓	✓	✗	✓	✓	✗	✓	✗	✗	✓
QCC/TT	✓	✗	✗	✓	✓	✗	✓	✗	✗	✗

Table 4: Overview of which premises of Gibbard’s proof are satisfied by the logics DF/TT, CC/TT and QCC/TT. CE = conjunction elimination (=a sufficient surrogate for (iii)), TRM = transitivity, monotonicity and reflexivity of the logic. $\equiv, \leftrightarrow, \supset\supset$ concern whether logical, indicative, or material equivalence holds between \supset and \rightarrow .

Since the material conditional is strictly stronger than the indicative in QCC/TT, Gibbardian collapse does not happen, and moreover, neither the material nor the indicative conditional declares the two connectives equivalent:

Lemma 4. *For every $A, B \in \text{For}(L)$:*

$$\begin{aligned}
A \supset B &\models_{\text{QCC/TT}} A \rightarrow B \text{ but } A \rightarrow B \not\models_{\text{QCC/TT}} A \supset B \\
&\not\models_{\text{QCC/TT}} (A \supset B) \supset (A \rightarrow B) \\
&\not\models_{\text{QCC/TT}} (A \supset B) \leftrightarrow (A \rightarrow B)
\end{aligned}$$

In QCC/TT, the connectives are thus more distinct than in DF/TT (where they are logically and materially equivalent) and CC/TT (where they are not logically, but still materially equivalent). The way out provided by QCC/TT is notable for another reason, too. Most theorists react to Gibbardian collapse either by giving up or restricting Import-Export (e.g., Stalnaker, Kratzer), or by endorsing a material implication analysis of the indicative conditional (e.g., Grice, Lewis, Jackson). Denying that \supset satisfies the classical laws in a logic of conditionals—the road taken by CC/TT—is already less common. However, Cooper’s original approach is probably unique in entertaining the possibility of an indicative conditional that is strictly weaker than the material conditional. The explanation is probably that bivalent logic has been the default framework for formal work on conditionals and the material conditional represents, in that framework, the weakest possible conditional connective. The logic QCC/TT thus shows an original and surprising way of defining the relationship between the two connectives.

6 Fitelson’s Generalized Collapse Result

Our rendition of Gibbard’s original argument has revealed that one of the premises—namely that $\models A \rightarrow C$ whenever A classically implies C —is stronger than needed:

on atomic formulae.

we only require that $(A \wedge C) \rightarrow C$ be a logical truth. On the other hand, Gibbard's argument uses some properties of classical logic and the material conditional, such as the fact that $A \wedge (A \supset C)$ is logically equivalent to $A \wedge C$. Gibbard's result can thus be generalized along two dimensions: first, use premises only as strong as we need them for the proof of the collapse result; second, make explicit the classicality assumptions (compare Section 2) and extend the result to other logics than just classical logic with the material conditional.

Branden Fitelson (2013) has provided one such generalized result. It concerns the relation between two binary connectives represented by the symbols \rightarrow and \rightsquigarrow in an arbitrary logic L , whose consequence relation we denote with \models_L . Letting A , B and C stand for arbitrary formulae of L , and \models_L for some consequence relation defined for the language of L , Fitelson states eight conditions sufficient to derive a general collapse result:¹¹

- (1) $\models_L (A \wedge B) \rightsquigarrow A$ (Conjunction Elimination for \rightsquigarrow)
- (2) $\models_L (A \wedge B) \rightarrow A$ (Conjunction Elimination for \rightarrow)
- (3) $\models_L A \rightsquigarrow (B \rightsquigarrow C)$ if and only if $\models_L (A \wedge B) \rightsquigarrow C$ (Import-Export for \rightsquigarrow)
- (4) $\models_L A \rightarrow (B \rightarrow C)$ if and only if $\models_L (A \wedge B) \rightarrow C$ (Import-Export for \rightarrow)
- (5) If $\models_L A \rightarrow B$, then $\models_L A \rightsquigarrow B$ (\rightarrow implies \rightsquigarrow)
- (6) If $\models_L A \rightsquigarrow B$, then $A \models_L B$ (Conditional Elimination for \rightsquigarrow).
- (7) If $A \equiv_L B$ and $\models_L A \rightarrow C$, then also $\models_L B \rightarrow C$ (Left Logical Equivalence)
- (8) If $A \models_L B$ and $A \models_L C$, then $A \models_L B \wedge C$ (Conjunction Introduction)

In short, Fitelson's result concerns the relationship between two conditionals which satisfy both Conjunction Elimination (1+2) and Import-Export (3+4), and of which one is stronger than the other one (5). The stronger conditional, represented by the normal arrow \rightarrow , is supposed to represent the indicative conditional. Moreover, it is assumed that the weaker connective \rightsquigarrow satisfies Conditional Elimination relative to the logic \models_L (6), and that one can substitute \models_L -equivalents in the premises of \rightarrow -validities (7).¹² Finally, it assumes Conjunction Introduction (8), a very natural property: if two propositions follow from a third, then so does their conjunction.

Fitelson shows that these axioms are logically independent from each other and that they are sufficient to show that the two connectives \rightarrow and \rightsquigarrow are logically equivalent:

¹¹Our notation swaps the meaning of the symbols \rightarrow and \rightsquigarrow in Fitelson's work to make it consistent with the rest of our paper.

¹²What we call Conditional Elimination is the converse of Conditional Introduction. The two properties together are known as the Deduction Theorem. Conditional Elimination corresponds to (meta-inferential) Modus Ponens.

Theorem (Fitelson 2013): From conditions (1)–(8) it follows that

$$A \rightsquigarrow B \models_L A \rightarrow B \quad \text{and} \quad A \rightarrow B \models_L A \rightsquigarrow B$$

As Fitelson emphasizes, this should not be taken to imply that the connective \rightarrow collapses to the *material* conditional, or that the indicative conditional “If A, then C” should be interpreted as “not A or C”. Fitelson’s result is interpretation-neutral and concerns *any* two connectives with the said properties; specifically, it does not presuppose that the weaker connective \rightsquigarrow corresponds to the material conditional \supset . Whether the material conditional $A \supset C$ (i.e., $\neg A \vee C$) satisfies the properties of \rightsquigarrow (i.e., conditions (1), (3), (5) and (6)) will depend on which logic we choose to interpret \models_L , and we will soon see that it need not in a trivalent setting. What Fitelson shows is rather that if a conditional connective satisfies Conjunction Elimination, Import-Export and Modus Ponens, then in any logic with Conjunction Introduction, there cannot be a strictly stronger conditional connective that satisfies these conditions as well as axiom (7)—the substitution of equivalents in the premises of its theorems. In this sense, Fitelson proves the existence of an upper bound for the strength of a conditional that satisfies these intuitively desirable logical properties. Moreover Fitelson shows that such a connective must also validate some central intuitionistic principles.

7 Fitelson’s Result and Trivalent Logic

What does Fitelson’s result mean for trivalent logics when his two connectives \rightarrow and \rightsquigarrow are identified with the indicative and the material conditional? Keeping the tolerant-to-tolerant character of the logical consequence relation fixed (see Section 4 for why), we have to assign values to the following parameters:

- the truth table for the indicative conditional (de Finetti or Cooper-Cantwell);
- the truth table for conjunction and disjunction (Strong Kleene operators or Cooper’s quasi-conjunction and disjunction);
- which connective in Fitelson’s result represents the indicative conditional, and which connective represents the material conditional.

This leaves us with eight different logics, characterized by the choice of the truth table for the indicative conditionals (DF or CC), the truth tables for conjunction and disjunction (Strong Kleene or Cooper), and the assignment of conditionals to Fitelson’s connectives (\rightarrow and \rightsquigarrow). Fitelson suggests that the stronger connective \rightarrow stands for the indicative conditional. However, the properties of \rightsquigarrow , which include Modus Ponens, Conjunction Elimination and Import-Export, could also square well with the indicative

conditional. Moreover, the indicative conditional can be *weaker* than the material conditional in QCC/TT. Thus, we have to carefully examine all ways of distributing Fitelson’s connectives to truth tables.

As noticed in the previous section, QDF/TT does not satisfy Import-Export for the indicative conditional and so we set it aside (either condition (3) or condition (4) will fail). All the other logics satisfy conditions (1)–(4) and also condition (8). Thus our discussion will be limited to those logics and the more controversial properties (5), (6) and (7). Actually, we see that none of our trivalent logics satisfies all of these principles:

DF/TT with $\rightarrow = \rightarrow_{DF}$ Satisfies (5)—material and indicative conditional are DF-equivalent—, but neither (6) nor (7). For (6), consider $|A| = 1/2$, $|B| = 0$, and for (7), consider $|A| = 1/2$, $|B| = 1$, and $|C| = 0$.

DF/TT with $\rightarrow = \supset$ Satisfies (5), but neither (6) and (7). Consider the same examples as above.

CC/TT with $\rightarrow = \rightarrow_{CC}$ Satisfies (5) and (7), but not (6). Consider again $|A| = 1/2$ and $|B| = 0$.

CC/TT with $\rightarrow = \supset_Q$ Satisfies (6), but neither (5) nor (7). For (5), consider $|A| = 1/2$ and $|B| = 0$; for (7) consider $|A| = 1/2$, $|B| = 1$, and $|C| = 0$.

QCC/TT with $\rightarrow = \rightarrow_{CC}$ Satisfies (6) and (7), but not (5). Consider $|A| = 1$ and $|B| = 1/2$.

QCC/TT with $\rightarrow = \supset_Q$ Satisfies (5) and (6), but not (7). The counterexample is $|A| = |C| = 1/2$ and $|B| = 1$.

Condition/Logic		DF/TT		CC/TT		QCC/TT	
Assignment of Symbols	Indicative=?	\rightarrow	\rightsquigarrow	\rightarrow	\rightsquigarrow	\rightarrow	\rightsquigarrow
	Material=?	\rightsquigarrow	\rightarrow	\rightsquigarrow	\rightarrow	\rightsquigarrow	\rightarrow
(5): \rightarrow implies \rightsquigarrow		✓	✓	✓	✗	✗	✓
(6): Conditional Elimination for \rightsquigarrow		✗	✗	✗	✓	✓	✓
(7): Substitution of Equivalents (\rightarrow)		✗	✗	✓	✗	✓	✗
Collapse strongly blocked?		✓	✓	✗	✗	✗	✗

Table 5: Overview of the satisfaction/violation of Fitelson’s conditions (5)–(7) in different trivalent logics.

Table 5 summarizes our findings. As we see, none of our trivalent candidate logics for the indicative conditional obeys all of these axioms. Since there are no obvious alternatives to the (various forms of the) material conditional as the second connective in Fitelson’s theorem, Gibbardian collapse is blocked for the entire range of trivalent logics that we study. In particular, since at least one of the axioms fails for all configurations

we have looked at, the connective \rightarrow must also fail one the principles of the intuitionistic conditional (this is, as mentioned above, a consequence of satisfying conditions (1)–(8)).

8 Blocking Fitelson’s Collapse Strongly and Weakly

In order to better assess the distinct ways in which Fitelson’s collapse is blocked in trivalent logics, we introduce a useful distinction. We say that a logic of indicative conditionals L blocks the collapse *strongly* if at least one of conditions (1)–(8) is not satisfied by letting $\rightarrow = \rightarrow_{\text{ind}}$, where \rightarrow_{ind} is the connective that, in L , is taken to model the indicative conditional. We say that the L blocks the collapse *weakly* if $\rightarrow = \rightarrow_{\text{ind}}$ and $\rightsquigarrow = \supset$, where \supset is the material conditional in L . In other words, L blocks Fitelson’s collapse strongly if some of Fitelson’s premises fails in L once \rightarrow is interpreted as L ’s candidate for the indicative conditional, regardless of how the other conditional \rightsquigarrow is interpreted. On the other hand, L blocks Fitelson’s collapse only weakly if some of Fitelson’s premises fails in L once \rightarrow is interpreted as L ’s candidate for the indicative conditional and \rightsquigarrow is interpreted as L ’s material conditional. In the former case, L ’s indicative conditional is non-trivial (in the sense of the collapse) *by itself*, whereas in the latter case it is non-trivial only if we assume (at least some of) the features of \supset in L for the other conditional.

A glance at our findings shows that Fitelson’s collapse result is blocked strongly for the DF/TT-logics, and only weakly for all (Q)CC/TT-logics. The failure of collapse in the (Q)CC/TT-logics is due to both features of the Cooper-Cantwell conditional in a TT-consequence relation and the choice of the material conditional as the interpretation of the weaker connective \rightsquigarrow . Does this show that the indicative conditional of the (Q)CC/TT-logics is “trivial”, or in some sense uninteresting? Not really. All Fitelson’s result can be used to argue for is that, given (1)–(8), the indicative conditional of (Q)CC/TT-logics is L -equivalent to (i.e., inter- L -inferrable with) an unspecified conditional which: (i) cannot be the material conditional of L (since (Q)CC/TT-logics weakly block the collapse), and (ii) satisfies conditions (1), (3), (5), and (6), over a background logic which satisfies (8).¹³ Now, not only are these properties unproblematic—by themselves, they do not give rise to any paradox of implication—, they are indeed desirable. Hence, it should actually be a welcome result that an indicative conditional is equivalent to a conditional with such properties.

In summary, since the trivalent logics we have examined block Fitelson’s collapse result systematically, we do not find ourselves in the dilemma of having to sacrifice Import-Export, or another plausible condition to avoid triviality. To us, the most reasonable construal of Fitelson’s theorem is as a *uniqueness result*: it is impossible to have

¹³Respectively: Conjunction Elimination (1), Import-Export (3), being entailed by indicative conditionals (5), Modus Ponens (6), and Conjunction Introduction (8)

two conditional connectives both satisfying Import-Export and Conjunction Elimination, such that one is strictly stronger than the other and where the weaker one satisfies Conditional Elimination. This leaves Left Logical Equivalence (condition (7)) out of the picture, but as Table 5 shows, this condition is only required to prevent collapse in one case, namely QCC/TT, in which the material conditional is stronger than the indicative conditional. For all other combinations there is a tension between the relative strength of the connectives (as codified by (5)) and the fact that the weaker connective should satisfy Conditional Elimination (namely (6)).

9 Conclusion

This paper has given a precise reconstruction of Gibbard’s informal argument that any indicative conditional that satisfies Import-Export and is supraclassical and stronger than the material conditional must collapse to the material conditional. Specifically, we have seen that Gibbard’s argument requires additional premises (e.g., structural assumptions on the underlying logic L) and that the premises are not tight either (e.g., supraclassicality can be replaced without loss of validity by Conjunction Elimination).

We have then explored how a family of trivalent logics, all based on the idea that a conditional is void when its antecedent turns out false, fare with respect to Gibbardian collapse. The logics we have examined all block an important premise of Gibbard’s proof, namely the classical behavior of the material conditional \supset , as well as one additional premise (different for each logic). Nonetheless, in DF/TT—the tolerant-to-tolerant logic based on de Finetti’s truth table for the indicative conditional—Gibbardian collapse occurs, but this does not mean that both conditionals obey the same logical principles. In contrast, Cantwell’s logic CC/TT and Cooper’s logic QCC/TT, based on their common truth table for the indicative, avoid Gibbardian collapse altogether. This shows us that the apparent lesson from Gibbard’s result—that one has to give up Import-Export or endorse the material analysis of the conditional—is mistaken.

We confirmed that diagnosis by looking at these logics in the context of the strengthening of Gibbard’s result proposed by Fitelson (2013). Specifically, we have re-interpreted Fitelson’s result as showing the impossibility of having two distinct connectives that both satisfy a set of characteristic properties (Conjunction Elimination, Import-Export), and where the weaker one already satisfies Conditional Elimination. A logic of indicative conditionals does not have to choose between forswearing Import-Export and embracing the material conditional analysis: trivalent logics of conditionals offer a simple, yet articulate and fully truth-functional alternative that avoids both problems. To be sure, one might still have objections to Import-Export but, whatever they are, they cannot be supported by Gibbard-style collapse arguments.

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A Import-Export Revisited

Our case study on trivalent logics shows that it is possible to have Import-Export without restriction in a conditional logic without running into undesirable results of collapse to the material conditional, or to other connectives that are clearly too weak. Specifically, even if a conditional connective \rightarrow validates Import Export, the schema $(A \wedge B) \rightarrow A$, and is stronger than the material conditional, it need not be logically equivalent to the latter.

This observation raises the suspicion that the scope of Gibbardian collapse results may have to do with the absence and presence of bivalence. Note that the third truth value has been essential to constructing suitable counterexamples to Fitelson's conditions (1)–(8), and to blocking a generalized collapse theorem. In other words, we

conjecture that Gibbardian collapse is a characteristic feature of conditional connectives with Import-Export in *bivalent logic*.

This conjecture shall now be probed by studying a recent reductio argument against Import-Export. Matthew [Mandelkern \(2020\)](#) argues that Import-Export, when conjoined with other plausible principles, leads to absurd conclusions (compare also [Mandelkern 2019](#)). Specifically, for a logic (L, \models_L) with formulae A, B and C and a connective \rightarrow representing the indicative conditional, Mandelkern considers (and defends) the following three principles:

- If $A \models_L B$ then $\models_L A \rightarrow B$ (Conditional Introduction)
 If $\models_L A \rightarrow B$ then $A \rightarrow (B \rightarrow C) \equiv_L A \rightarrow C$ (Nothing Added)
 If $A \rightarrow C \equiv_L B \rightarrow C$ then $A \equiv_L B$ (Equivalence)

where \equiv_L means, as before, that both \models_L and its converse hold. Conditional Introduction is valid in all trivalent logics we considered, whereas Nothing Added and Equivalence hold in (Q)CC/TT, but not in (Q)DF/TT.¹⁴ Mandelkern requires another premise, restricted to atom-classical formulae A (i.e. such that all propositional variables have a classical value), but without restrictions on B :

$$\text{For atom-classical } A: \quad \models_L (A \wedge \neg A) \rightarrow B \quad (\text{Quodlibet})$$

Quodlibet too holds in the trivalent logics we surveyed. From these four principles Mandelkern derives the following intermediate result:

$$\text{For atom-classical } A: \quad A \models_L \neg A \rightarrow B \quad (\text{Intermediate})$$

Intermediate also holds in CC/TT, and plausibly so: If A holds then any conditional assertion with $\neg A$ as a premise is void, and thus valid in a logic with a tolerant-to-tolerant consequence relation. Intermediate is equivalent to $\neg A \models_L A \rightarrow B$, from which Mandelkern derives:

$$\text{For atom-classical } A: \quad \neg(A \rightarrow B) \models_L A \quad (\text{Ex Falso})$$

The lesson Mandelkern takes from this is:

[Intermediate] is clearly false [...]. For this conclusion entails that the falsity of $\neg A \rightarrow B$ entails the falsity of A ; more succinctly (given classical negation, which is not in dispute here), the falsity of $A \rightarrow B$ entails the truth of A . ([Mandelkern 2020](#), symbolic notation changed)

¹⁴Countermodel for Nothing Added in (Q)DF/TT: $v(A) = 1, v(B) = 1/2, v(C) = 0$. Countermodel for Equivalence in (Q)DF/TT: $v(A) = v(C) = 0, v(B) = 1/2$.

Ex Falso is definitely an unacceptable principle for a theory of indicative conditionals. As it turns out, it is invalidated in the trivalent logics, including CC/TT (Consider $v(A) = 0$.) What happened in the step from Intermediate to Ex Falso? As hinted by Mandelkern’s parenthetical remark, the step is blocked in CC/TT because trivalent negation is no longer classical. In particular, TT-consequence does not obey Contraposition. This feature suggests a tradeoff: the trivalent logics of conditionals we considered validate Import-Export without restriction, and they do not fall prey to Mandelkern’s reductio. However, they no longer validate Contraposition without restriction, and because CC/TT satisfies the full Deduction Theorem, the associated conditional fails contraposition too. For indicative as well as for counterfactuals, contraposition is moot, however, in that regard the way in which Mandelkern’s reductio is blocked here does not appear problematic.¹⁵

B Technical appendix

In this appendix, we first prove that assumption (iii) of Gibbard’s Theorem holds in DF/TT. Then, we give a syntactic proof of the mutual DF/TT-entailments of $A \rightarrow B$ and $A \supset B$ (cf. Lemma 2), in the three-sided sequent calculus for DF/TT from (Égré et al. 2020b). The remaining claims of the Lemma are then immediate. The calculus is sound and complete for DF/TT, so the proof immediately establishes the corresponding semantic claims, but we believe that a syntactic proof provides a good illustration of how one can, rather naturally, reason in trivalent logics. Similar proofs are available for the corresponding claims in CC/TT.

Lemma 5. *Supraclassicality holds in DF/TT.*

Proof. We prove the contrapositive. Suppose $\not\models_{\text{DF/TT}} A \rightarrow B$. Then there is a DF-evaluation $v : \text{For}(L) \mapsto \{0, 1/2, 1\}$ s.t. $v(A) = 1$ and $v(B) = 0$. We then claim that, in this case, there is always a *classical* evaluation $v_{\text{cl}} : \text{For}(L) \mapsto \{0, 1\}$ s.t. for every $C \in \text{For}(L)$, if $v(C) = 1$, then $v_{\text{cl}}(C) = 1$ and if $v(C) = 0$, then $v_{\text{cl}}(C) = 0$, thus showing that $A \not\models_{\text{CL}} B$. We prove this by induction on the logical complexity (cp) of A and B :

- $\text{cp}(A) = \text{cp}(B) = 0$. Then, $A \rightarrow B$ has the form $p \rightarrow q$, and $v(p) = 1, v(q) = 0$. v_{cl} is any classical evaluation which agrees with v on p and q , so clearly $p \not\models_{\text{CL}} q$.
- $\text{cp}(A) = m + 1$ and $\text{cp}(B) = 0$. Then $A \rightarrow B$ has the form $C \rightarrow q$, for C a logically complex sentence. We assume the claim as IH up to m , and reason by cases:

¹⁵Mandelkern does not dispute the validity of Import-Export for simple right-nested conditionals where it looks very compelling; he just thinks that Import-Export has less than general scope. Specifically, he has doubts about the application of Import-Export to compound conditionals with left-nesting, such as $A \rightarrow ((B \rightarrow C) \rightarrow D)$. Naturally, it is very difficult to find reliable empirical data or expert intuitions on how such sentences are, or should be, interpreted.

- C is $\neg D$. Then $v(\neg D) = 1$ and $v(q) = 0$, and $v(D) = 0$. By IH, then, there is a classical evaluation v_{cl} s.t. $v_{\text{cl}}(D) = 0$ and $v_{\text{cl}}(q) = 0$, so that $C \not\models_{\text{CL}} q$.
 - C is $D \vee E$. Then $v(D \vee E) = 1$ and $v(q) = 0$. There are several cases, all similar between them, where at least one of the disjunct receives value 1:
 - * $v(D) = 1$ and $v(E) = 1$
 - * $v(D) = 1$ and $v(E) = 1/2$
 - * $v(D) = 1$ and $v(E) = 0$
 - * $v(D) = 1/2$ and $v(E) = 1$
 - * $v(D) = 0$ and $v(E) = 1$
 Let X be the (or 'a') disjunct which receives value 1 by v . By IH, $v_{\text{cl}}(X) = 1$, and then $v_{\text{cl}}(D \vee E) = 1$ and $v_{\text{cl}}(q) = 0$, hence $C \not\models_{\text{CL}} q$.
 - The case where C has the form $D \wedge E$ is similar to the above one.
 - C is $D \rightarrow E$. Then $v(D \rightarrow E) = 1$ and $v(q) = 0$, and therefore $v(D) = v(E) = 1$. By IH, then, $v_{\text{cl}}(D) = v_{\text{cl}}(E) = 1$, hence $C \not\models_{\text{CL}} q$.
- The cases where $\text{cp}(A) = 0$ and $\text{cp}(B) = n + 1$, and where $\text{cp}(A) = m + 1$ and $\text{cp}(B) = n + 1$ are dealt with similarly.

□

Notice that, in this proof, a DF-evaluation for the language including the conditional is mapped to a *classical* evaluation for the same language, i.e. a classical evaluation which also interpret formulae of the form $A \rightarrow B$. However, the proof does not specify how formulae of the form $A \rightarrow B$ are classically interpreted—that is, $A \rightarrow B$ may or may not be interpreted as a classical material conditional. We also note that an attempted proof along the lines of the above one would fail for CC/TT exactly because the conditions under which an indicative conditional receives value 0 under a CC-evaluation strictly exceed the conditions under which a material conditional receives value 0 under a classical evaluation, unlike in a DF-evaluation.

Lemma 6. *Let $\Gamma \vdash_{\text{DF/TT}} \Delta$ indicate that there is a derivation of the three-sided sequent $\Gamma \mid \Delta \mid \Delta$ in the calculus developed in [Égré et al. \(2020b\)](#), §§3.1-3.2. Then, for every $A, B \in \text{For}(L)$:*

$$A \supset B \vdash_{\text{DF/TT}} A \rightarrow B \quad \text{and} \quad A \rightarrow B \vdash_{\text{DF/TT}} A \supset B$$

Proof. We write $\neg(A \wedge \neg B)$ for $A \supset B$, as the two formulae are definitionally equivalent in DF/TT. The following derivation establishes that $A \supset B \vdash_{\text{DF/TT}} A \rightarrow B$:

$$\frac{\frac{\frac{\frac{\frac{\overline{A, B | A, B | A} \text{ SRef} \quad \overline{A, B | A, B | B} \text{ SRef}}{\overline{A, B | A, B | A \rightarrow B} \rightarrow^{-1/2}}{\overline{B | A \rightarrow B | A \rightarrow B} \rightarrow^{-1/2}}}{\overline{\emptyset | A \rightarrow B | A \rightarrow B, \neg B} \rightarrow^{-1}}{\overline{\emptyset | A \rightarrow B | A \rightarrow B, A} \rightarrow^{-1/2}} \text{ SRef}}{\overline{\emptyset | A \rightarrow B | A \rightarrow B, A \wedge \neg B} \wedge^{-1}}}{\overline{\neg(A \wedge \neg B) | A \rightarrow B | A \rightarrow B} \rightarrow^{-0}} \text{ SRef}}$$

We now show that $A \rightarrow B \vdash_{\text{DF/TT}} A \supset B$. First, let \mathcal{D}_0 be the following derivation:

$$\frac{\frac{\frac{\overline{A, \neg B | A | A} \text{ SRef}}{\overline{A, \neg B | \neg B | A, \neg B} \text{ SRef}} \text{ SRef} \quad \frac{\overline{A, \neg B | A, \neg B | A} \text{ SRef}}{\overline{A, \neg B | A, \neg B | A} \wedge^{-1/2}} \text{ SRef}}{\overline{A, \neg B | A \wedge \neg B | A} \text{ SRef}} \text{ SRef}}$$

Second, let \mathcal{D}_1 be the following derivation:

$$\frac{\frac{\frac{\frac{\overline{A, \neg B, B | A | A} \text{ SRef}}{\overline{A, \neg B, B | \neg B | \neg B} \text{ SRef}} \text{ SRef} \quad \frac{\frac{\overline{A, B | A, B | B} \text{ SRef}}{\overline{A, B | A, \neg B | B} \rightarrow^{-1/2}} \text{ SRef}}{\overline{A, \neg B, B | A, \neg B | \emptyset} \rightarrow^{-1}} \text{ SRef}}{\overline{A, \neg B, B | A \wedge \neg B | \emptyset} \wedge^{-1/2}} \text{ SRef}}{\overline{A, \neg B, B | A \wedge \neg B | \emptyset} \wedge^{-1/2}} \text{ SRef}}$$

Finally, combining \mathcal{D}_0 and \mathcal{D}_1 yields the desired result:

$$\frac{\frac{\frac{\frac{\frac{\mathcal{D}_0}{\overline{A, \neg B | A \wedge \neg B | A} \text{ SRef}}{\overline{A, \neg B, A \rightarrow B | A \wedge \neg B | \emptyset} \rightarrow^{-0}} \text{ SRef}}{\overline{A \wedge \neg B, A \rightarrow B | A \wedge \neg B | \emptyset} \wedge^{-0}} \text{ SRef}}{\overline{A \rightarrow B | A \wedge \neg B | \neg(A \wedge \neg B)} \rightarrow^{-1}} \text{ SRef}}{\overline{A \rightarrow B | \neg(A \wedge \neg B) | \neg(A \wedge \neg B)} \rightarrow^{-1/2}} \text{ SRef} \quad \frac{\mathcal{D}_1}{\overline{A, \neg B, B | A \wedge \neg B | \emptyset} \wedge^{-1/2}} \text{ SRef}}$$

□

C Gibbardian collapse without Left Logical Equivalence

Khoo and Mandelkern (2019, 489) prove Gibbard's collapse result using Reasoning by Cases. They do not use Left Logical Equivalence (as in our reconstruction of Gibbard's original proof) and explicitly refer to principles (i)–(iii) only (i.e., Import-Export, Stronger-than-Material and Supraclassicality). However, like Gibbard, they actually make use of more assumptions, in particular (v): the classicality of \supset . Their proof can be formalized thus:

Theorem 7. *Let L be a reflexive, monotonic, and transitive consequence relation, with \vee satisfying Reasoning by Cases. Then if (i), (ii), (iii) and (v) hold in L , \supset entails \rightarrow , that is, for any $A, B \in \text{For}(L)$, $A \supset B \models_L A \rightarrow B$.*

1. $\neg A \wedge A \models_{\text{CL}} B$, classical logic
2. $\models_L (\neg A \wedge A) \rightarrow B$, by 1 and (iii)
3. $\models_L \neg A \rightarrow (A \rightarrow B)$, by 2 and (i)
4. $\neg A \rightarrow (A \rightarrow B) \models_L \neg A \supset (A \rightarrow B)$, by (ii)
5. $\models_L \neg A \supset (A \rightarrow B)$, by 3, 4 and Transitivity
6. $\neg A \models_L \neg A \supset (A \rightarrow B)$, by 5 and Monotonicity
7. $\neg A \models_L \neg A$, by Reflexivity
8. $\neg A \models_L A \rightarrow B$, by 6, 7 and (v), using (meta) Modus Ponens for \supset
9. $B \wedge A \models_{\text{CL}} B$, classical logic
10. $\models_L (B \wedge A) \rightarrow B$, by 9 and (iii)
11. $\models_L B \rightarrow (A \rightarrow B)$, by 10 and (i)
12. $B \rightarrow (A \rightarrow B) \models_L B \supset (A \rightarrow B)$, by (ii)
13. $\models_L B \supset (A \rightarrow B)$, by 11, 12, and Transitivity
14. $B \models_L B \supset (A \rightarrow B)$, by 13 and Monotonicity
15. $B \models_L B$ by Reflexivity
16. $B \models_L A \rightarrow B$, by 14, 15, (v), using (meta) Modus Ponens
17. $\neg A \vee B \models_L A \rightarrow B$, by 8, 16 and Reasoning by Cases
18. $A \supset B \models_L A \rightarrow B$, by 17 and (v)

This version does not use the replacement principle (iv) of Gibbard's original proof, making it particularly interesting, in particular in relation to DF/TT. Indeed, Reasoning by Cases is valid in DF/TT and CC/TT, as are structural assumptions on logical consequence. We know that CC/TT fails Supraclassicality and so step 2 and 10 of the proof are blocked. Interestingly, however, all steps of the proof here are *sound in DF/TT*. Although principle (v) does not hold of \supset in full generality in DF/TT, all instances of (v) are sound in this case, unlike in Gibbard's original proof. Readers may observe that the proof of $A \supset B \vdash_{\text{DF/TT}} A \rightarrow B$ produced in the sequent-system of Appendix B also mirrors Reasoning by Cases (see Lemma 6): on the third line from the root of the tree, the left branch of the derivation tree actually establishes that $\neg A \vdash_{\text{DF/TT}} A \rightarrow B$, while the right branch establishes that $B \vdash_{\text{DF/TT}} A \rightarrow B$.