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## Risk assessment of *N*-nitrosamines in food

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### Abstract

EFSA was asked for a scientific opinion on the risks to public health related to the presence of *N*-nitrosamines (*N*-NAs) in food. The risk assessment was confined to those 10 carcinogenic *N*-NAs occurring in food (TCNAs), i.e. NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR. *N*-NAs are genotoxic and induce liver tumours in rodents. The *in vivo* data available to derive potency factors are limited, and therefore, equal potency of TCNAs was assumed. The lower confidence limit of the benchmark dose at 10% (BMDL<sub>10</sub>) was 10 µg/kg body weight (bw) per day, derived from the incidence of rat liver tumours (benign and malignant) induced by NDEA and used in a margin of exposure (MOE) approach. Analytical results on the occurrence of *N*-NAs were extracted from the EFSA occurrence database (n = 2,817) and the literature (n = 4,003). Occurrence data were available for five food categories across TCNAs. Dietary exposure was assessed for two scenarios, excluding (scenario 1) and including (scenario 2) cooked unprocessed meat and fish. TCNAs exposure ranged from 0 to 208.9 ng/kg bw per day across surveys, age groups and scenarios. 'Meat and meat products' is the main food category contributing to TCNA exposure. MOEs ranged from 3,337 to 48 at the P95 exposure excluding some infant surveys with P95 exposure equal to zero. Two major uncertainties were (i) the high number of left censored data and (ii) the lack of data on important food categories. The CONTAM Panel concluded that the MOE for TCNAs at the P95 exposure is highly likely (98–100% certain) to be less than 10,000 for all age groups, which raises a health concern.

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## Summary

Following a request from the European Commission, the Panel on Contaminants in the Food Chain (CONTAM Panel) has provided a scientific opinion on the human health risks related to the presence of *N*-nitrosamines (*N*-NAs) in food. The opinion evaluates the toxicity of *N*-NAs to animals and humans, estimates the dietary exposure of the European Union (EU) population to *N*-NAs and assesses the human health risks to the EU population due to the estimated dietary exposure.

Overall, 32 *N*-NAs have been investigated for their presence in food. The CONTAM Panel identified and characterised the hazards for all of them. However, so far, the actual presence of quantifiable amounts in food was demonstrated for a limited number of these compounds. Therefore, the risk characterisation was limited to 10 carcinogenic *N*-NAs occurring in food (TCNAs), i.e. NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR.

*N*-NAs are the reaction products of nitrosating agents such as nitrites or nitrogen oxides and amino-based substances such as secondary amines and may be formed in a variety of foods under processing conditions in the presence of these reactants. *N*-NAs have been detected, e.g. in cured meat products, processed fish, beer and other alcoholic and non-alcoholic beverages, cheese, soy sauce, oils, processed vegetables and human milk. Heat treatment also produces and increases the levels of *N*-NAs in food with findings mainly focusing on meat and fish products.

NDMA, NDEA, NPYR, NHTZ and NPRO are readily and completely absorbed and distributed mainly to the liver but also to other organs in experimental animals. Data for absorption and distribution of other *N*-NAs are scarce. Most *N*-NAs undergo a CYP-mediated oxidation which is a key event in bioactivation. NDMA is metabolised to  $\alpha$ -hydroxydimethylnitrosamine via  $\alpha$ -hydroxylation, catalysed mainly by CYP2E1.  $\alpha$ -Hydroxydimethylnitrosamine spontaneously decomposes to methyl diazonium ions that react easily with DNA bases producing DNA adducts such as 7-Me-Gua and  $O^6$ -Me-Gua. The DNA repair enzyme  $O^6$ -Me-Gua-DNA-methyltransferase removes the  $O^6$ -Me-Gua adducts. If unrepaired,  $O^6$ -Me-Gua adducts cause miscoding and generate principally G > A transition mutations which can lead to the initiation of carcinogenesis. The liver plays a major role in clearing and metabolising NDMA; extrahepatic distribution is also possible for this and other *N*-NAs and is enhanced by co-exposure to other CYP substrates such as ethanol. CYP enzymes of different families convert NDEA, NMEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR mostly via  $\alpha$ -hydroxylation to alkylating agents which are capable of binding to DNA. The same enzymes also perform denitrosation, which is mainly considered a detoxification pathway. Extrahepatic bioactivation of other *N*-NAs mainly in the upper gastrointestinal (GI) and respiratory tract has been shown. Quantitative and qualitative species- and tissue-related differences in *N*-NAs' biotransformation have been documented. Overall, unmetabolised *N*-NAs and their stable metabolites (e.g. glucuronides) are mainly and rapidly excreted via urine; for NTCA, NMTCA, NHPRO, NPRO, NSAR and NMA, urinary excretion accounts for up to 90% of the administered dose. Biliary excretion is considered of minor importance and has been documented for NDMA, NDEA, NDPA, NPYR and NDPheA. Direct or indirect evidence for transfer via milk has been reported for NDMA, NDEA and NDBA; in addition, NDMA, NDEA, NDBA and NDPA undergo placental transfer.

Very little is known about the fate of *N*-NAs in humans and most of the available information concerns NDMA. The presence of measurable *N*-NA levels has been reported in blood, gastric juice, urine and milk. The origin of these *N*-NAs is unknown, and their endogenous formation could not be excluded. In the few studies in which human volunteers were offered meals with known *N*-NA (NDMA) content, only trace amounts of the ingested dose were recovered in biological fluids, except in the case of ethanol co-administration. This suggests that, in humans, ethanol may decrease the hepatic clearance of NDMA, as demonstrated in rodents. The *in vivo* extrapolation of the *in vitro* hepatic NDMA intrinsic clearance measured in human liver microsomes resulted in a calculated hepatic extraction ratio of about 90%, which is very similar to that measured *in vivo* in the rat. Finally, quantitative differences between humans and rats were reported in the ability of the same tissue to biotransform and activate (as measured by DNA-binding) different *N*-NAs.

Earlier studies performed with human tissue subfractions or tissue cultures have demonstrated the bioactivation of several *N*-NAs not only by the liver but also by extrahepatic organs and tissues, including oesophagus, colon, bladder, bronchi, pancreatic duct and nasal mucosa. The most common *N*-NAs found in food (NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR) are mostly biotransformed by CYP2E1 and 2A6, while CYP2B1 and CYP1A1 are involved to a lesser extent. CYP genetic polymorphisms may, at least partly, explain the large interindividual variation in the biotransformation of certain *N*-NAs observed in *in vitro* studies.

Most studies on DNA adducts in human tissues do not specifically identify *N*-NAs as their source. It is also unclear to which extent exposure to *N*-NAs reflects their endogenous formation or occurs *via* food/water.

In short-term toxicity studies, NDMA, NDEA, NMOR and NPIP exerted pronounced hepatotoxic effects, reduced body weight gains and the survival of experimental animals.

The genotoxic properties of the acyclic volatile NDMA, NMEA, NDEA and NDPA have been extensively investigated both *in vitro* and *in vivo*. Following metabolic activation, these *N*-NAs induce gene mutations in both bacteria and mammalian cells *in vitro*. Mutations have also been observed in the liver of transgenic animals with GC > AT transitions being the main mutational class. These base substitutions are consistent with the well-known miscoding properties of DNA bases alkylated at the O<sup>6</sup> position of guanine. Information on the genotoxicity of the acyclic non-volatile *N*-NAs is more limited. Induction of mutations *in vitro* was shown for NDBA while increased levels of DNA breaks in several organs confirmed the *in vivo* genotoxicity of NDBA. The cyclic volatile NMOR, NPIP and NPYR were mutagenic both *in vitro* and *in vivo*. For all these *N*-NAs, increased levels of DNA strand breaks were observed in several mouse organs (stomach, colon, liver, lung, kidney and urinary bladder). In addition, the clastogenic potential of NMOR has been demonstrated by its ability to induce micronuclei in the bone marrow cells. The reported *in vitro* mutagenicity of NPYR has been confirmed *in vivo* in transgenic rats (increases mainly of AT > GC transitions but also of mutations at G:C base pairs). Overall there is: (i) evidence of *in vitro* and *in vivo* genotoxicity of NDMA, NMEA, NDEA, NDPA, NDBA, NDBzA, NMOR, NPIP and NPYR; (ii) evidence of genotoxicity limited to *in vitro* studies for NDIPA, NMBA, NMA, NMAMBA, NTHZ, NHPYR and NHMTHZ; (iii) indirect evidence (gained by read-across and SAR analyses) that NEIPA, NMVA, NDIBA, NEA, NMAMPA, NSAR and NMTHZ may exert genotoxic activity; (iv) experimental and/or indirect evidence (gained by read-across and SAR analyses) that NPRO, NHPRO, NTCA, NTMCA, NHMTCA, NOCA, NMOCA and NPIC may not exert significant genotoxic activity; and (v) insufficient information to conclude on the genotoxic potential of NDPheA.

The acyclic volatile *N*-NAs NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA and NMVA induced tumour formation in several mammalian species and many different organs, such as liver, pharynx, oesophagus, forestomach, the upper respiratory tract and the lung. In monkeys, NDEA and NDPA induced hepatocellular carcinoma (HCC). The acyclic non-volatile *N*-NAs NDBA, NDIBA, NMA, NMAMBA and NSAR were carcinogenic in many rodent organs/tissues, including liver, the upper and lower respiratory tract, oesophagus and/or forestomach. NDBzA did not induce tumour formation in rodents. The cyclic volatile *N*-NAs caused tumour formation in rat liver, the respiratory and/or the GI tract (NMOR, NPIP, NPYR and NHPYR). Furthermore, NPIP induced HCC in livers of monkeys. For the cyclic *N*-NAs NPRO, NHPRO and NPIC, no clear evidence for carcinogenicity could be obtained in rodents. NDPheA induced malignant tumours of the urinary bladder in male and female rats. Overall, NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA, NMVA, NDBA, NDIBA, NMA, NMAMBA, NSAR, NMOR, NPIP, NPYR, NHPYR and NDPheA are carcinogenic in experimental animals. Genotoxic mechanisms are the underlying mode of action for the carcinogenic activity of *N*-NAs, except for NDPheA. The most frequent target organ in animals is the liver followed by the upper digestive, urinary and the respiratory tract.

Some of the 32 *N*-NAs had no or limited carcinogenicity data. Based on (i) a large database of *N*-NAs with known carcinogenic potency (parametrised as TD<sub>50s</sub>), (ii) the most likely mode of action and (iii) wide genotoxicity and toxicokinetic information, carcinogenic activity was predicted for NEA, NMAMPA, NMAMBA, NTHZ, NMTHZ, NHMTHZ and NDBzA, and lack of carcinogenic potential for NTCA, NMTCA, NHMTCA, NOCA and NMOCA. TD<sub>50s</sub> were also predicted for NMVA, NEIPA, NMBA, NDIPA, NDIBA and NSAR, whose carcinogenic activity was known but TD<sub>50s</sub> were not reported.

Reports on transplacental carcinogenesis as well as developmental and reproductive toxicity show the effects of *N*-NAs, tested at high doses in several rodent species. However, the studies often applied only one dose, did not cover several critical phases and were small in number and quality which limited conclusions on potential risks for human health. The documented transplacental transfer and bioactivation of *N*-NAs in fetal tissues provides a mechanistic explanation for the transplacental carcinogenic effects of NDMA, NDEA, NDPA, NDBA and NPIP in rodents. Furthermore, a high rate of cell replication in the liver of neonatal animals increases the susceptibility towards the carcinogenic activity of *N*-NAs.

In all the epidemiological studies on associations between dietary intake of *N*-NAs and cancer, selection bias, information bias and confounding were present to some degree. In addition, in all studies, *N*-NA intake was estimated from data obtained from food frequency and food history questionnaires. Food intake questionnaires are imperfect measures of exposure, and thus,

misclassification of exposure is likely to occur. It is important to note that food frequency questionnaires are used for ranking subjects according to food or nutrient intake, but not for estimating absolute levels of intake. Based on the exposure tools used in these studies and the possibility of residual confounding by other exposure sources (e.g. smoking, occupation) and/or other unmeasured factors (e.g. helicobacter infection, fruits and vegetables intake, chemicals in meat other than *N*-NAs) the possibility of using data from these studies for hazard characterisation is limited. Due to limitations in study design, these studies cannot be used to establish tumour target sites and reference points for *N*-NAs.

The main mode of action for the carcinogenic activity of *N*-NAs is genotoxicity. The key step is metabolic activation by  $\alpha$ -hydroxylation and the subsequent formation of highly reactive diazonium ions which can form DNA-adducts. Acyclic *N*-NAs with dimethyl- and diethyl-groups were reported to be more genotoxic and mutagenic than *N*-NAs with longer chains and cyclic *N*-NAs. In rodents, the liver is the main target tissue for the carcinogenic activity of *N*-NAs, followed by the upper GI and respiratory tract. However, these tissues have not been identified consistently as *N*-NA targets in human epidemiological studies. This may be due to species-specific differences in absorption, distribution and elimination and species-/tissue-specific differences in bioactivation and repair of DNA adducts. Analysis of 900 human colorectal cancer (CRC) cases identified the mutational signature of DNA  $O^6$ -alkylguanine, the most mutagenic adduct induced by *N*-NAs. This signature was associated with the development of CRC and with high intakes of processed or unprocessed red meat.

Regarding the individual TCNAs reported to occur in food, experimental data allowed the derivation of BMDL<sub>10</sub> values (in mg/kg bw per day) for NDMA (0.035), NDEA (0.010), NMOR (0.014), NPIP (0.062) and NPYR (0.127). From the CPDB database (Gold et al., 1991), TD<sub>50</sub> values (in mg/kg bw per day) were taken for nine carcinogenic *N*-NAs: NDMA (0.0959), NMEA (0.05), NDEA (0.0265), NDPA (0.186), NDBA (0.691), NMA (0.142), NMOR (0.109), NPIP (1.11) and NPYR (0.799). The TD<sub>50</sub> was predicted only for NSAR (0.982). By any criterion, NDEA, NMEA, NDMA and possibly NMOR are in the group of highest carcinogenic potency.

In a conservative approach, the CONTAM Panel applied the same carcinogenic potency to all TCNAs. In an alternative approach, the ratio between the lowest BMDL<sub>10</sub> applied for the *N*-NAs with the highest concern (0.010 mg/kg day for NDEA, NMEA, NDMA and NMOR) and the lowest BMDL<sub>10</sub> applied for the remaining *N*-NAs (0.062 mg/kg per day for NDPA, NDBA, NMA, NPYR, NPIP, NSAR) was used to calculate a potency factor of 0.2 between the two subgroups. Despite the differences in experimental systems, NDEA, NMEA, NDMA and possibly NMOR are the most potent by any criterion measured.

Considering the occurrence of *N*-NAs in food, 2,817 results for food samples analysed from four European countries between 2003 and 2021 were available for the assessment. Besides the EFSA occurrence data set, the CONTAM Panel considered also analytical results from EU countries (n = 3,976) and non-EU countries (n = 27) extracted from articles published between 1990 and 2021, selected based on quality criteria.

From this data set, the dietary exposure assessment could be performed for the following food categories: 'Alcoholic beverages', 'Coffee, cocoa, tea and infusions', 'Fish, seafood, amphibians, reptiles and invertebrates', 'Meat and meat products' and 'Seasoning, sauces and condiments'. The percentage of left-censored data in these food categories at the Level 1 of the Foodex2 classification, across *N*-NAs, ranged from 3% to 99%.

No occurrence data were available to EFSA or selected from the literature for any of the *N*-NAs for the following food categories: 'Fruit and fruit products', 'Fruit and vegetable juices and nectars (including concentrates)', 'Grains and grain-based products', 'Legumes, nuts, oilseeds and spices', 'Milk and dairy products', 'Starchy roots or tubers and products thereof, sugar plants', 'Vegetables and vegetable products' and 'Water and water-based beverages'.

Among the five food categories considered in the dietary exposure assessment 'Meat and meat products' was the only food category for which data were available for all the individual TCNAs.

NDMA was the only *N*-NA for which data were available for all five Foodex2 Level 1 food categories. Data were available for three food categories for NPYR, NPIP, NDEA and NDBA; for two food categories for NMOR and one food category for NSAR, NMEA, NDPA and NMA.

Although unprocessed and uncooked meat may contain trace amounts of *N*-NAs, evidence is found in the literature which shows the increased presence of *N*-NAs in these foods after cooking (baking, frying, grilling, microwaving) indicating that cooking generates *N*-NAs.

However, data availability on cooked unprocessed meat and fish is limited and there is also some uncertainty regarding the potential presence or absence of nitrite/nitrate added to the products that



were cooked and/or bought already as cooked. For this reason, the Panel decided to estimate exposure using two scenarios, excluding (scenario 1) or including cooked unprocessed meat and fish (scenario 2).

In scenario 1 (excluding cooked unprocessed meat and fish), the TCNA mean middle-bound (MB) dietary exposure ranged from < 0.1 ng/kg bw per day in infants to 12.0 ng/kg bw per day in toddlers. The TCNA P95 upper bound (UB) dietary exposure ranged from 0 to 54.8 ng/kg bw per day, both in infants. The highest P95 dietary exposure to TCNAs assessed using potency factors was 1.7 times lower than the highest P95 dietary exposure to TCNA assessed without using potency factors (both found in infants).

In scenario 2 (including cooked unprocessed meat and fish), the TCNA mean MB dietary exposure ranged from 7.4 ng/kg bw per day in infants to 87.7 ng/kg bw per day in toddlers. The TCNA P95 UB dietary exposure ranged from 34.7 ng/kg bw per day in infants to 208.8 ng/kg bw per day in toddlers. The highest P95 dietary exposure to TCNAs assessed using potency factors was 3.3 times lower than the highest P95 dietary exposure to TCNAs assessed without using potency factors (both found in toddlers).

In both scenarios, NPYR, NSAR, NDMA, NPIP and NDEA are the five individual N-NAs contributing the most to the highest mean TCNA exposure across surveys and age groups (> 80%).

The highest P95 UB dietary exposure to TCNAs in scenario 2 was about three times higher than in scenario 1.

For the individual compounds, in both scenarios, the main contributing food category to the exposure at the Foodex2 Level 1 was 'Meat and meat products' for all N-NAs. 'Alcoholic beverages' (beer and unsweetened spirits and liqueurs) was also a main contributor to the exposure for NDBA, NDMA and NMOR in adolescents, adults, elderly and very elderly in both scenarios. 'Fish, seafood, amphibians, reptiles and invertebrates' (processed fish and seafood categories only) was also a main contributor to the exposure in scenario 1 for NDMA, NPIP and NPYR in all age groups and for NDEA in adults, elderly and very elderly and in scenario 2 for NDMA and NPIP in all age groups.

Due to the uncertainty regarding the high proportion of results below LOD/LOQ and/or only limited availability of data considered in the dietary exposure assessment for TCNAs, the CONTAM Panel noted that exposure calculations should be interpreted with caution.

For substances that are both genotoxic and carcinogenic, the EFSA Scientific Committee stated that a margin of exposure (MOE) of 10,000 or higher, if based on the BMDL<sub>10</sub> from an animal carcinogenicity study, would be of low concern from a public health point of view (EFSA, 2005). The CONTAM Panel characterised the risk for scenario 1 (excluding cooked unprocessed meat and fish) and scenario 2 (including cooked unprocessed meat and fish). The NDEA BMDL<sub>10</sub> of 10 µg/kg bw per day, for increased incidence of liver tumours (benign and malignant tumours combined) in rodents, was used as the reference point for the TCNAs in the MOE approach. MOE values ranged (minimum LB-maximum UB at the P95 exposure) in scenario 1 from 3,337 to 183 and in scenario 2 from 322 to 48, across dietary surveys (excluding some infant surveys with P95 exposure equal to zero) and age groups. The CONTAM Panel concluded that these calculated MOEs for the TCNAs are below 10,000 in both scenarios which raises a health concern. Attributing a lower potency factor to NMA, NDPA, NDBA, NSAR, NPIP, NPYR would not change the above conclusion.

The P95 exposure assessment was subject to significant sources of uncertainty, which could make the true value up to a factor of three times lower or a factor of eight times higher. The uncertainty contributing most to the potentially large underestimation was the lack of occurrence data for important food categories, especially vegetables, cereals and milk and dairy products. Only minor uncertainties were identified for the reference point (BMDL<sub>10</sub>) for NDEA. The toxicity of some other N-NAs was more uncertain due to limitations in the available toxicity data. Taking account of the identified uncertainties, the CONTAM Panel concluded that the MOE for TCNAs at the P95 exposure is highly likely (98–100% certain<sup>1</sup>) to be less than 10,000 for all age groups.

The CONTAM Panel recommends to

- fill the gaps in ADME of N-NAs relevant to human exposure.
- fully characterise the metabolic activation pathways and DNA adducts formed in human and animal tissues.
- determine the relative mutagenic potencies of some N-NAs present in food for which the genotoxic/carcinogenic mechanisms have not been fully clarified (e.g. NMOR, NPIP, NPYR).

<sup>1</sup> i.e. 98–100% probability (EFSA, 2019c).

This would include: (i) the use of metabolic activation systems of human origin, (ii) characterisation of DNA adducts and (iii) comparison of mutational spectra obtained by whole genome sequencing to mutational signatures present in human cancer.

- perform epidemiological studies implementing a molecular approach and endorsing omics investigation on the association between *N*-NAs and cancer with control of confounding factors (e.g. use of medicines, occupational exposure, smoking).
- standardise a sensitive analytical method to quantify the carcinogenic *N*-NAs, both volatile and non-volatile, in different food products.
- collect data on *N*-NAs in processed foods other than processed meat (i.e. raw meats, vegetables, cereals, milk and dairy products, fermented foods, pickled preserves, spiced foods, etc.) and of products cooked in different ways, with and without the addition of nitrate and nitrite. In addition, more data on human milk are needed to enable the exposure assessment in infants.

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## 1. Introduction

### 1.1. Background and Terms of Reference as provided by the requestor

#### 1.1.1. Background and rationale of the mandate

*N*-nitrosamines can be found as contaminants in processed foods as unintentional by-products of food preparation and processing. *N*-nitrosamines are formed by a reaction between nitrites and certain secondary and tertiary amines. *N*-nitrosamines and/or their precursors can be found in certain foods such as processed meats, fish and cheese. They have also been detected in some alcoholic beverages.

Certain *N*-nitrosamines such as *N*-nitrosodimethylamine (NDMA) and *N*-nitrosodiethylamine (NDEA) were classified by the International Agency for Research on Cancer (IARC) as probably carcinogenic to humans (Group 2A).

The EFSA Panel on Food Additives and Nutrient Sources Added to Food (ANS) concluded in its opinion on the re-evaluation of potassium nitrite (E249) and sodium nitrite (E250) as food additives,<sup>1</sup> that the formation of *N*-nitrosamines in the body from nitrites added at approved levels to meat products was of low concern for human health.

EFSA has been requested to assess the risks for public health related to the presence of *N*-nitrosamines as contaminants in food.

#### 1.1.2. Terms of reference as provided by the European Commission

In accordance with Art. 29 (1) (a) of Regulation (EC) No 178/2002<sup>2</sup>, the Commission asks EFSA for a scientific opinion on the risks for human health related to the presence of *N*-nitrosamines in food.

### 1.2. Interpretation of the terms of reference

The EFSA Panel on Contaminants and in the Food Chain (CONTAM) will assess the risk to public health related to the presence of *N*-NAs as contaminants in food matrices prior to consumption.

The risks for public health related to the presence of *N*-nitrosamines endogenously generated from amines and nitrates/nitrites provided by food intake, are not in the scope of the document.

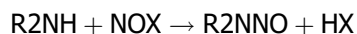
The EFSA CONTAM Panel agreed that this opinion should answer the questions defined in the protocol annexed (Annex A).

### 1.3. Supporting information for the assessment

#### 1.3.1. Chemistry

*N*-Nitroso compounds are a group of chemical compounds considered to be causally involved in the development of cancer in humans and animals, as first proposed by Barnes and Magee (1954). They can be divided into two classes: *N*-nitrosamines (*N*-NAs) and *N*-nitrosamides and related compounds, such as *N*-nitrosoureas, *N*-nitrosocarbamates, *N*-nitrosoguanidines (Fernández-Alba and Agüera, 2005). From the literature, there is no sufficient evidence of the actual presence of *N*-nitroso compounds other than *N*-NAs in food.

*N*-NAs can be found in food as the consequence of a reaction between nitrosating agents (NOX) with amino-based substances (R<sub>2</sub>NH):



The nitrosating agents commonly present in food are nitrite salts or nitrogen oxides.

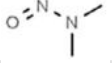
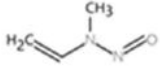
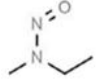
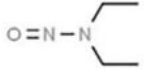
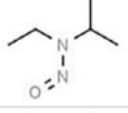
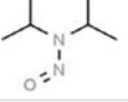

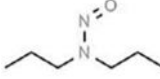
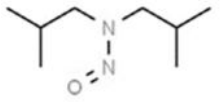
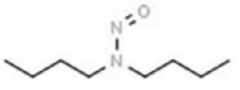
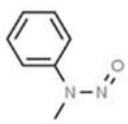
Table 1 lists all *N*-NAs investigated for their presence in food. So far, the actual presence of quantifiable amounts in food has been demonstrated for a limited number of these compounds (Fiddler et al., 1998; Lijinsky, 1999; Stuff et al., 2009; Campillo et al., 2011; Hermmann et al., 2015a,b; Scheeren et al., 2015; Beard and Swager, 2021; Dong et al., 2020).

The identified *N*-NAs have been grouped into two primary classes, acyclic and cyclic, according to their structure. In addition, since volatility of *N*-NAs is a characteristic often reported in the literature,

<sup>2</sup> Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety. OJ L 31, 1.2.2002, pp. 1–24.

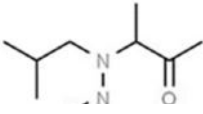
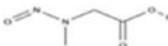
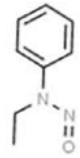
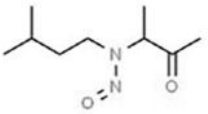
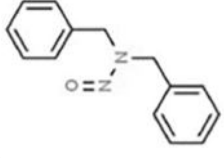
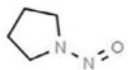
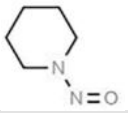
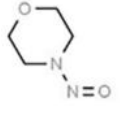
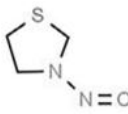
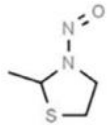
they were further subdivided to volatile and non-volatile based on the threshold of boiling point (BP) = 250°C suggested by the European Union (EU) Solvents Emissions Directive<sup>3</sup> relative to volatile organic chemicals (VOC).<sup>4</sup> This division, beyond facilitating the reading of the text, may also provide some useful information, following the completion of the assessment, on structure–activity relationships.

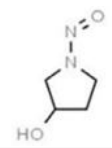
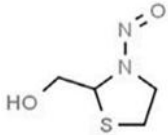
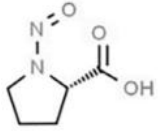
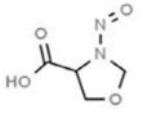
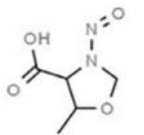
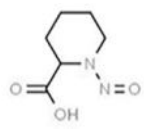
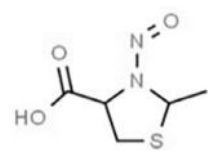
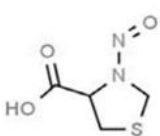
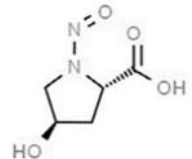
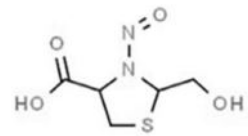
**Table 1:** N-NAs analysed in food

#	N-NA	Acronym	CAS no.	Structure	Volatility boiling point (°C)
<b>ACYCLIC N-NAs</b>					
<b>Volatile</b>					
1.	<i>N</i> -nitrosodimethylamine	NDMA	62-75-9		156
2.	<i>N</i> -Nitrosomethylvinylamine	NMVA	4549-40-0		176
3.	<i>N</i> -nitrosomethylethylamine	NMEA	10595-95-6		178
4.	<i>N</i> -nitrosodiethylamine	NDEA	55-18-5		198
5.	<i>N</i> -nitrosoethylisopropylamine	NEIPA	16339-041		206
6.	<i>N</i> -nitrosodiisopropylamine	NDIPA	601-77-4		214
7.	<i>N</i> -nitrosomethylbutylamine	NMBA	4549-40-0		218
8.	<i>N</i> -nitrosodipropylamine	NDPA	621-64-7		236
<b>Non-volatile</b>					
9.	<i>N</i> -nitrosodiisobutylamine	NDIBA	997-95-5		251
10.	<i>N</i> -nitrosodibutylamine	NDBA	924-16-3		271
11.	<i>N</i> -nitrosomethylaniline	NMA	614-00-6		275

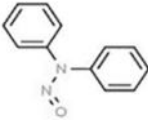
<sup>3</sup> Council Directive 1999/13/EC of 11 March 1999 on the limitation of emissions of volatile organic compounds due to the use of organic solvents in certain activities and installations. OJ L 85, 29.3.1999, pp. 1–22 [no longer in force].

<sup>4</sup> The directive defines a volatile organic chemical as 'any organic compound having an initial boiling point less than or equal to 250°C (482°F) measured at a standard atmospheric pressure of 101.3 kPa.' [https://en.wikipedia.org/wiki/Volatile\\_organic\\_compound#European\\_Union](https://en.wikipedia.org/wiki/Volatile_organic_compound#European_Union)

#	N-NA	Acronym	CAS no.	Structure	Volatility boiling point (°C)
12.	<i>N</i> -nitroso- <i>N</i> -(1-methylacetyl)-2-methylpropylamine	NMAMPA	93755-83-0		283
13.	<i>N</i> -nitrososarcosine	NSAR	13256-22-9		289
14.	<i>N</i> -nitrosoethylaniline	NEA	612-64-6		291
15.	<i>N</i> -nitroso- <i>N</i> -(1-methylacetyl)-3-methylbutylamine	NMAMBA	5336-53-8		298
16.	<i>N</i> -nitrosodibenzylamine	NDBzA	5336-53-8		382
<b>CYCLIC N-NAs</b>					
<b>Volatile</b>					
17.	<i>N</i> -nitrosopyrrolidine	NPYR	930-55-2		209
18.	<i>N</i> -nitrosopiperidine	NPIP	100-75-4		230
19.	<i>N</i> -nitrosomorpholine	NMOR	59-89-2		235
20.	<i>N</i> -nitrosothiazolidine	NTHZ	73870-33-4		242
<b>Non-volatile</b>					
21.	<i>N</i> -nitroso-2-methylthiazolidine	NMTHZ	73870-33-4		255

#	N-NA	Acronym	CAS no.	Structure	Volatility boiling point (°C)
22.	<i>N</i> -nitroso-3-hydroxypyrrolidine	NHPYR	56222-35-6		266
23.	<i>N</i> -nitroso-2-hydroxymethylthiazolidine	NHMTMZ	92134-93-5		313
24.	<i>N</i> -nitrosoproline	NPRO	7519-36-0		321
25.	<i>N</i> -nitrosooxazolidine-4-carboxylic acid	NOCA	95326-10-6		325
26.	<i>N</i> -nitroso-5-methyloxazolidine-4-carboxylic acid	NMOCA	95326-11-7		334
27.	<i>N</i> -nitrosopipercolic acid	NPIC	4515-18-8		335
28.	<i>N</i> -nitroso-2-methylthiazolidine-4-carboxylic acid	NMTCA	103659-08-1		351
29.	<i>N</i> -nitroso-thiazolidine-4-carboxylic acid	NTCA	88381-44-6		343
30.	<i>N</i> -nitrosohydroxyproline	NHPRO	30310-80-6		359
31.	<i>N</i> -nitroso-2-hydroxymethylthiazolidine-4-carboxylic acid	NHMTCA	99452-46-7		395



#	N-NA	Acronym	CAS no.	Structure	Volatility boiling point (°C)
<b>Other N-NAs</b>					
<b>Aromatic<sup>(a)</sup> (non-volatile)</b>					
32.	<i>N</i> -nitrosodiphenylamine	NDPheA	86-30-6		359

(a): Aromatic *N*-NAs are characterised by aromatic ring(s) directly attached to the *N*-nitroso functional group.

Another *N*-NA, *N*-nitrosomethylbenzylamine (NMBZA), was reported by Lijinsky (1999) and Jenkins et al. (1999) as a possible food contaminant. However, there is no reliable information related to its quantification in food. *N*-nitrosofolic acid was detected in medicines (Documentation provided to EFSA no 1); however, its presence in food, food supplements or food additives containing folic acid is not documented.

Consequently, NMBZA and *N*-nitrosofolic acid have not been taken into consideration in this opinion.

### 1.3.2. Analytical methods

The first analytical approach for the determination of *N*-NAs in food was based on the characteristic of these compounds to release nitric oxide (NO) after thermal cleavage. The final detection was obtained by using a thermal energy analyser (TEA), which can measure the light emission of nitrogen dioxide formed after oxidation of nitric oxide (obtained using ozone), in the near infrared region (Fine et al., 1975). This approach can lead to the overestimation of *N*-NAs due to the co-detection of other compounds which generate nitric oxide, so TEA detection was coupled to both liquid and gas chromatographic separation (Sen et al., 1982).

Many *N*-NAs detected in food, such as NDMA, NDEA and NDPA, are characterised by volatility. Consequently, gas chromatography was used extensively for this type of analytical determination. Besides TEA, other types of detection, such as the nitrogen phosphorus detector (NPD), reductive Hall detector and flame ionisation detector (FID), as well as nitrogen chemiluminescence detection and mass spectrometry have been proposed (United States Environmental Protection Agency, 1996; Ozel et al., 2010; Al-Kaseem et al., 2014).

Regarding non-volatile *N*-NAs, some analytical approaches have used high-performance liquid chromatography (HPLC) with UV, fluorescence and mass spectrometry detection. All these techniques have also been applied for the simultaneous determination of volatile and non-volatile *N*-NAs in food and other matrices (Komarova and Velikanov, 2001; Wang et al., 2006; Avasilcai et al., 2014; Li et al., 2018; Iammarino et al., 2020).

Considering the high sensitivity and selectivity, and the capability of detecting both volatile and non-volatile *N*-NAs, liquid chromatography-mass spectrometry can be considered a comprehensive technique for this type of analytical determination. Recent studies on the determination of *N*-NAs in food, published in the last decade, are summarised in Table 2.

**Table 2:** Current analytical methods used for the determination of N-NAs in food

Analytical method <sup>(a)</sup>	Sample preparation	N-NAs analysed for their presence in food <sup>(b)</sup>	Limits of detection (µg/kg)	Application field	Reference
GC-PCI-MS/MS	SLLLE and SPE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR	0.10–0.25	Rice soup, apple juice, corn oil, 20% alcohol matrix	Seo et al. (2016)
GC/MS	QuEChERS	NDMA, NDEA, NDBA, NPIP, NPYR, NDPA	0.4–0.9	Soy sauce	Zeng et al. (2016)
HPLC-UV	TLPE-PHWE-DLLME	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NDPheA	0.08–0.55	Salted duck eggs	Li et al. (2018)
GCxGC/NCD	Two-step SPE	NDMA, NDEA, NDBA, NPIP, NPYR, NDPA	1.66–3.86	Meat products	Ozel et al. (2010)
HPLC/UV-DAD	AEPSE	NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NDPheA, NMA, NDBzA	20.1–111.6	Meat products	Iammarino et al. (2020)
LC-(APCI/ESI) MS/MS	SLE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NMA, NSAR, NPRO, NTCA, NMTCA	0.2–1.0	Meat products	Herrmann et al. (2014, 2015a,b)
GC/MS	HS-SPME	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NDPheA	< 3.6	Meat products	Roasa et al. (2019)
GC-CI/MS	SLE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NDPheA	0.15–0.37	Meat products	Scheeren et al. (2015)
GC-PCI-MS/MS	Two-step SPE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR	0.10–0.30	Vegetables, beverages, fish, meat and milk products, oils and fats, sauces and seasonings	Park et al. (2015)
LC/MS	SLE	NDEA, NTCA, NMTCA	NA	Meat products	Cintya et al. (2019)
GLC/MS	MAE-DLLME	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NDPA	0.1–0.5	Meat products	Amelin and Lavrukhin (2016)
HPLC-UV	SLE	NDMA, NDEA, NPIP, NPYR, NMOR	0.17–0.40	Seafood	Bhangare et al. (2016)
GC/MS	MAE-DLLME	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NDPheA	0.003–0.014	Meat products	Campillo et al. (2011)
GC/FID GC/MS	SWE and SMC	NDEA, NPIP, NPYR, NMOR	0.47–1.48	Meat products	Chienthavorn et al. (2014)
GC/MS	HSSPME	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NDPA	0.6–52.4	Drinking water and beer	Fan and Lin (2018)
GC/CI-MS	MAE and D-µ-SPE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NDPA	0.03–0.36	Meat products	Huang et al. (2013)
GC/MS/MS	QuEChERS	NDMA, NDEA, NDBA, NPIP, NPYR	0.1	Meat products	Lehotay et al. (2015)
GC-MS	HSSPME	NDMA, NDEA, NDBA, NPIP	0.12–0.35	Red wine	Lona-Ramírez et al. (2016)

Analytical method <sup>(a)</sup>	Sample preparation	N-NAs analysed for their presence in food <sup>(b)</sup>	Limits of detection (µg/kg)	Application field	Reference
HPLC/FLD	DLLME	NDMA, NDEA, NDBA, NPYR, NDPA	0.01–0.07	Meat, fish and egg products and beer	Lu et al. (2017)
GC/MS/MS	QuEChERS	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NDPheA	0.01–0.10	Salted fish	Qiu et al. (2017)
GC/MS	DLLME	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NDPheA	0.11–0.48	Meat products	Ramezani et al. (2015)
GC/MS	HSSPME	NDMA, NDEA, NDBA, NPIP, NPYR	0.21 (NDBA)	Tequila	Ramírez-Guizar et al. (2020)
GC-CI/MS/MS	SLE and SPE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NDPheA	0.3–0.4	Meat products	Sannino and Bolzoni (2013)
GC/MS/MS	SPE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA	0.05–0.10	Beef jerky	Wang et al. (2018)
GC/MS/MS	IBASLE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NMOR, NDPA, NDPheA, NMA, NEA, NDBzA	0.1–0.5	Yellow rice wine and beer	Xian et al. (2019)
GC/MS	QuEChERS	NDMA, NDEA, NDBA, NPIP, NPYR, NDPA,	0.4–0.9	Soy sauce	Zeng et al. (2016)
GC/MS	USLE and SPE	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NDPA, NDPheA	0.057–0.495	Meat products	Yuan et al. (2015)
GC/MS	QuEChERS	NDMA, NMEA, NDEA, NDBA, NPIP, NPYR, NDPA	0.02–0.15	Salted vegetables	Zhang et al. (2019)
HPLC/FLD	SLE-DEN-DER	NDEA, NDBA, NPYR, NDPA	1.3–2.5	Salted cucumber, meats and seafood, eggs and marinated garlic	Zhao et al. (2016)

(a): AEPSE: anionic exchange polymeric sorbent extraction; APCI: atmospheric pressure chemical ionisation; CI: chemical ionisation; DEN: denitrosation; DER: derivatisation; DLLME: dispersive liquid-liquid micro-extraction; D-µ-SPE: dispersive micro solid-phase extraction; FID: flame ionisation detector; FLD: fluorescence detection; HSSPME: head space solid-phase micro-extraction; IBASLE: ice bath-assisted solid liquid extraction; LLE: liquid-liquid extraction; MAE: microwave-assisted extraction; NCD: nitrogen chemiluminescence detection; PCI: positive chemical ionisation; PHWE: pressurised hot water extraction; SLE: solid/liquid extraction; SLL: solid-supported liquid-liquid extraction; SMC: silica monolith capillary; SPE: solid-phase extraction; SWE: superheated water extraction; TLPE: tandem liquid-phase extraction; USLE: ultrasonic solid liquid extraction. NA: not available.

(b): Studies from 2010 to the present.

The analytical method proposed by Hermmann et al. (2014) allows the simultaneous determination of both volatile and non-volatile *N*-NAs, representing the most efficient approach for this type of analytical determination in meat products. The method combines high selectivity with high specificity. Regarding the application field (matrix), the most effective method seems to be that proposed by Park et al. (2015), applicable to the most important types of food, such as vegetables, meat and milk products, fish, beverages and fats. This method is applicable only to *N*-NAs detectable by gas chromatography; taking into account the available literature, it allows the determination of the most significant *N*-NAs in food. Due to the low concentrations of *N*-NAs in food, method sensitivity can be considered as a very important analytical parameter. As appreciable from Table 2, the detection limits of the available analytical methods are highly variable, e.g. from 0.003 to 20.1 µg/kg for meat product analysis. The number and type of *N*-NAs detectable are also variable, e.g. from 3 to 13 compounds in meat products.

### 1.3.3. Sources of N-NAs in food

Based on the literature, **cured meats** often contain detectable levels of N-NAs mainly due to the use of nitrite as a preserving agent and are affected by several additional factors, such as temperature, pH, processing conditions (i.e. raw material and storage) and the presence of free amines, particularly biogenic amines (EFSA ANS Panel, 2017b). In this regard, a comprehensive review of N-NA presence in meat is available from Lee (2019). N-NAs, such as NDBzA, NDMA, NDEA, NPIP, NPYR, NDBA, NMOR and NTHZ, are formed by a reaction between secondary and tertiary aliphatic amines, amides or alkylureas and nitrosating agents (CEPA, 1999; IARC, 2010a,b; Mestankova et al., 2014; Kobayashi, 2018; USDA, 2018; Molognoni et al., 2019). The reaction between nitrosating agents and primary amines leads to the formation of diazocompounds (Hill, 1988), and the corresponding alcohol (Zhao et al., 2007). Tertiary amines, after N-nitrosation, are deprived of one group (nitrosative dealkylation) becoming the N-nitroso derivative of a secondary amine.

Besides meat products, the occurrence of N-NAs has also been reported in other foods, such as beer, cured fish, cheese and soy sauce among others.

In **beer**, the presence of NDMA is due to the occurrence of two amines (hordenine and dimethylamine) in germinated barley. During the malting process, these two precursors may react with  $N_2O_3$  and  $N_2O_4$  from air passed through the malt during kilning (Wainwright, 1986b) to form NDMA. Water can also be a potential source of NDMA in beer as well as other beverages. This N-NA was identified as a potential disinfection by-product, so that traces can be found in the water used for production (Baxter et al., 2007). The levels of NDMA detected in beer in the past could vary from 0.1 (Tricker and Preussmann, 1991; Izquierdo-Pulido et al., 1996) to 0.3  $\mu\text{g/L}$  (Kubacki et al., 1989). Other than NDMA, Tricker and Preussmann (1991) also detected NPRO in the concentration range of 0.5–3.6  $\mu\text{g/L}$ . Fan and Lin (2018) detected low levels of other N-NAs in beer, such as NDMA, NMEA, NDEA, NDPA, NPIP, NPYR and NDBA. These authors concluded that the levels of NDEA, NDPA and NPIP in beer are similar to those of NDMA. However, there have been no specific studies about the mechanism of formation and possible precursors of these N-NAs in beer.

The presence of N-NAs in **processed fish** was attributed to nitrate addition during processing and/or its natural presence in this matrix. The first reports about the presence of N-NAs in processed fish date back to the early 1970s. Fish are rich in secondary and tertiary amines and nitrite/nitrate may be present in the salt used in pickling treatment. These factors, together with possible microbial contamination may lead to N-NA (mainly NDMA) formation at levels up to 388  $\mu\text{g/kg}$  (IARC, 1993). Pedersen and Meyland (1981) also linked the use of nitrate as a food additive in pickled herring, to the possible formation of NDMA. In their study, these authors detected NDMA in several samples at concentrations of up to 2.2  $\mu\text{g/kg}$ . In this context, the paper published by Iammarino et al. (2013) is worthy of mention, as these authors detected nitrate as an endogenous compound in fish at up to 205.3 mg/kg.

The first evidence of N-NAs in **cheese** dates back to the late 1970s, when Klein et al. (1978) detected quantifiable amounts of NDMA, NDEA and NPYR in different types of cheese. Nitrate-based additives are allowed in cheese production by the current European Legislation,<sup>5</sup> at up to 150 mg/kg in hard, semi-hard and semi-soft ripened cheese and related whey cheese to prevent bloating during ripening. Given the large availability of secondary and tertiary amines in these products, especially ripened cheese, the formation of N-NAs is possible, particularly if sodium or potassium nitrate is added to cheese milk (Glória et al., 1997). A positive correlation was observed by these authors between the level of nitrate added to cheese milk and the levels of NDMA and NDEA in gruyere cheese. However, there is no full agreement about this topic. Śmiechowska et al. (1994) reported that the formation of N-NAs in Zulaw, Gouda and Edam cheeses is occasional and not related to the  $\text{KNO}_3$  additive to milk but rather to the degree of cheese ripeness. The main precursors of N-NA formation in cheese are N-nitrosatable amines, such as morpholine, dimethylamine, trimethylamine, pyrrolidine, piperidine and some biogenic amines (i.e. tyramine, histamine and tryptamine). Also, tryptophan derivatives (e.g. N-acetyl dipeptide) can react with nitrite to give nitroso-compounds in which the nitroso-group is substituted at the N-1 of the indole. The best pH for nitrosation in cheese is 3.4. Aliphatic N-NAs (NDMA, NDEA, NDPA and NDBA) are mainly found in cheese and milk-derived products (Gray et al., 1979). NPIP was also detected by Mavelle et al. (1991) at levels up to 2.6  $\mu\text{g/kg}$ , while Stuff et al. (2009) reported about the possible presence of NMOR and NTCA in margarine/butter and

<sup>5</sup> Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives. OJ L 354, 31.12.2008, pp. 16–33.

cottage cheese, respectively. The correlation between added nitrate and *N*-NA levels has not been demonstrated and quantifiable levels of *N*-NAs may also be found in cheese with no nitrate added (Gray et al., 1979; Bouchikhi et al., 1999; Renner, 2012). Indeed, nitrate is also an endogenous component of cheese, up to levels of 58.6 mg/kg, and the reduction to nitrite may occur by the action of the enzyme xanthine oxidase (Gray et al., 1979; Iammarino et al., 2013). Moreover, Klein et al. (1980) attributed the presence of NDMA at  $\leq 20$   $\mu\text{g}/\text{kg}$  in cheese to the activity of micromycetes strains (i.e. *Penicillium camemberti*) used as fermentation agents and/or microorganisms in general at pH between 2 and 4.5 (Klein et al., 1978). The same authors also reported that *N*-NAs levels may increase during ripening. Dellisanti et al. (1996) monitored the *N*-NA levels in selected Italian cheeses with interesting findings, such as the presence of formaldehyde as a nitrosation catalyst in some cheese types. NDMA, NDPA and NDBA were the *N*-NAs found in some samples. However, given the limited number and type of samples analysed in this study, no significant conclusions were drawn.

The presence of *N*-NAs (mainly NDMA) in **soy sauce** has been reported by various authors (Song and Hu, 1988; Park et al., 2015). Kim et al. (1985) and Sung et al. (1991) attributed levels of NDMA of  $\leq 261.34$   $\mu\text{g}/\text{kg}$  in these products to tap water used during production and probably containing high nitrate levels. To date, this seems to be the main cause of *N*-NA residues in soy sauce. The generation of *N*-NAs from heat-induced reactions between D-glucose and L-amino acids was proposed by Devik (1967) and by Heyns and Koch (1970). However, this proposal was not confirmed by Kawabata and Shyazuki (1972), who studied the presence of *N*-NAs in brown-coloured foods, comprising soy sauce. These authors found no evidence for the presence of *N*-NAs, hypothesising a misidentification of non-enzymatic browning products in the previous studies.

The possible presence of *N*-NAs (mainly NDMA, NDEA and NPYR) in **vegetables**, especially leafy vegetables, and pickled/salted vegetables, is attributed to high levels of nitrate, low pH, the possible presence of not negligible amounts of nitrite and significant concentrations of aryl/alkyl- and indolyl-glucosinolates (Tiedink, 1988; 1991; Song and Hu, 1988; Iammarino et al., 2014; Hamlet and Liang, 2017). Another mechanism of formation was reported by Li et al. (1986) which proved that some species of fungi, namely *Fusarium Moniliforme*, can reduce nitrate to nitrite and increase the amount of secondary amines in vegetables. These authors detected quantifiable amounts of NDMA, MDEA and NMAMBA.

The presence of NDMA and NDEA, in the range of 0.6–8.7  $\mu\text{g}/\text{kg}$ , was detected in **potatoes** after different types of cooking, due to the high level of nitrate in the raw material (Qajarbeygi et al., 2015).

The possible contamination of **water** is the only explanation for the presence of *N*-NAs in non-alcoholic beverages (NDMA and NDEA) (Jawad, 2012), alcoholic beverages other than beer (NDMA, NPYR and NMOR) (Park et al., 2015) and fermented beverages (NDMA and NPYR) (Kawabata et al., 1980).

Regarding **oils and fats**, soybean oil was the most investigated product, and the presence of NDMA and NDEA of up to 28  $\mu\text{g}/\text{kg}$  was ascertained (Fiddler et al., 1981). Some articles reported the possible presence of low levels of NDMA and NDEA in oil (Hedler et al., 1979; Fiddler et al., 1981). This result was confirmed by Yurchenko and Mölder in 2006. However, there are no articles describing the mechanism of formation of such *N*-NAs in oil.

The presence of *N*-NAs in **human milk** deserves a separate discussion. Indeed, not negligible levels of different *N*-NAs can be found in human milk. Lakritz and Pensabene (1984) detected concentrations of NDMA of up to 17.1  $\mu\text{g}/\text{kg}$  in human milk collected from lactating women after consumption of nitrate-rich foods such as bacon, beetroot and spinach. Conversely, Sen et al. (1984) verified the presence of NDMA, NDEA, NDBA, NPIP and NMOR in human milk, as the result of migration from rubber products, also at high levels, corresponding to 2,796  $\mu\text{g}/\text{kg}$  (NDBA). Another study reported of 54 milk samples collected from healthy nursing Estonian women analysed for volatile *N*-NAs that two samples contained NDMA at 1.1 and 1.2  $\mu\text{g}/\text{L}$ , respectively (Uibu et al., 1996).

### 1.3.4. Effect of processing on the residual concentration of *N*-NAs in food and drinking water

#### 1.3.4.1. Food

The possible role of different heat treatments during processing as well as cooking methods before consumption on the presence and levels of *N*-NAs in food was investigated in detail. Due to the marked volatility of several *N*-NAs, high temperature can lead to a decrease of *N*-NAs in the final product. However, some reactions of *N*-NA formation are accelerated and favoured by high temperatures.



In meat, pasteurisation during processing inhibits the generation of NDMA and NDEA (Rywotycki, 2002). An increase of NPIP and a decrease of NMTCA and NMTHZ concentrations after heat treatment were verified in meat products by Hermmann et al. (2015a). The same authors reported the effect of high temperature on NPRO, NDMA, NPYR, NDEA and NDMA concentrations, demonstrating that the final residue concentrations are dependent on the type of product and heat treatment. Yuan et al. (2015) analysed different meat products in China, verifying the highest level of volatile *N*-NAs (NDMA, NMEA, NDEA, NPYR, NMOR, NPIP, NDBA and NDPheA) in grilled and smoked sausage, probably due to the heat treatment. Different cooking methods (boiling, pan-frying, deep-frying and microwave) were tested by Li et al. (2012) in order to verify the effect on the residual amount of NDMA, NDEA and NPYR. The authors reported that the cooking method affects the residual level of *N*-NAs. In particular, frying treatments led to an increase in *N*-NAs and concurrent decrease of biogenic amine levels, while boiling and microwave treatments had no significant effect on the final concentration of *N*-NAs.

Yurchenko and Mölder (2007), Li et al. (2012), Kocak et al. (2012) and Mirzazadeh et al. (2021) studied the effect of boiling, pan- and deep-frying, grilling, smoking and microwaving on the levels of different *N*-NAs, comprising NDMA, NDEA, NPYR, NPIP and NDBA, in raw meat, dry-cured sausages and smoked sausages. The authors reported that cooking by grilling and pan- or deep-frying resulted in the highest *N*-NA levels, compared to other heat treatments. In particular, Yurchenko and Mölder (2007), who studied the formation of *N*-NAs in poultry and pork meat after grilling and frying, verified the increase of NDMA, NDEA, NPYR, NPIP and NDBA mean levels from non-detected in raw meat to 1.5 µg/kg (NDMA), 0.7 µg/kg (NDEA), 15.2 µg/kg (NPYR), 1.7 µg/kg (NPIP) and 0.3 µg/kg (NDBA) after cooking. The increase was not substantially different after different types of cooking and by analysing poultry or pork meat. Similar results were obtained by Chen et al. (1991), who cooked bacon samples using a microwave oven and containers of different materials (Teflon-coated frying pan, transparent and susceptor packages). The authors reported that the use of transparent or susceptor packaging allows the levels of NPYR and NDMA to be decreased, with high levels of NPYR in susceptors compared to transparent packaging. Regarding cooking treatments, the most significant result available from the literature is the possible formation of *N*-NAs also in meat products with no added nitrite/nitrate (i.e. raw mutton). The possible presence of these low levels of *N*-NAs in these products after cooking could be due to the concurrent presence of free amines and low endogenous concentrations of nitrate. Indeed, 'natural' levels of nitrate up to almost 40 mg/kg in fresh meat have been reported in various studies (EFSA ANS Panel, 2017a,b; Iammarino and Di Taranto, 2012; Iammarino et al., 2013). The high temperature could somehow trigger the reaction. However, further studies are needed to better understand the mechanism of reaction. Moreover, some articles reported that the use of some spices, such as paprika, can increase the level of some *N*-NAs after cooking (Yurchenko and Mölder, 2007). In this study, the *N*-NA increase was attributed to the presence of a high level of NPYR precursors in paprika (Huxel et al., 1974). Also in this case, novel studies are needed to better understand the topic.

Two tertiary amine alkaloids, namely hordenine and gramine, are the most important precursors of *N*-NAs in beer. These compounds, produced during malt germination, can be nitrosated resulting in the formation of NDMA (Davidek, 2017). During malting and brewing, the nitrosation of proline can also occur when the temperature reaches 100°C. NPYR and most of NDMA are lost during heat treatments, but high temperatures also produce NPYR by nitrosation of proline peptides and amides at pH 3–4.62, and NDMA from NSAR above 80°C. Moreover, lecithin can produce NDMA, NDEA and NDPA after heating with nitrite in solution and additional NDMA can be generated from nitrosohordenine decomposition after heating (Wainwright, 1986a).

Regarding heat treatments linked to *N*-NA formation in fish, such as frying, broiling or steaming, NDMA, NDEA, NDPA, NMOR and NDBA were detected at up to 1.4 µg/kg (IARC, 1993). Another study by Iyengar et al. (1976) reported NDMA levels in the range of 4–6 µg/kg, detected in smoked caplin, while, after baking or frying, NDEA was also detected in fish samples such as halibut and scallops. In these samples, the presence of nitrate in the range of 8–94 mg/kg was also ascertained. Yurchenko and Mölder (2006) investigated the effect of different cooking treatments on the formation of NDMA, NDEA, NPYR, NPIP and NDBA. In this study, the authors confirmed the absence of *N*-NAs in fresh fish, and their possible detection after smoking treatment and cooking by frying. If compared to smoking treatment, the levels of all *N*-NAs were slightly greater after frying, probably due to the higher temperature of processing. Quantifiable concentrations of *N*-NAs were also detected in pickled and salted/dried fish. In these last cases, the presence of *N*-NAs can be due to different sources. The long storage with consequent matrix proteolysis causes the release of considerable amounts of free amines.

In pickled products, the acidic conditions can cause the reduction of endogenous nitrate to nitrite. Moreover, some processed fish products can be added with food additives.

Quantifiable levels of NDMA of (up to)  $\leq 5.3 \mu\text{g}/\text{kg}$  were detected in milk, in particular non-fat dry milk, as a consequence of direct-fire processing used in production (Scanlan et al., 1994). The presence of this *N*-NA at  $\leq 0.6 \mu\text{g}/\text{kg}$  was also ascertained in pasteurised, evaporated and sweetened condensed milk, while the presence of *N*-NAs in raw milk is considered unlikely (Lakritz and Pensabene, 1981). In their study, Havery et al. (1982) also detected NPYR and NPIP, other than NDMA, in non-fat dry milk, at concentrations not higher than  $0.1 \mu\text{g}/\text{kg}$ . Finally, Weston (1983) quantified NDMA in milk proteins (casein and lactalbumin) obtained from both direct and indirect drying, at very low levels of  $\leq 0.3 \mu\text{g}/\text{kg}$ , while no *N*-NAs were detected in raw materials, confirming that this type of processing can lead to formation of *N*-NAs.

Qajarbeygi et al. (2015) studied the effect of deep and superficial frying and boiling in distilled and drinking water on the residual amount of NDMA and NDEA in potatoes. The authors ascertained that frying, especially superficial frying, may increase the levels of NDMA and NDEA up to 5.1 and 8.7  $\mu\text{g}/\text{kg}$ , respectively. This finding was explained by the high nitrate levels in potatoes.

Several studies available in the literature are focused on the use of nitrite in meat and its correlation with *N*-NA formation. For instance, Shahidi et al. (1994) reported on the absence of *N*-NAs in cooked pork with or without the addition of nitrite and its presence at low levels in cod and products obtained by mixing pork and cod and cod surimi up to 50%, when nitrite was added. Herrmann et al. (2015b) studied the formation and mitigation of *N*-NAs in meat products, verifying a positive correlation between the levels of NPIP, NHPRO, NPRO, NTCA and NMTCa and the amount of nitrite added to pork sausages (up to 350 mg/kg), after pan-frying (reached temperature of sausage: 100°C). The authors also reported that the levels of NDMA and NPYR remained below the limit of quantification (LOQ). Fiddler et al. (1996) quantified the concentration of NDBzA in ham when processed in elastic nets made with rubber. The authors verified the increase of this *N*-NA with netting contact time and the nitrite amount added to the outer surface. No significant effect on the formation of *N*-NAs in chicken salami was observed by Shahidi et al. (1995) when adding mechanically separated seal meat and seal protein hydrolysate. The only slight effect was observed after frying, when the content of NDMA increased from 0.29 to 0.79 ppb. De Mey et al. (2014) studied the formation of NDMA, NDEA, NDBA, NPIP, NPYR and NMOR in dry fermented sausages after the addition of nitrite, cadaverine and piperidine. The most significant results were obtained when 150 ppm of nitrite and 100 ppm of piperidine were added to the samples. After storage, about 50% of these samples were characterised by quantifiable levels of NPIP (highest concentration  $\approx 15$  ppb), while other *N*-NAs were not detected. Drabik-Markiewicz et al. (2010, 2011) studied the influence of biogenic amines (putrescine, cadaverine, spermidine and spermine) and other nitrogen-containing compounds (proline, hydroxyproline and pyrrolidine) on the *N*-NA formation in heated cured lean meat, both in the presence and absence of nitrite and at different processing temperatures. The authors reported that the levels of *N*-NAs, especially NDMA and NPIP, increased at high processing temperatures and with a high amount of nitrite (much higher than the maximum permitted levels for nitrites as food additives). Spermidine, putrescine, proline and pyrrolidine might amplify the formation of NDMA and NPYR.

Moreover, a recent concern in food safety is the illegal treatment of tuna with high amounts of nitrite to obtain a significant improvement in appearance (European Commission, 2017). Taking into account the high levels of amines (particularly biogenic amines) in these products (Lo Magro et al., 2020), the possible formation of *N*-NAs should be considered.

In summary, most studies about the effect of processing on *N*-NA formation were focused on meat products with some significant findings also in fish. The *N*-NAs most frequently detected were NDMA, NDEA, NPYR and NPIP. The highest formation of these *N*-NAs was verified when the products were treated by using high temperatures such as in grilling or frying. Thus, the formation of most important *N*-NAs in meat and fish products seems related to the heat treatment temperature.

#### 1.3.4.2. Drinking water

*N*-NAs can also be present at trace levels in drinking water. They represent disinfection by-products that form when water is treated with certain disinfectants, such as chloramine, sodium hypochlorite, among others. The levels of *N*-NAs in drinking water depend on water pollution with nitrogen-containing waste such as run-off from animal-feeding operations, wastewater treatment plants, etc. (WHO, 2008). The WHO (2008) listed other possible sources of *N*-NAs in drinking water than by-products of water treatment plants, generated from chlorination and/or anion-exchange process. These contaminants can be derived from the environment, particularly dimethylhydrazine degradation,

emissions from diesel vehicle exhaust, alkylamines reaction with nitrate or nitrite, sewage sludge application to soils rich in nitrate or nitrite and use of certain contaminated pesticides.

The reaction between dichloramine and amine precursors is considered the prevalent mechanism of formation of NDMA which is the most studied *N*-NA in drinking water. However, it accounts for only 5% of all *N*-NAs present in chloraminated drinking water (Krasner et al., 2013). This means that further research is needed to better characterise the *N*-NA profile of drinking water.

Among the few studies available, Fan and Lin (2018) detected seven *N*-NAs in drinking water: NMEA, NDEA, NDMA, NDPA, NPYR, NPIP and NDBA. If compared with the levels usually detected in food, the concentrations of *N*-NAs in drinking water are much lower. For instance, the highest concentration detected by Luo et al. (2012) in China was related to NDMA and equal to 13.3 ng/L, where the authors also detected NMOR and NDPhA. In Spain, Farré et al. (2020) detected no more than 4.2 ng/L of NDMA in final treated water samples. Higher *N*-NA levels, up to 42.6 ng/L of NDPA and 59.1 ng/L of NPYR, were detected by Chen et al. (2019) in water collected from two different water treatment plants in China.

### 1.3.5. Mitigation measures to reduce the N-NAs concentration in food and drinking water

Another important chemical aspect in the mechanisms of *N*-NA formation is the possible inhibition obtained by adding some compounds to the product formulation. The possible use of ascorbates and erythorbates for NDMA mitigation in meat was first proposed by Fiddler et al. (1973). This effect was confirmed in many subsequent studies (Sen et al., 1976; Kalus and Filby, 1980; Mirvish, 1981; Tannenbaum et al., 1991; Özbay and Şireli, 2022), becoming a treatment that has been required by the USDA since the early 1980s (McCutcheon, 1984). In Europe, both ascorbic acid/ascorbates (E300–E302) and erythorbic acid/sodium erythorbate (E315–E316) are listed in the food additives list of Regulation (EC) No 1333/2008<sup>6</sup>. Indeed, their addition is common in processed meats with added nitrite/nitrate. The use of these compounds accelerates the chemical conversion of nitrite to nitric oxide, inhibiting the nitrosamine formation (Archer et al., 1975; JECFA, 2017). However, nitric oxide could promote other types of reactions e.g. nitrosylation and formation of potentially toxic compounds (Kostka, 2020). Hermmann et al. (2015b) reported up to 75% reduction of NHPRO, NPRO, NPIP and NTCA in meat products with added erythorbic acid. In the same study, the authors verified a slight decrease of NPIP, NSAR and NPYR levels in meat products supplemented with haem (e.g. ~ 28% for NPIP), due to increased competition for the nitrosating agents because more nitric oxide was bound to haem. Moreover, the authors specified that the addition of Fe(III) (added both as haem iron in the form of myoglobin from equine heart and free iron as Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> • H<sub>2</sub>O) annulled the inhibiting effect of erythorbic acid, especially for NTCA and NMTCA.

The possibility of exploiting the antioxidant activity of polyphenols (mainly propyl gallate) for reducing the residual level of *N*-NAs was first proposed in the late 1970s (Sen et al., 1976; Mergens and Newmark, 1980). These compounds can also inhibit the growth of microorganisms with amino acid decarboxylase activity, reducing the level of residual nitrite, the main precursor of NDMA (Drabik-Markiewicz et al., 2011). The possible use of ingredients containing high levels of polyphenols (mainly proanthocyanidins from green tea) in meat formulations was proposed by Li et al. (2013) who reported the decrease of NDEA and NPYR in dry fermented sausage with both plant polyphenols and ascorbic acid added. Wang et al. (2015) confirmed that the addition of 300 mg/kg of green tea polyphenols, grape seed extract (mainly proanthocyanidins and catechins), and  $\alpha$ -tocopherol reduces the residual level of NDMA in dry-cured bacon. Other possible ingredients to add to food for the same purpose were proposed by Bastide et al. (2017) (red wine, rich in phenolic acids, flavonoids, tannins and stilbenes and pomegranate extract, rich in punicalagins and ellagic acid), and by Tian et al. (2020) (*Diospyros lotus* L. leaf extract, rich in myricetin).

Dion et al. (1997), Choi et al. (2007) and Yuan et al. (2015) studied other possible compounds useful as nitrite scavengers, such as some sulfur compounds (e.g. S-allyl cysteine, S-oxodiallyl disulfide, dipropyl disulfide, etc., and 1,2-benzendicarboxylic acid) present in garlic, onion and strawberries. The inhibition of NDMA and NMOR formation was demonstrated. Finally, Theiler et al. (1981) reported about the inhibiting activity of sodium chloride on NPYR formation.

<sup>6</sup> Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (Text with EEA relevance) *OJ L 354, 31.12.2008, pp. 16–33.*

Regarding other possible food processes useful for reducing the levels of *N*-NAs, Rywotycki (2002) revealed that pasteurisation is an effective treatment, which can halve the *N*-NA residues in the product. Fiddler et al. (1992, 1993) and Pensabene and Fiddler (1993) tested the addition of Alaska pollock surimi as partial substitutes of meat in frankfurters, obtaining a decrease in NDMA formation. Shao et al. (2021) investigated the effects of adding *Lactobacillus pentosus* R3 on NDMA formation in raw, fermented and cooked sausages, obtaining an NDMA concentration decrease comparable to that deriving from the addition of sodium erythorbate. Finally, meat irradiation was extensively used to decrease the levels of *N*-NAs (Ahn et al., 2002a,b; Jo et al., 2003; Song et al., 2003; Byun et al., 2004; Wei et al., 2009). Irradiation doses up to 30 kGy were tested, obtaining a decrease in NDMA, NDEA and NPYR concentrations in different meat products. This reduction could be due to the decrease in nitrosomyoglobin and nitrite caused by irradiation.

For beer, a lot of research was focused on the improvement of processing to reduce the levels of *N*-NAs. The levels of these contaminants in beer caused worldwide concern in the late 1970s, when the possibility of detecting NDMA concentrations higher than the threshold value of 0.5 µg/L was high, and levels up to 70 µg/L were detected. This was predominantly due to the adopted procedure for malt kilning, obtained by direct firing (Lachenmeier and Fügel, 2007).

A decrease in NDMA can be obtained in malt processing by adopting several procedures. The first is the avoidance of N<sub>2</sub>O<sub>3</sub> and N<sub>2</sub>O<sub>4</sub> in the kilning air, using systems with indirect heating of low NO<sub>x</sub> burners. Sulfurous anhydride can also be injected in the kilning air, obtaining a further decrease in NDMA formation. Moreover, some conditions (i.e. temperature and moisture control, application of bromate, ammonium persulfate, etc.) can be used during malt processing to decrease the levels of hordenine and dimethylamine, the most important precursors of *N*-NAs in beer (Davidek, 2017).

Processing modifications enabled a decrease in the incidence of samples with a high level of NDMA (higher than the threshold value) from 70% in the late 1970s to 5% in the 1990s, up to only 1–2% in the 2000s (Lachenmeier and Fügel, 2007; Baxter et al., 2007). Thus, nowadays, the NDMA intake from beer consumption is of less concern.

Regarding certain types of cheese, Stasiuk and Przybyłowski (2006) replaced a traditional KNO<sub>3</sub> addition in cheese-making milk with the addition of this salt in brine, obtaining a slight reduction in NDEA formation.

Regarding *N*-NA removal from drinking water, several methods have been proposed. These methods include UV radiation, precursor removal by activated carbon, precursor deactivation by oxidants and advanced oxidation processes (AOPs), ozone and chlorine (Krasner et al., 2013; Bian et al., 2019).

### 1.3.6. Non-dietary sources of *N*-NAs

From the limited available scientific literature, tobacco products (cigarettes, cigars) followed by personal care products (cosmetics, hair products, lotions, shampoos, soaps) are representing the main non-dietary exposure sources to *N*-NAs.

*N*-NAs observed in personal care products are primarily due to the presence of *N*-nitrosodiethanolamine (NDELA), accounting for 99% of the concentration of all observed *N*-NAs within care products. Carcinogenic *N*-NAs observed within tobacco products are mainly due to the presence of *N*-nitrosornicotine (NNN) and 4-(*N*-nitrosomethylamino)-1-(3-pyridyl)-1-butanone (NNK) (EMA, 2020).

A recent critical review dealing with major sources of human exposure to *N*-NAs published in 2018 by Gushgari and Halden has provided some refined quantification of estimations of total *N*-NA exposure (TNE) by diet and lifestyle. One hundred and twenty-two relevant studies on *N*-NA occurrence, encompassing contamination of food products, water, tobacco, alcohol and personal care products were reviewed. The analysis performed by the authors was concentrated on the following selected *N*-NAs of major concern listed as NNN, NNK, NDMA, NDEA, NPIP, NPIP, NDBA, NPYR, NDELA, NMEA, NDPhA. Average *N*-NA levels within commonly contaminated matrices were evaluated in conjunction with dietary and lifestyle data to estimate average daily exposures for the selected *N*-NA. The authors identified tobacco use as the largest source of mean daily *N*-NA intake across all considered categories, at a rate of 21,800 ng per day. Uptake of *N*-NAs from food intake was identified as the second largest source of *N*-NA exposure, with mean daily intake values ranging from 1800 to 1900 ng per day. Consumption of beer or other malt beverages contributes with an intake of 1000 ng per day of *N*-NAs, whereas exposure from consumption of potable water was the smallest daily dose of *N*-NA exposure at a rate of 120 ng per day. In these estimates, individuals regularly consuming beer, and smoking tobacco are expected to incur most of their daily exposure from tobacco use



(88%), food intake (8%), beer consumption (4%) and potable water consumption (< 1%) accounting for the remainder. The Panel noted that the exposure estimates for the sum of food, beer and water are consistent with the exposure estimates in this opinion. In this publication, uptake from personal care products was not documented by the authors due to the large uncertainties associated with the use and type of personal care products and the highly variable level of *N*-NAs found therein. But it can be noted to date that this potential exposure source to *N*-NAs is no more an issue considering that EMA in its recent report published in 2020 indicated that personal care products (cosmetics, hair products, lotions, shampoos, soaps) containing *N*-NAs including NDELA are no longer allowed on the EU/EEA market under the Cosmetics Directive 76/768/EEC (limit of 50 µg/kg (50 ppb) for *N*-NAs).

In 2020, EMA published an opinion on the presence of *N*-NAs in medicines due to NA-contaminated starting materials or intermediate active pharmaceutical ingredients in the synthesis of drugs. In this report, possible causes that could lead to *N*-NA formation and contamination in medicinal products were identified, requiring companies to take measures to limit the presence of *N*-NAs in human medicines as far as possible in order to ensure that levels of these impurities in the final product do not exceed acceptable control limits that have been defined for individual *N*-NAs at 96 ng per day for NDMA and for NMBA and at 26.5 ng per day for NDEA, EIPNA, DIPNA, MeNP and for NDBA (EMA, 2020). According to Directive 2009/48/EC on the safety of toys, levels of *N*-NAs are limited to ≤ 10 µg/kg in toys made with elastomers. The German Bundesinstitut für Risikobewertung (BfR) calculated an exposure of < 0.19 ng per day, assuming a maximum release limit of 0.05 mg/kg rubber is met (EMA, 2020).

According to estimates, it can be reasonably assumed that the human exposure sources to *N*-NAs followed the order: smoking, 21,800 ng per day, diet (food including beer and water), around 3,100 ng per day and drugs, < 100 ng per day (Gushgari and Halden, 2018; EMA, 2020).

### 1.3.7. Previous assessments

In 2017, the EFSA ANS Panel in its opinion in the frame of the risk assessment of nitrates and nitrites as food additives (EFSA ANS Panel, 2017a,b) considered that nitrite may contribute to the formation of *N*-NAs, endogenously upon ingestion and in food matrices prior to consumption, and therefore assessed both issues. For the endogenous formation of *N*-NAs, the ANS Panel quantified the theoretical amount of *N*-nitrosodimethylamine (NDMA) upon digestion of nitrite at the level of the ADI (0.07 mg/kg bw, nitrite ion per day). Applying a number of conservative assumptions, the Panel estimated that the margin of exposure (MoE) would be much greater than 10,000 and therefore of low concern (EFSA, 2005; EFSA Scientific Committee, 2012a). In epidemiological studies, there was evidence for a positive association between preformed NDMA and increased risk of colorectal cancer (CRC) or its subtypes. Based on literature occurrence data, the Panel estimated the risk from the exposure to two *N*-nitroso compounds (NDMA and NDEA both separately and as a sum) present in meat products and calculated the MoE for the total exposure to NDMA plus NDEA using the lowest BMDL<sub>10</sub>, i.e. the BMDL<sub>10</sub> of NDEA. This resulted in a conservative estimate, as NDEA gives a lower contribution to the overall exposure compared to NDMA. Under this assumption, the Panel concluded that at the mean exposure, the MoE was < 10,000 in toddlers, children and adolescents in some surveys. At high level exposure, the MoE was < 10,000 in all age groups. However, based on the results of a systematic review, the Panel concluded that it was not possible to clearly discern the NOCs produced from the nitrite added as food additive at the authorised levels, from those produced already in the food matrix where nitrite has not been added.

In 2008, the WHO published a background document (WHO, 2008) for the development of *WHO Guidelines for N-Nitrosodimethylamine Drinking water Quality*. The WHO used a study (Brantom, 1983; Peto et al., 1991a,b) with exceptionally wide concentration ranges (15 dose groups between 33 and 16,896 µg/L). The dose groups were also large, with 60 male and female Colworth–Wistar rats at each dose. The WHO calculated the TD<sub>05</sub> (i.e. the dose level that causes a 5% increase of risk over background) for hepatic cancers of various types in the male and female rats. The most sensitive endpoint was hepatic biliary cystadenoma in female rats: the 95% lower confidence limit on the TD<sub>05</sub> was 18 µg/kg bw per day, resulting in a unit risk of  $2.77 \times 10^{-3}$  µg/kg bw per day. No animal-to-human kinetic adjustment was applied to the calculation of the base unit risk. For the evidence that humans may be particularly at risk from NDMA, the guideline value (GV) for NDMA in drinking water associated with an upper bound excess lifetime cancer risk of  $10^{-5}$  was set to approximately 0.1 µg/L.

In 2011, a guideline technical document on *N*-Nitrosodimethylamine (NDMA) for Canadian drinking water was published (Health Canada, 2011). Using the same study used by the WHO in 2008



(Brantom, 1983; Peto et al., 1991a,b), TD<sub>05</sub> values ranging from 34 to 82 µg/kg bw per day for female rats and from 35 to 78 µg/kg bw per day for males were used to calculate unit risks for this assessment. An animal to human allometric scaling factor of (0.35/70)<sup>¼</sup> was applied to the resulting unit risks to account for interspecies differences in susceptibility to NDMA. The maximum acceptable concentration (MAC) for NDMA in drinking water associated with an excess lifetime cancer risk of 10<sup>-5</sup> was 0.04 µg/L.

In 2012, the Scientific Committee on Consumer Safety published an opinion on *Nitrosamines and Secondary Amines in Cosmetic Products* (SCCS, 2012). The SCCS based the ranking of the NOCs assessed with respect to their carcinogenic potential using three dose descriptors common in carcinogenic risk assessment, T25, BMDL<sub>10</sub> and TD<sub>50</sub>. A good correlation was found between the carcinogenic potency and the *in vivo* genotoxic potency.

In 2020, the European Medicines Agency's (EMA's) Committee for Medicinal Products for Human Use (CHMP) published an assessment report on nitrosamine impurities in human medicinal products (EMA, 2020). The EMA/CHMP set limits for individual N-NAs belonging to the 'cohort of concern' in human medicinal products based on the guidelines on assessment and control of DNA-reactive (mutagenic) impurities in pharmaceuticals to limit potential carcinogenic risk (ICH M7 (R1), 2015). An acceptable intake (AI) is established specifically for each nitrosamine. The point of departure for establishing the acceptable intake for nitrosamine impurities in medicinal products is usually the TD<sub>50</sub> (indicating the lifetime dose at which tumours would have developed in 50% of animals which would have remained tumour free without treatment) and this is converted to a specification limit using the maximum daily dose of the medicinal product. The TD<sub>50</sub> values calculated in animal cancer studies were used as the point of departure. The Carcinogenic Potency Database (CPDB)<sup>7</sup> (Gold et al., 1991) was considered as a reliable source of TD<sub>50</sub> values from cancer studies. Based on TD<sub>50</sub> values, N-nitrosamines with a TD<sub>50</sub> below 1.5 mg/kg/day (cohort of concern chemicals) would be listed according to their TD<sub>50</sub> as follows: NMPEA > NDEA > NDMA > NMEA > NNK > NNN > NMOR > NMA > NDPA > NDBA > NPYR > MNNG > NMBA > NPIP

With regard to previous assessments of the hazard, IARC (1978, 1982) has classified NDMA and NDEA as group 2A carcinogens (probably carcinogenic to humans) and NMEA, NDPA, NMVA, NDIBA, NSAR, NMOR, NPIP and NPYR as group 2B carcinogens (possibly carcinogenic to humans) while NPRO, NHPRO and NDPheA were allocated to group 3 (not classifiable as to its carcinogenicity to humans); all other N-NAs of this opinion were not classified.

### 1.3.8. Legislation

N-NAs are regulated in the European Union for their presence in elastomer or rubber teats and soothers,<sup>8</sup> in cosmetic products<sup>9</sup> and in toys.<sup>10</sup>

There is no available EU legislation regulating the presence of N-NAs in food or drinking water.

## 2. Data and methodologies

The current update of the EFSA risk assessments on N-NAs in food was developed applying a structured methodological approach, which implied developing *a priori* the protocol or strategy of the full risk assessments and performing each step of the risk assessment in line with the strategy and documenting the process. The protocol in Annex A to this Opinion contains the method that was proposed for all the steps of the risk assessment process, including any subsequent refinements/changes made.

### 2.1. Data

#### 2.1.1. Dietary exposure assessment

In this section, the data used to estimate the dietary exposure to N-NAs are described. This estimation includes exposure to N-NAs, from all dietary sources.

<sup>7</sup> <https://files.toxplanet.com/cpdb/index.html>

<sup>8</sup> Commission Directive 93/11/EEC of 15 March 1993 concerning the release of the N-nitrosamines and N-nitrosatable substances from elastomer or rubber teats and soothers. OJ L 93, 17.4.1993, pp. 37–38.

<sup>9</sup> Regulation (EC) No 1223/2009 of the European Parliament and of the Council of 30 November 2009 on cosmetic products. OJ L 342, 22.12.2009, p. 59–209.

<sup>10</sup> Directive 2009/48/EC of the European Parliament and of the Council of 18 June 2009 on the safety of toys. OJ L 170, 30.6.2009, pp. 1–37.

### 2.1.1.1. Food consumption data

Food consumption data from the EFSA Comprehensive European Food Consumption Database (Comprehensive Database) were used for the dietary exposure assessment to *N*-NAs. This database contains national data on food consumption at the individual level, which is the most complete and detailed data currently available in the EU.

The food consumption data gathered in the Comprehensive Database was collected using repeated 24-h or 48-h dietary recalls or dietary records covering 3 or 7 days per individual. Owing to the differences in the methods used for data collection, direct country-to-country comparisons of the exposure estimates should be avoided.

Details of how the Comprehensive Database is used to assess the dietary exposure to food chemicals were published in a 2011 EFSA Guidance (EFSA, 2011). The latest version of the Comprehensive Database was updated in 2021 and contains results from 51 dietary surveys carried out in 24 Member States (MSs) covering 97,154 individuals. Six surveys provide information on 'Pregnant women', two on 'Lactating women' and one on Vegetarians. When two different dietary surveys are available for one country and age class, the most recent one is used in the dietary exposure assessment.

Since 2018, all consumption records in the Comprehensive Database have been codified according to the FoodEx2 classification system (EFSA, 2015). The FoodEx2 classification system consists of a large number of standardised basic food items aggregated into broader food categories in a hierarchical parent-child relationship. Additional descriptors, called facets, are used to provide additional information about the codified foods (e.g. information on food processing and packaging material).

For *N*-NAs, a chronic dietary exposure assessment is relevant in the context of the terms of reference. For such an assessment, surveys in which food consumption data were collected over only 1 day are not considered appropriate. Exclusion of these surveys resulted in a total of 41 dietary surveys carried out in 22 MSs covering 83,540 individuals. Table 3 provides an overview of the population groups and countries included in the dietary exposure assessment of *N*-NAs.

According to the EFSA Scientific Committee Guidance on the risk assessment of substances present in food intended for infants under 16 weeks of age, the exposure assessment for these infants should be carried out separately from that for older infants, following the procedure described in the guidance (EFSA Scientific Committee, 2017a). Based on this guidance, infants under 16 weeks of age should be excluded from the dietary exposure estimation of the infants age group. However, for the exposure assessment of *N*-NAs, due to uncertainty in the reported individual ages of infants in the Comprehensive Database, the cut-off age was based on a validated existing age group in this database corresponding to 12 weeks of age. Thus, food consumption data of infants between 12 and 16 weeks of age were also included in the exposure assessment. As the number of children within this age range in the database is limited, it is not expected that this will have affected the exposure estimate of *N*-NAs for infants of 16 weeks up to 12 months of age.

**Table 3:** Population groups and countries included in the chronic dietary exposure assessment

Population group	Age range	Countries with food consumption surveys covering more than 1 day
Infants	> 12 weeks to < 12 months	Bulgaria, Cyprus, Denmark, Estonia, Finland, France, Germany, Italy, Latvia, Portugal, Slovenia, Spain
Toddlers	≥ 12 months to < 36 months	Belgium, Bulgaria, Cyprus, Denmark, Estonia, Finland, France, Germany, Hungary, Italy, Latvia, Netherlands, Portugal, Slovenia, Spain
Other children	≥ 36 months to < 10 years	Austria, Belgium, Bulgaria, Cyprus, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Italy, Latvia, Netherlands, Portugal, Spain, Sweden
Adolescents	≥ 10 years to < 18 years	Austria, Belgium, Cyprus, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Italy, Latvia, Netherlands, Portugal, Romania, Slovenia, Spain, Sweden
Adults	≥ 18 years to < 65 years	Austria, Belgium, Croatia, Cyprus, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Netherlands, Portugal, Romania, Slovenia, Spain, Sweden

Population group	Age range	Countries with food consumption surveys covering more than 1 day
Elderly	≥ 65 years to < 75 years	Austria, Belgium, Cyprus, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Netherlands, Portugal, Romania, Slovenia, Spain, Sweden
Very elderly	≥ 75 years	Austria, Belgium, Denmark, France, Germany, Hungary, Ireland, Italy, Latvia, Netherlands, Portugal, Romania, Sweden

Table C.1 in Annex C provides details on the dietary surveys included in the dietary exposure assessment.

### 2.1.1.2. Concentration data for N-NAs in food

Analytical results from the EFSA contaminant database as well as literature data on N-NA concentrations in food were used to assess the dietary exposure to N-NAs. These data sources are described below. Details on concentration data validation and selection are given in Section 3.3.

#### 2.1.1.2.1. Occurrence data submitted to EFSA

Following a mandate from the European Commission to EFSA, a call for annual collection of chemical contaminant occurrence data in food was issued by the former EFSA Dietary and Chemical Monitoring Unit (now iDATA Unit) in December 2010. Since then, data have been submitted every year with a closing date on 1 October of each year.<sup>11</sup> As no N-NA data was submitted before 2021, N-NAs were put in the priority list of the call for data in 2021 with a deadline for submission by 30 June 2021.

The data submission to EFSA follows the requirements of the EFSA Guidance on Standard Sample Description for Food and Feed (EFSA, 2010a) and the EFSA Guidance on Standard Sample Description 2 (EFSA, 2013). Occurrence data were managed following the EFSA standard operational procedures (SOPs) on 'Data collection and validation' and on 'Data analysis of food consumption and occurrence data'.

#### 2.1.1.2.2. Occurrence data in the literature

An extensive literature search was carried out to collect data on the levels of N-nitroso compounds in food. Bibliographic searches were conducted in bibliographic databases or scientific citation search platforms via an extensive literature review and as listed in Annex A of the protocol for nitrosamines using search terms related to occurrence concentrations of N-nitroso compounds in food. During the development of the opinion, additional publications were collected by applying a 'snowballing approach'.<sup>12</sup>

## 2.1.2. Hazard identification and risk characterisation

### 2.1.2.1. Collection and selection of evidence

Information relevant for the sections under hazard identification and characterisation was identified by an extensive literature review<sup>13</sup> (Extensive literature search on N-nitroso compounds in food). During the development of the opinion, additional publications were collected by applying a 'snowballing approach'. Since there is no previous opinion from EFSA on the matter, no time limit was applied in the literature searches.

#### 2.1.2.1.1. Toxicological studies

Studies on the acute, repeated-dose and long-term effects as well as the carcinogenic activity or toxicity on reproduction and the immune system date back to the mid-1950s with the majority performed in the 1960s and 1970s. At that time, OECD guidelines for the testing of chemicals in whole animal bioassays had not been developed. Occasionally, old studies were not documented completely and/or lack negative controls. Nevertheless, they were added to the tables for an overview of the overall outcome.

<sup>11</sup> Current call: <https://www.efsa.europa.eu/en/call/call-continuous-collection-chemical-contaminants-occurrence-data-0>

<sup>12</sup> Identifying articles that have been cited in articles found in a search.

<sup>13</sup> <https://efsa.onlinelibrary.wiley.com/doi/abs/10.2903/sp.efsa.2022.EN-7583>

## 2.2. Methodologies

### 2.2.1. Dietary exposure assessment

To calculate the chronic dietary exposure to *N*-NAs, food consumption and body weight data at the individual level were obtained from the Comprehensive Database. The mean daily consumption at the individual level was combined with the lower bound (LB), medium bound (MB) and upper bound (UB) mean concentration of each *N*-NA considered in the risk assessment and with the total concentration of all *N*-NAs considered, at the most detailed level of the FoodEx2 classification system to calculate individual average daily exposures. For eating events concerning foods for which the occurrence of *N*-NAs was obtained from the literature, the same value was used for the LB, MB and UB as no information was available on the treatment of left censorship. On the basis of distributions of individual average daily exposures, the LB, MB and UB mean and 95th percentile exposure was then calculated for each *N*-NA and for the total of the *N*-NAs considered in the risk assessment per survey and per age class.

The contribution of food categories at level 1 of the FoodEx2 classification to the dietary exposure to each *N*-NA compound and for the total of the *N*-NAs considered in the risk assessment, for each survey and age class, was calculated using the relevant LB mean exposure. Categories that contributed more than 10% of the exposure in the highest number of surveys per age class were considered a main contributor for that class.

Surveys on 'Pregnant women', 'Lactating women' and 'Vegetarians' were used to identify specific concerns for these subpopulation groups.

Eating events concerning meat- and fish-based composite dishes were remapped to the relevant main fish and meat ingredient and the consumed amount of the ingredient was calculated using the percentages documented by EFSA's Raw Primary Commodity model (EFSA, 2019a) and shown in Table C.4 of Annex C.

### 2.2.2. Hazard identification and risk characterisation

The CONTAM Panel applied the general principles of the risk assessment process for chemicals in food as described by the WHO/IPCS (2009), which include hazard identification and characterisation, exposure assessment and risk characterisation. In addition to those principles, EFSA guidance pertaining to risk assessment: the guidance on the approach for risk assessment of substances which are both genotoxic and carcinogenic (EFSA, 2005), on uncertainties in dietary exposure assessment (EFSA, 2007), on transparency in the scientific aspects of risk assessments (EFSA, 2009), on standard sample description for food and feed (EFSA, 2010a), on management of left-censored data in dietary exposure assessments (EFSA, 2010b), on use of the EFSA comprehensive food consumption database in intakes assessment (EFSA, 2011), on genotoxicity testing (EFSA Scientific Committee, 2011), on selected default values to be used in the absence of data (EFSA Scientific Committee, 2012b), on risk assessment terminology (EFSA Scientific Committee, 2012c), on the benchmark dose (BMD) approach (EFSA Scientific Committee, 2017b) and on uncertainty analysis in scientific assessments (EFSA Scientific Committee, 2018a).

The draft scientific opinion underwent a public consultation from 12 October 2023 to 22 November 2023. The comments received were taken into account when finalising the scientific opinion and are presented and addressed in Annex F.

## 3. Assessment

### 3.1. Hazard identification and characterisation

#### 3.1.1. Toxicokinetics and metabolic activation

##### 3.1.1.1. Toxicokinetics and metabolic activation in experimental animals

###### 3.1.1.1.1. Acyclic volatile *N*-NAs

###### NDMA

###### Absorption, distribution, metabolism and excretion (ADME)

###### *In vitro* studies

The *in vitro* metabolism of NDMA has been extensively investigated mainly in rats using liver tissue subfractions (Kroeger-Koepke et al., 1981b; Preussmann and Stewart, 1984; Chowdhury et al., 2012)

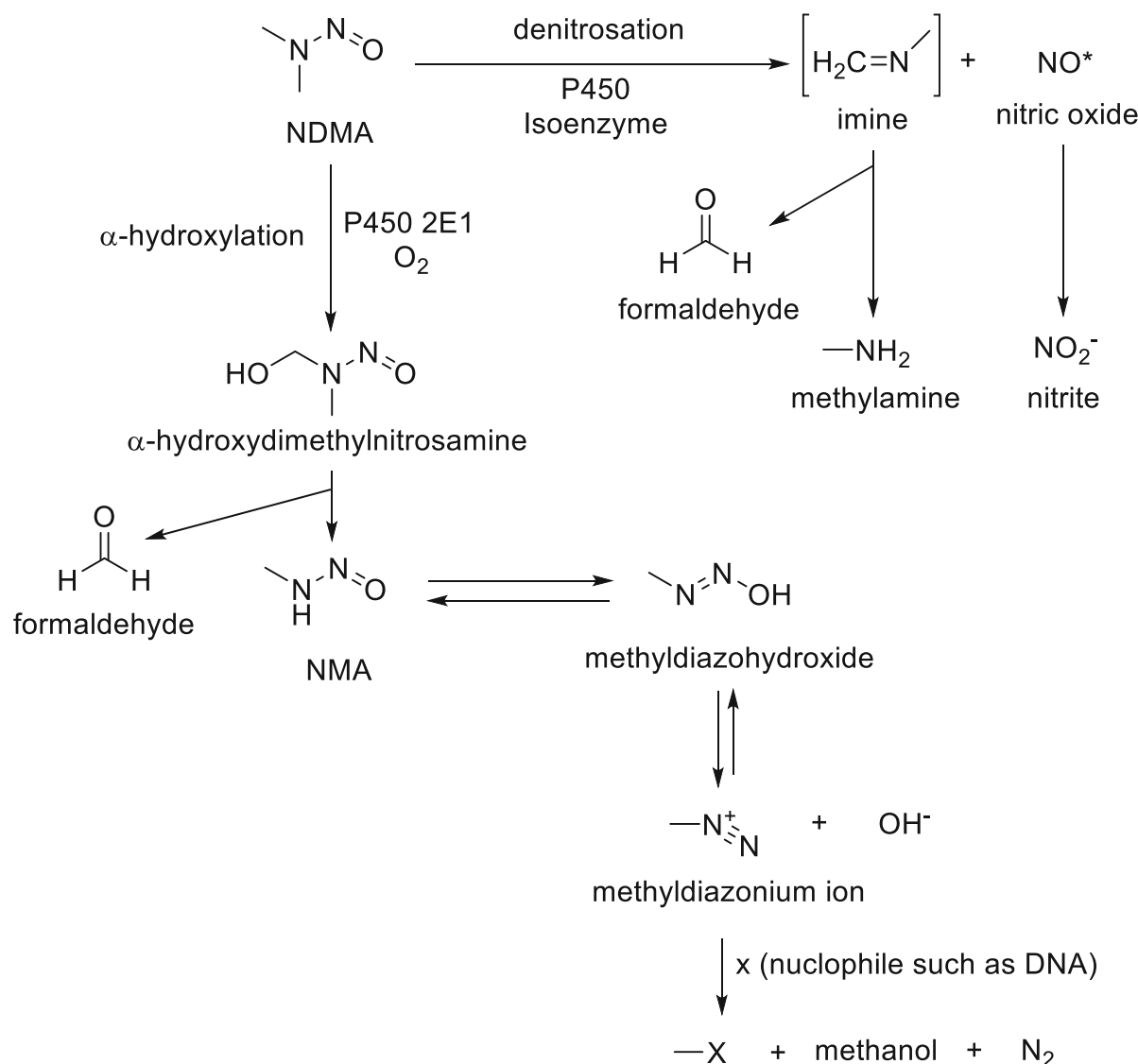
and isolated hepatocytes (Encell et al., 1996). There is unanimous consensus that the  $\alpha$ -hydroxylation of the methyl group (oxidative *N*-demethylation) is a critical metabolic step in the bioactivation of dialkyl nitrosamines: the initial product of this reaction,  $\alpha$ -hydroxydimethylnitrosamine, is an unstable intermediate that spontaneously decomposes yielding formaldehyde, methyl diazohydroxide and/or the methyl diazonium ion (Mochizuki et al., 1979; Chowdhury et al., 2012; George et al., 2019) (Figure 1). Formaldehyde is subsequently oxidised to carbon dioxide or reduced to form methanol. Methyl diazonium ion is a highly reactive alkylating species. It methylates macromolecules including proteins and nucleic acids, playing a critical role in the initiation of carcinogenesis, and is generally considered too reactive to affect organs other than those in which it is generated (Pegg, 1980). A positive correlation between the rate of *in vitro* NDMA biotransformation and DNA alkylation has been demonstrated in rats (Yang et al., 1987).

In rat (Tu and Yang, 1985; Levin et al., 1986) but also in rabbit (Sulc et al., 2004) and hamster (Yoo et al., 1987) liver preparations, the  $\alpha$ -hydroxylation of NDMA (e.g. the *N*-demethylation at the C-alpha) is mainly mediated by CYP2E1, while other CYP isoforms seem to play a minor role (Levin et al., 1986). At least two forms of NDMA-*N*-demethylases (NDMAd) have been isolated in rodent liver, showing different kinetic properties with respect to substrate concentrations; the high affinity form has a  $K_m$  in the micromolar range, while the low affinity forms have a  $K_m > 1$  mM (Yoo et al., 1987). Age- and species-related differences in oxidative NDMA metabolism have been reported. For example, liver microsomes from adult hamsters showed a threefold higher NDMAd activity than adult rats; this difference was halved when microsomes from weanling individuals of either species were compared (Yoo et al., 1987). These results are consistent with the higher ability of hamster liver preparations compared to rat in generating mutagenic metabolites to *Salmonella* test systems (Raineri et al., 1981).

Finally, the involvement of oxidative enzymes other than CYP in the bioactivation of NDMA was demonstrated by Stiborova et al. (1997) who measured the *in vitro* NDMA *N*-demethylation rate in a reconstituted system including peroxidase and  $H_2O_2$  ( $K_m$   $3.12 \pm 0.03$  mM,  $V_{max}$   $0.45 \pm 0.04$  nmol/min/nmol peroxidase). It is worth noting that peroxidases (such as prostaglandin H synthase and/or non-specific peroxidases) can play an essential role in the bioactivation of pro-carcinogenic chemicals in certain extrahepatic target tissues (i.e. urinary bladder, kidney, lung) that possess low CYP monooxygenase activity (for a review, see Vogel, 2000).

Another metabolic pathway occurring simultaneously with *N*-demethylation (Schwarz et al., 1980; Lorr et al., 1982; Tu and Yang, 1985; Wade et al., 1987) is NDMA denitrosation. Although the underlying chemical pathways of denitrosation are still a matter of debate, the resulting cleavage of the N-N bond ultimately yields formaldehyde, nitrite and methylamine (Keefer et al., 1987). It is generally accepted that this reaction is mainly catalysed by the same enzyme involved in NDMA-demethylation (CYP2E1) (Yang et al., 1985; Chowdhury et al., 2012); in addition, denitrosation is considered to be a detoxification pathway since it competes with NDMA-demethylation and diverts NDMA from being bioactivated to the methyl diazonium ion (Keefer et al., 1987). Using uninduced rat liver microsomes, it has been reported that the rate of denitrosation (measured as nitrite generation) is about 8–11% that of *N*-demethylation (measured as formaldehyde release) (Lorr et al., 1982). An overview of the overall NDMA metabolic fate has been proposed (ATSDR, 2000).





**Figure 1:** NDMA metabolic pathways (modified from ATDSR, 2000)

No information on other phase I or phase II NDMA metabolites could be retrieved from further *in vitro* studies.

### ***In vivo* studies**

#### **Rats**

Most of the available information is concerned with the metabolic fate and its relationship with the carcinogenicity of the *N*-NA.

There is a wealth of data providing direct or indirect evidence to confirm that most of the postulated stable *in vitro* metabolites are also formed *in vivo* (reviewed by Pegg, 1980). Studies with [<sup>14</sup>C] NDMA are consistent with a complete NDMA metabolism to CO<sub>2</sub> which is eliminated via the expired air (Heath, 1962). Metabolic denitrosation resulting in the formation of formaldehyde, methylamine and nitrate has been characterised *in vivo*. Heath and Dutton (1958) found methylamine in rats and mice urine as metabolic products. Streeter et al. (1990a,b) found methylamine in rat blood a few minutes after the i.v. bolus injection of 7.6 μmoles NDMA/kg and kinetic parameters of methylamine were calculated after a single i.v. or intragastric exposure to 8.36 or 13.5 μmoles NDMA/kg, respectively. To calculate the relative amount of methylamine formed, rats were treated with two NDMA doses (50 or 5 μg/min per kg at 1 mL/h, i.v. infusion) (Burak et al., 1991). Under steady-state conditions, the systemic clearance of NDMA was found to be 34.8 ± 5.4 mL/min per kg bw, whereas that of methylamine amounted to 48.0 ± 7.4 mL/min per kg. The fraction of the dose of NDMA

metabolised to MA was  $29 \pm 2\%$ , thus accounting for a significant proportion of the administered NDMA dose.

Gomez et al. (1977) studied the oral absorption of [ $^{14}\text{C}$ ] NDMA. The experiment was conducted on female Wistar rats. Four individuals/time point were administered [ $^{14}\text{C}$ ] NDMA (2 mg/kg bw) by gavage and euthanised 15 min, 30 min, 1 h and 2 h later, respectively. The radioactivity was measured in gastric and intestinal content. Results are in line with a rapid absorption rate since already after 15 min only 2% of the administered dose remained. In a subsequent set of experiments, rats (four per dose) were treated (gavage) with a wide range of [ $^{14}\text{C}$ ] NDMA doses (from 0.001 to 10 mg/kg bw) and euthanised 4 h after dosing. The methylation (7-methylguanine formation) rate of liver and kidney DNA samples was taken as a measure of the extent of liver first pass effect. Although the amount of methylation of liver DNA was directly proportional to the dose over a range of doses from 10 to 0.001 mg/kg bw, methylation of kidney DNA occurred only at the higher doses. For example, following an oral dose of 0.040 mg NDMA/kg bw, the alkylation of liver DNA was 75-fold higher than that measured in the kidney. These results point to an almost complete hepatic extraction and metabolism of NDMA at low oral doses, preventing it from reaching the systemic circulation. Conversely, upon the administration of larger oral doses, hepatic biotransformation becomes saturated and the unmetabolised NDMA may distribute to extrahepatic organs with possible tumorigenic outcomes.

These conclusions were confirmed by subsequent experiments. Using female Wistar rats, Pegg and Perry (1981) found very rapid absorption ( $t_{1/2} < 3$  min) of small oral doses of NDMA ( $< 100$   $\mu\text{g}/\text{kg}$  bw) occurring mainly in the small intestine, leading to an almost complete liver DNA alkylation (N7-methylguanine formation) in as little as 15 min. The amount of 7-methylguanine formed in kidney DNA following i.v. administration of NDMA was about 10 times less than that formed in liver DNA over a wide dose range (1–10 mg/kg). A similar ratio (liver: kidney DNA alkylation) was found after p.o. administration at relatively higher NDMA doses (5–10 mg/kg); however, rats exposed to doses of 1 mg/kg or below showed a much lower amount of 7-methylguanine in the kidney, thereby in line with the capital role of the liver in trapping and bioactivating relatively low NDMA doses.

The kinetics and oral bioavailability of low NDMA doses were studied in male Fischer 344 rats by Mico et al. (1985). Following the i.v. dosing with 1.35  $\mu\text{mol}$  NDMA (100  $\mu\text{g}$ )/kg bw, blood concentrations declined in an apparently biexponential manner with a terminal half-life of 10 min, a volume of distribution at steady state ( $V_{\text{dss}}$ ) of 297 mL/kg and an apparent total systemic blood clearance of 39 mL/min/kg. Since this value is similar to the calculated hepatic blood flow in rats, the authors concluded that, under the adopted experimental conditions, the clearance of NDMA is limited by the delivery to the main eliminating organ (high hepatic extraction) rather than by liver enzyme activity. After the oral administration of 2.02 or 4.05  $\mu\text{mol}$  NDMA (about 150 or 300  $\mu\text{g}$ )/kg bw, the calculated areas under the curve (AUCs) were proportional to the dose, indicating linear kinetics. Under these conditions, the calculated bioavailability was quite low (about 8%), in line with a remarkable first-pass effect by the liver.

In rats that were i.v. dosed with 0.2 mg/kg NDMA, the concentrations of unmetabolised NDMA in liver, spleen, kidney, lung and brain were approximately 70% of the arterial blood concentrations. There was a rapid decline in parallel with blood concentrations up to 4 h after exposure when non-detectable levels were reached. This suggests that, in rats, these tissues do not accumulate NDMA (ATSDR, 2000).

Biliary excretion of NDMA was reported in rats exposed by i.p. injection (20 mg/kg) and accounted for 2.7–4.4% of the administered dose. The rate of biliary excretion was lowest in rats given a very low protein diet (3.4%) and highest in those given a high protein diet (64%) (Alaneme and Maduagwu 2004 as reported by ATSDR, 2000).

The excretion of NDMA was investigated in Portman albino rats both after a single oral dose of 50 mg/kg bw or following an i.v. injection of 500 mg/kg bw. Only small amounts of unchanged NDMA were recovered in the urine up to 24 h, the cumulative amounts excreted representing about 1.7% of the oral dose and 4.7–11% of the i.v. dose. During the same time, no NDMA was detected in faeces (Magee and Barnes, 1956).

Indirect evidence has been provided for the milk excretion of NDMA and/or metabolites in nursing rats (Chhabra et al., 2000). Sprague-Dawley dams were administered by gavage 5 mg NDMA/kg bw on post-natal days 1, 7 or 14, respectively; pups were euthanised 4 h after treatment and liver, lung, kidney and blood from the sucklings were analysed for  $O^6$ -methylguanine ( $O^6$ -Me-Gua) -in DNA (another NDMA-derived adduct). In agreement with a translactational NDMA delivery,  $O^6$ -Me-Gua adducts were found in liver, lung and kidney from sucklings. Interestingly, co-treatment of the dams with one dose (1.6 g/kg) of ethanol (a CYP2E1 substrate and hence a competitive inhibitor of

NDMA-oxidative metabolism) caused in the newborns a small (by about 25%) decrease of  $O^6$ -Me-Gua adducts in liver but a sharp increase (4- to 10-fold) of DNA methylation in lung and kidney. This reinforces the role of the liver in NDMA first-pass effect and the involvement of extrahepatic organs in NDMA distribution and carcinogenicity in case of saturation/inhibition of its hepatic metabolism.

## Mice

The disposition of NDMA after repeated exposure and the influence of ethanol co-treatment were studied in outbred Swiss mice (Anderson et al., 1986). Animals were exposed to 0.5 or 50 mg NDMA/L via drinking water for up to 4 weeks and representative lots were sacrificed at week 1, 2 or 4. At kill, blood, liver, kidney, lung and brain samples were collected. After 4-week administration, NDMA (limit of detection (LOD) 0.5  $\mu\text{g/L}$ ) was detected at low concentrations (1–4  $\mu\text{g/L}$ ) in blood and organs from 0.5 mg NDMA/L, while higher but dose-unrelated amounts (6–65  $\mu\text{g/L}$ ) were found in mice exposed to 50 mg NDMA/L, indicating a lack of accumulation of NDMA. In the latter case, the concentrations were in the order blood > kidney > brain >> liver. The co-administration of 10% ethanol caused an increase in blood and tissue levels of NDMA, reaching a factor of 10 or more and led to a partial alleviation of the hepatic lesions (centrilobular haemorrhage and necrosis) brought about by NDMA at 50 mg/L. These results are consistent with the competitive inhibition of the CYP2E1-mediated biotransformation and metabolic activation of NDMA by ethanol. In a further study from the same research group (Anderson et al., 1994), in female Swiss mice i.v. treated with 1 or 5 mg NDMA/kg, the AUC values were proportional to the administered doses indicating that NDMA metabolism was not saturated by the treatments. Elimination was rapid and biphasic with MRTs of about 6 min,  $t_{1/2}$   $\alpha$  values of 3–4 min and clearances of 90–110 mL/min/kg. The calculated oral bioavailability (1 mg/kg dose) was 3.6%. Ethanol intragastric pretreatment caused a remarkable dose-related increase in the AUC, MRTs,  $t_{1/2}$   $\alpha$  and a decrease in clearance by a factor of 10–30; blood, liver and lung NDMA concentrations increased accordingly. Data from this study confirm that factors limiting hepatic uptake and/or metabolism of NDMA (high dosages, inhibition of NDMA metabolising enzymes) will result in higher NDMA concentrations to reach the systemic circulation, increasing the risk of developing tumours in extrahepatic target tissues.

## Pigs

A study was designed to determine the basic kinetic parameters, the oral bioavailability and the extent of plasma protein binding of NDMA in pigs (unspecified gender) (Gombar et al., 1988). Following a bolus i.v. administration of 0.1, 0.5 or 1.0 mg NDMA/kg bw, the concentration of NDMA in blood declined biphasically with a mean distribution half-life of 7 min and a mean elimination half-life of 28 min. The AUC increased roughly proportional with the dose, indicating first-order toxicokinetics (TK) (and hence a constant clearance) over the tested dose range. The mean systemic clearance from blood was 65.8 mL/min/kg and the steady-state  $V_d$  was 1.4 L/kg. Since no appreciable protein binding was found (blood/plasma ratio  $\sim 1$ ), the measured  $V_d$  is consistent with a wide tissue distribution. After the oral exposure to 1.0 or 5.0 mg NDMA/kg bw, there was a rapid absorption with a mean  $T_{max}$  at 23 min. When comparing the AUC and  $C_{max}$  of the respective tested doses, it was noted that at the higher NDMA oral dose (5.0 mg NDMA/kg bw), the TK was not linear because the AUC and  $C_{max}$  were not proportional to the administered dose. Even considering the lower oral tested dose (1.0 mg NDMA/kg bw), the calculated systemic bioavailability was still 67% meaning that liver metabolism may be easily saturated allowing a substantial proportion of NDMA to reach extrahepatic organs/tissues. Finally, a mean systemic clearance of 113 mL/min/kg was calculated for pigs treated with 1.0 mg NDMA/kg bw, which greatly exceeds the estimated blood flow in pigs (about 44 mL/min/kg, Boxenbaum (1980)). Taken together, the above results point to a substantial involvement of extrahepatic organs in NDMA metabolism in swine.

In a further study conducted in female pigs (Harrington et al., 1990), a rapid decline in blood NDMA was observed after the i.v. or intra-arterial administration of 10 mg/kg bw, reaching almost undetectable levels 8 h post-injection and pointing to a rapid distribution throughout the body. After the single oral administration of three different doses (10, 1 or 0.1 mg/kg bw), the portal and hepatic vein blood were collected and analysed for NDMA at different time points. Peak concentrations in both cases were reached very rapidly (1–0.5 h after treatment). The observed dose-related decrease in the concentration of NDMA in hepatic vein blood (i.e. leaving the liver), reaching the lowest values at the lowest dose (0.1 mg/kg bw), is consistent with a significant liver first-pass effect and hence metabolism of the *N*-NA at low dosages. The occurrence of notable NDMA hepatic metabolism was confirmed by the presence of almost superimposable NDMA concentrations in portal and hepatic vein

blood from animals dosed with known CYP2E1 substrates (and hence competitive inhibitors), namely halothane or ethanol. After the intra-arterial administration, biliary excretion was demonstrated; concentrations in bile reached blood levels within an hour after injection and peaked (3–4 mg/kg as judged by eye from a graph) about 2 h after injection. Biliary levels of NDMA declined at approximately the same rate as blood levels. Urine samples were analysed for NDMA only in i.v. dosed animals and only trace levels ( $\mu\text{g/L}$ ) were detected.

## Dogs

The TK of NDMA was investigated in male Beagle dogs ( $N = 4$  per treatment group) (Gombar et al., 1987). Animals were given 0.5 or 1.0 mg/kg NDMA i.v (bolus injection) and blood was collected at 50-min intervals for up to 250 min; plasma protein binding was tested *in vitro*. Urine was collected for 24 h from dogs dosed with 0.5 mg/kg NDMA. A biphasic decline in blood NDMA concentration was noted after i.v. dosing, with a mean distribution half-life of 19 min and a mean elimination half-life of 73 min. The TK of NDMA in the studied dose range (0.5 or 1.0 mg/kg) appeared to be linear (first order) since in either case, the AUCs were roughly proportional. The  $V_{dss}$  was 1.9 L/kg, and the mean residence time was 45 min. The systemic clearance from blood ranged from 33.9 to 52.9 mL/min/kg; since these values are similar or exceed the reported hepatic blood flow in the dog ( $\sim 40$  mL/min/kg) (Harrison and Gibaldi, 1977), it was suggested that the liver is not the only organ involved in the clearance of NDMA. In addition, no NDMA could be detected in urine (measured only after i.v. dosing) leading to the conclusion that the clearance of NDMA in the dog was entirely due to its metabolism. In a second set of studies, animals received one dose of 1.0 or 5.0 mg NDMA/kg per os. The plasma protein binding was negligible. The TK of the 1.0 mg/kg dose was first order (linear), but at the 5.0 mg/kg dose, the metabolism of NDMA was saturated, AUC and  $C_{max}$  values not being proportional to the administered dose. For the lower dose, the mean  $T_{max}$  was 20 min and the bioavailability averaged at 93%, pointing to a rapid and extensive absorption at the gastroenteric level along with poor hepatic metabolism. Overall, as previously reported for pigs, TK data are consistent with the substantial involvement of extrahepatic organs in the NDMA systemic clearance in the canine species.

## Syrian hamsters

The TK after a single dose i.v. or oral of [ $^{14}\text{C}$ ] NDMA, as well as its *in vitro* protein binding and urinary excretion were studied in 8-week-old male Syrian golden hamsters (Streeter et al., 1990c). Following an i.v. bolus dose of 4.2  $\mu\text{mol/kg}$  [ $^{14}\text{C}$ ] NDMA (about 0.3 mg/kg), both the unchanged compound and the total radioactivity were measured. A biphasic first-order TK was observed with a mean terminal half-life of 8.7 min for unchanged NDMA and 31.5 min for total radioactivity. The mean systemic blood clearance and  $V_{dss}$  for unchanged NDMA were 51 mL/min/kg and 582 mL/kg, respectively. Urine excretion was studied in separate experiments where animals received an i.v. bolus dose of 15  $\mu\text{mol/kg}$  [ $^{14}\text{C}$ ] NDMA (about 1.1 mg/kg) and urine was collected. No unchanged NDMA was detected in the urine up to 72 h post-dosing, suggesting that clearance of NDMA almost entirely occurs via hepatic metabolism. However, 31% of the total radioactivity was eliminated via urine, pointing to the partial biotransformation of the *N*-NA to more polar metabolites. NDMA displayed negligible binding to hamster plasma protein. A dose of 38  $\mu\text{mol/kg}$  [ $^{14}\text{C}$ ] NDMA (about 2.8 mg/kg) given by gavage indicated a systemic bioavailability of about 11% for unchanged NDMA, i.e. similar to that found in the rat (Streeter et al., 1990a). However, the estimated intrinsic hepatic clearance was 648 mL/min/kg, which is greater than that found in the rat (388 mL/min/kg) in a study from the same research group (Streeter et al., 1990b) and indicates a higher metabolic capacity of the hamster liver compared to rat liver. This is in line with the higher incidence of liver neoplasms in orally dosed hamsters when compared to rats, where lung and kidney tumours are also observed (Lijinsky et al., 1987).

The placental transfer of a single s.c. NDMA dose (12.5 mg/kg bw) was studied in pregnant Syrian hamsters at day 8, 10, 12 or 14 of gestation with groups of five to six animals (Althoff et al., 1977). After sacrifice, NDMA was measured in maternal blood, placenta, fetal tissues (unspecified) and amniotic fluid. Maximal concentrations (0.2–1  $\mu\text{g/g}$ ) occurred in all tissues about 1 h after injection, and only traces were found after 4 h. Maternal blood and placental levels were similar (around 1  $\mu\text{g/g}$ ) and significantly higher than the amounts determined in amniotic fluid and fetus, the latter showing the lowest NDMA levels (around 0.2  $\mu\text{g/g}$ ).



## Patas monkeys

The single dose i.v. and oral NDMA TK were investigated in 2- to 4-year-old Patas monkeys (*Erythrocebus patas*) (Gombar et al., 1990). The bolus i.v. dosing with 0.5, 1.0 or 5.0 mg NDMA/kg resulted in a mono-exponential decrease of blood concentrations. Since the AUC was roughly proportional to the dose (linear TK), the Cl, Vdss and MRT were dose-unrelated and amounted to about 103 mL/min, 27 mL and 31 min, respectively. The mean half-life of NDMA in blood was about 31 min. Following the oral administration of a 1.0 mg/kg dose, an average Cmax of 205 µg/L was reached after 25 min and was followed by a mono-exponential decrease with an average half-life of about 23 min. Assuming linear NDMA kinetics, under the adopted experimental conditions, the calculated oral bioavailability was estimated to be 49%.

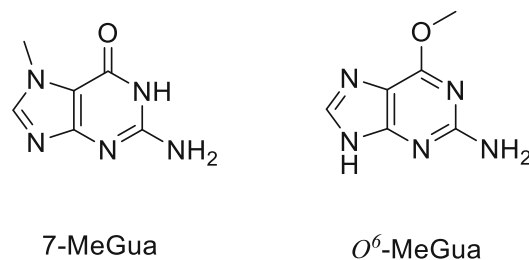
In another study (Anderson et al., 1992), the excretion of NDMA was measured in urine samples collected for 7.5 h from monkeys given 1.0 mg/kg i.v. A maximum concentration of less than 50 µg/L was found, corresponding to less than 0.001% of the administered dose. The prior dosing (gavage or i.v.) with different amounts of other CYP2E1 substrates (ethanol or isopropyl alcohol) caused the maximum urinary concentration to rise 15- to 50-fold and the percentage of the dose excreted from urine by 100- to 800-fold.

Pregnant Patas monkeys were given a single i.g. dose (1.0 or 0.1 mg/kg) of NDMA at  $147 \pm 2$  days of gestation (Chhabra et al., 1995). To study the distribution and the bioactivation ability of maternal and fetal tissues of NDMA, the authors determined the formation of DNA adducts (see below in DNA adduct formation) and in particular, *O*<sup>6</sup>-Me-Gua adducts (as µmol *O*<sup>6</sup>-Me-Gua adduct/mol guanine). In the 1.0 mg/kg exposed monkeys, the highest average levels (27 µmol/mol guanine) of adduct concentrations found in placenta and fetal liver were 10–20% of those measured in maternal liver. Lower (< 1 µmol/mol guanine) but measurable adduct concentrations could be measured in amniotic fluid cells (0.75 µmol/mol guanine) and other fetal tissues in the order spleen, heart, adrenals followed by the fetal testes, brain cerebellum, skin, cord blood, fetal venous blood, female genital tract, lung and kidney. About a 10-fold lower adduct concentration was found in dams exposed to the 0.1 mg/kg dose. Data from this study confirm the importance of the liver in the biotransformation/bioactivation of NDMA, along with a wide array of extrahepatic tissues. Results are also consistent with the transplacental passage of this *N*-NA.

## DNA adduct formation

As mentioned above, the initial and critical step for the carcinogenicity of **NDMA** is hydroxylation of the methyl group, catalysed mainly by CYP2E1 (Preussmann and Stewart, 1984). This process, known as  $\alpha$ -hydroxylation, is the common metabolic activation reaction for most dialkyl and cyclic *N*-NAs (Figure 1). The initial product of this reaction in the case of NDMA is  $\alpha$ -hydroxydimethylnitrosamine, an unstable intermediate that spontaneously decomposes yielding formaldehyde and methyl diazohydroxide and/or the methyl diazonium ion (Mochizuki et al., 1979; Chowdhury et al., 2012). The methyl diazohydroxide/diazonium ion intermediates are highly reactive electrophiles which alkylate DNA, RNA and proteins; the other product of this reaction is molecular nitrogen (Kroeger-Koepke et al., 1981a,b). These reactions, including DNA adduct formation, generally take place at the site where the intermediate methyl diazohydroxide is generated. Extensive studies in laboratory animals treated with NDMA have characterised the DNA adducts formed, which, after low oral doses, are found mainly in the liver. The same adducts have also been identified in the hepatic DNA of a human murdered by intentional poisoning with NDMA (Herron and Shank, 1980); see more details in Section 3.1.1.2.

A comprehensive examination of DNA adducts in the livers of rats treated with NDMA demonstrated that *N*7-methylguanine (*N*7-Me-Gua) and *O*<sup>6</sup>-Me-Gua (Figure 2) were the major adducts observed, in approximately a 10:1 ratio, 2 h after a dose of 10 mg/kg NDMA, given by i.p. injection. Considerably lower amounts of 3-Me-Gua, 3-Me-Ade, 7-Me-Ade, *O*<sup>2</sup>-Me-Cyt, *O*<sup>2</sup>-Me-Thy, *O*<sup>6</sup>-Me-Thy, 3-Me-Thy, as well as methyl phosphate adducts were also observed (Den Engelse et al., 1986). Most studies have focused on 7-Me-Gua and *O*<sup>6</sup>-Me-Gua in tissues of rats treated with NDMA. In one typical study, male F344 rats were treated with NDMA (0.055 mmol/kg) by s.c. injection and sacrificed 4 h later. Levels of 7-Me-Gua and *O*<sup>6</sup>-Me-Gua in hepatic DNA averaged 1420 µmol/mol Gua and 170 µmol/mol Gua, respectively, while the corresponding amounts 24 h after injection were 680 µmol/mol Gua and 45 µmol/mol Gua (Hecht et al., 1986).



**Figure 2:** Structures of 7-Me-Gua and O<sup>6</sup>-Me-Gua

While the initial levels of 7-Me-Gua and O<sup>6</sup>-Me-Gua formed in tissues of rats treated with NDMA are typically about 10:1, reflecting the reactivity of the methyl diazonium ion with Gua in DNA, this ratio increases with time because O<sup>6</sup>-Me-Gua is repaired by O<sup>6</sup>-alkylguanine DNA alkyltransferase. This protein directly removes a methyl group (and other related alkyl groups) from the O<sup>6</sup>-position of Gua, restoring the Gua structure in DNA (Pegg, 2011). This unique protein acts only once and is degraded after the transfer of the methyl group. Therefore, AGT is very important in controlling levels of O<sup>6</sup>-alkylGua adducts and the resulting carcinogenicity of DNA alkylating agents such as NDMA.

O<sup>6</sup>-Me-Gua has miscoding properties and is considered to be critical in carcinogenesis by NDMA and related methylating carcinogens. The miscoding properties were demonstrated by experiments in which the O<sup>6</sup>-Me-Gua adduct was specifically and uniquely inserted into a strand of DNA, which was then replicated demonstrating G to A transition mutations (Delaney and Essigmann, 2008). The persistence of O<sup>6</sup>-Me-Gua in DNA is critical in carcinogenesis by DNA methylating agents such as NDMA (Margison and Kleihues, 1975; Peterson, 2017).

In summary, in all tested species, NDMA appears to be rapidly and completely absorbed upon oral exposure. Wide species-related differences in the systemic bioavailability via the oral route have been reported, with low values in rodents (up to 10%) (mice, rats and hamsters) and much higher values in Patas monkeys, pigs and Beagle dogs (range 49–93%). In the bloodstream, NDMA is essentially free, as no significant binding to plasma proteins has been demonstrated; it is distributed to all tissues with no tendency to accumulate. For hamsters and Patas monkeys, indirect evidence has been provided of NDMA transplacental passage and distribution to fetal tissues.

The clearance of NDMA from blood occurs primarily via metabolism. According to oral bioavailability data, hepatic extraction (first pass effect) is maximal in rodents and is expected to be less significant in Patas monkeys, pigs and dogs in which extrahepatic organs are believed to play a significant role in the NDMA metabolic fate. NDMA is metabolised by CYP2E1 and likely by other oxidases, to  $\alpha$ -hydroxydimethylnitrosamine, an unstable intermediate that spontaneously decomposes yielding formaldehyde, methyl diazohydroxide and/or the methyl diazonium ion, a highly reactive alkylating agent involved in the initiation of carcinogenesis. Denitrosation of NDMA, yielding formaldehyde and monomethylamine, has also been demonstrated; it is believed to be a competitive pathway also mediated by CYP2E1. NDMA metabolism is saturable by high dosages or the co-exposure to other CYP2E1 substrates (e.g. ethanol, isopropyl alcohol, etc.); under these conditions, NDMA clearance is greatly reduced and a higher amount of the N-NA escapes liver and may be distributed to extrahepatic organs.

Biliary and urinary excretion routes are of minor quantitative importance. Biliary excretion has been documented in rats and pigs. In the pigs, evidence has been provided of entero-hepatic circulation. Small amounts of NDMA are excreted in urine, where metabolic products may be found. Among them, limited data in rats suggest that methylamine (a denitrosation product) may account for a significant amount of the orally administered dose (around 30%). There is limited indirect evidence of the milk excretion of NDMA and its metabolites.

The NDMA  $\alpha$ -hydroxylation, catalysed mainly by CYP2E1, produces unstable  $\alpha$ -hydroxydimethylnitrosamines which spontaneously decompose to methyldiazonium ions that react easily with DNA bases producing DNA adducts such as 7-Me-Gua and O<sup>6</sup>-Me-Gua. The DNA repair enzyme O<sup>6</sup>-Me-Gua-DNA-methyltransferase removes the O<sup>6</sup>-Me-Gua adducts. If unrepaired, O<sup>6</sup>-Me-Gua adducts cause miscoding to generate principally G > A transition mutations which can lead to the initiation of cancer.

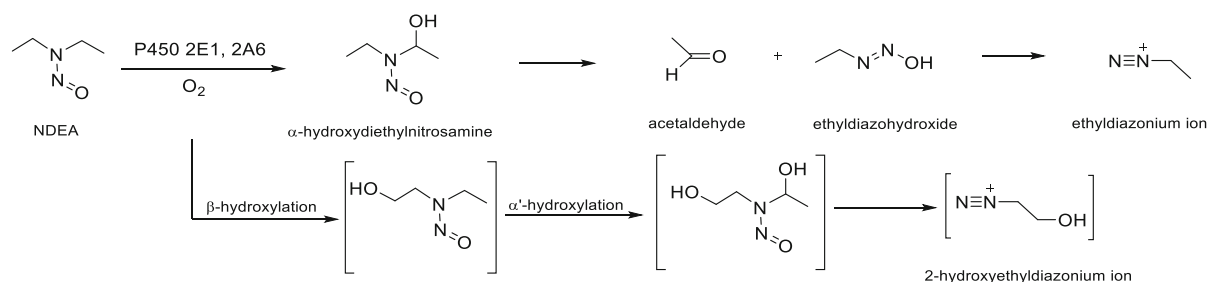


## NDEA

### ADME

#### *In vitro* studies

A very limited number of *in vitro* studies have been performed with **NDEA**. It is acknowledged that the metabolic pathways are similar to those undergone by NDMA. The CYP-mediated  $\alpha$ -hydroxylation generating the highly reactive ethyl diazonium ion (Figure 3), responsible for DNA ethylation, is considered the key bioactivating step.



**Figure 3:** Metabolism of NDEA catalysed by CYPs

Metabolic products of this pathway include acetaldehyde as well as acetic acid and CO<sub>2</sub> (not shown in Figure 3). Alternatively,  $\beta$ -hydroxylation and secondary  $\alpha$ -hydroxylation of NDEA may form, via several intermediates, 2-hydroxymethyldiazonium. Denitrosation has been also reported with the ultimate generation of nitrite (Montesano and Magee, 1974; Camus et al., 1993; Chowdhury et al., 2012). Similar to NDMA, studies with rat liver microsomes point to a significant role for CYP2E1 in both NDEA de-ethylation and denitrosation; CYP2E1 was found to be responsible for about half of the low *K<sub>m</sub>* (~40  $\mu$ M) for NDEA-deethylase activity in control liver microsomes and about two-thirds of the same activity in microsomes from rats in which CYP2E1 was induced by acetone treatment (Yoo et al., 1990). In a comparative study concerning the metabolic fate of NDEA compared to NDMA, the NDEA N-deethylation rate was slightly decreased (~15%) in ethanol-induced microsomes and increased eightfold in phenobarbital-induced microsomes, also pointing to a significant role for CYP2B and possibly other phenobarbital-inducible CYPs in CYP-mediated NDEA metabolism (Gorsky and Hollenberg, 1989). By contrast, the rate of NDMA N-demethylation was found to be greatly increased (+90%) in microsomes from ethanol-pre-treated rats but slightly decreased (~10%) in microsomes from phenobarbital-pre-treated rats. These results are in line with previous findings of Longo et al. (1986) who found increased NDEA N-deethylase activity in liver microsomes from phenobarbital induced-rats and hamsters. In another study, a CYP2A-5 antibody was able to inhibit NDEA N-deethylase (measured as acetaldehyde production) up to 40% in liver microsomes from control mice and up to 90% in pyrazole- (a CYP2A inducer) treated mice (Camus et al., 1993).

Tissue- and species-related differences in the rate of NDEA bioactivation have been reported (Montesano and Magee, 1974). A study was designed to compare the rate of NDEA bioactivation (acetaldehyde production) in liver and nasal mucosa microsomes from rats and Syrian golden hamsters (Longo et al., 1986). A higher *V<sub>max</sub>* and a lower *K<sub>m</sub>* were found in hamster vs. rat preparations, with striking differences (about ninefold higher *V<sub>max</sub>*) concerning nasal mucosa microsomes. These results are consistent with the particular sensitivity of NDEA-exposed hamsters in developing tumours in the respiratory tract, and notably in nasal cavity and trachea, irrespective of the administration routes (IARC, 1978).

Mouse tissue slices were incubated with 2.5  $\mu$ M [<sup>14</sup>C] NDEA for 60 min and radioactivity incorporated in the acid-insoluble tissue precipitates was determined and taken as index of tissue-specific NDEA bioactivation. The amount of measured radioactivity was in the order sublingual gland > lacrimal gland > oesophagus >> liver, pointing to the ability of several extrahepatic tissues in bioactivating NDEA. The expression of NDEA metabolising CYPs has been reported as a major determinant of the development of tumours in certain tissues. For example, Ribeiro Pinto et al. (2001) found that CYP2E1 was exclusively expressed in liver, while CYP2A3 was only found in the oesophagus; the *K<sub>m</sub>* for NDEA N-deethylase in oesophageal microsomes was about one-third of that calculated in liver microsomes (Ribeiro Pinto et al., 2001) and similar results were obtained using tissue slices (Ribeiro Pinto, 2000). Results from these studies suggest that in rat oesophagus, CYP2A3 is mainly responsible for NDEA bioactivation and may induce tumour formation even at low doses.

It is acknowledged that the first-pass clearance of *N*-NAs in liver, which is particularly high in rodents, is one of the most important factors affecting their oral bioavailability (Okada, 1984). In a comparative study (Graves and Swann, 1993), rat livers were perfused with NDMA, or NDEA and the rate of liver uptake was measured as the difference between the *N*-NA concentrations in the blood entering and leaving the liver, respectively. At 5  $\mu\text{M}$ , about 80% of NDMA was removed (metabolised) by the liver, while the maximum clearance for NDEA was 50% with a slight increase to 60% at extremely low concentrations (0.05  $\mu\text{M}$ ); the  $K_m$  for NDMA clearance was calculated as 2.3  $\mu\text{M}$  and the maximum rate for uptake was about 11 nmol/min/g liver while the values for NDEA were 10.6  $\mu\text{M}$  and about 9 nmol/min/g liver, respectively. It was concluded that, especially at low concentrations, hepatic clearance of NDEA is lower than that of NDMA, and therefore, a comparatively higher amount of NDEA is expected to enter the systemic circulation and be distributed to tissues.

### ***In vivo studies***

Scant information is available concerning the fate of **NDEA** in experimental species.

A study was conducted in lactating goats orally exposed to single NDEA doses (unspecified breed, weight range 32–47 kg) (Juszkiewicz and Kowalski, 1974). The administration of 20 mg NDEA/kg bw resulted in measurable amounts of NDEA in blood as early as half an hour post-dosing, reaching a peak (5.2 mg NDEA/kg) at 1 h; 12 h after administration, 0.3 mg NDEA/kg could be still recovered. When goats were administered with increasing NDEA amounts (1.0, 2.5, 5.0, 10.0, 20.0, 30.0 mg/kg bw, respectively), measured NDEA blood levels at 1 h (peak) were not proportional to the administered dose; e.g. 0.01, 0.72 and 5.3 mg NDEA/kg were found in animals, respectively, dosed with 1.0, 5.0 and 20.0 mg/kg bw. These results point to a dose-related saturation of the metabolic (presumably hepatic) clearance as previously reported for NDMA in rodents. Milk excretion was also investigated in the same study. After the exposure to 30 mg NDEA/kg bw, NDEA concentrations (4.9 mg/kg) were already detectable after 0.5 h and peaked at 4 h (14.7 mg/kg); 0.008 mg/kg were detected after 24 h and traces could still be recovered 36 h after treatment.

Rajewsky and Dauber (1970) investigated the tissue distribution, plasma protein binding and urine excretion of diethyl-(mono-2[3H])-nitrosamine (3H-NDEA) in adult male rats after the administration of a single oral dose (16 mg/kg bw). The tissue-specific concentrations of 3H-NDEA were monitored up to 240 h. There was a very rapid decrease in the first 8 h and a much slower fall for the remaining time, reflecting the binding of 3H to cell components with a slower turnover. At 240 h, measured tissue radioactivity was maximal in liver, followed by kidney (74% of liver radioactivity), spleen (40%), small intestine (18%) and lung (14%). Only 0.3% of the radioactivity was associated with plasma proteins, mainly with alpha-globulins. The 3H-NDEA excretion amounted to 6% and 25% in urine collected for 4 and 24 h, respectively.

The NDEA tissue distribution was examined with the autoradiography technique in mice injected intravenously with [ $^{14}\text{C}$ ] NDEA (2.2  $\mu\text{Ci}$ ; 0.8 mg/kg) (Brittebo et al., 1981a). A homogeneous distribution of the volatile radioactivity was found in the autoradiograms from mice euthanised 1 and 5 min after dosing, indicating the ability of the unmetabolised molecule to freely pass across biological membranes and be distributed to most tissues. At the same time, however, a strong, non-volatile radioactivity (expression of NDEA formation of reactive metabolites) was found in the mucosa of the nose and trachea, the respiratory tract, the oesophagus, the tongue, the forestomach, as well as in liver and in the kidney cortex. In autoradiograms from mice euthanised after 30 min, radioactivity was also present in gall and urinary bladders as well as in tissues with high metabolic turnover (exocrine pancreas, gastrointestinal tract, bone marrow). In the same study, pretreatment with the CYP2E1 inhibitor diethyldithiocarbamate (DDEC) was expected to reduce the extent of NDEA biotransformation; accordingly, a twofold increase in serum total radioactivity and a fourfold (lung) or threefold (liver) decrease in tissue radioactivity was detected in DDEC-pretreated mice euthanised 15 min after NDEA administration.

Nursing female rats were dosed once (gastric tube) with 40 mg NDEA (130 mg NDEA/kg bw) and returned to the litter 30 min after dosing. After 2, 4, 6 or 49 h, five pups per time point were sacrificed and the gastric content (clotted milk) removed and analysed for NDEA; the corresponding amounts were 5, 15 and 36 mg/L, respectively, while less than 1 mg/L (LOD) was found after 49 h (Schoental et al., 1974). In a parallel experiment in which nursing rats were dosed several times with NDEA (130 mg/kg bw), pups developed tumours in their later life (Schoental et al., 1974).

Pregnant (14 days of gestation) Syrian hamsters were treated s.c. with 100 mg/kg bw NDEA (Althoff et al., 1977). Animals were then sacrificed, and the blood, amniotic fluid, placental and fetal tissues (unspecified) of three hamsters per interval (from 0.25 to 5 h) were collected and analysed for NDEA

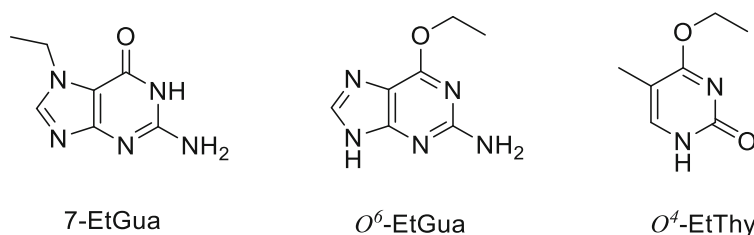
(GLC method). Peak levels (around 5 µg/kg) in fluids, placental and fetal tissues were reached after 0.5 h and then rapidly declined with trace concentrations (around 0.2 µg/kg) still detectable after 5 h.

NMRI female mice were injected i.v. with [<sup>14</sup>C] NDEA at day 12, 14, 16 and 18 of pregnancy and euthanised after 2 or 30 min (Brittebo et al., 1981b). A transplacental passage of the volatile (non-metabolised) [<sup>14</sup>C] NDEA could be demonstrated on all the studied days of gestation to most fetal tissues with an even distribution. Tissue-bound radioactivity (expression of NDEA bioactivation) was present in fetuses of days 18 of gestation but not in earlier stages of pregnancy, particularly in lung (bronchial tree) and liver, which are known targets of NDEA transplacental carcinogenesis in mice. These results highlight the importance of the time-related development of metabolic competence of fetal tissues to bioactivate procarcinogens.

### DNA-adduct formation

The major observed pathway in the metabolism of **NDEA** is  $\alpha$ -hydroxylation, leading to the formation of the ethyl diazonium ion, a powerful DNA ethylating species and acetaldehyde, as indicated in Figure 3.

Consequently, ethylation of DNA is observed in laboratory animals treated with NDEA. The overall pattern of DNA ethylation is very similar to that observed with NDMA, but *N7*-Et-Gua, *O*<sup>6</sup>-Et-Gua and *O*<sup>4</sup>-Et-Thy (Figure 4) are among the most abundant adducts and have been studied in the most detail. In the case of  $\beta$ -hydroxylation and a secondary  $\alpha$ -hydroxylation of NDEA, the generated 2-hydroxymethyldiazonium may form adducts such as *N7*-HOEt-Gua. Further details on the adducts so far characterised are reported in Li and Hecht (2022). Chronic treatment of rats with NDEA led to accumulation in liver or oesophagus of *O*<sup>6</sup>-Et-Gua and *O*<sup>4</sup>-Et-Thy, which are believed to be important in carcinogenesis by NDEA (Verna et al., 1996).



**Figure 4:** Structures of *N7*-Et-Gua, *O*<sup>6</sup>-Et-Gua and *O*<sup>4</sup>-Et-Thy

In summary, based on a limited data set, NDEA appears to follow the main metabolic routes similar to those undergone by NDMA, i.e. an oxidative bioactivation yielding the highly reactive ethyldiazonium ion capable of alkylating DNA, followed by a denitrosation route which is very likely representing a detoxification pathway. According to *in vitro* studies, not only CYP2E1 but also other CYPs like CYP2B and CYP2A are involved in NDEA bioactivation in liver and in extrahepatic organs/tissues (e.g. respiratory tract, oesophagus), with reported tissue- and species-related differences in their catalytic efficiency. Studies with perfused rat livers indicate that hepatic NDEA extraction would be lower than that displayed for NDMA under comparable conditions.

According to the few available studies, oral NDEA seems to be rapidly and extensively absorbed and distributed to liver and extrahepatic tissues, with very limited plasma protein binding. In experiments with labelled NDEA, after a first phase of rapid decrease, tissue radioactivity declined more slowly, likely reflecting tissue bioactivation and DNA alkylation. In a rat experiment, 25% NDEA-related radioactivity was excreted in the urine in the 24 h following an oral dose, and indirect evidence of biliary excretion has been provided in mice.

Milk transfer has been well documented in goats and rats and transplacental passage has been demonstrated in rodents.

Similar to NDMA,  $\alpha$ -hydroxylation is the metabolic pathway leading to the formation of the ethyl diazonium ion that reacts easily with DNA bases producing DNA adducts such as *N7*-Et-Gua, *O*<sup>6</sup>-Et-Gua and *O*<sup>4</sup>-Et-Thy which are related to the initiation of cancer.

### NMEA

#### ADME

The CYP-dependent oxidative dealkylation of NMEA was studied in rat liver microsomes and measured as the amount of the released formaldehyde and acetaldehyde; the employed preparations

were found to efficiently perform both *N*-demethylation and *N*-deethylation, the latter with a higher catalytic efficiency (4.7 nmol CH<sub>3</sub>CHO/min/mg protein vs. 2.5 nmol HCHO/min/mg protein) (Chau et al., 1978). Both reactions are thought to be involved in the bioactivation of the *N*-NA leading to the generation of the alkylating intermediates (von Hofe et al., 1986a). After NMEA *N*-deethylation, the formation of *N*-nitrosomethyl(2-hydroxyethyl)amine (NMHA) has been postulated (Streeter et al., 1990a).

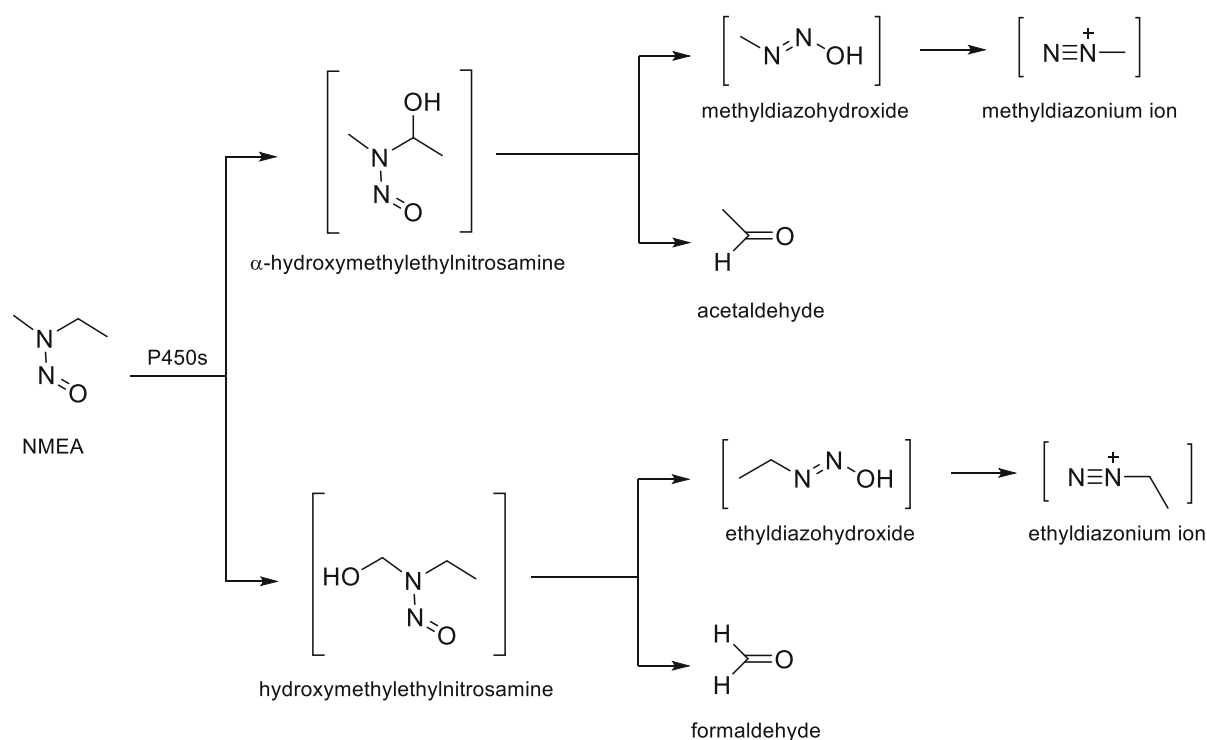
In a study performed with purified rabbit liver CYPs, the ethanol-inducible isoform (LM<sub>3a</sub>, likely corresponding to CYP2E) was the most active one in bringing about either NMEA *N*-demethylation (measured as the rate of HCHO generation) or NMEA-denitrosation (nitrite generation) (Yang et al., 1985).

The single-dose toxicokinetics of [<sup>14</sup>C] NMEA were studied in 8-week-old male Fischer 344 rats (Streeter et al., 1990a). Both unchanged NMEA and total radioactivity were measured. The i.v. bolus dose of 0.6 μmol/kg resulted in a biphasic first-order elimination of the unchanged NMEA with a distribution (α-phase) of 1.23 min<sup>-1</sup> and an elimination (β-phase) of 0.07 min<sup>-1</sup>. The AUC value was 16.4 nmol/min/ml, MRT was 12.8 min, Vdss was 496 mL/kg and systemic clearance was 40 mL/min/kg. When comparing data obtained for total blood radioactivity, it was noted that while values for the α-phase were superimposable, those of elimination (β-phase) were much greater. This indicated that NDEA was removed from the systemic circulation at a much higher rate than total radioactivity, pointing to an extensive NMEA conversion to more polar metabolites. Of note, the administration of a single large dose (27.5 μmol/kg) by gavage resulted in three distinct exponential phases for the unchanged compound, with an α, β and γ phases amounting to 0.09, 0.02 and 0.58 min<sup>-1</sup> respectively; MRT was 40.9 min and Vdss was not calculated. The comparison of AUC after oral and i.v. administration allowed to estimate the systemic NDEA bioavailability to be around 25%, indicating a remarkable first pass effect. Plasma protein binding was negligible. The renal excretion was investigated after a single i.v. treatment (4.16 μmol/kg). A total of 16.7% total radioactivity was detected in urine samples collected over 72 h with no measurable amount of unchanged NDEA. No measurable blood or urine levels of NMHA could be detected. Finally, no significant differences in any of the measured kinetic parameters were found when similar doses of β-deuterated NMEA were used; this form has been reported to cause a remarkable increase in the adduct formation in rat oesophagus with respect to non-deuterated NDEA (Lijinsky et al., 1982).

No information on tissue distribution, transplacental passage and mammary excretion were retrieved.

### DNA-adduct formation

The two α-hydroxylation pathways of NMEA bioactivation are shown in Figure 5 (Li and Hecht, 2022): α-hydroxylation on the methyl group generates the ethyldiazonium ion and formaldehyde, whereas α-hydroxylation on the ethyl group gives rise to the methyldiazonium ion and acetaldehyde. Both diazonium ions may alkylate DNA. *N*7-Me-Gua, *N*7-Et-Gua, *O*<sup>6</sup>-Me-Gua and *O*<sup>6</sup>-Et-Gua were the most abundant adducts while *N*3-Et-Gua, *N*3-Et-Ade and *N*7-Et-Ade were identified as minor products (von Hofe et al., 1986b).



**Figure 5:** NMEA metabolic activation

When rats were treated with a single i.p. injection of NMEA, *N7*-Me-Gua and *N7*-Et-Gua were found in the DNA of liver, kidney and oesophagus, while *O*<sup>6</sup>-Me-Gua occurred only in the liver and kidneys (von Hofe et al., 1991). *N7*-Me-Gua occurred at the highest concentration in the liver, followed by the kidneys (15-fold lower), oesophagus (100-fold lower) and lung (200-fold lower) (von Hofe et al., 1986a). In hepatic DNA, the levels of *N7*-Me-Gua exceeded *N7*-Et-Gua by 170–200 times suggesting that DNA-methylating events predominated in this organ (von Hofe et al., 1986a). This is in contradiction to the high catalytic efficiency of the *N*-deethylating pathway, reported above.

In summary, few data are available concerning the fate of NMEA in experimental animals. Both *N*-demethylation and *N*-deethylation have been demonstrated in rat liver preparations and are reported to represent the key bioactivation pathways; *N*-deethylation is seemingly prevalent over *N*-demethylation. An ethanol inducible CYP isoform (presumably CYP2E1) has been reported as the most efficient one in catalysing both NMEA *N*-demethylation and denitrosation in rabbit liver microsomes. In the only study addressing the *in vivo* kinetics, NMEA was found to rapidly disappear from the systemic circulation likely due to an extensive metabolism; an oral bioavailability of ~ 25% could be calculated, pointing to a remarkable first pass effect. Accordingly, no unchanged NMEA but only radioactivity pertaining to metabolites could be detected in the 72h urine samples of rats dosed once with labelled NMEA.

No information on the identity of metabolites, tissue distribution, transplacental passage and mammary excretion were retrieved.

Bioactivation ( $\alpha$ -hydroxylation) may occur at the methyl- or ethyl-group of NMEA. Application of NMEA to rats generated *N7*-Me-Gua and *N7*-Et-Gua in the DNA of liver, kidney and oesophagus and *O*<sup>6</sup>-Me-Gua in the liver and kidney. In the liver, *N7*-Me-Gua was predominant and exceeded *N7*-Et-Gua by 170–200 times.

## NDPA

## ADME

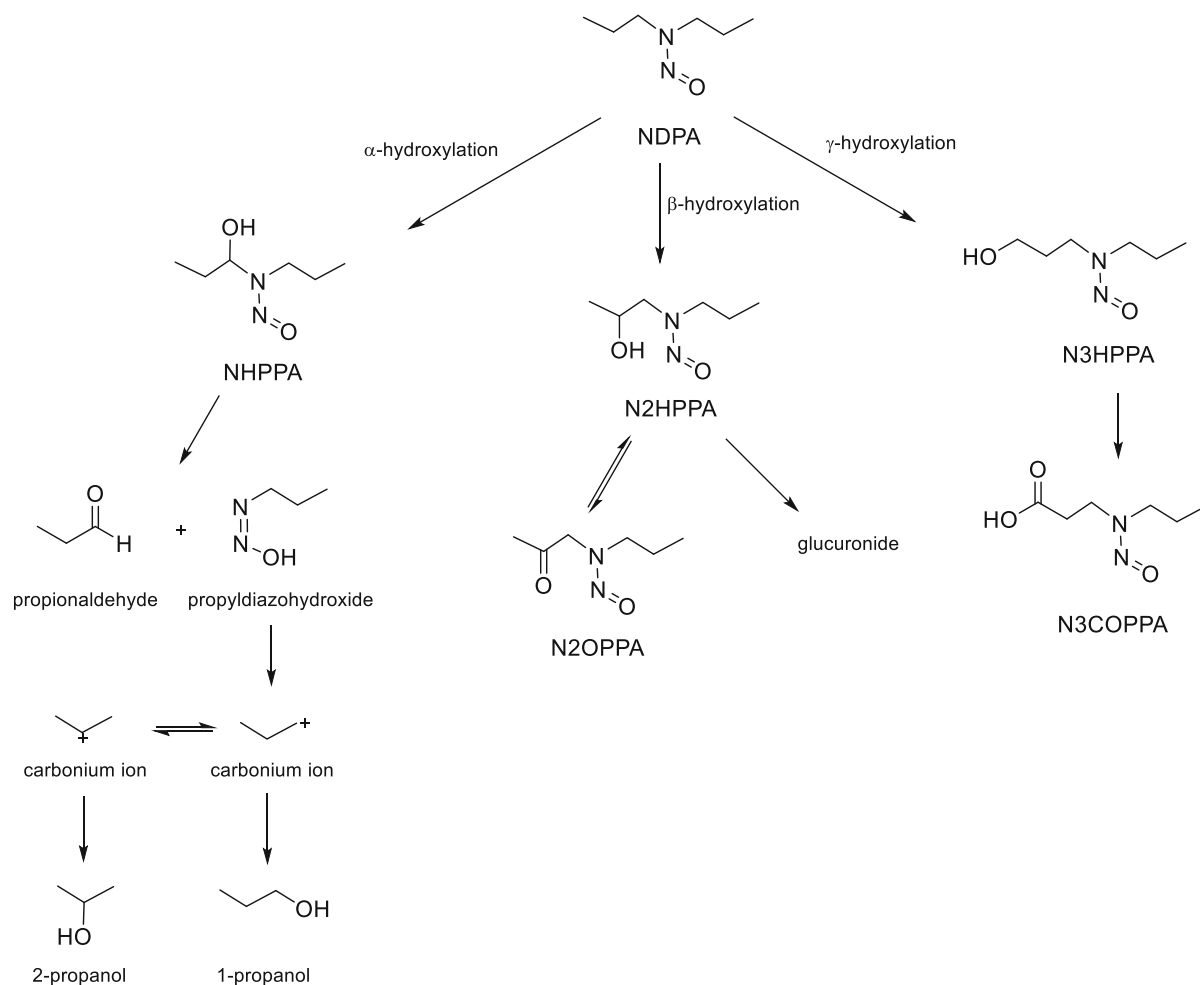
### *In vitro* studies

A limited number of *in vitro* studies performed in rodents indicate that the metabolic pathways are similar to those undergone by other *N*-nitrosodialkylamines (Figure 6). Accordingly, the  $\alpha$ -carbon hydroxylation is considered the primary pathway. The cleavage of the C-N bond gives rise to propionaldehyde as well as 1-propanol and 2-propanol as metabolites; both 1-propanol and 2-propanol are generated through an unstable, highly reactive propyl cation (carbonium ion), which is thought to



react with nucleic acids forming propylated DNA adducts. Further hydroxylation reactions also involve the  $\beta$ - and the  $\gamma$ -carbons. Besides the production of the metabolites resulting from the  $\alpha$ -carbon hydroxylation, the incubation of NDPA 0.28 mM with rat liver microsomes yielded *N*-nitroso-2-hydroxypropylpropylamine (N2HPPA) at levels of only 15% of  $\alpha$ -oxidation (Park and Archer, 1978). Subsequent research also pointed to the formation of a further oxidised metabolite, *N*-nitroso-2-oxo-propylpropylamine (N2OPPA). Both N2HPPA and N2OPPA are potent liver carcinogens in rat and mouse (Althoff et al., 1974 as cited in Teiber et al., 2001), as a likely consequence of the generation of an alkylating derivative from the CYP-mediated  $\alpha$ -hydroxylation of N2OPPA (Leung and Archer, 1985; Teiber et al., 2001)

Studies with rat liver hepatocytes (Bauman et al., 1985) showed that the glucuronide of N2HPPA is the main metabolic product of NDPA  $\beta$ -hydroxylation; little amounts of the  $\gamma$ -carbon hydroxylation derivatives *N*-nitroso-3-hydroxypropylpropylamine and its oxidated product *N*-nitrosopropyl (carboxyethyl) amine were also detected. In that study,  $\alpha$ -carbon hydroxylation derivatives were not detected possibly due to the low sensitivity of the analytical techniques.



**Figure 6:** Metabolism of NDPA (modified ATDSR, 2019)

Shu and Hollenberg (1996) investigated the involvement of different CYPs in the oxidative NDPA metabolism using liver microsomes from rats treated with different inducers (phenobarbital (PB), pyrazole, beta-naphthoflavone, BHT, BHA or clofibrate). The CYP-mediated NDPA oxidation was assayed by measuring the extent of the released propionaldehyde, a product of the  $\alpha$ -carbon hydroxylation pathway. As compared to uninduced rats, the lowest  $K_m$  was detected upon the incubation with microsomes from PYR-treated rats, while considerable increase in  $V_{max}$  occurred with preparations from either PB- or PYR-induced rats. Further assays with reconstituted systems containing purified rat CYP2B1 or rabbit CYP2E1, or monoclonal antibodies specific for rat CYP2B1 or CYP2E1 further confirm the major role played by CYP2B1 and CYP2E1 in NDPA  $\alpha$ -carbon hydroxylation. More



studies performed with rat liver preparations indicated that both CYPs participate in the oxidation of N2HPPA to N2OPPA (see above), while the formation of alkylated-DNA adducts was demonstrated in CYP2E1-transfected T5 cells incubated with N2OPPA (Teiber et al., 2001).

### ***In vivo studies***

No studies investigating TK parameters were retrieved. Information about NDPA oral bioavailability in rodents was inferred from urine analysis of exposed animals (see below). No specific reports on NDPA tissue distribution were located. The placental transfer of a single s.c. NDPA dose (100 mg/kg bw) was studied in pregnant Syrian hamsters at day 8, 10, 12 or 14 of gestation (5–6 animals/group) (Althoff et al., 1977). After sacrifice, NDPA was measured in maternal blood, placenta, fetal tissues (unspecified) and amniotic fluid showing maximal levels for maternal blood (about 1 µg/g) and amniotic fluid (about 9 µg/g), at 45 and 90 min, respectively, after s.c. injection. The placenta and fetus had the highest NDPA concentrations at 90 min (range 8–9 µg/g); NDPA was no longer detectable 4 h after treatment.

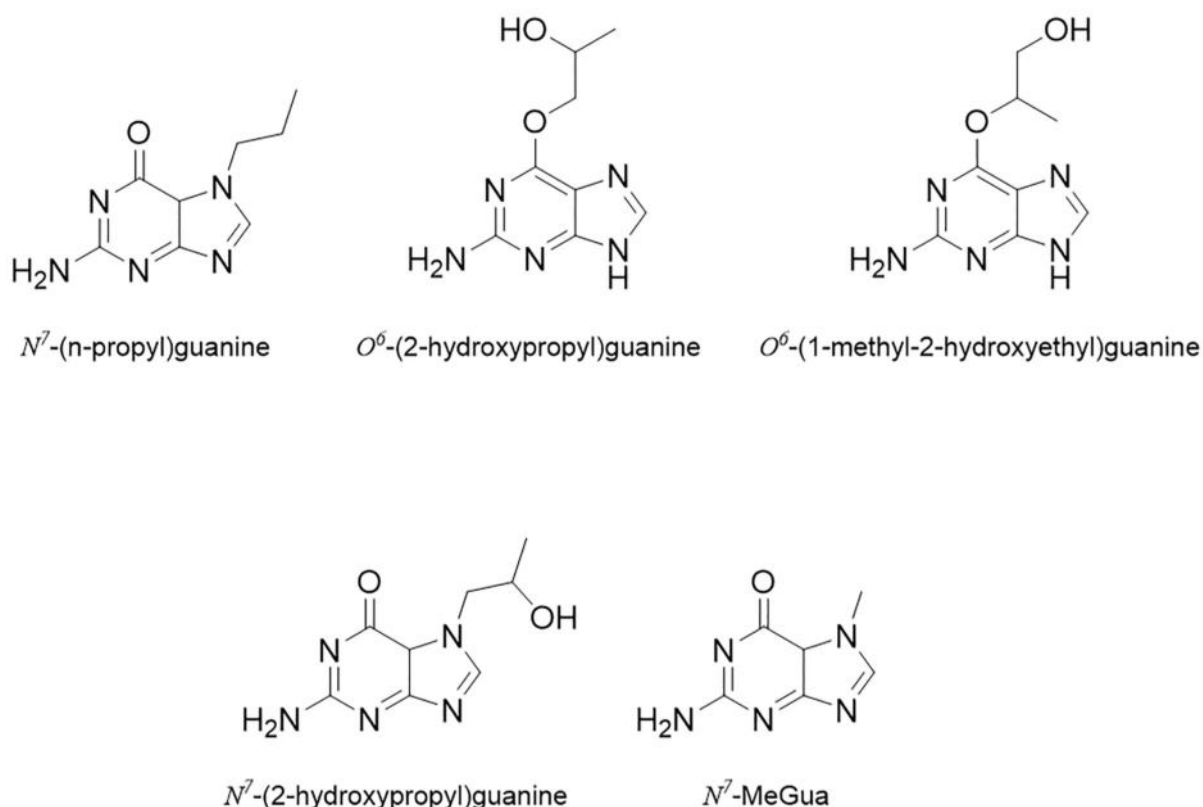
The urinary excretion of NDPA and its metabolites was investigated in a few studies. Sprague-Dawley rats were i.p. administered with 77 mg NDPA/kg bw and urine was collected over 24 h. Only 0.08% of the administered dose was recovered as the unchanged compound; after β-glucuronidase urine treatment, N2HPPA (a β-hydroxylation product, see above) amounted to about 5% while only traces of its oxidated derivative NOPPA could be detected (Leung and Archer, 1981). In a further report (Suzuki and Okada, 1981), male Wistar rats were i.g. dosed with NDPA (on average 125 mg/animal) and urine was collected over 48 h. The lack of unchanged NDPA suggested an extensive metabolism. The major pathway was the oxidation of one propyl chain yielding *N*-nitroso-3-oxopropylpropylamine (N3OPPA), amounting to 5% of the administered dose; the obligatory intermediary metabolite N3HPPA was not detected. Other minor oxidation products were N2HPPA glucuronide and nitrosopropyl(carboxyethyl) amine.

Syrian hamsters (14 days of pregnancy) were treated s.c. with 100 mg/kg bw NDPA (Althoff et al., 1977). After sacrifice, the blood, amniotic fluid, placental and fetal tissues (unspecified) of three hamsters per interval (from 0.25 to 4–6 h) were collected and analysed for NDPA (GLC method). The placenta and fetus showed the highest NDPA concentrations at 90 min, and at this time, similar high levels were seen in maternal blood and amniotic fluid (around 8–10 µg/g); NDPA was not detected 4 h after dosing. The transplacental passage resulted in the development of a variety of tumours in the F1 generation (Section 3.1.2.6.1).

No information on milk excretion of NDPA or its metabolites was available.

### **DNA adduct formation**

Information on NDPA-DNA adducts is limited. In cultured primary rat hepatocytes, alkylation of DNA by [ $\alpha$ - $^{14}$ C]NDPA has been clearly proven (Shu and Hollenberg, 1997). *N*7-Me-Gua and *N*7-(*n*-propyl) guanine (*N*7-*n*-Pr-Gua) were detected in the hepatic RNA of rats treated with [ $^{14}$ C]NDPA. This provides evidence that both, the α- and β-hydroxylation pathway, are involved in the metabolic activation of NDPA (Krüger, 1971, 1973; Krüger and Bertram, 1973; Li and Hecht, 2022).



**Figure 7:** Structures of propyl/hydroxypropyl DNA adducts

Some studies reported on adduct formation by NDPA analogues. [ $^3\text{H}$ ]N-nitroso-bis(2-hydroxypropyl) amine formed methyl and hydroxypropyl DNA adducts in rat and hamster tissues with highest concentrations in the liver; the following DNA adducts were identified:  $N^7$ -Me-Gua,  $O^6$ -Me-Gua,  $N^7$ -(2-hydroxypropyl)guanine,  $O^6$ -(2-hydroxypropyl)guanine and  $O^6$ -(1-methyl-2-hydroxyethyl)guanine (Figure 7) (Li and Hecht, 2022; Krüger 1973). Further NDPA analogues were studied in rats and hamsters. N-nitroso-bis(2-oxopropyl)amine generated  $N^7$ -Me-Gua and  $O^6$ -Me-Gua and N-nitroso-bis(2-oxopropyl)amine and N-nitroso-(2-hydroxypropyl)-(2-oxopropylamine) produced  $N^7$ -2-hydroxypropylguanine (Kokkinakis, 1990, 1991).

In summary, according to a limited number of *in vitro* studies, it may be concluded that NDPA undergoes CYP-mediated oxidations at the  $\alpha$ -,  $\beta$ - and  $\gamma$ -carbons. In common with other NAs, the  $\alpha$ -hydroxylation pathway, ultimately yielding propionaldehyde and other compounds, is the main bioactivation route generating DNA alkylating species. The oxidation at the  $\beta$ -carbon produces, among other compounds, both N2HPPA and its oxidised derivative N2OPPA, which are known liver carcinogens in rats and mice. Both CYP2B and CYP2E1 subfamilies seem to catalyse NDPA oxidations at  $\alpha$ - and  $\beta$ -carbons. In rats exposed to a single oral dose, no unchanged NDPA was detected in the 48-h urine, suggesting an extensive metabolism; N2HPPA was present in urine as a glucuronide. The transplacental passage has been documented while no studies on milk excretion could be retrieved.

The  $\alpha$ - and the  $\beta$ -hydroxylation pathways are involved in the metabolic activation of NDPA. Several methyl and hydroxypropyl DNA adducts were characterised. In rodents, the highest DNA adduct levels were found in the liver.

## NMBA

### ADME

No relevant information was retrieved on the absorption, distribution and excretion of NMBA.

### Metabolic activation and DNA adduct formation

Rat liver microsomes were found to catalyse the N-demethylation of NMBA; the catalytic efficiency was markedly increased with liver preparations from fasted rats (Tu and Yang, 1983). Denitrosation has been also reported (Lorr et al., 1982). In common with other N-nitrosodialkylamines, NMBA is

thought to be activated via a CYP-mediated  $\alpha$ -hydroxylation yielding the ultimate mutagens alkyldiazonium ions; NMBA mutagenic derivatives were also generated in *S. Typhimurium* TA1535 and *E. coli* WP2 uvrA substituting rat liver S-9 with  $\text{Fe}^{2+}$ - $\text{Cu}^{2+}$ - $\text{H}_2\text{O}_2$  (Tsutsumi et al., 2010). In rat liver microsomal preparations, CYP2B6, 2C9, 2C19 and 2E1 were efficient catalysts of NMBA  $\alpha$ -hydroxylation based on the generated amounts of formaldehyde and butyraldehyde (Bellec et al., 1996).

Treatment of rats with a single oral dose of 0.1 mmol/kg NMBA of NMBA produced 7-Me-Gua and  $\text{O}^6$ -Me-Gua predominantly in liver, followed by oesophagus, lung and kidney. Compared with related methyl alkyl N-NAs, relatively high levels of adducts were found in oesophagus, which is consistent with the carcinogenicity of NMBA in this tissue (von Hofe et al., 1987).

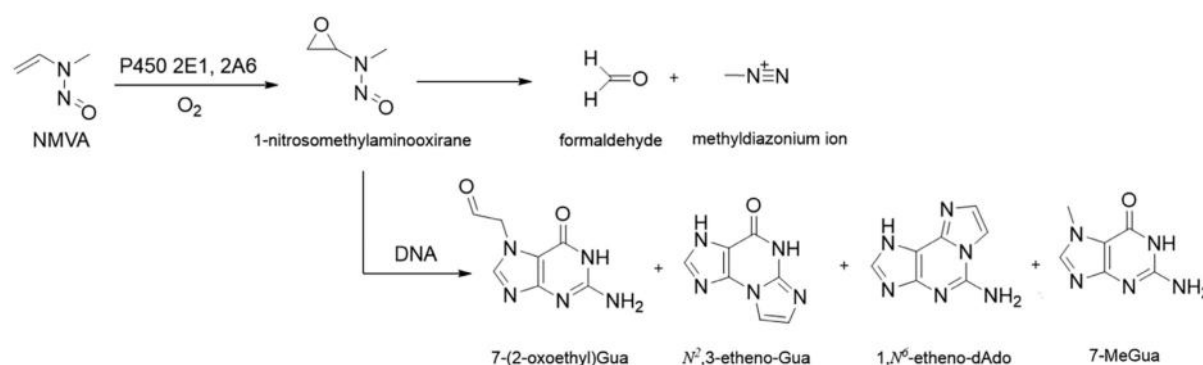
## NMVA

### ADME

No relevant information was retrieved on the absorption, distribution and excretion of NMVA.

### Metabolic activation and DNA-adduct formation

Chemical oxidation of NMVA with dimethyldioxirane or *m*-chloroperbenzoic acid produced the potential metabolite 1-nitrosomethylaminoxirane which was unstable, with a  $t_{1/2}$  of less than 5 s at 25°C and pH 7.4 (Okazaki et al., 1993). This unstable epoxide reacted with DNA to yield 7-(2-oxoethyl) Gua,  $\text{N}^2,3$ -etheno-Gua,  $1, \text{N}^6$ -etheno-dAdo and 7-Me-Gua (Figure 8). Formaldehyde was observed as a product of the *in vitro* metabolism of NMVA, and when DNA was included in the incubations, 7-Me-Gua and  $1, \text{N}^6$ -etheno-dAdo were isolated.



**Figure 8:** Formation and decomposition of 1-nitrosomethylaminoxirane from NMVA, and formation of DNA adducts

No relevant data on the ADME and DNA adduct formation was available for **NDIPA** or **NEIPA**.

#### 3.1.1.1.2. Acyclic non-volatile N-NAs

## NDBA

### ADME

#### *In vitro* studies

The metabolic fate of NDBA in rodent liver preparations was reviewed by Okada (1984). Similar to other N-nitrosodialkylamines, the  $\alpha$ -hydroxylation pathway yields aldehyde and alcohol derivatives and is involved in the generation of unstable reactive diazonium ions (see Figure 9 below), i.e. the ultimate DNA- and protein alkylating species, which in the rat have been linked to liver cancer. The rate of NDBA N-debutylation with formation of butyraldehyde is thought to represent a probe for  $\alpha$ -hydroxylation (Shu and Hollenberg, 1996). The remaining carbons of alkyl groups from  $\beta$ - to  $\omega$ -position can also be hydroxylated giving rise to 2-( $\beta$ ), 3( $\omega$ -1)- or 4( $\omega$ )-hydroxylated derivatives; the last two are referred to as N-nitroso-3-hydroxybutyl-butylamine (3-OH-NDBA) and N-nitroso-4-hydroxybutyl-butylamine (4-OH-NDBA), respectively (Bellec et al., 1996; Shu and Hollenberg, 1996). Convincing evidence has been provided that oxidation of the terminal C-atom ( $\omega$ ) generating 4-OH-NDBA is a prerequisite for induction of urinary bladder cancer in the rat (Gottfried-Anacker et al., 1985; Richter et al., 1988); the hydroxylation at the  $\omega$ -1 carbon, instead, is considered a detoxification pathway (Richter et al., 1988; Huang et al., 1993). All the hydroxylated metabolites may then undergo glucuronidation (Okada, 1984).

A further key metabolic step involving 4-OH-NDBA is represented by the two-step oxidation of the -OH group, which is likely mediated by cytosolic alcohol- and aldehyde dehydrogenases (Shu and Hollenberg, 1996). This leads first to the formation of an aldehyde-derivative and then of the carboxylic-derivative *N*-nitroso-3-carboxypropylbutylamine (BCPN), a powerful urinary bladder carcinogen in the rat (Irving et al., 1983). The biotransformation of 4-OH-NDBA and, to a much lower extent, of NDBA itself to BCPN has been demonstrated to occur in the isolated rat urinary bladder (Airoldi et al., 1987).

Finally, denitrosation (as measured by nitrite generation) was documented in rat liver microsomes as occurring at a lower rate (about 25%) than  $\alpha$ -hydroxylation (butyraldehyde formation) (Janzowsky et al., 1982).

Tissue-related differences have been reported in the generation of NDBA metabolites. In rat liver preparations, it was demonstrated that the  $\alpha$ -hydroxylation yielding butyraldehyde and butanol, and  $\omega$ -1 hydroxylation to 3-OH-NDBA are prevailing over the hydroxylation at other positions (Janzowsky et al., 1982). In a comparative study, the kinetics of NDBA biotransformation to 4-OH-NDBA and 3-OH-NDBA was determined in hepatic and extrahepatic (lung, kidney, intestine) rat microsomes (Richter et al., 1987). At low  $K_m$  values (2–10  $\mu\text{M}$ ), the highest catalytic efficiency ( $V_{\text{max}}$ ) for 3-OH-NDBA was found in liver followed by lung, kidney and intestine; on the contrary, the 4-OH-NDBA generation was prevalent (about 2- to 10-fold) in lung, kidney and intestine. Using isolated perfused rat small intestinal segments Richter et al. (1986) demonstrated an extensive enteric NDBA first-pass metabolism being as high as 95% at concentrations below 10  $\mu\text{M}$  and decreasing to about 30% at 200  $\mu\text{M}$ . In further similar experiments performed with jejunum or ileal segments (Richter et al., 1988) and NDBA concentrations in the range 0.32–730  $\mu\text{M}$ , the same research group found that 4-OH-NDBA, BCPN and other minor follow-up products accounted for 75–95% of total NDBA metabolites; the proximate bladder carcinogen BCPN was by far the most abundant metabolite that could be recovered in the absorbate, and the rate of its formation was decreased with the increase of NDBA concentrations. The authors conclude that under conditions of exposure to environmentally relevant concentrations, small amounts of the parent compound are able to reach the liver.

Tissue- and species-related differences in the generation of 4-OH- and 3-OH-NDBA have been reported. In general, lung microsomes showed a much higher rate of 4-OH-NDBA generation over 3-OH-NDBA, while the reverse (3-OH-NDBA > 4-OH-NDBA) occurred in liver preparations from all tested species (rabbit, guinea pig, hamster, rat, mouse and monkey). These data point to the presence of enzymes with high catalytic efficiency for 4-OH-NDBA production in lung and extrahepatic tissues when compared to liver.

As measured by the rate of butyraldehyde formation, a good indicator of the  $\alpha$ -hydroxylation pathway (Janzowsky et al., 1982), NDBA metabolism was increased nearly three- and sixfold in liver microsomes from phenobarbital (CYP2B)- or pyrazole (CYP2E1)-induced rats, respectively (Shu and Hollenberg, 1996). Bellec et al. (1996) investigated the rate of the formation of the NDBA metabolites resulting from the hydroxylation at different C positions in rat liver microsomes. In preparations from uninduced rats, they confirmed that the major site of hydroxylation was the  $\omega$ -1 yielding 3-OH derivatives, followed by the  $\omega$  (4-OH derivatives) and the  $\alpha$  (butyraldehyde). The latter pathway was strongly induced not only by phenobarbital (about fivefold) but also by dexamethasone (about 9-fold), pointing to the participation of CYP2B and CYP3A in NDBA  $\alpha$ -hydroxylation. Interestingly, both inducers markedly decreased the rate of formation of 4-OH NDBA by about 70% (phenobarbital) or 97% (dexamethasone).

### ***In vivo studies***

Scant information is available on NDBA ADME. The oral bioavailability may be inferred from a few studies addressing the excretion of this NA. Male Sprague-Dawley rats were intragastrically administered with 66.9 or 208.8 mg NDBA/kg bw and urine and faeces were collected over 48 h (Gottfried-Anacker et al., 1985). Only traces of the free parent compound were detected in the urine (0.04–0.06% of the administered dose). The hydroxylated derivatives were present as glucuronides and were in the order 3-OH NDBA  $\gg$  2-OH NDBA  $\sim$  4-OH-NDBA accounting for 33–38%, 0.7 and 0.2–0.3% of the administered dose, respectively. Finally, a significant amount of BCPN in its free form (20–10% of the administered dose) was found in rat urine together with other minor metabolites ( $\sim$  5% of the administered dose); butyraldehyde formation was not investigated. Similar results were obtained by other researchers (reviewed by Okada, 1984), indicating that in rats, the main hydroxylated NDBA metabolite is 3-OH NDBA but also pointing to an extensive generation of 4-OH NDBA in the light of the remarkable amounts of its oxidised metabolite BCPN found in urine.



In view of the importance of NDBA metabolites in the induction of urinary bladder cancer in rats and mice, the pharmacokinetic profile of 4-OH-NDBA was investigated in male CD-COBS rats after the i.v. dosing with 1 mg/kg bw (Bonfanti et al., 1988). Groups of four rats were euthanised for blood sampling at 2, 5, 10, 15, 20, 30, 40, 60, 90, 120 and 1,440 min after treatment. Urine were collected 12, 24, 48, 42 and 96 h after dosing. Blood and urine samples were analysed for the presence of 4-OH-NDBA, 4-OH-NDBA glucuronide and BCPN. The 4-OH-NDBA blood concentration–time profile fitted a one-compartmental linear model and the authors assumed that the compound distributed rapidly in body tissues. Its half-life was very short, 8 min, and total body clearance and renal clearance were 86.1 and 0.22 mL/min/kg, respectively, pointing to a rapid and extensive biotransformation. Accordingly, 2 min after dosing, the metabolites 4-OH-NDBA glucuronide and BCPN were already present in blood; blood levels of both 4-OH-NDBA and its metabolites were no longer measurable 120 min after dosing. The total urinary recovery of measured compounds was estimated as ~ 48% of the administered dose, with BCPN accounting for about 36%, 4-OH-NDBA glucuronide for about 12% and unchanged 4-OH-NDBA for less than 0.3%. According to the authors, these results suggest further metabolism of 4-OH-NDBA or elimination via non-renal routes. Urinary excretion of 4-OH-NDBA and BCPN was 36% and 11.7% of the dose, respectively.

Suzuki et al. (1981) investigated whether the lower susceptibility of guinea pigs with respect to rats in developing NDBA-mediated urinary bladder tumours could rely on a different metabolic fate. To this aim, they administered intragastrically an average of 247 mg 4-OH NDBA-, 215 mg NDBA- or 226 mg DPCN/animal to male Hartley guinea pigs; urine was collected over 48 h and used for analytical determinations. The main 4-OH NDBA metabolite was its glucuronide (9% of the administered dose) and not BCPN (6% of the administered dose); instead, under similar experimental conditions, the urinary excretion of BCPN and 4-OH NDBA glucuronide in rats amounted to 40% and 2%, respectively (Suzuki and Okada 1980). In guinea pig urine, additional BCPN metabolites were found, particularly *N*-nitroso-3-carboxymethylbutylamine (BCMN) (8% of the administered dose) in amounts almost double than those found in rats. The authors concluded that BCPN was more efficiently metabolised in guinea pigs than in rats. Unlike in the rat, when NDBA itself was administered to guinea pigs, the main urinary metabolite was 3-OH glucuronide (12% of the administered dose) and not BCPN (4% of the administered dose) along with BCMN (percentage not specified). It was concluded that the species variation in NDBA/4-OH NDBA metabolism, particularly the lower urinary concentrations of BCPN, could explain the lower susceptibility of guinea pigs to urinary bladder tumours with respect to rats.

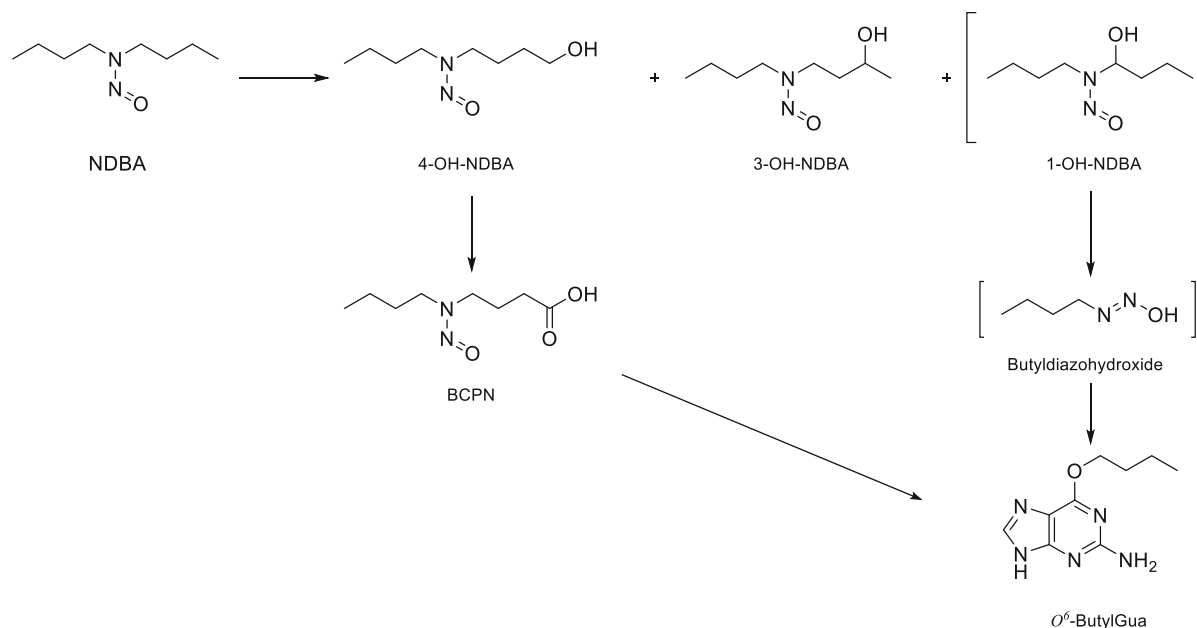
Syrian hamsters (14 days of pregnancy) were treated s.c. with 100 mg/kg bw. NDBA (Althoff et al., 1977). Animals were then sacrificed, and the blood, amniotic fluid, placental and fetal tissues (unspecified) of three hamsters per interval (from 0.25 to 4–6 h) were collected and analysed for NDBA (GLC method). Unlike other dialkyl nitrosamines, (e.g. NDMA, NDEA, NDPA see above), very low concentrations (around 0.2–0.3 µg/kg) were detected in fluids, placental and fetal tissues after 0.5 h and maintained at an almost constant level for the rest of the monitoring period. In contrast, using the same experimental design (Althoff et al., 1977), the s.c. dosing with 4-OH NDBA (100 mg/kg bw) resulted in high maternal blood levels peaking at about 1 h (~40 µg/g) and slowly declining to nearly 20 µg/g at 4 h. Relatively lower levels were found in placenta (range 2–4 µg/g) and the amniotic fluid (range 2–10 µg/g), while in fetal tissues, 4-OH NDBA peaked at 1 h (~10 µg/g) remaining thereafter relatively constant. It may be concluded that both NDBA and one of its main metabolites are able to cross the placental barrier, with 4-OH NDBA being possibly more bioavailable via the s.c. route than the parent compound.

In experiments aimed at investigating the post-natal carcinogenicity of NDBA, 6-month-old nursing hamsters were treated daily with high doses of NDBA (0, 300, 600 or 1,200 mg NDBA/kg bw) 1–30 days after delivery. The development of tracheal papillomas in the offspring is consistent with the translactational elimination of NDBA and its metabolites (Mohr et al., 1972; see also Section 3.1.2.6 on reproductive toxicity).

### DNA-adduct formation

Metabolic hydroxylation of each position of the NDBA alkyl chain has been demonstrated; the structures of three of these metabolites – 4-OH-NDBA, 3-OH-NDBA and the unstable 1-OH-NDBA-are shown in Figure 9. The latter spontaneously decomposes to butyldiazohydroxide which reacts with DNA to form *O*<sup>6</sup>-butylGua, as identified in the liver of NDBA-treated rats (Bonfanti et al., 1990). Further metabolism of 4-OH-NDBA can lead – via generation of BCPN – to DNA adduct formation including *O*<sup>6</sup>-(4-hydroxybutyl)Gua and *O*<sup>6</sup>-butylGua, but only the latter has been detected in rats treated with NDBA (Airoldi et al., 1994).





**Figure 9:** Metabolism of NDBA and formation of O<sup>6</sup>-butylGua in DNA

In summary, *in vitro* studies indicate that the CYP-mediated  $\alpha$ -hydroxylation is involved in the generation of unstable reactive diazonium ions and is thus considered a bioactivation pathway. In addition, the generation of 4-OH-NDBA via the CYP-mediated oxidation of the terminal C-atom ( $\omega$ ) is thought to be a prerequisite for the induction of urinary bladder cancer in the rat; by contrast, the oxidation at the  $\omega$ -1 carbon yielding 3-OH-NDBA is considered a detoxification route. A further important pathway entails the cytosolic two-step oxidation of 4-OH-NDBA to yield the proximate urinary bladder carcinogen BCPN, a route which has also been demonstrated in the isolated rat urinary bladder. All the hydroxylated metabolites may undergo glucuronidation.

Tissue-related (but also species-related) differences have been reported in the generation of 3-OH-NDBA and 4-OH-NDBA with lung and extrahepatic tissues showing a much higher catalytic efficiency than liver in the production of 4-OH-NDBA. Experiments with isolated perfused enteric segments showed a remarkable intestinal first-pass effect at low NDBA concentrations (10  $\mu$ M) with the prevalent production of 4-OH-NDBA and the carcinogenic metabolite BCPN. The  $\alpha$ -hydroxylation pathway was found to be catalysed not only by CYP2B and CYP2E, but also by CYP3A.

According to a very limited database, oral NDBA seems to be extensively metabolised as only traces of the parent compound were found in the 48-h urine samples along with significant amounts of 3-OH NDBA and BCPN (resulting from 4-OH NDBA oxidation). BCPN was found as the major urinary metabolite in rats injected with 4-OH-NDBA. The lower susceptibility of the hamster with respect to rat in developing bladder tumours has been related to species-dependent variation in NDBA, 4-OH NDBA and BCPN metabolism.

The transplacental passage of both the parent compound and 4-OH NDBA has been documented. The development of tracheal papillomas in the nursed offspring of hamsters orally dosed with NDBA is consistent with the mammary excretion of NDBA and its metabolites.

The  $\omega$ -oxidation pathways have been delineated for the metabolic activation of NDBA leading to urinary bladder cancer. The  $\omega$ -hydroxylation, catalysed mainly by CYP enzymes but also other xenobiotic metabolising enzymes, produces unstable 4-OH-NDBA which spontaneously decomposes to butyldiazohydroxide to produce the DNA adducts O<sup>6</sup>-butylGua.

## NMA

## ADME

### *In vitro* studies

It is generally acknowledged that the main metabolic pathways are represented by CYP-mediated *N*-dealkylation (*N*-demethylation) and denitrosation. The former leads to the formation of formaldehyde (Tu et al., 1983); it is considered a bioactivation pathway, as it is thought to generate a reactive benzene diazonium ion (BDI), which is able to react with adenine residues in DNA giving rise to unstable adducts (Koepke et al., 1990). The latter represents a second pathway generating nitrite and various amines (Scheper et al., 1991) and is considered a detoxification route (Stiborova et al., 1996).

NMA *N*-dealkylation and denitrosation were determined in liver microsomes from female NMRI mice and female Wistar rats by measuring the rate of formaldehyde and nitrite formation, respectively. In preparations from untreated animals, *N*-demethylation prevailed over denitrosation with markedly different intensities, with the HCHO/NO<sub>2</sub><sup>-</sup> ratio of 10.7 in mice and only 2.9 in rats. When microsomes from phenobarbital pretreated mice were used, *N*-dealkylation increased by 50%, while denitrosation by 250%; the opposite was true when preparations from BHT-pretreated rats were used, with denitrosation increasing by 50% only and *N*-dealkylation by 200%. The authors conclude that *N*-demethylation and denitrosation are independent of each other, subjected to species-related differences and likely catalysed by different CYP enzymes (Appel et al., 1985). The characterisation of NMA amine metabolites in liver microsomes from phenobarbital-pretreated mice was reported by Scheper et al. (1991). Aniline represented the main amine metabolite, with comparatively less amount of *N*-methylaniline and *p*-methylaminophenol. Another study was performed with oesophageal and liver S-9 fractions from phenobarbital or pyrazole-treated Sprague-Dawley rats (Gold et al., 1987). Besides aniline and methylaniline resulting from NMA denitrosation, phenol was also found and considered as a terminal product of NMA  $\alpha$ -hydroxylation (*N*-demethylation), which is associated with the generation of reactive BDI intermediate. Interestingly, phenol could be detected only in S-9 from phenobarbital-pretreated rats and not in those from pyrazole-pretreated rats, pointing to a prevalent involvement of CYP2E1 in the denitrosation pathway. Finally, in studies with purified CYP2Bs, it was reported that CYP2B1 was involved in both NMA  $\alpha$ -hydroxylation (*N*-demethylation) and denitrosation, while CYP2B2 catalysed only denitrosation (Stiborova et al., 1996).

### ***In vivo* studies**

The tissue distribution, the rate of disappearance from blood and organs and urine excretion of NMA were investigated in male Sprague-Dawley rats administered with 37, 20 or 10 mg NMA/kg bw i.p. (Pylypiw et al., 1984). Groups of animals (N unspecified) were sacrificed at eight fixed time intervals (from 15 min to 24 h) and blood and organs (liver, kidney, oesophagus, fat and brain) were collected; urine was sampled at 24 and 48 h. NMA in all samples and its denitrosated metabolite MA in urine were detected. No substantial dose-related differences were noticed in the rate of blood disappearance, which was rapid with NMA being undetectable 24 h after dosing. Only small fractions of the administered dose were recovered in 24 or 48 h urine samples as NMA and MA. There was no accumulation in fat or oesophagus (the target tissue for NMA carcinogenicity) or in other tissues and the rate of NMA depletion from organs over time was substantially the same as that seen in blood. Overall, these results are consistent with a rapid and free tissue distribution and an extensive biotransformation.

No similar studies with other administration routes were retrieved and information concerning milk excretion or placental transfer was not found.

### **DNA-adduct formation**

The mechanism of DNA damage by NMA remains unclear.

In summary, according to *in vitro* studies, the main metabolic pathways are *N*-demethylation and denitrosation, which are mediated by both CYP2B isoforms and CYP2E1. *N*-demethylation is considered a bioactivation pathway leading to the formation of reactive benzene diazonium ions. Identified metabolites were aniline, methylaniline (a denitrosation product) and *p*-methylaminophenol; phenol was also detected and considered as a terminal product of NMA  $\alpha$ -hydroxylation (*N*-demethylation). In the only available *in vivo* study, NMA was totally cleared from rat blood in 24 h and did not accumulate in body tissues. Only traces of NMA and methylaniline were found in the 24-h urine. No information on transplacental passage or mammary excretion could be retrieved.

### **NSAR**

#### **ADME**

In the only retrieved study (Ohshima et al., 1982), NSAR urinary and faecal excretion were studied in male BD-IV rats orally challenged (gavage) with 50  $\mu$ g NSAR/animal. Almost 88% and less than 0.1 % of the administered dose were excreted unchanged in the 24 h urine and faeces, respectively. The almost quantitative NSAR elimination in 24 h suggests a rapid absorption and minimal metabolism.

### **DNA-adduct formation**

NSAR can be metabolically activated via a CYP-mediated methyl hydroxylation (*N*-demethylation), giving rise to the DNA-reactive intermediates carboxymethyldiazonium ion and formaldehyde. The DNA adducts that could potentially be formed during the metabolism of NSAR and exert genotoxic and

mutagenic activity are reviewed in detail in Li and Hecht (2022). However, to date, no DNA adducts by NSAR have been detected in *in vivo* studies.

ADME or metabolic activation studies and DNA adduct formation of **NDIBA**, **NEA**, **NDBzA**, **NMAMPA**, **NMAMBA** have not been reported.

### 3.1.1.1.3. Cyclic volatile N-NAs

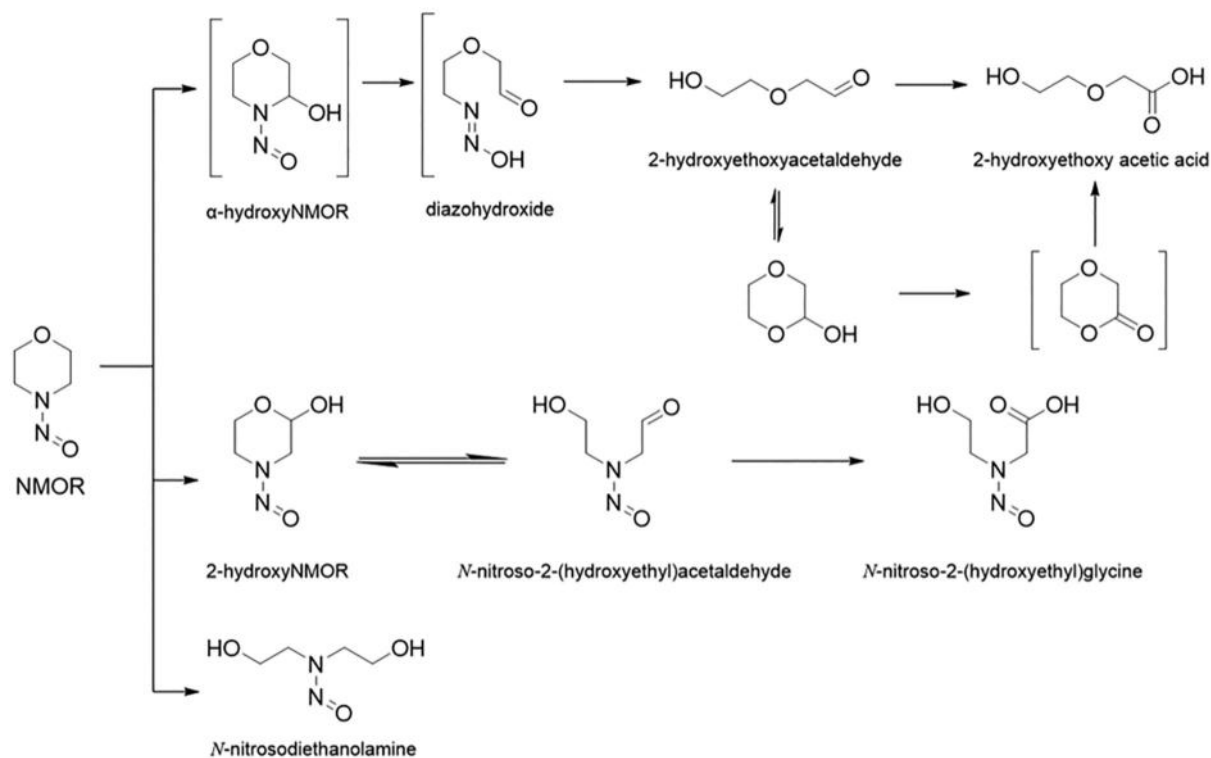
#### NMOR

#### ADME

Relatively little is known on NMOR kinetics and most of the studies are related to its biotransformations.

Both CYP-dependent oxidation (Hecht and Young, 1981; Jarman and Manson, 1986) and denitrosation (Appel et al., 1980) have been reported. Earlier studies in rat liver microsomes identified *N*-nitroso-2-hydroxymorpholine as the main oxidation product and *N*-nitrosodiethanolamine as the main urinary metabolite (Manson et al., 1978), possibly deriving from direct cleavage of NMOR as later suggested by Hecht and Young (1986). Hecht and Young (1981) demonstrated the NMOR  $\alpha$ -hydroxylation (3- or 5-hydroxylation) by rat liver microsomes yielding 2-(hydroxyethoxy)acetaldehyde. The urinary excretion was studied treating rats i.p. (125 or 150 mg NMOR/kg bw). *N*-nitroso(2-hydroxyethyl)glycine (33% of the dose), (2-hydroxyethoxy)acetic acid (16% of the dose) and *N*-nitrosodiethanolamine (12% of the dose) and traces of NMOR (1.5% of the dose) were detected in the urine after 48h.

An overview of the important metabolic pathways of NMOR is presented in Figure 10 (Hecht and Young, 1981).  $\alpha$ -Hydroxylation produces  $\alpha$ -hydroxy NMOR, an unstable intermediate that spontaneously ring opens giving a diazohydroxide that reacts with H<sub>2</sub>O, producing the major initial product of  $\alpha$ -hydroxylation, 2-hydroxyethoxyacetaldehyde. This undergoes further oxidation producing the urinary metabolite 2-hydroxyethoxy acetic acid. Hydroxylation at the 2-position produces 2-hydroxyNMOR which is in equilibrium with the open-chain form, *N*-nitroso-2-(hydroxyethyl)acetaldehyde, which undergoes further oxidation producing the urinary metabolite *N*-nitroso-2-(hydroxyethyl)glycine. The latter has the potential to be used as a monitor of NMOR exposure, but has not yet been detected in human urine (Hecht and Morrison, 1984). Metabolism at the 2-position of NMOR also produces *N*-nitrosodiethanolamine.



**Figure 10:** Metabolism of NMOR

The tissue distribution and the ability of the different tissues to metabolise NMOR were investigated with the whole-body autoradiography technique (Löfberg and Tjälve, 1985). Sprague-Dawley rats were injected i.v. with [ $^{14}\text{C}$ ]NMOR (2.5 mg/kg bw) and euthanised at different intervals. *In vitro* experiments in which tissue slices were incubated with [ $^{14}\text{C}$ ]NMOR were also performed to measure the extent of tissue-bound radioactivity, as a result of NMOR bioactivation to reactive metabolites. The autoradiography performed 1 min after sacrifice indicated that, in common with other NAs, NMOR can be freely distributed to all tissues. After 8 h, radioactivity was maximal in liver ( $260 \pm 45$  dpm) followed by nasal olfactory mucosa ( $121 \pm 23$  dpm), kidney ( $77 \pm 11$  dpm) and other tissues (range 21–60 dpm). Results from *in vitro* experiments showed the highest degree of tissue-bound radioactivity in nasal olfactory mucosa ( $48 \pm 8$  dpm), followed by liver ( $24 \pm 4$  dpm) and oesophagus ( $16 \pm 1$  dpm). Tissue-bound radioactivity was reduced in the presence of metyrapone and greatly inhibited by CO, demonstrating the role of CYPs in the generation of NMOR-reactive species. It is concluded that target tissue bioactivation of NMOR is an important determinant in the development of tumours.

No other information on NMOR ADME could be retrieved.

### DNA-adduct formation

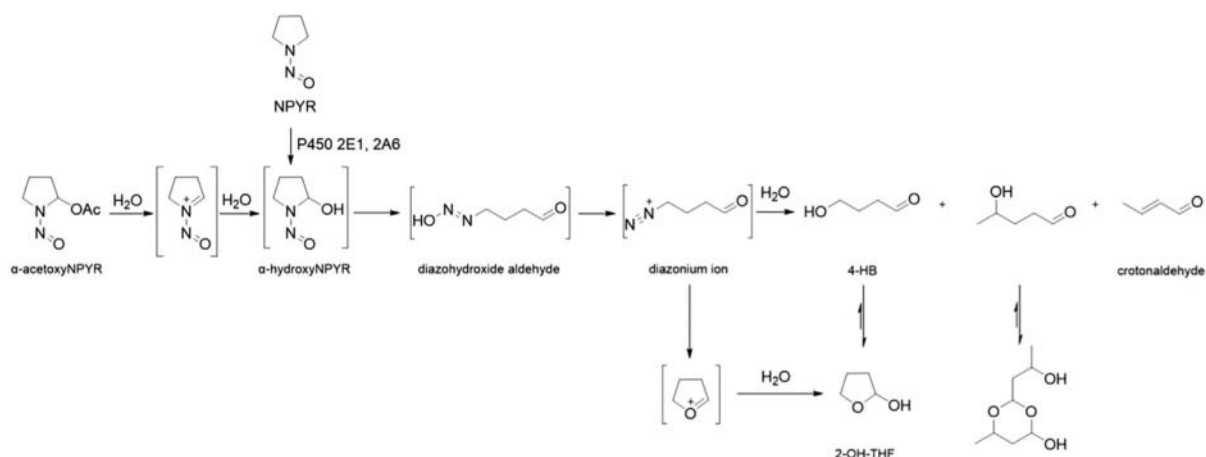
The DNA-adducts that may be formed during the metabolism of NMOR are reviewed in detail in Li and Hecht (2022). *In vitro* experiments showed that the intermediary diazohydroxide (see Figure 10) reacts with DNA to form an NMOR adduct (N1,N2-glyoxal-dGua), identical to that produced in the reaction of glyoxal with DNA. The same NMOR DNA adduct may also be formed from 2-hydroxynmOR (Chung and Hecht, 1985; Palladino et al., 1986). Evidence for adduct formation *in vivo* has not been provided so far.

### NPYR

#### ADME

NPYR undergoes quite a complex metabolic degradation in rat liver microsomes leading to the formation of 4-hydroxybutanal (4-HB) and other metabolites; 4-HB is predominantly found as its cyclic derivative 2-hydroxytetrahydro-2H-furan (2-OH-THF) (Hecht et al., 1981). It is the result of an initial  $\alpha$ -hydroxylation step generating in turn a reactive carbocation species with mutagenic and carcinogenic properties (Hecht et al., 1978, 1979). The combination of the metabolic activation with rat liver microsomes and the trapping with a nucleophile (cysteamine), allowed to calculate half-lives of the generated reactive intermediates amounting to 54 s for NPYR, similar to the 39 s found for NDEA (Keenan and Weinkam, 1985). These results strongly suggest that molecular species responsible for cell damage and neoplastic effects primarily act at their site(s) of formation (organ specificity). The  $\alpha$ -hydroxylation pathway is expected to be mediated by CYPs, since both piperonyl butoxide and SKF525A, two prototypical inhibitors of CYP-dependent monooxygenases, decreased the rate of 4-HB formation in rat liver microsomes in a concentration-related manner (Cottrell et al., 1983). Different CYP2A isoforms have been reported to catalyse NPYR  $\alpha$ -hydroxylation in rat and mice liver preparations (Wong et al., 2005).

Metabolic pathways of NPYR were elucidated with the aid of a stable model compound,  $\alpha$ -acetoxyNPYR (Figure 11). The initially formed metabolite,  $\alpha$ -hydroxyNPYR, is unstable, as for acyclic N-NAs. It undergoes spontaneous ring opening to form a diazohydroxide aldehyde and a diazonium ion, which reacts rapidly with  $\text{H}_2\text{O}$ -producing 4-HB. 4-HB spontaneously cyclises giving the major metabolite of NPYR observed *in vitro*, 2-hydroxytetrahydrofuran. Other metabolites formed by this pathway include crotonaldehyde and the related hydrated and dimerised products. The metabolic activation of NPYR can be quantified by measurement of 4-HB, as its 2,4-dinitrophenylhydrazone.



**Figure 11:** NPYR metabolism by  $\alpha$ -hydroxylation

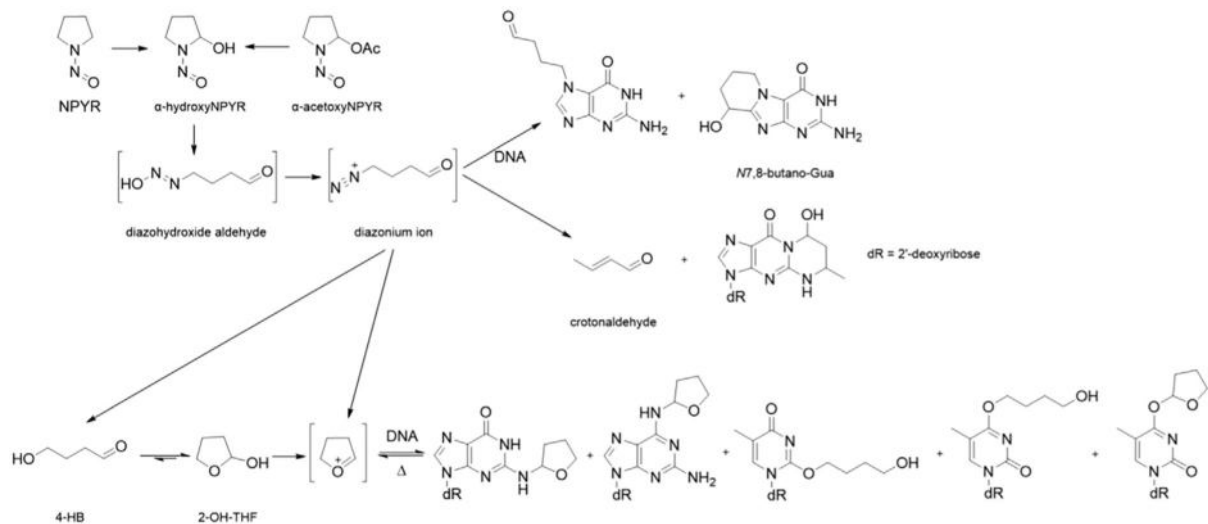
*In vivo* studies following the i.p. administration of labelled NPYR revealed different metabolic pathways and the generation of several urinary metabolites, i.e. pyrrolidinone-2-oxime, pyrrolidin-2-one,  $\gamma$ -hydroxybutyric acid,  $\gamma$ -butyrolactone (likely originating from 4-HB), succinic semialdehyde, 3-hydroxy-N-nitrosopyrrolidine, dimethylamine and urea, as well as the ultimate production of  $\text{CO}_2$  (Cottrell et al., 1979, 1980). In particular, the rate of  $^{14}\text{CO}_2$  exhalation after the i.p. administration of N-nitroso[2,5- $^{14}\text{C}$ ]pyrrolidine was taken as an index of the rate of the overall NPYR metabolism. Either SKF525A or piperonylbutoxide failed to decrease NPYR degradation to  $^{14}\text{CO}_2$ ; conversely, the pretreatment with disulfiram (an inhibitor of CYP2E1 and aldehyde dehydrogenase), imidazole (a specific inhibitor of microsomal amino oxidase), or pyrazole (an inhibitor of alcohol dehydrogenase) caused the rate of  $^{14}\text{CO}_2$  exhalation to fall to about 20–30% of controls i.e. without inhibitors. Accordingly, rats pretreated with the latter substances had a higher urinary excretion of unchanged NPYR. It is concluded that enzymes other CYPs play a significant role in the *in vivo* NPYR biotransformation.

The tissue distribution and the tissue-specific ability to metabolise NPYR were investigated using a whole-body autoradiography technique in mice i.v. injected with or orally exposed (gavage) to [ $^{14}\text{C}$ ] NPYR (0.2–0.6 mg/kg) and euthanised at different intervals (Johansson-Brittebo and Tjälve, 1979). *In vitro* experiments in tissue slices incubated with [ $^{14}\text{C}$ ]NPYR were also conducted to measure the extent of NPYR bioactivation as tissue-bound radioactivity. In mice euthanised shortly after i.v. or oral dosing, the radioactivity was uniformly distributed in the tissues, indicating rapid absorption (also *via* the oral route) and distribution. From the autoradiograms, it appeared that the higher metabolic rate occurred in the liver, tracheo-bronchial and nasal mucosa and in the Harder's gland. The radioactivity measured in the gall bladder suggests that the biliary route might play a role in NPYR/NPYR metabolites excretion.

### DNA adduct formation

Several potentially DNA-reactive electrophiles are produced during  $\alpha$ -hydroxylation of NPYR, as summarised in Figure 11 above and Figure 12 below. The electrophiles comprise carbocations, oxonium ions and crotonaldehyde (Figure 12). Accordingly, in DNA from treated rodents, a great variety of adducts have been characterised. The carbocation-derived cyclic N7,8-butanoguanine was by far most prevalent in the liver (Loureiro et al., 2009) and was found also in the lungs and kidneys of NPYR-treated mice, rats and hamsters (Hunt et al., Carcinogenesis 1991). N7-(4-oxobutyl)guanine occurred at a low level in hepatic DNA of rats (Wang et al., Chem Res Tox. 1992; Wang et al., Carcinogenesis 1992). Oxonium ion-derived adducts were among others N<sup>2</sup>-(4-HOB)-dGuo or O<sup>2</sup>-THF-Thd, while the intermediary crotonaldehyde gave rise to N1,N<sup>2</sup>-propano-dGuo (Li and Hecht 2022). Further details are reviewed in Li and Hecht (2022).





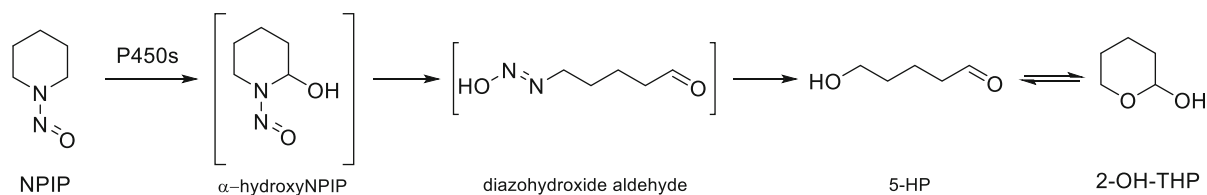
**Figure 12:** DNA adduct formation from NPYR

## NPIP

### ADME

Early studies conducted with rat liver microsomes identified *N*-nitroso-4-hydroxypiperidine as the major NPIP metabolite (Rayman and Challis, 1975). In common with other NAs, it was postulated that the  $\alpha$ -hydroxylation represents the key activation pathway also for NPIP; in later studies, the major end product of  $\alpha$ -hydroxylation was identified as 5-hydroxypentanal, which cyclises to 2-hydroxytetrahydro-2*H*-pyran (2-OH-THP) (Leung et al., 1978). In a comparative study, Wong et al. (2003b) compared the  $\alpha$ -hydroxylation rate of NPIP and of the structurally related NPYR by measuring the rate of (2-OH-THP) and (2-OH-THF) formation, respectively, in oesophageal and liver microsomes. Rat oesophageal microsomes  $\alpha$ -hydroxylate NPIP at a much higher rate than NPYR. Differences ranged from sevenfold over a substrate concentration of 4–100  $\mu$ M and up to 40-fold at the same substrate concentration (4  $\mu$ M) at different microsomal protein concentrations. In contrast, the  $\alpha$ -hydroxylation rates of NPIP or NPYR by rat liver microsomes were not significantly different. These results clearly point to an organo-specific bioactivation and are consistent with the particular susceptibility of rat oesophagus to the tumorigenic action of NPIP. In addition, according to the authors, CYP2A3 might play a role in the preferential bioactivation of NPIP in the oesophageal tissue.

Similar to the metabolism of NPYR,  $\alpha$ -hydroxylation of **NPIP** produces 2-OH-THP, as the major product (Figure 13). Consistent with its activity as an oesophageal carcinogen in the rat, NPIP was converted to 2-OH-THP by rat oesophageal microsomes. This reaction proceeded with a 40-fold higher velocity than the analogous  $\alpha$ -hydroxylation of NPYR, a rat liver carcinogen inactive in the oesophagus, but both compounds underwent  $\alpha$ -hydroxylation at similar rates with rat liver microsomes. Similar results were observed in reactions catalysed by rat nasal microsomes (Wong et al., 2003a). Expressed rat CYP2A3, found in low levels in the oesophagus, was a good catalyst of NPIP  $\alpha$ -hydroxylation but a poor catalyst of NPYR  $\alpha$ -hydroxylation (Wong et al., 2003b). CYP2As were generally better catalysts of NPIP  $\alpha$ -hydroxylation than NPYR. Therefore, mouse CYP2A4 and 2A5 and human CYP2A6 and 2A13 exhibited significantly lower  $K_m$  and higher  $k_{cat}/K_m$  values for NPIP than NPYR  $\alpha$ -hydroxylation, similar to rat CYP2A3 (Fujita and Kamataki, 2001a).



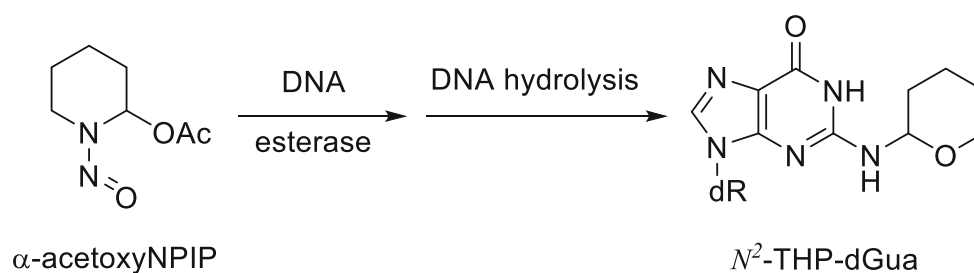
**Figure 13:** NPIP metabolism by  $\alpha$ -hydroxylation

The tissue distribution and the sites of the likely NPIP bioactivation were investigated by the autoradiography technique in male C57BL/6J mice after the i.v. dosing with 1.2 mg/kg [ $^{14}\text{C}$ ]NPIP and killing at different times after injection. The autoradiographs revealed intense localisation of radioactivity already at the first time point (6 min) in the epithelium of the nose and bronchi, as well as in the liver, kidney and salivary glands. At 24 h, the most intense accumulation was in the epithelium of the bronchi, nose, salivary gland ducts and oesophagus as well as in the liver and Harder's gland. This latter radioactivity was interpreted as the result of NPIP metabolic conversion to alkylating species, able to bind to molecular constituents in tissues which are targets for NPIP carcinogenicity.

No other information on kinetic parameters, milk excretion and transplacental passage was made available.

### DNA adduct formation

$\alpha$ -AcetoxyNPIP is a model compound for metabolic  $\alpha$ -hydroxylation of NPIP. Reaction of  $\alpha$ -acetoxyNPIP with DNA followed by enzymatic hydrolysis produced the adduct  $N^2$ -tetrahydropyranyl-dGua ( $N^2$ -THP-dGua) (Figure 14) (Wang et al., 1995). Further DNA adducts that could potentially be formed during the metabolism of NPIP are reviewed in detail in Li and Hecht (2022).



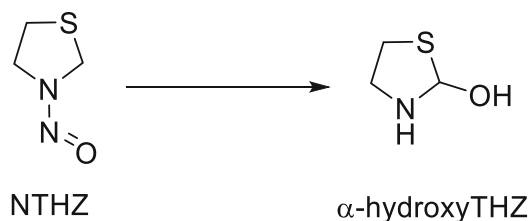
**Figure 14:** Formation of  $N^2$ -THP-dGua

### NTHZ

#### ADME

The *in vitro* metabolism of 2[ $^{14}\text{C}$ ]NTHZ was investigated in primary cultures of rat hepatocytes and 2-hydroxy-1,3-thiazolidine ( $\alpha$ -hydroxyTHZ) (Figure 15), a water soluble derivative resulting from  $\alpha$ -hydroxylation and denitrosation, was identified as the major metabolite (Cragin and Shibamoto, 1992). This study confirmed the results of a previous *in vivo* report (Cragin et al., 1989) in which rats were orally treated once with 125 mg 2[ $^{14}\text{C}$ ]NTHZ/kg bw (gavage) and sacrificed 24 h after dosing. Urine and faeces were collected over 24 h. Already 6 h after treatment, an amount of  $^{14}\text{C}$  radioactivity corresponding to 50% of the administered dose was found in urine while the percentage rose to 81% after 24 h; at that time, only about 1% and 5% was recovered in the faeces and the carcasses, respectively. Subsequent analysis with GC-MS revealed that  $\alpha$ -hydroxyTHZ accounted for the 77% of the urinary metabolites. It is concluded that in rats, NTHZ is rapidly and extensively absorbed and biotransformed to  $\alpha$ -hydroxyTHZ which undergoes to rapid elimination via urine with very limited tissue accumulation.

No other studies on NTHZ ADME were retrieved.



**Figure 15:** Structures of NTHZ and its metabolite

#### 3.1.1.1.4. Cyclic non-volatile N-NAs

There is a paucity of knowledge on cyclic non-volatile N-NAs TK in experimental animals.

## NPRO

### ADME

The absorption, tissue distribution and excretion of a single dose (10 mg/animal, gavage) of [<sup>14</sup>C] N-NPRO were investigated in adult male Osborne–Mendel rats. After dosing, animals were sacrificed at different intervals (from 0.5 h up to 7 days) and blood and tissue samples were collected. Urine and faeces were collected over 24 h. Results showed a ready and extensive absorption of [<sup>14</sup>C]NPRO from the gastrointestinal (GI) tract and a rapid elimination since 98% of the total <sup>14</sup>C radioactivity administered was excreted in the urine within 24 h. Blood and tissue levels (expressed as µg NPRO/g) were also measured. Blood levels (about 36 µg/g) peaked at 1 h and then rapidly declined to reach < 1 µg/g 24 h after treatment. At 4 h, kidney and liver displayed the highest concentrations (3.5–6 µg/g), but at 24 h negligible values (< 1 µg/g) were detected in all tissues, in line with the rapid NPRO elimination (Dailey et al., 1975). Ohshima et al. (1982) reported that rats exposed to single oral doses (5 or 50 µg NPRO/animal, gavage), eliminated about 96% and 3% unchanged NPRO in urine and faeces, respectively, within 24 h after treatment. A nearly complete urinary NPRO elimination 24 h of treatment was detected in rats orally treated with 1 or 10 mg [<sup>14</sup>C]NPRO/kg (Chu and Magee, 1981).

In summary, in rats, oral NPRO seems to be rapidly and extensively absorbed, distributed to tissues with no significant accumulation and then quickly eliminated as its unchanged form mainly via urine within 24 h.

In line with the reported lack of NPRO carcinogenicity in rodents, rats treated once with the same doses of labelled ([<sup>14</sup>C]NPRO) and unlabelled NPRO did not show differences in the radioactivity measured in liver DNA, RNA and protein at 4 or 8 h after dosing (Chu and Magee, 1981).

### NHPRO

No information concerning absorption, distribution and metabolism were located. The only available study (Ohshima et al., 1982) addressed the urinary and faecal NHPRO elimination in rats 24 h after the oral dosing with 50 µg/animal, amounting to, respectively, 47% and 46%.

No data could be retrieved on NHPRO bioactivation and DNA adduct formation.

### NTCA and NMTCA

Data on absorption, distribution and metabolism were not retrieved. In the only available report (Ohshima et al., 1984), the urinary and faecal NTCA and NMTCA elimination were investigated in rats. Animals received a single oral dose of either compound (100 µg/animal) or urine and faeces were collected up to 48 h. More than 90% of unchanged NTCA or NMTCA was recovered in the 24 h urine along with traces in faeces (< 2%); 48 h after treatment, a further small amount (around 2%) of either compound was detected.

No data could be retrieved on NTCA and NMTCA bioactivation and DNA adduct formation.

ADME studies and DNA adduct formation of **NHMTCA, NOCA, NMOCA, NPIC, NHPYR, NMTHZ and NHMTHZ** have not been reported.

#### 3.1.1.1.5. Aromatic non-volatile N-NAs

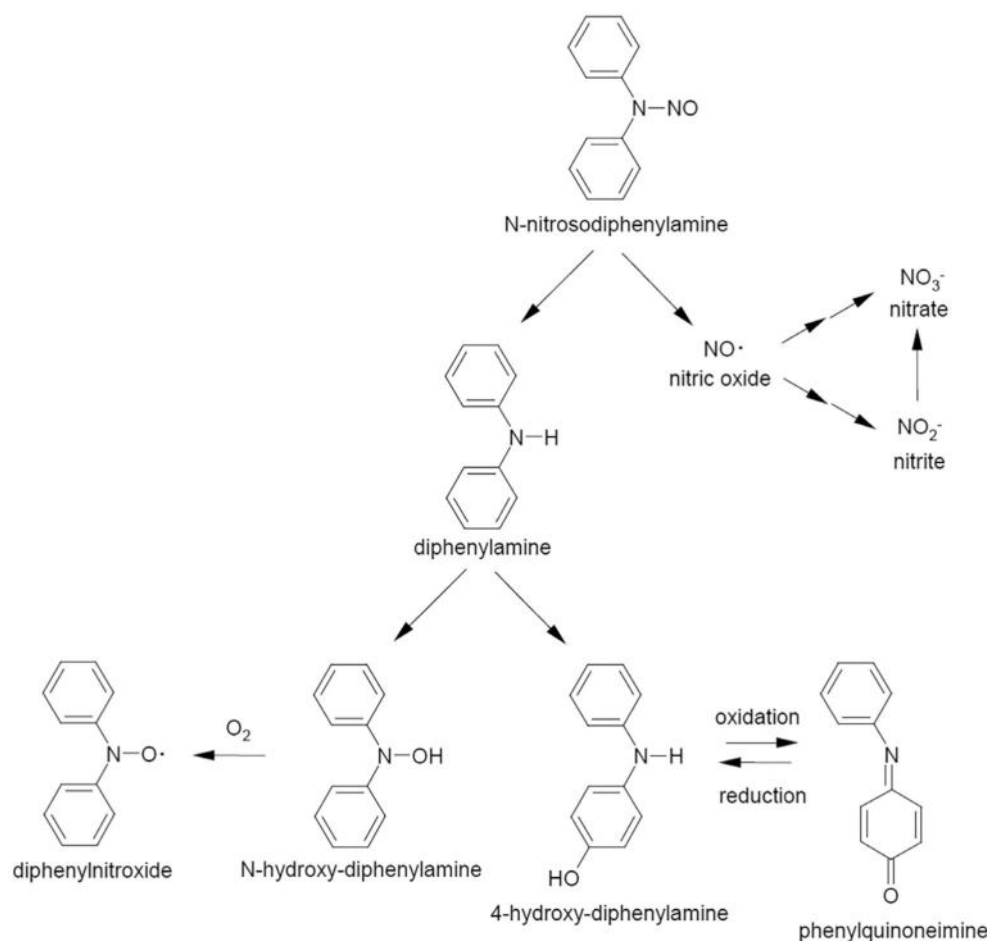
### NDPheA

#### ADME

Limited information is available on NDPheA kinetics (ATSDR, 2017).

#### *In vitro studies*

As NDPheA does not bear oxidisable hydrogen at the α-position, it is not subjected to the generally accepted bioactivation pathway of N-NAs (α-hydroxylation). The *in vitro* metabolism was reviewed by Appel et al. (1987). When incubating NDPheA with liver microsomes from rats or phenobarbital-induced mice, the main metabolic pathway is a CYP-dependent denitrosation yielding diphenylamine, nitric oxide, nitrate and nitrite. Other metabolites were the 4-OH derivative of diphenylamine and its corresponding quinoneimine derivative (Figure 16). N-hydroxylation is considered the critical step in the bioactivation of carcinogenic arylamines, but N-hydroxy-diphenylamine, which might be implied in the carcinogenic properties of NDPheA (see adduct formation below) was never isolated from microsomal incubates.



**Figure 16:** Metabolic pathways for NPhA (ATSDR, 2017)

### ***In vivo* studies**

No specific studies on absorption and distribution could be retrieved. Oral absorption may be inferred from reports documenting the presence of the parent compounds and its metabolites in blood or urine. Dodd et al. (2013) treated female Fischer 344 (F344) rats by including NDPheA in feed at concentrations of 0, 250, 1,000, 2,000, 3,000 or 4,000 mg/kg for 5 days, 2, 4 or 13 weeks duration. Terminal blood samples were collected and analysed for the presence of NDPheA by LC-MS/MS (LOD = 0.005 µg/mL). Small amounts of the unchanged *N*-NA (range 0.02–0.19 µg/mL) were found irrespective of the administered dosages and the duration of the treatment. The *in vivo* metabolic fate was investigated in female Wistar rats orally administered with a single dose of NDPheA (1000 mg/kg bw); the 36h urine samples were analysed by GC/MS, expressing results as µmol/rat/36 h. Urinary nitrate (248 µmol) was the main metabolite and largely outweighed nitrite (14 µmol); measurable amounts of diphenylamine (0.022 µmol) and 4-OH diphenylamine (0.5 µmol) were also detected. After 96 h about 25% of the applied NDPheA was eliminated as nitrate and nitrite (Appel et al., 1984). Species-related differences in biliary excretion of NDPheA were reported in rats, rabbits and guinea pigs receiving 50 mg NDPheA/kg i.p. (Atawodi and Maduagwu, 1990). After 6h from the treatment, the elimination half-life values were 510 min, 240 min and 95 min, respectively, while cumulative excretions amounted to 12%, 3% and 0.3%, respectively.

No information could be retrieved on the ability of NDPheA and its metabolites to cross the placental barrier or to be excreted via the mammary route.

### **DNA adduct formation**

Most of the *in vitro* positive tests concerning NDPheA-mediated DNA damage occurred after metabolic activation (see above), suggesting that NDPheA genotoxic potential arises from its metabolites and for most *N*-NAs, the generation of reactive metabolites results from the  $\alpha$ -hydroxylation of the  $\alpha$ -carbon

next to the NO group. Since NDPheA is not susceptible to  $\alpha$ -carbon hydroxylation, other mechanisms have been proposed, like for instance the transnitrosation of dietary amines (ATSDR, 2017).

In summary, NDPheA is absorbed through the GI tract, but quantitative data are missing and no information on distribution is available as well. The main metabolic pathway seems to be a CYP-dependent denitrosation with diphenylamine and nitrate being the main metabolites which are primarily eliminated via the urinary route. Since the  $\alpha$ -carbon hydroxylation does not occur, other mechanisms (e.g. transnitrosation of dietary amines) have been proposed to explain the potential genotoxicity of NDPheA.

### 3.1.1.2. Toxicokinetics and metabolic activation in humans

#### *In vivo* and *in vitro* TK studies

Relatively little is known about the toxicokinetics of N-NAs in humans. Some data are available on the presence of N-NAs and/or their metabolites in human biological fluids such as blood, gastric juice, urine and milk (e.g. Lakritz et al., 1982; Lakritz and Pensabene, 1984), which are often used in epidemiological studies. However, the relevance of these data may be limited due to the level of endogenous formation of N-NAs (Tannenbaum, 1980).

Indeed, in a study conducted in human volunteers (Lakritz et al., 1982), low concentrations of NDMA were detected in gastric juice (range 0.1–0.7  $\mu\text{g/g}$ ), blood (range 0.2–0.7  $\mu\text{g/g}$ ) or urine (range 0.1–0.7  $\mu\text{g/g}$ ) after 1–2 h fasting; interestingly, these levels were not increased by the ingestion of an ordinary diet containing sources of precursor amines (fish or beef) or preformed NDMA (bacon, range 0.3–2.9  $\mu\text{g/g}$ ).

The substantial lack of structured ADME data of N-NAs in humans is of importance in the light of the well-known interspecies kinetic differences displayed by experimental animals. A telling example comes from NDMA oral bioavailability ranging from 8% to 10% in hamsters and rats and 49–93% in monkeys, pigs and dogs; large differences were also noticed for Cl and  $V_{d_{ss}}$  ranging from 3.8 mL/min (Cl) and 21 mL ( $V_{d_{ss}}$ ) and 2516 mL/min (Cl) and 40,000 mL ( $V_{d_{ss}}$ ) in mice and pigs, respectively (Gombar et al., 1990). An attempt to extrapolate *in vivo* kinetic data from experimental species to humans has been made by Gombar et al. (1990). Data for rats, hamsters, rabbits, Patas monkeys, dogs and pigs taken from the literature were used to scale to body weight using allometric equations adequately fitting data from all species. Using these equations and assuming a body weight of 70 kg, the Cl and  $V_{d_{ss}}$  in humans were estimated to be 3450 mL/min and 64,800 mL, respectively, comparable to those measured in pigs.

Another issue to be addressed in humans is the effect of ethanol on N-NA metabolism and disposition. As demonstrated in experimental animals (Swann et al., 1984; Anderson et al., 1986; Anderson et al., 1994; Chhabra et al., 2000), the co-exposure to ethanol may affect the hepatic first pass clearance of certain N-NAs entailing the increased exposure of extrahepatic organs and a related rise in DNA adduct formation. Few observations in humans are available. As reported by Swann et al. (1987) in a review, NDMA was found in blood from individuals consuming meals with alcoholic beverages but not when similar meals were taken in the absence of alcohol. In repeated experiments, human volunteers were administered orange juice containing NDMA (13–26  $\mu\text{g/dose}$ ) in the presence or absence of 6% ethanol and the urinary 24 h excretion of NDMA was expressed as the percentage of the ingested dose. Measurable ( $> 0.05 \mu\text{g/L}$ ) NDMA concentrations were found only when the juice was administered with ethanol, corresponding to a urinary excretion of 0.5–2.4% of the ingested dose (Spiegelhalter and Preussmann, 1984). Taken together, these results point to an effect of ethanol on NDMA hepatic first-pass clearance comparable to that observed in rodents, and consistent with the metabolism of both ethanol and NDMA by CYP2E1.

In the absence of *in vivo* studies, attempts were made to extrapolate *in vitro* kinetic data to the *in vivo* situation. A high affinity hepatic NDMA N-demethylase was identified in human liver microsomes (Yoo et al., 1988), with kinetic constants similar to that characterised in rats (Tu and Yang, 1983). Assuming that most (if not all) NDMA metabolism at low substrate concentrations takes place in the liver, based on the published values of  $K_m$  and  $V_{max}$ , Streeter et al. (1990a,b) calculated that the intrinsic hepatic clearance in rats and humans amounts to 133–200 mL/min/kg and 200 mL/min/kg, respectively. These values would correspond to a hepatic blood clearance of 19 mL/min/kg in humans, which is close to the calculated hepatic blood flow of 21 mL/min/kg for a 70 kg human individual. According to this extrapolation, NDMA in humans would undergo a high hepatic extraction ratio (nearly 90%) which is very similar to what has been demonstrated *in vivo* in the rat, but different from that in pigs as noted above.



*N*-NAs are organotropic carcinogens in experimental animals. Since all *N*-NAs considered here require bioactivation to form carcinogenic derivatives, such organotropism may be partly explained by the different ability of organs/tissues to metabolise *N*-NAs into their ultimate carcinogenic forms. As mentioned in the previous section, species- and organ/tissue related differences in *N*-NAs bioactivation have been reported in laboratory species particularly for acyclic volatile *N*-NAs (see Section 3.1.1.1.1). In this respect, it was of interest to compare humans vs. rats, which are widely used animal models for *N*-NAs carcinogenesis. Oesophagus is a major target for *N*-NAs carcinogenesis in rats. Autrup and Stoner (1982) compared the metabolic ability of cultured human and rat oesophagus to metabolise and bioactivate <sup>14</sup>C labelled NDMA, NMEA, NDEA, NMBzA<sup>[1]14</sup> or NPYR by measuring the amount of formed metabolites and the radioactivity associated with DNA. Overall, there were marked differences between the two species, in that cultured rat oesophagus biotransformed and activated all the tested *N*-NAs, while its human counterpart was active only toward NDMA and NDEA. Even considering the limitations of studies with tissue cultures, these results suggest that broad generalisations in interspecies extrapolations should be avoided.

In summary, very little is known about the fate in humans of the *N*-NAs considered here and most of the available information concerns NDMA. The presence of measurable *N*-NAs levels has been reported in blood, gastric juice, urine and milk, but these studies are of limited value with respect to toxicokinetics since the origin of these *N*-NAs is unknown and their endogenous formation represents a potentially important *N*-NAs source in humans. In the few studies in which human volunteers were offered meals with known *N*-NA (NDMA) content, only trace amounts of the ingested dose were recovered in biological fluids, except in the case of ethanol co-administration. This suggests that in humans, ethanol may decrease the hepatic clearance of NDMA, as demonstrated in rodents. The *in vivo* extrapolation of the *in vitro* hepatic NDMA intrinsic clearance measured in human liver microsomes resulted in a calculated hepatic extraction ratio of about 90%, which is very similar to that measured *in vivo* in the rat. Finally, quantitative differences between humans and rats were reported in the ability of the same tissue (e.g. cultured oesophagus) to biotransform and activate (as measured by DNA-binding) different *N*-NAs with the exception of NDMA and NDEA.

### CYP-isoforms involved in *N*-NAs biotransformation

Most of the studies relevant for humans focus on the *in vitro* biotransformation by human CYP enzymes.

As discussed in the previous sections, *N*-NAs require metabolic activation, generally by  $\alpha$ -hydroxylation, to exert their DNA damaging, mutagenic and carcinogenic effects. There is no doubt that specific human CYP enzymes are excellent catalysts of this process. CYP2E1 is the best catalyst of NDMA metabolism by  $\alpha$ -hydroxylation.

CYP2E1 and 2A6 catalyse the  $\alpha$ -hydroxylation of NDEA. Kinetic constants for NMEA *N*-dealkylation were intermediate between those of NDMA and NDEA (Graves and Swann, 1993), which were estimated using human liver slices. CYP2B1 and 2E1 are effective catalysts of  $\alpha$ -hydroxylation of NDPA (Camus et al., 1993; Shu and Hollenberg, 1996; Bellec et al., 1996; Chowdhury et al., 2012). CYP2A6 is an effective catalyst of  $\alpha$ -hydroxylation of NMBA (Fujita and Kamataki, 2001a,b). The  $\alpha$ -hydroxylation of NPYR is catalysed by CYPs 2A6 and 2E1 while CYP2A6 and 2A13 are effective catalysts of NPYP  $\alpha$ -hydroxylation (Fujita and Kamataki, 2001a,b; Wong et al., 2005). For NDBA, CYPs 1A1 and 2A6 catalyse its  $\alpha$ -hydroxylation (Fujita and Kamataki, 2001a,b). NMA is also a good substrate for CYP2E1 (Sulc et al., 2010). These studies of *N*-NA metabolic  $\alpha$ -hydroxylation by human CYP enzymes are fully consistent with earlier studies with explant cultures which demonstrated that a variety of human tissues including bronchus, nasal mucosa, oesophagus, bladder, colon and pancreatic duct can metabolise various *N*-NAs (Harris et al., 1977; Autrup et al., 1978; Longo et al., 1986; Archer, 1989).

Fujita and Kamataki (2001b) studied the role of human CYPs in the metabolic activation of *N*-alkylnitrosamines using genetically engineered *Salmonella* Typhimurium (*S. Typhimurium*). YG7108 cells expressed each form of human CYP together with human NADPH-cytochrome P450 reductase. The relationship between *N*-NA structure and CYP form(s) involved in the activation was evaluated. Strains of *S. Typhimurium* YG7108 cells expressing CYP1A1, CYP1A2, CYP1B1, CYP2A6, CYP2C8, CYP2C9, CYP2C19, CYP2D6, CYP2E1, CYP3A4 or CYP3A5 were used. Eight *N*-alkylnitrosamines including NDMA, NDEA, NDPA, NDBA, NMEA, NMBA, NMBA and *N*-nitrosoethylbutylamine (NEBA) were examined. Mutagen-producing capacity of CYPs, as indicated by induced revertants/nmol promutagen/pmol CYP,

<sup>14</sup> Outside the scope of this opinion.

for an *N*-alkylnitrosamine was determined for all forms of CYP. These *N*-NAs were mainly activated by CYP2E1, CYP2A6 and CYP1A1. *N*-alkylnitrosamines with relatively short alkyl chains such as NDMA and NMEA were mainly activated by CYP2E1. The contribution of CYP2A6 increased with increasing alkyl chain length of the tested NAs. CYP2A6 played major roles in the activation of NDEA, NDPA, NMPA, NMBA and NEBA. CYP1A1 was important in the metabolic activation of NDBA. In a separate study, multiple CYPs including CYP2B6, CYP2C9, CYP2C19 and CYP2E1 were efficient catalysts of NMBA  $\alpha$ -hydroxylation based on yields of formaldehyde and butyraldehyde (Bellec et al., 1996).

A high interindividual variability in NDEA metabolism was found in a panel of human liver microsomes as the result of differences in the expression of CYP2A6 (Camus et al., 1993). Among the human CYP enzymes that metabolise *N*-NAs commonly found in food such as NDMA, NDEA, NPYP and NPIP, CYP2A6 is highly polymorphic. There are numerous variants in this enzyme that are summarised in the Human Cytochrome P450 (CYP) Allele Nomenclature Database<sup>15</sup>; 75 different CYP2A6 alleles are listed. Low activity forms of this enzyme most commonly occur in Asian populations. Polymorphisms in CYP2E1 have also been described, but their effects on the metabolism of *N*-NAs commonly detected in food are not clear (He and Feng, 2015).

In summary, earlier studies performed with human tissue subfractions or tissue cultures have demonstrated the ability not only of liver but also of several extrahepatic organs and tissues, including oesophagus, colon, bladder, bronchi, pancreatic duct and nasal mucosa, to biotransform and bioactivate several *N*-NAs. CYPs play a key role in *N*-NAs bioactivation, which in most cases consist in the hydroxylation at the  $\alpha$ -carbon position. The most common *N*-NAs found in food (NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYP) are mostly biotransformed by CYP2E1 and CYP2A6, while CYP2B1 and CYP1A1 are involved to a lesser extent. CYP genetic polymorphisms may at least partly explain the large interindividual variation in the biotransformation of certain *N*-NAs observed in *in vitro* studies.

### Levels of *N*-NAs in humans

A few studies report measurements of the levels of *N*-NAs in humans (urine, blood, tissues etc.). None of these reports addresses the source of these compounds (endogenous vs. exogenous) (see Table A.1 in Appendix A).

For example, the low levels of NDEA, NDMA and NMOR present in the urine of healthy individuals were found to be unrelated to cigarette smoking, but no alternative source was identified (Kakizoe et al., 1979). In a study to investigate the relevance of *N*-NA exposure to oesophageal cancer, levels of NDEA, NDMA, NPIP, NPYP, NPRO and NSAR were measured in the gastric juice of inhabitants of high- and low-risk regions of China. The levels of *N*-NAs were positively correlated with the number of oesophageal lesions. The authors concluded that this might reflect exogenous *N*-NAs present either in contaminated food/water and/or their formation endogenously *in vivo* (Lu et al., 1986).

In a separate study, several *N*-NAs (NDEA, NMEA, NDBA, NDPA, NPIP, NPYP, NMOR), concentrations of nitrates/nitrites and pH values were measured in the gastric juice of patients undergoing upper GI endoscopy. The authors propose that microbial nitrite formation from enhanced bacterial growth at elevated pH increases nitrosation reactions (Dallinga et al., 1998). These data are consistent with the endogenous formation of *N*-NAs. An earlier study showed higher urinary excretion of *N*-nitrosoproline in an area of Italy with high gastric cancer incidence compared to an area with low gastric cancer incidence. The authors suggested different dietary exposure to background sources of nitroso compounds in the two regions (Knight et al., 1992).

The Panel concludes that these studies do not discriminate between food contamination and endogenous production as possible origins of *N*-NAs in humans.

### DNA adducts formation

Convincing evidence for DNA adduct formation in a human exposed to NDMA was obtained in a study of human liver autopsy samples (Herron and Shank, 1980). They obtained 12 frozen samples of human liver, kidney and heart from recently deceased individuals. They successfully isolated DNA from 7 of these samples and analysed it for 7-Me-Gua and  $O^6$ -Me-Gua using a validated HPLC-fluorescence detection method. Only two of the seven samples had clearly measurable levels of 7-Me-Gua and  $O^6$ -Me-Gua. These two samples contained 1363–1373  $\mu\text{mol}$  of 7-Me-Gua and 273 to 317  $\mu\text{mol}$  of  $O^6$ -Me-Gua per mol of guanine and came from the liver of a 23-year-old victim of NDMA poisoning while the other samples were from unrelated causes (Herron and Shank, 1980). From the DNA methylation

<sup>15</sup> [https://www.pharmvar.org/htdocs/archive/index\\_original.htm](https://www.pharmvar.org/htdocs/archive/index_original.htm)

levels, it was estimated that the NDMA-poisoning victim had been exposed to a dose of 20 mg or more of NDMA per kg of body weight, as estimated from animal studies. These results confirm the identical metabolic activation process in a human to that observed in laboratory animals.

DNA adducts were also measured in several studies in attempts to clarify the role of *N*-NAs in human cancer. Comparisons were made between normal mucosa and oesophageal and/or stomach cancers of patients from areas of high and low cancer risk (Umbenhauer et al., 1985; Lu et al., 1986; Palli et al., 2001; Totsuka et al., 2019). Most of these studies reported levels of DNA  $O^6$ -Me-Gua, with a few reports on  $O^6$ -Et-Gua,  $O^4$ -Et-Thy and  $O^4$ -Me-Thy (for details see Appendix A Table A.1). Levels of DNA  $O^6$ -Me-Gua were higher in individuals from an area of China with a high risk of gastric cancer compared to those in individuals from regions in Europe (France and Germany) or China with a lower cancer incidence (Umbenhauer et al., 1985; Lu et al., 1986).

A study in which DNA  $O^6$ -Me-Gua was measured in peripheral blood leucocytes of 407 individuals from 17 populations living in 13 countries with different gastric cancer rates, revealed a high prevalence of positive samples from Japan and Portugal both of which have extremely high gastric cancer rates (Eurogast Study Group, 1994).

In line with these findings, relatively high levels of DNA  $O^6$ -alkylguanines ( $O^6$ -Me-Gua,  $O^6$ -ButylGua,  $O^6$ -PropylGua) were found in gastric cancer cases from an area of central Italy with an unusually high incidence of gastric cancer. Estimated intakes based on measurements of NDMA and dimethylamine concentrations in foods from local markets correlated with total levels of DNA  $O^6$ -alkylguanines. Although based on a small series of cases, these findings support a causal role for dietary *N*-NAs in the aetiology of gastric cancer (Palli et al., 2001).

More recently, measurements of multiple DNA adducts by mass spectrometry (DNA adductomics) have implicated the rat oesophageal carcinogen NPIP in the aetiology of oesophageal cancer in an area of high cancer incidence in China (Cixian) (Totsuka et al., 2019). The study identified several different DNA adducts that were more abundant in samples from the high-risk compared to a low-risk area. One of these was  $N^2$ -THP-dGua, the main DNA adduct produced by NPIP. NPIP was mutagenic both *in vitro* and *in vivo*. AT > CG transversions followed by GC > AT and AT > GC transitions were the main mutations identified in liver and oesophagus of NPIP-treated transgenic rats. A similar mutational pattern was observed in one of the mutational signatures (Signature C which was similar to signature 17 in the COSMIC database with an unknown aetiology) identified by whole exome sequencing of oesophageal tumours from the high-risk area. This signature was weakly correlated with  $N^2$ -THP-dGua levels in the blood of oesophageal cancer patients, indicating that NPIP-induced DNA adducts are partly involved in oesophageal carcinogenesis.

These new data are in line with the older studies. They suggest that *N*-NAs other than those producing DNA  $O^6$ -Me-Gua and  $O^6$ -Et-Gua/ $O^4$ -Et-Thy contribute to the development of human cancer. The source(s) of these carcinogenic *N*-NAs still remains to be determined, however.

Measurements of DNA  $O^6$ -Me-Gua in colorectal mucosa of patients with GI tract disorders (Hall et al., 1991) or in paired normal and tumour samples of CRC patients (Jackson et al., 1996; Povey et al., 2000), proved to be uninformative as to the role of this alkylated base in the aetiology of colorectal cancer (Jackson et al., 1996; Povey et al., 2000).

In summary, these observations generally implicate *N*-NAs in the aetiology of human cancer (mainly stomach, oesophagus, colon). Most of the studies of DNA adducts do not specifically identify *N*-NAs as their source. It remains to be determined whether exposure to *N*-NAs reflects their endogenous formation or occurs via food/water. The specific exceptions are the study on human poisoning by NDMA and possibly the  $N^2$ -THP-dGua study from NPIP exposure in China.

### 3.1.2. Toxicity in experimental animals

#### 3.1.2.1. Acute toxicity studies

The following chapter provides a summary of the animal studies and the details on the studies in the form of tables. Whenever appropriate, the text and the tables are subdivided into acyclic volatile and non-volatile *N*-NAs and cyclic/aromatic *N*-NAs.

##### 3.1.2.1.1. Acyclic volatile *N*-NAs

Acute toxicity studies on the acyclic volatile *N*-NAs NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA and NMVA are compiled in Table C.1 of Appendix C. No acute toxicity studies could be retrieved for NMBA.

In male and female rats, the  $LD_{50}$  of **NDMA** was found to be 40 mg/kg bw after gavage and 26.5–43 mg/kg bw, when applied i.p. (Barnes and Magee, 1954; Druckrey et al., 1967). In rats, liver

damage, as indicated by hepatocellular vacuolation and necrosis and increased serum transaminases, was reported after 1.9–50 mg/kg bw (gavage) and 20 mg/kg bw (i.p.) (Korsrud et al., 1973; Stewart et al., 1975; Maduagwu and Bassir, 1980; Nishie, 1983; Sumi and Miyakawa, 1983). A single i.p. dose of 19.2 mg/kg bw was determined to be the LD<sub>50</sub> for CFW/D mice, while 20 mg/kg bw induced 100% lethality in SWR mice (Frei, 1970; Mirvish and Kaufman, 1970). In hamsters, LD<sub>50</sub> values were obtained between 28.3 and 33.6 mg/kg bw (gavage) and 17.7–43 mg/kg bw (s.c.) with no clear difference between strain or sex of the animals (Tomatis et al., 1964; Haas et al., 1973; Mohr et al., 1974; Reznik et al., 1975). Ungar et al. (1984) reported on a hepatic venopathy (loss of endothelia of medium-sized branches of portal veins, surrounded by oedematous connective tissue) in hamster after exposure to 2 mg/kg bw via drinking water for 24 h. Maduagwu and Bassir (1980) treated male guinea pigs, cats and African Green monkeys with 50 mg/kg bw via gavage. In all three species, liver damage was induced as shown by elevated levels of serum transaminases, alkaline phosphatase and/or bilirubin; reduced survival was reported for the cats and increased relative liver weights for the monkeys.

The acute toxicity of **NMEA** was tested only in male and female rats, treated via gavage; an LD<sub>50</sub> of 90 mg/kg bw was obtained (Druckrey et al., 1967).

Following gavage of male and female rats with **NDEA**, an LD<sub>50</sub> of 280 mg/kg bw was derived (Druckrey et al., 1967). In male and female Wistar rats, centrolobular hepatic necrosis reduced protein synthesis of the liver and increased serum transaminases were found after single i.p. applications of 100–250 mg/kg bw (Schmähl et al., 1963, Stewart et al., 1975; Mukherjee and Ahmad, 2015). A single s.c. application of 180 mg/kg bw reduced the survival of mice (Mirvish and Kaufman, 1970). The LD<sub>50</sub> was similar for Syrian, European and Chinese Golden hamsters, ranging between 178 and 246 mg/kg bw (Mohr et al., 1966, 1972; Reznick, 1976).

For **NDPA**, the LD<sub>50</sub> was around 480 mg/kg bw when applied by gavage or s.c. to BD or SD rats of both sexes (Druckrey et al., 1967; Pour et al., 1973; Althoff et al., 1973; Reznik et al., 1975). The LD<sub>50</sub> values for female NMRI mice and male and female Syrian Golden hamsters were in a similar range, i.e. 689 and 600 mg/kg bw, respectively (Pour et al., 1973; Dickhaus et al., 1977).

In male and female BD rats, Druckrey et al. (1967) determined the following LD<sub>50</sub> values: 850 mg/kg bw for **NDIPA**, 1,100 mg/kg bw for **NEIPA** and 24 mg/kg bw for **NMVA**.

In summary, within the acyclic volatile *N*-NAs, the acute toxicity was highest for NDMA and NMVA, with LD<sub>50</sub> values of at least 17.7 mg/kg bw (hamster; s.c.) and 24 mg/kg bw (rat; gavage), respectively. This was followed by LD<sub>50</sub> values of at least 90 mg/kg bw for NMEA (rat; gavage), 178 mg/kg bw for NDEA (hamster; s.c.), 480 mg/kg bw for NDPA (rat; gavage), 850 mg/kg bw for NDIPA (rat; gavage) and finally 1,100 mg/kg bw for NEIPA (rat; p.o.). The acute toxicity of the individual acyclic volatile *N*-NAs appears to be relatively independent of the species, strain and sex of the animals tested as well as of the route of administration.

### 3.1.2.1.2. Acyclic non-volatile *N*-NAs

Acute toxicity studies on the acyclic non-volatile *N*-NAs NDBA, NDIBA, NMA, NEA and NSAR are compiled in Table C.2 of Appendix C. No studies could be retrieved for NDBzA, NMAMPA and NMAMABA.

In male and female rats, the LD<sub>50</sub> of **NDBA** was 1,200 mg/kg bw, independent of whether the compound was applied s.c. or by gavage (Druckrey et al., 1967). Althoff et al. (1971, 1973, 1974) and Reznik et al. (1976) used Syrian and European hamsters and reported LD<sub>50</sub> values of 1,200–2,462 mg/kg bw after gavage or s.c. or i.p. application with no clear differences between both strains or male and female animals. Male, s.c.-treated Chinese hamsters, however, exhibited a considerably lower LD<sub>50</sub> of 561 mg/kg bw (Althoff et al., 1971).

When **NDIBA** was applied s.c. to Syrian Golden hamsters, Althoff et al. (1975) determined an LD<sub>50</sub> of 5,600 mg/kg bw for males and of 6,600 mg/kg bw for females.

In two independent studies, **NMA** was given to male and female rats by gavage; the resulting LD<sub>50</sub> values ranged between 225 and 336 mg/kg bw (Druckrey et al., 1967; Goodall et al., 1970). Using the same route of administration, male Syrian Golden hamsters showed a somewhat lower LD<sub>50</sub> of 150 mg/kg bw (Goodall et al., 1970).

A study on **NEA**, published in 1968 in Russian and cited by PubChem and ChemIDplus, indicated lethality in rats when treated with 180 mg/kg bw orally or via i.p. application.

One study with gavage of male and female rats obtained an LD<sub>50</sub> for **NSAR** of 5,000 mg/kg bw (Druckrey et al., 1967). Similarly, male Swiss mice showed an LD<sub>50</sub> of 3,500 mg/kg bw when the *N*-NA



was given i.p. (Friedman and Couch, 1976). In male and female newborn mice, however, the LD<sub>50</sub> was significantly lower, i.e. 184 mg/kg bw (Wogan et al., 1975).

In summary, NMA and NEA were the most toxic compounds within the acyclic non-volatile *N*-NAs with an LD<sub>50</sub> value of 150 mg/kg bw for NMA (hamster; gavage) and considerable lethality reported for NEA at 180 mg/kg bw (rat, p.o.). NDBA, NDIBA and NSAR exerted toxicity above 500 mg/kg bw in adult animals.

#### 3.1.2.1.3. Cyclic and aromatic *N*-NAs

The acute toxicity studies for the cyclic volatile *N*-NAs, NMOR, NPIP, NTHZ and NPYR, for the cyclic non-volatile NPRO and for the aromatic NDPheA are given in Table C.3 of Appendix C. No data could be retrieved for the cyclic non-volatile *N*-NAs NHPYR, NMTHZ, NHMTHZ, NHPRO, NTCA, NMTCA, NHMTCA, NOCA, NMOCA and NPIC.

Acute toxicity studies for **NMOR** in male and female rats provided LD<sub>50</sub> values of 320 mg/kg bw, independent of whether the compound was applied via gavage or i.p.; when given i.v., the LD<sub>50</sub> dose was about one-third (Lee and Lijinsky, 1966; Druckrey et al., 1967). NMOR mainly affected the liver, reducing the relative organ weight and inducing centrolobular necrosis with subsequent raises in serum transaminases, followed by regenerative cell replication (Stewart et al., 1975; Nishie et al., 1984; Grasl-Kraupp et al., 2000). Acute toxicity studies on NMOR in mice could not be retrieved. The LD<sub>50</sub> values in hamsters ranged between 163 and 1149 mg/kg bw, with no clear differences between strains or male and female animals (Haas et al., 1973; Mohr et al., 1974; Reznik et al., 1976; Mohr, 1979; Ketkar et al., 1983; Cardesa et al., 1990).

In male and female rats, **NPIP** showed an LD<sub>50</sub> at 60 mg/kg bw (i.v. application), 85 mg/kg bw (i.p. application), 100 mg/kg bw (s.c. application) and 200 mg/kg bw (gavage) (Lee and Lijinsky, 1966; Druckrey et al., 1967). Acute toxicity studies in mice could not be identified. NPIP was applied to hamsters mostly via s.c. injection, providing LD<sub>50</sub> values between 113 and 324 mg/kg bw (Haas et al., 1973; Althoff et al., 1974; Mohr et al., 1974; Reznik et al., 1976; Mohr, 1979). When given via gavage, the LD<sub>50</sub> was somewhat higher, i.e. 735 mg/kg bw in males and 617 mg/kg bw in female Syrian Golden hamster (Cardesa et al., 1990).

A single acute toxicity study on **NTHZ** was carried out in male SD rats showing an LD<sub>50</sub> of 1950 mg/kg bw (s.c.) (Nishie et al., 1984).

Two studies on **NPYR** in rats reported an LD<sub>50</sub> of 900 mg/kg bw (gavage) and 650 mg/kg bw (i.p. application) (Lee and Lijinsky, 1966; Druckrey et al., 1967). In hamsters, the LD<sub>50</sub> value was somewhat higher in male (1,318 mg/kg bw) than in female animals (1023 mg/kg bw) when NPYR was applied via gavage (Ketkar et al., 1982).

The LD<sub>50</sub> of **NPRO** was 4,500 mg/kg bw in male rats, subjected to i.p. application (Mirvish et al., 1980); using the same route of administration in Swiss mice, an LD<sub>50</sub> value of 203 mg/kg bw was derived (Nagasawa et al., 1973).

In two independent gavage studies in rats on **NDPheA**, the LD<sub>50</sub> value was determined to be above 2,000 mg/kg bw (Druckrey et al., 1967; ECHA<sup>16</sup>).

To conclude for the cyclic/aromatic *N*-NAs tested, the lowest LD<sub>50</sub> values reported were 60 mg/kg bw for NPIP (rat; i.v.), 98 mg/kg bw for NMOR (rat; i.v.), 203 mg/kg bw for NPRO (mouse; i.p.) and 650 mg/kg bw for NPYR (rat; i.p.). NTHZ and NDPheA exerted significant acute toxicity at doses above 1,000 mg/kg bw.

### 3.1.2.2. Repeated dose toxicity studies

#### 3.1.2.2.1. Acyclic *N*-NAs

Repeated dose toxicity studies on the acyclic volatile NDMA and NDEA are compiled in Table D.1 of Appendix D. No studies could be retrieved for the acyclic (volatile) *N*-NAs NMEA, NDPA, NDIPA, NEIPA, NMBA and NMVA and for the acyclic non-volatile *N*-NAs NDBA, NDIBA, NMA, NEA, NSAR, NDBzA, NMAMPA and NMAMABA.

**NDMA** was applied to male and female rats via diet (2.5–10.3 mg/kg bw per day), drinking water (0.0007–0.0035 mg/kg bw per day), gavage (1–5 mg/kg bw per day) or i.p. applications (0.2–4.3 mg/kg bw per day) for 5–110 days. The most sensitive endpoint was a decreased total and latent iron binding capacity in the serum at 0.0016 mg/kg bw per day after 10 days of treatment (Roszczenko et al., 1996 as reported in ATSDR, 2022). Reduced survival and body weight gain was reported at

<sup>16</sup> <https://echa.europa.eu/it/registration-dossier/-/registered-dossier/27089/7/3/2>



already 0.2 mg/kg bw per day, applied i.p. on post-natal days 1–5 (Sykora et al., 1985). In young adult animals, 5 mg/kg bw per day affected survival and  $\geq 2.5$  mg/kg bw per day affected body weight gain (Barnes et al., 1954; Chooi et al., 2016). Liver damage was documented by elevated serum transaminases (0.002 mg/kg bw per day for 10 days), hepatic necrosis ( $\geq 5$  mg/kg bw per day for 7–110 days), hepatic vein thrombosis (10.3 mg/kg bw per day for 12 weeks) and hepatic fibrosis ( $\geq 4.3$  mg/kg bw per day for 4–12 weeks) (Barnes and Magee 1954; Khanna and Puri, 1966; Reuber, 1966; Maduagwu et al., 1980; Roszczenko et al., 1996 as reported in ATSDR, 2022; Chooi et al., 2016).

Terracini et al. (1966) reported reduced survival of male and female Swiss mice at 9 mg/kg bw per day provided via drinking water for 7 days. Anderson et al. (1986) applied the same dose to male A/J mice and found reduced body weights and centrolobular hepatic necrosis after 1 week of treatment.

Ungar (1984, 1986) reported the development of a hepatic occlusive venopathy and the reduced survival of hamsters, treated with 2 mg/kg bw per day via drinking water for up to 16 weeks.

In guinea pigs, gavage of 1 mg/kg bw per day for 30 days elevated serum transaminases and 5 mg/kg bw per day for 5–11 days reduced the survival of the animals (Maduagwu et al., 1980).

Magee and Barnes (1956) showed reduced survival and toxic liver fibrosis in male rabbits receiving 1.5–3.75 mg/kg bw per day in the diet for 22 weeks. NDMA caused hepatic necrosis in male New Zealand rabbits, when applied orally at 0.5 mg/kg bw per day for 12 weeks (Sheweita et al., 2017). This was associated with lipid peroxidation, as determined by increased thiobarbituric acid-reactive substances (TBARS) and decreased activity of superoxide dismutase (SOD), catalase, glutathione-S-transferases (GST) and glutathione-peroxidases (GPx) as well as overall reduced glutathione (GSH) content in the liver. The effects on testicular tissue are given in Section 3.1.2.6.2 on Reproductive effects.

Male minks, exposed to 0.32 mg/kg bw via diet for about 1 month, showed hepatic necrosis and reduced survival (Carter et al., 1969).

In dogs, 0.67 mg/kg bw per day for 3 weeks reduced survival and caused hepatic necrosis and increases in serum transaminases as well as bilirubin and alkaline phosphatase (ALP) (Strombeck et al., 1983).

Gavage of 1 mg/kg bw per day for 30 days reduced the survival of male cats; the increase in serum transaminases, ALP and bilirubin indicated toxic liver damage (Maduagwu and Bassir, 1980).

In male African Green monkeys 5 mg/kg bw per day, applied by gavage for 5–11 days, raised the serum ALT, AST and ALP levels and lowered the survival of the animals (Maduagwu et al., 1980).

**NDEA** induced areas of liver hyperplasia, seen in histological sections of male rats, receiving 6 mg/kg bw per day for 3 weeks in the drinking water (Takayama and Hitachi-Masahito Yamada, 1975). Rapp et al. (1965) reported reduced survival of male and female New Zealand rabbits when treated with 10.8 mg/kg bw per day via drinking water for 4 weeks. Like NDMA, NDEA induced liver necrosis in male New Zealand rabbits, exposed to 0.5 mg/kg bw per day for 12 weeks (Sheweita et al., 2017). Intrahepatic lipid peroxidation and reduced activities of superoxide dismutase, catalase, glutathione-S-transferases and glutathione-peroxidases and a lowered glutathione content were reported as well. The NDEA effects on the testes are described in Section 3.1.2.6.2 on Reproductive effects.

In summary, NDMA exerted pronounced hepatotoxic effects, which reduced body weight gains and the survival of rats, mice, hamsters and guinea pigs as well as of non-rodent mammalian species, such as mink, dog, cat, rabbit and monkey. Similar results were reported for NDEA in rats and rabbits.

#### 3.1.2.2.2. Cyclic and aromatic N-NAs

Repeated dose toxicity studies on the cyclic volatile N-NAs, NMOR and NPIP and on the aromatic NDPheA are summarised in Appendix D in Table D.2. No data could be retrieved for the cyclic volatile N-NAs NTHZ and NPYR and the cyclic non-volatile N-NAs NHPYR, NMTHZ, NHMTHZ, NPRO, NHPRO, NTCA, NMTCA, NHMTCA, NOCA, NMOCA and NPIC.

The liver is the main target organ for the toxic and carcinogenic effects of **NMOR** (see Table C.3 of Appendix C on acute toxicity studies and Table 5 on long-term toxicity). This is also substantiated by (i) ultrastructural alterations of rat hepatocytes, treated for 7 weeks with a dose of 2.4 mg/kg bw per day and (ii) the development of hepatic preneoplastic lesions in parallel with alterations of the liver transcriptome and proteome patterns of rats, receiving 10.8 mg/kg bw per day for 7 weeks (Wanson et al., 1980; Oberemm et al., 2009).

Flaks and Challis (1980, 1981) focused on the **NPIP** effects on rat liver. In the first study, animals were treated with 8.6–15.4 mg/kg bw per day for a period of up to 6 months. After 2.5 months, preneoplastic liver lesions were identified. Also, centrolobular vacuolation, indicating hepatocellular

damage, was reported. In the second study, NPIP (20.6 mg/kg bw for 12 or 28 days) induced ultrastructural changes in hepatocytes, such as glycogen depletion, cytoplasmic fat accumulation, proliferation of the smooth endoplasmic and reduction of the rough endoplasmatic reticulum, as well as bile canalicular changes.

**NDPheA** was the subject of an NCI/NTP-study published in 1979. The study arm on subchronic toxicity over a period of 11 weeks in rats and 7 weeks in mice was conducted to estimate the maximum tolerated doses for the long-term studies; only survival and body weights were reported. In rats > 360 mg/kg bw per day reduced the body weight of males and females and > 1,440 mg/kg bw per day the survival of the female animals. In the ECHA database, a study from 2017 is publicly accessible. A 4- to 8-week treatment with 150 mg/kg bw per day induced necrosis of the urinary bladder in male and female SD rats. The NTP study of 1979 showed that in mice, the body weight was reduced at > 3,000 mg/kg bw per day in the males and at > 9,200 mg/kg bw per day in the females. This study arm focused on the determination of the maximum tolerated dose and did not include detailed analyses of the organs or blood chemistry. Sheweita et al. (2017) reported on toxicity in liver and testis in New Zealand rabbits treated with 0.5 mg/kg bw per day for 12 weeks. Hepatic necrosis was associated with lipid peroxidation, as determined by increased TBARS.

### 3.1.2.3. Genotoxicity

#### 3.1.2.3.1. Acyclic volatile N-NAs

*In vitro* studies: **NDMA** is a potent hepatocarcinogen that has proved mutagenic in a number of different assay systems (Montesano and Bartsch, 1976). It is not a direct-acting mutagen. Rather, like many other N-NAs, it requires enzymatic activation (Malling, 1971). Positive results were reported in *Salmonella* Typhimurium strain *TA100* and in *Escherichia coli uvrA* in the presence of metabolic activation by hamster and rat liver S9 (with enhanced NDMA mutagenicity by hamster S9) (Araki et al., 1984). In mammalian cells, NDMA induced gene mutations in mouse lymphoma cells in the presence of an exogenous metabolic system from Syrian hamster cells (Amacher and Paillet, 1982). In the absence of an exogenous metabolic activation system, no induction of micronuclei was observed in cultured isolated lymphocytes (Katic et al., 2010), while a significant increase of micronuclei frequency was reported in the human hepatoma-derived HepG2 cells (Majer et al., 2004).

*In vivo* studies: In several reports, NDMA provided generally negative results in the peripheral blood micronucleus (MN) assays (Clivet et al., 1993; Suzuki et al., 2005; Rothfuss et al., 2010; Bowen et al., 2011; Dertinger et al., 2019). One exception is the increased incidence of micronucleated reticulocytes in peripheral blood of Big Blue mice after a single i.p. treatment with high doses of NDMA (5 and 10 mg/kg) (Suzuki et al., 1996). In collaborative Study Groups of several laboratories (Japanese Environmental Mutagen Society) conducting simultaneous liver and peripheral blood MN assays in young rats, positive results were observed only in the liver (Suzuki et al., 2005). Similarly, positive results were obtained by MN assay system targeting the liver after repeated-dose treatments of young adult rats, while negative results were found in the bone marrow and the GI tract (Hamada et al., 2015). Induction of chromosome aberrations, micronuclei and sister chromatid exchanges (SCEs) were also reported in hepatocytes of F344 rats exposed *in vivo* to/for NDMA (Sawada et al., 1991). Finally, an increased level of DNA breaks, as measured by alkaline elution and Comet assays, was reported in the liver of rats given a single oral dose of NDMA (Brambilla et al., 1987) or following a 15 day repeat dosing protocol that resulted in a negative peripheral blood MN assay (Rothfuss et al., 2010).

Evaluation of a multi-endpoint assay in rats combining MN test in the bone marrow and peripheral blood and the Comet assay allowed identification of (a) increased levels of DNA breaks in the liver and in the blood, but not in the stomach; and (b) an increase in MN that was considered equivocal because of excessive toxicity (Bowen et al., 2011). Similarly, NDMA was positive only in the hepatocyte MN assay (and not in the peripheral blood or the *Pig-a* mutation assay) in young rats (Dertinger et al., 2019). These data indicate that NDMA is clastogenic in the liver; in addition, although NDMA intermediates did not induce chromosomal damage in the bone marrow, the plasma level in peripheral blood could be sufficient to induce DNA damage, albeit limited, that can be detected in the Comet assay.

In an international validation study of the *in vivo* Comet assay, significant induction of DNA strand breaks as measured by the comet assay was also observed in liver but not in the stomach of rats orally treated with NDMA (Hobbs et al., 2015; McNamee and Bellier, 2015). In another study on i.p.-treated mice, increased levels of DNA breaks, as measured by the comet assay, were observed in stomach, colon, liver, lung, kidney, urinary bladder and brain (Tsuda et al., 2000).

The mutational specificity of NDMA in the *lacI* gene of *E. coli* was initially determined by a host-mediated assay. *E. coli*, recovered from the livers of i.p. NDMA-treated mice, exhibited a 37-fold increase in mutation frequency with GC > AT transitions being 91% of the NDMA spectrum. The type and the sequence context of these mutations (guanines flanked at the 5' end by a purine) are consistent with *O*<sup>6</sup>-Me-Gua being the main pre-mutagenic adduct induced by NDMA. A small proportion (10%) of other types of mutation were also identified (Horsfall et al., 1989).

NDMA-induced mutations recovered after *in vitro* activation (S9) show a spectrum very similar to that obtained after an *in vivo* activation employing a mouse host-mediated assay. In both systems, G: C > A:T transitions dominate and their distribution reveals similar site specificity (Jiao et al., 1993).

Information on the mutagenicity of NDMA in whole animals came from several studies in *lacZ* or *lacI* C57BL/6 and B6C3F1 transgenic mice (Mirsalis et al., 1993; Tinwell et al., 1994; Suzuki et al., 1996; Souliotis et al., 1998; De Boer et al., 1999; Shane et al., 2000). Good agreement of positive and negative results was observed among the different TransGenic Rodent (TGR) assays (reviewed in Lambert et al., 2005).

NDMA induced comparable increases in *lacI* mutation frequencies (10- to 20-fold) in liver DNA of two different transgenic mouse strains (C57BL/6 and B6C3F1). A significant effect of the age of the animals (3- vs. 6-week-old mice) was observed with young animals being more sensitive to the mutagenic effects of NDMA. Sequencing of the mutants indicates that NDMA produces predominantly C:G > T:A transitions (Mirsalis et al., 1993).

Increased mutations in the liver and, to a minor extent, in the spleen of the transgenic *lacZ* Muta<sup>TM</sup> mice were also found following single or multiple exposures to NDMA. Although DNA adducts (*O*<sup>6</sup>-Me-Gua and 7-Me-Gua) levels increased linearly with dose, the authors report a greater than linear increase in the mutation frequency. Most of the mutations were GC > AT mutations at GpG sites (60%) and a smaller fraction (22%) of deletions of a few (up to 11) base pairs were also observed (Souliotis et al., 1998).

When the organ specificity of NDMA mutagenicity was investigated in i.p.-treated Big Blue mice, *lacI* mutant frequency increased in target organs for NDMA carcinogenicity, i.e. liver (6.2-fold), kidney (2.4-fold) and lung (2.1-fold), but not in non-target organs such as bone marrow, urinary bladder and testis (Suzuki et al., 1996).

Liver mutations (4.5-fold increased mutation frequency) investigated in Big Blue mice identified again a high percentage of GC > AT transitions (64%) and a small number of frameshift mutations. Unlike the controls, none of these transitions occurred at CpG sites (Delker et al., 2008).

Finally, oral NDMA mutagenicity was investigated in liver of transgenic Big Blue rats at *lacI* and *cII* genes with similar increases in mutation frequency being found at the two loci (4.5-fold at 6 mg/kg bw) (Gollapudi et al., 1998). A similar study performed in the liver of transgenic Big Blue mice reported similar increases in mutation frequency (around threefold) at the two gene loci but differences in the spontaneous as well as in the NDMA-induced mutational spectra (Shane et al., 2000).

Because of the large database available on *in vivo* mutagenicity of NDMA in different TGR gene mutation assays, a recent study employed the BMD approach to examine the ability of different TGR variants to yield comparable genotoxic potency estimates. Preliminary results indicate that the tissue choice is potentially more important than the choice of a specific TGR assay (Wills et al., 2017).

In summary, NDMA-induced gene mutations occur mainly in the liver and, to a smaller degree, the lung and kidney, but not in the bone marrow, urinary bladder or testis. The large majority of mutations are GC > AT, occurring at specific sites different from those observed in controls (GpG and CpG, respectively) with a small fraction of frameshifts and small deletions. Exposure to NDMA also induced chromosomal damage in the liver, while DNA breaks as measured by comet assay were also observed in several organs of NDMA-treated mice and rats.

*In vivo* genotoxicity studies with NDMA are compiled in Table B.1 of Appendix B.

*In vitro* studies: The mutagenic potential of **NMEA** was studied in various *S. Typhimurium* YG7108 strains each expressing a form of the human CYPs. As judged by the levels of induced mutations, NMEA was mainly activated by CYP2E1 (Fujita and Kamataki, 2001).

*In vivo* studies: Positive results were reported for DNA single strand breaks and alkali-labile sites as measured by the alkaline elution technique in the liver of rats given a single oral NMEA dose. A linear dose response was observed over all NDPA doses tested (Brambilla et al., 1987). An increased number of DNA breaks, as measured by comet assay, were also reported in the stomach, colon, liver, lung and kidney of i.p. treated mice (Tsuda et al., 2000). No induction of micronuclei was observed in CD1 mouse haematopoietic cells by single or double i.p. treatments of up to lethal or sublethal doses (Morita et al., 1997).

*In vitro* studies: **NDEA** is a potent genotoxicant in *in vitro* systems. It induced reverse mutations in bacteria in the presence of an exogenous metabolic system (Yahagi et al., 1977; Araki et al., 1984) and in host-mediated assays in mice. In mammalian cells, NDEA induced chromosomal aberrations and SCEs in the presence of an exogenous metabolic system in Chinese hamster cells (Ishidate Jr and Odashima, 1977) and gene mutations in mouse lymphoma cells (Amacher and Paillet, 1982).

*In vivo* studies: Inconclusive results in the MN assay *in vivo* were reported by a Collaborative Study Group of several laboratories (Environmental Mutagen Society of Japan) evaluating the rodent MN assay in chemicals identified as group-1, group-2A and group-2B carcinogens by IARC (Morita et al., 1997). In several other reports, NDEA provided consistently negative results in the MN assays *in vivo* (Cllet et al., 1993; Shi et al., 2011; Avlasevich et al., 2014; Hagio et al., 2014; Khanal et al., 2018; Dertinger et al., 2019). The inconsistency between the positive results in the *in vitro* chromosomal aberration assays and negative bone marrow MN assays has been explained by the lifespan of the active NDEA metabolite which is too short to reach the target organ and allow for sufficient exposure in the bone marrow to cause cytogenetic damage in the haematopoietic system (Morita et al., 1997).

When methods to measure MN induction in the liver (involving partial hepatectomy, treatment with mitogens and the use of juvenile animals) became available, consistently positive results for MN induction in the liver by NDEA were reported (Igarashi et al., 2010; Takasawa et al., 2010; Shi et al., 2011; Itoh et al., 2012; Hagio et al., 2014; Narumi et al., 2012, 2013; Khanal et al., 2018; Dertinger et al., 2019). These assays have been proven to be of high sensitivity and specificity and to predict hepatocarcinogenicity of compounds that cannot be detected by bone marrow MN assays.

Finally, a significant induction of DNA strand breaks, as measured by alkaline elution in the liver (Brambilla et al., 1987) or by Comet assay, was observed in liver and kidney (Miyamae et al., 1998; Sekihashi et al., 2001; Hashimoto et al., 2007; Igarashi et al., 2010; Shi et al., 2011; Hagio et al., 2014) as well as in stomach, colon, bladder, brain and lung cells (Tsuda et al., 2000; Sekihashi et al., 2001). In contrast, negative results were reported in spleen and bone marrow (Miyamae et al., 1998). The target organs of NDEA genotoxicity were almost identical in mice and rats (Sekihashi et al., 2002). In a single study, a modest increase in DNA breaks was reported at a high NDEA dose (10 mg/kg/day) in blood cells (Shi et al., 2011). These data suggest that, although NDEA intermediates did not induce chromosomal damage in the bone marrow, the plasma level in peripheral blood could be sufficient to induce modest DNA damage that can be detected in the Comet assay.

Mutations induced by NDEA have been investigated in several TGR assays [*lacZ* Muta<sup>TM</sup> mouse, *gpt* delta (*gpt*), *gpt* delta (*spi*-)]. Good agreement of positive and negative results was observed among the different TGR assays (reviewed in Lambert et al., 2005). Increases in mutation frequencies were reported in the liver and lung after both i.p. and oral administration (reviewed in Lambert et al., 2005; Okada et al., 1997). No induction of mutations was reported in testis or sperm, while results were largely negative in the bone marrow.

In addition, mutations as well as DNA adducts formation, i.e. *O*<sup>6</sup>-Et-Gua and *N*<sup>7</sup>-Et-Gua were investigated in bone marrow and liver of *lacZ* Muta<sup>TM</sup> mouse i.p. treated with NDEA for different length of time (3, 14 and 28 days) (Mientjes et al., 1998). No DNA adducts or mutation induction were observed in bone marrow of NDEA-treated mice. Mutations increased in a time-dependent manner in the liver (maximal values at 14–28 days), while maximal levels of liver DNA adducts were observed within 24 h. Mutations have also been investigated in the blood-based Pig-a mutation assay with equivocal results. The negative Pig-a results are in agreement with the negative ones observed in the MN assays since these tests detect, respectively, mutation and chromosomal damage occurring in bone marrow and measured in peripheral red blood cells (erythrocytes and reticulocytes for the Pig-a assay and reticulocytes in the MN assay) (Shi et al., 2011; Wada et al., 2016). However, a weakly positive response in the Pig-a assay was identified in two studies (Avlasevich et al., 2014; Khanal et al., 2019). The slight elevation in mutation frequency could be identified with a new scoring approach that allows a very large number of cells to be analysed and provides sufficient statistical power to detect increases on the order of threefold (Dertinger et al., 2019).

*In vivo* genotoxicity studies with NDEA are compiled in Table B.2 of Appendix B.

*In vitro* studies: Positive results for **NDPA** were reported in *Salmonella* Typhimurium strain TA100 and in *E. coli* *uvrA* (Araki et al., 1984) in the presence of metabolic activation by hamster and rat liver S9 (with enhanced NDPA mutagenicity by hamster S9). The mutagenic potential of NDPA was also studied in several *S. Typhimurium* YG7108 strains (a derivative of TA1535), each expressing a form of the human CYP. As judged by its mutagenic capacity, NDPA was mainly activated by CYP2A6 (Fujita and Kamataki, 2001b). NDPA induced DNA strand breaks, gene mutations and chromosome



aberrations in mammalian cells *in vitro* (reported in a review by Lambert et al., 2005 and ATSDR, 2019).

*In vivo* studies: Positive results were reported for induction of DNA single strand breaks and alkali-labile sites as measured by the alkaline elution technique in the liver of rats given a single NDPA oral dose (Brambilla et al., 1987). NDPA induced DNA breaks as measured by the comet assay in stomach, colon, liver, lung, bone marrow and urinary bladder (but not in the kidney) (Tsuda et al., 2000). No increase in micronuclei was observed in mouse bone marrow cells after double i.p. treatments up to about 80% of the LD<sub>50</sub> (Morita et al., 1997). Similarly negative results were obtained in bone marrow of young rats treated by oral gavage for 14 days. In the same experiment setting, however, NDPA increased micronuclei frequencies in rat hepatocytes (Hamada et al., 2015; Terashima et al., 2015).

The mutagenic and clastogenic effects of NDPA were investigated in *lacZ* Muta<sup>TM</sup> mouse. NDPA did not cause a significant increase in micronucleated reticulocytes in peripheral blood. In the mutation assay, significant increases in mutant frequencies were observed in the liver, lung and kidney (in this ascending order of mutagenic potency) and not in bone marrow, urinary bladder or testis (Itoh et al., 1999; Suzuki et al., 1999).

The mutagenic and clastogenic effects of NDPA were investigated in *lacZ* Muta<sup>TM</sup> mouse. NDPA did not cause a significant increase in micronucleated reticulocytes in peripheral blood. In the mutation assay, significant increases in mutant frequencies were observed in the liver, lung and kidney (in this ascending order of mutagenic potency) and not in bone marrow, urinary bladder or testis (Ito et al., 1999; Suzuki et al., 1999).

*In vitro* studies: **NDIPA** induced the lambda prophage in *E. coli* (Rossman et al., 1991) and was weakly positive in *S. Typhimurium* strain TA100 (Lee and Guttenplan, 1981) in the presence of a metabolic activation system.

*In vitro* studies: The mutagenic potential of **NMBA** was studied in various *S. Typhimurium* YG7108 strains, each expressing a form of the human CYP. As judged by its mutagenic capacity, NMBA was mainly activated by CYP2A6 (Fujita and Kamataki, 2001b).

Genotoxicity studies on **NMVA** and **NEIPA** were not reported.

### 3.1.2.3.2. Acyclic non-volatile N-NAs

*In vitro* studies: The mutagenic potential of **NDBA** was studied in various *S. Typhimurium* YG7108 strains each expressing a form of the human CYP. As judged by mutagenic capacity, NDBA was mainly activated by CYP2A6 (Fujita and Kamataki, 2001b).

*In vivo* studies: Positive results were reported for induction of DNA single strand breaks and alkali-labile sites as measured by the alkaline elution technique in the liver of rats given a single oral dose (Brambilla et al., 1987). NDBA induced DNA breaks as measured by the comet assay in stomach, colon, liver, lung, kidney, urinary bladder and brain (Tsuda et al., 2000). No increase of micronuclei was observed in mouse haematopoietic cells after a single i.p. treatment of up to the LD<sub>50</sub> (Morita et al., 1997).

**NMA** has been reported to be negative or very weakly mutagenic in the standard *Salmonella*/microsome assays (reviewed in Zielenska and Guttenplan, 1988). However, NMA was found to be moderately mutagenic in the presence of liver S9 in the *Salmonella* TA104 strain. NMA-induced mutations were predominantly AT > CG transversions (Zielenska and Guttenplan, 1988).

*In vitro* studies: **NDBzA** was mutagenic in bacteria and in mammalian cells *in vitro*. Both in TA100 and TA98 *S. Typhimurium* strains and in V79 cells, NDBzA mutagenesis required high levels of S9 fractions or metabolic activation by liver S9 from hamster (Boyes et al., 1990; Schmezer et al., 1990).

*In vivo* studies: No increase in micronuclei was observed in rat and mouse bone marrow, while positive results were observed in rat liver (Schmezer et al., 1990).

Mutagenicity of orally administered NDBzA was studied in *lacZ* Muta<sup>TM</sup> mouse. Mutant frequencies were increased in liver samples, but not in bone marrow after both NDBzA and NDMA treatment, with NDBzA being > 100 times less mutagenic than NDMA. The predominant type of NDBzA-induced mutations was transversions, mainly GC > TA. The authors suggested that these may arise from specific unidentified DNA adducts with benzylation possibly being the primary mechanism involved in formation of these DNA adducts (Jiao et al., 1997).

*In vitro* studies: Both in TA1535 and TA100 *S. Typhimurium* strains **NMAMBA** mutagenesis required metabolic activation by rat liver S9 and the efficient liquid incubation assay (negative results by the plate assay) (Lu et al., 1980).

Genotoxicity studies on **NEA**, **NDIBA**, **NMAMPA** and **NSAR** were not reported.



### 3.1.2.3.3. Cyclic volatile N-NAs

*In vitro* studies: Positive results were reported with **NMOR** in *S. Typhimurium* strain TA100 and in *E. coli uvrA* (Araki et al., 1984) in the presence of metabolic activation by hamster and rat liver S9 (with enhanced NMOR mutagenicity by hamster S9).

*In vivo* studies: Positive results were reported for induction of DNA single-stranded breaks and alkali-labile sites as measured by the alkaline elution technique in the liver of rats given a single oral dose (Brambilla et al., 1987). NMOR induced DNA breaks as measured by the comet assay in stomach, colon, liver, lung, kidney and urinary bladder (Tsuda et al., 2000). In addition, dose-dependent and reproducible positive responses for induction of micronuclei were observed in mouse bone marrow after single i.p. treatments (Morita et al., 1997).

*In vitro* studies: **NPIP** was found to be mutagenic in *S. Typhimurium* strains TA1535 only in the presence of rat S9 (Stoltz and Sen, 1977) and in strain TA100 and in *E. coli uvrA* (Araki et al., 1984) in the presence of metabolic activation by hamster or rat liver S9 (with enhanced NPIP mutagenicity by hamster S9). Recently, Totsuka et al. (2019) confirmed the positive results in *S. Typhimurium* strains TA1535 and TA 100 and showed that GC > AT transitions are significantly higher in NPIP-exposed clones than in control clones.

*In vivo* studies: Positive results were reported for induction of DNA single-stranded breaks and alkali-labile sites as measured by the alkaline elution technique in the liver of rats given a single oral dose (Brambilla et al., 1987). NPIP induced DNA breaks as measured by the comet assay in stomach, colon, liver, lung, kidney and urinary bladder (Tsuda et al., 2000). Recently, an increase in mutation frequency was reported in liver and oesophagus of NPIP-treated transgenic rats, with AT > C:G transversions followed by GC > AT and AT > GC transitions being the main mutations (Totsuka et al., 2019). No increase in micronuclei was observed in BDF1 mouse haematopoietic cells after a single i.p. treatment of up to 80% of the LD<sub>50</sub> (Morita et al., 1997).

*In vitro* studies: **NTHZ** was found to be mutagenic in *S. Typhimurium* strains TA100 and TA98 with and without metabolic activation (Umano et al., 1984). NTHZ induced positive responses also in another microbial system (repair deficient *rec<sup>-</sup>* strain of *Bacillus subtilis*) and in a DNA repair assay in primary rat hepatocytes (Unscheduled DNA synthesis, UDS) (Loury et al., 1984).

*In vitro* studies: **NPYR** was found to be mutagenic in *S. Typhimurium* strains TA1535 (Stoltz and Sen, 1977) and TA100 and TA104 (Zielenska and Guttenplan, 1987). NPYR-induced mutational spectra have been investigated in the *E. coli lacI* gene. Base substitutions at G:C base pairs appeared to predominate in the mutational spectrum, although some AT > GC transitions also occurred suggesting that NPYR might be a complex mutagen (Zielenska et al., 1990).

*In vivo* studies: Positive results were reported for induction of DNA single-stranded breaks and alkali-labile sites as measured by the alkaline elution technique in the liver of rats given a single oral dose (Brambilla et al., 1987). An increased number of DNA breaks, as measured by comet assay, were also reported in the stomach, colon, liver, lung, kidney and urinary bladder of i.p. treated mice (Tsuda et al., 2000). There was no increase in micronuclei in CD-1 mouse haematopoietic cells after a single i.p. treatment of up to 60% of the LD<sub>50</sub> (Morita et al., 1997).

Mutagenicity of NPYR was investigated at two loci in the liver of *gpt* delta transgenic rats. A 10-fold increase in mutation frequency was observed only at the *gpt* locus and this was caused by specific AT > GC transitions. The lack of variations in the frequency of Spi-mutations indicates that NPYR does not induce small deletions (Kanki et al., 2005).

### 3.1.2.3.4. Cyclic non-volatile N-NAs

*In vitro* studies: **NHPYR** was reported as positive in *S. Typhimurium* strain TA1535 in DSSTox (CPDBAS) Carcinogenic Potency Database Salmonella Mutagenicity (the *S. Typhimurium*/mammalian microsomal assay): a report of the U.S. Environmental Protection Agency gene-tox programme (Kier et al., 1986).

*In vitro* studies: **NHMTZ** exhibited clear dose–response mutagenicity towards strain TA100 with or without metabolic activation (but not in strain TA98) (Umano et al., 1984).

*In vitro* studies: No induction of mutations was observed with **NPRO** in *S. Typhimurium* strain TA1535 with or without metabolic activation (Stoltz and Sen, 1977).

*In vitro* studies: No induction of mutations was observed with **NHPRO** in *S. Typhimurium* strain TA1535 with or without metabolic activation (Stoltz and Sen, 1977).

*In vitro* studies: **NTCA** was not mutagenic in strains TA100 and TA98 of *S. Typhimurium* with or without metabolic activation (Umano et al., 1984).

Genotoxicity studies on **NMTHZ**, **NMTCA**, **NHMTCA**, **NOCA**, **NMOCA** and **NPIC** were not reported.

#### 3.1.2.3.5. Aromatic non-volatile N-NAs

*In vitro* studies: A lack of mutagenic activity of **NDPheA** has been consistently reported in commonly used strains of *S. Typhimurium* (strains TA100, TA1535, TA1537, TA1538 and TA98). NDPheA was weakly mutagenic in the TA104 *Salmonella* strain and induced predominantly AT > TA transversions (Zielenska and Guttenplan, 1988). No mutations were induced at the Na-/K ATPase, *Hprt* or *Tk* loci in cultured mammalian cells. DNA strand breaks were not induced in mouse lymphoma L5178Y cells in a study performed only in the presence of S9 mix or in Chinese hamster V79 cells (in the absence of S9 mix). Discrepant results were reported for induction of Sister Chromatid Exchanges (SCEs) as well as for chromosome aberrations in CHO and CHL cells (reviewed in McGregor, 1994; reviewed by ATSDR, 2017).

*In vivo* studies: In three *in vivo* studies of MN induction in mouse bone marrow cells, there was no indication of an effect due to NDPheA (reviewed in McGregor, 1994). Negative results were reported for induction of DNA single-stranded breaks and alkali-labile sites as measured by the alkaline elution technique in the liver of rats given a single oral dose (Brambilla et al., 1987).

*In vivo* genotoxicity studies with NMEA, NDPA, NDBA, NDBzA, NMOR, NPIP, NPYR, NDPheA are compiled in Table B.3 of Appendix B.

In summary, the genotoxic properties of the **acyclic volatile** NDMA, NMEA, NDEA and NDPA have been extensively investigated both *in vitro* and *in vivo*. Following metabolic activation, these N-NAs induce gene mutations in both bacteria and mammalian cells *in vitro*. Mutations have also been observed in the liver of transgenic animals with GC > AT transitions being the main mutational class. These base substitutions are consistent with the well-known miscoding properties of DNA bases alkylated at the O<sup>6</sup> position of guanine. Minor fractions include mutations at A:T base pairs and small deletions. MN assays in the liver, but not in the bone marrow or in peripheral blood reticulocytes have provided evidence of chromosomal damage. Finally, induction of DNA strand breaks observed *in vitro* also occurs in several organs, including liver, colon, lung and kidney.

Information on the genotoxic properties of NDIPA and NMBA are limited to weakly positive results reported in *S. Typhimurium* strains TA100 and YG7108 (a TA1535 derivative expressing human CYPs), while there appears to be no information on NEIPA and NMVA genotoxicity.

Information on the genotoxicity of the acyclic non-volatile N-NAs is even scantier. Induction of mutations in the presence of metabolic activation in *S. Typhimurium* was shown for NDBA (strain YG7108), NDBzA (TA98 and TA100 strains) and NMAMBA (TA1535 and TA100 strains). Increased levels of DNA breaks in several organs confirmed the *in vivo* genotoxicity of NDBA whereas NDBzA induced micronuclei and gene mutations (GC > TA transversions) in transgenic mice. Finally, NMA was moderately mutagenic in *S. Typhimurium* (only in TA104), with a mutational spectrum dominated by AT > CG transversions. The DNA adducts responsible for the base substitutions induced by NDBzA and NMA are currently undefined. The genotoxicity of NEA, NDIBA, NMAMPA and NSAR remains undefined.

The cyclic volatile NMOR, NPIP and NPYR were mutagenic both *in vitro* and *in vivo*. For all these N-NAs, increased levels of DNA strand breaks were observed in several mouse organs (stomach, colon, liver, lung, kidney and urinary bladder). In addition, the clastogenic potential of NMOR has been demonstrated by its ability to induce micronuclei in the bone marrow. The reported *in vitro* mutagenicity of NPYR has been confirmed *in vivo* in transgenic rats (increases mainly of AT > GC transitions but also of mutations at G:C base pairs). Finally, the mutagenicity of NTHZ is limited to positive results both in the presence and in the absence of metabolic activation in *S. Typhimurium* strains TA98 and TA100.

Data on the genotoxicity of cyclic non-volatile N-NAs are limited to *in vitro* data in *S. Typhimurium* (in a limited number of strains). Positive results were reported for NHPYR and NHMTHZ, whereas NPRO, NHPRO and NTCA were negative. No data were available for NMTHZ, NMTCA, NHMTCA, NOCA, NMOCA and NPIC.

The genotoxic potential of the aromatic volatile NDPheA remains uncertain. The weak mutagenicity reported in the *S. Typhimurium* TA104 strain (induction of AT > TA transversions) has not been confirmed in assays in cultured mammalian cells. In addition, discrepant results were also reported on NDPheA clastogenicity in *in vitro* assays. Finally, consistently negative results were reported for induction of micronuclei and DNA breaks *in vivo* (Table 4).

**Table 4:** Summary of the genotoxicity data on the different N-NAs

	Genotoxicity	In vitro	In vivo		
			Chromosomal damage	DNA breaks	Gene mutation
<b>NDMA</b>	+	pos	pos	pos	pos
<b>NMEA</b>	+	pos	neg	pos	pos
<b>NDEA</b>	+	pos	pos	pos	pos
<b>NDPA</b>	+	pos	pos	pos	pos
<b>NDIPA</b>	(+)	pos	NA		
<b>NEIPA</b>	NA	NA	NA		
<b>NMBA</b>	(+)	pos	NA		
<b>NMVA</b>	NA	NA	NA		
<b>NDBA</b>	+	pos	neg	pos	NA
<b>NDIBA</b>	NA	NA	NA		
<b>NMA</b>	(+)	pos	NA		
<b>NEA</b>	NA	NA	NA		
<b>NDBzA</b>	+	pos	neg	NA	Pos
<b>NMAMPA</b>	NA	NA	NA		
<b>NMAMBA</b>	(+)	pos	NA		
<b>NSAR</b>	NA	NA	NA		
<b>NMOR</b>	+	pos	pos	pos	NA
<b>NPIP</b>	+	pos	neg	pos	pos
<b>NTHZ</b>	(+)	pos	NA		
<b>NPYR</b>	+	pos	neg	pos	pos
<b>NHPYR</b>	(+)	pos	NA		
<b>NMTHZ</b>	NA	NA	NA		
<b>NHMTHZ</b>	(+)	pos	NA		
<b>NPRO</b>	(-)	neg	NA		
<b>NHPRO</b>	(-)	neg	NA		
<b>NTCA</b>	(-)	neg	NA		
<b>NMTCA</b>	NA	NA	NA		
<b>NHMTCA</b>	NA	NA	NA		
<b>NOCA</b>	NA	NA	NA		
<b>NMOCA</b>	NA	NA	NA		
<b>NPIC</b>	NA	NA	NA		
<b>NDPheA</b>	(unclear)	pos/neg	neg	neg	NA

NA: not available; (+): *in vitro* positive; (-): *in vitro* negative; +: positive both *in vitro* and *in vivo*; pos: positive; neg: negative.

### 3.1.2.4. Long-term toxicity

#### 3.1.2.4.1. Acyclic volatile N-NAs

The evaluated long-term toxicity/carcinogenicity studies on the acyclic volatile N-NAs NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA and NMVA are compiled in Table E.1 in Appendix E. Selected studies, considered to be of relevance for assessing the health risks by acyclic volatile N-NAs, are shown in Table 5.

For **NDMA**, most of the long-term studies were conducted in male and female rats of various strains applying a wide dose range, i.e. 0.005–10 mg/kg bw per day (diet), 0.00005–1.47 mg/kg bw per day (drinking water) or 0.95–2.86 mg/kg bw per day (gavage). The shortest duration of the carcinogenicity assays was 5 months while most studies were conducted until the appearance of tumours close to the end of lifetime. The authors reported on tumour formation mostly in liver, lung and kidney (Magee and Barnes, 1956, 1962; Zak et al., 1960; Argus and Hoch-Ligeti, 1961; Geil et al., 1968; Keefer et al., 1973; Hadjiolov et al., 1974; Lijinsky et al., 1981, 1987; Ito et al., 1982; Brantom, 1983; Lijinsky and Reuber, 1984; Peto et al., 1991a,b). Histopathological analyses specified the liver tumours as hepatocellular carcinoma (HCC) (Druckrey et al., 1967; Hoch-Ligeti et al., 1968;

Arai et al., 1979; Lijinsky et al., 1981, 1987; Ito et al., 1982), Lijinsky and Reuber, 1984; endothelioma (Ito et al., 1982), liver sarcoma (Druckrey et al., 1967; Lijinsky et al., 1981) and cholangiocellular carcinoma (Lijinsky et al., 1987). The hepatic sarcoma comprised fibrosarcoma (Ito et al., 1982) and haemangiosarcoma (Lijinsky et al., 1981 and Reuber, 1984). The tumours in the kidney were described as adenocarcinoma (Argus and Hoch-Ligeti, 1961), epithelial and partly anaplastic carcinoma (Zak et al., 1960) and in the lung as squamous cell or adenocarcinoma (Argus and Hoch-Ligeti, 1961). The occurrence of Leydig cell tumours (Terao et al., 1978), carcinoma of the nasal mucosa (Lijinsky, 1987) and leukaemia (Lijinsky et al., 1981) was reported occasionally.

With regard to the development of tumour precursor lesions, Arai et al. (1979) reported on an increase in nodular hepatic hyperplasia in male and female Wistar rats, receiving 0.05 mg/kg bw per day for a period of 96 weeks. When applying an identical dose, Fukushima et al. (2005) described an increase in size and number of preneoplastic liver foci within 16 weeks of treatment in male F344 rats.

The most sensitive endpoint was the occurrence of liver tumours at the lowest dose, i.e. 0.002 and 0.003 mg/kg bw per day in male and female Wistar rats, respectively. In this exceptional study, 15 different dose levels, ranging between 0.002 and 1.47 mg/kg bw per day, were applied for a period of 12–18 months or for lifetime to 60 males and females per dose group (Brantom 1983). The histology of liver tumours was described as hepatocellular nodules, adenoma and carcinoma and biliary cystadenoma. At higher doses, haemangiosarcoma and occasionally Kupffer cell tumours were found.

In male and female mice of various strains, NDMA was applied at doses of 0.05–1.8 mg/kg bw per day (drinking water), 5.3–18.9 mg/kg bw per day (diet) or 0.43 mg/kg bw per day (gavage). The duration of the treatments varied between 7 and 50 weeks. Liver, lung and kidney were the most frequently affected organs (Takayama and Oota, 1963, 1965; Toth et al., 1964; Terracini et al., 1966; Clapp et al., 1968, 1971; Clapp and Toya, 1970; Den Engelse, 1974; Gričute et al., 1981). The benign and malignant liver tumours were mostly HCC and carcinoma, haemangioendothelioma or haemangiosarcoma. Lung tumours were described as adenoma and carcinoma. The most sensitive endpoint in mice was reported by Anderson et al. (1986), i.e. the occurrence of papillomas in the forestomach at 0.05 mg/kg bw per day, given via drinking water to male A/J mice for 12–18 weeks. A further sensitive endpoint was the development of HCC and hepatic haemangiosarcoma in male and female C57Bl mice receiving 0.43 mg/kg bw per day via gavage for a period of 50 weeks (Gričute et al., 1981).

In studies on Syrian Golden hamsters, NDMA was applied at doses of 0.1–2.5 mg/kg bw per day via drinking water or at 0.79–1.58 mg/kg bw per day via gavage for a period of 4–60 weeks (Tomatis et al., 1964; Homburger et al., 1976; Love et al., 1977; Lijinsky, 1987). Hepatocellular and cholangiocellular carcinoma, haemangioendothelioma, haemangiosarcoma and/or Kupffer cell sarcoma developed in the liver (Tomatis et al., 1964; Love et al., 1977; Lijinsky, 1987). Besides hepatic haemangiosarcoma, tumours in the nasal mucosa were reported by Lijinsky (1987), after 0.79 mg/kg bw per day, applied via gavage to male hamsters for 20 weeks; this is considered the most sensitive endpoint in hamster. However, this study lacks a negative control. No significant tumour formation was found after treatment with 0.1 mg/kg bw per day, provided to male and female hamsters via drinking water for 60 weeks (Homburger et al., 1976).

Male guinea pigs developed liver tumours when exposed to 1.5 mg/kg bw per day in the diet for a period of 49 weeks (Le Page and Christie, 1969a).

In female rabbits, hepatocellular and bile epithelial tumours occurred at 1.9 mg/kg bw per day provided by the diet for 83 weeks (Le Page and Christie, 1969b).

Minks were exposed via diet to daily doses of 0.05–0.17 mg/kg bw for 122–670 days (Koppang and Rimeslåtten, 1976). At the highest dose, intrahepatic venopathy and haemangiomas were observed in male and female animals.

Four of six monkeys (four Rhesus, two *Cynomolgus*) treated once per 2 weeks with 10 mg/kg bw (calculated: 0.71 mg/kg bw per day) via i.p application were necropsied. The histopathological analyses revealed no tumour formation but signs of severe hepatotoxicity, such as hepatitis and cirrhosis. The occurrence of hyperplastic liver nodules was reported as well (Adamson and Sieber, 1983).

NDMA-induced transplacental carcinogenesis was reported for rats and mice, as given in detail in Section 3.1.2.6.

**NMEA** was tested in male and female BD and F344 rats at 0.2–5.4 mg/kg bw per day via drinking water or 2.2 mg/kg bw per day via gavage (Druckrey et al., 1967; Lijinsky et al., 1980, 1981, 1983, 1987) for 30–71 weeks. Tumours in liver, oesophagus and nasal mucosa and occasionally also



leukaemia were reported. The most sensitive endpoint was the development of liver tumours, including HCC, when male F344 rats received the compound at 0.2 mg/kg bw per day for 30 weeks; however, this study is lacking a negative control but refers to historical control data (Lijinsky and Reuber, 1980).

One study was performed in male Syrian Golden hamsters (Lijinsky et al., 1987). Gavage of 0.95 mg/kg bw per day for 15–20 weeks induced hepatocellular adenoma and carcinoma, cholangiocellular adenoma and carcinoma and tumours of the nasal mucosa.

**NDEA** was applied to male and female rats of various strains at 0.002–14.2 mg/kg bw per day via drinking water, 5.7 mg/kg bw per day in the diet or 0.012–2.4 mg/kg bw per day by gavage. The duration of the treatment varied from 2 weeks to lifelong. The major tumour site was the liver, followed by the oesophagus, forestomach, stomach, nasal and oral mucosa and the urinary tract (Argus and Hoch-Ligeti, 1961; Rajewsky, 1966; Druckrey et al., 1967; Reuber and Lee, 1968; Hadjiolov, 1972; Kroes et al., 1974; Nixon et al., 1974; Reuber, 1975; Takayama and Hitachi-Masahito Yamada, 1975; Lijinsky and Taylor, 1979; Lijinsky et al., 1981, 1983; Brantom, 1983; Berger et al., 1987; Lijinsky, 1987; Cortinovis et al., 1991; Peto et al., 1991a,b). In the liver, benign and malignant tumours of hepatocellular origin predominated with occasional occurrence also of (haemangioendothelial) sarcoma.

Sensitive endpoints were the occurrence of liver tumours at 0.002–0.007 mg/kg bw per day in male and female rats (Brantom, 1983; Berger et al., 1987; Peto et al., 1991a,b). Berger et al. (1987) showed an increased percentage of male Sprague-Dawley rats with tumours in the liver and the GI and urinary tract when exposed for life to the lowest dose of this study, i. e. 0.007 mg/kg bw per day via drinking water. This study applied three dose levels and 80 males per dose group over the lifetime of the animals. The liver tumours were hepatocellular adenomas and carcinomas. Premalignant liver lesions were eosinophilic/clear cell or mixed cell/basophilic foci, which occurred at a higher incidence when compared to controls. Tumours of the GI tract were mostly squamous cell carcinoma in the oral cavity and stomach; the histology of urinary tract tumours was not specified (Berger et al., 1987). Basal cell papilloma and carcinoma of the oesophagus were also among sensitive endpoints occurring at 0.03 mg and 0.02 mg/kg bw per day in male and female rats, respectively (Brantom, 1983; Lijinsky et al., 1981).

The most sensitive endpoint was reported by Brantom (1983), i.e. the elevated occurrence of liver tumours in female Wistar rats at the lowest dose of 0.003 mg/kg bw per day. This exceptional study comprises 15 dose levels from 0.002 to 1.47 mg/kg bw per day and 60 male and female animals per group, exposed for 12 or 18 months or lifetime. Brantom (1983) described the histology of liver tumours as hepatocellular nodules, adenoma and carcinoma as well as biliary cystadenoma. At higher dose levels, the incidence of hepatic nodules and HCC increased dose dependently.

Male and female mice of various strains were subjected to NDEA treatment at daily doses of 0.01–13 mg/kg bw via drinking water for 5–25 months. Tumours developed in the liver, oesophagus, stomach and the lung (Schmähl et al., 1963; Schmähl and Thomas, 1965; Takayama and Oota, 1965; Clapp et al., 1970, 1971; Gray et al., 1991). The benign and malignant hepatic tumours were described as being hepatocellular or deriving from the Kupffer cells or the haemangioendothelia. The most sensitive endpoint was the development of HCC in female mice (strain not specified) at a dose 0.07 mg/kg bw, provided for about 25 months (Gray et al., 1991).

Hamsters were exposed to NDEA via drinking water (0.003–4 mg/kg bw per day) or gavage (1.1–3.3 mg/kg bw per day) for periods ranging from 17 days to 22 months. The liver was the most frequent tumour site followed by stomach, oesophagus, kidney, trachea and mucosa of nose and ethmoturbinals (Herrold and Dunham, 1963; Baker et al., 1974; Lijinsky et al., 1987; Gray et al., 1991). The most sensitive endpoint was the development of liver tumours in female hamsters of an unspecified strain at 0.05 mg/kg bw per day applied for 22 months (Gray et al., 1991). The authors described the majority of liver tumours as liver cell or bile duct tumours and did not provide further details. A few reports on male guinea pigs, receiving NDEA via drinking water, indicate that hepatocellular adenoma and carcinoma were induced by daily doses of at least 1.2 mg/kg bw (Druckrey et al., 1962; Argus and Hoch-Ligeti 1963; Lombard, 1965; Arcos et al., 1969). The occurrence of lung tumours was reported as well (Argus and Hoch-Ligeti, 1963).

Two independent studies showed the induction of malignant liver and lung tumours in male and female rabbits receiving 3.4–3.6 mg/kg bw per day in the drinking water (Rapp et al., 1965; Schmähl and Thomas, 1965).

Hirao et al. (1974) treated male dogs with 5 mg/kg bw per day for about 3 years, which led to the development of hepatocellular and cholangiocellular carcinoma as well as a great variety of benign or malignant mesenchymal liver tumours, such haemangiosarcoma, fibroma, leiomyoma, leiomyosarcoma, haemangioendothelioma. Malignant tumours were found also in the nasal mucosa.



Female domestic cats developed HCC and cholangioma when receiving 1.5–2 mg/kg bw per day via milk for about 500 days (Schmähl et al., 1978).

Schmähl et al. (1967 and 1969) reported on the occurrence of cirrhosis, liver tumours, adenoma in kidney and carcinoma in the ethmoid cavity, when male and female pigs were treated with 1.5–4.4 mg/kg bw per day for about 11 months. Liver fibrosis, hepatocellular adenoma and carcinoma as well as Kupffer cell sarcoma were induced in mini-pigs (sex not specified) already by 0.23 mg/kg bw per day after a period of 5 years (Graw and Berg, 1977).

In the context of a long-lasting programme at the National Institute of Health, NDEA was tested in Rhesus, African Green, Rhesus–Cynomolgus hybrids monkeys and in bush babies (Adamson and Sieber, 1979, 1983; Thorgeirsson et al., 1994). NDEA was applied p.o. on 5 days per week to Cynomolgus, Rhesus and African Green monkeys. For the animals, the dose was gradually increased from 10 to 40 mg/kg bw per day with the initial dose at birth, at 1–8 months post-partum or as adults. After an average latency period of 38 months, HCC had developed in 32 out of 40 treated animals. There was no clear difference between species or males and females (Adamson et al., 1979). In a second approach, the compound was applied i.p. gradually increasing the dose from 10 mg to 40 mg/kg bw on 2 days per months during the first 6 months of life. The treatment was continued until appearance of tumours, which were specified as HCC. There were no differences in the tumour latency period between the three monkey species. In a third approach, NDEA was applied i.p. at 0.1, 1, 5, 10, 20 or 40 mg/kg in 2-week intervals to Rhesus, African Green and Rhesus x African Green hybrids. At the second lowest dose (calculated: 0.07 mg/kg bw per day), 4 of 11 animals developed hepatocellular adenoma while no tumours appeared in the lowest dose group (calculated: 0.007 mg/kg bw per day). At higher dose levels, all animals per dose group developed adenoma, i. e. at 0.35, 0.7, 1.4 or 2.86 mg/kg bw per day NDEA induced lesions in all 10, 11, 11 and 106 monkeys, respectively. The animals were treated for up to 10 years. The authors reported an average cumulative carcinogenic dose of 2.18 g/kg bw. In a fourth approach, NDEA was applied i.p. at up to 30 mg/kg bw to 14 bush babies (*Galago crassicaudatus*). Ten animals developed mucoepidermoid carcinoma of the nasal mucosa and two HCCs. The average cumulative carcinogenic dose was 0.75 g (0.3–1.49 g) and the average tumour time was 22.9 months (range 15–32 months).

Transplacental carcinogenesis was reported for NDEA in rats, mice and hamsters (see Section 3.1.2.6).

**NDPA** was applied to male and female rats of various strains via drinking water (1.6–30 mg/kg bw per day), gavage (0.62–1.3 mg/kg bw per day) or s.c. application (3.5–13.9 mg/kg bw per day) for 120 days to lifetime (Druckrey et al., 1967; Althoff et al., 1973; Reznik et al., 1975; Lijinsky and Taylor, 1979; Lijinsky et al., 1981, 1983). Tumours occurred at many sites, such as liver, nasal cavity/turbinates, lung, tongue, oesophagus and kidney. The most sensitive endpoint was the occurrence of tumours in different organs in female F344 rats, treated with 0.62 mg/kg bw per day via gavage for 30 weeks (Lijinsky et al., 1983).

A single study in mice (females of NMRI strain) showed tumour development in nasal cavity, lung, pharynx, oesophagus and stomach following s.c. applications of 4.9 mg/kg bw per day for lifetime (Dickhaus et al., 1977).

For male and female Syrian Golden hamsters, two reports demonstrated tumour formation in the nasal and paranasal cavities and in the upper and lower respiratory tract at 0.53 mg/kg bw per day, applied s.c. lifelong (Pour et al., 1973, 1974).

Six monkeys (4 Rhesus, 2 Cynomolgus) received NDPA at 13–40 mg/kg bw bimonthly via i.p. application and all of the animals developed HCC (Adamson et al., 1979 and 1983; Thorgeirsson et al., 1994). The daily dose was calculated to be 0.87–2.7 mg/kg bw. The average cumulative carcinogenic dose was 6.97 g (range: 6.07–7.86 g) and the average tumour induction time 28.5 months (range: 22–33 months).

Transplacental carcinogenesis by NDPA was studied in Syrian Golden hamster. For details, see Section 3.1.2.6.1.

**NDIPA** induced malignant liver tumours in male and female BD rats, treated with 25 mg/kg bw per day by gavage for 1.5–2 years (Druckrey et al., 1967). In second study, a dose of 3.2 mg/kg bw per day, provided via drinking water for about 50 weeks, caused tumour formation in the nasal turbinates of male SD rats (Lijinsky and Taylor, 1979); at 21.4 mg/kg bw per day HCC developed.

For **NEIPA**, one study reported the induction of HCC and malignant tumours in oesophagus, pharynx and stomach, when male and female BD rats received 10 mg/kg bw per day via drinking water for about 1 year (Druckrey et al., 1967).

**NMBA** was a potent carcinogen for the oesophagus in F344 rats when applied s.c. at doses of 0.04 mg/kg bw per day or via drinking water at 0.23–0.75 mg/kg bw per day (Lijinsky et al., 1980; Koreeda et al., 1999; Stoner et al., 2006) for 15–20 weeks. The development of papillomas and carcinomas in forestomach, oropharynx and tongue was reported as well (Lijinsky et al., 1980). In one study on male and female Syrian Golden hamsters with unclear dosage, NMBA induced benign and malignant tumours in nasal mucosa, forestomach and the liver (Lijinsky et al., 1988)

**NMVA** was carcinogenic in the mucosa of tongue, oesophagus and pharynx at 0.3 mg/kg bw per day applied via drinking water to male and female BD rats for approximately 1 year (Druckrey et al., 1967).

In summary, acyclic volatile N-NAs may induce tumour formation in several mammalian species and many different organs, such as liver, pharynx, oesophagus, forestomach, the upper respiratory tract and the lung. The lowest carcinogenic doses reported were 0.002 mg/kg bw per day for NDMA (liver tumours in rats), 0.2 mg/kg bw per day for NMEA (liver tumours in rats), 0.003 mg/kg bw per day for NDEA (liver tumours in rats), 0.62 mg/kg bw per day for NDPA (liver tumours in rats), 3.2 mg/kg bw per day for NDIPA (tumours in nasal turbinates in rats), 10 mg/kg bw per day for NEIPA (tumours in liver and upper GI tract in rats), 0.04 mg/kg bw per day for NMBA (tumours of oesophagus in rats) and 0.3 mg/kg bw per day for NMVA (tumours in mucosa of upper GI tract in rats). It is noteworthy that in monkeys, NDEA induced hepatocellular adenoma at 0.07 mg/kg bw per day and HCC at 2.7 mg/kg bw per day; NDPA was hepatocarcinogenic at 2.7 mg/kg bw per day.

**Table 5:** Selected long-term/carcinogenicity studies on the acyclic volatile N-NAs NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA and NMVA. Note that studies on NDMA and NDEA, applying the compound s.c., i.p., i.v. or i.m. to rats or mice, were not considered. For all studies evaluated, see Table E.1 in Appendix E

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDMA</b>				
Arai et al. (1979)	<b>Rat</b> , Wistar (M, F) No./sex/group: > 17  Diet: 0, 0.1, 1, or 10 ppm; equivalent to 0, 0.005, 0.05 or 0.5 mg/kg bw per day <sup>(a)</sup> Duration: 96 weeks	Nodular hepatic hyperplasia (M, F) HCC (M, F)	0.005  0.005	<b>0.05</b>  <b>0.05</b>
Brantom 1983 (Thesis) with calculations in Peto et al. (1984, 1991a,b)	<b>Rat</b> , Wistar (M, F) No./sex/group: 60 Drinking water: 0, 0.033, 0.066, 0.132, 0.264, 0.528, 1.056, 1.584, 2.112, 2.640, 3.168, 4.224, 5.280, 6.336, 8.448, 16.896 ppm Equivalent to for M: 0, 0.002, 0.004, 0.008, 0.02, 0.03, 0.07, 0.1, 0.14, 0.17, 0.2, 0.27, 0.34, 0.41, 0.54, 1.08 mg/kg bw per day <sup>(a)</sup> For F: 0, 0.003, 0.006, 0.01, 0.02, 0.05, 0.09, 0.14, 0.18, 0.23, 0.28, 0.37, 0.46, 0.55, 0.73, 1.47 mg/kg bw per day <sup>(a)</sup> Duration: 12 months, 18 months, lifetime	↓ Survival M/F Liver tumours including HCC (Brantom) M/F bile epithelial tumour M/F mesenchymal liver tumours M/F	0.17/0.23  0.1/0.003 0.1/0.14	<b>0.2/0.28</b> <b>0.002/0.003</b>  <b>0.14/0.006</b> <b>0.14/0.18</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Fukushima et al. (2005)	<b>Rat</b> , F344 (M) No./group: > 80  Drinking water: 0, 0.001, 0.01, 0.1, 1 or 10 ppm; equivalent to 0, 0.00005, 0.0005, 0.005, 0.05 or 0.5 mg/kg bw per day <sup>(a)</sup> Duration: 16 weeks	↑ Number and size of preneoplastic liver lesions	0.005	<b>0.05</b>
Griciute et al. (1981)	<b>Mouse</b> , C57Bl (M, F) No/sex/group: > 30  Gavage: 0.03 mg/mouse (20 g) on 2 days/week; equivalent to 0.43 mg/kg bw per day; <i>no control</i> Duration: 50 weeks Observation: 80 weeks	HCC, hepatic haemangioendotheliosarcoma		<b>0.43</b>
Anderson et al. (1986)	<b>Mouse</b> , A/J (M) No./group: 49–100  Drinking water: 0 or 0.5 ppm; equivalent to 0 or 0.05 mg/kg bw per day <sup>(a)</sup> Duration 12–18 weeks	Papilloma of forestomach (18 weeks)		<b>0.05</b>
Homburger et al. (1976)	<b>Hamster</b> , Syrian Golden (M, F) No./group: > 9  Drinking water: 0 or 1 mg/L (consumption of 100 mL drinking water/kg bw per day assumed) equivalent to 0 or 0.1 mg/kg bw per day Duration: 60 weeks	No significant tumour formation; CA in stomach of 1 animal	0.1	
Love et al. (1977)	<b>Hamster</b> , Syrian Golden (M, F) No./sex/group: > 46  Drinking water: 0 or 15 mg/L on 5 days/week; (consumption of 100 mL drinking water/kg bw per day assumed) equivalent to 0 or 1.1 mg/kg bw per day Duration: 19–23 weeks Observation: 19–23 weeks	HCC, CCC, hepatic Kupffer cell sarcoma		<b>1.1</b>
Lijinsky et al. (1987)	<b>Hamster</b> , Syrian Golden (M) No./group: 10–20  Gavage: 0.75 or 1.5 mg/animal on 1–2 days/week; (assuming 135 g bw) equivalent to 0.79 or 1.58 mg/kg bw per day; <i>no control</i> Duration: 4–6.5 weeks (1.58 mg) or 20 weeks (0.79 mg)	HCC, CCC, nasal mucosa Haemangiosarcoma in liver, nasal mucosa	0.79	<b>1.58</b> (4 weeks) <b>0.79</b> (20 weeks)

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Le Page and Christie (1969a)	<b>Guinea pig</b> (M) No./group: 6  Diet: 0, 12.5, 25 or 50 ppm (60 g food/kg bw per day assumed) equivalent to 0, 0.75, 1.5 or 3 mg/kg bw per day Duration: 49 weeks	Tumours in liver	0.75	<b>1.5</b>
Adamson et al. (1983)	<b>Monkey</b> (4 Rhesus, 2 Cynomolgus (sex not specified)) No./group: 6–7  i.p. application: 10 mg/kg bw 1× every 2 weeks; equivalent to 0.71 mg/kg bw per day Duration: not specified	Hepatitis, cirrhosis, hyperplastic nodules		<b>0.71</b>
<b>NMEA</b>				
Lijinsky and Reuber (1980)	<b>Rat</b> , F344 (M) No./group: 20  Drinking water: 6 or 30 mg/L on 5 days/week; equivalent to 0.2 or 1.1 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 30 weeks Observation: ~ 70–120 weeks	Liver tumours (HCC) Tumours of oesophagus and nasal cavity	0.2	<b>0.2</b> <b>1.1</b>
Lijinsky (1987)	<b>Rat</b> , F344 (M) No./group: 16  Gavage: 0 or 2.3 mg/rat (~ 300 g bw) on 2 days/week; equivalent to 0 or 2.2 mg/kg bw per day; Duration: 30 weeks Observation: ~ 45 weeks	↓ Survival HCC, haemangiosarcoma of liver, tumours of lung and nasal mucosa		<b>2.2</b>
Lijinsky (1987)	<b>Hamster</b> , Syrian Golden (M) No./group: 9–20  Gavage: 0.9 mg/animal on 1 or 2 days/week; (assuming 135 g bw) equivalent to 0.95 or 1.9 mg/kg bw per day; <i>no control</i> Duration: 15–20 (0.95 mg) or 25 (1.9 mg) weeks	↓ Survival HCA/HCC CCA/CCC; tumour of nasal mucosa	0.95	<b>1.9</b> <b>0.95</b>
<b>NDEA</b>				
Lijinsky et al. (1981)	<b>Rat</b> , F344 (F) No./group: 12–20  Drinking water: 0, 0.45, 1.1, 2.8, 7, 18, 45 or 113 mg/L on 5 days/week; equivalent to 0, 0.02, 0.04, 0.1, 0.25, 0.6, 1.6 or 4.0 mg/kg bw per day <sup>(a)</sup> Duration: up to 104 weeks	HCC Tumours of oesophagus and stomach		<b>0.02</b> (60 weeks) <b>0.02</b> (104 weeks)

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Brantom 1983 (Thesis) with calculations Peto et al. (1991a,b)	<b>Rat</b> , Wistar (M, F) No./sex/group: 60  Drinking water: 0, 0.033, 0.066, 0.132, 0.264, 0.528, 1.056, 1.584, 2.112, 2.640, 3.168, 4.224, 5.280, 6.336, 8.448, 16.896 ppm Equivalent to for M: 0, 0.002, 0.004, 0.008, 0.02, 0.03, 0.07, 0.1, 0.14, 0.17, 0.2, 0.27, 0.34, 0.41, 0.54, 1.08 mg/kg bw per day <sup>(a)</sup> For F: 0, 0.003, 0.006, 0.011, 0.02, 0.05, 0.09, 0.14, 0.18, 0.23, 0.28, 0.37, 0.46, 0.55, 0.73, 1.47 mg/kg bw per day <sup>(a)</sup> Duration: 12 months, 18 months, lifetime	↓ Survival M/F Liver tumours (including HCC, Brantom) M/F Tumours in oesophagus M	0.1/0.05 ~ 0.004/– ~ 0.02/0.02	<b>0.14/0.09</b> ~ <b>0.008/0.003</b> ~ <b>0.03/0.05</b>
Berger et al. (1987)	<b>Rat</b> , SD (M) No./group: 80  Drinking water: 0, 0.01, 0.032 or 1 mg/kg bw on 5 days/week; equivalent to 0, 0.007, 0.023 or 0.07 mg/kg bw per day Duration: lifetime	↓ Survival Tumours in liver, GI and urinary tract	0.007	<b>0.023</b> <b>0.007</b>
Gray et al. (1991)	<b>Mouse</b> , strain not specified (F) No./group: 10  Drinking water: 0, 0.033, 0.066, 0.132, 0.264, 0.528, 1.056, 1.584, 2.112, 2.640, 3.168, 4.224, 5.280, 6.336, 8.448, 16.896 ppm Equivalent to: 0, 0.01, 0.02, 0.04, 0.07, 0.14, 0.29, 0.43, 0.58, 0.72, 0.87, 1.15, 1.44, 1.73, 2.31, 4.62 mg/kg bw per day <sup>(c)</sup> Duration: ~ 25 months	Malignant tumours in liver (hepatocell.) Tumours in oesophagus stomach Lung tumours	0.04  0.14  0.29	<b>0.07</b>  <b>0.29</b>  <b>0.43</b>
Gray et al. (1991)	<b>Hamster</b> , strain not specified (F) No./group: 10  Drinking water: 0, 0.033, 0.066, 0.132, 0.264, 0.528, 1.056, 1.584, 2.112, 2.640, 3.168, 4.224, 5.280, 6.336, 8.448, 16.896 ppm Equivalent to: 0, 0.003, 0.01, 0.02, 0.03, 0.05, 0.11, 0.16, 0.22, 0.27, 0.33, 0.44, 0.55, 0.66, 0.88 or 1.75 mg/kg bw per day <sup>(c)</sup>  Duration: 22 months	Tumours in liver Tumours in trachea	0.03 0.22	<b>0.05</b> <b>0.27</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Arcos et al. (1969)	<b>Guinea pig</b> (M) No./group: ~ 20  Drinking water: 1.2 mg/kg bw per day; <i>no control</i> Duration: 4, 8, 12, 16, 20 or 24 weeks Observation: 12 months	Liver tumours		<b>1.2</b> (12 weeks)
Rapp et al. (1965)	<b>Rabbit</b> , New Zealand (M, F) No./sex/group: 3–13  Drinking water: 0 or 42 mg/L on 6 days/week (assuming 2 kg bw and 200 mL water consumption/day) equivalent to 0 or 3.6 mg/kg bw per day Duration: 52–82 weeks	CA of liver and lung		<b>3.6</b>
Hirao et al. (1974)	<b>Dog</b> (mongrel) (M) No./group: ~ 14 Drinking water: 50, 100 or 500 mg/L (assuming 100 ml water consumption/kg bw per day) equivalent to 5, 10 or 50 mg/kg bw per day; <i>no control</i> Duration: 2–50 weeks Observation: 175 weeks	HCC, CCC and mesenchymal liver tumours (haemangioma, haemangiosarcoma, fibroma, leiomyoma, leiomyosarcoma, haemangioendothel ioma) CA of nasal mucosa		<b>5</b>
Adamson et al. (1989); Thorgeirsson et al. (1994)	<b>Monkey</b> , Cynomolgus, Rhesus (M, F)  Diet: 40 mg/kg bw per day on 5 days/week; equivalent to 28.6 mg/kg bw per day; tumours in untreated controls: 7/90 Start of treatment: at birth, 1–8 months post-partum or adulthood. Duration until tumour appearance: 49(Rh, F), 52 (Rh, m), 31 (Cy, f), 25 (Cy, m) months; total dose: 8.8 g (Rh, F), 10.2 (Rh, m), 7.1 g (Cy, f), 18 g (Cy, m).	HCC in 31/40 animals		<b>28.6</b>
Thorgeirsson et al. (1994)	<b>Monkey</b> , Cynomolgus, Rhesus (M, F)  i.p. application: 40 mg/kg bw on 2 days/months, i.e. 2.7 mg/kg bw per day; Start of treatment: at birth, 1–8 months post-partum or adulthood Mean duration until tumour appearance: 17 months (except 18 months for Cy, M); mean total dose: 1.1 g (for all except 1.3 g for Cy, M)	HCC 112/128		<b>2.7</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Thorgeirsson et al. (1994)	<p><b>Monkey</b>, Rhesus, Cynomolgus, African Green, Rhesus × Cynomolgus (sex not specified) No./group: 10–106</p> <p>Newborn at first treatment i.p. application: 0.1, 1, 5, 10, 20, 40 every 2 weeks; equivalent to 0.007, 0.07, 0.35, 0.7, 1.4 and 2.8 mg/kg bw per day; untreated controls available Mean duration/tumour induction time: 157 (111–177), 74 (52–109), 38 (14–64), 25 (13–39), 16 (6–49) months. Total dose: 0.104 (0.056–0.146), 1.78 (1.66–2.74), 2.70 (1.47–5.81), 2.02 (1.22–4.14), 2.40 (0.83–4.65), 1.59 (0.39–4.08) g.</p>	HCA in 4/11 animals	0.007	<b>0.07</b>
Adamson and Sieber (1979, 1983) Thorgeirsson et al. (1994)	<p><b>Monkey</b>, Bush baby <i>G. crassicaudatus</i> (M, F) No./group: 10–14 i.p. application: 0 or 10–30 mg/kg bw per day on 2 days/months, i.e. 0.6–2 mg/kg bw per day; untreated controls available; Mean duration/tumour induction time: 22.9 months (range: 15–32 months); average total dose: 0.75 g (range: 0.3–1.49 g)</p>	Mucoepidermoid CA of nasal mucosa >> HCC		<b>0.6–2</b>
<b>NDPA</b>				
Linjinsky et al. (1983)	<p><b>Rat</b>, F344 (M, F) No./sex/group: 12–20</p> <p>Gavage: 0 or 4.4 mg/kg bw for M and 0 or 2.2 mg/kg bw for F on 2 days/week; equivalent to 0 or 1.3 mg/kg bw per day for M and to 0 or 0.62 mg/kg bw per day for F Duration: 30 weeks</p>	↓ Survival HCC, CA of nasal cavity, oesophagus and forestomach		<b>1.3/0.62</b> (M/F) <b>1.3/0.62</b> (M/F)
Dickhaus et al. (1977)	<p><b>Mouse</b>, NMRI (F) No./group: 12–14</p> <p>s.c. application: 0, 34.4, 68.9 or 137.8 mg/kg bw on 1 day/week; equivalent to 0, 4.9, 9.8 or 19.6 mg/kg bw per day Duration: lifetime</p>	↓ Survival Tumours in nasal cavity, lung, pharynx, oesophagus and stomach		<b>4.9</b> <b>4.9</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Pour et al. (1973, 1974)	<b>Hamster</b> , Syrian Golden (M, F) No./sex/group: > 19  s.c. application: 0, 3.75, 7.5, 15, 30, or 60 mg/kg 1 day/week; equivalent to 0, 0.53, 1.07, 2.14, 4.28 or 8.6 mg/kg bw per day Duration: lifetime	↓ Survival Tumours of nasal/paranasal cavities and in upper and lower respiratory tract	0.53	<b>1.07</b> <b>0.53</b>
Adamson and Sieber (1979, 1983); Thorgeirsson et al. (1994)	<b>Monkey</b> (4 Rhesus, 2 Cynomolgus) (sex not specified) No./group: 6  i.p. application: 40 mg/kg bw; 2x/month; equivalent to 2.7 mg/kg bw per day Mean duration/tumour latency: 29 months (22–33 months); Total dose 6.97 g (6.07–7.86 g)	HCC 6/6		<b>2.7</b>
<b>NDIPA</b>				
Lijinsky and Taylor (1979)	<b>Rat</b> , SD (M) No./group: 15  Drinking water: 90 or 600 mg/L on 5 days/week equivalent to 3.2 or 21.46 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 50 weeks for 5.8 mg/kg bw per day or 40 weeks for 38.6 mg/kg bw per day Observation: ~ 50 weeks	↓ Survival CA of nasal turbinates HCC	3.2	<b>3.2</b> <b>3.2</b> <b>21.4</b>
<b>NEIPA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No./group: 14  Drinking water: 10 or 20 mg/kg bw per day; historical controls available Mean tumour induction time: 345 and 375 days	HCC, CA of oesophagus, pharynx and forestomach		<b>10</b>
<b>NMBA</b>				
Lijinsky et al. (1980)	<b>Rat</b> , F344 (M, F) No./group: 20  Drinking water: 6.25 mg/L (for M) or 16 mg/L (for M and F) on 5 days/week; equivalent to 0.23 mg/kg bw per day (for M) or 0.57 mg/day per day <sup>(a)</sup> (for M and F); historical controls available Duration: 20–23 weeks Observation: lifetime	↓ Survival Papilloma and CAs of oesophagus, forestomach, oropharynx, tongue		<b>0.23/0.57</b> (M/F) <b>0.23/0.57</b> (M/F)

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Stoner et al. (2006)	<b>Rat</b> , F344 (M) No./group: 10–20 s.c. application: 0 or 0.25 mg/kg bw on 1 day/week; equivalent to 0 or 0.04 mg/kg bw per day Duration: 15 weeks Observation: 8 weeks after end of treatment	Papilloma and CAs of oesophagus		<b>0.04</b>
<b>NMVA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No./group: 5–14  Drinking water: 0.3 or 0.6 mg/kg bw per day; historical controls available Duration/average tumour induction time: 390 or 270 days	CA of oesophagus, tongue, pharynx		<b>0.3</b>

AD: adenoma; CA: carcinoma; CCC: cholangiocellular carcinoma; HCA: hepatocellular adenoma; HCC: hepatocellular carcinoma.

(a): Applying EFSA default values (EFSA, 2012b).

(b): Animal dietary exposure: overview of current approaches used at EFSA (EFSA, 2019b).

(c): Based on suggestions of authors, compound uptake in mg/kg bw per day was calculated as follows: ppm values were multiplied by the density factor of 0.942 and a water consumption of 290 mL/kg bw for female mice and 110 mL/kg bw for female hamsters was assumed.

#### 3.1.2.4.2. Acyclic non-volatile N-NAs

The long-term toxicity/carcinogenicity studies for the acyclic non-volatile N-NAs, NDBA, NDIBA, NMA NDBzA, NMAMBA and NSAR are compiled in Table E.1 in Appendix E. No studies could be retrieved for NEA and NMAMPA. Selected studies, considered to be of relevance for assessing the health risks by acyclic (non-volatile) N-NAs, are shown in Table 6.

Male and female rats of various strains were exposed via drinking water (5–75 mg/kg bw per day) or gavage (3.9 mg/kg bw per day) to **NDBA** for about 21–30 weeks (Druckrey et al., 1967; Kunze et al., 1969; Kunze and Schauer, 1971; Okajima et al., 1971; Ito, 1973; Lijinsky et al., 1983). All studies reported on the development of tumours in the urinary epithelium, which were specified as benign papilloma or transitional cell carcinoma by some authors. In addition, the development of HCC and tumours in the forestomach, oesophagus, nasal cavity and lung was observed (Druckrey et al., 1967; Ito, 1973; Lijinsky et al., 1983). The most sensitive endpoint was the occurrence of benign and malignant tumours in many organs (urothelium, lung, liver, oesophagus, forestomach, nasal cavity) at 3.9 mg/kg bw per day (note the remark in the table), given for 30 weeks to male F344 rats via gavage (Lijinsky et al., 1983).

Male and female mice developed papilloma and carcinoma of the urinary epithelium, when treated with NDBA via drinking water (7.6–30.9 mg/kg bw per day), diet (7.5 mg/kg bw per day) or s.c. injections (25.7–32 mg/kg bw per day) for about 34–40 weeks (Takayama, 1969; Bertram and Craig, 1970; Wood et al., 1970). Bertram and Craig, (1970) reported that bladder tumours were found predominantly in male C57Bl/6 mice with male/female ratios of 4.4/1 and 8.5/1 at the high- and low-dose levels, respectively. Further tumour sites in mice were tongue, oesophagus, forestomach, liver and lung.

In Syrian and Chinese hamster gavage of 42.9 mg/kg bw per day for 15–50 weeks induced papilloma and carcinoma of the urothelium and tumours in the respiratory tract of Syrian hamsters and the forestomach of the Chinese strain (Althoff et al., 1971). Application by s.c. injections of 20 mg/kg bw per day caused tumour formation in the lung of the Chinese strain and of 7–13 mg/kg bw per day in the respiratory and digestive tract and the urothelium of the European counterpart (Althoff et al., 1974; Reznik et al., 1976).

Guinea pigs developed benign and malignant tumours of the urothelium as well as HCC and CCC at 28.6 mg/kg bw per day, provided via drinking water (Ivankovic, 1968).

Transplacental carcinogenic effects of NDBA were reported for hamsters. For further details, see Section 3.1.2.6.

In summary, the urothelium was the most consistent tumour site in four different rodent species. Occasionally benign lesions and malignancies appeared also in liver, bile ducts, oesophagus, forestomach, nasal cavity and lung. The most sensitive endpoint was tumour formation in the urothelium and other sites at 3.9 mg/kg bw per day, given for 30 weeks to male F344 rats via gavage.

**NDIBA** mainly affected the respiratory tract, i.e. lung tumours were reported in female SD rats, treated with 3.9 mg/kg bw per day via drinking water and nasal carcinoma and tumours in trachea and lung in F344 rats receiving 15.7 mg/kg bw per day via drinking water (Lijinsky and Taylor, 1979; Lijinsky et al., 1981). When provided by s.c. injections to male and female hamsters, tumours of the larynx were observed at 9 mg/kg bw per day. At a higher dose of 18 mg/kg bw per day, tumorigenesis also occurred in the nasal and bronchial mucosa (Althoff et al., 1975).

The most sensitive endpoint was tumour formation in the lung in four female SD rats and occasionally at other sites in the total collective of 15 animals, exposed to 3.9 mg/kg bw via drinking water for a period of 30 weeks (Lijinsky and Taylor, 1979). The lung tumours were specified as alveolar cell adenoma. However, the study is lacking a negative solvent control. The authors state that the number of animals with induced alveolar cell adenoma, which was not seen in previous control animals (Lijinsky, 1976), was very small and that NDIBA must be considered a very weak carcinogen.

**NMA** caused carcinogenesis mainly in the GI tract of rats. To be specific, tumours of the pharynx/mouth, oesophagus and forestomach were observed at 0.3–86 mg/kg bw per day (drinking water), 7.4 mg/kg bw per day (gavage), 10 mg/kg bw per day (diet) or 0.3 mg/kg bw per day (s.c. application) applied for 20–79 weeks (Schmähl, 1976; Kroeger-Koepke et al., 1983). There were no clear differences between rat strains or male and female rats. A single study in mice showed that 6.3 mg/kg bw per day, provided via drinking water, led to the development of lung adenoma and malignant lymphoma after 28 weeks of treatment (Greenblatt et al., 1971).

The most sensitive endpoint was tumour formation in the oesophagus in male and female SD rats treated with 0.3 mg/kg bw per day via s.c. application for 24 weeks (Schmähl, 1976). In a second study, arm application via drinking water also induced tumours in the oesophagus. The dosage is not clearly documented but presumably 0.3 mg/kg bw per day. Histologically, the tumours were mostly keratinised squamous cell carcinoma or transitions from papilloma to carcinoma occurring at multiple sites in the oesophagus. This indicates that the tumour site was independent of the route of administration.

**NDBzA** was applied via diet to male and female rats at doses of 50 or 100 mg/kg bw per day for a period of 605 days. No tumour formation could be observed (Druckrey et al., 1967). No further studies could be retrieved.

**NMAMBA** was synthesised, analysed by gas chromatography/mass spectrometry, as described by Li et al. (1986) and applied to female Wistar rats and female Kunming mice biweekly by gavage (Li et al., 1983). In rats, a dose of about 5.3 mg/mg bw per day led to the development of papilloma in the oesophagus and adenoma in the liver after 10 months, followed by the occurrence of carcinoma in both organs at later time points of investigation. In mice, a considerably higher dose, i.e. about 77 mg/kg bw per day, caused the formation of CA in oesophagus after 5 months of treatment.

**NSAR** caused the formation of CA in the oesophagus of rats, treated with 100 mg/kg bw per day for up to 631 days (Druckrey et al., 1967). In mice 375 mg/kg bw per day, provided via diet, induced tumours of the nasal region, lung and small intestine after 13 months. There were also adverse effects on body weight gain and survival (Sawyer and Marvin, 1974- abstract). To conclude, NSAR is a carcinogen in two different rodent species and for many different organs.

In summary, the lowest carcinogenic doses reported were 3.9 mg/kg bw per day for NDBA (benign and malignant tumours in urothelium, lung, liver, oesophagus, forestomach, nasal cavity of rats), 3.9 mg/kg bw per day for NDIBA (lung tumours of rats), ~ 5.5 mg/kg bw per day for NMAMBA (benign tumours in oesophagus and liver of rats), 0.3 mg/kg bw per day for NMA (benign and malignant oesophagus tumours of rats) and 100 mg/kg bw per day for NSAR (malignant oesophagus tumours in rats). NDBzA did not induce tumour formation at 100 mg/kg bw per day in rats.



**Table 6:** Selected long-term/carcinogenicity studies on the acyclic non-volatile N-NAs NDBA, NDIBA, NMA, NDBzA, NMAMBA and NSAR. For all studies evaluated, see Table E.2 of Appendix E

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose without effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDBA</b>				
Lijinsky et al. (1983)	<b>Rat</b> , F344 (M) No./group: 20  Gavage: 0 or 5.4 mg/rat bw 2×/week (assuming 400 g bw), equivalent to 0 or 3.9 mg/kg bw per day <sup>(b)</sup> Duration: 30 weeks	HCC, CA of forestomach and oesophagus, transitional cell carcinoma of urinary bladder; AD and CA of lung; CA of nasal cavity		<b>3.9</b>
Takayama (1969)	<b>Mouse</b> , ICR (M) No./group: > 29 Diet: 0 or 50 mg/kg, equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 12–15 months	Hepatoma, papilloma and CA of forestomach, papilloma of oesophagus, AD of lung		<b>7.5</b>
Bertram and Craig (1970)	<b>Mouse</b> , C57Bl/6 (M, F) No./sex/group: 50  Drinking water for M: 7.6 or 29.1 mg/kg bw per day; for F: 8.2 or 30.9 mg/kg bw per day; <i>no control</i> . Duration: average of 240 days	Papilloma/CA of urinary bladder (M)  Papilloma/CA of oesophagus; tumours of tongue/forestomach		<b>7.6 (M)</b> <b>7.6/8.2 (M/F)</b>
Althoff et al. (1974)	<b>Hamster</b> , European (M, F) No./sex/group: 5–10  s.c. application: 0, 49, 98, 197, 394 or 788 mg/kg bw 1×/week for M; 0, 93, 187 or 373 mg/kg bw 1×/wk for F; equivalent to 0, 7, 14, 28, 56 or 113 mg/kg bw per day for M and 0, 13, 27 or 53 mg/kg bw per day for F Duration: lifetime	↓ Body weight tumours in respiratory and upper digestive tract, urinary bladder and (para)nasal cavities		<b>7/13 (M/F)</b> <b>7/13 (M/F)</b>
Ivankovic (1968)	<b>Guinea pig</b> (sex not specified) No./group: 15  Drinking water: 40 mg/kg bw on 5 days/week, equivalent to 28.6 mg/kg bw per day; <i>no control</i> Duration: lifetime	HCC, CCC papilloma and CA of urinary bladder		<b>28.6</b>
<b>NDIBA</b>				
Lijinski et al. (1979)	<b>Rat</b> , SD (F) No./group: 15  Drinking water: 110 mg/L on 5 days/week equivalent to 3.9 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 30 weeks Observation: 120 weeks	Tumours of lung and occasionally in other organs		<b>3.9</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose without effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Althoff et al. (1975)	<b>Hamster</b> , Syrian Golden (M, F) No./sex/group: 20  s.c. application: 0, 62.5, 125, 250 or 500 mg/kg bw/1x per week; equivalent to 0, 9, 18, 36 or 72 mg/kg bw per day Duration: lifetime	Tumour of larynx, tumour of nasal mucosa and bronchial tree	9	<b>9 18</b>
<b>NMA</b>				
Schmähl (1976)	<b>Rat</b> , SD (M, F) No./sex/group: 48  s.c. application: 0, 2 or 10 mg/kg bw/1x per week; equivalent to 0, 0.3 or 1.4 mg/kg bw per day Duration: 24 weeks	Papillomas and CA or oesophagus		<b>0.3</b>
Schmähl (1976)	<b>Rat</b> , SD (M, F) No./sex/group: 48  Drinking water: probably 0, 0.3 or 1.5 mg/kg bw per day – unclear documentation Duration: 24 weeks	Papillomas and CA or oesophagus		<b>0.3 (?)</b>
Kroeger-Koepke et al. (1983)	<b>Rat</b> , F344 (M, F) No./sex/group: 20  Drinking water: 0 or 50 mg/L on 5 days/week; equivalent to 0 or 1.8 mg/kg bw per day <sup>(a)</sup> Duration: 50 weeks; observation: until tumour occurrence (max until week 120)	CA of oesophagus and forestomach		<b>1.8</b>
Greenblatt et al. (1971)	<b>Mouse</b> , Swiss (M, F) No./sex/group: 20  Drinking water: 0 or 70 mg/L; equivalent to 0 or 6.3 mg/kg bw per day <sup>(a)</sup> Duration: 28 weeks Observation: additional 12 weeks	Lung adenoma, malignant lymphoma		<b>6.3</b>
<b>NDBzA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No./group: 10  Diet: 50 or 100 mg/kg bw per day; historical controls available Duration: lifetime	No tumour formation	100	

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose without effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NMAMBA</b>				
Li et al. (1985)	<b>Rat</b> , Wistar (F) No./group/duration: 4–7 Gavage: 10–200 mg/kg bw/2×/week leading to total doses between 150 and 6,600 mg/kg bw Duration: 46–640 days	Papilloma of oesophagus, AD of liver at 10 months		~ <b>5.3</b>
<b>NSAR</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No./group: 6–14  Drinking water: 100 or 200 mg/kg bw per day; historical controls available Duration/tumour induction time: 357–631 days	CA of oesophagus		<b>100</b>
Sawyer and Marvin (abstract 1974)	<b>Mouse</b> , Swiss ICR (M, F) No./sex/group: 65  Diet: 0 or 0.25%; equivalent to 0 or 375 mg/kg bw per day <sup>(a)</sup> Duration: 13 months	↓ Body weight gain and survival CA of nasal region, tumours of lung, small intestine		<b>375</b> <b>375</b>

AD: adenoma; CA: carcinoma; CCC: cholangiocellular carcinoma; HCA: hepatocellular adenoma; HCC: hepatocellular carcinoma.

(a): EFSA default values applied.

(b): In Table 1 of the study, there is a discrepancy between the dose applied two times per week and rat and the total dose applied per rat. For the present calculations, the dose applied two times per week and rat was taken.

### 3.1.2.4.3. Cyclic and aromatic N-NAs

The long-term toxicity and carcinogenicity studies for the cyclic volatile N-NAs, NMOR, NPIP and NPYR, the cyclic non-volatile N-NAs, NHPYR, NPRO, NHPRO and NPIC, and the aromatic (non-volatile) NDPheA are compiled in Table E.3 in Appendix E. No studies could be retrieved for the cyclic volatile NTHZ, and the cyclic non-volatile N-NAs NMTHZ, NHMTHZ, NTCA, NMTCA, NHMTCA, NOCA and NMOCA. Selected studies, considered to be of relevance for assessing the health risks by cyclic and aromatic N-NAs, are shown in Table 7.

With regard to **NMOR**, several studies in males and females of different rat strains, exposed via drinking water (0.003–8 mg/kg bw per day) or diet (0.25–2.5 mg/kg bw per day) for variable periods (10 weeks to lifelong) showed that the liver is the main target for this NA. The occurrence of HCC, but also of hepatic haemangioendothelioma and haemangiosarcoma as well as Kupffer cell sarcoma was reported (Druckrey et al., 1967; Lijinsky and Taylor, 1975; Lijinsky, 1976b; Mirvish et al., 1976; Lijinsky et al., 1982, 1988; Hecht et al., 1989; Cortinovis et al., 1991). Occasional tumour formation was observed at extrahepatic sites, such as nasal cavity (Garcia and Lijinsky, 1972) or papilloma of the oesophagus (Lijinsky et al., 1982). Shank and Newberne (1976) treated pregnant rats from the time of conception; after females had littered, F1 of both sexes was subjected to long-term carcinogenicity studies; this was repeated in the F2 generation. Under these experimental conditions, angiosarcoma of liver and lung appeared in F1 and F2 animals. The most sensitive endpoints were seen in female F344 rats with the development of liver tumours (benign and malignant tumours combined) at 0.003 mg/kg bw per day or of HCC at 0.039 mg/kg bw per day (Lijinsky et al., 1988). This study was exceptional by applying nine dose levels from 0.003 to 3.571 mg/kg bw per day to more than 20 females per group for 25–100 weeks.

Two studies were performed in mice, treated via drinking water. Tumour formation occurred predominantly in the lung at doses of 3.48 mg/kg bw per day in the A/J strain and 7.2 mg/kg bw per day in the Swiss strain after 10 or 28 weeks of treatment, respectively. The latter mouse strain also developed HCC (Greenblatt et al., 1971; Hecht et al., 1989).

Hamsters were exposed via diet (0.22–2.2 mg/kg bw per day), drinking water (0.9–10 mg/kg bw per day), gavage (5.6 mg/kg bw per day) or s.c. application (1.1–14.1 mg/kg bw per day) for 26 weeks or lifetime. Independent of the route of administration tumours appeared in the upper respiratory tract (nasal cavity, nasopharynx), the lower respiratory tract (larynx, trachea) and the digestive tract (oesophagus, forestomach, stomach, liver, bile ducts) (Mohr et al., 1974; Reznik et al., 1976; Ketkar et al., 1983; Lijinsky et al., 1984; Cardesa et al., 1990). In a multigeneration carcinogenicity study, reaching from pregnant P to F2 generation nodular liver hyperplasia was the predominant lesion in F1 and F2 (Shank and Newberne, 1976). One of the most sensitive endpoints was the formation of benign and malignant tumours in the upper respiratory tract and in the digestive tract at a daily dose of 0.9 mg/kg bw in male Syrian Golden hamsters exposed via drinking water (Ketkar et al., 1983).

The toxic and carcinogenic activity of **NPIP** was studied in male and female rats of various strains, exposed via drinking water for 2.5–30 months to concentrations ranging from 0.009 to 86 mg/kg bw per day. Tumour formation was reported in liver, oesophagus, forestomach, respiratory tract and lower jaw (Boyland et al., 1964; Druckrey et al., 1967; Garcia and Lijinsky, 1972; Eisenbrand et al., 1980; Flaks and Challis, 1980; Lijinsky and Reuber, 1981; Peto et al., 1984; Gray et al., 1991). One sensitive endpoint was the formation of malignant liver cell tumours (not specified which liver cell type) in male and female Colworth rats after 30 months of treatment, starting at around 0.074 mg/kg bw per day and showing a clear dose dependency (Peto et al., 1984; Gray et al., 1991). However, the most sensitive endpoint was provided by Eisenbrand et al. (1980), who demonstrated the development of liver tumours in male and female SD rats at a dose of 0.02 mg/kg bw per day (no separate report for males and females). The study comprised four dose levels between 0.02 and 2.1 mg/kg bw per day, provided via drinking water to at least 34 animals per group until tumour appearance after ~ 400–800 days. The liver tumours were described as HCC and cholangiomas and showed a dose-dependent increase. In the lowest dose group, the occasional emergence of leukaemias and reticulosarcomas was reported. At higher dose levels, hepatic haemangioma occurred as well.

Three independent mouse studies applied NPIP at 6.4 mg/kg bw per day (drinking water), 7.5 mg/kg bw per day (diet) or 22.8 mg/kg bw per day (s.c. application) for 8 weeks to 12 months. In addition to HCC, malignancies in the forestomach and papillomas in the oesophagus, tumours of the bronchial tree and lung parenchyma were observed (Takayama, 1969; Greenblatt and Lijinsky, 1972b; Xie et al., 2010).

Hamsters were treated with NPIP via drinking water (6–50 mg/kg bw per day) or s.c. applications (0.8–8.6 mg/kg bw per day) over lifetime. Irrespective of the route of administration, many tissues/organs were affected by tumour formation, such as nasal cavity, nasopharynx, trachea, larynx, lung, tongue, palate, oesophagus, forestomach and the liver (Mohr et al., 1974; Reznik et al., 1976; Mohr, 1979; Ketkar et al., 1983; Cardesa et al., 1990). The most sensitive endpoint was the tumour formation at many different sites of the respiratory and digestive tract at 0.8 mg/kg bw per day, applied to male and female Chinese hamsters over lifetime (Reznik et al., 1976).

In the context of a study conducted by the National Institute of Health (NIH), NPIP was tested in *Cynomolgus*, Rhesus and African Green monkey of both sexes. Adamson et al. (1979, 1983) and Thorgeirsson et al. (1994) reported repeatedly on the outcome. Twelve animals received p.o. doses on 5 days per week, which were increased from 10 mg/kg bw to 400 mg/kg bw leading to accumulative dose of 1,626 g (177–2,662 g). After 87 months (range 36–145), HCC had developed in 11 animals. The i.p. doses were 10-fold lower (1–40 mg/kg bw), were applied once per week and provided an average cumulative dose of 42.9 g (range: 26.7–51.5 g). After an average latency of 141 months (range: 79–239 months), HCC appeared in six animals. Five animals did not develop tumours but died due to a toxic hepatitis.

The transplacental carcinogenic effects of NPIP were studied in Syrian Golden hamsters, as described in detail in Section 3.1.2.6.

Male and female rats of various strains were treated with **NPYR** via drinking water at doses from 0.03 to 30 mg/kg bw per day for 30–83 weeks or lifelong. The tumour spectrum comprised HCC, cholangioma and lymphangioma in the liver and partly malignant tumours of nasal cavity, oesophagus and forestomach (Druckrey et al., 1967; Greenblatt and Lijinsky, 1972a; Lijinski, 1976a; Preussmann et al., 1977; Lijinsky and Reuber, 1981; Peto et al., 1984; Hoos et al., 1985; Chung et al., 1986; Michejda et al., 1986; Berger et al., 1987; Gray et al., 1991). Hepatocellular tumours in form of adenoma and carcinoma, as well as hepatocellular tumour prestages were among the most frequent findings. Peto et al. (1991) and Gray et al. (1991) reported on the same experiment documenting an enhanced development of HCC in Colworth rats at 0.22 mg/kg bw per day when exposed for 30 weeks.

However, the most sensitive endpoint was the occurrence of hepatocellular adenoma in male SD rats, when treated with 0.1 mg/kg bw per day for the entire lifespan (Berger et al., 1987). The comprehensive study applied three dose levels from 0.03 to 0.3 mg/kg bw per day to groups of 80 male SD rats over lifetime.

Mice were subjected to NPYR treatment using drinking water (1.1–7.2 mg/kg bw per day), gavage (1.43 mg/kg bw per day) or i.p. applications (2–23.8 mg/kg bw per day) for 4–26 weeks or lifetime. Tumour formation in the lung was predominant in Swiss as well as in A/J mice (Greenblatt and Lijinsky, 1972b; Shah et al., 1985; Hecht et al., 1988; Hoffmann et al., 1993). However, male strain-A mice and in one experiment also female A/J mice did not develop lung tumours when treated with 7.2 or 6 mg/kg bw per day, respectively – most probably due to the short durations of treatment of 4–10 weeks (Chung et al., 1986; Anderson et al., 1993). The lowest carcinogenic dose for the lung was 1.43 mg/kg bw per day in male Swiss mice (Shah et al., 1985), when treated over lifetime; under these experimental conditions also HCC were induced by NPYR.

One study in hamsters showed that application of NPYR via drinking water caused an overall increased tumour incidence in female animals at 1.6 mg/kg bw per day and formation of liver tumours in male animals at 3.3 mg/kg bw per day (Ketkar et al., 1982). The tumours developed after 57–82 weeks of treatment.

For **NHPYR**, Eisenbrand et al. (1980) reported on the development of HCC in male and female SD rats, which were exposed to 2.5 mg/kg bw per day via drinking water for a period of nearly 2 years. This indicates that NHPYR, one of the metabolites of NPYR, induces hepatocarcinogenesis in rats.

**NPRO** was applied to rats via drinking water (7.5–89.3 mg/kg bw per day) or gavage (103 mg/kg bw per day) for 4–78 weeks and to mice via drinking water (32.1–64.2 mg/kg bw per day) or i.p. application (34.3 mg/kg bw per day) for 7–26 weeks (Greenblatt and Lijinsky, 1972a; Garcia and Lijinsky, 1973; Nixon et al., 1976; Mirvish et al., 1980; Hecht et al., 1988). None of the experimental regimens resulted in significant tumour formation.

In rats, **NHPRO** did not induce carcinogenesis when applied at doses of 7.5 mg/kg bw per day via drinking water or at 103 mg/kg bw per day via gavage for up to 75 weeks (Garcia and Lijinsky, 1973; Nixon et al., 1976).

Garcia and Lijinsky (1973) applied **NPIC** to male and female MRC rats at 7.5 mg/kg bw per day (drinking water) and reported no significant tumour formation after 75 weeks of treatment.

**NDPheA** was without carcinogenic effect when provided at 120 mg/kg bw per day via drinking water, at 2.54 mg/kg bw per day by gavage or 1.2 mg/kg bw per day by i.p. application to rats (Argus and Hoch-Liget, 1961; Druckrey et al., 1967; Boyland et al., 1968). At doses of 200 mg/kg bw per day, applied via diet, carcinoma of the urinary bladder occurred in male and female F344 rats after 100 weeks of treatment (NTP, 1979). Male and female B6C3F1 mice developed inflammation of the urinary epithelium at 1,500 mg and 347 mg/kg bw per day and an insignificant increase in urinary carcinoma at 3,000 mg and 861 mg/kg bw per day, respectively (NTP, 1979).

In summary, among the cyclic N-NAs evaluated, NMOR, NPIP, NPYR and NHPYR are potent carcinogens in rodents, affecting the liver, the respiratory and/or the GI tract at oral doses of at least 0.003 mg/kg bw per day (NMOR), 0.02 mg/kg bw per day (NPIP), 0.1 mg/kg bw per day (NPYR) and 2.5 mg/kg bw per day (NHPYR). Furthermore, NPIP induced HCC in livers of monkeys, treated with 5.7 mg/kg bw per day via i.p. applications. For the cyclic N-NAs NPRO, NHPRO and NPIC no clear evidence for carcinogenicity could be obtained in rodents, when applied at oral doses of up to 103 mg/kg bw per day (NPRO and NHPRO) or 7.5 mg/kg bw per day (NPIC). The aromatic N-NA NDPheA induced malignant tumours of the urinary bladder in male and female rats at 200 mg/kg bw per day.



**Table 7:** Selected long-term toxicity and carcinogenicity studies for NMOR, NPIP, NPYR, NHPYR, NPRO, NHPRO, NPIC and NDPheA

Reference	Species, experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NMOR</b>				
Lijinsky et al. (1988)	<b>Rat</b> , F344 (F) No./group: > 20  Drinking water: 0, 0.07, 0.18, 0.45, 1.1, 2.6, 6.4, 16, 40 or 100 mg/L on 5 days/week; equivalent to 0, 0.003, 0.006, 0.016, 0.039, 0.093, 0.229, 0.571, 1.429 or 3.571 mg/kg bw per day <sup>(a)</sup> Duration: 25–100 weeks	↓ Survival Benign or malignant liver tumours HCC	0.016  0.016	<b>0.039</b> <b>0.003</b>  <b>0.039</b>
Cortinovis et al. (1991)	<b>Rat</b> , SD (M) No./group/time point: ~ 8  Drinking water: 0 or 10 ppm; equivalent to 0 or 0.5 mg/kg bw per day <sup>(a)</sup> Duration: 10–65 weeks	HCA and HCC after 40 weeks ↑ No of preneoplastic liver lesions after 15 weeks		<b>0.5</b>  <b>0.5</b>
Greenblatt et al. (1971)	<b>Mouse</b> , Swiss (M, F) No./sex/group: 20  Drinking water: 0 or 80 mg/L; equivalent to 0 or 7.2 mg/kg bw per day <sup>(a)</sup> Duration: 28 weeks Observation: 40 weeks	↓ Survival lung AD and HCC		<b>7.2</b> <b>7.2</b>
Hecht et al. (1989)	<b>Mouse</b> , A/J (F) No./group: 40  Drinking water: 0 or 0.2 µmol/mL (or 23.2 mg/L); equivalent to 0 or 3.48 mg/kg bw per day <sup>(a)</sup> Duration: 10 weeks Observation: 30 weeks	Lung tumours		<b>3.48</b>
Ketkar et al. (1983)	<b>Hamster</b> , Syrian Golden (M, F) No./sex/group: 28–50  Drinking water for M: 0, 0.9, 3.4 or 6.1 mg/kg bw per day; for F: 0, 1.1, 3.9 or 8.2 mg/kg bw per day Duration: lifetime	Benign tumours in upper respiratory tract (larynx, trachea) and digestive tract  Malignant tumours in upper respiratory tract (larynx, trachea) and digestive tract (mostly HCC, CCC)	–/1.1	<b>0.9/1.1</b> (M/F)  <b>0.9/3.9</b> (M/F)
<b>NPIP</b>				
Eisenbrand et al. (1980)	<b>Rat</b> , SD (M, F) No./group: 34–38  Drinking water: 0, 0.024, 0.12, 0.6 or 3 mg/kg bw on 5 days/week; equivalent to 0, 0.02, 0.09, 0.4 or 2.1 mg/kg bw	↓ Survival Liver tumours (HCC and cholangioma) Squamous cell CA of oesophagus or forestomach	0.4  0.02	<b>2.1</b> <b>0.02</b> <b>0.09</b>

Reference	Species, experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
	per day Duration/mean tumour induction time: ~ 400–800 days in dependence of dose			
Peto et al. (1984); Gray et al. (1991)	<b>Rat</b> , Colworth (M, F) No./sex/group: 6  Drinking water: 0, 0.18, 0.36, 0.73, 1.45, 2.9, 5.81, 8.71, 11.62, 14.52, 17.42, 23.23, 29.04, 34.85, 46.46, 92.93 ppm; equivalent to 0, 0.009, 0.018, 0.037, 0.074, 0.15, 0.29, 0.44, 0.58, 0.73, 0.87, 1.16, 1.45, 1.74, 2.3 or 4.6 mg/kg bw per day <sup>(a)</sup> Duration: 30 months	Malignant tumours in liver, tumours in oesophagus and lower jar	0.037	<b>0.074</b>  <b>Unclear figure in the publication</b>
Takayama (1969)	<b>Mouse</b> , ICR (M) No./group: 30–33 Diet: 0 or 50 ppm, equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 12 months	Squamous CA of forestomach, HCC, hepatic endothelial tumours, papilloma of oesophagus, AD of lung		<b>7.5</b>
Greenblatt and Lijinsky, (1972b)	<b>Mouse</b> , Swiss (M, F) No./sex/group: 20  Drinking water: 0 or 0.01% on 5 days/week; equivalent to 0 or 6.4 mg/kg bw per day <sup>(a)</sup> Duration: 26 weeks Observation: further 12 weeks	AD of lung		<b>6.4</b>
Cardesa et al. (1990)	<b>Hamster</b> , Syrian Golden (M, F) No./sex/group: 25–30  Drinking water: 0, 0.006, 0.025 or 0.05%: equivalent to 0, 6, 25 or 50 mg/kg bw per day (consumption of 100 mL drinking water/kg bw per day assumed); Duration: lifetime	↓ Survival  Papilloma and CA in trachea and larynx (M, F)	6/– (M/F)	<b>25/6</b> (M/F)  <b>6</b> (M/F)
Adamson et al. (1983); Thorgeirsson et al. (1994)	<b>Monkey</b> , (Cynomolgus, Rhesus, African Green) (M, F) No./group: 12  Oral: 400 mg/kg bw on 5 days/week; equivalent to 7.14–286 mg/kg bw per day; cumulative dose: 1625.91 months (177.02–2662.32 months) Duration/average tumour latency: 87 g (36–145 g)	HCC 11/12 Toxic hepatitis 1/12		<b>286</b>
Adamson et al. (1979, 1983);	<b>Monkey</b> , (Cynomolgus, Rhesus, African Green) (M, F)	HCC 6/10 Toxic hepatitis 4/10		<b>5.7</b>

Reference	Species, experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Thorgeirsson et al. (1994)	No./group: 11 i.p. application: 40 mg/kg bw 1x/week; equivalent to 5.7 mg/kg bw per day; cumulative dose: 42.9 g (26.7–51.5 g); average tumour latency: 141 months (79–239 months)			
<b>NPYR</b>				
Peto et al. (1984); Gray et al. (1991)	<b>Rat</b> , Colworth (M, F) No./sex/group: 6  Drinking water: 0, 0.56, 1.12, 2.24, 4.49, 8.98, 17.95, 26.9, 35.9, 44.9, 53.9, 71.8, 89.8, 107.7, 143.6 or 287.2 ppm; equivalent to 0, 0.03, 0.06, 0.11, 0.22, 0.45, 0.9, 1.35, 1.8, 2.24, 2.7, 3.6, 4.5, 5.4, 7.2 or 14.4 mg/kg bw per day <sup>(a)</sup> Duration: 30 months	Malignant tumours in liver (hepatocellular), Malignant tumours in oesophagus	0.11  0.45	<b>0.22</b>  <b>0.9</b>
Berger et al. (1987)	<b>Rat</b> , SD (M) No./group: 80  Drinking water: 0, 0.04, 0.133 or 0.4 mg/kg bw on 5 days/week, i.e. 0, 0.03, 0.1 or 0.3 mg/kg bw per day Duration: lifetime	Tumours of liver (HCA) and of GI tract	0.03	<b>0.1</b>
Shah et al. (1985)	<b>Mouse</b> , Swiss (M) No./group: 20  Gavage: 0 or 50 µg/mouse 5 days/week; (assuming bw of 25 g) equivalent to 0 or 1.43 mg/kg bw per day <sup>(a)</sup> Duration: lifetime (15–20 months)	Papillary adeno-CA of lung HCC		<b>1.43</b>
Ketkar et al. (1982)	<b>Hamster</b> , Syrian Golden (M, F) No./sex/group: 30  Drinking water: 0, 0.00042, 0.0016 or 0.0033% (consumption of 100 mL drinking water/kg bw per day assumed) equivalent to 0, 0.4, 1.6 or 3.3 mg/kg bw per day; Duration: lifetime; Tumour latency: 57–82 weeks	Overall tumour incidence Liver tumours	0.4 1.6	<b>1.6 (F)</b> <b>3.3 (M)</b>

Reference	Species, experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NHPYR</b>				
Eisenbrand et al. (1980)	<b>Rat</b> , SD (M, F) No./sex/group: > 10  Drinking water: 0 or 3.5 mg/kg bw on 5 days/week; equivalent to 0 or 2.5 mg/kg bw per day Duration/mean tumour induction time 711 days	HCC		<b>2.5</b>
<b>NPRO</b>				
Mirvish et al. (1980)	<b>Rat</b> , MRC (M) No./group: > 35  Drinking water: 0 or 2500 mg/L on 5 days/week; equivalent to 0 or 89.3 mg/kg bw per day <sup>(a)</sup>  Duration: 78 weeks	No significant tumour formation	89.3	
Greenblatt and Lijinsky, (1972b)	<b>Mouse</b> , Swiss (M, F) No./sex/group: 30  Drinking water: 0, 0.05 or 0.1% on 5 days/week; equivalent to 0, 32.1 or 64.2 mg/kg bw per day <sup>(a)</sup> Duration: 26 weeks Observation: 38 weeks	No tumour formation in lung	64.2	
<b>NHPRO</b>				
Garcia and Lijinsky, (1973)	<b>Rat</b> , MRC (M, F) No./sex/group: 15  Drinking water: 0 or 0.015%; equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 75 weeks	No significant tumour formation	7.5	
Nixon et al. (1976)	<b>Rat</b> , Wistar (M), weanling No./group: 26  Gavage: 0 or 72.5 mg/rat 1x/week (assuming 100 g bw) equivalent to 0 or 103 mg/kg bw per day Duration: 4 weeks Observation: lifetime	No significant tumour formation	103	
<b>NPIC</b>				
Garcia and Lijinsky, (1973)	<b>Rat</b> , MRC (M, F) No./sex/group: 15  Drinking water: 0 or 0.015%; equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 75 weeks	No significant tumour formation	7.5	

Reference	Species, experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDPheA</b>				
Cardy et al. 1979, NCI/NTP 1979	<b>Rat</b> , F344 (M, F) No./sex/group: 20–50  Diet: 0, 1,000 or 4,000 mg/kg equivalent to 0, 50 or 200 mg/kg bw per day <sup>(a)</sup> Duration: 100 weeks	↓ Survival (F)	50	<b>200</b>
		CA of urinary bladder (M, F)	50	<b>200</b>
		Fibroma in in skin and subcutis (M)	50	<b>200</b>
Cardy et al. 1979, NCI/NTP 1979	<b>Mouse</b> , B6C3F1 (M, F) No./sex/group: 20–50  Diet for M: 0, 10,000 or 20,000 mg/kg equivalent to 0, 1,500 or 3,000 mg/kg bw per day <sup>(a)</sup> For F: 0, 5,000 mg/kg for 38 weeks, 3 weeks break, and 1,000 mg/kg for 60 weeks: average 2,315 mg/kg; equivalent to 0 or 347 mg/kg bw per day <sup>(a)</sup> 10,000 mg/kg for 38 weeks, 3 weeks break and finally 4,000 mg/kg for 60 weeks: average 5,741 mg/kg; equivalent to 861 mg/kg bw per day <sup>(a)</sup>  Duration: 38–101 weeks	Inflammation of urinary mucosa (M, F) Insignificant increase in urinary CA (M/F)	1,500/347 (M/F)	<b>1,500/347</b> (M, F) <b>3,000/861</b> (M/F)

AD: adenoma; CA: carcinoma; CCC: cholangiocellular carcinoma; HCA: hepatocellular adenoma; HCC: hepatocellular carcinoma.

(a): Applying EFSA default values (EFSA, 2012).

(b): <https://echa.europa.eu/registration-dossier/-/registered-dossier/27089/7/6/2>.

### 3.1.2.5. N-NAs carcinogenicity; the structure–activity relationships

The vast majority of N-NAs that have been tested for carcinogenic activity are carcinogenic. N-NAs are genotoxic carcinogens. They require metabolic activation to form DNA adducts that are critical for their mutagenic and carcinogenic activity. The well-established major pathway is  $\alpha$ -hydroxylation (adjacent to the N-nitroso group), catalysed by CYP enzymes. For example, for N-nitroso methylamines, the CYP-mediated hydroxylation (at methyl or methylene carbon) produces alkyldiazonium ions. Alkyldiazonium ions are precursors of reactive electrophilic carbenium ions, which directly react with DNA thereby forming stable adducts mainly with nitrogen and oxygen of guanine, cytosine and thymidine. The structure and number of diazonium ions formed from a specific N-NA depend on the chemical structure of each individual N-NA. The resulting DNA adducts depend on the nature of the formed diazonium ion. These different adducts are repaired by different cellular repair mechanisms with different capacity, velocity and accuracy. In the case of N-nitrosomethyl compounds, additional DNA damage could arise through the generation of formaldehyde via methyl hydroxylation.

The presence of an  $\alpha$ -hydrogen is critical for bioactivation and carcinogenesis of N-NAs. Most non-carcinogenic or weakly carcinogenic N-NAs either have sterically or electronically hindering substituents at, or in the vicinity, of the  $\alpha$ -carbon or have highly hydrophilic substituents. Since the presence of an  $\alpha$ -hydrogen is needed for  $\alpha$ -hydroxylation, it can be mechanistically predicted that substitution(s) that replaces  $\alpha$ -hydrogen in dialkyl nitrosamines or leads to high polarity thus decreasing or preventing metabolism by  $\alpha$ -hydroxylation can lead to reduction or elimination of the carcinogenic potential. Some of the substituents that are known or can be expected to reduce/eliminate carcinogenic potential of N-NAs include: (a) acidic group, fluoro-group or any bulky/unmetabolisable groups at the  $\alpha$ -carbon, (b)



branching of alkyl groups or bulky substituents at or in the vicinity of the  $\alpha$ -carbon, (c) large alkyl groups with total exceeding 15 carbons (carcinogenicity decreases with the increase of carbon atoms), (d) presence of a highly polar group as in *N*-nitrosoproline and *N*-NAs derived from related compounds.

The above picture is a compendium of both empirical observations on known carcinogenic *N*-NAs, and of a huge body of mechanistic studies on *in vitro* and *in vivo* systems. For example, the full DNA lesion profiles of potent *N*-NAs such as NDMA and NDEA remain unclear, but it is well established that they generate pro-mutagenic  $O^6$ -alkylguanine adducts (i.e. the modification of guanine through the addition of small alkyl-groups such as e.g. -CH<sub>3</sub>, -C<sub>2</sub>H<sub>5</sub>) which are most commonly repaired via dealkylation by DNA alkyl transferases (AGT, also known as methyl-guanine-methyl-transferase, MGMT). Other *N*-NAs such as NDBA, *N*-nitrosodiethanolamine (NDELA), NMEA, 4-(*N*-nitrosomethylamino)-1-(3-pyridyl)-1-butanone (NNK) are also known to generate  $O^6$ -alkyldeoxyguanosine adducts. Investigations also include the application of theoretical methods (such as the *ab initio* density functional theory approach) to study the relationship between conformation of alkylated DNA bases and their proneness to successful mispairing and subsequent mutagenicity. A detailed presentation of mechanistic findings regarding the individual *N*-NAs considered in the present document is in Section 3.1.1.

The carcinogenic potential is determined by multiple factors and depends on: the ability of the *N*-NA to be metabolically activated; the metabolic competence and capacity of the tissue to form diazonium/carbenium ions; the nature and stability of the diazonium/carbenium ion and the DNA-adducts formed; the capacity, velocity and accuracy of the different cellular repair mechanisms responsible for the repair of the different DNA-adducts in tissues; susceptibility (metabolic and proliferative) of the tissues exposed (EMA, 2020).

#### 3.1.2.5.1. Predicting carcinogenicity potential for *N*-NAs without animal carcinogenicity data

The molecular initiating events central to the carcinogenicity process (i.e. generation of alkylated DNA bases) are essentially identical in mutagenicity tests, such as the Ames assay. Indeed, analyses of large databases of mutagenicity and carcinogenicity results showed that the Ames test has a high predictivity for the qualitative prediction of carcinogenicity of *N*-NAs in rodent studies, even higher than for other classes of genotoxic carcinogens (Thresher et al., 2020; Trejo-Martin et al., 2022).

However, using *in vitro* mutagenicity data for potency ranking of *N*-NAs appears problematic. Studies have shown that the predictive relationship between mutagenic potency in *Salmonella* and rodent carcinogenicity is, at best, weak. When predicting qualitative carcinogenicity, only qualitative mutagenicity is useful. In addition, the relationship between mutagenic potency predictors and quantitative carcinogenicity is very weak. As a matter of fact, *in vitro* mutagenicity and *in vivo* carcinogenicity assay systems coincide for the molecular initiating event (DNA alkylation), but they differ for the plethora of other factors (e.g. ADME, promotion and progression phases, etc.) that surround the initiating event and have a strong influence on the modulation of carcinogenic potency (as well as on the tumour profiles induced in different animal species).

Thus, *in vitro* assays in bacteria like the Ames assay cannot be used as a quantitative surrogate for carcinogenic potency, but they are very useful for qualitative predictions of carcinogenicity.

Important tools for the prediction of the carcinogenic potential of *N*-NAs without *in vivo* data rely on the knowledge on structure–activity relationships (SARs). One popular technique is read across, that basically involves comparison of an untested chemical with structurally related compounds for which carcinogenic activity is known. Considering the most probable mechanism(s) of action, the structural features and functional properties of the untested chemical are evaluated and compared to those of the reference compounds with focus on how the differences between the untested chemical and reference compounds may affect the potential mechanism of action. These include consideration of: SAR knowledge; TK and toxicodynamic parameters that may affect the delivery of biologically active intermediate to target tissue(s) for interaction with key macromolecules that may contribute to carcinogenesis; and available supportive evidence such as genotoxicity data. The similarity between target and analogue(s) must be intended in a broad sense, such as the presence of common functional group, structural similarity, common chemical classes, similar values of physical–chemical parameters; common precursors and/or breakdown products. A quantitative trend in the experimental data for a given endpoint across chemicals in a category can also allow for quantitative interpolation or extrapolation (trend analysis). For chemicals with a limited knowledge base and information, human expert judgement with delineation of the rationale and possible knowledge gaps is often needed (Cross and Ponting, 2021; Dobo et al., 2022; Thomas et al., 2022)

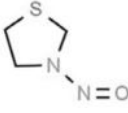
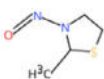
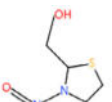
SAR concepts have permitted the development of several (Quantitative) Structure–Activity Relationships ((Q)SAR) models, implemented in a variety of public and commercial software systems.

Particularly relevant is the Cancer Expert System 'OncoLogic™' for predicting carcinogenic potential of substances by mechanism-based (Q)SAR analysis, developed by the US Environmental Protection Agency (EPA). The system gives semi-quantitative assessment of concern level for the carcinogenic potential of a target substance together with scientific rationale. OncoLogic has been freely available for use by the general public for around 20 years, and has been recently updated.<sup>17</sup>

Both for (Q)SAR and for read across analyses, the general provisions outlined in ECHA Guidance R6 (ECHA, 2008) should be followed. Further practical guidance is provided in (ECHA, 2016) for (Q)SAR, and in (ECHA, 2012, 2015) for read across.

### 3.1.2.5.1.1. N-NA carcinogenicity predictions

#### NTHZ, NMTHZ and NHMTHZ

Structure	CAS no.	N-NA; acronym; volatility	SMILES
	73870-33-4	N-nitrosothiazolidine; NTHZ; volatile	C1CSCN1N=O
	70629-19-5	N-nitroso-2-methylthiazolidine; NMTHZ; non-volatile	CC1N(CCS1)N=O
	92134-93-5	N-nitroso-2-hydroxymethylthiazolidine; NHMTHZ; non-volatile	OCC1SCCN1N=O

The cyclical N-NAs NTHZ, NMTHZ and NHMTHZ are thiazolidines without carcinogenicity data. Two of them (NTHZ and NHMTHZ) are positive in the Ames test.

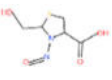
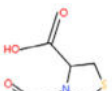
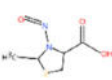
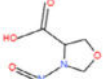
No close analogues (i.e. thiazolidines) were found in the CPDB.

The closest analogue with carcinogenicity data is N-nitrosopyrrolidine (NPYR), which can be considered as a representative of cyclic NA, and whose metabolism is dominated by  $\alpha$ -carbon hydroxylation.

As for NPYR, NTHZ, NMTHZ and NHMTHZ, they have  $\alpha$ -hydrogens suitable for hydroxylation. Hydroxylation is reported for NTHZ, (whereas no metabolic studies are reported for the other thiazolidines).

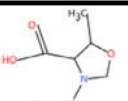
Given the structural similarity with NPYR and the positive mutagenicity of two of them, the three thiazolidine are predicted to be carcinogenic. Tentatively, they can be assigned the same TD<sub>50</sub><sup>18</sup> of NPYR (0.799) (rat). This is a conservative estimate, since it has been observed that heteroatoms in the ring tend to decrease potency (see Oncologic rules<sup>13</sup>).

#### NHMTCA, NTCA, NMTCA, NOCA and NMOCA

Structure	CAS no.	N-NA; Acronym; volatility	SMILES
	99452-46-7	N-nitroso-2-hydroxymethyl-thiazolidine-4-carboxylic acid; NHMTCA; non-volatile	C1C(N(C(S1)CO)N=O)C(=O)O
	88381-44-6	N-nitroso-thiazolidine-4-carboxylic acid; NTCA; non-volatile	C1C(N(CS1)N=O)C(=O)O
	103659-08-1	N-nitroso-2-methyl-thiazolidine 4-carboxylic acid; NMTCA; non-volatile	CC1N(C(CS1)C(=O)O)N=O
	95326-10-6	N-nitrosooxazolidine-4-carboxylic acid; NOCA; non-volatile	OC(=O)C1COCN1N=O

<sup>17</sup> <https://www.epa.gov/tsca-screening-tools/oncologic-expert-system-evaluate-carcinogenic-potential-chemicals>

<sup>18</sup> For a more detail explanation on TD<sub>50</sub>, see Section 3.1.8.

Structure	CAS no.	N-NA; Acronym; volatility	SMILES
	95326-11-7	N-nitroso-5-methyloxazolidine-4-carboxylic acid; NMOCA; non-volatile	CC1C(N(CO1)N=O)C(=O)O

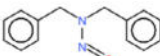
These chemicals are characterised by a cyclic structure substituted with a carboxyl moiety. Hydrophilic substituents (such as carboxyl) tend to make absorption more difficult and excretion easier.

Their closest analogues NHPRO, NPRO and NPIC have negative carcinogenicity data. In metabolic studies, NHPRO and NPRO are found unchanged, thus pointing to the lack of the usual metabolic pathways that generate DNA reactive species from N-NAs.

Regarding the chemicals with no experimental carcinogenicity data, NTCA and NMTCA are reported to remain unchanged in metabolic studies, in agreement with what is found for their non-carcinogenic analogues NHPRO and NPRO. NTCA was also found negative in the Ames test, confirming the lack of metabolic activation to DNA reactive species.

Based on chemical similarity with NHPRO, NPRO and NPIC, and existing metabolic and mutagenicity data, it can be inferred that NHMTCA, NTCA, NMTCA, NOCA and NMOCA are unlikely to pose a carcinogenic risk.

### NDBzA

Structure	CAS no.	N-NA; Acronym; volatility	SMILES
	5336-53-8	N-nitrosodibenzylamine; NDBzA; non-volatile	C1=CC=C(C=C1)CN(CC2=CC=CC=C2)N=O

NDBzA is reported as unable to induce tumours by Druckery et al. (1967), that also reported problems with the solubility of the compound.

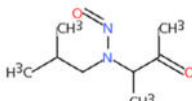
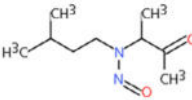
However, all genotoxicity studies and expected metabolism would predict carcinogenicity.

NDBzA is genotoxic, being positive in the Ames test and in several other assays, including the MutaMouse assay. In the latter system, the predominant type of NDBzA-induced mutations were transversions, mainly GC > TA. These may arise from unidentified DNA adducts with benzylation possibly being the primary mechanism. Interestingly, in the Ames test, NDBzA is positive not only in the usual TA100 and TA1535 strains (as the other N-NAs) (small adducts, point mutations), but also in strain TA98 (large adducts, frameshift mutations).

No metabolic data are available; however, given the above MutaMouse results and in analogy with results reported by Moschel et al. (1979), the ability to benzylate DNA can be hypothesised.

Regarding the estimation of TD<sub>50</sub> by read across, a partially similar structure is the carcinogenic N-methyl-N-nitrosobenzamide (CAS 63412-06-6), with TD<sub>50rat</sub> = 3.23, for which a related type of DNA damage, DNA benzylation, could be hypothesised. This agrees with the assignment to NDBzA of a level of concern of LOW-MODERATE carcinogenic risk by Oncologic™.

### NMAMPA and NMAMBA

Structure	CAS no.	N-NA; Acronym; volatility	SMILES
	93755-83-0	N-2-methylpropyl-N-1-methylacetonylnitrosamine; NMAMPA; non-volatile	CC(C)CN(C(C)C(=O)C)N=O
	71016-15-4	N-3-methylbutyl-N-1-methylacetonylnitrosamine; NMAMBA; non-volatile	CC(C)CCN(C(C)C(=O)C)N=O

No genotoxicity and metabolic data are available for NMAMPA. Data for NMAMBA are limited to positive results in Salmonella Typhimurium (TA1535 and TA100 strains) in the presence of metabolic activation by rat liver S9.

Based on the presence of  $\alpha$ -hydrogens suitable for hydroxylation as in the other acyclic *N*-NAs, they are expected to be carcinogenic.

In the CPDB (interrogated within the OECD QSAR Toolbox), 11 similar acyclic *N*-NAs (analogues) with  $TD_{50}$  values for the rat were retrieved. Some are not included in the present EFSA work and contribute to expand the database. For this set of acyclic *N*-NAs,  $TD_{50}$  correlated with LogKow (hydrophobic parameter) with R-square = 0.53. The dependence of  $TD_{50}$  of *N*-nitrosamines on hydrophobicity is in agreement with the results of Wishnok et al., 1978.

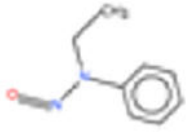
This model can be used to tentatively estimate the  $TD_{50}$ s, as follows:

$$NMAMPA\ TD_{50} = 0.242$$

$$NMAMBA\ TD_{50} = 0.34$$

These  $TD_{50}$  estimates are conservative, since bulkiness and branching of the alkyl moieties may decrease the carcinogenic risk.

## NEA

Structure	CAS no.	<i>N</i> -NA; Acronym; volatility	SMILES
	612-64-6	<i>N</i> -Nitroso- <i>N</i> -ethylaniline (NEA); Non-volatile	CCN(C1=CC=CC=C1)N=O

NEA is a very close analogue of *N*-nitrosomethylaniline (NMA), which is carcinogenic with a  $TD_{50} = 0.142$ .

The ethyl moiety in NEA has  $\alpha$ -hydrogens suitable for hydroxylation, as the methyl in NMA. Based on the close structural similarity and structure–activity considerations, NEA is predicted to be carcinogenic with a  $TD_{50} = 0.142$  as NMA.

## NDIPA, NEIPA, NMBA, NDIBA, NMVA, NSAR

These acyclic *N*-NAs are known to be carcinogenic in the rodent bioassay; however, no  $TD_{50}$ s values are reported in CPDB.

Read-across analysis was applied to estimate their  $TD_{50}$ s. Inspection of chemical structures shows that all have  $\alpha$ -hydrogens suitable for hydroxylation and subsequent generation of DNA adducts. This supports the hypothesis of genotoxic carcinogenicity, with the same mechanism of action of the other carcinogenic *N*-NAs considered in this work. NMBA and NMVA are reported to generate DNA adducts; NMBA is also reported to be positive in the Ames test.

The following  $TD_{50}$ s were predicted (Table 8):

**Table 8:**  $TD_{50}$ s of certain *N*-NAs predicted by read across

<i>N</i> -NA	$TD_{50}$ prediction
NDIPA	0.199
NEIPA	0.147
NMBA	0.151
NDIBA	0.376
NMVA	0.068
NSAR	0.982

$TD_{50}$ s for the first five *N*-NAs were derived based on 11 analogues, for which a statistically significant relationship between  $TD_{50}$  and logKow was found (with R-squared = 0.53). The dependence of  $TD_{50}$  of *N*-nitrosamines on hydrophobicity is in agreement with the results of Wishnok et al. (1978).

The higher potency of NMVA is justified by the presence of a methyl, with no steric hindrance. The vinyl moiety may further increase potency.

$TD_{50}$  for NSAR was inferred from that of the very close analogue *N*-nitroso-*n*-methyl-4-aminobutyric acid (CAS 61445-55-4), with the same COOH moiety that decreases potency.

### 3.1.2.6. Developmental and reproductive toxicity

#### 3.1.2.6.1. Developmental effects and transplacental carcinogenesis

The studies on developmental toxicity of the acyclic *N*-NAs (NDMA, NDEA, NDPA, NDBA, NMA), the cyclic NPIP and the aromatic NDPheA are compiled in Table 9. No studies could be retrieved for several acyclic *N*-NAs (NMEA, NDIPA, NEIPA, MVA, NDIBA, NDBzA, NMAMPA, NMAMBA, NSAR) and cyclic *N*-NAs (NMOR, NTHZ, NPYR, NHPYR, NMTHZ, NHMTHZ, NPRO, NHPRO, NTCA, NMTCA, NHMTCA, NOCA, NMOCA, NPIC).

With regard to developmental effects of **NDMA**, rat dams receiving 9 mg/kg bw per day during gestation, exhibited enhanced resorptions; from 2 dams on 18 mg/kg bw per day, one died on gestation day (GD) 19; (Bhattacharyya, 1965). Napalkov and Alexandrov (1968) observed that prenatal survival was impaired when mothers received a single dose of 30 mg/kg bw between GD1 and 13, while from GD14 onwards, no effect was seen. This observation was substantiated by Aleksandrov (1974) who found that a single NDMA dose of 30 mg/kg bw per day on GD 3, 9, 10 or 12 reduced the survival of embryos. Perinatal death was observed in F1 of mice, when mothers were exposed to 0.015 mg/kg bw per day, starting 75 days before mating and continuing until the end of gestation (Anderson et al., 1978). Also, hamsters displayed reduced survival of F1 in mothers receiving 12.5 mg/kg bw via single s.c. application between GD8 and 15 (Althoff et al., 1977).

NDMA-induced transplacental carcinogenesis was reported for rat and mouse with an insignificant trend also in hamster. In rat dams and offsprings, kidney tumours formed when treated with a single dose of 30 mg/kg bw between GD1 and 20 or solely on GD21 (Napalkov and Alexandrov, 1968; Aleksandrov et al., 1974). The tumours started to occur from the 47th postnatal week onwards. In more detailed studies, Alexandrov (1968) reported occasional tumour formation in the kidney and some other organs in the second year of life of the F1 generation, receiving 3.4 mg/kg bw on GD1 and 22 or 6.7 mg/kg bw per day on GD15–21 but not when 6.7 mg/kg bw per day were applied on GD1–7 or GD8–14. The dams, however, developed kidney tumours under any condition. Female offsprings of C3H/HeNcr MTV – mice, treated with 7.4 mg/kg bw i.p. on GD16 or GD19, exhibited HCC. During the second year of life, the male offsprings developed HCC and undifferentiated tumours of the liver when exposed on GD19; application on GD16 was without effect (Anderson et al., 1989). In Syrian hamsters, a single s.c. application of 12.5 mg/kg bw on either GD 8, 10, 12, 14 or 15 was weakly carcinogenic in the offsprings (Althoff et al., 1977; Althoff and Grandjean, 1979). After the single s.c. application to dams, the compound was present for at least 2 h in maternal blood, placenta, fetus and amniotic fluid (Althoff et al., 1977).

Regarding developmental effects of **NDEA**, reduced survival of F1 embryos was independent of whether a single oral dose of 200 mg/kg bw per day was applied to mothers on GD3, 9, 10 or 12 (Alexandrov et al., 1974). While nursing, Syrian hamsters received s.c. applications of NDEA at 5, 10 or 20 mg/kg bw per day from the first to the 30th day post-delivery. The average survival of dams and offsprings was reduced (Mohr et al., 1972). Tumours developed in the respiratory tract in P and the F1 generation (Mohr et al., 1972). The authors speculate that NDEA may appear in the milk of the treated mothers at sufficiently high amounts to induce tumour formation. Spielhoff et al. (1974) showed that NDEA, applied i.p., appeared in the milk after 30 min, with a maximum at 45 min and disappearance after 120 min.

Transplacental carcinogenesis was induced in the kidney of F1 rats, when mothers received 4 or 8 mg/kg bw on GD10–21 via s.c. application (Wrba et al., 1967) or via gavage of 150 mg/kg bw on GD21 (Alexandrov et al., 1974). The tumours had appeared after about 1 year of life. The very last regimen induced also tumours in the liver and other organs of the offsprings as well as kidney tumours in the dams. On the other hand, NDEA at 5 mg/kg bw per day, provided via drinking water to male and female Wistar rats 35–60 days before mating until the end of the gestational period, was without effect on F1 but induced kidney tumours in dams (Sydow, 1970). The lung was the site of tumour formation in F1 mice of mothers, treated s.c. with 80–240 mg/kg bw per day between GD15 and 20 (Mohr and Althoff, 1965) or with 50 mg/kg bw on GD16, 17 or 18 (Diwan and Meier, 1976). The tumours had appeared at 8–13 months of age. Single treatments with 50 mg/kg bw on GD 14 or 15 were without effect (Diwan and Meier, 1976). The F1 generation of Syrian hamsters developed tumours in the respiratory tract when mothers were treated with 5 mg/kg bw or more on 1 or more days in the second half of the gestational period (Mohr and Althoff, 1965; Mohr et al., 1966, 1975, 1995). Similar to reports on NDMA, at certain gestational periods, the fetus was susceptible to postnatal development of respiratory tract tumours. Interestingly, lymphoma, uterine carcinoma and laryngotracheal neuroendocrine tumours occurred at an increased frequency in the F2-generation



deriving from F1-animals that had been treated on GD13 or GD14 (Mohr et al., 1995). Following a single s.c. application of 100 mg/kg bw to dams, the compound was present for at least 2 h in maternal blood, placenta, fetus and amniotic fluid of the hamsters (Althoff et al., 1977)

For **NDPA** data on developmental effects and transplacental carcinogenesis derive from one group studying the compound in Syrian Golden hamster. Reduced post-natal survival was found in offsprings from mother receiving a single s.c. dose of 100 mg/kg bw on GD 8, 10, 12, 14 or 15. This resulted in slightly increased respiratory, digestive and endocrine tumours, which were more frequent in the male than in the female offsprings. Tumours in the respiratory tract of the P-generation were reported as well. Following the single s.c. application to dams, the compound was present for at least 2 h in maternal blood, placenta, fetus and amniotic fluid (Althoff et al., 1977; Althoff and Grandjean, 1979).

Regarding developmental effects of **NDBA**, reduced survival of embryos was observed after a single dose of 1200 mg/kg bw per day on either GD 3, 9, 10 or 12 (Alexandrov et al., 1974). The survival of offspring was also reduced when exposed in utero with 30 mg/kg bw per day on 2–8 days between GD8 and GD15 (Althoff et al., 1976; Althoff and Grandjean, 1979). Nursing Syrian hamsters received s.c. NDBA at 0, 5, 10 or 20 mg/kg bw per day between the first and the 30th day post-delivery, which reduced survival of dams (Mohr et al., 1972). In addition, tumours in the respiratory tract developed in mothers and the offsprings.

Althoff et al. (1976) and Althoff and Grandjean (1979) examined the transplacental carcinogenic effects of NDBA and injected the compound s.c. into pregnant hamsters at 30 mg/kg bw on 2–8 days between GD8 and GD15 or just as a single dose on GD8, 10, 12, 14 or 15. The animals were observed for up to 100 weeks. Respiratory tumours developed. Single doses of 30 mg/kg bw induced tumours in the F1- but not in the P-generation whereas NDBA application for 2–8 days between GD8 and 15 caused a higher tumour incidence in the P- than in the F1-generation. No macroscopic malformations were observed in the offspring. Following the single s.c. application to dams, the compound was present for at least 2 h in maternal blood, placenta, fetus and amniotic fluid (Althoff et al., 1977).

Napalkov and Alexandrov (1968) studied developmental effects of **NMA** and reported that prenatal survival was impaired when mothers received a single dose of 140 mg/kg bw between GD1 and 15, while from GD16 onwards, no clear effect was seen. Five of 79 surviving fetuses exhibited abnormalities between GD9 and 13, such as microphthalmia, exencephaly or syndactyly.

Data on transplacental carcinogenesis by NMA could not be found.

No data on the developmental effects of **NPPIP** could be identified in the literature.

The transplacental carcinogenic effects of NPPIP were studied in Syrian Golden hamsters. A single s.c. application of 100 mg/kg bw on either GD 8, 10, 12, 14 or 15 elicited a weak carcinogenic effect in the respiratory tract of offsprings. Tumour formation became evident during the second year of life and was more pronounced in the females than in the males. Respiratory tract tumours in P were reported as well (Althoff et al., 1977; Althoff and Grandjean, 1979).

Developmental effects of **NDPheA** are documented in a study publically available on the ECHA homepage. Male and female SD rats were subjected to gavage of 0, 15, 50 or 150 mg/kg bw per day starting 2 weeks before and ending 2 weeks after mating (for M) or continuing during gestation and lactation until d13 post-partum (for F). The no-observed adverse effect level (NOAEL) for toxic effects on offsprings was 15 mg/kg bw per day based on reduced litter size and lower live birth and viability indexes at 50 and 150 mg/kg bw per day.

Data on possible transplacental carcinogenic effects of NDPheA could not be retrieved from the literature.

To summarise, depending on the experimental design of the developmental toxicity study, NDMA, NDEA, NDPA, NDBA, NMA and NDPheA may reduce pre- and perinatal survival of offsprings in rat, mouse and/or hamster. With the exception of NMA, malformations were not reported for the N-NAs tested. In hamsters transfer to the milk of dams and tumour occurrence in the nursed offsprings was reported for NDEA and NDBA.

NDMA, NDEA, NDPA, NDBA and NPPIP showed transplacental carcinogenic effects in the offsprings of treated dams in several rat, mouse and/or hamster strains.

**Table 9:** Developmental toxicity studies on NDMA, NDEA, NDPA, NDBA, NMA, NPIP and NDPheA

Reference	Species, experimental design and doses	Observed effects	Highest dose with no effect (mg/kg bw per day)		Lowest dose with effect (mg/kg bw per day)	
			P	F1/2	P	F1/2
<b>NDMA</b>						
Bhattacharyya (1965)	<b>Rat</b> , Wistar, pregnant No/group: 2–6 Diet: 50, 100, 200 ppm, equivalent to 4.5, 9 or 18 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: during gestation Observation: 19–50 days	↓ Survival of P Resorptions	9	4.5	18	9
Napalkov and Alexandrov (1968)	<b>Rat</b> , pregnant No/group in P: > 5 Single gavage.: 0 or 30 mg/kg bw per day on GD1–20	↓ Survival of embryos when treated between GD1–13; Occasional occurrence of kidney tumours in F1				30 30
Alexandrov (1968)	<b>Rat</b> , albino, pregnant No/group: not specified Gavage: 0 or 6.7 mg/kg bw per day on GD1–7, GD8–14 or GD15–21	Kidney tumours in P Occasional kidney tumours in F1, exposed on GD15–21			6.7	6.7
Alexandrov (1968)	<b>Rat</b> , albino, pregnant No/group: not specified Gavage: 0 or 3.4 mg/kg bw on GD1–22	Kidney tumours in P Occasional kidney tumours in F1			3.4	3.4
Alexandrov et al. (1974)	<b>Rat</b> , albino, pregnant No/group: 6 Gavage: 0 or 30 mg/kg bw on GD 3, 9, 10 or 12	↓ Survival of embryos				1 × 30 indep. of GD
Alexandrov et al. (1974)	<b>Rat</b> , albino, pregnant No/group: 6 Gavage: 30 mg/kg bw on GD21; <i>no control</i>	Kidney tumours in 25% of F1 generation Kidney tumours in dams			30	30
Anderson et al. (1978)	<b>Mouse</b> , CD–1 (F) No/group: 20 Drinking water: 0 or 0.1 ppm; equivalent to 0 or 0.015 mg/kg bw per day <sup>(a)</sup> Duration: 75 days before mating until end of gestation	Perinatal death				0.015

Reference	Species, experimental design and doses	Observed effects	Highest dose with no effect (mg/kg bw per day)		Lowest dose with effect (mg/kg bw per day)	
			P	F1/2	P	F1/2
Anderson et al. (1989)	<b>Mouse</b> , C3H/HeNcr MTV <sup>-</sup> , pregnant No/group in P: not specified No/group in F1: 48–68 i.p. application: 7.4 mg/kg bw on GD16 or GD19	HCC in female F1 (treated on GD16 or 19) HCC, histiocytic and undiff liver sarcoma in male F1 (treated on GD19)				7.4 7.4
Althoff et al. (1977); Althoff and Grandjean (1979)	<b>Hamster</b> , Syrian Golden, pregnant No/group in P: > 18 No/group in F1: > 180  Single s.c. application: 0 or 12.5 mg/kg bw on subgroups of 5 mothers on either GD 8, 10, 12, 14 or 15 Observation: up to 100 weeks	↓ Survival of F1 Small increase in tumour formation in P and F1			12.5	12.5 12.5
<b>NDEA</b>						
Wrba et al. (1967)	<b>Rat</b> , SD, pregnant No/group: ~ 25  Daily s.c. application: 4 or 8 mg/animal on GD 10–21; <i>no control</i>	↓ Survival of P AD and CA of kidney in P; AD, sarcoma and nephroblastoma of kidney in F1			8 not clear	
Sydow (1970)	<b>Rat</b> , Wistar (M, F) No/sex/group in P: 16–19F (M not specified) No/group in F1: 90–149 Drinking water: 1 mg/animal/days; (assuming 330 and 200 g bw) equivalent to 3 mg/kg bw per day for M and 5 mg/kg bw per day for F; Duration: Mating after 35, 50 or 60 days of treatment (for M and F), continued before and during pregnancy (for F).	CA of kidney in P (F; treated for 60 days before mating) No effects in F1		5	5	
Alexandrov et al. (1974)	<b>Rat</b> , albino pregnant No/group: 6  Gavage: 0 or 200 mg/kg bw on GD 3, 9, 10 or 12	↓ Survival of F1 embryos				1 × 200 indep. of GD
Alexandrov et al. (1974)	<b>Rat</b> , albino, pregnant No/group in P: not specified Gavage: 150 mg/kg bw on 21; <i>no control</i>	F1: kidney tumours in 48%; tumours in liver and other organs Kidney tumours in P			150	150

Reference	Species, experimental design and doses	Observed effects	Highest dose with no effect (mg/kg bw per day)		Lowest dose with effect (mg/kg bw per day)	
			P	F1/2	P	F1/2
Mohr and Althoff, (1965)	<b>Mouse</b> , NMRI, pregnant No of treated P: 15 No of F1: > 7  s.c. application: (assuming 50 g bw) 0 or 80–240 mg/kg bw per day on GD15–20; (total dose and duration not clear in results) Observation of F1: 8 or 12 months	Lung AD and hepatoma in F1 (after 8 months)				80-240
Diwan and Meier (1976)	<b>Mouse</b> , SWR/J (F) mated with AKR/J (M) No/group in F1: 14–34  Single s.c application: 50 mg/kg bw on GD14, 15, 16, 17, or 18; trioctanoin served as control	Lung AD in F1 treated on GD 16, 17 or 18				50
Mohr and Althoff, (1965); Mohr et al. (1966)	<b>Hamster</b> , Syrian Golden, pregnant No/group in P: 2–8 No/group in F1: 8–41  Daily s.c. application: 2 mg/animal per day on 1–7 days during second half of gestation (assuming 130 g bw) equivalent to approximately 15.3 mg/kg bw per day with a total dose of 15.3–107.7; <i>no control</i>	Papilloma of trachea in 42% of F1 25 weeks after birth Hepatic cirrhosis in 69% of F1 25 weeks after birth; Papilloma found also in cross-fostering group				15.3 not clear
Mohr et al. (1972)	<b>Hamster</b> , Syrian Golden (F), nursing No/group: 5  s.c. application: 0, 5, 10 or 20 mg/kg bw per day on day 1–30 post delivery	↓ Survival of P and F1 (M, F) Tumours in respiratory tract in P Tumours in respiratory tract in F1		5	5 5	5 10
Mohr et al. (1975)	<b>Hamster</b> , Syrian Golden, pregnant No/group: 6 Single  s.c. application: 0 or 45 mg/kg bw on GD 12, 13,14 or 15 Single s.c. application: 0 or 45 mg/kg bw on GD during first 11 GD	Tumours of respiratory tract in 95% of F1 and few mothers  No tumour formation in F1; tumours in respiratory tract in few mothers			45 45	45

Reference	Species, experimental design and doses	Observed effects	Highest dose with no effect (mg/kg bw per day)		Lowest dose with effect (mg/kg bw per day)	
			P	F1/2	P	F1/2
Mohr et al. (1995)	<b>Hamster</b> , Syrian Golden Han:AURA, pregnant No/group in P: > 19 No/sex/group in F1: > 40 No/sex/group in F2: > 40  Single i.p. application: 0 or 10 mg/kg bw on GD12, 13 or 14	Tumours of larynx and trachea in P, treated on GD 12, 13 or 14  Tumours in larynx and trachea, incl. neuroendocrine tumours, in F1, treated on GD14  Lymphoma, uterine CA and laryngotracheal neuroendocrine tumours in F2 deriving from GD13 or GD14-treated animals			10	10 10
<b>NDPA</b>						
Althoff et al. (1977); Althoff and Grandjean, (1979)	<b>Hamster</b> , Syrian Golden, pregnant No/group in P: > 18 No/group in F1: > 150  Single s.c. application: 0 or 100 mg/kg bw on subgroups of 5 mothers on either GD 8, 10, 12, 14 or 15 Observation: up to 100 weeks	↓ post-natal survival Respiratory tumour formation in P Small increase in respiratory, digestive and endocrine tumours in F1: M > F			100	100 100
<b>NDBA</b>						
Alexandrov et al. (1974)	<b>Rat</b> , albino, pregnant No/group: 6 Gavage: 0 or 1200 mg/kg bw on GD 3, 9, 10 or 12	↓ Survival of embryos				1 × 1,200, indep of GD
Mohr et al. (1972)	<b>Hamster</b> , Syrian Golden (F), nursing No/group: 5  s.c. application: 0, 5, 10 or 20 mg/kg bw per day on day 1–30 post delivery	↓ Survival of P Tumours in respiratory tract in P Tumours in respiratory tract in F1		10	5 5	20



Reference	Species, experimental design and doses	Observed effects	Highest dose with no effect (mg/kg bw per day)		Lowest dose with effect (mg/kg bw per day)	
			P	F1/2	P	F1/2
Althoff et al. (1976), Althoff and Grandjean, (1979)	<b>Hamster</b> , Syrian Golden, pregnant No/group: > 5 s.c. application: 0 or 30 mg/kg bw on 2–8 days between GD8 and 15	↓ Post-natal survival of offsprings Tumour formation in respiratory tract in P > F1			30	30 30
	Single s.c. application: 0 or 30 mg/kg bw on subgroups of 5 mothers on either GD8, 10, 12, 14 or 15  Observation: up to 100 weeks	No significantly increased tumour formation in P Tumour formation in respiratory tract in F1	30			30
<b>NMA</b>						
Napalkov and Alexandrov (1968)	<b>Rat</b> , pregnant No/group: not specified Single i.p. application: 0 or 140 mg/kg bw per day on GD1–20	↓ Survival of embryos when treated between GD1–15 Microphthalmia, exencephaly or syndactyly on GD9–13				140
<b>NPIP</b>						
Althoff et al. (1977) Althoff and Grandjean, (1979)	<b>Hamster</b> , Syrian Golden, pregnant No/group in P: > 5 No/group in F1: > 20 Single s.c. application: 0 or 100 mg/kg bw on GD8, 10, 12, 14 or 15 Observation: up to 100 weeks	Tumours in respiratory tract in 54% of P  Tumours in upper respiratory tract in 2–7% of F1: F > M			100	100
<b>NDPheA</b>						
ECHA (2017) <sup>(b)</sup>	<b>Rat</b> , SD (M, F) No/sex/group: 10  Gavage: 0, 15, 50 or 150 mg/kg bw per day Duration - M: 2 weeks before and after mating (total 4 weeks) F: - 2 weeks before mating, during the mating period (up to 2 weeks), during gestation, during lactation until d13 post-partum	↓ litter size, live birth and viability		15		50

(a): EFSA default values were applied.

(b): <https://echa.europa.eu/registration-dossier/-/registered-dossier/27089/7/6/2>.

### 3.1.2.6.2. Reproductive effects

With regard to reproductive toxicity of **NDMA**, a few studies were performed in male rodents. A single i.p. application of 60 mg/kg bw to rats induced necrosis of seminiferous epithelium and reduced the number of mature spermatozoa (Hard and Butler, 1970). In mice one i.p. dose of 6 mg/kg bw did not elicit sperm abnormalities (Wyrobek and Bruce, 1975). The oral application of 0.5 mg/kg bw per day (route not specified) over a period of 12 weeks induced testicular necrosis and reduced the testosterone serum concentration in New Zealand rabbits (Sheweita et al., 2017). From this limited data set, it may be deduced that NDMA affects the rodent testis.

**NDEA** was applied via the drinking water to female Wistar rats at a daily dose of 5 mg/kg bw. The treatment started 35–60 days before mating and continued during the entire gestational period. No reduced fertility could be observed (Sydow, 1970). In male New Zealand rabbits, however, the application of NDEA at an oral dose of 0.5 mg/kg bw per day for 12 weeks induced testicular necrosis and reduced the testosterone serum concentration (Sheweita et al., 2017).

Male and female SD rats were subjected to gavage of 0, 15, 50 or 150 mg/kg bw per day of **NDPheA**, starting 2 weeks before and ending 2 weeks after mating (for M) or continuing during gestation and lactation until day 13 post-partum (for F) (ECHA, 2017). The NOAEL for reproductive performance (mating, fertility and delivery) was the highest dose of 150 mg/kg/days, based on the absence of adverse findings. NDPheA elicited testicular necrosis in male New Zealand rabbits, when applied orally at 0.5 mg/kg bw per day for 12 weeks (Sheweita et al., 2017). This was associated with lipid peroxidation, as determined by increased thiobarbituric acid-reactive substances (TBARS) and decreased activity of superoxide dismutase (SOD), catalase and glutathione-S-transferases (GST) as well as an overall reduced glutathione (GSH) content in the testis. As a result, the testosterone level in the serum was lowered while the oestrogen level was increased.

No studies could be retrieved for NMEA, NDPA, NDIPA, NEIPA, NMBA, MVA, NDBA, NDIBA, NMA, NDBzA, NMAMPA, NMAMBA, NSAR, NMOR, NPIP, NTHZ, NPYR, NHPYR, NMTHZ, NHMTHZ, NPRO, NHPRO, NTCA, NMTCA, NHMTCA, NOCA, NMOCA, and NPIC.

Overall, no impact on female fertility was found for NDEA, while induction of testicular necrosis was reported for NDMA, NDEA and NDPheA.

### 3.1.2.7. Immunotoxicity

#### 3.1.2.7.1. Immunotoxicology/Effects on the immune system

Several studies showed that N-NAs induce profound alterations in the immune system of rodents. The **humoral responses** were reduced in rats and mice after single or multiple doses of NDMA and other N-NAs. As examples, a single i.p. application of **NDMA** at 14 mg/kg bw to mice was followed by i.v. injection of approximately  $1 \times 10^9$  sheep red blood cells (sRBC) on day 2 post-treatment. NDMA reduced significantly the anti-sRBC haemagglutinin titre (Waynforth and Magee, 1974). Holsapple et al. (1984) treated female B6C3F1 mice via i.p. application of NDMA at 1, 3.0 and 5.0 mg/kg bw per day for 2 weeks. Twenty-four hours or 30 days after the last exposure, the spleens were minced and spleen cell suspensions were cultured with either lipopolysaccharide or sRBC. The IgM-antibody response to LPS or sRBC was inhibited at all NDMA doses applied. Desjardins et al. (1992) treated female CD-1 mice with 0, 1, 5, 10 and 20 ppm NDMA (equivalent to 0, 0.15, 0.75, 1.5 or 3 mg/kg bw per day) via drinking water over a period of 15–120 days. Reduced survival and ascites occurred in animals in the highest and second highest dose group, respectively. IgM-mediated response to sRBC was suppressed in animals with 1.5 mg/kg bw per day after 90 or 120 days of treatment. Hard (1981) reported a similar outcome in female Wistar rats, i.e. a single i.p. application of NDMA (60 mg/kg bw) reduced the responsiveness to sRBC; the alteration persisted for at least 10 days.

Johnson et al. (1987) suggested that the B lymphocyte is the primary cellular target of the NDMA-induced suppressed antibody response. This effect was found to depend on metabolic activation. Haggerty and Holsapple (1990) suggested that the metabolites being capable of suppressing the humoral immune response may be qualitatively and/or quantitatively different from those being hepatotoxic and genotoxic.

Scherf and Schmahl (1975) treated male SD rats with NDMA (0, 0.28, 0.56, 1.12 or 2.25 mg/kg bw) or NMOR (0, 2, 4, 8 or 16 mg/kg bw) via injection (unclear whether s.c. or i.p) once weekly for 12, 18 or 24 weeks. After the last injection, the animals were immunised by inoculation of sRBC and 5 days later the number of IgM-producing spleen cells was determined by a plaque formation assay. After 18 weeks of treatment, the highest dose of NDMA and NMOR had reduced significantly the immune response.

Kaminski et al. (1989) compared the potency of several *N*-NAs in female B6C3F1 mice. Selected compounds with symmetrical aliphatic chains (**NDMA**, **NDEA**, **NDPA**, **NDBA**) and with an *N*-methyl group (**NMEA**, **NMPA**, **NMBA**) were applied i.p. on 7 consecutive days. For sensitisation the animals received i.v. sRBC one day after the last treatment with NA. Four days later spleen cells were removed and subjected to a plaque-forming assay. The *in vivo* sRBC antibody response was suppressed by all tested compounds, i.e. NDMA was effective already at 1 mg/kg bw per day, NMBA at 1.5 mg/kg bw per day, NMEA at 15 mg/kg bw per day, NDEA at 24 mg/kg bw per day, NDPA at 50 mg/kg bw per day and NDBA at 200 mg/kg bw per day, and. Based on a calculated effective dose (ED50), it was concluded that (i) dialkylnitrosamines with an *N*-methyl group were more immune-toxic than dialkylnitrosamines with symmetric aliphatic chains and (ii) for the symmetric chains, there was an inverse relationship between aliphatic chain length and the immune-toxic potency.

The **cell-mediated immune response** was both enhanced and suppressed by **NDMA** in dependence of the parameter investigated. As examples, Holsapple et al. (1985) treated female B6C3F1 mice via i.p. injections with 1.5, 3.0 and 5.0 mg/kg NDMA daily for 14 days. The animals showed a suppressed lymphoproliferative response to the T-cell mitogens concanavalin A and phytohaemagglutinin, and mixed lymphocyte response to mitomycin-treated DBA-2 spleen cells. The delayed hypersensitivity response to keyhole limpet haemocyanin was determined by alterations in the vascular permeability and was halved at the highest NDMA dose. At the same time, the number of granulocyte/monocyte stem cells was increased dose dependently in bone marrow while the NDMA-treated mice became less susceptible to *Listeria monocytogenes* than controls. These data indicate that NDMA suppresses cell-mediated immunity but elevates the number of bone marrow cells which differentiate to granulocytes or monocytes.

Duke et al. (1985) reported that NDMA induced differentiation and activation of macrophages, e.g. i.p. treatment of female (C57BL/6 × C3H) mice with 1.5, 3.0 or 5.0 mg/kg bw per day altered the activity of bone marrow-derived macrophages and natural killer cells. As a result, the mice were more resistant against the formation of lung metastases by B16F10 melanoma cells, which had been injected i.v. In a highly similar experimental *in vivo* model the protective effect of NDMA on lung metastasis formation by B16F10 cells was reported by Thomas et al. (1985). On day 16, the animals showed increased NK cell activity and delayed hypersensitivity. Resistance to challenge with *Listeria monocytogenes*, *Trichinella spiralis* or Herpes simplex types I or 2 virus was not significantly impaired. The authors concluded that the elevated NK cell activity may account for these effects. Female B6C3F1 mice, receiving NDMA i.p. at 0.005 mg/kg bw per day for 2 weeks, were more resistant to bacterial challenges. The macrophages, isolated from these animals, showed increased superoxide anion production *in vitro* following stimulation with either phorbol myristate acetate or opsonised zymosan. Superoxide anion production by bone marrow-derived macrophages was also enhanced (Edwards et al., 1991).

Myers and Schook (1987) reported that macrophages, isolated from the bone marrow of female B6C3F1 mice, exposed i.p. to NDMA at 1.5 or 5.0 mg/kg bw per day for 2 weeks, showed enhanced cytotoxicity against L929 mouse fibroblasts. Based on several *in vitro* assays with the macrophages, the authors concluded that NDMA altered the differentiation, maturation as well as the function of these cells. In a further study of the same authors (Myers and Schook, 1987), NDMA-induced alterations in myelopoiesis were demonstrated, i.e. the generation of colony stimulating factor (CSF)-2 responsive colonies was increased, while the same cells exhibited a decreased proliferative response to CSF-1. Furthermore, sera of NDMA-treated mice showed a decreased CSF-1 activity.

Desjardins et al. (1992) treated female CD-1 mice with 1, 5, 10 and 20 ppm NDMA (equivalent to 0.15, 0.75, 1.5 or 3 mg/kg bw per day) via drinking water over a period of 15–120 days. Cellular immune response, monitored by allogeneic stimulation of cells in mixed lymphocyte reaction, was lowered by 1.5 and 3 mg/kg bw per day. The immunosuppression was reversed after stop of treatment.

Kunke and Strunk (1981) tested the NDMA effect in Hartley guinea pigs, receiving NDMA as a single injection of 5 mg/kg bw into the hind leg. Six days later, peritoneal macrophages were isolated and the *ex vivo* production of the second and fourth components of complement system (C2 and C4) was reduced.

To summarise, rats and mice exposed to NDMA and other *N*-NAs tested, exhibited a reduced humoral immune response while the cell-mediated immune response was suppressed or enhanced depending on the parameters investigated.

### 3.1.2.8. Other endpoints (e.g. neurotox, other, as appropriate)

No relevant data were retrieved from the literature.

## 3.1.3. Observations in humans

### 3.1.3.1. Dietary intake of N-NAs and cancer

In this section, epidemiological studies on cancer and N-NA intake were reviewed. Given the available evidence stemming from longitudinal and case-control studies with a large sample size, cross-sectional and ecological studies were not considered further due to the fact that they are more prone to specific types of bias and to their inherent limitations in identifying causal associations. A quality assessment of the studies was conducted using the Newcastle–Ottawa scale (Wells et al., 2021).

In all the studies on N-NAs and cancer assessed, selection bias, information bias and confounding were present to some degree. In addition, in all studies, N-NA intake was estimated from data obtained from food frequency and food history questionnaires. Food intake questionnaires are imperfect measures of exposure, and thus, misclassification of exposure is likely to occur. It is important to note that food frequency questionnaires are used to rank subjects according to food or nutrient intake, but not to estimate absolute levels of intake. Based on the exposure tools used in these studies and in the possibility of residual confounding by other exposure sources (e.g. smoking, occupation) and/or other unmeasured factors (e.g. Helicobacter for gastric cancer, fruits and vegetables intake, chemicals contained in meat other than N-NAs), the possibility of using data from these studies for hazard characterisation is limited. Therefore, these studies cannot be used to establish tumour target sites and reference points for N-NAs. However, these studies could support an association between N-NAs and cancer and they are discussed and summarised below. A detailed description of the studies (including confounding factors taken into consideration in the analysis) and their specific limitations are given in Tables F.9–F.12 and in Annex F.

#### 3.1.3.1.1. Cancers of the digestive system

##### Oesophageal cancer

In a large cohort study conducted in the Netherlands (N = 120,852) an increased risk was found for NDMA and oesophageal squamous cell carcinoma (T3 vs. T1, HR: 1.76; 95% CI: 1.07–2.90; p-trend = 0.01) (Keszei et al., 2013). In another cohort study conducted in the UK assessing various types of cancer, subgroup results for oesophageal cancer (55 cases), showed no significant association with NDMA intake (HR, per 1 SD increase: 1.13; 95% CI: 0.77–1.68) (Loh et al., 2011). In a case-control study conducted in the USA, NDMA intake was not statistically significantly associated with oesophageal cancer (T3 vs. T1, OR: 1.86; 95% CI: 0.87–3.95; p-trend = 0.063) (Rogers et al. 1995). In all three studies, smoking was considered in the multivariate models but the study of Keszei et al. (2013) was the only one that considered both intensity and duration of smoking.

In summary, two cohort studies and one case-control study examined the association between N-NA intake and oesophageal cancer. The large cohort study reported an association between N-NA intake and the subtype of squamous cell carcinoma.

##### Gastric cancer

In a Swedish cohort study (61,433 women), NDMA intake was significantly associated with overall gastric cancer risk (Q5 vs. Q1, HR: 1.96; 95% CI: 1.08–3.58; p-trend = 0.02) (Larsson et al., 2006). In a cohort study conducted in the UK (23,363), an increased risk (per 1 SD increase of NDMA, HR: 1.13; 95% CI: 1.00–1.28) was found for gastrointestinal tract cancer (oesophagus, stomach, colon, rectum). For stomach cancer alone an increased risk, although not statistically significant, was observed for NDMA intake (per 1 SD increase; HR: 1.13; 95% CI: 0.81–1.57;  $n_{\text{cases}} = 64$ ) (Loh et al., 2011). In a large cohort study conducted in ten European countries (N = 521,457), no association was found between NDMA and both cardia and non-cardia gastric cancer (HR: 1.0; 95% CI: 0.70–1.43) (Jakszyn et al., 2006). In a cohort study conducted in Finland (N = 9,985), no association was found for stomach cancer and NDMA (Q4 vs. Q1; HR: 0.75; 95% CI: 0.37–1.51) (Knekt et al., 1999). In a Dutch cohort study (N = 120,852), a statistically significant association with NDMA intake was found for gastric non-cardia adenocarcinoma (GNCA) only in men (HR: 1.06; 95% CI: 1.01–1.10.) No combined estimates were reported (Keszei et al., 2013).



Six case-control studies (Gonzalez et al., 1994; La Vecchia et al., 1995; Pobel et al., 1995; Rogers et al., 1995; De Stefani et al., 1998; Palli et al., 2001) investigated the role of NAs and gastric cancer. A case-control study conducted in Spain assessed the risk for gastric adenocarcinoma and found an increased risk (Q4 vs. Q1, OR: 2.09, CI not reported, p-trend = 0.007) (Gonzalez et al., 1994). In a case-control study conducted in Italy, high intake of NDMA was associated with an increased risk of gastric cancer (T3 vs. T1, OR: 1.40; 95% CI: 1.1–1.7; p-trend = < 0.01) (La Vecchia et al., 1995). In a case-control study conducted in France, an increased risk of gastric cancer was reported for the second (T2 vs. T1, RR: 4.13; 95% CI: 0.93–18.27) and third tertile (T3 vs. T1, RR: 7.0; 95% CI: 1.85–26.46, p-trend = 0.04) of dietary intake of NDMA (Pobel et al., 1995). In a case-control study in Uruguay high dietary NDMA intake was significantly associated with gastric cancer (T4 vs. T1, OR: 3.62; 95% CI: 2.38–5.51) (De Stefani et al., 1998). In a case-control study conducted in Italy, no association was found between NDMA (OR: 1.1; 95% CI: 0.8–1.5) and DMA (OR: 0.9; 95% CI: 0.7–1.3) and gastric cancer (Palli et al., 2001). In a case-control study conducted in Canada, no association was found between DMA and stomach cancer (OR: 0.94; 95% CI: 0.14–6.13) (Rogers et al., 1995).

In summary, an association between N-NA intake and gastric cancer was found in two of five cohort studies and in four of six case-control studies. However, most of these studies did not control for smoking to a sufficient extent and none controlled for the *Helicobacter pylori* status, an important risk factor for gastric cancer.

### Colorectal cancer

In a cohort study conducted in Finland (N = 9,985) an increased risk was found for NDMA intake and colorectal cancer (Q4 vs. Q1, RR: 2.12; 95% CI: 1.04–4.33; p-trend = 0.47) (Knekt et al., 1999). In a cohort study conducted in the UK (N = 23,363) on various types of cancer, subgroup results for rectum cancer (137 cases) showed an increased risk for NDMA intake (per 1 SD increase, HR: 1.46; 95% CI: 1.16–1.84) while for colon cancer no statistically significant association was found (per 1 SD increase; HR: 0.99; 95% CI: 0.83–1.18) (Loh et al., 2011). In a case-control study conducted in Canada, NDMA intake was associated with an increased risk of colorectum cancer (Q5 vs. Q1, OR: 1.42; 95% CI: 1.03–1.96; p-trend = 0.005) (Zhu et al., 2014).

In summary, all studies reported an association between NDMA intake and colorectal or rectal cancer. None of the cohort studies controlled for fruit and vegetable intake, an important risk factor for colorectal cancer, and none of the three studies controlled for other carcinogenic chemicals contained in meat.

### Pancreatic cancer

A large cohort study (N = 190,545) conducted in the USA showed an increased risk for pancreatic cancer for high N-NA intake (Q5 vs. Q1, HR: 1.26; 95% CI: 1.01–1.56; p-trend = 0.29) (Nöthlings et al., 2005). In a case-control study (N = 957 cases and 938 controls), high intake of NDMA from plant sources, but not from animal sources, was associated with pancreatic cancer (Q4 vs. Q1, OR: 1.93, 95% CI: 1.42–2.61, p-trend < 0.0001). In the same study, high intake of NDEA from both animal and plant sources (Q4 vs. Q1, OR: 2.28; 95% CI: 1.71–3.04, p-trend < 0.0001) was associated with an increased risk. No increased risk was found for NDBA and NPYR (Zheng et al., 2019).

In summary, a large cohort study and a case-control study reported an association between intake of some N-NAs and pancreatic cancer.

### Hepatocellular carcinoma

Zheng (2021) investigated in USA, the association between dietary N-NAs and HCC in a case control study. Cases (N = 827) were histologically or radiologically confirmed incident HCC cases from the MD Anderson Cancer Center in USA. Controls (N = 1,013) were spouses of other than liver cancer patients with no history of liver or GI or lung or head and neck cancers. An increased risk for HCC was found for high intake of NDMA from plant sources (Q4 vs. Q1, OR: 1.54; 95% CI: 1.01–2.34), and NPIP from animal sources (Q4 vs. Q1, OR: 2.52; 95% CI: 1.62–3.94, p-trend < 0.0001). No increased risk was found for total NDMA and NDMA from animal sources, NDEA, NDBA, NDPA, NMAMBA and NPYR.

In summary, a single large case-control study reported a significant association between dietary NDMA and NPIP and HCC.



### 3.1.3.1.2. Other cancer types

#### Head and neck cancer

One cohort study conducted in Finland (N = 9,985) investigated the association between NDMA and head and neck cancer and found no statistically significant association (Q4 vs. Q1, HR: 1.37; 95% CI: 0.50–3.74; p-trend = 0.43; n<sub>cases</sub> = 48) (Knekt et al., 1999).

A case–control study in the USA examined the relationship between NDMA intake and laryngeal cancer and found no statistically significant increased risk (T3 vs. T1; OR: 1.70; 95% CI: 0.91–3.18). In the same study, an association between high intake of NDMA and oral cancer was observed (T3 vs. T1; OR: 1.82; 95% CI: 1.10–3.00; p-trend = 0.118) (Rogers et al., 1995).

A case–control study conducted in Taiwan showed no association between N-NA intake in adulthood and nasopharyngeal carcinoma. An increased risk was found for total N-NA intake at the age of 3 years (OR: 2.6, 95% CI 1.0–7.0) and during the weaning period (OR: 3.9, 95% CI 1.4–10.4) (Ward et al., 2000).

In summary, no association was found between head and neck and laryngeal cancer in a cohort study and in one of the two case–control studies, respectively. An association for N-NA intake was found for oral cancer in the same case–control study and for nasopharyngeal cancer in the second case–control study but only when intake estimates were related to age three and the weaning period. However, the latter is associated with recall bias.

#### Brain cancer

In a cohort study (N = 230, 655) with combined data from three US prospective cohort studies, NDMA (Q5 vs. Q1, HR: 0.88; 95% CI: 0.57–1.36; p-trend = 0.73) as well as NPYR intake (T3 vs. T1, HR: 0.81; 95% CI: 0.62–1.05; p-trend = 0.93) were not associated with the risk of glioma (Michaud et al., 2009).

The case–control study conducted in Germany showed an increased risk for glioma (T3 vs. T1, OR: 2.8; 95% CI: 1.5–5.3, p-trend = 0.001), NPYR (T3 vs. T1, OR: 3.4; 95% CI: 1.8–6.4, p-trend ≤ 0.001) and NPIP (T3 vs. T1, OR: 2.7; 95% CI: 1.4–5.2, p-trend = 0.004). For meningioma, an increased risk was also found for high intakes of NDMA, NPYR and NPIP. However, it was statistically significant only for high intake of NPIP (T3 vs. T1 OR: 2.0; 95% CI: 1.0–3.8) (Boeing et al., 1993).

In a study conducted in Australia, an increased risk for glioma was found only for men (T3 vs. T1 OR: 1.78; 95% CI: 1.12–2.84). (Giles et al., 1994). In a small study conducted in USA and Canada, no association was found between maternal N-NA intake during pregnancy and the risk of astrocytoma in the offspring (Q4 vs. Q1, OR: 0.8; 95% CI: 0.4–1.6) (Bunin et al., 1994).

In summary, one large cohort study found no association while two of three case–control studies reported an association between N-NA intake and brain cancer.

#### Lung cancer

In a cohort study conducted in the UK (N = 23,363), which focused on various types of cancers, subgroup results for lung cancer showed no increased risk for NDMA intake (per 1 SD increase, HR: 1.05; 95% CI: 0.88–1.24, n = 235 cases) (Loh et al., 2011).

In a population case–control study on Hawaii on the relation between diet and lung cancer, an increased risk was found for high intake of NDMA among men (Q4 vs. Q1, OR: 3.3; 95% CI: 1.7–6.2, p-trend = 0.0006) and women (Q4 vs. Q1, OR: 2.7; 95% CI: 1.0–6.9, p-trend = 0.04) (Goodman et al., 1992).

Two case–control studies conducted in Uruguay showed an increased risk of lung cancer for N-NA intake. In the first study, high NDMA intake was associated with a threefold increase in risk for lung cancer (Q4 vs. Q1, OR: 3.14; 95% CI: 1.86–5.29, p-trend < 0.001). In a stratified analysis by histological type, the risk was higher for adenocarcinoma of the lung (Q4 vs. Q1, OR: 4.57; 95% CI: 1.88–11.1, p-trend < 0.001) (De Stefani et al., 1996).

The second study conducted by the same author but with a larger sample size (866 lung cancer cases and 1346 controls) confirmed the increased risk for lung cancer associated with high intake of N-NAs (Q4 vs. Q1, OR: 1.89; 95% CI: 1.30–2.73; p-trend = 0.0002) (De Stefani et al., 2009). However, in the two case–control studies by De Stefani et al. (1996, 2009) in which a stratified analysis was conducted by smoking status the association between N-NA intake and lung cancer was not confirmed for former and non-smokers.

In summary, three case–control studies reported an association between N-NA intake and lung cancer, but this association was not confirmed in the cohort study.

## Urinary tract cancer

In a cohort study (N = 481,419) that involved 10 European countries, no statistically significant association of dietary NDMA and bladder cancer was found (Q4 vs. Q1, HR: 1.12; 95% CI: 0.88–1.44; p-trend = 0.49) (Jakszyn et al., 2011).

In a case–control study in the USA (N = 1,660 cases and 3,246 controls), no association was found for high intake of N-NAs and bladder cancer (Q5 vs. Q1; OR: 1.03; 95% CI: 0.78–1.36; p-trend = 0.984). However, in a stratified analysis by smoking status, an increased risk, although not statistically significant, was found for high intake of N-NAs (OR: 1.52; 95% CI: 0.86–2.66; p-trend = 0.281) among non-smokers (Catsburg et al., 2014).

In a case–control study conducted in the USA, an increased risk for lower urinary tract cancer (90% bladder cancer) was observed only for men of Japanese ancestry (T3 vs. T1, OR: 3.0; 95% CI, 1.4–6.4, p-trend = 0.01) but not for Caucasians (Wilkins et al., 1996).

In summary, one cohort studies and one case–control study reported no association between N-NA intake and bladder cancer. A case–control study reported an association between N-NAs and the lower urinary tract cancer.

## Prostate cancer

In a cohort study conducted in 10 European countries (N = 139,005), NDMA intake was not associated with prostate cancer (Q5, vs. Q1, HR: 1.04; 95% CI: 0.92–1.18; p-trend = 0.95) (Jakszyn et al., 2012).

In a cohort study conducted in the UK (N = 23,363) looking at various types of cancer, subgroup results for prostate cancer, showed no significant association with NDMA intake (per 1 SD increase, HR: 1.01; 95% CI: 0.90–1.13) (Loh et al., 2011).

In summary, in two large cohort studies, no association between dietary N-NAs and prostate cancer was reported.

## Breast and ovarian cancer

A cohort study conducted in the UK (N = 23,363) showed no increased risk in various types of cancer with high NDMA intake (Q4, vs. Q1, HR: 1.10; 95% CI: 0.97–1.24). In a subgroup analysis by cancer type, no association was found between NDMA intake and breast cancer (per 1 SD increase, HR: 1.01; 95% CI: 0.84–1.20) or ovarian cancer (per 1 SD increase, HR: 0.96; 95% CI: 0.60–1.53) (Loh et al., 2011).

In summary, in one single cohort study, no association between dietary N-NAs and breast and ovarian cancer was reported.

Overall, many observational studies examined the possible associations between N-NA intake and several cancers. Some reported an association with oesophageal, gastric, colorectal, pancreatic, lung, low urinary tract and bladder, brain, oral, nasopharyngeal and liver cancer.

### 3.1.3.2. Dietary intake of N-NAs and endpoints other than cancer

No relevant data were found in the literature, except a case–control study that investigated the relationship between birth defects and maternal intake of N-NAs (Huber et al., 2013) and a case–control study that examined maternal dietary intake and gastroschisis (Torfs et al., 1998). In the study by Huber et al. (2013), cases were mothers (N = 6,544) who gave birth to babies affected by orofacial clefts, limb deficiencies or neural tube defects. Controls were mothers who gave birth to babies without congenital malformations (N = 6,807). After adjusting for maternal daily caloric intake, maternal ethnicity, education, dietary folate intake, high fat diet (> 30% of calories from fat) and state of residence, N-NA intake was not associated with birth defects (anencephaly, Q4 vs. Q1, OR: 1.06; 95% CI: 0.70–1.60; spina bifida Q4 vs. Q1, OR: 0.91 95% CI: 0.69–1.21-; Q4 vs Q1, encephalocele, OR: 1.13, 95% CI: 0.60–2.11; cleft lip, with or without cleft palate, Q4 vs. Q1, OR: 0.87, 95% CI: 0.73–1.04). Torfs et al. (1998) conducted a case–control study (55 cases; 182 controls) on gastroschisis (a congenital defect of the abdominal wall) and maternal nutrient intake during the trimester before conception. Cases were infants with a gastroschisis identified by the California Birth Defects Monitoring Program. Controls were infants randomly selected from birth records of the California Department of Vital Statistics from the same countries who were born without birth defects. Two control mothers were age-matched within a year to each case mother. A food frequency questionnaire (N = 100 food items) was used to assess their diet. After controlling for family income, mother's education level, ethnicity, exposure to solvents, smoking, alcohol,

recreational drug use and aspirin and/or ibuprofen, high intake of *N*-NAs was associated with an increased risk of gastroschisis (OR: 2.6, 95% CI: 1.3–5.4).

In summary, one case–control study reported an association between *N*-NA intake and gastroschisis (Table 10–13).

**Table 10:** Epidemiological studies on N-NAs and digestive system cancers: cohort studies

Study	Exposure levels $\mu\text{g/day}$	Population (n)	Cases (n)	Age years	Country	Follow-up years	Confounding factors	HR (95% CI)	Quality score*
Knekt et al. (1999)	NDMA (Q4 vs. Q1)	5,274 men	68 stomach	15–99	Finland	24	Age, sex, municipality, smoking, energy intake	0.75 (0.37–1.51)	7
	(no values)	4,711 women	73 colorectum					2.12 (1.04–4.33)	
Nöthlings et al. (2005)	N-NAs	190,545	482	45–75	USA	7	Age, sex, ethnicity, time on study, diabetes, smoking, energy intake, family history of pancreatic cancer	1.26 (1.01–1.56)	7
	Q5 vs. Q1	multiethnic	Exocrine pancreatic tumour						
Jakszyn et al. (2006)	NDMA (per 1 $\mu\text{g/day}$ increase)	153,447 men	314	35–70	10 European countries	6.6	Age, sex, BMI, education, alcohol, smoking, physical activity, energy intake, fruits and nitrites	1.00 (0.70–1.43)	6
		368,010 women	Adenocarcinoma						
Larsson et al. (2006)	NDMA	61,433 women	156	58–92	Sweden	18	Age, education, BMI, energy, alcohol, fruits, vegetables	1.96 (1.08–3.58)**	8
	Q5( $\geq 0.194$ ) vs. Q1( $< 0.041$ )		Stomach						
Loh et al. (2011)	NDMA	10,783 men	137 rectum	40–79	UK	11.4	Age, sex, education, smoking, alcohol, energy intake, physical activity, BMI, menopausal status for women	1.46 (1.16–1.84)	7
	per 1 standard deviation increase	12,580 women	276 colon					0.99 (0.83–1.18)	
			64 stomach					1.13 (0.81–1.57)	
			55 oesophageal					1.13 (0.77–1.68)	
Keszei et al. (2013)	NDMA	58,279 men	166	55–69	Netherlands	16.3	Age, smoking, BMI, education, energy intake, fruits, vegetables	Men, 0.94 (0.59–1.49)	8
	T3 (0.25) vs.***	62,573 women	Gastric cardia cancer					Women, 1.02 (0.33–3.14)	
	T1 (0.04)		497					Men, 1.31 (0.95–1.81)	
			Gastric non-cardia cancer					Women, 0.90 (0.58–1.42)	

Study	Exposure levels $\mu\text{g/day}$	Population (n)	Cases (n)	Age years	Country	Follow-up years	Confounding factors	HR (95% CI)	Quality score*
	per 0.1 increase							Men, 1.06 (1.01–1.10)**	
	T3 vs. T1		110					Men, 2.43 (1.13–5.23)**	
			Oesophageal squamous cell Carcinoma					Women, 1.21 (0.56–2.62)	
	per 0.1 increase							Combined 1.76 (1.07–2.90)**	
	per 0.1 increase							Men, 1.15 (1.05–1.25)	
	T3 vs. T1		151 Oesophageal Adenocarcinoma					Women, 1.34 (1.04–1.71)	
								Men, 0.87 (0.52–1.45)	
								Women, 0.92 (0.40–2.14)	

\*: Newcastle–Ottawa scale for quality assessment (nine stars reflect the highest quality); \*\*: p-trend; \*\*\*: values for men.

**Table 11:** Epidemiological studies on nitrosamines and digestive system cancers: case–control studies

Study	Exposure ( $\mu\text{g/day}$ )	Cases (n)	Controls (n)	Age years	Country	Confounders	OR (95% CI)	Quality score*
Risch et al. (1985)	NDMA	246	246	35–79	Canada	Total food intake, ethnicity	0.94 (0.14–6.13)	4
	10	Stomach	Community					
Gonzalez et al. (1994)	nitrosamines	354	354	31–88	Spain	Energy intake	2.09**	6
	Q4, > 0.23 ng	Adenocarcinoma gastric	Hospital					
	Q1, < 0.11 ng							
	Low vitamin C						1.98 (1.28–3.08)	
Rogers et al. (1995)	NDMA	125	458	20–74	USA	Age, sex, education, alcohol, smoking, BMI, energy intake	1.86 (0.87–3.95)	7
	T3 (> 0.179 )	Oesophageal	Community					
	vs. T1 (< 0.06 )							
Pobel et al. (1995)	NDMA	92	128	66.6	France	Age, sex, occupation, energy intake	7.0 (1.85–26.46)**	4



Study	Exposure (µg/day)	Cases (n)	Controls (n)	Age years	Country	Confounders	OR (95% CI)	Quality score*		
La Vecchia et al. (1995)	T3 (0.29)	Adenocarcinoma gastric	2 centres	66.5						
	T1 (0.19)									
	NDMA	746	2,053	19–74	Italy	Age, sex, education, family history, food score index, intake of β-carotene, vitamin C, nitrates, nitrites and total calories	1.4 (1.1–1.7)**	6		
	T3 (≥ 0.191)	gastric	hospital							
T1 (≤ 0.130)										
De Stefani et al. (1998)	NDMA	340	698	25–84	Uruguay	Age, sex, residence, smoking, urban/rural, mate consumption	3.6 (2.38–5.5)	7		
	Q4(≥ 0.27) vs. Q1(≤ 0.14)	gastric	hospital							
Palli et al. (2001)	NDMA	382	561							
	T3 (0.33) vs. T1 (0.12)	gastric	community	≤ 79	Italy	Age, sex, social class, family history, cancer, residence, BMI, energy intake	1.1 (0.8–1.5)	6		
	DMA									0.9 (0.7–1.3)
T3 (0.73) vs. T1 (0.22)										
Zhu (2014)	NDMA	1760	2,481	20–74	Canada	Age, sex, energy intake, BMI, alcohol, smoking, physical activity, non-steroidal anti-inflammatory drugs, education, dietary supplements	1.42 (1.03–1.96)**	7		
	Q5 (2.29) vs. Q1 (0.03)	Adenocarcinoma Colorectal	community							
Zheng et al. (2019)	NDMA***	957	938	61.9	USA	Age, sex, race, education, BMI, alcohol, History of diabetes, smoking, family history of pancreatic cancer	1.03 (0.78–1.37)	6		

Study	Exposure (µg/day)	Cases (n)	Controls (n)	Age years	Country	Confounders	OR (95% CI)	Quality score*
	Q4 (0.99) vs. Q1 (0.28)	Pancreatic ductal	hospital	60.2				
	NDMA (plant sources)	adenocarcinoma					1.93 (1.42–2.61)**	
	Q4 (0.12) vs. Q1 (0.04)							
	NDMA (animal sources)						1.17 (0.89–1.54)	
	Q4 (0.74) vs. Q1 (0.18)							
	NDEA***						2.28 (1.71–3.04)**	
	Q4 (0.12)							
	NDBA						0.64 (0.48–0.85)	
	Q4 (4.54) vs. Q1 (0.80)							
NPYR						0.79 (0.60–1.05)		
Q4 (0.25) vs. Q1 (0.09)								
Zheng et al. (2021)	NDMA ***	827	1,013	all age groups	USA	Age, sex, race, education, BMI, alcohol, smoking diabetes, HCV, HBV, total calories	0.80 (0.53–1.21) 6	
	Q4 (1.20) vs. Q1 (0.28)	Hepatocellular						
	NDMA (plant sources)	Carcinoma					1.54 (1.01–2.34)	
	NDMA (animal sources)						1.26 (0.83–2.41)	
	NDEA Q4(0.12) vs. Q1 (0.04)						1.10 (0.72–1.69)	
	NDEA (plant sources)						1.58 (1.03–2.41)	
	NDEA (animal sources)						0.92 (0.60–1.41)	
	NDBA Q4 (4.57) vs. Q1 (0.75) +						0.39 (0.25–0.61)**	
	NDPA Q4 (0.32) vs. Q1 (0.04) +						0.88 (0.56–1.39)	
	NMAMBA						1.24 (0.81–1.87)	
Q4 (0.024) vs. Q1 (0.006)								
NMAMBA (plant sources)						1.54 (1.01–2.35)		

Study	Exposure (µg/day)	Cases (n)	Controls (n)	Age years	Country	Confounders	OR (95% CI)	Quality score*
	NMAMBA (animal sources)						0.83 (0.53–1.28)	
	NPYR Q4 (0.25) vs. Q5 (0.09)						0.89 (0.58–1.37)	
	NPYR (plant sources)						0.75 (0.49–1.16)	
	NPYR (animal sources)						1.04 (0.68–1.59)	
	NPIP Q4 (0.02) vs. Q1 (0.005) +						2.52 (1.62–3.94)**	

\*: Newcastle–Ottawa scale for quality assessment (nine stars reflect the highest quality); \*\*: p-trend; \*\*\*: per 1,000 kcal/day.

**Table 12:** Epidemiological studies on N-NAs and other cancer: cohort studies

Study (type)	Exposure levels µg/day	Population (n)	Cases (n)	Age years	Country	Follow-up years	Confounders	HR (95% CI)	Quality score*
Knekt et al. (1999)	NDMA (Q4vsQ1)	5,274 men	48	15–99	Finland	24	Age, sex, municipality, smoking, energy intake	1.37 (0.50–3.74)	7
	(no values)	4,711 women	Head and neck						
Michaud et al. (2009)	NDMA (Q5 vs. Q1)	47,897 men	335	25–75	USA	14–24#	Age, calorie intake, calendar year	0.88 (0.57–1.36)	7
	NPYR (T3 vs. T1)	182,758 women	glioma					0.81 (0.62–1.05)	
	different values*** for each cohort								
Jakszyn et al. (2011)	NDMA	481,419	1,001	35–70	10 European	8.7	Education, BMI, smoking, total energy intake	1.12 (0.88–1.44)	7
	Q4 (≥ 0.19) vs. vs. Q1 (0.05)		bladder		countries				

Study (type)	Exposure levels µg/day	Population (n)	Cases (n)	Age years	Country	Follow-up years	Confounders	HR (95% CI)	Quality score*
Jakszyn et al. (2012)	NDMA Q1 (0.045) vs	139,005 men	4,606 prostate	35–70	10 European countries	11	Education, marital status, BMI, smoking, protein from dairy, total energy intake	1.04 (0.92–1.18)	7
	Q5 (0.87)								
Loh et al. (2011)	NDMA Q4 (0.126) vs. Q1 (0.017)	10,783 men 12,580 women	3,268 total cancers	40–79	UK	11.4	Age, sex, education, smoking, alcohol, energy intake, physical activity, BMI, menopausal status in women	1.10 (0.97–1.24) Men, 1.18 (1.00–1.40) Women, 1.05 (0.86–1.29)	7
	per SD						Age, sex, education, smoking, alcohol, energy intake, physical activity, BMI, menopausal status in women, vitamin C	1.06 (1.01–1.12) Men, 1.09 (1.03–1.16) Women, 1.05 (0.96–1.16)	
	per SD		423 Breast 461 Prostate 235 Lung				Age, sex, education, smoking, alcohol, energy intake, physical activity, BMI, menopausal status in women	1.01 (0.84–1.20) 1.01 (0.90–1.13) 1.05 (0.88–1.24)	
			80 Ovarian					0.96 (0.60–1.53)	
			Others §					1.11 (1.03–1.19)	

\*: Newcastle–Ottawa scale for quality assessment (nine stars reflect the highest quality); \*\*: p-trend; \*\*\*: NDMA, Q5 (0.09/0.08), NPYR, T3 (0.03/0.02); #: 3 cohort studies; §: melanoma, bladder, corpus uteri.

**Table 13:** Epidemiological studies on N-NAs and other cancer: case control studies

Study	Exposure (µg/day)	Cases (n)	Controls (n)	Age years	Country	Confounders	OR (95% CI)	Quality score*
Goodman et al. (1992)	NDMA	226 men	597	30–84	Hawaii	Age, ethnicity, smoking and beta-carotene	3.3 (1.7–6.2)**	7
	Q4 (0.70) vs. Q1 (0.07)	100 women lung cancer	268		USA		2.7 (1.0–6.9)**	
De Stefani et al. (1996)	NDMA	320	320	30–89	Uruguay	Age, sex, residence, family history of lung cancer, BMI, smoking and energy intake	3.14 (1.86–5.29)**	7
	Q4 (≥ 0.27)	lung cancer	hospital					
De Stefani et al. (2009)	Nitrosamines	866	1,346	30–89	Uruguay	Age, residence, family history, BMI, smoking, energy intake, vegetables, fruits, non-meat fatty foods, reduced glutathione	1.89 (1.30–2.73)**	8
	Q4 (> 12.2 )	lung cancer	hospital					
	Q1 (≤ 5.4)	males	males					
Wilkens et al. (1996)	Nitrosamines	195 men	390 men	30–93	Hawaii	Age, smoking, employment in a high-risk occupation, dark green vegetables in men model, and vitamin C intake in the women model.	3.0 (1.4–6.4)***	8
	T3 vs. T1 (no values)	66 women	132 women		USA		1.9 (0.6–5.8)	
		Lower urinary Tract cancer	Community					
Catsburg et al. (2014)	Nitrosamines	1,307 men	1,237 men	25–64	USA	BMI, smoking, ethnicity, education, history of diabetes, vegetable intake, vitamin A, vitamin C, carotenoid, total serving of food per day	1.03 (0.78–1.36)	8
	Q5 (≥ 54.5) vs. Q1 (≤ 14.6)	353 women bladder cancer	349 women community					
		never smokers					1.52 (0.86–2.66)	
Boeing et al. (1993)	NDMA	115 glioma	418	25–75	Germany	Age, sex, smoking, alcohol intake	2.8 (1.5–5.3)**	6
	NPYR		Community				3.4 (1.8–6.4)**	
	NPIP						2.7 (1.4–5.2)**	
	NDMA	81 meningioma					1.4 (0.7–2.6)	
	NPYR						1.8 (0.7–0.33)	



Study	Exposure (µg/day)	Cases (n)	Controls (n)	Age years	Country	Confounders	OR (95% CI)	Quality score*
	NPIP						2.0 (1.0–3.8)	
	T3 vs. T1 (no values)							
Bunin et al. (1994)	Nitrosamines	115 astrocytic glioma	155 community	6 years or less	USA, Canada	income level	0.8 (0.4–1.6)	3
Giles et al. (1994)	NDMA	416 glioma	409 community	20–79	Australia	Alcohol, smoking		6
	T3 vs. T1 (no values)	243 males	243 males				1.78 (1.12–2.84)	
		166 females	166 females				1.45 (0.78–2.68)	
Rogers et al. (1995)	NDMA	169 laryngeal	458 community	20–74	USA	Age, sex, education, alcohol, smoking, BMI, energy	1.70 (0.91–3.18)	7
	T3 (> 0.179) vs. T1 (< 0.06)	351 oral					1.82 (1.10–3.0)	
Ward et al. (2000)	Nitrosamines	375 nasopharyngeal	327 community	< 75 years	Taiwan	Age, gender, ethnicity, vegetables intake		5
	Q4 vs. Q1							
	Age 3						2.6 (1.0–7.0)	
	Weaning						3.9(1.4–10.4)	

\*: Newcastle–Ottawa scale for quality assessment (nine stars reflect the highest quality); \*\*: p-trend; OR only for Japanese ancestry.

### 3.1.3.3. Intake of N-NAs via drug contamination and cancer

During the last decade, N-NAs were detected as impurities in various pharmaceuticals. Putative sources are side reactions from drug syntheses, the breakdown of unstable drug compounds and/or contamination from recycled solvents used in manufacturing. The concentration was generally low with several exceptions (EMA, 2020).

The Panel is aware of epidemiological studies that investigated the association between cancer and NDMA contaminated drugs such as Ranitidine (Adami et al., 2021; Cardwell et al., 2021; Kim et al., 2021; Yoon et al., 2021) and Valsartan (Pottegård et al., 2018; Gomm et al., 2021). The detailed description of these studies is provided in Appendix F.3. These studies are of interest for the present opinion due to the uptake of NDMA via the GI tract and a documentation of the degree of NDMA contamination of the prescribed/used drugs in some of the studies.

Adami et al. (2021) conducted a retrospective cohort study within the Danish Prescription Registry and compared the risk of cancer (oesophageal, stomach, liver, pancreatic cancer) among first users of histamine-2 receptor blockers (H2RBs). Ranitidine and users of proton pump inhibitors (PPIs) or others H2RBs. No association was observed between use of Ranitidine and cancer types, except for oesophageal adenocarcinoma (Ranitidine vs. others H2RBs, HR: 1.30; 95% CI: 1.01–1.68) (Ranitidine vs. PPIs, HR: 1.27; 95% CI: 1.04–1.56). However, when the analysis was restricted to those with at least 10 prescriptions and 10 years of follow-up no association was found. Cardwell et al. (2021) conducted a nested case-control study within the Scottish Primary Care Clinical Informatics Unit Research database and an increased risk of bladder cancer was found among Ranitidine users, compared to no users (OR: 1.22; 95% CI 1.06–1.40; p-trend = 0.005). Yoon et al. (2021) conducted a cohort study using the Health Insurance Review and Assessment database in South Korea and found no association between use of ranitidine and overall cancer risk (HR 0.99, 95% CI 0.91–1.07, p = 0.716). Kim et al. (2021) conducted a study, using the Korean database IBM and found an inverse association between ranitidine use and risk of cancers of the oesophagus, stomach, liver, pancreas and colon/rectum. Pottegård et al. (2018) conducted a cohort study to investigate the association between the use of contaminated Valsartan products with NDMA and risk of cancer and found no increased risk for overall cancer (HR: 1.09, 95% CI: 0.85–1.41) and cancer subtypes. Gomm et al. (2021), in a large cohort study found no association between exposure to NDMA-contaminated Valsartan and the overall risk of cancer (HR: 1.01; 95% CI: 0.99–1.03). However, a statistically significant association between exposure to NDMA-contaminated Valsartan and hepatic cancer (HR 1.16; 95% CI: 1.03; 1.31) was observed.

In summary, the evidence is limited and inconsistent on the association between NDMA contaminated drugs and cancer and the studies conducted so far have many methodological shortcomings (e.g. short follow-up time, no control for compliance of use and lack of control for important confounding factors such as smoking).

### 3.1.4. Mode of action

#### 3.1.4.1. The developmental stage and N-NA-induced carcinogenesis

NDMA, NDEA, NDPA, NDBA and NPPIP showed transplacental carcinogenic effects in the offsprings of treated dams in several rat, mouse and/or hamster strains (for details, see Section 3.1.2.6.1). There are few mechanistic studies on transplacental carcinogenesis by N-NAs, including studies documenting the transplacental transfer and bioactivation of NDMA and other N-NAs in tissues of rodent and primate offsprings (for details, see Section 3.1.1). Mohr et al. (1983) described carcinogenesis by NDEA in the F1 generation of Syrian golden hamsters after the compounds had been applied to mothers in the second half of pregnancy. Morphological investigations revealed that the trachea was most affected. Furthermore, the tracheal tissue harboured chromosomal aberrations. The first stages of tumour formation in the trachea were already observed in the prenatal stage (Emura et al., 1980). In an ex vivo culture model, the NDEA-treated hamster fetal tracheal epithelial developed hyperperplasias, squamous metaplasias or dysplasias (Emura et al., 1980).

Post-partial exposure may occur via mother milk, as shown by Spielhoff et al. (1974). For details, see Section 3.1.2.6.1. Mohr et al. (1972) treated nursing Syrian hamsters by s.c. applications of NDEA at 5, 10 or 20 mg/kg bw per day from the first to the 30th day post-delivery. Tumour formation was observed in the respiratory tract in the F1 generation.

Vesselinovitch et al. (1984) studied the impact of post-natal age on NDEA-induced carcinogenesis in male and female mice. When treatments started on post-natal days 1 or 15, significantly more liver

tumours (mostly HCC) were found when compared to groups with start of treatment at post-natal day 47. The authors suggested that the age-associated susceptibility to DNA-induced hepatocarcinogenesis may be based on the high-cell replication rate in post-natal livers. These assumptions are supported by the fact that partial hepatectomy and the subsequent wave of regenerative DNA synthesis enhanced hepatocarcinogenesis by *N*-NAs (Craddock, 1975). Pound and Lawson (1975) described that tumorigenesis in rat livers was enhanced when NDMA was applied 1, 6 or 12 h after partial hepatectomy and was still increased if NDMA was given after an interval of 24–72 h. A two-third hepatectomy was more efficient than a one-third hepatectomy.

To conclude, the documented transplacental transfer and bioactivation of *N*-NAs in fetal tissues provide a mechanistic explanation for the transplacental carcinogenic effects of NDMA, NDEA, NDPA, NDBA and NPIP in rodents. Furthermore, a high rate of cell replication in the liver of neonatal animals is increasing the susceptibility towards the carcinogenic activity of *N*-NAs.

### 3.1.4.2. Strength, consistency and specificity of the association of the key events and cancer in humans

*N*-NAs found in food require metabolic activation by CYP enzymes to exert their toxic and carcinogenic effects, as summarised in Section 3.1.1 on toxicokinetics and DNA adduct formation. As further summarised in that section, human CYP2E1, CYP2A6 and CYP2A13 are the main enzymes involved in metabolic activation of *N*-NAs commonly found in food (NDMA, NDEA, NPYR and NPIP). So there is no doubt that this process leading to DNA adduct formation can occur in human tissues in which these CYP enzymes are present. The expression of CYPs in various human tissues has been summarised by Guengerich (2015). CYP2E1 is expressed in liver, lung, oesophagus, small intestine, and brain, CYP2A6 in liver, nasal mucosa, trachea, lung, and oesophagus and CYP2A13 in nasal mucosa, trachea, lung, liver, urinary bladder, bronchi, brain and mammary gland. Consistent with the expression of CYP2E1 in liver, *N*7-Me-Gua and *O*<sup>6</sup>-Me-Gua, DNA adducts known to be formed upon metabolism of NDMA and other *N*-nitrosomethyl compounds, have been identified in the liver of a person who was murdered by an overdose of NDMA (Herron and Shank, 1980). Other studies, summarised in Section 3.1.1, have shown that various human tissues including bronchus, oesophagus, bladder, colon and pancreatic duct can metabolically activate *N*-NAs found in food. As noted above, some of these tissues – bronchus, oesophagus and pancreas – are also known to have CYPs that can metabolise *N*-NAs, but further studies on extrahepatic tissues are required. In general, the observations described here are consistent with the conclusion that *N*-NAs found in food could cause cancer in humans.

Another important aspect is the possible effect of ethanol on *N*-NA metabolism and disposition in humans. As outlined in Section 3.1.1.1, several studies demonstrated that in experimental animals, co-application of *N*-NA and ethanol may reduce the hepatic first pass clearance of certain *N*-NAs resulting in an increased exposure of extrahepatic tissues (Swann et al., 1984, Anderson et al., 1986, 1995; Chhabra et al., 2000). Few observations point to a similar effect of ethanol in humans, e.g. NDMA was found in blood from individuals consuming meals with alcoholic beverages but not in the absence of alcohol (Swann et al., 1987). Human volunteers received orange juice containing NDMA in the presence or absence of ethanol. In the urine, NDMA concentrations were found only when the juice was co-administered with ethanol (Spiegelhalter and Preussmann, 1984). This indicates a considerable effect of ethanol on NDMA hepatic first-pass clearance, consistent with the metabolism of both ethanol and NDMA by CYP2E1. It remains to be studied whether other dietary habits and/or medication may impact on the distribution and metabolism of *N*-NA in humans.

### 3.1.4.3. *N*-NA target tissues in different mammalian species

In rodents, the liver is the main target tissue, followed by the upper GI and respiratory tract. Human epidemiological studies relating *N*-NAs in food to human cancer report on statistically positive associations between NDMA and NDEA intake and cancer. One large cohort study found a positive and significant association between exposure to a NDMA-contaminated antihypertensive drug and the risk of hepatic cancer, but this observation requires confirmation by independent studies (Gomm, 2021). This indicates that, epidemiological studies have not consistently identified target tissues that would have been expected based on studies of *N*-NA-treated laboratory animals.

Research is necessary on the presence of the relevant CYP enzymes in human tissues. As an example, comparative *in vitro* studies show a wide variation among species in their capacity to metabolise NDMA in the same organ. Thus, formation of *N*7-alkylguanine was more extensive in liver slices from Syrian golden hamsters than – in decreasing order – in rats, humans, monkeys and trout (Montesano and Hall, 1984). In addition, a remarkable species, organ and substrate specificity of

mixed-function oxidases in their capacity to activate *N*-NAs has also been reported, e.g. the ability of human colonic epithelial to metabolise NDMA, while the intestine of rodents cannot do it to any significant extent (Harris et al., 1982). Species, organ- and cell type differences have also been ascribed to DNA repair enzymes such as *O*<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT), which transfers the methyl group from *O*<sup>6</sup>-methylguanine in DNA to a cysteine residue on the protein. As an example, MGMT was found to be about 10 times more active in human liver than in rodent liver (Pegg et al., 1982).

There may be additional differences between human and laboratory animal systems that are relevant to these questions but have not yet been elucidated, and some studies in laboratory animals have yielded results that are not fully explained by the mechanisms cited above. As noted in Section 3.1.2.1.1 on metabolism and toxicokinetics, there are some differences in the pharmacokinetics of NDMA in dogs and pigs vs. that in rats and mice which suggest substantial extrahepatic metabolism of this *N*-NA in dogs and pigs. The metabolic pathways and reactive intermediates formed from the higher dialkyl-nitrosamines such as NDPA, and the cyclic *N*-NAs such as NPYR are complex and there are no data available on DNA adducts of these *N*-NAs in human tissues. Further research is necessary on the metabolic fate and DNA damage in human tissues of *N*-NAs found in food.

NDMA metabolism is saturable by high dosages or the co-exposure to other CYP2E1 substrates (e.g. ethanol, isopropyl alcohol, etc.), as mentioned above in Section 3.1.4.1. Under these conditions, NDMA clearance is greatly reduced and a higher amount of the *N*-NA escapes the liver and may be distributed to extrahepatic organs. In a recent development, whole genome sequencing (WGS) has provided valuable information on putative target tissues of *N*-NAs. Mutations in liver tumours arising in mice exposed to NDEA by i.p. injection were investigated by WGS (50 neoplasms from 33 individual C3H mice). Mutational signatures were compared between liver tumours from NDEA-treated and untreated mice and human HCCs (Connor et al., 2018). Carcinogen-induced neoplasms in mice harbour high numbers of single-nucleotide variants in comparison to spontaneous neoplasms (mutation rates of 28.4 vs. 9 per megabase (Mb)). The main mutational classes were AT > TA transversions and AT > GC transitions. These base substitutions are consistent with the mutagenic properties of NDEA identified in relatively short-term bioassays. NDEA-induced HCC and dysplastic nodules show notably similar mutational profiles which are distinct from the mutational portrait of neoplasms arising spontaneously in untreated mice. A comparison of the NDEA mutational portraits with signatures identified in a typical cohort of human HCC indicate that the first ones are characterised by a remarkable homogeneity while the latter show a large diversity. These data indicate that human liver cancer has much more complex mutational signatures than that identified in mice following exposure to a single *N*-NA.

In a second comparative study between NDEA-induced HCC in C57Bl6 mice and four different human HCC cohorts, it was confirmed that NDEA-induced HCC were characterised by (a) an excess of AT > TA transversions and (b) a much higher burden of somatic mutations (~ 27 mutations per megabase) in comparison to human cohorts (2–6 mutations per megabase). In addition, the NDEA mutational signature was unique and had never been observed in human cancer (Dow et al., 2018).

In a study of NDEA-induced hepatocarcinogenesis in rats that allowed to also capture developing stages of inflammation and cirrhosis, the HCC stage again carried the highest mutation burden (52.3 missense mutations per megabase). The mutational spectra were characterised by GC > AT transitions and AT > TA transversions. The identified mutational signatures were present in several types of human cancer and only two were present in liver cancer (Chen et al., 2020).

Finally, providing indirect evidence stemming from molecular and epidemiological data, a recent whole-exome<sup>19</sup> sequencing study included 900 colorectal cancer (CRC) cases nested within three large U.S.-wide prospective studies (namely the Nurses' Health Studies (NHS) I and II and the Health Professionals Follow-up Study; total sample size, more than 230,000 women and 50,000 men) with extensive baseline and repeated lifestyle and FFQ-based dietary information, long follow-up and limited attrition (Gurjao et al., 2021). Comparison with the Catalogue of Somatic Mutations in Cancer (COSMIC) Single Base Substitution (SBS) signatures database<sup>20</sup> indicated the highest similarity with four known mutational processes, namely POLE deficiency (SBS10), ageing (SBS1), deficient mismatch repair (SBS15, SBS26) and exposure to alkylating agents (SBS11). The aetiology of the alkylation-like signature was further addressed by analysing the methylation status of the MGMT promoter in a CRC

<sup>19</sup> The exome is composed of all of the [exons](#) within the [genome](#)

<sup>20</sup> <https://cancer.sanger.ac.uk/signatures/sbs/>

subset; methylated MGMT promoters was overrepresented in the tumours bearing the SBS11 alkylation signature. Moreover, colorectal cancers enriched with the alkylating signature (compared to other tumours) exhibited KRAS p.G12D, KRAS p.G13D or PIK3CA p.E545K-mutant colorectal tissue. Prognosis-wise, patients whose tumours have high alkylation damage had a worse colorectal cancer-specific survival. It is notable that the SBS11 signature had been previously indirectly placed to normal colonic crypts contributing to the landscape of the somatic evolution of the human colonic tissue from health to cancer; a sporadic signature (named SBSC) was found among the signatures of multiple mutational processes in morphologically normal tissue and matched closely to SBS23, which resembles SBS11 (Lee-Six et al., 2019). These findings support the conclusion that DNA alkylation, in particular of O6-alkylguanine, is involved in the development and progression of CRC. Moving to a connection with dietary intake, the SBS11 signature was the only signature found to be associated with statistical significance with high intakes of processed (according to IARC a carcinogen for humans; Group1) or unprocessed red meat, but not with other dietary variables and lifestyle factors (body mass index, alcohol consumption, smoking and physical activity). Finally, seeking to establish a link between the alkylating signature and dietary NOCs, SBS11 was originally discovered in patients with prior exposure to temozolomide, an alkylating anti-cancer agent which induces the same distribution of alkylated bases in DNA as the simpler dietary N-NAs and in the same proportions.

#### 3.1.4.4. N-nitrosamines and epigenetic modification contributing to carcinogenesis

In cancer and cancer pre-stages, silencing of **tumour suppressor genes** has been reported to occur through methylation of **cytosines** at **CpG sites** in the promoter region of the respective gene. In addition, DNA methylation is frequently associated with modifications of repressive **histone** marks, including methylation of histones H3K9 and H3K27 and **deacetylation** of lysine residues. Epigenetic inactivation was found to affect frequently DNA repair enzymes such as *hMLH1*, *BRCA1*, *FANCF* or *MGMT* (Toyota and Suzuki, 2010).

In case of silenced *MGMT*, unrepaired O6-MeG adducts may have dramatic biological consequences, such as cytotoxicity, G:C to A:T transition mutations, clastogenicity and carcinogenicity. These consequences have been documented in experimental rodent models with inactivated *MGMT* showing enhanced sensitivity towards the carcinogenic activity of alkylating agents, like N-nitroso-N-methylurea (Fahrer and Kaina, 2013).

In humans, silencing of *MGMT* has been found in many different cancer entities, such as colon, lung, oesophageal and liver cancer and also in tumour pre-stages of colon, lung and liver, e.g. colorectal cancer and the precursor lesions often show a coincidence of epigenetically silenced *MGMT* and of G:C to A:T transition mutations in the *KRAS* oncogene (Fahrer and Kaina, 2013). Therefore, it appears likely that the silencing of *MGMT* may be an early event in carcinogenesis leading to an increased frequency of mutations and overall elevated genomic instability. In case of exposure with an alkylating N-NAs, this epigenetic alteration will most probably enhance N-NA induced formation and progression of cancer.

Some studies investigated whether alkylating agents per se may induce or extend epigenetic modifications of important tumour suppressor genes. In N-nitrosobis(2-hydroxypropyl)amine-induced adenocarcinomas of the rat lung, several tumour suppressor genes were found to be inactivated by aberrant promoter hypermethylation, such as *CDKN2A*, *CDH1*, *TSLC1*, *DAL1* and *RASSF1A* (Tsujiuchi et al., 2014). Liu et al. (2010) studied epigenetic alterations of *DAPK1*, *FHIT*, *RASSF1A* and *SOCS3* in lung tumorigenesis, induced by a combination of 3-methylcholanthrene and NDEA. All four genes were unaltered in normal or hyperplastic tissue but became increasingly methylated the further the lesions had progressed from squamous metaplasia to dysplasia, carcinoma in situ and finally to the stage of an infiltrating carcinoma.

Wang et al. (2023) studied the impact of N-NAs on alternative splicing of fibroblast growth factor receptor 2 (FGFR2) to the FGFR2IIIc variant which activates epithelial-mesenchymal transition via PI3K-AKT pathways. The alternative splicing is regulated by the lncRNA UCA1. In oesophageal squamous carcinoma from areas with elevated urinary NDEA concentration, UCA1 expression was significantly decreased. Downregulated UCA1 was associated with the malignant transformation of Het-1A cells and precancerous lesions of the rat oesophagus, both induced by NMBzA. The authors deduced that N-NAs may downregulate UCA1, targeting the FGFR2/PI3k-AKT axis and driving the progression of oesophageal carcinoma.

Bhattacharya et al. (2016) studied the histone H2A and reported that the mono-ubiquitination of this histone and cellular transformation were inversely related in NDEA-induced hepatocellular



carcinoma of male Sprague-Dawley rats. The decrease in mono-ubiquitinated histone H2A may be due to an altered activity of the deubiquitinase Usp21.

Overall, there is evidence that epigenetic modifications occur early in *N-NA*-induced carcinogenesis. However, it remains unclear whether *N-NAs* are causally involved in the formation of these epigenetic alterations or rather in the selection for tumour cells with silenced MGMT and other tumour suppressor genes.

### 3.1.4.5. The mutagenic and carcinogenic potency of *N-NAs*

There have been several attempts to rank the carcinogenic potency of *N-NAs* according to their genotoxicity/mutagenicity. To exert genotoxic potential, the key step is metabolic activation by  $\alpha$ -hydroxylation and the subsequent formation of highly reactive diazonium ions, which form DNA-adducts. The details are given in Section 3.1.1. There is consensus that a lower number of  $\alpha$ -hydrogens, substitution of the  $\alpha$ -hydrogen, branched, bulky or un-metabolisable groups at or near the  $\alpha$ -carbon may reduce or eliminate reactivity with DNA. The structure and the stability of the specific diazonium ion (methyldiazonium, ethyldiazonium, etc.) and the resulting DNA adduct depend on the chemical structure of the individual *N-NA*. The relative alkylation rates of DNA are highest for the methyldiazonium ion, followed by the ethyl- and propyldiazonium ions (Manso et al., 2008). The different adducts are repaired by various cellular repair mechanisms exhibiting differing capacities and velocities as well accuracy. As a result, many studies report that acyclic *N-NAs* with dimethyl- and diethyl-groups are more genotoxic and mutagenic than *N-NAs* with longer chains and cyclic *N-NAs* (Preussmann and Stewart, 1984). To give one example, in an *in vitro* study on comparative mutagenicity of various *N-NAs* in V79 cells, the microsome-mediated mutagenic potency of *N-NAs* of different chain lengths, such as methyl, ethyl and n-propyl, was found to be inversely related to the number of carbons in the aliphatic chain. The mutagenicity of the heterocyclic NMOR, NPYR and NPIP was clearly lower than those with short aliphatic chains (Kuroki et al., 1977).

The study of the vast database of carcinogenic effects of *N-NAs* has pointed to evidence fundamentally similar to that provided by the study of mutagenic effects. It confirms that the presence of an  $\alpha$ -hydrogen is critical for bioactivation and carcinogenesis of *N-NAs*. Most non-carcinogenic or weakly carcinogenic *N-NAs* either have sterically or electronically hindering substituents at, or in the vicinity, of the  $\alpha$ -carbon, or have highly hydrophilic substituents.

The genotoxic/mutagenic potency does not always reflect the carcinogenic potency of *N-NAs*. For example, the extent of induced DNA strand breaks, as measured by alkaline elution, was investigated in the liver of rats orally exposed to increasing doses of *N-NAs* (Brambilla et al., 1987). A linear dose dependence was observed for all tested *N-NAs*. The authors reported that the DNA damaging potency for eight *N-NAs* followed the order: NDMA NMEA, NDEA > NMOR, NDBA > NDPA, NPYR and NPIP. For these *N-NAs*, there was, however, a relatively poor correlation between their liver DNA-damaging ability and their hepatocarcinogenic potency. A significant increase in DNA breaks, as measured by a different test, i.e. the comet assays, was also reported in the liver of i.p. treated mice (Tsuda et al., 2000). Although the DNA damaging potency followed a slightly different order (NDMA, NMEA, NDEA > NMOR=NPIP > NDBA, NDPA > NPYR), the same ranking was observed for the first *N-NAs* (NDMA, NMEA, NDEA, NMOR).

Looking more closely at the mutagenic and hepatocarcinogenic activity of several *N-NAs*, the BMDL<sub>10</sub> of NDMA and NDEA, range between 0.010 and 0.035 mg/kg bw per day (see Section 3.1.7). These two *N-NAs* form very similar DNA adducts including *N*7-Me-Gua, *O*<sup>6</sup>-Me-Gua, *N*7-EtGua and *O*<sup>6</sup>-EtGua. The consequences of these DNA adducts have been extensively documented both *in vitro* and *in vivo*. *O*<sup>6</sup>-Me-Gua and *O*<sup>6</sup>-EtGua have well-characterised miscoding properties, which can be reversed by the MGMT repair protein. NMOR, however, is significantly less mutagenic than NDMA, NMEA and NDEA although its BMDL<sub>10</sub> of 0.014 mg/kg bw per day lies in the same range as that of NDMA and NDEA. The mechanisms of metabolic activation and DNA adduct formation by NMOR are incompletely characterised. Only one NMOR DNA adduct is known. It is identical to that formed from glyoxal, with a very different kind of chemistry (and presumably also different biology) to that of NDMA and NDEA. To date, this NMOR-DNA adduct has not been identified in tissues of rats treated with NMOR. Although there is no doubt about the carcinogenic potency of NMOR, the mechanistic aspects are far less clear. In this, it resembles other cyclic *N-NAs*.

Several scientific approaches were taken to group or rank *N-NAs* according to their tumorigenic potency. One possibility is the use of harmonic means of the TD<sub>50</sub> using the TD<sub>50</sub> values of the most sensitive tumour target of each positive study. Peto et al. (1984) used actuarially adjusted life table tumour data to compensate reduced survival at high toxic doses and to prevent underestimation of the

real tumour incidence. For further details, see Sections 3.1.2.4.1. Gold et al. (1991) comprised the data in CPDB containing TD<sub>50</sub> values for > 100 *N*-NAs. Based on the TD<sub>50</sub>, the potency in the CPDB is ranked as follows: NDEA (0.0265) > NMEA (0.0503) > NDMA (0.0959) > NMOR (0.109) > NMA (0.142) > NDPA (0.186) > NDBA (0.691) > NPYR (0.799) > NPIP (1.1; please note that there is a mistake in the database and the value has been recalculated).

The TD<sub>50</sub> may provide a reliable reference value where the studies are well documented and comprise at least three dose groups including a low-dose group and a sufficient number of animals per dose and sex. However, most of the *N*-NA studies (e.g. studies on NDPA and NDBA) in the CPDB address only one or two dose groups.

To partly address these problems, the Lhasa carcinogenicity database (LCDB)<sup>21</sup> derives TD<sub>50</sub> values from CPDB by applying additional criteria, such as exclusion of data sets with a single dose group, no dose–response or non-linear dose–response curves and/or TD<sub>50</sub> values of > 1.000 g/kg bw per day. Furthermore, a method which was independent of life table tumour data was applied (Thresher et al., 2019). Nevertheless, the correlation between CPDB and LCDB TD<sub>50</sub> values is high (Thresher et al., 2020). According to the LCDB, the ranking of the TD<sub>50</sub> of the carcinogenic *N*-NAs is as follows (given in mg per kg bw and day): NDEA (0.0177) > NMA (0.106) > NMOR (0.135) > NDMA (0.177) > NPIP (1.12) > NPYR (2.02) > NHPYR (7.65) > NDPheA (167).

Based on experimental data, cancer slope factors (CSFs) are calculated as parameters for quantitative risk assessment of carcinogenic chemical compounds. CSFs provide a measure of the cancer risk of lifetime exposure and are expressed in units of proportion of a population affected per mg of a substance per kg body weight and day. The greater the slope factor, the greater is the risk for cancer. According to the last update (October 2020) of the California Office of Environmental Health Hazard Assessment (OEHHA) Toxicity Criteria Database,<sup>22</sup> the current ranking of CSFs is as follows: NDEA > NMEA > NDMA > NDBA > NPIP > NDPA > NMOR > NPYR > NDPheA.

In summary, attempts to group *N*-NAs according to their mutagenic and/or tumorigenic potencies provided partly differing results. Nevertheless, there is convincing evidence regarding the high mutagenic and tumorigenic potencies of NDEA, NDMA and NMEA and probably also NMOR.

### 3.1.5. Identification of critical effects

#### 3.1.5.1. Acute effects

With regard to human intoxications, two fatal cases of suspected NDMA poisoning are documented. However, information on the dose and the mode and duration of uptake is lacking. Based on the extent of hepatic DNA adducts in one of the victims, it was estimated that the dose was probably greater than 20 mg/kg bw (Herron and Shank, 1980). In humans, there are no further reports on acute *N*-NAs intoxications with documentation or estimation of the dose.

Acute toxicity studies were conducted for NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP, NPYR and NPRO in several rodent species. The lowest LD<sub>50</sub> values of acyclic *N*-NAs ranged between 17.7 mg/kg bw of NDMA (hamster, s.c. application) and 3,500 mg/kg bw for NSAR (mouse, i.p. application). For the cyclic *N*-NAs, the lowest LD<sub>50</sub> doses reached from 60 mg/kg bw of NPIP (rat, i.v. application) to > 2,000 mg/kg bw of NDPheA (rat, gavage). For details, see Section 3.1.2.1.

The liver is the main target for the acute effect of most of the acyclic and cyclic *N*-NAs, tested so far. The acute symptoms, described in experimental animals, may be due to the metabolic activation of *N*-NAs to highly reactive intermediates which may form adducts not only with DNA, but also with RNA (Lee and Lijinsky, 1966), proteins or other macromolecules (Kroeger-Koepke et al., 1981a,b). This may cause disruption and loss of the integrity of cell membranes, leading to cytotoxicity and cell death in the organs with high activity of the respective CYP isoforms (Preussmann and Stewart, 1984). Accordingly, for NDMA, NDEA and NMOR, the necrosis was predominant in the centrolobular region of the hepatic lobule, at the site with high expression of the CYP isoforms.

Among all *N*-NAs tested, the lowest dose required to induce acute adverse effects in experimental animals, was 1.9 mg/kg bw of NDMA, which induced hepatocellular vacuolation and necrosis in rats. This dose is far above the NDMA dose causing cancer in the liver of experimental animals (see also Section 3.1.2.4.1). Likewise, the carcinogenic doses of other *N*-NAs are considerably lower than those eliciting acute effects. Therefore, the CONTAM Panel is considering acute toxicity of *N*-NAs to be of no relevance for the risk assessment of *N*-NAs.

<sup>21</sup> <https://www.lhasalimited.org/products/lhasa-carcinogenicity-database.htm>

<sup>22</sup> <https://oehha.ca.gov/air/crrm/technical-support-document-cancer-potency-factors-2009>

### 3.1.5.2. Genotoxicity

For most dialkyl and cyclic *N*-NAs, the initial and critical step for genotoxicity is an  $\alpha$ -hydroxylation step catalysed by CYP 2E1 and other CYP isoenzymes. This process generates – via intermediates – highly reactive electrophiles which may form DNA adducts (for details, see Section 3.1.1).

Regarding the acyclic volatile *N*-NAs, NDMA, NMEA, NDEA and NDPA lead to gene mutations in bacteria and mammalian cells *in vitro* and in the liver of transgenic animals. The major mutational class was GC > AT transitions consistent with O<sup>6</sup>-alkylguanine being the main pre-mutagenic adduct induced by these *N*-NAs. Micronucleus formation in the liver provided direct evidence for chromosomal damage by all four compounds. In addition to liver damage, all these *N*-NAs induced DNA strand breaks in several organs. NDIPA and NMBA were mutagenic in bacteria in the presence of metabolic activation, but *in vivo* genotoxicity data are lacking. The genotoxicity of NEIPA and NMVA has remained undefined. Both compounds have an  $\alpha$ -hydrogen suitable for hydroxylation, suggesting a genotoxic potential.

In the group of acyclic non-volatile *N*-NAs, NDBA induced mutations in *S. Typhimurium* and increased levels of DNA breaks in several organs. NDBZA showed micronuclei formation in rat liver and increased hepatic mutation frequencies in lacZ transgenic MutaMouse system. The predominant NDBZA-induced mutations were mainly GC > TA transversions probably due to unidentified DNA adduct (s). NMA was moderately mutagenic in *S. Typhimurium*. The DNA adducts responsible for the base substitutions induced by NMA are not yet identified. *In vitro* studies showed that NMAMBA was mutagenic in bacteria (*S. Typhimurium*), requiring metabolic activation. The genotoxicity of NDIBA, NEA, NMAMPA and NSAR has remained undefined. NDIBA, NEA, NMAMPA and NSAR harbour  $\alpha$ -hydrogens suitable for hydroxylation, suggesting that the compounds may be genotoxic.

Considering cyclic/aromatic *N*-NAs, the following conclusions were drawn: (i) NMOR, NPIP and NPYR were mutagenic *in vitro* and *in vivo* and increased levels of DNA strand breaks in several mouse organs, such as stomach, colon, liver, lung, kidney and urinary bladder. The clastogenic potential of NMOR is documented by micronuclei formation in the bone marrow. (ii) NTHZ, NHPYR and NHMTHZ were found to be mutagenic in several *S. Typhimurium* strains. NTHZ induced positive responses also in a repair deficient rec-strain of *Bacillus subtilis* and in a DNA repair assay in primary rat hepatocytes. Genotoxicity studies on NMTHZ were not reported. Based on a structural similarity to NPYR, a genotoxic potential may be assumed for NMTHZ. (iii) Information on the genotoxicity of NPRO and NHPRO is limited to *in vitro* tests with *S. Typhimurium* which provided negative results. NPRO and NHPRO show a cyclic structure substituted with a hydrophilic carboxyl moiety probably impairing absorption and enhancing secretion. In metabolic studies, NHPRO and NPRO were found mostly unchanged, indicating a lack of metabolic pathways to generate DNA reactive species. (iv) NTCA was not mutagenic in several strains of *S. Typhimurium* with or without metabolic activation. No data are available for NMTCA and NHMTCA. NTCA, NMTCA and NHMTCA are all characterised by a cyclic structure substituted with a hydrophilic carboxyl moiety, which may impair absorption and facilitate excretion. No genotoxicity data are available for NOCA, NMOCA and NPIC. Based on chemical similarity with NHPRO, NPRO and existing metabolic and mutagenicity data, it can be assumed that NTCA, NMTCA, NHMTCA, NOCA, NMOCA and NPIC are unlikely to be genotoxic. (v) The genotoxicity of NDPheA was studied to an insufficient extent to draw any conclusions. Details on the genotoxicity of the acyclic and cyclic *N*-NAs are given in Section 3.1.2.3.

Overall, the CONTAM Panel concluded that there is (i) evidence for *in vitro* and *in vivo* genotoxicity of NDMA, NMEA, NDEA, NDPA, NDBA, NDBZA, NMOR, NPIP and NPYR; (ii) evidence for genotoxicity limited to *in vitro* studies for NDIPA, NMBA, NMA, NMAMBA, NTHZ, NHPYR and NHMTHZ; (iii) indirect evidence (gained by read-across and SAR analyses) that NEIPA, NMVA, NDIBA, NEA, NMAMPA, NSAR and NMTHZ may exert genotoxic activity; (iv) experimental and/or indirect evidence (gained by read-across and SAR analyses) that NPRO, NHPRO, NTCA, NMTCA, NHMTCA, NOCA, NMOCA and NPIC may not exert significant genotoxic activity; and (v) no sufficient information to conclude on the genotoxic potential of NDPheA.

### 3.1.5.3. Carcinogenicity

Clear experimental evidence for carcinogenicity was obtained for all acyclic volatile *N*-NAs (NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA, NMVA) and for several acyclic non-volatile *N*-NAs (NDBA, NDIBA, NMA, NMAMBA, NSAR). The acyclic non-volatile *N*-NAs NEA and NMAMPA were not tested in long-term carcinogenicity assays. For NDBZA, one long-term study was reported in 1967 which did not show carcinogenic activity. However, based on structural alerts and/or similarities to other carcinogenic

*N*-NAs, for all three acyclic non-volatile *N*-NAs carcinogenicity has been predicted. Among the group of cyclic/aromatic *N*-NAs, few compounds (NMOR, NPIP, NPYR, NHPYR, NDPheA) were found to be carcinogenic in long-term animal assays, while NPRO, NHPRO and NPIC did not show any carcinogenic activity *in vivo*. The remaining cyclic/aromatic *N*-NAs (NTHZ, NMHTZ, NHMTHZ, NTCA, NMTCA, NHMTCA, NOCA, NMOCA) were not tested in long-term studies. Based on chemical similarities and existing metabolic and mutagenicity data (Section 3.1.2.5.1), (i) NTHZ, NMHTZ, NHMTHZ are predicted to be carcinogenic and (ii) NTCA, NMTCA, NHMTCA, NOCA, NMOCA may not pose a carcinogenic risk. Therefore, the CONTAM Panel considered the carcinogenic activity for 23 of 32 *N*-NAs evaluated in this opinion, i.e. NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA, NMVA, NDBA, NDIBA, NMA, NEA, NMAMPA, NMAMBA, NSAR, NMOR, NPIP, NTHZ, NPYR, NHPYR, NMTHZ, NHMTHZ and NDPheA.

Conflicting data were obtained for NDBzA. One long-term animal experiment provided no evidence for carcinogenicity, despite positive genotoxicity data.

Liver is the main site of cancer induction in studies on NDMA given orally to rat, mouse, hamster, guinea pig, rabbit, mink, blue fox, duck, rainbow trout, guppy, frog, mastomys and newt (Preussmann and Stewart, 1984). Also, NMEA, NDEA, NMOR, NPIP and NPYR exert carcinogenic effects mainly in the liver, as observed in many different experimental animal species. The mechanistic base is the intrahepatic activation of these *N*-NAs to unstable intermediates which produce promutagenic DNA adducts. Furthermore, the detoxification reactions do not compete effectively with metabolic activation in the liver. At high doses, the metabolic capacity of the liver may be overwhelmed leading to tumour formation also in extrahepatic tissues (see also Section 3.1.1). In rodents, the carcinogenic effects of NMBA, NMVA, NMA, NMAMBA and NSAR are evident mainly in the oesophagus and of NDPA, NDIPA, NEIPA, NDBA and NDIBA in many different organs and tissues. The reasons for the predominantly extrahepatic tumour formation by NMBA, NMVA, NMA, NMAMBA and NSAR are unclear.

Human epidemiological studies (for details, see Section 3.1.3) provided no clear associations between dietary NDMA or NDEA intake and cancer in digestive organs or at any other site. Likewise, the evidence for an association between exposure to *N*-NAs contaminated drug and hepatic cancer have so far remained inconclusive. Section 3.1.4 discussed whether species-specific differences in the activities of the relevant CYP enzymes and DNA repair enzymes or other not yet identified mechanisms in *N*-NA exposed tissues might account for the divergence in the target tissues.

#### 3.1.5.4. Developmental, reproductive toxicity and transplacental carcinogenicity

There is experimental evidence that NDMA and NDEA cross the placental barrier in hamsters and NDMA also in *Erythrocebus* monkeys. In the latter species, *O*<sup>6</sup>-Me-Gua adducts were found in DNA of liver and other organs of the fetus, indicating the capacity for metabolic activation of NDMA in fetal tissues of primates. NDMA, NDEA, NDPA, NDBA and NPIP showed *transplacental carcinogenic* effects in the offsprings of treated dams in several rat, mouse and/or hamster strains (for details, see Section 3.1.2.6.1). The lowest carcinogenic dose in F1 of each of the *N*-NAs tested ranged from 3.4 mg/kg bw per day of NDMA (given to dams by gavage on GD 1-22) and 100 mg/kg of either NDPA or NPIP (applied to dams as single s.c. application between GD 1 and 21).

In dependence on the experimental design of the developmental toxicity study, NDMA, NDEA, NDPA, NDBA, NMA and NDPheA reduced pre- and perinatal survival of offsprings in rat, mouse and/or hamster. Dams were treated mostly on single or several days during gestation. In very few studies, the treatment started before mating and/or was prolonged during lactation. The lowest dose of each of the *N*-NAs tested with adverse effects in F1 ranged from 0.015 mg/kg bw per day of NDMA, applied to murine dams via drinking water 75 days before mating until birth, to the single gavage of 200 mg/kg bw of NDEA to pregnant rats (for details, see Section 3.1.2.6).

Malformations were not reported for the *N*-NAs tested with the exception of NMA, which induced microphthalmia, exencephaly or syndactyly when dams received 140 mg/kg bw via single i.p. application between GD 1-20.

With regard to reproductive toxicity, there are few reports that high doses of NDMA and NDEA induce testicular necrosis in rats and rabbits (for details, see Section 3.1.2.6.2). As a result, testosterone levels and numbers of mature spermatozoa were decreased.

To conclude, reports on transplacental carcinogenesis as well as developmental and reproductive toxicity show effects of *N*-NAs, tested at high doses in several rodent species. However, the studies often applied one dose only, do not cover several critical phases and are limited in number and quality to conclude on potential risks for human health.

Overall, the CONTAM Panel considers the carcinogenicity of NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA, NMVA, NDBA, NDIBA, NMA, NEA, NMAMPA, NMAMBA, NSAR, NMOR, NPIP, NTHZ,



NPYR, NHPYR, NMTHZ, NHMTHZ and NDPheA as the critical endpoint to estimate the possible risks posed to human health by *N*-NA intake via food. With the exception of NDPheA, a genotoxic mode of carcinogenic action is assumed for these *N*-NAs.

As reported in Section 3.2, 13 *N*-NAs were found to occur in food, i.e. NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP, NPYR, NPRO, NTCA and NMTCA. Ten of these 13 *N*-NAs exert carcinogenic activity, i.e. NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR. These 10 carcinogenic *N*-NAs (TCNAs) are of relevance for the assessment of risk to human health related to the presence of *N*-NAs in food.

### 3.1.6. Dose–response analysis

As outlined in Section 3.1.5, the 10 carcinogenic *N*-NAs occurring in food (TCNAs) are of relevance for the risk assessment, i.e. NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR (TCNAs).

The following studies report on the most sensitive endpoints for the TCNAs and may enable dose–response analyses.

NDMA increased the occurrence of liver tumours at 0.002 or 0.003 mg/kg bw per day in male and female Wistar rats, respectively. The compound was applied via drinking water over lifetime (Brantom, 1983). The liver tumours comprised hepatocellular nodules, adenoma and carcinoma as well as biliary cystadenoma. The study comprises 15 different dose levels between 0.002 and 1.47 mg/kg bw per day and 60 males and females per dose and has been considered suited for dose–response analyses by BMD modelling.

NMEA induced liver tumours, including HCC, when male F344 rats received the compound at 0.2 mg/kg bw per day for 30 weeks (Lijinsky and Reuber, 1980). However, the study comprised no untreated control and only two dose levels. No studies on NMEA, starting with low dose levels and suited for a dose–response analyses, were identified.

In two independent studies, NDEA induced hepatocellular tumours at 0.002–0.007 mg/kg bw per day in female rats (Brantom, 1983; Berger et al., 1987). In Berger et al. (1987), NDEA increased the percentage of male Sprague Dawley rats with tumours in the liver and the GI and urinary tract when exposed for life to the lowest dose of this study, i.e. 0.007 mg/kg bw per day via drinking water. This study applied three dose levels and 80 males per dose group over the lifetime of the animals. The most sensitive endpoint was reported by Brantom (1983), i.e. the occurrence of liver tumours at 0.003 mg/kg bw per day in female Wistar rats, treated via drinking water over lifetime. The histology of the tumours was described as hepatocellular nodules, adenoma and carcinoma, and biliary cystadenoma. The study comprises 15 different dose levels between 0.002 and 1.47 mg/kg bw per day and 60 males and females per dose. Based on these outstanding experimental settings, the study by Brantom (1983) was considered more suited for dose–response analyses by BMD modelling than the study by Berger et al. (1987).

For NDPA, the most sensitive endpoint was the tumour occurrence in different organs in female F344 rats, treated with 0.62 mg/kg bw per day via gavage for 30 weeks (Lijinsky et al., 1983). However, the study included only one dose level, allowing no dose–response analyses. No study, starting with low dose levels and suitable for deriving a dose–response relationship, was identified.

NDBA was tested in one dose study (Lijinsky et al., 1983); at 3.9 mg/kg bw per day, the occurrence of benign and malignant tumours in many organs (urothelium, lung, liver, oesophagus, forestomach, nasal cavity) was increased in male F344 rats, treated for 30 weeks via gavage. No study, starting with low-dose levels and suited for a dose–response analyses, was identified.

For NMA, the most sensitive endpoint identified was tumour formation in the oesophagus in male and female SD rats treated with 0.3 or 1.4 mg/kg bw per day via s.c. application for 24 weeks (Schmähl, 1976). In a parallel study, NMA was applied at two different dose levels via drinking water which induced keratinised squamous cell carcinoma in the oesophagus. The lowest tumorigenic dosage is not clearly documented but presumably was 0.3 mg/kg bw per day. No study being suitable for a dose–response analyses, was identified for NMA.

In a one dose study with no negative control group included, NSAR caused the formation of CA in the oesophagus of rats, treated with 100 mg/kg bw per day (Druckrey et al., 1967). In a one-dose study with a negative control group, 375 mg/kg bw per day in the diet induced tumours of the nasal region, lung and small intestine in mice. Analyses of dose–response relationships were not possible.

The most sensitive endpoint for NMOR was reported for female F344 rats developing liver tumours (benign and malignant tumours combined) at 0.003 mg/kg bw per day and HCC at 0.039 mg/kg bw



per day (Lijinsky et al., 1988). The study comprises nine doses ranging from 0.003 to 3.571 mg/kg bw per day, applied via drinking water to more than 20 female F344 rats per dose group for up to 100 days. Based on these experimental settings, the study was selected for dose–response analyses by BMD modelling.

In two independent studies, NPYP enhanced the formation of malignant liver tumours at 0.02–0.074 mg/kg bw per day in male and female rats (Peto et al., 1984; Gray et al., 1991; Eisenbrand et al., 1980). In the study of Peto et al. (1984) and Gray et al. (1991), the formation of malignant liver tumours (not specified which liver cell type) in male and female Colworth rats started around 0.074 mg/kg bw per day, showing a clear dose dependency. The lowest dose was 0.009 and the highest 4.6 mg/kg bw per day, applied to six animals per sex and group. Eisenbrand et al. (1980) used four doses (0.02–2.1 mg/kg bw per day) and 17–39 male and female SD rats per group. The malignant liver tumours were specified as HCC. Due to the high number of animals per group, the Eisenbrand et al. (1980), study was considered better suited for BMD analyses than the study of Peto et al. (1984) and Gray et al. (1991).

The most sensitive endpoint for NPYP was the occurrence of hepatocellular adenoma as well as tumours in the GI tract in male SD rats, when treated with 0.1 mg/kg bw per day for the entire lifespan (Berger et al., 1987). The study comprises a negative control, three dose levels from 0.03 to 0.3 mg/kg bw per day and 80 animals per group. In comparison to the other reports on NPYP, the Berger et al. (1987), study was considered adequate for BMD modelling calculations.

To conclude, well-documented dose–response studies with a negative control group are available for NDMA, NDEA, NMOR, NPYP and NPYP.

### 3.1.7. Benchmark dose modelling

Data on five carcinogenic *N*-NAs, mentioned above, i.e. NDEA, NDMA, NMOR, NPYP and NPYP, occurring in food allow for dose–response modelling of liver tumour incidence (benign and malignant tumours combined) which has been identified as the most critical effect following oral exposure of animals to these *N*-NAs (see Section 3.1.6). A BMD analysis was performed using the EFSA web tool, which is based on the R-package PROAST 70.0. following updated guidance from the EFSA Scientific Committee on BMD modelling (EFSA Scientific Committee, 2017b). A detailed description of the BMD analysis performed by the Panel can be found in Annex B. The default benchmark response (BMR) for quantal data were selected, i.e. an extra risk of 10%. Using model averaging, the resulting lowest BMD, BMDL<sub>10</sub>, for the liver tumour incidence was for **NDEA** 10 µg/kg bw per day (Brantom, 1983), **NDMA** 35 µg/kg bw per day, **NMOR** 14 µg/kg bw per day, **NPYP** 127 µg/kg bw per day and **NPYP** 62 µg/kg bw per day.

For NDEA, the AIC (Akaike information criteria) of the models available (minimum AIC) is more than two units larger than that of the full model. This may indicate a problem in the data, in particular when the difference is much larger than two units (e.g. > 5). Due to the high number and range of doses used in the selected study (Brantom, 1983), high mortality might interfere with the endpoint selected (liver tumour development) at higher doses and individual outlying animals distort the response of one or more treatment groups. This could lead to fluctuations in the responses among treatment groups at higher doses that are larger than expected from random sampling error, resulting in an AIC difference with the full model larger than 2 units. However, since the scatter in responses does not result in a wide BMD CI no further action was considered. The BMD CI with full data set is robust (10–21 µg/kg bw per day) and a reference point of 10 µg/kg bw per day was derived for NDEA. In addition, if the BMDL<sub>10</sub> analysis is limited to the lowest five doses from the Brantom (1983), study, the fitting of the model is acceptable and the BMDL<sub>10</sub> remains very similar (BMDL<sub>10</sub> 8.6 µg/kg bw per day). Finally, in accordance with Brantom (1983) study, the dose–response analysis from another study with a lower number of doses (Berger et al., 1987) resulted in acceptable fits and gave a BMDL<sub>10</sub> value of 28 µg/kg per day.

### 3.1.8. Use of BMDL and TD<sub>50</sub> data for possible grouping of carcinogenic potency

The CONTAM Panel has also been considering the carcinogenic potency values of *N*-NAs quantified by TD<sub>50</sub> values, as reported by the CPDB (Gold et al., 1991). The TD<sub>50</sub> values indicate the lifetime dose at which tumours would be observed in 50% of animals which would have remained tumour-free without treatment. The TD<sub>50</sub> values are averages calculated by the harmonic mean of the most potent TD<sub>50</sub> values taken from target organs in each positive experiment for a specific species. In case of one positive experiment only, the most potent TD<sub>50</sub> value from the experiment is reported. If more than

one experiment was positive, the TD<sub>50</sub> value gives the harmonic mean of the most potent TD<sub>50</sub> value from each positive experiment for a selected tumour target site.

BMDL<sub>10</sub>–BMDU<sub>10</sub> and the BMDL<sub>50</sub>–BMDU<sub>50</sub> ranges calculated from the selected, high-quality rat carcinogenicity studies were contrasted and complemented with the TD<sub>50</sub> values present in CPDB. TD<sub>50</sub>s not available in CPDB were predicted by read across (see Section 3.1.2.5.1). The considered values are summarised in Table 14.

**Table 14:** TD<sub>50</sub>s and BMD values (when available) for carcinogenic N-NAs occurring in food

N-NAs	TD <sub>50</sub> mg/kg/day	BMDL <sub>10</sub> –BMDU <sub>10</sub> mg/kg per day any liver tumour	BMDL <sub>50</sub> –BMDU <sub>50</sub> mg/kg per day any liver tumour	Reference for BMD analyses
NDMA (Av)	0.0959	0.035–0.063 (f)	0.110–0.137 (f)	Brantom (1983)
NMEA (Av)	0.0503			
NDEA (Av)	0.0265	<b>0.0100.021</b> (f)	0.036–0.063 (f)	Brantom (1983)
NDPA (Av)	0.186			
NDBA (Anv)	0.691			
NMA (Anv)	0.142			
NSAR (Anv)	0.982 <sup>(a)</sup>			
NMOR (Cv)	0.109	0.014–0.038	0.076–0.113	Lijinsky et al. (1988)
NPIP (Cv)	1.11	0.062–0.213	1.2–5.34	Eisenbrand et al. (1980)
NPYR (Cv)	0.799	0.127–0.264	0.361–1.3	Berger et al. (1987)

Av: acyclic volatile; Cv: Cyclic volatile; Anv: Acyclic non-volatile; f: females (if not indicated sex values are averaged for both sexes).

(a): Predicted.

Comparison of TD<sub>50</sub> and BMDL<sub>10</sub> values pointed to a similar trend in potency, going from smaller, simpler and mostly volatile N-NAs (more potent) to larger, more complex and mostly non-volatile ones (less potent).

Despite the variability of the original carcinogenicity data, NDEA, NMEA, NDMA and possibly NMOR are in the group of highest concern by any criterion while the remaining N-NAs may have somewhat lower carcinogenic potency.

In a conservative approach for the risk characterisation, a carcinogenic potency equal to NDEA, which induces rat liver tumours (benign and malignant) at the lowest BMDL<sub>10</sub> value, will be attributed to the remaining nine N-NAs in Table 14 (see Section 3.4). Additionally, in an alternative approach, the ratio between the lowest BMDL<sub>10</sub> of the group with the highest concern (0.010 mg/kg day for NDEA, NMEA, NDMA and NMOR) and the lowest BMDL<sub>10</sub> of the remaining N-NAs (0.062 mg/kg per day for NDPA, NDBA, NMA, NPYR, NPIP, NSAR) was used to estimate a potency factor of 0.2.

## 3.2. Occurrence data

### 3.2.1. Occurrence data on food submitted to EFSA

For the dietary exposure assessment of N-NAs, analytical results on N-NAs in food were used as stored in the EFSA occurrence database by 3 July 2021. In total, 2,817 analytical results were available, which were provided by the national authorities of four MSs: Czech Republic (n = 1334), Denmark (n = 891), Germany (n = 514) and Hungary (n = 78) between 2003 and 2021. The number of results reported per year and per country are shown in Table C.2 in Annex C. Data availability (number of samples and percentage of left-censored data), i.e. results below the limit of detection (LOD) or quantification (LOQ) per compound and food category at the level 1 of the Foodex2 classification are detailed in Table 15. The raw occurrence data set on N-NAs as extracted from the EFSA data warehouse is available at the EFSA Knowledge Junction community in Zenodo.<sup>23</sup>

The high percentage of left-censored data for several compounds and food categories could be due to the absence of these compounds but be also due to LODs and LOQs that are higher than the concentration in which these substances are present in food and water. To address this uncertainty, left-censored data were treated using the substitution method as recommended in the 'Principles and Methods for the Risk Assessment of Chemicals in Food' (WHO, 1996). This is the same method as

<sup>23</sup> <https://zenodo.org/record/7616501#.Y-KH8XbMLD4>

indicated in the EFSA scientific report 'Management of left-censored data in dietary exposure assessment of chemical substances' (EFSA, 2010a). The guidance suggests that the lower bound (LB) and upper bound (UB) approach should be used for chemicals likely to be present in the food (e.g. naturally occurring contaminants, nutrients and mycotoxins). The LB is obtained by assigning a value of zero (minimum possible value) to all samples reported as lower than the LOD ( $< \text{LOD}$ ) or LOQ ( $< \text{LOQ}$ ). The UB is obtained by assigning the numerical value of the LOD to values reported as  $< \text{LOD}$  and of the LOQ to values reported as  $< \text{LOQ}$  (maximum possible value), depending on whether LOD and/or LOQ are reported by the laboratory. In addition, the middle bound (MB) was obtained by assigning the numerical value of  $\text{LOD}/2$  to values reported as  $< \text{LOD}$  and  $\text{LOQ}/2$  to values reported as  $< \text{LOQ}$  (maximum possible value), depending on whether LOD and/or LOQ are reported by the laboratory.

Based on the analytical results, a mean LB, MB and UB occurrence value was calculated at each level of the FoodEx2 classification. Details on the available occurrence data at the different FoodEx2 classification levels are provided in Table C.3 in Annex C.

**Table 15:** Number of analytical results (N) and % of left-censored (% LC) data per N-NA compound and per food category at the level 1 of the Foodex2 classification in the EFSA database

N-NA	Alcoholic beverages		Coffee, cocoa, tea and infusions		Fish, seafood, amphibians, reptiles and invertebrates		Grains and grain-based products		Meat and meat products		Seasoning, sauces and condiments		Water and water-based beverages		Total N
	N	% LC	N	% LC	N	% LC	N	% LC	N	% LC	N	% LC	N	% LC	
<b>NDMA</b>	405	58	88	19	43	100	9	100	171	91	13	46	79	100	<b>808</b>
<b>NMEA</b>	60	100			43	100	9	100	144	100					<b>256</b>
<b>NDEA</b>	73	99			43	98	9	100	171	99					<b>296</b>
<b>NDPA</b>	60	100			43	100	9	100	144	87					<b>256</b>
<b>NDBA</b>	73	97			43	100	9	100	144	100					<b>269</b>
<b>NMOR</b>	73	96			43	100	9	100	45	100					<b>170</b>
<b>NPIP</b>	73	100			43	100	9	100	144	78					<b>269</b>
<b>NPYR</b>	73	100			43	100	9	100	170	95					<b>295</b>
<b>NTCA*</b>									99	8					<b>99</b>
<b>NMTCA*</b>									99	53					<b>99</b>
<b>Total</b>	<b>890</b>		<b>88</b>		<b>344</b>		<b>72</b>		<b>1,331</b>		<b>13</b>		<b>79</b>		<b>2,817</b>

\*: Compounds non-carcinogenic and therefore not included in the final risk assessment.

### 3.2.2. Occurrence data on food selected from the literature

A total of 239 scientific papers from between 1976 and 2021 from EU and non-EU countries, concerning the occurrence of *N*-NA compounds in food and water were retrieved using the criteria included in the protocol as well as additional expert searches.

The following criteria were then applied to identify data of a sufficient quality to be considered in the exposure assessment:

- 1) Data provided by articles published in peer-reviewed journals
- 2) Products sampled from the market
- 3) Data having sufficient information on the food category to be classified within the FoodEx classification
- 4) Analytical data with sufficiently low LODs/LOQs and reported for individual *N*-NAs

With the above criteria, 41 relevant published articles out of 239 were retrieved and reviewed.

In total, more than 10,000 analytical results were available for 14 *N*-NAs from 14 food categories at the level 1 of the FoodEx2 classification and for 62 foods at the level 3 of the FoodEx2 classification. Details on the available literature data are provided in Table C.5 in Annex C.

For the dietary exposure assessment of *N*-NAs, relevant analytical results on *N*-NAs in food from the literature search reported in Table C.5 of Annex C were selected according to the following additional quality criteria:

- 1) Products sampled from 1990 onwards
- 2) Data with information on the percentage of left censorship and the total number of samples analysed
- 3) Data with defined information about the mean concentration of *N*-NAs calculated on all available analytical results (data with results expressed as undefined range/interval i.e.  $< 0.1$ ,  $0.1 < C < 1.0$ , etc. or mean concentration calculated only on quantified analytical results were not taken into consideration).
- 4) Non-EU data for food products not produced in EU were also considered (e.g. cocoa)

An exception to the criteria 2 and 3 was made for data included in Yurchenko and Mölder 2006 for processed fish as no other data source was available with the exception of NDEA.

The same exception was made for data on cooked unprocessed meat available in Yurchenko and Mölder (2007) to be included in one of the exposure scenarios to take into consideration the effect of cooking on *N*-NAs formation.

Concentration data on raw meat and fish in Yurchenko and Mölder (2007 and 2006), 100% LC, were not considered in the calculation of average concentration for cooked meat and fish, as they were considered not representative of the concentrations to which the population is exposed when consuming these foods after cooking. Concentration data in cooked spiced meat from Yurchenko and Mölder (2007) were also excluded as considered not representative of concentration in meat.

Data on vegetable oils from in Yurchenko and Mölder (2006) were excluded due to uncertainty of the presence of *N*-NAs in these foods as described in Section 1.3.3.

Data on NDMA, NDPA and NDBA in cheese from Dellisanti et al. (1996) satisfied all the above criteria but were excluded as they were considered not representative of this food category being related to only one specific type of cheese.

In accordance with the above criteria and considerations, a total of 3,976 analytical results were selected for several food categories from EU countries between 1990 and 2021 for 13 *N*-NA compounds. No data were available for NEA after applying the above selection criteria.

Data (number of samples and % of left-censored data) made available for their possible use in the exposure assessment per compound and food category at the level 1 of the Foodex2 classification are detailed in Table 16. Additional 27 analytical results from non-EU countries were taken into consideration for NDBA ( $n = 9$ ,  $nd = 0\%$ ) in unsweetened spirits and liqueurs and for NDMA ( $n = 6$ ,  $nd = 50\%$ ), NPYR ( $n = 6$ ,  $nd = 33\%$ ) and NPIP ( $n = 6$ ,  $nd = 83\%$ ) in cocoa ingredients.



**Table 16:** Number of analytical results (N)\* and % of left censored (% LC) for the data extracted from the literature per N-NA compound and per food category at the level 1 of the Foodex2 classification from EU countries

N-NA	Alcoholic beverages		Meat and meat products	
	N	% LC	N	% LC
NDMA	1,242	92.6	309	24
NMEA			47	75
NDEA			89	85
NMA			82	66
NSAR			74	78
NMOR			62	90
NPIP			95	76
NPYR			117	38
NPRO**			91	37
NTCA**			87	3
NMTCA**			86	9

\*: Additional 1335 analytical results from Yurchenko and Mölder (2006) on processed fish and 260 from Yurchenko and Mölder (2007) on unprocessed cooked meat (including data for NDBA and NDPA) not included in this table as no information on left censorship was available but included in the exposure assessment as described in Section 3.3.

\*\* : Compounds non-carcinogenic and therefore not included in the risk assessment.

It is noted that, in the reviewed articles, only the mean value was provided for each food category analysed with almost always no indication of the way left censorship was handled (when available this information is reported in Table C.5 of Annex C). Therefore, the same occurrence value was used in the LB, MB and UB dietary exposure assessment scenarios. The uncertainty linked to the left censorship of literature data and of the data extracted from the EFSA database was assessed in the uncertainty analysis (Section 3.5).

### 3.3. Dietary exposure assessment for humans

#### 3.3.1. Selection of the concentration data from the available data sources used in the dietary exposure assessment

Occurrence data extracted from literature described in the previous section were considered to complement the N-NA concentration data available through EFSA's chemical contaminant occurrence database.

Occurrence data extracted from literature (from EU countries) were grouped based on the sampling year indicated by the article as follows: from year 2000 onwards and from year 1990 to 1999. Mean occurrence values within these groups of articles, for the same food category and N-NA compound were averaged by weighing the values against the total number of samples in the relevant articles. Each set of data obtained is indicated as 'data source' in the following text.

If concentration data were available from more than one available data source, priority was given in the following order: occurrence data from the EFSA database, occurrence data from literature sampled from 2000 onwards and from 1990 to 1999.

For two specific food categories, tequila and cocoa ingredients, for which no other data source was available, occurrence data from literature from non-EU countries were used on the basis that the raw primary commodity is of non-EU origin.

For both, occurrence data from EFSA database and occurrence data from literature, averages calculated for specific food categories on 100% left-censored results or on less than six samples, were not retained. These analytical results were included in the calculation of averages for food categories at higher levels of the Foodex2 classification in case at least six samples and one quantifiable result were available.

If for specific food categories occurrence data from the EFSA database were 100% left censored but if selected literature data were reported with quantified results priority was given to the latter.

As a consequence of this process, 81 analytical results from Eerola (1998) and 1242 results from Lachenmeier (2007) were not used in the final exposure assessment as occurrence data from the EFSA database or more recent literature data were available for the same food categories.

Overall occurrence data were available for 13 *N*-NA compounds as indicated in Table C.3 of Annex C.

Based on overall availability and following the selection criteria described in the above sections, no occurrence data were available for any of the *N*-NA compounds for the following food categories:

'Fruit and fruit products', 'Fruit and vegetable juices and nectars (including concentrates)', 'Grains and grain-based products', 'Legumes, nuts, oilseeds and spices', 'Milk and dairy products', 'Starchy roots or tubers and products thereof, sugar plants', 'Vegetables and vegetable products' and 'Water and water-based beverages'.

Table C.3 in Annex C contains the mean LB, MB, UB occurrence values and the mean literature values (for the year ranges ' $\geq 2000$ ' and '1990–1999' and for the included data from non-EU countries) available for each compound and each Foodex2 classification level and the selected concentration to be used in the dietary exposure assessment.

Overall among 13 *N*-NAs, for which occurrence data were available, only the 10 *N*-NAs considered to be carcinogenic and thus relevant for the risk assessment, were included for the exposure: NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR (see Section 3.1.5).

Table 17 shows the availability of data for each compound and food category at level 1 of the Foodex2 classification for the food categories included in the dietary exposure assessment as well as the source of the data (occurrence data from literature or from EFSA DB).

Table 18 shows occurrence ranges for each *N*-NA in the food categories included in the dietary exposure assessment.

**Table 17:** Data availability and source of the data for each compound and food category at level 1 of the Foodex2 classification for the food categories included in the dietary exposure assessment

<b>N-NAs</b>	<b>Alcoholic beverages</b>	<b>Coffee, cocoa, tea and infusions</b>	<b>Fish, seafood, amphibians, reptiles and invertebrates</b>	<b>Meat and meat products</b>	<b>Seasoning, sauces and condiments</b>
<b>NDMA</b>	<b>EFSA DB</b>	- <b>Non-EU-Lit</b> (Oliveira et al., 1995)  - <b>EFSA DB</b>	- <b>EU Lit ≥ 2000</b> (Yurchenko and Mölder, 2006)	- <b>EU-Lit ≥ 2000</b> (Yurchenko and Mölder 2007)*  - <b>EFSA DB</b>	<b>EFSA DB</b>
<b>NMEA</b>				- <b>EU Lit ≥ 2000</b> (Herrmann et al., 2015a)	
<b>NDEA</b>	<b>EFSA DB</b>		- <b>EU Lit ≥ 2000</b> (Yurchenko and Mölder, 2006)  - <b>EFSA DB</b>	- <b>EU lit ≥ 2000</b> (Yurchenko & Mölder 2007)*  - <b>EFSA DB</b>	
<b>NDPA</b>				- <b>EFSA DB</b>	
<b>NDBA</b>	- <b>Non-EU-Lit</b> (Ramirez-Guizar et al., 2020)  - <b>EFSA DB</b>		- <b>EU-Lit ≥ 2000</b> (Yurchenko and Mölder, 2006)	- <b>EU Lit ≥ 2000</b> (Herrmann et al., 2015a; Yurchenko and Mölder, 2007)*	
<b>NMA</b>				- <b>EU-Lit ≥ 2000</b> (Herrmann et al., 2015a)	
<b>NSAR</b>				- <b>EU-Lit ≥ 2000</b> (Herrmann et al., 2015a)	
<b>NMOR</b>	- <b>EFSA DB</b>			- <b>EU-Lit ≥ 2000</b> (Herrmann et al., 2015a)	
<b>NPIP</b>		- <b>Non-EU-Lit</b> Oliveira et al., 1995	- <b>EU-Lit ≥ 2000</b> (Yurchenko and Mölder 2006)	- <b>EU-Lit ≥ 2000</b> (Yurchenko and Mölder 2007)*  - <b>EFSA DB</b>	
<b>NPYR</b>		- <b>Non-EU-Lit</b> Oliveira et al., 1995	- <b>EU-Lit ≥ 2000</b> (Yurchenko and Mölder, 2006)	- <b>EU Lit ≥ 2000</b> (Herrmann et al., 2015a; Yurchenko and Mölder, 2007)*  - <b>EFSA DB</b>	

\*: Yurchenko and Mölder (2007) data on unprocessed cooked meat only used in scenario 2 described in Section 3.3.2.

In order to include all foods likely to contain *N*-NAs in the dietary exposure assessment, the selected mean concentration value for a Foodex2 level was assigned to additional foods in the same food categories for which the *N*-NAs concentration was considered to be similar. For example, the average NDMA concentration available for the category 'Cured seasoned pork meat', calculated on concentrations available for Bacon and Cured ham, was assigned to other types of Cured seasoned pork meat such as Tiroler speck and Pancetta for which a specific concentration value was not available. This extrapolation was applied up to level 2 of the foodex2 classification depending on the specific category where it was considered applicable. For example, it needs to be noted that when for meat and meat products concentrations, values were only available for the foodex2 level 2 category 'Processed whole meat products' the same concentration was used for all other processed meat categories such as Sausages and Meat specialties.

**Table 18:** Occurrence ranges of each N-NA for the food categories included in the dietary exposure assessment (µg/kg)

N-NA		Alcoholic beverages		Coffee, cocoa, tea and infusions		Fish, seafood, amphibians, reptiles and invertebrates		Meat and meat products		Seasoning, sauces and condiments
		Beer and beer-like beverage	Unsweetened spirits and liqueurs	Cocoa and coffee imitate beverages	Cocoa and coffee imitate ingredients	Processed fish and seafood	Cooked unprocessed fish*	Processed meat	Cooked unprocessed meat*	Malt extract
<b>NDMA</b>	<b>Min LB</b>	0.034	0.692	0.006	0.060	0.810	1.107	0.019	0.072	0.241
	<b>Max LB</b>	0.090	0.692	0.031	0.556	1.990	1.107	1.120	1.362	0.241
	<b>Min UB</b>	0.098	0.692	0.006	0.060	0.810	1.107	0.603	1.040	0.310
	<b>Max UB</b>	0.186	0.692	0.033	0.593	1.990	1.107	8.284	1.837	0.310
<b>NMEA</b>	<b>Min LB</b>							0.070	0.079	
	<b>Max LB</b>							0.097	0.079	
	<b>Min UB</b>							0.070	0.079	
	<b>Max UB</b>							0.097	0.079	
<b>NDEA</b>	<b>Min LB</b>	0.005				0.016	0.015	0.004	0.004	
	<b>Max LB</b>	0.031				0.500	0.015	0.140	0.640	
	<b>Min UB</b>	0.258				0.080	0.308	0.010	0.444	
	<b>Max UB</b>	0.284				0.500	0.308	3.901	1.874	
<b>NDPA</b>	<b>Min LB</b>							0.036	0.075	
	<b>Max LB</b>							0.188	0.075	
	<b>Min UB</b>							0.408	0.439	
	<b>Max UB</b>							0.559	0.439	
<b>NDBA</b>	<b>Min LB</b>	0.019	4.900			0.070	0.162	0.273	0.270	
	<b>Max LB</b>	0.024	4.900			0.350	0.162	0.273	0.280	
	<b>Min UB</b>	0.293	4.900			0.070	0.162	0.273	0.270	
	<b>Max UB</b>	0.302	4.900			0.350	0.162	0.273	0.280	
<b>NMA</b>	<b>Min LB</b>							0.120	0.184	
	<b>Max LB</b>							0.270	0.184	
	<b>Min UB</b>							0.120	0.184	
	<b>Max UB</b>							0.270	0.184	
<b>NSAR</b>	<b>Min LB</b>							0.210	1.636	
	<b>Max LB</b>							3.342	1.636	



N-NA		Alcoholic beverages		Coffee, cocoa, tea and infusions		Fish, seafood, amphibians, reptiles and invertebrates		Meat and meat products		Seasoning, sauces and condiments
		Beer and beer-like beverage	Unsweetened spirits and liqueurs	Cocoa and coffee imitate beverages	Cocoa and coffee imitate ingredients	Processed fish and seafood	Cooked unprocessed fish*	Processed meat	Cooked unprocessed meat*	Malt extract
NMOR	Min UB							0.210	1.636	
	Max UB							3.342	1.636	
	Min LB	0.012						0.002	0.020	
	Max LB	0.015						0.030	0.020	
	Min UB	0.311						0.002	0.020	
	Max UB	0.319						0.030	0.020	
NPIP	Min LB			0.012	0.120	0.630	0.908	0.047	0.239	
	Max LB			0.012	0.120	2.900	0.908	0.822	1.390	
	Min UB			0.012	0.120	0.630	0.908	0.358	0.503	
	Max UB			0.012	0.120	2.900	0.908	0.906	1.390	
NPYR	Min LB			0.036	0.360	1.130	1.780	0.087	0.258	
	Max LB			0.036	0.360	4.190	1.780	2.875	11.810	
	Min UB			0.036	0.360	1.130	1.780	0.087	2.580	
	Max UB			0.036	0.360	4.190	1.780	5.215	11.810	
TCNAs	Min LB	0.070	5.592	0.031	0.540	2.696	3.971	1.468	2.841	0.241
	Max LB	0.151	5.592	0.054	0.556	9.620	3.971	5.244	17.239	0.241
	Min UB	0.985	5.592	0.033	0.540	2.984	4.264	4.502	7.868	0.310
	Max UB	1.090	5.592	0.054	0.593	9.620	4.264	17.074	17.603	0.310
TCNAs with potency factor	Min LB	0.055	1.672	0.016	0.156	1.208	1.691	0.379	0.708	0.241
	Max LB	0.136	1.672	0.031	0.556	3.692	1.691	2.010	4.946	0.241
	Min UB	0.751	1.672	0.016	0.156	1.368	1.984	1.593	2.996	0.310
	Max UB	0.849	1.672	0.033	0.593	3.692	1.984	9.421	5.150	0.310

\*: Food category included in dietary exposure assessment scenario 2.

The mean concentrations for each of the FoodEx2 codes reported in the Comprehensive Database (4186 Foodex2 codes across all *N*-NAs) used in the dietary exposure assessment are provided in Table C.6 in Annex C.

### 3.3.2. Current dietary exposure

Among 13 *N*-NAs, for which occurrence data were available (see Sections 3.2.1 and 3.2.2), 10 *N*-NAs are considered to be carcinogenic and thus relevant for the risk assessment: NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR (see Section 3.1.5).

Dietary exposure to these *N*-NAs was assessed through two different scenarios:

#### Scenario 1

In this scenario, the dietary exposure assessment was based on all food categories for which consumption data and occurrence data on *N*-NAs were available as listed in Table 16 with the exception of cooked unprocessed meat and fish. The choice to estimate a scenario that did not include cooked unprocessed meat and fish is linked to the uncertainty about the representativeness of occurrence data available for these categories for which only one source reference was available with limited information about the sampling (Yurchenko and Mölder, 2006, 2007) as described in Section 3.2.2. In particular, there is some uncertainty from the description provided in the article regarding the potential presence or absence of nitrite/nitrate added in the products that were cooked and/or bought already as cooked and if data could be fully representative of the effect of different types of cooking (e.g. different types of grilling or frying) in the production of *N*-NAs.

#### Scenario 2

In this scenario, the dietary exposure assessment was based on all food categories for which consumption data and occurrence data on *N*-NAs were available as listed in Table 16 including cooked unprocessed meat and fish.

The decision to estimate a scenario that included cooked unprocessed meat and fish is linked to the evidence found in literature that although unprocessed and uncooked meat contain trace amounts of *N*-NAs (EFSA CONTAM Panel, 2020), the process of cooking (baking, frying, grilling, microwaving) may generate considerable amounts of *N*-NAs to which the final consumer is exposed. For a description of the evidence found in literature, see Section 1.3.

Data on cooked unprocessed meat were obtained from Yurchenko and Mölder (2007) for the compounds for which this study provided information (NDMA, NDEA, NPYR, NPIP and NDBA) while for NMEA, NDPA, NMA, NSAR and NMOR, concentration in processed meat was used as a proxy for concentrations in cooked unprocessed meat. The latest approach was also used for concentrations of NMEA, NDPA, NMA, NSAR and NMOR in cooked unprocessed fish, that is concentrations in processed fish were used as a proxy as no other data were available.

### Results

Tables 19–22 show the summary statistics of the estimated chronic dietary exposure for each age group to the individual and total TCNAs following the conservative approach of attributing the same potency to all *N*-NAs (equal to NDEA) and to the total carcinogenic *N*-NAs using group potency factors (see Section 3.1.8) for the two scenarios.

Detailed mean and 95th percentile dietary exposure estimates for the two scenarios for all age classes and population groups and dietary surveys are presented in Tables C.7 and C.8 in Annex C. The special population groups 'Pregnant women' and 'Lactating women' resulted in mean and P95 exposure within the range of the adult population group and thus will not be further discussed. The special subpopulation group 'vegetarians' (one survey) resulted in mean and P95 exposure lower than all other population groups (the maximum across the compounds at the P95 UB is below 1 ng/kg bw per day).

**Table 19:** Scenario 1: Mean LB, MB and UB chronic dietary exposure (ng/kg bw per day) to the individual and total TCNAs across European dietary surveys by age group (in brackets the number of surveys included in the range\*)

Mean		Infants (11)			Toddlers (15)			Children (19)			Adolescents (21)			Adults (22)			Elderly (19)			Very elderly (14)			
		LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	
NDMA	Min	< 0.1	< 0.1	< 0.1	0.1	0.5	0.8	0.1	0.6	1.1	0.1	0.3	0.6	0.2	0.4	0.5	0.1	0.2	0.3	0.1	0.4	0.5	
	Max	0.3	1.6	3.2	0.6	2.6	5.2	0.8	2.6	4.8	0.5	2.1	3.9	0.6	1.4	2.4	0.4	1.2	2.0	0.4	1.4	2.5	
NMEA	Min	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	
	Max	0.1	0.1	0.1	0.2	0.2	0.2	0.2	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
NDEA	Min	< 0.1	< 0.1	< 0.1	< 0.1	0.1	0.1	< 0.1	< 0.1	0.1	< 0.1	0.2	0.3	< 0.1	0.3	0.5	< 0.1	0.1	0.2	< 0.1	0.1	0.2	
	Max	0.1	1.4	2.7	0.2	1.3	2.6	0.2	1.4	2.8	0.1	1.0	1.9	0.1	1.2	2.3	0.1	1.1	2.1	0.1	1.2	2.4	
NDPA	Min	< 0.1	< 0.1	< 0.1	< 0.1	0.1	0.1	< 0.1	0.1	0.1	< 0.1	0.1	0.2	< 0.1	0.1	0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	0.1	
	Max	0.1	0.3	0.4	0.2	0.7	1.2	0.2	0.7	1.1	0.1	0.4	0.6	0.1	0.3	0.5	0.1	0.3	0.4	0.1	0.3	0.5	
NDBA	Min	< 0.1	< 0.1	< 0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.2	0.2	0.2	0.4	0.4	0.2	0.2	0.2	0.1	0.1	0.1	
	Max	0.3	0.3	0.3	0.7	0.7	0.7	0.6	0.6	0.6	0.4	0.5	0.6	0.8	1.3	2.0	0.9	1.1	1.4	1.3	1.6	1.9	
NMA	Min	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	0.1	0.1	0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	
	Max	0.2	0.2	0.2	0.4	0.4	0.4	0.3	0.3	0.3	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
NSAR	Min	< 0.1	< 0.1	< 0.1	0.3	0.3	0.3	0.1	0.1	0.1	0.3	0.3	0.3	0.2	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1
	Max	2.9	2.9	2.9	2.9	2.9	2.9	3.0	3.0	3.0	1.9	1.9	1.9	1.8	1.8	1.8	1.9	1.9	1.9	1.8	1.8	1.8	
NMOR	Min	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	0.1	0.2	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	
	Max	< 0.1	0.1	0.1	0.1	0.2	0.3	0.1	0.1	0.2	< 0.1	0.2	0.3	0.1	0.8	1.5	< 0.1	0.4	0.8	< 0.1	0.4	0.7	
NPIP	Min	< 0.1	< 0.1	< 0.1	0.1	0.2	0.3	0.1	0.1	0.2	0.1	0.1	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	
	Max	0.5	0.6	0.7	1.1	1.4	1.7	0.9	1.1	1.3	0.6	0.7	0.8	0.4	0.6	0.7	0.4	0.5	0.6	0.3	0.4	0.5	
NPYR	Min	< 0.1	< 0.1	< 0.1	0.3	0.4	0.5	0.3	0.4	0.5	0.1	0.3	0.4	0.1	0.2	0.3	0.1	0.1	0.2	< 0.1	0.2	0.4	
	Max	0.6	1.7	2.8	1.4	3.5	5.8	1.5	2.3	4.0	0.9	1.5	2.3	0.7	1.2	1.9	0.6	1.1	1.7	0.8	1.1	1.9	
TCNAs	Min	< 0.1	< 0.1	< 0.1	1.5	2.4	3.3	0.7	1.4	2.1	1.4	2.3	3.2	1.8	2.6	3.4	1.1	1.5	1.8	1.0	1.7	2.1	
	Max	4.1	6.6	9.2	6.7	12.0	17.2	6.0	10.7	15.5	4.4	7.9	11.4	4.1	7.9	12.1	3.9	6.5	9.2	3.2	6.3	9.5	
TCNAs with PF	Min	< 0.1	< 0.1	< 0.1	0.5	1.2	1.8	0.2	0.8	1.3	0.4	1.1	1.8	0.6	1.2	1.8	0.4	0.6	0.8	0.3	0.8	1.0	
	Max	1.0	3.2	5.3	2.2	5.4	9.1	1.9	5.4	9.0	1.3	4.1	6.9	1.5	4.3	7.1	1.2	3.1	5.0	1.1	3.1	5.4	

\*: Mean estimates based on dietary surveys/population classes with less than six observations may not be statistically robust (EFSA, 2011) and are thus not included in this table.

**Table 20:** Scenario 2: Mean LB, MB and UB chronic dietary exposure (ng/kg bw per day) to the individual and total TCNAs across European dietary surveys by age group (in brackets the number of surveys included in the range\*)

Mean		Infants (11)			Toddlers (15)			Children (19)			Adolescents (21)			Adults (22)			Elderly (19)			Very elderly (14)		
		LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB
<b>NDMA</b>	<b>Min</b>	0.6	0.8	0.9	2.1	2.9	3.7	2.3	3.0	3.7	1.3	2.0	2.6	1.5	2.2	2.5	1.4	1.8	1.9	1.3	1.9	2.4
	<b>Max</b>	5.0	5.8	6.5	8.8	11.0	13.3	7.1	8.2	10.4	4.5	5.5	7.3	3.4	3.8	4.6	2.6	3.5	4.4	2.4	3.5	4.6
<b>NMEA</b>	<b>Min</b>	0.1	0.1	0.1	0.2	0.2	0.2	0.2	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
	<b>Max</b>	0.4	0.4	0.4	0.5	0.5	0.5	0.5	0.5	0.5	0.3	0.3	0.3	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
<b>NDEA</b>	<b>Min</b>	0.3	0.3	0.3	0.8	0.9	1.1	0.8	1.0	1.2	0.5	0.7	0.9	0.5	0.9	1.4	0.4	0.7	0.9	0.4	0.7	1.0
	<b>Max</b>	1.9	3.3	4.7	2.7	4.1	5.8	2.5	3.2	4.6	1.6	2.1	3.1	1.2	2.0	3.1	0.8	1.7	2.7	0.9	2.0	3.2
<b>NDPA</b>	<b>Min</b>	0.1	0.2	0.3	0.2	0.7	1.3	0.2	0.7	1.1	0.1	0.4	0.7	0.1	0.4	0.7	0.1	0.3	0.5	0.1	0.3	0.5
	<b>Max</b>	0.4	1.2	2.0	0.5	1.7	2.9	0.5	1.5	2.6	0.3	0.9	1.5	0.2	0.7	1.2	0.2	0.5	0.9	0.2	0.7	1.1
<b>NDBA</b>	<b>Min</b>	0.2	0.2	0.2	0.8	0.8	0.8	0.8	0.8	0.8	0.6	0.6	0.6	0.6	0.8	0.8	0.5	0.6	0.6	0.5	0.5	0.5
	<b>Max</b>	1.3	1.3	1.3	2.1	2.1	2.1	1.7	1.7	1.8	1.1	1.1	1.1	1.2	1.8	2.4	1.2	1.4	1.8	1.7	2.0	2.3
<b>NMA</b>	<b>Min</b>	0.1	0.1	0.1	0.4	0.4	0.4	0.4	0.4	0.4	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
	<b>Max</b>	0.8	0.8	0.8	1.1	1.1	1.1	1.0	1.0	1.0	0.6	0.6	0.6	0.5	0.5	0.5	0.4	0.4	0.4	0.4	0.4	0.4
<b>NSAR</b>	<b>Min</b>	0.8	0.8	0.8	2.4	2.4	2.4	2.6	2.6	2.6	1.6	1.6	1.6	1.6	1.6	1.6	1.5	1.5	1.5	1.3	1.3	1.3
	<b>Max</b>	8.6	8.6	8.6	9.9	9.9	9.9	8.0	8.0	8.0	5.7	5.7	5.7	3.9	3.9	3.9	3.5	3.5	3.5	4.1	4.1	4.1
<b>NMOR</b>	<b>Min</b>	< 0.1	< 0.1	< 0.1	0.1	0.1	0.1	< 0.1	0.1	0.1	< 0.1	< 0.1	< 0.1	< 0.1	0.1	0.2	< 0.1	< 0.1	0.1	< 0.1	< 0.1	< 0.1
	<b>Max</b>	0.1	0.1	0.2	0.1	0.3	0.4	0.1	0.2	0.3	0.1	0.2	0.3	0.1	0.8	1.6	0.1	0.4	0.8	0.1	0.4	0.7
<b>NPIP</b>	<b>Min</b>	0.7	0.7	0.8	2.3	2.5	2.7	2.4	2.6	2.8	1.3	1.4	1.5	1.4	1.4	1.5	1.2	1.3	1.4	1.2	1.2	1.3
	<b>Max</b>	4.6	4.7	4.9	8.5	8.8	9.0	6.9	7.0	7.1	4.4	4.5	4.5	3.2	3.3	3.3	2.3	2.3	2.4	2.4	2.5	2.5
<b>NPYR</b>	<b>Min</b>	3.9	4.3	4.6	11.7	13.0	14.3	12.8	14.1	15.4	7.3	7.8	8.4	7.5	8.0	8.6	6.4	6.9	7.4	5.9	6.4	6.9
	<b>Max</b>	34.5	34.5	34.5	49.2	49.8	50.3	42.9	43.4	43.9	28.8	29.1	29.4	20.2	20.5	20.7	13.4	13.7	14.0	15.2	16.0	16.9
<b>TCNA</b>	<b>Min</b>	<b>6.8</b>	<b>7.4</b>	<b>8.1</b>	<b>21.0</b>	<b>24.0</b>	<b>26.9</b>	<b>22.8</b>	<b>25.7</b>	<b>28.6</b>	<b>13.1</b>	<b>15.1</b>	<b>17.2</b>	<b>13.6</b>	<b>16.4</b>	<b>19.1</b>	<b>12.0</b>	<b>14.6</b>	<b>16.7</b>	<b>10.9</b>	<b>13.0</b>	<b>15.1</b>
	<b>Max</b>	<b>53.0</b>	<b>55.7</b>	<b>59.1</b>	<b>81.8</b>	<b>87.7</b>	<b>93.7</b>	<b>70.6</b>	<b>73.4</b>	<b>76.3</b>	<b>47.3</b>	<b>49.2</b>	<b>51.2</b>	<b>33.5</b>	<b>35.2</b>	<b>37.3</b>	<b>23.8</b>	<b>26.6</b>	<b>29.3</b>	<b>25.7</b>	<b>29.2</b>	<b>32.6</b>
<b>TCNA with PF</b>	<b>Min</b>	<b>2.2</b>	<b>2.5</b>	<b>2.7</b>	<b>7.4</b>	<b>8.7</b>	<b>10.0</b>	<b>7.6</b>	<b>8.9</b>	<b>10.2</b>	<b>4.4</b>	<b>5.6</b>	<b>6.8</b>	<b>4.4</b>	<b>6.2</b>	<b>7.1</b>	<b>3.9</b>	<b>4.6</b>	<b>5.0</b>	<b>3.6</b>	<b>4.9</b>	<b>6.2</b>
	<b>Max</b>	<b>14.4</b>	<b>16.7</b>	<b>19.1</b>	<b>21.1</b>	<b>25.0</b>	<b>29.0</b>	<b>18.6</b>	<b>20.6</b>	<b>23.3</b>	<b>11.5</b>	<b>12.8</b>	<b>15.7</b>	<b>8.8</b>	<b>10.5</b>	<b>13.5</b>	<b>7.0</b>	<b>9.0</b>	<b>10.9</b>	<b>6.9</b>	<b>9.2</b>	<b>11.5</b>

\*: Mean estimates based on dietary surveys/population classes with less than six observations may not be statistically robust (EFSA, 2011) and are thus not included in this table.

**Table 21:** Scenario 1: P95 LB, MB and UB chronic dietary exposure (ng/kg bw per day) to the individual and total TCNAs across European dietary surveys by age group (in brackets the number of surveys included in the range\*)

P95		Infants (9)			Toddlers (13)			Children (19)			Adolescents (20)			Adults (22)			Elderly (19)			Very elderly (10)		
		LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB
NDMA	Min	0	0	0	0.3	2.5	4.4	0.3	2.4	4.4	0.3	1.1	1.9	0.9	1.5	2.1	0.7	1.1	1.4	0.7	1.4	1.6
	Max	1.1	6.8	13.4	3.3	9.8	19.5	3.8	7.6	14.9	1.9	6.3	11.9	2.3	4.5	8.2	1.6	3.9	7.7	1.4	6.2	12.3
NMEA	Min	0	0	0	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1
	Max	0.6	0.6	0.6	0.6	0.6	0.6	0.5	0.5	0.5	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.2	0.2	0.2
NDEA	Min	0	0	0	0.1	0.4	0.4	0.1	0.2	0.2	0.1	0.7	1.2	0.1	1.0	2.1	0.1	0.6	1.3	0.1	0.6	1.1
	Max	0.3	8.6	17.1	0.9	6.1	12.2	0.7	5.4	10.6	0.4	3.7	7.2	0.5	4.2	8.2	0.3	4.3	8.6	0.3	1.9	3.8
NDPA	Min	0	0	0	0.2	0.4	0.6	0.1	0.3	0.4	0.1	0.3	0.5	0.1	0.2	0.4	0.1	0.2	0.3	0.1	0.2	0.2
	Max	0.8	1.8	2.6	0.7	2.0	3.7	0.7	1.8	3.2	0.5	1.2	1.9	0.5	1.0	1.6	0.5	0.9	1.4	0.2	0.6	0.9
NDBA	Min	0	0	0	0.5	0.5	0.5	0.3	0.3	0.3	0.4	0.4	0.4	0.7	1.3	1.8	0.5	0.7	0.8	0.3	0.4	0.5
	Max	1.7	1.7	1.7	1.9	1.9	2.2	1.8	1.8	2.0	1.2	1.5	2.4	5.0	5.5	8.4	4.8	5.0	5.3	4.3	4.4	4.9
NMA	Min	0	0	0	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
	Max	1.2	1.2	1.2	1.0	1.0	1.0	0.9	0.9	0.9	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.3	0.3
NSAR	Min	0	0	0	1.1	1.1	1.1	0.3	0.3	0.3	1.0	1.0	1.0	0.9	0.9	0.9	0.9	0.9	0.9	0.8	0.8	0.8
	Max	19.2	19.2	19.2	13.7	13.7	13.7	11.9	11.9	11.9	7.6	7.6	7.6	7.2	7.2	7.2	7.8	7.8	7.8	2.9	2.9	2.9
NMOR	Min	0	0	0	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	0.4	0.9	< 0.1	0.2	0.3	< 0.1	< 0.1	< 0.1
	Max	0.1	0.1	0.1	0.2	1.0	1.8	0.2	0.9	1.7	0.1	0.7	1.4	0.3	3.6	6.9	0.2	2.0	3.7	0.1	1.2	2.4
NPIP	Min	0	0	0	0.6	1.0	1.4	0.6	0.7	0.9	0.4	0.5	0.6	0.4	0.5	0.6	0.4	0.4	0.4	0.5	0.6	0.8
	Max	1.9	2.2	2.9	3.9	3.9	4.0	3.8	3.9	4.0	2.1	2.2	2.5	1.7	1.8	2.2	1.5	1.6	2.0	1.1	1.2	1.3
NPYR	Min	0	0	0	1.0	2.8	3.4	0.9	1.7	2.3	0.6	1.2	2.0	0.5	1.1	1.5	0.5	0.8	1.2	1.6	1.7	2.2
	Max	2.2	6.2	10.8	7.1	8.1	13.3	7.0	7.4	11.2	3.8	4.5	7.2	3.1	4.3	7.3	2.7	3.5	5.5	3.1	4.0	4.8
TCNAs	Min	<b>0</b>	<b>0</b>	<b>0</b>	<b>6.6</b>	<b>12.4</b>	<b>17.3</b>	<b>3.1</b>	<b>6.1</b>	<b>8.9</b>	<b>4.4</b>	<b>7.2</b>	<b>9.7</b>	<b>4.8</b>	<b>9.9</b>	<b>13.0</b>	<b>3.7</b>	<b>7.2</b>	<b>9.8</b>	<b>3.0</b>	<b>5.6</b>	<b>6.4</b>
	Max	<b>26.6</b>	<b>42.5</b>	<b>54.8</b>	<b>19.6</b>	<b>32.9</b>	<b>46.5</b>	<b>18.3</b>	<b>30.5</b>	<b>41.9</b>	<b>12.4</b>	<b>21.4</b>	<b>30.2</b>	<b>13.1</b>	<b>24.5</b>	<b>39.0</b>	<b>13.2</b>	<b>21.3</b>	<b>30.7</b>	<b>10.0</b>	<b>14.2</b>	<b>22.1</b>
TCNAs with PF	Min	<b>0</b>	<b>0</b>	<b>0</b>	<b>2.0</b>	<b>5.5</b>	<b>9.3</b>	<b>1.0</b>	<b>3.5</b>	<b>6.2</b>	<b>1.2</b>	<b>3.4</b>	<b>5.6</b>	<b>1.9</b>	<b>4.4</b>	<b>7.1</b>	<b>1.6</b>	<b>3.3</b>	<b>4.3</b>	<b>1.5</b>	<b>2.7</b>	<b>3.7</b>
	Max	<b>6.2</b>	<b>19.2</b>	<b>31.3</b>	<b>6.9</b>	<b>16.7</b>	<b>29.6</b>	<b>6.5</b>	<b>14.8</b>	<b>24.5</b>	<b>3.8</b>	<b>10.9</b>	<b>18.8</b>	<b>4.6</b>	<b>14.2</b>	<b>24.1</b>	<b>3.8</b>	<b>10.3</b>	<b>17.6</b>	<b>2.8</b>	<b>7.4</b>	<b>13.2</b>

\*: 95th percentile estimates based on dietary surveys/population classes with less than 60 observations may not be statistically robust (EFSA, 2011) and are thus not included in this table.



**Table 22:** Scenario 2: P95 LB, MB and UB chronic dietary exposure (ng/kg bw per day) to the individual and total TCNAs across European dietary surveys by age group (in brackets the number of surveys included in the range\*)

P95		Infants (9)			Toddlers (13)			Children (19)			Adolescents (20)			Adults (22)			Elderly (19)			Very elderly (10)		
		LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB
<b>NDMA</b>	<b>Min</b>	3.1	3.7	3.8	5.5	7.0	9.6	5.5	6.5	8.2	3.9	5.6	6.6	4.0	4.9	6.1	3.2	4.1	4.2	3.2	4.0	4.7
	<b>Max</b>	16.3	16.3	20.0	18.7	22.4	28.1	14.2	16.7	22.9	9.5	11.0	16.2	7.8	8.7	10.6	5.4	7.3	9.9	5.5	7.9	13.2
<b>NMEA</b>	<b>Min</b>	0.2	0.2	0.2	0.5	0.5	0.5	0.4	0.4	0.4	0.3	0.3	0.3	0.3	0.3	0.3	0.2	0.2	0.2	0.2	0.2	0.2
	<b>Max</b>	1.0	1.0	1.0	1.1	1.1	1.1	0.9	0.9	0.9	0.6	0.6	0.6	0.5	0.5	0.5	0.4	0.4	0.4	0.3	0.3	0.3
<b>NDEA</b>	<b>Min</b>	1.1	1.2	1.4	2.1	2.5	3.0	2.0	2.5	3.0	1.5	2.2	3.1	1.3	2.2	3.5	1.0	1.7	2.8	1.1	1.6	2.4
	<b>Max</b>	6.7	11.5	18.4	6.7	9.6	14.2	5.4	7.6	12.3	3.6	4.8	8.3	3.1	5.3	9.3	1.9	4.6	8.9	2.0	3.2	4.6
<b>NDPA</b>	<b>Min</b>	0.3	0.9	1.6	0.6	1.9	3.2	0.5	1.6	2.7	0.4	1.1	1.9	0.3	0.9	1.5	0.2	0.7	1.2	0.2	0.7	1.2
	<b>Max</b>	1.2	3.4	5.7	1.2	3.6	6.2	1.0	3.0	5.2	0.6	2.0	3.3	0.6	1.6	2.7	0.5	1.2	2.0	0.3	1.1	1.9
<b>NDBA</b>	<b>Min</b>	0.9	0.9	0.9	1.9	1.9	2.1	1.6	1.7	1.8	1.2	1.2	1.2	1.3	1.8	2.2	1.1	1.1	1.2	0.8	0.8	0.9
	<b>Max</b>	3.6	3.6	3.6	4.1	4.1	4.1	3.2	3.3	3.7	2.2	2.2	2.6	5.5	5.9	9.0	5.2	5.5	5.9	4.7	4.7	5.0
<b>NMA</b>	<b>Min</b>	0.5	0.5	0.5	1.0	1.0	1.0	0.9	0.9	0.9	0.7	0.7	0.7	0.6	0.6	0.6	0.5	0.5	0.5	0.5	0.5	0.5
	<b>Max</b>	2.4	2.4	2.4	2.5	2.5	2.5	2.0	2.0	2.0	1.4	1.4	1.4	1.1	1.1	1.1	0.8	0.8	0.8	0.7	0.7	0.7
<b>NSAR</b>	<b>Min</b>	3.4	3.4	3.4	6.7	6.7	6.7	6.1	6.1	6.1	5.0	5.0	5.0	4.6	4.6	4.6	4.0	4.0	4.0	3.6	3.6	3.6
	<b>Max</b>	27.4	27.4	27.4	23.5	23.5	23.5	18.4	18.4	18.4	12.6	12.6	12.6	10.4	10.4	10.4	9.8	9.8	9.8	6.6	6.6	6.6
<b>NMOR</b>	<b>Min</b>	0.1	0.1	0.1	0.2	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.5	0.9	0.1	0.2	0.4	0.1	0.1	0.1
	<b>Max</b>	0.3	0.3	0.3	0.3	1.0	1.9	0.3	1.0	1.8	0.2	0.8	1.4	0.4	3.6	6.9	0.2	2.0	3.8	0.1	1.3	2.4
<b>NPIP</b>	<b>Min</b>	3.0	3.1	3.2	5.9	6.2	6.6	5.6	5.9	6.1	3.7	3.9	4.0	3.4	3.5	3.5	2.9	3.1	3.2	2.8	2.9	3.0
	<b>Max</b>	15.5	15.5	15.5	18.9	18.9	18.9	13.7	13.8	14.2	9.4	9.4	9.5	7.2	7.2	7.3	5.0	5.0	5.0	5.0	5.0	5.0
<b>NPYR</b>	<b>Min</b>	20.2	20.2	21.2	35.1	37.5	40.3	35.4	37.1	37.9	24.2	25.1	26.0	22.1	22.7	23.3	19.0	19.5	19.8	18.5	19.1	19.2
	<b>Max</b>	126.0	126.0	126.0	127.3	127.3	127.3	101.0	101.0	101.0	67.2	67.3	67.4	50.6	50.7	50.9	37.4	37.4	37.4	34.5	34.5	34.5
<b>TCNA</b>	<b>Min</b>	<b>31.3</b>	<b>32.1</b>	<b>34.7</b>	<b>60.2</b>	<b>66.5</b>	<b>71.6</b>	<b>58.4</b>	<b>63.2</b>	<b>67.9</b>	<b>40.0</b>	<b>43.8</b>	<b>47.7</b>	<b>37.2</b>	<b>40.0</b>	<b>42.9</b>	<b>31.8</b>	<b>33.8</b>	<b>39.4</b>	<b>31.1</b>	<b>33.1</b>	<b>36.7</b>
	<b>Max</b>	<b>192.8</b>	<b>194.8</b>	<b>196.9</b>	<b>196.6</b>	<b>201.3</b>	<b>208.9</b>	<b>155.6</b>	<b>158.8</b>	<b>165.1</b>	<b>105.1</b>	<b>107.5</b>	<b>112.0</b>	<b>80.0</b>	<b>84.0</b>	<b>87.4</b>	<b>59.0</b>	<b>60.0</b>	<b>61.4</b>	<b>55.6</b>	<b>56.3</b>	<b>58.6</b>
<b>TCNA with PF</b>	<b>Min</b>	<b>10.3</b>	<b>10.8</b>	<b>13.3</b>	<b>18.4</b>	<b>20.5</b>	<b>23.5</b>	<b>16.1</b>	<b>18.9</b>	<b>22.1</b>	<b>12.0</b>	<b>15.1</b>	<b>17.4</b>	<b>10.5</b>	<b>12.8</b>	<b>16.1</b>	<b>8.8</b>	<b>11.6</b>	<b>12.8</b>	<b>8.5</b>	<b>10.2</b>	<b>12.2</b>
	<b>Max</b>	<b>45.6</b>	<b>46.4</b>	<b>54.4</b>	<b>45.6</b>	<b>50.4</b>	<b>62.8</b>	<b>36.2</b>	<b>40.9</b>	<b>48.0</b>	<b>25.1</b>	<b>27.0</b>	<b>31.0</b>	<b>22.1</b>	<b>25.2</b>	<b>33.8</b>	<b>13.9</b>	<b>16.9</b>	<b>22.4</b>	<b>13.3</b>	<b>15.6</b>	<b>19.8</b>

\*: 95th percentile estimates based on dietary surveys/population classes with less than 60 observations may not be statistically robust (EFSA, 2011) and are thus not included in this table.

Looking at the dietary exposure to TCNAs, in scenario 1, the TCNA mean MB dietary exposure ranged from < 0.1 ng/kg bw per day in infants to 12.0 ng/kg bw per day in toddlers. The TCNA P95 UB dietary exposure ranged from 0 to 54.8 ng/kg bw per day, both in infants. The TCNA mean MB dietary exposure with potency factors ranged from < 0.1 ng/kg bw per day in infants to 5.4 ng/kg bw per day in toddlers and children. The TCNA P95 UB dietary exposure with potency factors ranged from 0 ng/kg bw per day in infants to 31.3 ng/kg bw per day in infants. The highest P95 dietary exposure to TCNAs assessed using potency factors was 1.7 times lower than the highest P95 dietary exposure to TCNA assessed without using potency factors (both found in infants).

In scenario 2, the TCNA mean MB dietary exposure ranged from 7.4 ng/kg bw per day in infants to 87.7 ng/kg bw per day in toddlers. The TCNA P95 UB dietary exposure ranged from 34.7 ng/kg bw per day in infants to 208.8 ng/kg bw per day in toddlers. The TCNA mean MB dietary exposure with potency factors ranged from 2.5 ng/kg bw per day in infants to 25.0 ng/kg bw per day in toddlers. The TCNA P95 UB dietary exposure with potency factors ranged from 12.2 ng/kg bw per day in the very elderly to 62.8 ng/kg bw per day in toddlers. The highest P95 dietary exposure to TCNAs assessed using potency factors was 3.3 times lower than the highest P95 dietary exposure to TCNAs assessed without using potency factors (both found in toddlers).

Mean MB dietary exposure to TCNAs in scenario 2 was about 4.7 times higher than in scenario 1 while the P95 UB dietary exposure to TCNAs in scenario 2 was about three times higher than in scenario 1.

Looking at the dietary exposure to individual TCNAs, in scenario 1, the highest mean MB dietary exposure estimate for NPYR was 3.5 ng/kg bw per day in toddlers, for NSAR 3.0 ng/kg bw per day in other children, for NDMA 2.6 ng/kg bw per day in toddlers and other children, for NDBA 1.6 ng/kg bw per day in the very elderly, and for NDEA and NPIP 1.4 ng/kg bw per day in other children and toddlers, respectively. The mean MB dietary exposure of the other *N*-NAs was below 0.1 ng/kg bw per day. In general, toddlers and other children were exposed at higher levels than the other age groups. The highest P95 UB dietary exposures for NDMA were 19.5 ng/kg bw per day in toddlers, for NSAR 19.2 ng/kg bw per day in infants, for NDEA 17.1 ng/kg bw per day in infants, for NPYR 31.2 ng/kg bw per day in toddlers. The P95 UB dietary exposure estimates for the other *N*-NAs were all below 10 ng/kg bw per day.

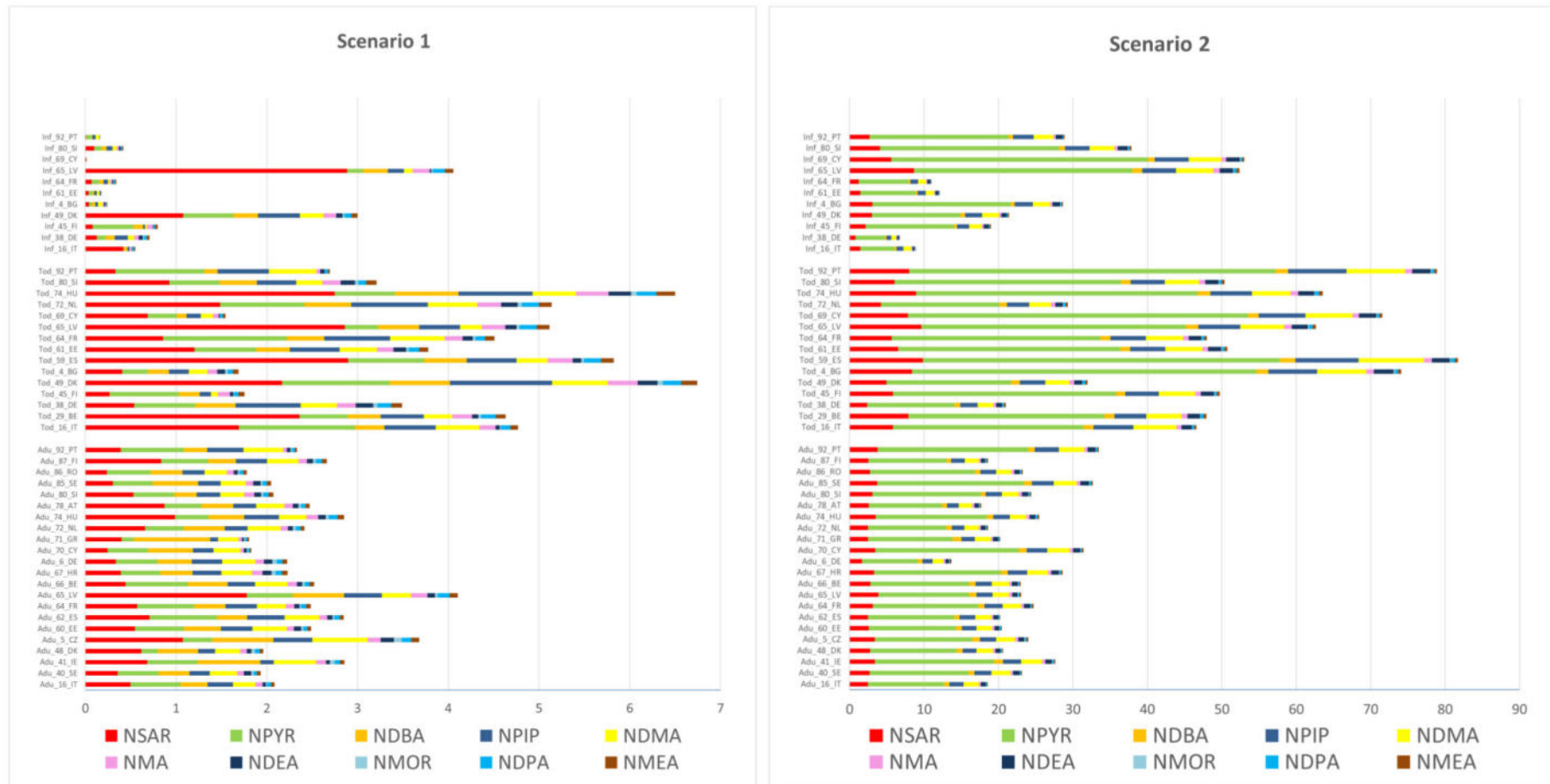
In scenario 2, the highest mean MB dietary exposure estimate for NPYR was 49.8 ng/kg bw, for NDMA 11.0 ng/kg bw per day, for NSAR 9.9 ng/kg bw per day, for NPIP 8.7 ng/kg bw per day, for NDEA 4.1 ng/kg bw per day and for NDBA 2.1 ng/kg bw per day, all found in toddlers. The mean MB dietary exposure of the other *N*-NAs was below 2 ng/kg bw per day. In general, toddlers and other children were exposed at higher levels than the other age groups. The highest P95 UB dietary exposures for NPYR were 127.3 ng/kg bw per day in toddlers, for NDMA 28.1 ng/kg bw per day in toddlers, for NSAR 27.4 ng/kg bw per day in infants, for NPIP 18.9 ng/kg bw per day in toddlers, for NDEA 18.4 ng/kg bw per day in infants. The P95 UB dietary exposure estimates for the other *N*-NAS were all below 10 ng/kg bw per day.

Appraising the contribution of the different compounds and the respective food groups to the total LB exposure is based on measured values not influenced by the percentage and magnitude of the left-censored data. Graphs 1 and 2 show the absolute and relative contributions of the individual TCNAs to the total LB dietary exposure of infants, toddlers and adults across surveys for scenarios 1 and 2.

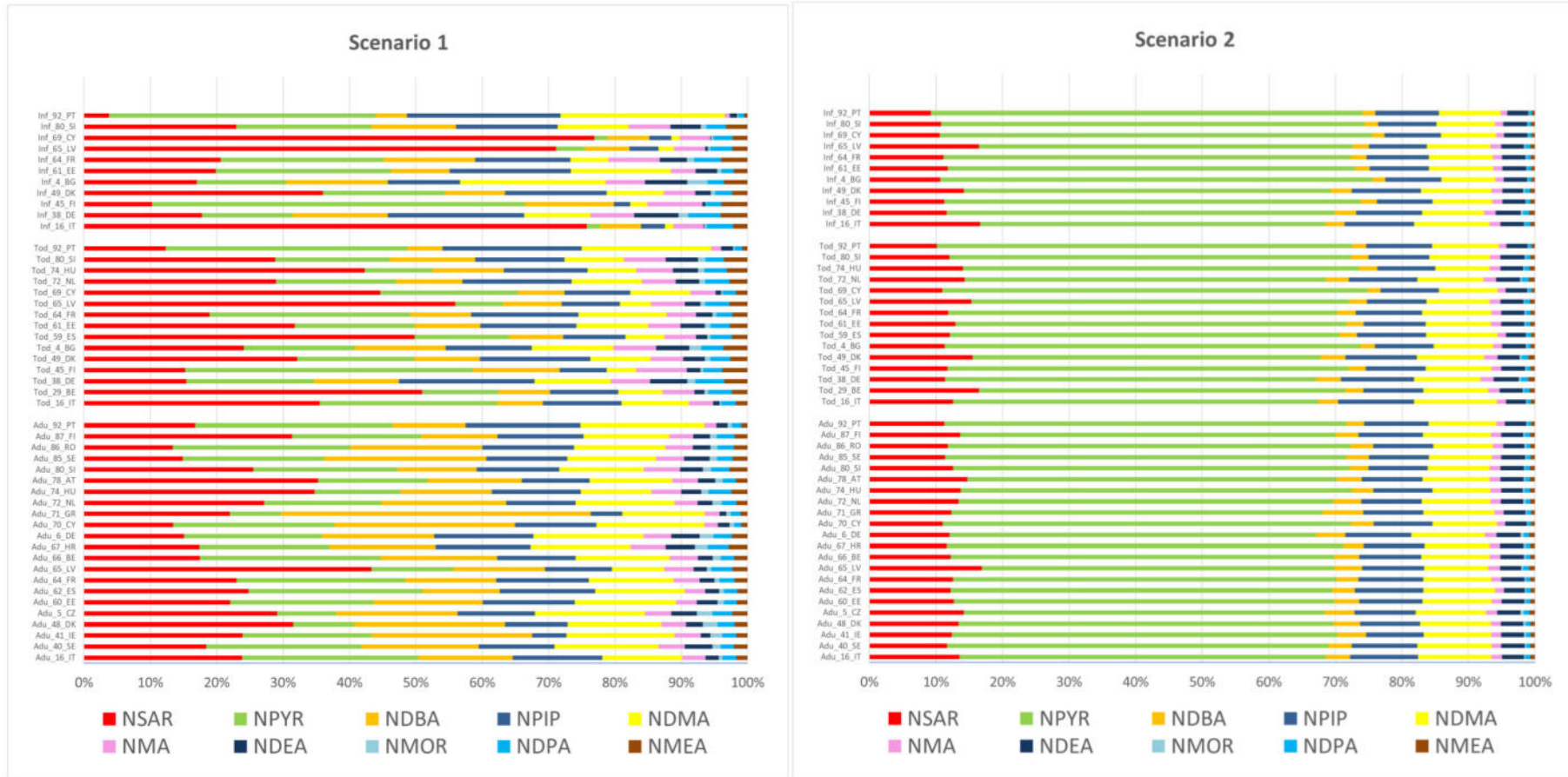
In scenario 1, the main contributor for most of the infant, adult and toddler surveys to the LB mean of the dietary exposure was NSAR (from 4% to 77%) while in scenario 2, it was NPYR (from 52% to 65%).

In scenario 1, five *N*-NAs contributed to more than 80% of the mean LB dietary exposure to TCNAs in almost all of these surveys, namely NSAR, NPYR, NDBA, NPIP and NDMA.

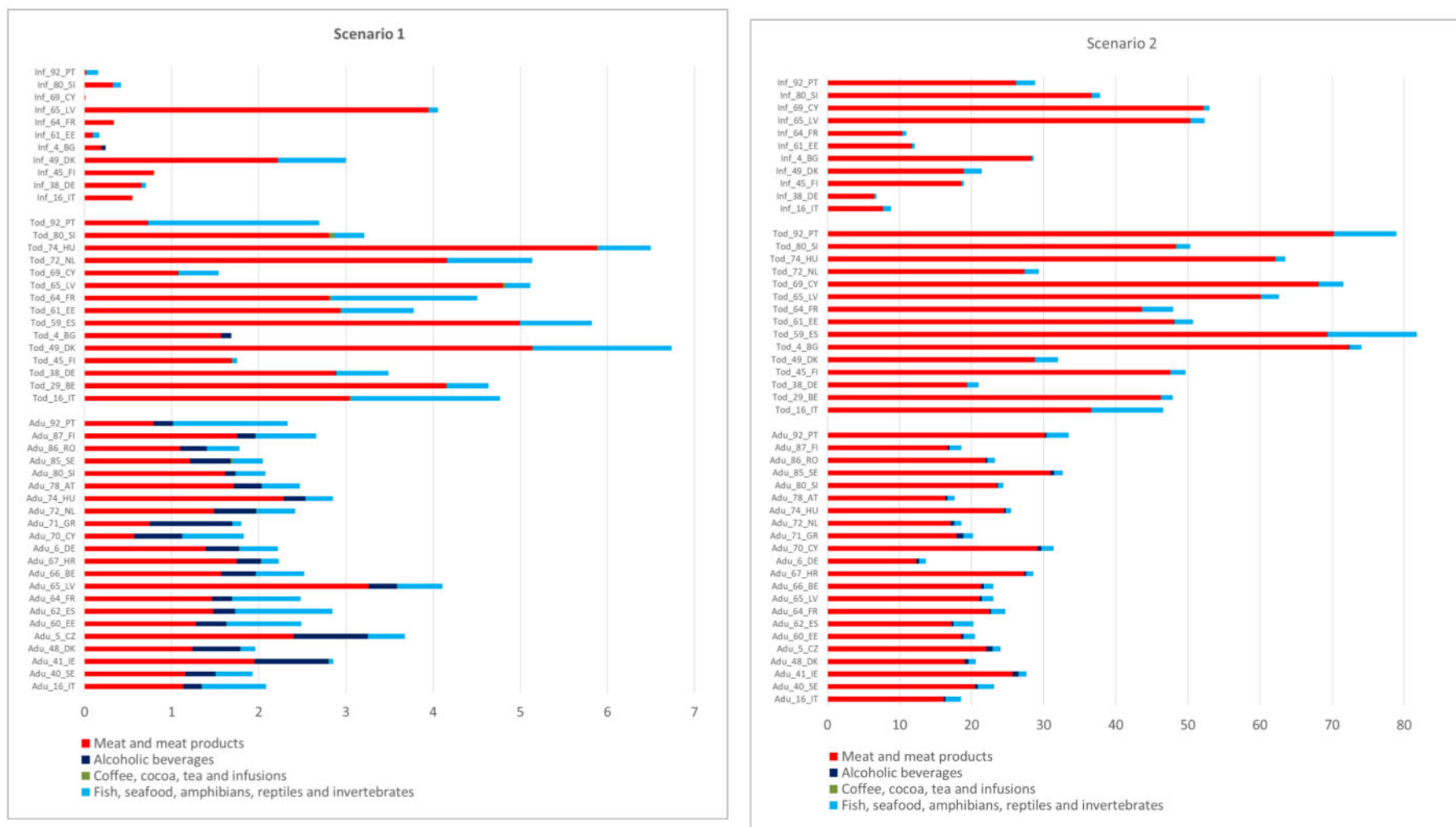
In scenario 2, four *N*-NAs contributed to more than 85% of the mean LB dietary exposure to TCNA in all of these surveys, namely NPYR, NSAR, NPIP and NDMA.



**Graph 1:** Mean LB dietary exposure to the individual TCNAs for infants, toddlers and adult surveys (ng/kg bw per day) for scenario 1 and 2



**Graph 2:** Percentage contribution to the mean LB dietary exposure of the individual TCNAs for infants, toddlers and adult surveys for scenarios 1 and 2



**Graph 3:** Contribution of each food category at the level 1 of the Foodex2 classification to the mean LB dietary exposure to TCNAs, across for infants, toddlers and adult surveys for scenarios 1 and 2 (ng/kg bw per day)



Graphs 3 shows the contribution of each food category at the level 1 of the Foodex2 classification to the mean LB dietary exposure to TCNAs across surveys for scenarios 1 and 2.

For both scenarios, at FoodEx2 level 1, meat and meat products was shown to be the main contributing food category to the TCNAs dietary exposure for all age groups. In scenario 1, meat and meat products accounts from 7% to 100% of the TCNA dietary exposure across surveys. In scenario 2, meat and meat products accounts from 20% to 99% of the TCNA dietary exposure across all surveys.

In scenario 2, cooked unprocessed meat contributed from 70% to 98% to the dietary exposure to TCNAs across surveys, while processed meat from less than 1% to 16%. Cooked unprocessed fish contributes from 1% to 18% while processed fish and seafood from less than 1% to 5%.

In scenario 1, 'Fish, seafood, amphibians, reptiles and invertebrates' (processed fish and seafood) was also a main contributing food category for all age groups, and 'Alcoholic beverages' (beer and unsweetened spirits and liqueurs) for adults, the elderly and the very elderly.

In scenario 1, 'Fish, seafood, amphibians, reptiles and invertebrates' (processed fish and seafood) contributed from 0 to 85% to the TCNA dietary exposure across surveys. 'Alcoholic beverages' (beer and unsweetened spirits and liqueurs) contributed from 0% to 59% to the TCNA dietary exposure across surveys.

For the individual compounds, in both scenarios, the main contributing food category at the Foodex2 level 1 was meat and meat products for all N-NAs. 'Alcoholic beverages' (beer and unsweetened spirits and liqueurs) was also a main contributor for NDBA, NDMA and NMOR in adolescents, adults, elderly and very elderly in both scenarios. 'Fish, seafood, amphibians, reptiles and invertebrates' (processed fish and seafood categories only) was also a main contributor in scenario 1 for NDMA, NPIP and NPYR in all age groups and for NDEA in adults, the elderly and the very elderly and in scenario 2 for NDMA and NPIP in all age groups.

Table 23 shows the total number of surveys across all age groups in which each food category contributed more than 10% to the dietary exposure of each individual N-NA and to the total TCNAs in the two scenarios. Details of the number of surveys per contribution class at the level 1 of the foodex 2 classification for each age class for the two scenarios are given in Table C.9 and C.10 of Annex C.

Detail contributions of food categories at the foodex2 level 3 classification for the two scenarios are given in Table C.11 and C.12 of Annex C. Ranges of contribution across surveys for food categories reclassified based on their included subcategories (e.g. processed vs. unprocessed meat and fish) for each age class in each scenario are detailed in Table C.13 in Annex C.

**Table 23:** Number of surveys (out of 121) across all age groups in which each food category contributed more than 10% to the LB dietary exposure of each individual N-NAs and to the TCNAs in the two scenarios

N-NAs	Scenario 1					Scenario 2				
	Alcoholic beverages	Coffee, cocoa, tea and infusions	Fish, seafood, amphibians, reptiles and invertebrates	Meat and meat products	Seasoning, sauces and condiments	Alcoholic beverages	Coffee, cocoa, tea and infusions	Fish, seafood, amphibians, reptiles and invertebrates	Meat and meat products	Seasoning, sauces and condiments
<b>NDBA</b>	62		27	117		52		33	121	
<b>NDEA</b>	27		71	121		0		0	121	
<b>NDMA</b>	59	0	108	115	1	6	0	96	121	0
<b>NDPA</b>				121					121	
<b>NMA</b>				121					121	
<b>NMEA</b>				121					121	
<b>NMOR</b>	66			121		51			121	
<b>NPIP</b>		1	109	121			0	88	121	
<b>NPYR</b>		1	108	116			0	10	121	
<b>NSAR</b>				121					121	
<b>TCNAs</b>	41	0	89	121	0	0	0	23	121	0

It is to be noted that meat and meat products was the only food category for which data were available for NDPA, NMA, NMEA and NSAR.

As described in Section 3.3.1, for both scenarios, the following food categories were not included in the dietary exposure assessment of any of the N-NAs due to lack of occurrence data: 'Fruit and fruit products'; fruit and vegetable juices and nectars (including concentrates); grains and grain-based products; legumes, nuts, oilseeds and spices; milk and dairy products; starchy roots or tubers and products thereof; sugar plants; vegetables and vegetable products; water and water-based beverages.

### 3.4. Risk characterisation

As explained in Section 3.1.8, the CONTAM Panel selected the BMDL<sub>10</sub> of 10 µg/kg bw per day for the induction of any liver tumour (benign and malignant tumours combined) in female rats as a reference point for the risk characterisation of the NDEA, but also of the TCNAs, by applying a conservative approach in which the same potency has been attributed to all of them.

Comparison of the chronic dietary exposure to NDEA and to TCNAs and across dietary surveys and age groups for the scenarios 1 and 2 reported in Section 3.3.2 (Tables 19–22) to the BMDL<sub>10</sub> of 10 µg/kg bw per day, results in the MOE values presented in Tables 24–27.

At the mean exposure, in the scenario 1, the MOEs ranges (maximum–minimum) obtained were from  $6.4 \times 10^8 - 4 \times 10^4$  (LB) and from  $2.5 \times 10^6 - 3,621$  (UB) for NDEA. For TCNAs, MOEs were  $9.5 \times 10^5 - 1,483$  (LB) and  $4.7 \times 10^5 - 581$  (UB).

In the scenario 2, the MOEs were  $3.9 \times 10^4 - 3,706$  (LB) and  $3.1 \times 10^4 - 1,721$  (UB) for NDEA. For TCNAs, the MOEs were 1,479 – 122 (LB) and 1,230 – 107 (UB) for TCNAs.

At the P95 exposure, excluding some infant surveys with P95 exposure equal to zero, in scenario 1, the MOE ranges (maximum–minimum) obtained were  $1.6 \times 10^5 - 1.2 \times 10^4$  (LB) and  $4.4 \times 10^4 - 584$  (UB) for NDEA. For TCNAs the MOEs were 3,337 - 376 (LB) and 1,563 – 183 (UB). In scenario 2, the MOEs were 9,825 – 1,492 (LB) and 7,344 – 543 (UB) for NDEA. For TCNAs the MOEs were 322 – 51 (LB) and 288 – 48 (UB).

For substances that are both genotoxic and carcinogenic, the EFSA Scientific Committee stated that an MOE of 10,000 or higher, if based on the BMDL<sub>10</sub> from an animal carcinogenicity study, would be of

low concern from a public health point of view (EFSA, 2005). Considering the TCNAs, most of the MOEs are lower than 10,000 for both exposure scenarios which raises a health concern for all age groups. According to the two scenarios, the MOEs for toddlers, infants and adolescents are lower compared to other age groups.

Even in an alternative scenario attributing a potency factor of 0.2 to NDBA, NDPA, NMA, NPYR, NPIP and NSAR (Section 3.1.8), most of the MOEs for the TCNAs would remain lower than 10,000 in both exposure scenarios.

**Table 24:** Margin of exposure (MOE) values based on the mean dietary exposure to N-NAs for the incidence of any liver cancer across dietary surveys and age groups for scenario 1

Mean	Infants (11)			Toddlers (15)			Children (19)			Adolescents (21)			Adults (22)			Elderly (19)			Very elderly (14)			
	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	
NDMA	Min	7.2E+07	8.1E+06	4.3E+06	1.3E+05	2.2E+04	1.3E+04	1.5E+05	1.8E+04	<b>9,305</b>	1.1E+05	2.9E+04	1.6E+04	4.5E+04	2.6E+04	1.9E+04	6.7E+04	4.7E+04	3.7E+04	1.2E+05	2.8E+04	2.2E+04
	Max	3.9E+04	<b>6,140</b>	<b>3,089</b>	1.6E+04	<b>3,822</b>	<b>1,940</b>	1.2E+04	<b>3,808</b>	<b>2,064</b>	2.2E+04	<b>4,754</b>	<b>2,582</b>	1.6E+04	<b>7,125</b>	<b>4,085</b>	2.5E+04	<b>8,501</b>	<b>4,900</b>	2.3E+04	<b>7,223</b>	<b>4,058</b>
NMEA	Min	4.3E+07	4.3E+07	4.3E+07	4.7E+05	4.7E+05	4.7E+05	5.9E+05	5.9E+05	5.9E+05	3.4E+05	3.4E+05	3.4E+05	5.8E+05	5.8E+05	5.8E+05	1.3E+06	1.3E+06	1.3E+06	1.0E+06	1.0E+06	1.0E+06
	Max	1.1E+05	1.1E+05	1.1E+05	4.9E+04	4.9E+04	4.9E+04	5.4E+04	5.4E+04	5.4E+04	9.2E+04	9.2E+04	9.2E+04	1.1E+05	1.1E+05	1.1E+05	1.2E+05	1.2E+05	1.2E+05	1.2E+05	1.1E+05	1.2E+05
NDEA	Min	6.4E+08	2.8E+06	2.5E+06	8.1E+05	1.3E+05	8.4E+04	6.3E+05	2.4E+05	1.5E+05	6.7E+05	6.4E+04	3.9E+04	5.5E+05	3.8E+04	2.1E+04	1.1E+06	8.2E+04	4.2E+04	4.8E+05	7.6E+04	4.1E+04
	Max	1.4E+05	<b>7,315</b>	<b>3,681</b>	4.0E+04	<b>7,488</b>	<b>3,887</b>	4.3E+04	<b>6,897</b>	<b>3,621</b>	7.9E+04	1.0E+04	<b>5,371</b>	7.0E+04	<b>8,231</b>	<b>4,372</b>	1.1E+05	<b>9,167</b>	<b>4,724</b>	1.1E+05	<b>8,331</b>	<b>4,233</b>
NDPA	Min	3.3E+07	1.5E+07	9.3E+06	3.3E+05	1.3E+05	8.4E+04	5.7E+05	1.8E+05	1.1E+05	2.7E+05	1.0E+05	6.6E+04	5.3E+05	1.8E+05	1.1E+05	1.1E+06	4.0E+05	2.4E+05	6.4E+05	3.0E+05	2.0E+05
	Max	7.5E+04	3.5E+04	2.3E+04	4.6E+04	1.4E+04	<b>8,078</b>	4.8E+04	1.5E+04	<b>8,881</b>	6.76E+04	2.6E+04	1.6E+04	7.5E+04	3.2E+04	2.0E+04	8.2E+04	3.6E+04	2.3E+04	7.8E+04	3.5E+04	2.2E+04
NDBA	Min	1.5E+07	1.5E+07	1.5E+07	9.2E+04	9.2E+04	9.2E+04	1.5E+05	1.5E+05	1.5E+05	6.9E+04	6.5E+04	6.4E+04	4.1E+04	2.8E+04	2.3E+04	6.1E+04	5.4E+04	5.0E+04	8.2E+04	7.0E+04	6.8E+04
	Max	3.7E+04	3.7E+04	3.7E+04	1.4E+04	1.4E+04	1.4E+04	1.6E+04	1.6E+04	1.6E+04	2.5E+04	2.2E+04	1.7E+04	1.2E+04	<b>7,444</b>	<b>4,972</b>	1.2E+04	<b>9,304</b>	<b>7,102</b>	<b>7,550</b>	<b>6,198</b>	<b>5,258</b>
NMA	Min	2.1E+07	2.1E+07	2.0E+07	2.5E+05	2.5E+05	2.5E+05	2.9E+05	2.9E+05	2.9E+05	1.6E+05	1.6E+05	1.6E+05	2.7E+05	2.7E+05	2.7E+05	6.1E+05	6.1E+05	6.1E+05	5.7E+05	5.7E+05	5.7E+05
	Max	5.2E+04	5.2E+04	5.2E+04	2.8E+04	2.8E+04	2.8E+04	3.1E+04	3.1E+04	3.1E+04	5.0E+04	5.0E+04	5.0E+04	5.5E+04	5.5E+04	5.5E+04	6.1E+04	6.1E+04	6.1E+04	5.4E+04	5.4E+04	5.4E+04
NSAR	Min	1.7E+06	1.7E+06	1.7E+06	3.7E+04	3.7E+04	3.7E+04	1.6E+05	1.6E+05	1.6E+05	3.7E+04	3.7E+04	3.7E+04	4.2E+04	4.2E+04	4.2E+04	8.5E+04	8.5E+04	8.5E+04	6.8E+04	6.8E+04	6.8E+04
	Max	<b>3,465</b>	<b>3,465</b>	<b>3,465</b>	<b>3,450</b>	<b>3,450</b>	<b>3,450</b>	<b>3,368</b>	<b>3,368</b>	<b>3,368</b>	<b>5,159</b>	<b>5,159</b>	<b>5,159</b>	<b>5,620</b>	<b>5,620</b>	<b>5,620</b>	<b>5,372</b>	<b>5,372</b>	<b>5,372</b>	<b>5,454</b>	<b>5,454</b>	<b>5,454</b>
NMOR	Min	2.7E+08	2.7E+08	2.8E+08	2.4E+06	2.4E+06	2.4E+06	3.4E+06	3.0E+06	2.6E+06	1.9E+06	1.1E+06	8.2E+05	8.0E+05	1.2E+05	6.7E+04	2.0E+06	3.9E+05	2.2E+05	2.6E+06	9.7E+05	6.0E+05
	Max	4.9E+05	1.6E+05	8.6E+04	1.6E+05	6.2E+04	3.4E+04	1.7E+05	7.0E+04	4.0E+04	2.8E+05	6.1E+04	3.4E+04	1.2E+05	1.2E+04	<b>6,481</b>	2.2E+05	2.4E+04	1.3E+04	2.5E+05	2.8E+04	1.5E+04
NPIP	Min	2.9E+07	1.3E+07	8.7E+06	8.0E+04	4.9E+04	4.0E+04	9.4E+04	7.5E+04	6.2E+04	1.0E+05	6.9E+04	5.2E+04	1.2E+05	8.6E+04	6.9E+04	1.5E+05	1.2E+05	1.1E+05	1.4E+05	9.1E+04	6.9E+04
	Max	2.2E+04	1.8E+04	1.5E+04	<b>8,906</b>	<b>7,209</b>	<b>6,055</b>	1.1E+04	<b>9,420</b>	<b>7,411</b>	1.8E+04	1.5E+04	1.2E+04	2.3E+04	1.8E+04	1.4E+04	2.7E+04	2.0E+04	1.6E+04	3.2E+04	2.5E+04	1.9E+04
NPYR	Min	4.7E+07	4.7E+07	4.8E+07	3.5E+04	2.4E+04	1.9E+04	3.5E+04	2.6E+04	2.1E+04	6.8E+04	3.9E+04	2.7E+04	7.2E+04	4.2E+04	2.9E+04	1.1E+05	7.3E+04	5.6E+04	2.5E+05	4.7E+04	2.6E+04
	Max	1.8E+04	<b>6,018</b>	<b>3,609</b>	<b>7,345</b>	<b>2,847</b>	<b>1,713</b>	<b>6,674</b>	<b>4,366</b>	<b>2,497</b>	1.1E+04	<b>6,800</b>	<b>4,421</b>	1.3E+04	<b>8,523</b>	<b>5,237</b>	1.7E+04	<b>9,359</b>	<b>5,766</b>	1.3E+04	<b>9,097</b>	<b>5,361</b>
TCNAs	Min	9.5E+05	6.3E+05	4.7E+05	<b>6,481</b>	<b>4,091</b>	<b>2,989</b>	1.5E+04	<b>7,329</b>	<b>4,872</b>	<b>7,169</b>	<b>4,378</b>	<b>3,151</b>	<b>5,616</b>	<b>3,811</b>	<b>2,903</b>	<b>8,732</b>	<b>6,856</b>	<b>5,644</b>	1.0E+04	<b>5,837</b>	<b>4,874</b>
	Max	<b>2,466</b>	<b>1,514</b>	<b>1,092</b>	<b>1,483</b>	8.4E+02	<b>581</b>	<b>1,678</b>	<b>930</b>	<b>644</b>	<b>2,252</b>	<b>1,259</b>	<b>874</b>	<b>2,435</b>	<b>1,265</b>	<b>825</b>	<b>2,570</b>	<b>1,530</b>	<b>1,089</b>	<b>3,160</b>	<b>1,594</b>	<b>1,056</b>
TCNAs with PF	Min	4.2E+06	1.4E+06	8.2E+05	2.2E+04	<b>8,387</b>	<b>5,687</b>	4.6E+04	1.3E+04	<b>7,457</b>	2.5E+04	<b>9,098</b>	<b>5,537</b>	<b>17,241</b>	<b>8,402</b>	<b>5,555</b>	2.7E+04	1.7E+04	1.2E+04	3.0E+04	1.3E+04	<b>9,708</b>
	Max	1.0E+04	<b>3,171</b>	<b>1,880</b>	<b>4,544</b>	<b>1,859</b>	<b>1,098</b>	<b>5,348</b>	<b>1,855</b>	<b>1,109</b>	<b>7,609</b>	<b>2,447</b>	<b>1,458</b>	<b>6,779</b>	<b>2,335</b>	<b>1,411</b>	<b>8,608</b>	<b>3,230</b>	<b>1,988</b>	<b>9,253</b>	<b>3,247</b>	<b>1,864</b>

**Table 25:** Margin of exposure (MOE) values based on the P95 chronic dietary exposure to N-NAs for the incidence of any liver cancer across dietary surveys and age groups for scenario 1

P95	Infants (9)*			Toddlers (13)			Children (19)			Adolescents (20)			Adults (22)			Elderly (19)			Very elderly (10)			
	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	
NDMA	Min	-	-	-	3.0E+04	<b>4,006</b>	<b>2,295</b>	3.6E+04	<b>4,136</b>	<b>2,251</b>	2.9E+04	<b>9,127</b>	<b>5,195</b>	1.1E+04	<b>6,878</b>	<b>4,781</b>	1.4E+04	<b>8,818</b>	<b>7,156</b>	4.9E+04	<b>8,828</b>	<b>6,314</b>
	Max	<b>9,049</b>	<b>1,481</b>	<b>744</b>	<b>2,802</b>	<b>882</b>	<b>471</b>	<b>2,647</b>	<b>1,310</b>	<b>669</b>	<b>5,307</b>	<b>1,599</b>	<b>842</b>	<b>4,429</b>	<b>2,236</b>	<b>1,217</b>	<b>6,284</b>	<b>2,580</b>	<b>1,299</b>	<b>6,163</b>	<b>1,614</b>	<b>811</b>
NMEA	Min	-	-	-	8.2E+04	8.2E+04	8.2E+04	1.2E+05	1.2E+05	1.2E+05	9.9E+04	9.9E+04	9.9E+04	1.4E+05	1.4E+05	1.4E+05	2.0E+05	2.0E+05	2.0E+05	2.2E+05	2.2E+05	2.2E+05
	Max	1.8E+04	1.8E+04	1.8E+04	1.8E+04	1.8E+04	1.8E+04	2.0E+04	2.0E+04	2.0E+04	3.2E+04	3.2E+04	3.2E+04	3.5E+04	3.5E+04	3.5E+04	3.7E+04	3.7E+04	3.7E+04	5.4E+04	5.4E+04	5.4E+04
NDEA	Min	-	-	-	1.6E+05	2.3E+04	2.3E+04	8.8E+04	5.0E+04	4.4E+04	1.1E+05	1.5E+04	<b>8,505</b>	1.1E+05	<b>9,542</b>	<b>4,832</b>	1.5E+05	1.6E+04	<b>7,856</b>	1.1E+05	1.7E+04	<b>8,745</b>
	Max	3.1E+04	<b>1,165</b>	<b>584</b>	1.2E+04	<b>1,633</b>	<b>818</b>	1.4E+04	<b>1,841</b>	<b>940</b>	2.3E+04	<b>2,721</b>	<b>1,389</b>	2.1E+04	<b>2,362</b>	<b>1,221</b>	3.4E+04	<b>2,316</b>	<b>1,168</b>	3.2E+04	<b>3,527</b>	<b>1,768</b>
NDPA	Min	-	-	-	6.1E+04	2.5E+04	1.6E+04	9.9E+04	3.6E+04	2.2E+04	7.0E+04	3.2E+04	1.9E+04	1.1E+05	4.5E+04	2.8E+04	1.9E+05	6.2E+04	3.8E+04	1.2E+05	6.5E+04	4.1E+04
	Max	1.2E+04	<b>5,541</b>	<b>3,841</b>	1.4E+04	<b>4,927</b>	<b>2,734</b>	1.5E+04	<b>5,446</b>	<b>3,165</b>	2.1E+04	<b>8,564</b>	<b>5,178</b>	2.2E+04	1.0E+04	<b>6,325</b>	2.1E+04	1.1E+04	<b>7,098</b>	3.2E+04	1.5E+04	9.9E+03
NDBA	Min	-	-	-	2.0E+04	2.0E+04	2.0E+04	3.3E+04	3.3E+04	3.3E+04	2.8E+04	2.5E+04	2.5E+04	1.4E+04	<b>7.6E+03</b>	<b>5.6E+03</b>	2.2E+04	1.5E+04	1.2E+04	3.1E+04	2.8E+04	2.2E+04
	Max	<b>6,025</b>	<b>6,025</b>	<b>6,025</b>	<b>5,284</b>	<b>5,284</b>	<b>4,500</b>	<b>5,668</b>	<b>5,668</b>	<b>5,011</b>	<b>8,661</b>	<b>6,702</b>	<b>4,154</b>	<b>1,997</b>	<b>1,816</b>	<b>1,184</b>	<b>2,075</b>	<b>2,008</b>	<b>1,875</b>	<b>1,825</b>	<b>1,573</b>	<b>1,382</b>
NMA	Min	-	-	-	4.4E+04	4.4E+04	4.4E+04	6.0E+04	6.0E+04	6.0E+04	4.7E+04	4.7E+04	4.7E+04	7.1E+04	7.1E+04	7.1E+04	1.2E+05	1.2E+05	1.2E+05	1.3E+05	1.3E+05	1.3E+05
	Max	<b>8,696</b>	<b>8,696</b>	<b>8,696</b>	1.0E+04	1.0E+04	1.0E+04	1.1E+04	1.1E+04	1.1E+04	1.6E+04	1.6E+04	1.6E+04	1.8E+04	1.8E+04	1.8E+04	1.8E+04	1.8E+04	1.8E+04	2.5E+04	2.5E+04	2.5E+04
NSAR	Min	-	-	-	<b>8,835</b>	<b>8,835</b>	<b>8,835</b>	3.1E+04	3.1E+04	3.1E+04	1.0E+04	1.0E+04	1.0E+04	1.2E+04	1.2E+04	1.2E+04	1.2E+04	1.2E+04	1.2E+04	1.3E+04	1.3E+04	1.3E+04
	Max	<b>520</b>	<b>520</b>	<b>520</b>	<b>730</b>	<b>730</b>	<b>730</b>	<b>839</b>	<b>839</b>	<b>839</b>	<b>1,310</b>	<b>1,310</b>	<b>1,310</b>	<b>1,391</b>	<b>1,391</b>	<b>1,391</b>	<b>1,277</b>	<b>1,277</b>	<b>1,277</b>	<b>2,505</b>	<b>2,505</b>	<b>2,505</b>
NMOR	Min	-	-	-	4.3E+05	4.3E+05	4.3E+05	4.6E+05	4.6E+05	4.6E+05	4.8E+05	4.7E+05	4.7E+05	2.1E+05	2.2E+04	1.2E+04	3.7E+05	5.6E+04	3.0E+04	4.5E+05	3.6E+05	3.6E+05
	Max	1.1E+05	1.1E+05	1.1E+05	5.1E+04	1.1E+04	<b>5,540</b>	5.9E+04	1.1E+04	<b>5,848</b>	9.1E+04	1.3E+04	<b>7,086</b>	3.1E+04	<b>2,787</b>	<b>1,459</b>	5.4E+04	<b>5,098</b>	<b>2,671</b>	7.4E+04	<b>7,256</b>	<b>3,816</b>
NPIP	Min	-	-	-	1.7E+04	9.6E+03	7.0E+03	1.7E+04	1.4E+04	1.2E+04	2.7E+04	2.0E+04	1.6E+04	2.2E+04	1.9E+04	1.6E+04	2.6E+04	2.5E+04	2.2E+04	3.1E+04	2.5E+04	1.8E+04
	Max	<b>5,333</b>	<b>4,477</b>	<b>3,403</b>	<b>2,576</b>	<b>2,576</b>	<b>2,347</b>	<b>2,619</b>	<b>2,593</b>	<b>2,486</b>	<b>4,770</b>	<b>4,560</b>	<b>4,053</b>	<b>5,971</b>	<b>5,477</b>	<b>4,609</b>	<b>6,832</b>	<b>6,343</b>	<b>4,950</b>	<b>8,994</b>	<b>8,300</b>	<b>7,444</b>
NPNR	Min	-	-	-	<b>9,838</b>	<b>3,526</b>	<b>2,940</b>	1.1E+04	<b>5,730</b>	<b>4,282</b>	1.8E+04	<b>8,530</b>	<b>4,934</b>	2.1E+04	<b>9,285</b>	<b>6,482</b>	1.9E+04	1.23E+04	<b>8,539</b>	6.7E+04	<b>8,189</b>	<b>4,725</b>
	Max	<b>4,448</b>	<b>1,601</b>	<b>924</b>	<b>1,258</b>	<b>1,095</b>	<b>753</b>	<b>1,430</b>	<b>1,360</b>	<b>890</b>	<b>2,642</b>	<b>2,222</b>	<b>1,398</b>	<b>3,191</b>	<b>2,306</b>	<b>1,372</b>	<b>3,758</b>	<b>2,876</b>	<b>1,817</b>	<b>3,248</b>	<b>2,515</b>	<b>1,496</b>
TCNAs	Min	-	-	-	<b>1,523</b>	<b>803</b>	<b>580</b>	<b>3,242</b>	<b>1,639</b>	<b>1,129</b>	<b>2,290</b>	<b>1,396</b>	<b>1,036</b>	<b>2,089</b>	<b>1,012</b>	<b>771</b>	<b>2,727</b>	<b>1,398</b>	<b>1,022</b>	<b>3,337</b>	<b>1,789</b>	<b>1,563</b>
	Max	<b>376</b>	<b>235</b>	<b>183</b>	<b>511</b>	<b>304</b>	<b>215</b>	<b>546</b>	<b>328</b>	<b>238</b>	<b>809</b>	<b>467</b>	<b>331</b>	<b>765</b>	<b>409</b>	<b>256</b>	<b>756</b>	<b>470</b>	<b>326</b>	<b>999</b>	<b>704</b>	<b>452</b>
TCNAs with PF.	Min	-	-	-	<b>4,897</b>	<b>1,825</b>	<b>1,080</b>	<b>9,747</b>	<b>2,858</b>	<b>1,626</b>	<b>8,118</b>	<b>2,945</b>	<b>1,781</b>	<b>5,161</b>	<b>2,256</b>	<b>1,416</b>	<b>6,430</b>	<b>3,014</b>	<b>2,341</b>	<b>6,772</b>	<b>3,730</b>	<b>2,672</b>
	Max	<b>1,614</b>	<b>520</b>	<b>320</b>	<b>1,445</b>	<b>599</b>	<b>338</b>	<b>1,527</b>	<b>674</b>	<b>408</b>	<b>2,655</b>	<b>920</b>	<b>533</b>	<b>2,194</b>	<b>705</b>	<b>414</b>	<b>2,600</b>	<b>974</b>	<b>568</b>	<b>3,546</b>	<b>1,354</b>	<b>756</b>

\*: - indicates zero exposure.



**Table 26:** Margin of exposure (MOE) values based on the mean exposure to N-NA<sub>s</sub> for the incidence of any liver cancer across dietary surveys and age groups for scenario 2

Mean	Infants (11)			Toddlers (15)			Children (19)			Adolescents (21)			Adults (22)			Elderly (19)			Very elderly (14)			
	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	
NDMA	Min	1.6E+04	1.3E+04	1.1E+04	<b>4,767</b>	<b>3,459</b>	<b>2,714</b>	<b>4,405</b>	<b>3,318</b>	<b>2,724</b>	<b>7,599</b>	<b>5,056</b>	<b>3,788</b>	<b>6,642</b>	<b>4,515</b>	<b>3,988</b>	<b>7,111</b>	<b>5,670</b>	<b>5,375</b>	<b>7,846</b>	<b>5,235</b>	<b>4,178</b>
	Max	<b>1996</b>	<b>1738</b>	<b>1539</b>	<b>1,141</b>	<b>908</b>	<b>754</b>	<b>1,407</b>	<b>1,219</b>	<b>959</b>	<b>2,211</b>	<b>1,824</b>	<b>1,376</b>	<b>2,921</b>	<b>2,617</b>	<b>2,193</b>	<b>3,843</b>	<b>2,864</b>	<b>2,282</b>	<b>4,163</b>	<b>2,860</b>	<b>2,178</b>
NMEA	Min	1.7E+05	1.7E+05	1.7E+05	4.8E+04	4.8E+04	4.8E+04	4.9E+04	4.9E+04	4.9E+04	8.4E+04	8.4E+04	8.4E+04	8.7E+04	8.7E+04	8.7E+04	1.2E+05	1.2E+05	1.2E+05	1.1E+05	1.1E+05	1.1E+05
	Max	2.7E+04	2.7E+04	2.7E+04	2.0E+04	2.0E+04	2.0E+04	2.2E+04	2.2E+04	2.2E+04	3.7E+04	3.7E+04	3.7E+04	4.8E+04	4.8E+04	4.8E+04	6.2E+04	6.2E+04	6.2E+04	5.1E+04	5.1E+04	5.1E+04
NDEA	Min	3.9E+04	3.5E+04	3.1E+04	1.3E+04	1.1E+04	<b>9,029</b>	1.2E+04	<b>9,755</b>	<b>8,275</b>	2.1E+04	1.4E+04	1.1E+04	2.0E+04	1.1E+04	<b>7,228</b>	2.4E+04	1.4E+04	1.1E+04	2.5E+04	1.4E+04	<b>9,685</b>
	Max	<b>5,349</b>	<b>3,075</b>	<b>2,129</b>	<b>3,706</b>	<b>2,418</b>	<b>1,721</b>	<b>3,963</b>	<b>3,136</b>	<b>2,197</b>	<b>6,342</b>	<b>4,748</b>	<b>3,270</b>	<b>8,459</b>	<b>5,017</b>	<b>3,175</b>	1.2E+04	<b>6,046</b>	<b>3,662</b>	1.2E+04	<b>5,046</b>	<b>3,152</b>
NDPA	Min	1.5E+05	4.9E+04	2.9E+04	4.2E+04	1.3E+04	<b>7,974</b>	5.1E+04	1.5E+04	<b>8,855</b>	7.4E+04	2.4E+04	1.5E+04	8.2E+04	2.5E+04	1.5E+04	1.2E+05	3.7E+04	2.2E+04	1.0E+05	3.1E+04	1.8E+04
	Max	2.5E+04	<b>8,403</b>	<b>5,045</b>	2.0E+04	<b>5,880</b>	<b>3,450</b>	2.2E+04	<b>6,539</b>	<b>3,850</b>	3.5E+04	1.1E+04	<b>6,547</b>	4.3E+04	1.4E+04	<b>8,442</b>	5.1E+04	1.8E+04	1.1E+04	4.3E+04	1.5E+04	<b>9,362</b>
NDBA	Min	4.6E+04	4.6E+04	4.6E+04	1.3E+04	1.2E+04	1.2E+04	1.2E+04	1.2E+04	1.2E+04	1.8E+04	1.7E+04	1.6E+04	1.6E+04	1.3E+04	1.2E+04	1.9E+04	1.7E+04	1.7E+04	2.2E+04	2.0E+04	2.0E+04
	Max	<b>7,648</b>	<b>7,648</b>	<b>7,648</b>	<b>4,765</b>	<b>4,765</b>	<b>4,765</b>	<b>6,060</b>	<b>5,724</b>	<b>5,423</b>	<b>9,438</b>	<b>9,413</b>	<b>9,244</b>	<b>8,044</b>	<b>5,688</b>	<b>4,122</b>	<b>8,562</b>	<b>6,993</b>	<b>5,672</b>	<b>5,913</b>	<b>5,051</b>	<b>4,408</b>
NMA	Min	8.3E+04	8.3E+04	8.3E+04	2.4E+04	2.4E+04	2.4E+04	2.3E+04	2.3E+04	2.3E+04	4.1E+04	4.1E+04	4.1E+04	4.2E+04	4.2E+04	4.2E+04	5.2E+04	5.2E+04	5.2E+04	5.2E+04	5.2E+04	5.2E+04
	Max	1.2E+04	1.2E+04	1.2E+04	<b>9,463</b>	<b>9,463</b>	<b>9,463</b>	<b>9,952</b>	<b>9,952</b>	<b>9,952</b>	1.6E+04	1.6E+04	1.6E+04	2.1E+04	2.1E+04	2.1E+04	2.6E+04	2.6E+04	2.6E+04	2.2E+04	2.2E+04	2.2E+04
NSAR	Min	1.3E+04	1.3E+04	1.3E+04	<b>4,194</b>	<b>4,194</b>	<b>4,194</b>	<b>3,871</b>	<b>3,871</b>	<b>3,871</b>	<b>6,201</b>	<b>6,201</b>	<b>6,201</b>	<b>6,118</b>	<b>6,118</b>	<b>6,118</b>	<b>6,870</b>	<b>6,870</b>	<b>6,870</b>	<b>7,882</b>	<b>7,882</b>	<b>7,882</b>
	Max	<b>1,160</b>	<b>1,160</b>	<b>1,160</b>	<b>1,014</b>	<b>1,014</b>	<b>1,014</b>	<b>1,248</b>	<b>1,248</b>	<b>1,248</b>	<b>1,767</b>	<b>1,767</b>	<b>1,767</b>	<b>2,572</b>	<b>2,572</b>	<b>2,572</b>	<b>2,865</b>	<b>2,865</b>	<b>2,865</b>	<b>2,419</b>	<b>2,419</b>	<b>2,419</b>
NMOR	Min	6.8E+05	6.8E+05	6.8E+05	1.6E+05	1.6E+05	1.6E+05	2.0E+05	2.0E+05	2.0E+05	2.5E+05	2.3E+05	2.3E+05	2.7E+05	9.3E+04	5.7E+04	4.2E+05	2.0E+05	1.4E+05	3.9E+05	3.1E+05	2.6E+05
	Max	1.2E+05	1.0E+05	6.5E+04	7.2E+04	3.9E+04	2.6E+04	7.8E+04	4.3E+04	3.0E+04	1.3E+05	5.6E+04	3.2E+04	8.7E+04	1.2E+04	6.4E+03	1.5E+05	2.3E+04	1.3E+04	1.6E+05	2.7E+04	1.4E+04
NPIP	Min	1.5E+04	1.4E+04	1.3E+04	<b>4,333</b>	<b>4,030</b>	<b>3,766</b>	<b>4,084</b>	<b>3,832</b>	<b>3,610</b>	<b>7,659</b>	<b>7,235</b>	<b>6,856</b>	<b>7,387</b>	<b>6,983</b>	<b>6,621</b>	<b>8,066</b>	<b>7,610</b>	<b>7,203</b>	<b>8,571</b>	<b>8,092</b>	<b>7,663</b>
	Max	<b>2,196</b>	<b>2,120</b>	<b>2,049</b>	<b>1,174</b>	<b>1,143</b>	<b>1,113</b>	<b>1,448</b>	<b>1,429</b>	<b>1,410</b>	<b>2,268</b>	<b>2,233</b>	<b>2,199</b>	<b>3,095</b>	<b>3,056</b>	<b>3,018</b>	<b>4,366</b>	<b>4,286</b>	<b>4,141</b>	<b>4,208</b>	<b>4,079</b>	<b>3,958</b>
NPPYR	Min	<b>2,539</b>	<b>2,347</b>	<b>2,182</b>	<b>856</b>	<b>769</b>	<b>698</b>	<b>779</b>	<b>709</b>	<b>650</b>	<b>1,378</b>	<b>1,277</b>	<b>1,190</b>	<b>1,331</b>	<b>1,243</b>	<b>1,166</b>	<b>1,561</b>	<b>1,448</b>	<b>1,350</b>	<b>1,682</b>	<b>1,562</b>	<b>1,458</b>
	Max	<b>290</b>	<b>290</b>	<b>290</b>	<b>203</b>	<b>201</b>	<b>199</b>	<b>233</b>	<b>230</b>	<b>228</b>	<b>347</b>	<b>344</b>	<b>341</b>	<b>494</b>	<b>488</b>	<b>483</b>	<b>744</b>	<b>728</b>	<b>714</b>	<b>656</b>	<b>623</b>	<b>593</b>
TCNAs	Min	<b>1,479</b>	<b>1,343</b>	<b>1,230</b>	<b>476</b>	<b>417</b>	<b>371</b>	<b>439</b>	<b>390</b>	<b>350</b>	<b>765</b>	<b>661</b>	<b>582</b>	<b>733</b>	<b>610</b>	<b>523</b>	<b>836</b>	<b>687</b>	<b>600</b>	<b>914</b>	<b>767</b>	<b>660</b>
	Max	<b>189</b>	<b>180</b>	<b>169</b>	<b>122</b>	<b>114</b>	<b>107</b>	<b>142</b>	<b>136</b>	<b>131</b>	<b>212</b>	<b>203</b>	<b>195</b>	<b>299</b>	<b>284</b>	<b>268</b>	<b>420</b>	<b>377</b>	<b>341</b>	<b>389</b>	<b>343</b>	<b>306</b>
TCNAs with PF	Min	<b>4,574</b>	<b>4,072</b>	<b>3,669</b>	<b>1,356</b>	<b>1,149</b>	<b>996</b>	<b>1,318</b>	<b>1,124</b>	<b>979</b>	<b>2,296</b>	<b>1,797</b>	<b>1,476</b>	<b>2,276</b>	<b>1,619</b>	<b>1,406</b>	<b>2,564</b>	<b>2,169</b>	<b>2,015</b>	<b>2,785</b>	<b>2,030</b>	<b>1,623</b>
	Max	<b>696</b>	<b>598</b>	<b>525</b>	<b>474</b>	<b>400</b>	<b>345</b>	<b>538</b>	<b>484</b>	<b>430</b>	<b>870</b>	<b>781</b>	<b>637</b>	<b>1,138</b>	<b>949</b>	<b>738</b>	<b>1,423</b>	<b>1,115</b>	<b>917</b>	<b>1,443</b>	<b>1,085</b>	<b>866</b>

**Table 27:** Margin of exposure (MOE) values based on the P95 chronic dietary exposure to N-NAs for the incidence of any liver cancer across dietary surveys and age groups for scenario 2

P95		Infants (9)			Toddlers (13)			Children (19)			Adolescents (20)			Adults (22)			Elderly (19)			Very elderly (10)		
		LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB	LB	MB	UB
NDMA	Min	3,263	2,700	2,608	1,809	1,438	1,042	1,809	1,535	1,222	2,543	1,772	1,523	2,530	2,021	1,636	3,139	2,423	2,396	3,122	2,483	2,115
	Max	615	615	500	536	447	356	704	598	437	1,052	911	616	1,285	1,149	947	1,850	1,368	1,008	1,826	1,266	756
NMEA	Min	4.1E+04	4.1E+04	4.1E+04	1.9E+04	1.9E+04	1.9E+04	2.3E+04	2.3E+04	2.3E+04	3.1E+04	3.1E+04	3.1E+04	3.9E+04	3.9E+04	3.9E+04	4.7E+04	4.7E+04	4.7E+04	4.7E+04	4.7E+04	4.7E+04
	Max	9,771	9,771	9,771	9,251	9,251	9,251	1.1E+04	1.1E+04	1.1E+04	1.7E+04	1.7E+04	1.7E+04	2.1E+04	2.1E+04	2.1E+04	2.8E+04	2.8E+04	2.8E+04	3.0E+04	3.0E+04	3.0E+04
NDEA	Min	9,220	8,224	7,344	4,706	4,058	3,324	4,962	3,994	3,364	6,702	4,564	3,236	7,587	4,548	2,896	9,825	6,046	3,553	9,024	6,251	4,228
	Max	1,482	873	543	1,482	1,043	704	1,841	1,313	810	2,799	2,086	1,209	3,218	1,885	1,072	5,239	2,172	1,118	5,122	3,135	2,193
NDPA	Min	3.2E+04	1.1E+04	6,345	1.7E+04	5,241	3,133	2.2E+04	6,333	3,736	2.8E+04	9,068	5,374	3.6E+04	1.2E+04	6,831	4.4E+04	1.4E+04	8,481	4.9E+04	1.4E+04	8,543
	Max	8,497	2,982	1,747	8,255	2,784	1,625	1.0E+04	3,325	1,916	1.6E+04	5,105	3,000	1.7E+04	6,325	3,705	1.9E+04	8,401	4,982	2.9E+04	8,817	5,166
NDBA	Min	1.1E+04	1.1E+04	1.1E+04	5,291	5,237	4,828	6,229	6,040	5,530	8,228	8,228	8,228	7,565	5,607	4,465	9,185	8,973	8,058	1.2E+04	1.2E+04	1.1E+04
	Max	2,802	2,802	2,802	2,428	2,428	2,428	3,163	2,993	2,702	4,499	4,489	3,850	1,811	1,708	1,113	1,940	1,821	1,708	2,143	2,110	1,992
NMA	Min	1.9E+04	1.9E+04	1.9E+04	9,943	9,943	9,943	1.1E+04	1.1E+04	1.1E+04	1.5E+04	1.5E+04	1.5E+04	1.7E+04	1.7E+04	1.7E+04	2.1E+04	2.1E+04	2.1E+04	2.2E+04	2.2E+04	2.2E+04
	Max	4,169	4,169	4,169	4,024	4,024	4,024	4,991	4,991	4,991	7,377	7,377	7,377	9,106	9,106	9,106	1.2E+04	1.2E+04	1.2E+04	1.4E+04	1.4E+04	1.4E+04
NSAR	Min	2,964	2,964	2,964	1,483	1,483	1,483	1,645	1,645	1,645	2,001	2,001	2,001	2,161	2,161	2,161	2,491	2,491	2,491	2,809	2,809	2,809
	Max	364	364	364	425	425	425	544	544	544	794	794	794	959	959	959	1,025	1,025	1,025	1,507	1,507	1,507
NMOR	Min	1.3E+05	1.3E+05	1.3E+05	6.0E+04	6.0E+04	5.9E+04	8.2E+04	8.2E+04	8.2E+04	1.2E+05	1.1E+05	1.1E+05	1.2E+05	2.1E+04	1.1E+04	1.5E+05	4.6E+04	2.5E+04	1.9E+05	1.8E+05	1.8E+05
	Max	3.9E+04	3.9E+04	3.9E+04	3.3E+04	1.0E+04	5,384	3.9E+04	1.0E+04	5,686	6.1E+04	1.3E+04	7,001	2.7E+04	2,778	1,457	4.9E+04	5,071	2,663	7.0E+04	7,851	4,201
NPIP	Min	3,292	3,198	3,109	1,683	1,614	1,522	1,772	1,689	1,649	2,702	2,590	2,523	2,961	2,898	2,860	3,433	3,216	3,136	3,573	3,477	3,310
	Max	643	643	643	529	529	529	732	722	706	1,065	1,061	1,056	1,389	1,381	1,369	1,999	1,999	1,987	2,017	2,017	2,017
NPYR	Min	494	494	471	285	267	248	283	270	264	414	399	384	453	440	429	528	512	504	541	525	520
	Max	79	79	79	79	79	79	99	99	99	149	149	148	197	197	196	268	267	267	290	290	290
TCNAs	Min	320	311	288	166	150	140	171	158	147	250	229	210	269	250	233	314	296	254	322	302	273
	Max	52	51	51	51	50	48	64	63	61	95	93	89	125	119	114	169	167	163	180	178	171
TCNAs with PF	Min	967	925	750	544	489	425	623	529	453	835	661	575	956	784	621	1,138	864	779	1,175	981	819
	Max	220	215	184	219	199	159	276	245	208	398	370	323	453	397	296	721	592	447	750	641	505

### 3.5. Uncertainty analysis

The purpose of the uncertainty analysis is to identify and quantify the major uncertainties of the risk assessment and combine them to assess the overall certainty of the final conclusion, as recommended in EFSA's guidance on uncertainty analysis (EFSA Scientific Committee, 2018a). In a first step, sources of uncertainties related to hazard identification and characterisation and exposure to *N*-NAs were listed and discussed (Appendix G). Subsequently, it was considered which of those sources of uncertainty would have most impact on the outcome of the hazard identification and characterisation and the exposure estimations. The potential impact of the most important uncertainties affecting the exposure assessment was explored by sensitivity analysis using a simplified exposure model. Results from this were used to inform expert judgements of the combined impact of all uncertainties affecting the exposure assessment, expressed as probability bounds,<sup>24</sup> using the semi-formal structured methods of Expert Knowledge Elicitation (semi-formal EKE, Annex B.8 of EFSA Scientific Committee (2018b)). The combined impact of all uncertainties affecting the hazard assessment was also quantified using probability bounds, elicited by semi-formal EKE. The probability bounds for exposure and hazard were then combined by probability bounds analysis (Section 14.1 of EFSA Scientific Committee, 2018a) to quantify their combined impact on risk characterisation, expressed as lower and upper probability bounds for the MOE. These calculated probabilities were then submitted to a final step, in which the assessors checked for any other identifiable sources of uncertainty, to arrive at their assessment of overall uncertainty for the final conclusions, expressed as bounded probabilities for the MOE being less than 10,000. The protocol for an Expert Knowledge Elicitation on the Uncertainty of the Risk Assessment of *N*-NAs in Food describes the detailed evidence and documents the results of the EKEs (Annex E).

#### 3.5.1. Objectives of the uncertainty analysis

Assessments must say what sources of uncertainty have been identified and characterise their overall impact on the assessment conclusion. It is recommended to quantify the overall uncertainty of conclusions using probability to avoid the ambiguity of qualitative approaches. However, the conclusions may subsequently be reported without probabilities if legislation or risk managers require that, providing that the associated probabilities are somewhere defined (EFSA Scientific Committee, 2018a). The present uncertainty analysis was conducted with the objective to address the risk assessment question on the risks for human health related to the presence of *N*-NAs in food.

The risk for human health in CONTAM opinions for substances that are genotoxic and carcinogenic is addressed by assessing whether the MOE exceeds the value of 10,000 when either the mean or the 95th percentile of the daily exposures is considered. Risk managers have indicated that the P95 exposure is more important for decision-making, in order to protect the majority of the population. The uncertainty analysis therefore focussed on the MOE for the P95 exposure.

Specifically, the uncertainty analysis assessed the probability that the MOE for the 95th percentile of exposure in the EU population would be below 10,000, if all identified uncertainties affecting the exposure assessment, hazard assessment and risk characterisation were resolved.

The MoE is calculated as the ratio of the reference point and the P95 exposure estimate for the EU population in each age group. The uncertainties pertaining to each of these two components were identified and quantified separately and combined for the overall uncertainty assessment.

The uncertainty analysis focused on NDEA, because it is the *N*-NA inducing tumours at the lowest reference point for liver tumour induction, and sufficient data are available for this *N*-NA. The analysis focussed mainly on the exposure age group of toddlers, because the estimated P95 MOE for TCNAs was lower for toddlers than other age groups. In subsequent steps, other age groups and the exposure to the total *N*-NAs were considered.

The uncertainty analysis was conducted following the guidance of the EFSA Scientific Committee, 2018a, on uncertainty analysis in scientific assessments. The analysis follows the guidance for case-specific assessments (Section 4 of EFSA Scientific Committee, 2018a) although it does contain some standardised elements (e.g. using a threshold MOE of 10,000 to identify the level of concern). The combined impact of uncertainties on the principal conclusions in each part of the assessment was quantified using % probabilities. These are reported below as % certainty for the more probable outcome for each conclusion, following EFSA's guidance on communication of uncertainty (EFSA, 2019c).

<sup>24</sup> A probability bound is a probability or range of probabilities for a specified range of values for a parameter.

### 3.5.2. Hazard identification and characterisation

Following the evaluation and prioritisation of the uncertainties in the hazard identification and characterisation, it was decided that no major uncertainties are present, and therefore, all sources of uncertainty were assessed collectively, first for NDEA only and second including the other N-NAs.

For NDEA alone, the uncertainty was assessed with the following EKE question: *What would be the relative change of the Reference Point for NDEA if all uncertainties affecting the hazard assessment were to be resolved, e.g. by obtaining perfect information/studies on all aspects of hazard identification and characterisation?*

The relative change was expressed as a multiplicative factor to be applied to the reference point because the reference point is the established basis for assessing the MOE. The reference point for NDEA is the BMDL<sub>10</sub> of 10 µg/kg bw per day. The BMDL<sub>10</sub> already addresses statistical uncertainty in estimating the BMD from the study data, so the multiplicative factor only quantifies the combined impact of other uncertainties identified by the Panel.

The Panel's plausible range for the multiplicative factor on the BMDL<sub>10</sub> was 0.95–1.0, i.e. the Panel considered with at least 98% certainty (i.e. at least 98% probability) that the relative change was between 0.95 and 1.0. The upper bound of 1 reflects the Panel's assessment that it is not plausible (less than 1% probability) that resolving the identified uncertainties would increase the reference point. Similarly, the lower bound of 0.95 reflects the Panel's assessment of uncertainty about overestimation of doses due to loss of water from water bottles in the drinking water study on which the reference point was based. The Panel judged that resolving this uncertainty (e.g. if water losses had been prevented) might reduce the reference point by up to 5% (a multiplicative factor of 0.95). The Panel evaluated the indirect approach for confirming the NDEA concentrations at the lower doses, that were below the LOQ of the analytical method, and considered that this would not add to the uncertainty in the RP.

Uncertainties affecting the hazard assessment for other N-NAs were assessed with the following EKE question: *What is your probability that none of the other N-NAs being considered (NDMA, NMEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP, NPYR) would have a Reference Point below 10 µg/kg bw per day (the BMDL<sub>10</sub> of NDEA) if all uncertainties affecting the hazard assessment were to be resolved, e.g. by obtaining perfect information/studies on all aspects of hazard identification and characterisation?* The EKE was framed in this manner in order to assess whether it is conservative to apply the reference point for NDEA (10 µg/kg bw per day) to other N-NAs for which no specific BMDL<sub>10</sub> is available.

The Panel considered with 95–99% certainty that the BMDL<sub>10</sub> for any N-NA that is detected in food with limited data for carcinogenicity would not be lower than 10 µg/kg bw per day. This reflects the Panel's assessment of the available hazard data.

### 3.5.3. Dietary exposure assessment

Following the evaluation and prioritisation of the uncertainties in the exposure estimates, the Panel considered that two issues could have major impact on the certainty regarding the final conclusion:

- The high number of left-censored data.
- The lack of data on important food categories.

The potential impact of these two issues on the exposure assessment for toddlers was explored quantitatively by conducting sensitivity analysis with a simplified version of scenario 2 of the exposure model (Annex E). Results from this model were used to inform judgements in an EKE addressing all the uncertainties affecting the exposure assessment and also when extrapolating from the Member State toddler populations considered in the assessment to the whole European population of toddlers.

The question addressed in the EKE was: *What is the relative change of the 95th percentile of the daily exposures of European toddlers regarding the NDEA after assuming perfect measurements **for all food items** in comparison to the existing assessment (scenario 2) (expressed as middle bound) **including all food categories?***

The relative change was expressed as a multiplicative factor to be applied to the maximum middle bound (MB) estimate of the P95 exposure for toddlers from the exposure assessment to obtain the actual 95th percentile for toddlers in the whole of the EU. The Panel's plausible range for this factor was 0.3–8, i.e. the Panel considered with at least 98% certainty that the relative change is between 0.3 and 8.

The main sources of uncertainty influencing this assessment were as follows:

*Underestimating/overestimating the exposure*

- Left-censored data in the surveys;
- The use of data from literature: missing information on how left censorship was dealt within the reported averages in some references and detailed information on cooked meat and fish products;
- Effect of cooking on the *n*-na content of food categories.

*Underestimating the exposure*

- Missing food categories on the exposure, in particular vegetables, cereals and milk and dairy products.

*Overestimating the exposure*

- The use of the maximum approach on the estimation for the whole European population of the toddlers.

Among all these uncertainties, the effect of missing food categories, which would lead to higher exposures, was judged to be the most important. This is reflected in the selection of the range of 0.3–8 which extends more in the upwards than the downwards direction.

Additional uncertainties affecting the assessment of exposure of other age groups to NDEA, and of all age groups to the other *N*-NAs, is considered as part of the assessment of overall uncertainty below.

### 3.5.4. Overall uncertainty

The final phase is the characterisation of overall uncertainty. This was considered first for NDEA and toddlers, and then for other age groups and other *N*-NAs.

#### MOE for toddlers and NDEA

Risk characterisation for NDEA is performed by the Margin of Exposure (MOE) approach. The MOE is calculated as the ratio of the reference point to the estimated P95 exposure for EU toddlers.

The plausible ranges assessed by the panel provided lower and upper 1% probability bounds<sup>25</sup> for the multiplicative factors quantifying uncertainty for the reference point (BMDL<sub>10</sub> for NDEA) and for the P95 exposure. These were combined using probability bounds analysis (Section 14.1 of EFSA (2018a)) to obtain probability bounds for the MOE, as described below (for a more technical description, see Annex E).

For the 'best' case estimate (upper probability bound for the MOE):

- Divide the upper probability bound for the reference point by the lower probability bound for P95 exposure to obtain an upper probability bound for the MOE:

$$\text{Upper bound for MOE} = \frac{(\text{Reference Point} \times \text{upper bound for hazard multiplicative factor})}{(\text{Estimated P95 exposure} \times \text{lower bound for exposure multiplicative factor})}$$

where 'Estimated P95 exposure' refers to the maximum middle bound P95 exposure of European toddlers to NDEA. The result of this calculation was an upper bound of 3472 for the MOE.

- Add the maximum probabilities for values above the upper bound for the reference point and below the lower bound for the P95 exposure (here: 1% + 1% = 2%).
- Subtract from 100% to obtain the minimum probability (% certainty) that the MOE would be below the calculated upper bound if all the uncertainties were resolved.

For the 'worst' case estimate (lower probability bound for the MOE):

- Divide the lower probability bound for the reference point by the upper bound for P95 exposure to obtain a lower probability bound for the MOE:

<sup>25</sup> A 'lower 1% probability bound' is a value such that there is at most 1% probability of lower values; an upper 1% probability bound is a value such that there is at most 1% probability of higher values. These are, respectively, as the lower and upper bounds of the Panel's plausible range, which is a > 98% probability interval.



$$\text{Lower bound for MOE} = \frac{(\text{Reference Point} \times \text{lower bound for hazard multiplicative factor})}{(\text{Estimated P95 exposure} \times \text{upper bound for exposure multiplicative factor})}$$

The result of this calculation was a lower bound of 124 for the MOE.

- Add the maximum probabilities for values outside the two bounds (here: 1% + 1% = 2%).
- Subtract from 100% to obtain the minimum probability (% certainty) that the MOE would be above the calculated value if all the uncertainties were resolved.

The probability bounds calculations require no assumptions about the distributions for hazard or exposure, nor about any dependency between them (EFSA Scientific Committee, 2018a). No additional sources of uncertainty were identified, beyond those taken into account in earlier steps of the uncertainty analysis. Therefore, based on the calculated upper probability bound for the MOE, the Panel considered with a minimum of 98% certainty that the MOE for the P95 EU toddler exposure to NDEA is less than 3,472, which is less than the threshold MOE of 10,000. In conclusion, the Panel considered with at least 98% certainty that the MOE for the P95 EU toddler exposure to NDEA is less than 10,000.

### MOE for other age groups and NDEA

The Panel did not identify any reasons why the multiplicative factors for the P95 exposure of other age groups would differ substantially from the multiplicative factor elicited for toddlers. The procedure described above was therefore repeated using the relevant estimated P95 exposures for all age groups other than toddlers, applying the multiplicative factors that were elicited for toddlers' P95 exposure (Annex E). The resulting upper bound for the MOE for the P95 exposure to NDEA was less than 10,000 for each of the age groups except the very elderly, where it was 10,417. An additional semi-formal EKE was therefore conducted with the following question: 'What is your probability that the P95 exposure to NDEA for EU very elderly is less than 1 ng/kg bw per day?', since an exposure of 1 ng/kg bw per day would result in an MOE of 10,000. The Panel judged that there was less than 1% probability that the P95 exposure to NDEA for EU very elderly is less than 1 ng/kg bw per day. Substituting this into the probability bounds calculation for the very elderly results in at least 98% certainty that the MOE for this age group is below 10,000. Therefore, for each of the populations other than toddlers, the Panel concluded with at least 98% certainty that the MOE for the P95 exposure to NDEA is less than 10,000.

### Other N-NAs

The draft Opinion contains tabulated MOEs for each of the other N-NAs separately, as well as for NDEA.

The experts noted that, even if the Reference Point for NDEA is conservatively assumed to apply also to the other N-NAs, there are important differences in the uncertainties affecting the exposure assessment for N-NAs other than NDEA. In particular, occurrence data are available for fewer food categories, and the occurrence levels of other N-NAs could be higher than NDEA.

The Panel considered that there is too much uncertainty about the reference point and exposure to make conclusions on the individual MOEs of N-NAs other than NDEA. Nevertheless, including the other N-NAs can only increase the cumulative risk and reduce the MOE compared to NDEA alone. Therefore, the Panel concluded with at least 98% certainty that the MOE for the P95 exposure is less than 10,000 for the sum of all the N-NAs considered in this assessment.

## 4. Conclusions

Overall, the CONTAM Panel concluded that:

- A total of 32 N-NAs have been investigated for their presence in food. Carcinogenicity was identified for 23 N-NAs i.e. NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA, NMVA, NDBA, NDIBA, NMA, NEA, NMAMPA, NMAMBA, NSAR, NMOR, NPIP, NTHZ, NPYR, NHPYR, NMTHZ, NHMTHZ and NDPheA. The carcinogenicity was considered as the critical endpoint to estimate the possible risks posed to human health by N-NA intake via food.
- Based on occurrence data provided to EFSA and literature data selected based on quality criteria, 10 out of the 23 carcinogenic N-NAs were detected in food, i.e. NDMA, NMEA, NDEA, NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR (TCNAs). These carcinogenic N-NAs were considered for the risk assessment.

## Toxicokinetics

### Experimental animals

Only limited data are available; most information is related to biotransformation, while less is known on absorption, distribution and excretion.

#### Absorption

- NDMA, NDEA, NPYR, NHTZ and NPRO are rapidly and extensively absorbed in the enteric tract following oral exposure; there is evidence for a similar absorption for NMEA, NDPA, NDBA, NSAR, NHPRO, NTCA, NMTCA and NDPheA, while no information is available for NMBA, NMVA, NMA, NMOR and NPIP.

#### Distribution

- After absorption, *N*-NAs are generally rapidly distributed to the liver and to other organs.
- According to a limited database, plasma protein binding is low.

#### Metabolism

- Metabolism is an important determinant of *N*-NAs genotoxicity and carcinogenicity and occurs not only in liver but also in several extrahepatic organs (gastro-enteric and respiratory tracts, pancreatic ducts, biliary ducts, urinary bladder).
- With some exceptions (NMVA, NSAR, NPRO, NHPRO, NTCA, NMTCA, NDPheA), the oxidation of the  $\alpha$ -carbon atom ( $\omega$ -1 for NDBA) near to the  $-\text{NO}$  group of the parent *N*-NA or its metabolites is the key metabolic reaction giving rise to reactive DNA-binding intermediates; CYP2E1 and CYP2As are the most involved enzymes with evidence of the participation of non-CYP oxidases in extrahepatic tissues.
- CYP-mediated denitrosation has been reported for a number of *N*-NAs (NDMA, NDEA, NMEA, NDPA, NMBA, NMA, NMOR, NTHZ, NDPheA) and is generally considered a detoxification pathway; only a few *N*-NAs (NDBA, NDPA) are reported to undergo phase II metabolism (glucuronidation).
- The extent of biotransformations (mainly driven by liver) is largely affected by the nature of the compound; overall, based on a limited database, most of the *N*-NAs covered by this Opinion are extensively metabolised with the exception of NTCA, NMTCA, NHPRO, NPRO, NSAR, NMA which are metabolised to a lesser extent (10–50%).
- Hepatic clearance and hence oral bioavailability may also be affected by species and by conditions limiting the extent of *N*-NA metabolism; the co-exposure to ethanol or other CYP2E1 substrates may allow amounts of, e.g. NDMA and possibly other *N*-NAs to escape the liver biotransformations and distribute to extrahepatic organs/tissues.

#### Excretion

- Overall, unmetabolised *N*-NAs and their stable metabolites (e.g. glucuronides) are mainly and rapidly excreted via urine; for NTCA, NMTCA, NHPRO, NPRO, NSAR and NMA urinary excretion accounts for up to 90% of the administered dose.
- Biliary excretion is considered of minor importance and has been documented for NDMA, NDEA, NDPA, NPYR and NDPheA.

#### Maternal transfer

- Direct or indirect evidence for transfer via milk has been provided for NDMA, NDEA, NDBA; no information could be retrieved for the remaining *N*-NAs covered in this Opinion.
- Placental transfer of the parent compound (NDMA, NDEA, NDBA) or the parent compound and some metabolites (NDPA) has been demonstrated; no information could be retrieved for the remaining *N*-NAs covered in this Opinion.

No relevant information concerning absorption, distribution, metabolism or excretion could be retrieved for NDIPA, NEIPA, NDIBA, NEA, NDBzA, NMAMPA, NMAMBA.

## Humans

Only a few *in vivo* data are available and most concern NDMA.

- Measurable levels of *N*-NAs are found in biological fluids (blood, gastric juice, urine and breast milk) but their origin (endogenous/exogenous) cannot be identified.
- Based on a limited number of studies on human volunteers, the ingestion of a meal with known NDMA content would lead to an increase in NDMA concentration in biological fluids only in case of co-exposure to ethanol, which would decrease the hepatic metabolism of the *N*-NA.
- *In vitro* *N*-NA metabolism occurs in liver and several extrahepatic tissues.
- According to a number of *in vitro* investigations, CYP2E1 and CYP2A6 are mostly involved in *N*-NAs metabolism, while CYP2B and CYP1A1 play a minor role.
- The *in vivo* extrapolation of the *in vitro* hepatic NDMA intrinsic clearance measured in human liver microsomes resulted in a calculated hepatic extraction ratio of about 90%, which is very similar to that measured *in vivo* in the rat.

### Metabolic Activation and DNA Adduct Formation

- NDMA, NDEA and NMEA are metabolically activated by  $\alpha$ -hydroxylation, catalysed mainly by CYP2E1 and CYP2A6. The resulting  $\alpha$ -hydroxy-*N*-nitrosamines spontaneously decompose to methyl- or ethyldiazonium ions, which produce DNA adducts such as 7-Me-Gua and  $O^6$ -Me-Gua and the corresponding ethyl-DNA adducts.
- The alkyl groups from the  $O^6$  position of guanine are mainly removed by the  $O^6$ -Me-Gua-DNA-methyltransferase. If unrepaired  $O^6$ -alkylguanines may lead to GC > AT mutations. Ethylation at  $O^4$  of thymine induced by NDEA is also associated with mutations at A:T base pairs.
- The structurally diverse *N*-NAs, i.e. NDPA, NDBA, NMA, NSAR, NMOR, NPIP and NPYR, undergo  $\alpha$ -hydroxylation catalysed mainly by CYPs. The resulting  $\alpha$ -hydroxy-*N*-nitrosamines, diazonium ions and stable products range from hydroxylated alkyl chains to more complex cyclic structures. All of the diazonium ions formed are electrophilic and may react with DNA leading to the formation of different kinds of DNA adducts. The biological consequences of some of these DNA adducts have not been fully elucidated.
- The metabolic activation of NDPA and NDBA may also involve  $\beta$ -hydroxylation and  $\omega$ -hydroxylation, respectively. Several DNA-reactive metabolites are generated by these pathways.
- There is evidence for a similar overall mechanism of DNA-adduct formation and repair in experimental animals and humans.

### Biomarkers of exposure

A few studies reported measurements of the levels of *N*-NAs in humans (urine, blood, tissues, etc.).

- In a study of a human murdered by intentional poisoning with NDMA, the hepatic DNA samples contained high levels of 7-Me-Gua and  $O^6$ -Me-Gua. These results confirm the identical metabolic activation process in a human and in laboratory animals.
- The aforementioned DNA adducts were generally higher in individuals from areas with a high risk of gastric cancer compared to those in individuals from areas with a lower cancer incidence. Most of these studies, however, do not specifically identify *N*-NAs as their source.
- Adductome analysis has implicated NPIP in the aetiology of oesophageal cancer in an area of high incidence in China. A mutational signature identified by whole exome sequencing of oesophageal tumours from the high-risk area was similar to the NPIP induced mutational pattern in experimental animals and was weakly correlated with  $N^2$ -THP-dGua levels, the main DNA adduct produced by NPIP in the blood of oesophageal cancer patients.
- It is unclear, however, to which extent exposure to *N*-NAs reflects their endogenous formation or occurs *via* food/water.

### Toxicity in experimental animals

#### Acute toxicity

- The acute toxicity of the acyclic volatile *N*-NAs tested (NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMVA) was highest for NDMA and NMVA with LD<sub>50</sub> values of  $\geq 17.7$  mg/kg bw. Within the acyclic non-volatile *N*-NAs investigated (NDBA, NDIBA, NMA, NEA, NSAR), NMA and NEA were the most toxic compounds with LD<sub>50</sub> values of  $\geq 150$  mg/kg bw. For the cyclic/aromatic *N*-NAs, the lowest LD<sub>50</sub> values reported were 60 mg/kg bw for NPIP, followed by NMOR, NPRO and NPYR. NTHZ and NDPheA exerted significant acute toxicity at doses above

1,000 mg/kg bw. The acute toxicity of *N*-NAs appears to be relatively independent of the species, strain and sex of the animals tested as well as of the route of administration.

- The doses of *N*-NAs required to induce acute adverse effects in experimental animals are far above the doses which induce carcinogenesis.

### Genotoxicity

- The acyclic volatile *N*-NAs NDMA, NMEA, NDEA, NDPA are genotoxic both in *in vitro* and *in vivo* assays, while the evidence for NDIPA and NMBA is limited to *in vitro* assays. The genotoxic/carcinogenic potential of NEIPA and NMVA is supported by QSAR read-across analyses.
- The genotoxicity of acyclic non-volatile *N*-NAs has been demonstrated for NDBA, NDBzA (*in vitro* and *in vivo*) and NMA, NMAMBA (only *in vitro*). QSAR/read across indicate the genotoxic/carcinogenic potential of NDIBA and NSAR.
- Genotoxic properties characterise the cyclic volatile *N*-NAs NMOR, NPIP, NPYR (both *in vitro* and *in vivo*) and NTHZ (*in vitro*).
- No indication of genotoxicity is provided for the cyclic non-volatile *N*-NAs either by *in vitro* assays (NPRO, NHPRO) or *in silico* studies (NPIC). The genotoxic potential of aromatic *N*-NA NDPheA remains uncertain.

No information on the genotoxic potential for NMVA, NEIPA, NEA, NMAMPA, NMTHZ, NMTCA, NHMTCA, NOCA, NMOCA, NPIC could be retrieved from the literature search.

- With regard to the carcinogenic *N*-NAs occurring in food, eight (NDMA, NMEA, NDEA, NDPA, NDBA, NMOR, NPIP and NPYR) are genotoxic both *in vitro* and *in vivo*. The genotoxic potential of the remaining two (NMA and NSAR) is limited to *in vitro* assays and QSAR/read-across analysis, respectively.

### Carcinogenicity

- The acyclic volatile *N*-NAs NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA, and NMVA induced tumour formation in several mammalian species and many different organs, such as liver, pharynx, oesophagus, forestomach, the upper respiratory tract and the lung. In monkeys, NDEA and NDPA induced hepatocellular carcinoma (HCC).
- The acyclic non-volatile *N*-NAs NDBA, NDIBA, NMA, NMAMBA and NSAR were carcinogenic in many rodent organs/tissues, including liver, the upper and lower respiratory tract, oesophagus and/or forestomach. NDBzA did not induce tumour formation in rodents.
- The cyclic volatile *N*-NAs caused tumour formation in rat liver, the respiratory and/or the gastrointestinal tract (NMOR, NPIP, NPYR and NHPYR). Furthermore, NPIP induced HCC in livers of monkeys. For the cyclic *N*-NAs NPRO, NHPRO and NPIC, no clear evidence for carcinogenicity could be obtained in rodents. NDPheA induced malignant tumours of the urinary bladder in male and female rats.
- Overall, NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA, NMVA, NDBA, NDIBA, NMA, NMAMBA, NSAR, NMOR, NPIP, NPYR, NHPYR and NDPheA are carcinogenic in experimental animals. Genotoxic mechanisms are the underlying mode of action for the carcinogenic activity of *N*-NAs, except for NDPheA. The most frequent target organ is the liver followed by the upper digestive, urinary and the respiratory tract.
- For a number of *N*-NAs information on carcinogenicity and mutagenicity is lacking. Based on a large database of *N*-NAs with known carcinogenic potency (parametrised as TD<sub>50</sub>s), knowledge of the most likely mode of action and wide genotoxicity and toxicokinetic information, carcinogenic activity was predicted for NEA, NMAMPA, NMAMBA, NTHZ, NMTHZ, NHMTHZ and NDBzA, and lack of carcinogenic potential for NTCA, NMTCA, NHMTCA, NOCA and NMOCA. TD<sub>50</sub>s were also predicted for NMVA, NEIPA, NMBA, NDIPA, NDIBA and NSAR, whose carcinogenic activity was known but for which TD<sub>50</sub>s were not reported.
- With regard to the individual TCNAs, experimental data allowed to derive BMDL<sub>10</sub> values (in mg/kg bw per day) for NDMA (0.035), NDEA (0.01), NMOR (0.014), NPIP (0.062) and NPYR (0.127). For nine carcinogenic *N*-NAs, TD<sub>50</sub> values (in mg/kg bw per day) were reported: NDMA (0.0959), NMEA (0.05), NDEA (0.0265), NDPA (0.186), NDBA (0.691), NMA (0.142), NMOR (0.109), NPIP (1.11) and NPYR (0.799). The TD<sub>50</sub> was predicted only for NSAR (0.982). By any criterion, NDEA, NMEA, NDMA and possibly NMOR are in the group of highest carcinogenic potency.

## Developmental and reproductive effects and transplacental carcinogenesis

- NDMA, NDEA, NDPA, NDBA, NMA and NDPheA reduced pre- and perinatal survival of offspring in rat, mouse and/or hamster. With the exception of NMA, malformations were not reported for the *N*-NAs tested. NDMA, NDEA, NDPA, NDBA and NPIP showed transplacental carcinogenic effects in the offspring of treated dams in several rat, mouse and/or hamster strains. These studies are limited in number and quality, ruling out conclusions on the potential risks for human health.

## Effects on the immune system

- Rats and mice exposed to NDMA and other *N*-NAs tested, exhibited a reduced humoral immune response while the cell-mediated immune response was suppressed or enhanced, depending on the experimental design and the parameters investigated. However, these studies are limited in number and quality, ruling out conclusions on the potential risks for human health.

## Observations in humans in epidemiological studies

- The studies in humans are all observational studies investigating associations between intake of *N*-NAs (in particular NDMA and NDEA) and cancer. Some reported associations with oesophageal, gastric, colorectal, lung, pancreatic, low urinary tract and bladder, brain, oral, nasopharyngeal and liver cancer. Due to limitations in study design, these studies cannot be used to establish tumour target sites and reference points for *N*-NAs.

## Mode of action

- Mechanistic explanation for the transplacental carcinogenic effects of *N*-NAs in rodents was provided by reports on transplacental transfer and bioactivation in fetal tissues. In neonatal animals, high rates of liver cell replication increase the susceptibility towards the hepatocarcinogenic activity of *N*-NAs.
- *N*-NAs found in food require metabolic activation by CYP enzymes to exert their toxic and carcinogenic effects. The key step is metabolic activation by  $\alpha$ -hydroxylation and the subsequent formation of highly reactive diazonium ions which can form DNA-adducts. A genotoxic mechanism, based on DNA adducts formation by *N*-NAs metabolites, is the main mode of action for the carcinogenic activity of *N*-NAs.
- In rodents, the liver is the main target tissue for the carcinogenic activity of *N*-NAs, followed by the upper gastrointestinal and respiratory tract. However, these tissues have not been identified consistently as *N*-NA targets in human epidemiological studies. This may be due to species-specific differences in absorption, distribution and elimination and species-/tissue-specific differences in bioactivation and repair of DNA adducts.
- Analysis of 900 human colorectal cancer (CRC) cases identified the mutational signature of DNA  $O^6$ -alkylguanine, the most mutagenic adduct induced by *N*-NAs. This signature was associated with the development of CRC and with high intakes of processed and unprocessed red meat.
- As expected from structure–activity knowledge, acyclic *N*-NAs with dimethyl- and diethyl-groups were reported to be more genotoxic and mutagenic than *N*-NAs with longer chains and cyclic *N*-NAs. Even though the genotoxic/mutagenic potency does not always reflect the carcinogenic potency, convincing evidence for high mutagenicity as well as tumorigenicity was gained for NDEA, NDMA and NMEA and possibly NMOR.

## BMDL modelling

- Well-documented dose–response studies with a negative control group are available for NDMA, NDEA, NMOR, NPIP and NPYR. Dose–response modelling of liver tumour incidence (benign and malignant tumours combined), the most critical effect following the oral exposure of animals to these *N*-NAs, was performed by the panel. BMDL<sub>10</sub> for the liver tumour incidence was 10  $\mu\text{g}/\text{kg}$  bw per day for NDEA, 35  $\mu\text{g}/\text{kg}$  bw per day for NDMA, 14  $\mu\text{g}/\text{kg}$  bw per day for NMOR, 127  $\mu\text{g}/\text{kg}$  bw per day for NPYR and 62  $\mu\text{g}/\text{kg}$  bw per day for NPIP.

## Potency

- In a conservative approach, the CONTAM Panel applied the same carcinogenic potency to all TCNAs. In an alternative approach, the ratio between the lowest BMDL<sub>10</sub> of *N*-NAs with the



highest concern (0.010 mg/kg day for NDEA, NMEA, NDMA and NMOR) and the lowest BMDL<sub>10</sub> of the remaining *N*-NAs (0.062 mg/kg per day for NDPA, NDBA, NMA, NPYR, NPIP, NSAR) was used to calculate a potency factor of 0.2 between the two subgroups.

- Despite the differences in experimental systems, NDEA, NMEA, NDMA and possibly NMOR are the most potent by any criterion measured.

### Occurrence and exposure

- Regarding available analytical methods, a comprehensive approach useful to identify/quantify both volatile and non-volatile *N*-NAs in all food types that can contain residues of these contaminants (i.e. meat products, dairy products, seafood, vegetables and alcoholic beverages) is still not available.
- Most published methods are applicable for meat product analysis, with detection limits in the range of 0.003–20 µg/kg, and are based on gas chromatography detecting mainly volatile *N*-NAs. Recent advances in food analysis introduced liquid chromatography/mass spectrometry, allowing the determination of both volatile and non-volatile *N*-NAs. However, this approach was applied with good performances only for meat product analysis.
- Considering the individual TCNAs, 2,817 results for food samples analysed from four European countries between 2003 and 2021 were available for the assessment. Besides the EFSA occurrence data set, the CONTAM Panel had also considered the selection based on quality criteria of additional evidence of analytical results from EU countries (n = 3,976) and non-EU countries (n = 27), published between 1990 and 2021.
- From this data set, the dietary exposure assessment was performed for the following food categories: 'Alcoholic beverages, Coffee, cocoa, tea and infusions', 'Fish, seafood, amphibians, reptiles and invertebrates', 'Meat and meat products' and 'Seasoning, sauces and condiments'. Percentage of left-censored data in these food categories at Level 1 of the FoodEx2 classification, across *N*-NAs, ranged from 3% to 99%.
- No occurrence data were available to EFSA or selected from the literature for any of the individual TCNAs for the following food categories: 'Fruit and fruit products', 'Fruit and vegetable juices and nectars (including concentrates)', 'Grains and grain-based products', 'Legumes, nuts, oilseeds and spices', 'Milk and dairy products', 'Starchy roots or tubers and products thereof, sugar plants', 'Vegetables and vegetable products', 'Water and water-based beverages'.
- Among the five food categories considered in the dietary exposure assessment, 'Meat and meat products' was the only food category for which data were available for all individual TCNAs.
- NDMA was the only *N*-NA for which data were available for all five FoodEx2 Level 1 food categories. Data were available for three food categories for NPYR, NPIP, NDEA and NDBA; for two food categories for NMOR and one food category for NSAR, NMEA, NDPA and NMA.
- Although unprocessed and uncooked meat may contain trace amounts of *N*-NAs, evidence is found in the literature which shows the increased presence of *N*-NAs in these foods after cooking (baking, frying, grilling, microwaving), indicating that cooking generates *N*-NAs.
- However, data availability on cooked unprocessed meat and fish are limited and there is also some uncertainty regarding the potential presence or absence of nitrite/nitrate added in the products that were cooked and/or bought already as cooked. For this reason, the Panel decided to estimate exposure using two scenarios, excluding (scenario 1) or including cooked unprocessed meat and fish (scenario 2).
- In scenario 1 (excluding cooked unprocessed meat and fish), the mean MB dietary exposure to TCNAs ranged from < 0.1 ng/kg bw per day in infants to 12.0 ng/kg bw per day in toddlers. The P95 UB dietary exposure to TCNAs ranged from zero in infants to 54.8 ng/kg bw per day in infants. The mean MB dietary exposure TCNAs with potency factors ranged from < 0.1 ng/kg bw per day in infants to 5.4 ng/kg bw per day in toddlers and children. The P95 UB dietary exposure to TCNAs with potency factors ranged from zero in infants to 31.3 ng/kg bw per day in infants. The highest P95 dietary exposure to TCNAs assessed using potency factors was 1.7 times lower than the highest P95 dietary exposure to TCNA assessed without using potency factors (both found in infants).
- In scenario 2 (including cooked unprocessed meat and fish), the mean MB dietary exposure to TCNAs ranged from 7.4 ng/kg bw per day in infants to 87.7 ng/kg bw per day in toddlers. The P95 UB dietary exposure to TCNAs ranged from 34.7 ng/kg bw per day in infants to 208.8 ng/kg

bw w per day in toddlers. The mean MB dietary exposure to TCNAs with potency factors ranged from 2.5 ng/kg bw per day in infants to 25.0 ng/kg bw per day in toddlers. The highest P95 dietary exposure to TCNAs assessed using potency factors was 3.3 times lower than the highest P95 dietary exposure to TCNA assessed without using potency factors (both found in toddlers).

- In both scenarios, NPYR, NSAR, NDMA, NPIP and NDEA are the five individual N-NAs contributing the most to the highest mean exposure to TCNAs across surveys and age groups (> 80%).
- In both scenarios, the highest P95 UB exposure to TCNAs calculated using potency factors was two to three times lower than the one calculated without using potency factors.
- The highest P95 UB dietary exposure to TCNA in scenario 2 was about three times higher than in scenario 1.
- In both scenarios, Meat and meat products at FoodEx2 Level 1 is the main contributing food category to the dietary exposure to TCNAs for all age groups, from 7 to 100% in scenario 1 and from 20 to 99% in scenario 2.
- For the individual TCNAs, in both scenarios the main contributing food category at the Foodex2 level 1 was 'Meat and meat products'. 'Alcoholic beverages' (beer and unsweetened spirits and liqueurs) was also a main contributor for NDBA, NDMA and NMOR in adolescents, adults, elderly and very elderly in both scenarios. 'Fish, seafood, amphibians, reptiles and invertebrates' (processed fish and seafood categories only) was also a main contributor in scenario 1 for NDMA, NPIP and NPYR in all age groups and for NDEA in adults, elderly and very elderly and in scenario 2 for NDMA and NPIP in all age groups.
- Due to the uncertainty with regard to the high proportion of results below LOD/LOQ and/or only limited availability of data considered in the dietary exposure assessment of TCNAs, the CONTAM Panel noted that exposure calculations should be interpreted with caution.

### Risk characterisation

- The CONTAM Panel characterised the risk for scenario 1 (excluding cooked unprocessed meat and fish) and scenario 2 (including cooked unprocessed meat and fish). The NDEA BMDL<sub>10</sub> of 10 µg/kg bw per day, for increased incidence of liver tumours (benign and malignant tumours combined) in rodents, was used as the reference point for the TCNAs in the MOE approach. MOE values ranged, at the P95 exposure (minimum LB–maximum UB), in scenario 1 from 3,337 to 183 and in scenario 2 from 322 to 48, across dietary surveys (excluding some infant surveys with P95 exposure equal to zero) and age groups.
- The CONTAM Panel concluded that these calculated MOEs for the TCNAs are below 10,000 in both scenarios which raises a health concern.
- Attributing a lower potency factor to NMA, NDPA, NDBA, NSAR, NPIP, NPYR occurring in food would not change the above conclusion.

### Overall uncertainty in the risk

- The assessment of P95 exposure was subject to significant sources of uncertainty, which could make the true value up to a factor of 3 lower or a factor of 8 higher. The uncertainty contributing most to the potentially large underestimation was the lack of occurrence data for important food categories, especially vegetables, cereals and milk and dairy products.
- Only minor uncertainties were identified for the Reference Point (BMDL<sub>10</sub>) for NDEA. The toxicity of some other N-NAs was more uncertain due to limitations in the available toxicity data.
- Taking account of the identified uncertainties, the Panel concluded that the MOE for TCNAs at the P95 exposure is highly likely (98%–100% certain<sup>26</sup>) to be less than 10,000 for all age groups.

## 5. Recommendations

The CONTAM Panel recommends to:

- Fill the gaps in ADME for N-NAs relevant to human exposure.
- Fully characterise the metabolic activation pathways and DNA adducts formed in human and animal tissues.

<sup>26</sup> i.e. 98–100% probability (EFSA, 2019c).

- Determine the relative mutagenic potencies of some *N*-NAs present in food for which the genotoxic/carcinogen mechanisms have not been fully clarified (e.g. NMOR, NPIP and NPYR). This would include (i) the use of metabolic activation systems of human origin, (ii) characterisation of DNA adducts and (iii) comparison of mutational spectra obtained by whole genome sequencing with mutational signatures present in human cancer.
- Perform epidemiological studies implementing molecular approaches including -omics techniques on the association between *N*-NAs and cancer. More accurately control confounding factors (e.g. use of medicines, other carcinogenic chemicals in food, occupational exposure, smoking)
- Standardise a sensitive analytical method to quantify the carcinogenic *N*-NAs, both volatile and non-volatile, in different food products.
- Obtain data on the possible occurrence of carcinogenic *N*-NAs in food other than the TCNAs.
- Collection of data on *N*-NAs in processed foods other than processed meat (i.e. raw meats, vegetables, cereals, milk and dairy products, fermented foods, pickled preserves, spiced foods, etc.) and of products cooked in different ways, with and without the addition of nitrate and nitrite. In addition, more data on human milk are needed to enable the exposure assessment in infants.

## Documentation provided to EFSA

- 1) EMA e-mail 17 January 2023 with comments provided by the Nitrosamines Safety Operational Expert Group (NS OEG) of the Non-clinical working party.

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## Abbreviations

AD	adenoma
ADME	absorption, distribution, metabolism and excretion
AGT	Angiotensinogen
ALP	alkaline phosphatase
ALT	Alanine transaminase
ANS	The EFSA Panel on Food Additives and Nutrient Sources Added to Food
AST	aspartate transaminase
ATSDR	Agency for Toxic Substances and Disease Registry
AUC	area under the curve
BDI	benzene diazonium ion
BMD	benchmark dose
BMDL <sub>10</sub>	benchmark dose lower bound at 10% BMR
BMDU <sub>10</sub>	benchmark dose upper bound at 10% BMR
BMI	body mass index
BMR	benchmark response value
CA	carcinoma
CCC	cholangiocellular carcinoma
CHMP	Committee for Medicinal Products for Human Use



CI	chemical ionisation
CI	confidence interval
CONTAM	The EFSA Panel on Contaminants and in the Food Chain
COSMIC	Catalogue of Somatic Mutations in Cancer
CPDB	Carcinogenic Potency Database
CRC	colorectal cancer
CSF	colony stimulating factor
DDEC	diethyldithiocarbamate
DLLME	dispersive liquid–liquid micro-extraction
DNA	deoxyribonucleic acid
ECHA	European Chemicals Agency
E249	potassium nitrite
E250	sodium nitrite
ED <sub>50</sub>	median effective dose
EKE	expert knowledge elicitation
EMA	European Medicines Agency
EPA	Environmental Protection Agency
EtGua	Ethyl guanine
FID	flame ionisation detector
FLD	fluorescence detection
GC	gas chromatography
GD	gestational day
GI	gastrointestinal
GNCA	gastric non-cardia adenocarcinoma
GPx	glutathione-peroxidases
GSH	glutathione
GST	glutathione-S-transferases
GV	guideline value
HCA	hepatocellular adenoma
HCC	hepatocellular carcinoma
HPLC	high pressure liquid chromatography
HSSPME	head space solid-phase micro-extraction
IARC	International Agency for Research on Cancer
IBASLE	ice bath assisted solid liquid extraction
LB	lower bound
LCDB	Lhasa carcinogenicity database
LD <sub>50</sub>	median lethal dose
LOAEL	lowest observed adverse effect level
LLE	liquid–liquid extraction
LOD	limit of detection
LOQ	limit of quantification
MAE	microwave-assisted extraction
MGMT	methyl-guanine-methyl-transferase
MN	micronucleus
MoE	margin of exposure
MS	mass spectroscopy
NADPH	reduced nicotinamide adenine dinucleotide phosphate
NCD	nitrogen chemiluminescence detection
NDBA	<i>N</i> -nitrosodibutylamine
NDBzA	<i>N</i> -nitrosodibenzylamine
NDEA	<i>N</i> -nitrosodiethylamine
NDELA	<i>N</i> -nitrosodiethanolamine
NDIBA	<i>N</i> -nitrosodiisobutylamine
NDIPA	<i>N</i> -nitrosodiisopropylamine
NDMA	<i>N</i> -nitrosodimethylamine
NDPA	<i>N</i> -nitrosodipropylamine
NDPheA	<i>N</i> -nitrosodiphenylamine

NEA	<i>N</i> -nitrosoethylaniline
NEIPA	<i>N</i> -nitrosoethylisopropylamine
NHMTCA	<i>N</i> -nitroso-2-hydroxymethyl-thiazolidine-4-carboxylic acid
NHMTHZ	<i>N</i> -nitroso-2-hydroxymethylthiazolidine
NHPRO	<i>N</i> -nitrosohydroxyproline
NHPYR	<i>N</i> -nitroso-3-hydroxypyrrolidine
NHS	Nurses' Health Studies
NIH	National Institute of Health
NMA	<i>N</i> -nitrosomethylaniline
NMAMBA	<i>N</i> -nitroso- <i>N</i> -(1-methylacetyl)-3-methyl-butylamine
NMAMPA	<i>N</i> -nitroso- <i>N</i> -(1-methylacetyl)-2-methyl-propylamine
NMBA	<i>N</i> -nitrosomethylbutylamine
NMBzA	<i>N</i> -Nitrosomethylbenzylamine
NMEA	<i>N</i> -nitrosomethylethylamine
NMOR	<i>N</i> -nitrosomorpholine
NMOCA	<i>N</i> -nitroso-5-methyloxazolidine-4-carboxylic acid
NMTCA	<i>N</i> -nitroso-2-methyl-thiazolidine-4-carboxylic acid
NMTHZ	<i>N</i> -nitroso-2-methylthiazolidine
NMVA	<i>N</i> -Nitrosomethylvinylamine
<i>N</i> -NAs	<i>N</i> -nitrosamines
NNK	4-( <i>N</i> -nitrosomethylamino)-1-(3-pyridyl)-1-butanone
NO	nitric oxide
NOAEL	no-observed adverse effect level
NOCA	<i>N</i> -nitrosooxazolidine-4-carboxylic acid
NOX	nitrosating agents
NPIC	<i>N</i> -nitrosopiperic acid
NPIP	<i>N</i> -nitrosopiperidine
NPRO	<i>N</i> -nitrosoproline
NPYR	<i>N</i> -nitrosopyrrolidine
NSAR	<i>N</i> -nitrososarcosine
NTCA	<i>N</i> -nitroso-thiazolidine-4-carboxylic acid
NTHZ	<i>N</i> -nitrosothiazolidine
NTP	National toxicology programme
OECD	Organisation for Economic Co-operation and Development
PCI	positive chemical ionisation
PF	potency factor
PHWE	pressurised hot water extraction
QSAR	quantitative structure–activity relationships
RBC	red blood cells
SAR	structure-activity relationships
SBS	single base substitution
SCCS	Scientific Committee on Consumer Safety
SD	standard deviation
SLE	solid/liquid extraction
SLLLE	solid-supported liquid–liquid extraction
SMC	silica monolith capillary
SOD	superoxide dismutase
SPE	solid-phase extraction
SWE	superheated water extraction
TBARS	thiobarbituric acid-reactive substances
TCNA	ten carcinogenic <i>N</i> -NAs occurring in food
TD <sub>50</sub>	median toxic dose
TEA	thermal energy analyser
TGR	TransGenic Rodent
TK	toxicokinetic
UB	upper bound

## Appendix A – Levels of N-NAs and their DNA adducts in humans

**Table A.1:** Levels of N-NAs in humans

Endpoint	Test item	Results	Comments	References
Levels of NDMA, NDEA, NMOR, NDBA in urine of normal donors and bladder cancer patients	Normal urine samples from 27 male donors (10 smokers and 17 non-smokers) and 4 samples from bladder cancer patients.  Age range: 24–75 years.  N-NAS measured by HPLC/TEA	Out of the 50 normal samples (multiple sampling from same individuals), 10 contained NDMA (0.02 to 0.10 µg/l), 6 contained NDEA (0.02 to 3.10 µg/l), 9 contained NMOR (0.06 to 0.67 µg/l), none contained NDBA.  2/4 samples from cancer patients, contained NDBA (0.35 and 0.66 µg/l).	Cigarette smoking was found to be unrelated to the pattern or amount of these N-NAs.  The levels of volatile N-NAs in urine can vary greatly from individual to individual from day to day.	Kakizoe et al. (1979)
Levels of NDMA, NDEA, NPYR, NPIP, NSAR, NPRO in gastric juice of North China (Lin-Xian and Fan-Xian) inhabitants (high-risk and low-risk area for gastric cancer)	353 samples of fasting gastric juice collected from subjects living in Lin-Xian	Main N-NAs in Lin-Xian: NDMA (mean: 17.09 µg/kg) and NDEA (mean: 6.95 µg/kg) in 95.2% and 95.3% of samples. Lower levels of NPYR, NPRO, NPIP and NSAR (2.45, 2.27, 1.30, 0.58 µg/kg, respectively).  The urine of inhabitants of a low-risk area (Fan-Xian) contained lower levels of NSAR, NPRO and nitrates than Lin-Xian inhabitants (data not shown).	Positive correlation between the lesions of oesophageal epithelium and the amount of N-NAs present.  Exposure of Lin-Xian subjects to N-NAs could be either directly <i>via</i> food or by their <i>in vivo</i> formation.	Lu et al. (1986)
Levels of NMEA, NDEA, NDPA, NDBA, NPYR, NPIP, NMOR in gastric juice of 71 patients undergoing upper gastrointestinal endoscopy	NMEA, NDEA, NDPA, NDBA, NPYR, NPIP, NMOR by GC/MS  Measurements of pH and nitrate/ nitrite levels in gastric juice	N-NAs mean values: 4.84 nmol/l (range 0–17.7 nmol/l).  Main N-NAs: NDEA (70% of the total N-NAs; mean: 3.1 nmol/l), NDMA (mean: 0.90 nmol/l) NPYR (mean: 0.38 nmol/l).	A significant positive correlation between total N-NAs content and pH at the high pH range.	Dallinga et al. (1998)
Levels of NPRO and nitrate in urine of Italian inhabitants (high risk and low-risk area for gastric cancer, Florence vs. Cagliari)	Method for NPRO as previously described in Oshima and Bartsch, 1981.  40 men (25–40 years) for each urban areas.  On 2 consecutive days, 12-h urine samples collected from each subject, 1 h after the evening meal.	Significantly higher levels of NPRO in high-risk vs. low-risk area (geometric mean: 0.94 vs. 0.58 µg; Confidence interval: 0.72–1.24 and 0.41–0.83).  12 h urinary excretion of nitrate was similar in the 2 populations studied.	Questionnaires to collect details of age, current smoking habits and dietary practices.  Background NPRO excretion increased with nitrate exposure, but non-significantly, suggesting that intake of pre-formed NPRO had more effect on excretion of background NPRO than nitrosation of dietary L-proline.	Knight et al. (1992)

**Table A.2:** Levels of DNA adducts in humans

Endpoint	Test item	Results	Comments	Reference
$O^6$ -Me-Gua and $O^6$ -EtGua in DNA from oesophageal and/or stomach mucosa of patients from high cancer risk in China (Lin-Xian County)	$O^6$ -Me-Gua by radioimmunoassay in 37 cancer patients from China (22 oesophageal mucosa with no sign of tumour invasion, 11 samples of mucosa from the cardiac stomach, 4 oesophageal tumours) and 12 tissue samples from France and Germany hospitals (4 oesophageal mucosa, 2 oesophageal tumours, 1 oesophageal muscle; 1 stomach tumour; 1 colon mucosa, 2 colon tumours)  LOD: 25 fmol $O^6$ -Me-Gua/mg DNA (around 100 molecules/cell)  12.5 fmol $O^6$ -EtGua/mg DNA.	<i>Lin-Xian County (China)</i>  Positive $O^6$ -Me-Gua: 27/37 samples (17 samples in the range 5–50 fmol/mg DNA; 10 showed higher levels, up to 160 fmol/mg DNA), 10 samples below LOD.  <i>France and Germany</i>  Positive $O^6$ -Me-Gua: 5 samples below 45 fmol $O^6$ -Me-Gua/mg DNA  Negative: 7 samples below LOD  Negative $O^4$ -EtGua  Significant difference between DNA $O^6$ -Me-Gua levels in tissue samples from China vs. those from Europe.	Similar levels of the repair enzyme MGMT in China and European samples.  Several N-NAs (NDMA, NDEA) found in food constituents.  consumed in Lin-Xian County; higher urinary excretion of N-NAs and nitrate in subjects from this area as compared to Fan-xian County (a low-risk area with respect to both oesophageal and stomach cancer) reported in Lu et al. (1985).	Umberhauer et al. (1985)
$O^6$ -Me-Gua in DNA of oesophageal or stomach mucosa of Chinese patients (Lin-Xian)	38 human samples (27 oesophageal mucosa and 11 samples stomach mucosa) and 20 fetal oesophageal mucosa from Beijing hospital	The levels of $O^6$ -Me-Gua in fetal oesophageal mucosa were very low while positive results were found on samples from Lin-Xian (no data provided).	The amounts of $O^6$ -Me-Gua in DNA of oesophageal or stomach mucosa of patients from Lin-Xian were higher than that from Europe (Lyon and Essen). A subset of the data are reported in Umberhauer et al, 1985.	Lu et al. (1986)
$O^6$ -Me-Gua in human placental DNA from 20 women	10 smoking and 10 non-smoking women;  $O^6$ -Me-Gua by immunoassay/HPLC;  LOD: 0.5 $\mu$ mol $O^6$ -Me-Gua/mol dG	Positive for $O^6$ -Me-Gua: 2/10 and 3/10 DNA samples from smoking and non-smoking respectively (range: 0.6–1.6 $\mu$ mol $O^6$ -Me-Gua/mol dG).	No apparent relationship between MGMT and $O^6$ -Me-Gua concentrations in the 20 subjects.  No apparent relationship between smoking history and $O^6$ -Me-Gua concentration.	Foiles et al. (1988)
$O^6$ -Me-Gua in DNA from gastric and colorectal mucosa of patients with GI disorders	35 patients from Manchester area  $O^6$ -Me-Gua by radioimmunoassay in 53 samples  LOD: 0.010 $\mu$ moles $O^6$ -Me-Gua/mole dA	Low levels of $O^6$ -Me-Gua (range 0.01 > 0.30 $\mu$ moles/mole dA)  Negative: no detectable alkylation of the tissue DNA in 13/35 individuals (36%).	No apparent association with smoking or alcohol consumption.  Heterogeneous patterns both within and between individuals.	Hall et al. (1991)

Endpoint	Test item	Results	Comments	Reference
		<p>Positive: 5/6 of the gastric cancer samples; the DNA of the associated mucosa being alkylated less frequently (2/7) and to a lesser extent.</p> <p>Positive: 1/7 CRC DNA and to a lower extent than the DNA of the adjacent mucosa (8/10 samples).</p>		
<i>O</i> <sup>6</sup> -Me-Gua, <i>O</i> <sup>4</sup> -MeThy and <i>O</i> <sup>4</sup> -EtThy in leucocytes and liver samples	<p>3 leucocyte and 3 liver DNA samples from Japanese living in the Tokyo area</p> <p>Method: HPLC and immunoprecipitation by monoclonal antibodies</p> <p>LOD: 1 fmol (1 adduct/10<sup>8</sup> dG/dThy)</p>	<p><i>leucocyte samples</i></p> <p>Positive: <i>O</i><sup>6</sup>-Me-Gua (all samples)</p> <p>Negative: <i>O</i><sup>4</sup>-MeThy and <i>O</i><sup>4</sup>-EtThy</p> <p><i>liver samples</i></p> <p>Positive: <i>O</i><sup>6</sup>-Me-Gua (2 samples)</p> <p>Positive: <i>O</i><sup>4</sup>-MeThy and <i>O</i><sup>4</sup>-EtThy (all samples)</p>		Kang et al. (1992)
<i>O</i> <sup>6</sup> -Me-Gua in peripheral blood leucocyte DNA	<p>407 individuals from 17 populations in 13 countries with different gastric cancer rates.</p> <p>Only non-smoker subjects in the range 55–64 years</p> <p>LOD: 0.05 fmol <i>O</i><sup>6</sup>-Me-Gua/μg DNA</p> <p>Sera analyses for <i>H. pylori</i> antibodies and pepsinogen A</p>	<p>Positive for <i>O</i><sup>6</sup>-Me-Gua: 21 samples (5%) up to 0.26 fmol/ug DNA (0.42 μmol/mol G).</p> <p>High prevalence (16/21) of positive samples in the Japan and Portugal populations with extremely high gastric cancer rates (102 tested); 2 positive samples came from USA; single positive samples came from Germany, Iceland and UK.</p> <p>The median concentration of <i>O</i><sup>6</sup>-Me-Gua in the positive samples did not differ from that in the intermediate and low risk populations.</p>	<p><i>O</i><sup>6</sup>-Me-Gua in blood leucocyte DNA was significantly more frequent in individuals from the two populations with high gastric cancer mortality rates.</p> <p>Association between the presence of <i>O</i><sup>6</sup>-Me-Gua and a low level of serum pepsinogen A, a marker of severe atrophic gastritis.</p> <p>Lack of a significant association between the presence of adducts and <i>H. pylori</i> antibodies.</p>	EUROGAST Study Group (1994)
<i>O</i> <sup>6</sup> -Me-Gua, <i>O</i> <sup>4</sup> -MeThy and <i>O</i> <sup>4</sup> -EtThy in human leucocytes and liver samples	<p>15 leucocyte and 15 liver DNA samples from Japanese living in the Tokyo area</p> <p>Method: HPLC and immunoprecipitation by monoclonal antibodies</p>	<p><i>leucocyte samples</i></p> <p>Positive: <i>O</i><sup>6</sup>-Me-Gua (all samples) (range: 0.7–4.6 adducts/10<sup>8</sup> dG)</p> <p>Negative: <i>O</i><sup>4</sup>-Me-Thy and <i>O</i><sup>4</sup>-Et-Thy</p>	<p>No history of therapeutic exposure to alkylating agents</p> <p>No significant difference in DNA adducts levels between smokers and non-smokers</p>	Kang et al. (1995)



Endpoint	Test item	Results	Comments	Reference
	LOD: 1 fmol (1 adduct/10 <sup>8</sup> dG/dThy)	<i>liver samples</i> Positive: O <sup>6</sup> -Me-Gua (13/15 samples) (range: 1.1–6.7 adducts/10 <sup>7</sup> dG). Positive: O <sup>4</sup> -MeThy and O <sup>4</sup> -EtThy (all samples)(range: 0.1–14 adducts and 0.5–140 adducts/10 <sup>7</sup> dThy, respectively).		
O <sup>6</sup> -Me-Gua in paired normal and tumour DNA samples from the colorectal tissues	O <sup>6</sup> -Me-Gua quantified by radioimmunoassay LOD: 0.01 pmol O <sup>6</sup> -Me-Gua/mol dG.	The frequencies of DNA adduction were 33%, 52% and 48% for normal DNA and 58%, 32% and 63% for tumour DNA isolated from the cecum, sigmoid colon and rectum, respectively.	No association between the presence of O <sup>6</sup> -Me-Gua in DNA and the incidence of <i>Ki-ras</i> mutations or GC > AT transitions in mutated <i>Ki-ras</i> genes.	Jackson et al. (1996)
O <sup>6</sup> -Me-Gua in normal and tumour samples of CRC patients	62 CRC patients (30 men and 32 women; median age: 72 years) within Manchester area Measurements of O <sup>6</sup> -Me Gua by radioimmunoassay LOD: 0.01 μmol O <sup>6</sup> -Me-Gua/mol dG.	Positive for O <sup>6</sup> -Me-Gua: 27/62 (43%) and 30/58 (52%) of normal and tumour DNA samples, respectively. < 0.01–0.94 and < 0.01–0.151 μmol O <sup>6</sup> -Me-Gua /mol dG for normal. and tumour DNA, respectively. O <sup>6</sup> -Me-Gua levels in normal DNA lower in the proximal colon than in the sigmoid colon or rectum.	DNA alkylation varied within the large bowel (possibly due to in situ N-NAs formation) and was highest in areas of the colon and rectum where the highest incidence of large bowel tumours occurs.	Povey et al. (2000)
O <sup>6</sup> -Me-Gua, O <sup>6</sup> -EtGua, O <sup>6</sup> -PropylGua, O <sup>6</sup> -ButylGua in 24 gastric cancer patients from an area of Central Italy with a high incidence of gastric cancer	Measurements of DNA adducts by HRGC-NICI-SIR in paired samples of stomach tumour and non-involved mucosa Measurements of <i>H. pylori</i> by CagA antibodies Measurements of DMA and NDMA concentrations in foods sampled from local markets in Italy.	Positive: 5/24 and 3/24 for O <sup>6</sup> -Me-Gua 2/24 and 1/24 for O <sup>6</sup> -ButylGua 1/24 and 8/24 for O <sup>6</sup> -Propyl-gua 0/24 and 3/24 for O <sup>6</sup> -EtGua in tumour DNA and normal mucosa, respectively. O <sup>6</sup> -alkylguanine levels higher in non-involved mucosa than in paired tumour tissue samples (2.08 fmol/μg DNA in 54.2% of the samples).	No association with age, family history of gastric cancer, <i>H. pylori</i> antibodies and tumour histopathological stage. Estimated intakes of NDMA and DMA correlated with total levels of O <sup>6</sup> -alkyl guanines in non-involved gastric mucosa. 22/24 gastric cancer patients were infected with <i>H. pylori</i> , thus precluding a comparison between subgroups.	Palli et al. (2001)

Endpoint	Test item	Results	Comments	Reference
Adductome analysis (including THP-dG DNA adduct induced by NPIP) in human samples from subjects inhabiting areas with high (Cixian) and low (Shijiazhuang) incidence of oesophageal cancer.	DNA samples from surgical specimens of apparently non-tumorous lesions from subjects inhabiting areas with high (Cixian; n = 7) and low (Shijiazhuang; n = 8) incidences of oesophageal cancer.  DNA adductome analysis by UPLC-QTOF/MS	Multiple DNA adducts were detected in samples from both areas but appeared to be more abundant in samples from the high-incidence area.  PCA analysis identified a clear clustering of DNA adducts identified in high- and low-incidence areas.	The THP-dG DNA adduct induced by NPIP was identified as a significant contributor to oesophageal cancer in the high-incidence area of Cixian.	Totsuka et al. (2019)
<i>In vitro</i> genotoxicity induced by NPIP.	Ames test (TA100 and TA1535 in the presence of S9 mix)  Analysis of the Global Mutational Profiles	Positive: both strains.  G:C to A:T transitions are significantly higher in NPIP-exposed clones (77 clones) than in control clones (50 clones).		
<i>In vivo</i> genotoxicity induced by NPIP.	Two groups of male <i>gpt</i> F344 delta rats (n = 5 per group) were orally administered 5 consecutive doses of 33 or 66 mg of NPIP/kg per week for 4 weeks. A third group (control group, n = 5) was treated with distilled water. The rats were sacrificed at 14 weeks of age (6 weeks after NPIP administration).	Positive: dose-dependent increase of NPIP-induced mutation in the liver and oesophagus. Same mutational spectrum in the two organs.  Mainly A:T to C:G transversions but also G:C to A: T and A:T to G:C transitions.		
Mutational spectra in oesophageal cancer patients inhabiting areas with high (Cixian; n = 7) and low (Shijiazhuang; n = 8) incidences of oesophageal cancer.	Whole exome sequencing of 25 human oesophageal tumours /peripheral blood paired samples  Subjects who had no history of smoking or drinking.	Similar mutational pattern as in transgenic rats.  Identical number of somatic variants in the high and low areas of oesophageal cancer risk.  Mutational signatures were highly similar to signatures in the COSMIC database: signature 1 (related to aging), 13 (APOBEC overactivity), 5 and 17. In particular Signature C was similar to signature 17 with an unknown aetiology.	The weak correlation of a specific mutational signature with THPdG levels in the blood of oesophageal patients suggests that NPIP-induced DNA adducts are partly involved in oesophageal carcinogenesis.  No clear separation was observed between the high- and low-incidence areas on the basis of the mutational signatures, suggesting that there was no difference in the aetiology of oesophageal cancer between these areas.	

Endpoint	Test item	Results	Comments	Reference
		Signature C was weakly correlated with THPdG levels in the blood of oesophageal patients.		

DMA: dimethylamine; GLC/TEA: gas-liquid and high-pressure liquid chromatography/thermal energy analyser; HRGC-NICI-SIR: high-resolution gas chromatography/mass spectrometry with negative-ion chemical ionisation and selection recording; MGMT: *O*<sup>6</sup>-Me-Gua DNA methyltransferase; NDBA: *N*-nitrosodibutylamine; NDEA: *N*-nitrosodiethylamine; NDMA: *N*-nitrosodimethylamine; NDPA: *N*-nitrosodipropylamine; NPIP: *N*-nitrosopiperidine; NPYR: *N*-nitrosopyrrolidine; NPRO: *N*-nitrosoproline; NSAR: *N*-nitrososarcosine; *O*<sup>6</sup>-EtGua: *O*<sup>6</sup>-Etguanine; *O*<sup>4</sup>-EtThy: *O*<sup>4</sup>-Ethylthymine; *O*<sup>6</sup>-Me-Gua: *O*<sup>6</sup>-Methylguanine; *O*<sup>4</sup>-MeThy: *O*<sup>4</sup>-Methylthymine; *O*<sup>6</sup>-propylGua: *O*<sup>6</sup>-propylguanine; *O*<sup>6</sup>-butylGua: *O*<sup>6</sup>-butylguanine; THP-dG: N2-(3,4,5,6-Tetrahydro-2H-pyran-2-yl) deoxyguanosine; UPLC-QTOF/MS: ultraperformance liquid chromatography-quadrupole time-of-flight mass spectrometer.

## Appendix B – Studies on genotoxicity

**Table B.1:** *In vivo* genotoxicity studies with NDMA

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
DNA strand breaks by alkaline elution in liver	Sprague Dawley rats	Gavage (single) 21.5, 57.8, 73.2, 79.9 mg/kg bw	<b>Positive</b>	Mutagenic potency: <b>NDMA</b> , NMEA, NDEA > NDBA, NMOR > NPIP, NPYR, NDPA > NDPheA (neg)  Poor correlation with carcinogenic potency	Brambilla et al. (1987)
Mutations in <i>lacI</i> of <i>E.coli</i> by host-mediated assay	Swiss albino mice (n = 2–3)	<i>E. coli</i> injected in mice treated i.p with 200 µL of 500 pmol/kg bw;  incubation time: 3 3 h	<b>Positive: liver</b> (37-fold increase in mutation frequency)	GC > AT mutations at specific sequences (not at the CpG sites observed in controls): consistent with O <sup>6</sup> -Me-Gua miscoding properties	Horsfall et al. (1989)
Micronuclei, chromosomal aberrations and SCEs in cultured hepatocytes	F344 rats (n = 3–8)	Gavage (single) 2.5, 5, 10, 20 mg/kg bw analysis: 2–48 h after dosing	<b>Positive:</b> micronuclei, SCEs, chromosome aberrations (dose-dependent increases)	Chromosome aberrations: mainly acentric types	Sawada et al. (1991)
DNA strand breaks by alkaline elution in lung and kidney	Male Sprague-Dawley rats	Oral (1 h exposure) 2, 4, 20, 32, 40 mg/kg bw	<b>Positive:</b> at the first dose; plateau at 20 mg/kg in both tissues		Brendler et al. (1992)
Mutations and UDS in liver of transgenic <i>lacZ/galE</i> Muta mouse	Male Mice (3 and 6 weeks old) n = 4	Oral; 10 mg/kg bw (single) analysis: 7, 11, 20 days after dosing	<b>Positive:</b> mutation and UDS	Similar mutation frequency at <i>lacI</i> and <i>lacZ</i> loci;	Tinwell et al. (1994)
Mutations in the liver of transgenic <i>lacI</i> Big Blue mice	B6C3F1 Mice (3 and 6 weeks old) n = 4	2 mg/kg bw per day × 5 days analysis: 7, 11, 20 days after dosing	<b>Positive:</b> Ninefold increase in mutation frequency;  mutations increase only at 3 but not at 6 weeks of age	GC > AT mutations not at CpG sites (typical spectrum of controls); small increases in GC > TA transversions and deletions.	De Boer et al. (1999)
Micronuclei in BM and testis	Male CD1/CR mice; 7–8 weeks (n = 5)	i.p.; 3, 6, 9 mg/kg bw; BM: twice at 24 h interval; analysis: 24 hr;  testis: 6 times at 24 h interval	<b>Negative in BM</b>  <b>Positive in spermatids</b>  (6 and 9 mg/kg bw only)	No toxicity in the BM; toxicity in the testis at the highest dose;	Cliet et al. (1993)

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
Mutations at lacI gene <i>in vitro</i> and <i>in vivo</i>	<i>In vitro</i> and <i>in vivo</i> host-mediated assay	<i>E. coli</i> treated <i>in vitro</i> with 25 mM NDMA in the presence of Aroclor-induced rat S9  <i>E. coli</i> injected in mice treated i.p with 200 µL of 500 pmol/kg bw; incubation time: 3 h in the host-mediated assay	<b>Positive</b>	Same mutational spectra <i>in vitro</i> and <i>in vivo</i> (large predominance of GC > AT transitions; a few transversions at A:T and GC bp)	Jiao et al. (1993)
Mutations in the liver of transgenic <i>lacI</i> B6C3F1 mice	B6C3F1; 3 and 6 weeks old	2, 4, 6 mg/kg bw per day × 5, 14, 21 days; analysis: 1, 8, 22 days after the final dose	<b>Positive both strains:</b> 10- to 20-fold increase in mutation frequency	GC > AT mutations at specific sequences (not at the CpG site); consistent with O <sup>6</sup> -Me-Gua miscoding properties; no strain difference in the mutagenic response; strong age effect (hypersensitivity of young animals)	Mirsalis et al. (1993)
Mutations in the liver of transgenic <i>lacZ</i> C57BL/6 mice	C57BL/6				
Mutations in liver, kidney, lung, BM, urinary bladder, testis of <i>lacI</i> Big Blue mice	Male Big Blue C57Bl6; 7 weeks old (n = 10; 10 for micronuclei; 3 for mutations)  Carcinogenicity study in parallel (n = 10)	i.p. 1 mg/kg bw per day × 5 days  5 and 10 mg/kg bw (once) analysis (1 mg/kg bw): 1 week analysis (5 and 10 mg/kg bw): 2 weeks	<b>Positive:</b> Increase in liver (6.2-fold); kidney (2.4-fold); lung (2.1-fold).  <b>Negative:</b> BM, urinary bladder and testis	High doses are considered sublethal	Suzuki et al. (1996)
Micronuclei in peripheral blood		<b>Negative:</b> in low, repeated doses;  <b>Positive:</b> 5 and 10 mg/kg			
Mutations in liver and spleen of <i>lacZ</i> Muta <sup>TM</sup> mice		5 mg/kg bw and 10 mg/kg bw  1 mg/kg bw × 10 daily doses up to 7 days	<b>Positive:</b> maximal increase (3.6 fold) at 5 mg/kg bw in liver and marginal in spleen	GC > AT at non-CpG sites (60%) + small deletions up to 11bp (22%)	Souliotis et al. (1998)
7-Me-Gua and O <sup>6</sup> -Me-Gua DNA adducts and AGT levels in liver and lung			DNA adduction higher in liver than in lung	Information on kinetics of formation and removal of DNA adducts as well as on depletion and re-synthesis of AGT	



Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
Mutations at <i>lacI</i> and <i>cII</i> loci in the livers of transgenic Big Blue rats	Fischer 344 Big Blue rats 12 weeks old	Oral gavage; 0.2, 0.6, 2.0, 6.0 mg/kg bw per day × 9 doses	<b>Positive:</b> 2 and 6 mg/kg bw; 4.5-fold increase at highest dose	no difference between <i>lacI</i> and <i>cII</i> target gene (gene size .....Column Break .....1080 vs. 300 bp).	Gollupadi et al. (1998)
Mutations at <i>lacI</i> and <i>cII</i> loci in livers of Big Blue <sup>®</sup> transgenic mice	B6C3F1 Big Blue <sup>®</sup> mice; 10 weeks old; (n = 6)	Oral gavage, 4 mg/kg bw per day × 5 days	<b>Positive:</b> similar increases in mutation frequency (3.3- and 3.5-fold at <i>lacI</i> and <i>cII</i> )	Different spontaneous and NDMA-induced mutation spectra at <i>lacI</i> and <i>cII</i> loci	Shane et al. (2000)
DNA breaks by comet assay in stomach, colon, liver, lung, kidney, urinary bladder, brain and BM	Male ddY mice (n = 6)	i.p.; 6.25, 12.5, 25, 50 mg/kg bw  analysis: 3 and 24 h after dosing	<b>Positive:</b> all organs except BM		Tsuda et al. (2000)
Micronuclei in liver and peripheral blood	Male Fischer F344 or SD rats; 3 weeks old; (n = 4–5); 2 laboratories	5, 10 mg/kg bw	<b>Positive:</b> liver (both doses) <b>Negative:</b> blood		Suzuki et al. (2005)
Mutations at <i>lacI</i> in livers of Big Blue <sup>®</sup> transgenic mice	Male B6C3F1; 12 weeks old; (n = 6)	Oral gavage; 7 mg/kg bw per day × 3 days  Analysis: 11 days	<b>Positive:</b> liver (5-fold increase)	GC > AT (64%, not at CpG sites) + some frameshifts + 3 small deletions	Delker et al. (2008)
DNA strand breaks by comet assay in liver	Male SD or Wistar rats; 6–10 weeks old;	p.o.; 0.5, 2, 4 mg/kg bw per day	<b>Positive</b>	Information on liver histopathology	Rothfuss et al. (2010)
Micronuclei in peripheral blood	Collaborative studies in several laboratories	× 15 days	<b>Negative</b>		
Micronuclei in BM	Male Han–Wistar or Sprague–Dawley rats; 7–10 weeks old; (n = 6–10)	Oral gavage; 20, 40, 75 mg/kg bw per day × 3 days  (75 mg/kg bw: not analysed for excessive toxicity)	<b>Equivocal</b> (linear trend but excessive tox; only 2 doses)	Excessive toxicity also at 40 mg/kg bw	Bowen et al. (2011)
Micronuclei in blood			<b>Equivocal</b> (linear trend but excessive tox; only 2 doses)		
DNA breaks by comet assay in liver, stomach, blood,			<b>Positive:</b> liver, blood <b>Negative:</b> stomach		

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
Micronuclei in liver, BM, GI tract (stomach and colon)	Male CrI:CD (SD) rats; 6 weeks old	p.o.; 1, 2, 4 mg/kg bw per day × 14 days 0.5, 1, 2 mg/kg bw per day × 28 days	<b>Positive:</b> liver <b>Negative:</b> BM and GI		Hamada et al. (2015)
DNA breaks by comet assay in liver and stomach	Male CrI:CD (Sprague–Dawley) rats	Oral gavage; 2.5, 5.0, and 10 mg/kg bw (single) Analysis: 3 h after dosing	<b>Positive:</b> liver (all doses) <b>Negative:</b> stomach	Acute hepatotoxicity; however heavily damaged cells (hedgehogs) were excluded from the analysis of the Comet assay	McNamee and Bellier (2015)
DNA breaks by comet assay in liver and stomach	Male Sprague–Dawley rats; 7–8 weeks old	Oral gavage; 0.63, 1.25 and 2.5 mg/kg bw per day on day 1, 2 and 3 Analysis: 1 h after dosing	<b>Positive:</b> liver (all doses) <b>Negative:</b> stomach	No liver toxicity by histopathology Doses were chosen on the basis of McNamee and Bellier study	Hobbs et al. (2015)
Micronuclei in liver	Male and female CrI:CD (SD) rats; 4 weeks old; (n = 3 males and 3 females)	Oral gavage; 2.5, 5, 10 mg/kg bw per day × 3 days (1 cycle) or 3 cycles	<b>Positive 1 and 3 cycles:</b> both sexes at high dose;		Dertinger et al. (2019)
Micronuclei in blood			<b>Negative 1 or 3 cycles</b>		
Mutations at <i>Pig-a</i>			<b>Negative 1 or 3 cycles</b>		

**Table B.2:** *In vivo* genotoxicity studies with NDEA

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
DNA strand breaks in liver by alkaline elution	Sprague Dawley rats	Gavage (single) 0.6, 1.8, 5.4, 16.2 mg/kg bw	<b>Positive</b>	Mutagenic potency: NDMA, MEA, NDEA > NDBA, NMOR > NPIP, NPYR, NDPA > NDPheA (neg)  Poor correlation with carcinogenic potency	Brambilla et al. (1987)

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
Micronuclei in BM and testis	Male CD1/CR mice; 7–8 weeks (n = 5)	i.p.; 14, 28, 56, 112, 170 mg/kg bw per day; 2 × at 24 h interval (liver); 3 × at 24 h interval (testis); positive control: MMC	Negative in liver <b>Positive in spermatid</b> (28 and 56 mg/kg bw only; toxicity at higher doses)	No toxicity in the BM; toxicity in the testis	Cllet et al. (1993)
Micronuclei in BM and peripheral blood	Male CD1 mice (n = 5)	i.p. 250, 500, 1000 mg/kg bw (single) (2 exp.) 20, 40, 80 mg/kg bw (twice) (2 exp.)	<b>Inconclusive</b>		Morita et al. (1997)
	Male MS/Ae (n = 5)	i.p. 1, 2, 5, 10, 20, 25, 40, 50, 100, 200 mg/kg bw (twice)			
DNA strand breaks by comet assay in liver, kidney, lung, spleen, BM	Male CD1/CR mice; 7 weeks (n = 5)	i.p.; 160 mg/kg bw analysis: 3 and 24 h after dosing	<b>Positive in liver, kidney, lung</b> Negative in spleen and BM		Miyamae et al. (1998)
Mutations in liver and BM of transgenic MutaMouse ( <i>lacZ</i> )	Female CD2F1 mice	i.p.; 66 mg/kg bw (single) analysis: 1, 3, 14, 28 day after dosing	<b>Positive: liver</b> Negative: BM	GC > AT mutations AT > TA transversions Probably due to O <sup>6</sup> -EtGua and O <sup>2</sup> -EtThy	Mientjes et al. (1998)
O <sup>6</sup> -EtGua and 7-EtGua adducts in liver and BM		analysis: 1.5 h, 1, 3, 14, 28 day after dosing	<b>Positive:</b> O <sup>6</sup> -EtGua peak in the liver: 1.5–24 h, disappeared at 14 days Negative: BM		
DNA breaks by comet assay in stomach, colon, liver, lung, kidney and urinary bladder, brain and BM	Male ddY mice (n = 6)	i.p.; 20, 40, 80, 160 mg/kg bw analysis: 3, 8, 24 h after dosing	<b>Positive:</b> all organs except BM		Tsuda et al. (2000)
DNA strand breaks by comet assay in stomach, colon, liver, kidney, bladder, lung, brain, BM	Male ddY mice (n = 4)	i.p. 160 mg/kg bw p.o. 320 mg/kg bw analysis: 3, 8, 24 h after dosing	<b>i.p. Positive:</b> stomach, colon, liver, kidney, bladder, lung, brain. <b>Negative:</b> BM <b>p.o. Positive:</b> stomach, colon, liver, kidney, bladder, lung. <b>Negative:</b> brain and BM		Sekihashi et al. (2001)

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
DNA strand breaks by comet assay in stomach, colon, liver, kidney, bladder, lung, brain, BM	Wistar rats	i.p. 160 mg/kg bw analysis: 3, 24 h after dosing	<b>i.p. Positive:</b> stomach, colon, liver, kidney, bladder, lung, brain. <b>Negative:</b> brain and BM	Positive results in the same targets in mice and rats; (exception: negative results in BM in mouse and brain and BM in rat)	Sekihashi et al. (2002)
Mutations in liver, lung, BM, sperm of transgenic MutaMouse ( <i>lacZ</i> )	CD2F1 mice C57Bl6 mice	i.p.; 66, 50, 80, 100, 175 mg/kg bw (cumulative doses)	<b>Positive in liver and lung</b> Negative in BM and sperm		Lambert et al. (2005) (review)
Mutations in transgenic <i>Gpt delta (gpt)</i>		i.e.; 40, 50, 80, 100, 150 mg/kg bw/(single)	<b>Positive in liver and lung</b> Negative in BM and testis		
DNA strand breaks by comet assay in liver and kidney	Male F344/ DuCrIcrJ rats; 9 weeks old (n = 5) and 20 months old (n = 4/5)	i.p.; 160 mg/kg bw analysis: 3 and 20 h after dosing	<b>Positive in liver and kidney</b> in young rats (3 and 24 h) <b>Positive in kidney</b> in aged rats: (only at 24 h)	The lower level of DNA damage in old rats suggests that metabolic activation decreases in aging rats	Hashimoto et al. (2007)
DNA strand breaks by comet assay in liver	Male ddY mice; 7–8 weeks old (n = 4)	i.p.; 50 mg/kg bw partial hepatectomy; analysis at 3, 8, 24 h	<b>Positive</b> (all sampling times, peak at 8 h)		Higarashi et al. (2010)
Micronuclei in liver		partial hepatectomy; analysis: 5 days later	<b>Positive</b> (all sampling times, peaks at 24 h)		
Micronuclei in liver	Male F344/ DuCrIcrJ (Fischer) rats; 4 week; (n = 5)	Oral gavage, 12.5,25,50 mg/kg bw per day; × 2 days at 24 h interval	<b>Positive</b> (all samples)		Takasawa et al. (2010)
DNA strand breaks by comet assay in blood and liver	Male Sprague-Dawley rats; 6–8 weeks; (n = 5)	Oral gavage; 5,10,20 mg/kg bw per day × 28 days; the highest dose was lethal in all animals  Comet assay: additional dose at day 29;	<b>Positive</b> (both doses in liver; only the highest in blood)	No toxicity in BM; limited toxicity in the liver	Shi et al. (2011)
Micronuclei in blood			<b>Negative</b>		
Mutation ( <i>Pig-a</i> ) in reticulocytes and erythrocytes			<b>Negative</b>		

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
Micronuclei in liver	Male Crl:CD (SD) rats; 6 weeks (n = 5)	Oral gavage: 6.25, 12.5 mg/kg bw per day × 5, 14, 28 days	<b>Positive</b> (all time points at highest dose; at 28 days at the low dose)	Repeated exposures needed to detect increases in micronuclei frequency	Narumi et al. (2012)
Micronuclei in liver	Male Crl:CD (SD) rats; 6 weeks (n = 5)	Oral gavage: 12.5 mg/kg bw per day × 7 days	<b>Positive</b> (time-dependent increase)	Micronucleated hepatocytes accumulate and persist	Narumi et al. (2013)
DNA strand breaks by comet assay in liver and kidney	Male Crlj:CD1 (ICR) mice; 5.5 weeks old (n = )	Oral gavage 6.5 and 12.5 mg/kg bw per day × 15 days analysis: 3 h after last administration	<b>Positive in liver</b> (both doses) and <b>kidney</b> (high dose) (% tail DNA)	Positive results with repeated exposure of young mice; Negative in the blood because of short half-life of metabolite	Hagio et al. (2014)
Micronuclei in the liver and blood			<b>Positive in liver</b> (high dose) <b>Negative in blood</b>		
Mutation ( <i>Pig-a</i> ) in reticulocytes and erythrocytes	Male Sprague-Dawley rats; 6–8 weeks; (n = 6–9) Analysis MN: day 4 and 29	Oral gavage; 3.12, 6.25, 12.5 mg/kg bw per day × 28 days; Analysis <i>Pig-a</i> : day 15, 29, 42 after dosing	<b>Positive (weakly)</b> : only at 12.5 mg/kg bw in reticulocytes at day 29; in erythrocytes at day 29 and 42 (limited increase)	Toxicity: dose-related reduction in weight gain and in the number of reticulocytes (BM toxicity).	Avlasevich et al. (2014)
Micronuclei in reticulocytes			<b>Negative</b>		
Mutation ( <i>Pig-a</i> ) in reticulocytes and erythrocytes	Male Sprague-Dawley rats; (n = 3)	Oral gavage: 37.5, 75, 150 mg/kg bw (single dose) Analysis: 3, 7, 14, 28 day after dosing	<b>Negative</b>	Toxicity: decreased body weight gain (150 mg/kg bw) and increased number of reticulocytes (BM toxicity).	Wada et al. (2016)
Mutation ( <i>Pig-a</i> ) in reticulocytes and erythrocytes	Male Crl:CD (SD) rats; 6 weeks; (n = 5)	Oral gavage: 5, 10, 15 mg/kg bw per day × 28 days	<b>Positive (weakly)</b> : increase at high dose in reticulocytes and positive trend in erythrocytes	Toxicity: decreased body weight gain (150 mg/kg bw); no significant BM toxicity Increased proliferation of hepatocytes	Khanal et al. (2018)
Micronuclei in reticulocytes and liver			<b>Positive in liver</b> : dose-dependent increase. Negative in reticulocytes		
Micronuclei in liver	Male and female Crl:CD (SD) rats; 4 weeks old; (n = 3 males and 3 females)	Oral gavage; 10, 20, 40 mg/kg bw per day × 3 days (1 cycle) or 3 cycles	<b>Positive 1 and 3 cycles</b> : both sexes		Dertinger et al. (2019)



Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
Micronuclei in blood Mutations at <i>Pig-a</i>			<b>Negative 1 or 3 cycles</b> <b>Negative 1 cycle</b> <b>Positive 3 cycles</b>		
Micronuclei in liver	Male and female Crl: CD (SD) rats; 4 weeks old; (n = 3 males and 3 females)		<b>Positive 1 and 3 cycles:</b> both sexes at high dose		Dertinger et al. (2019)

**Table B.3:** *In vivo* genotoxicity studies with NMEA, NDPA, NDBA, NDBzA, NMOR, NPIP, NPYR, NDPheA

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
<b>NMEA</b>					
DNA strand breaks by alkaline elution in liver	Male Sprague-Dawley rats treated = 4 control = 16	Gavage (single) 0.67, 2, 6 mg/kg bw analysis: 3 h after dosing	<b>Positive</b> (linear dose response)	Mutagenic potency: NDMA, <b>NMEA</b> , NDEA > NDBA, NMOR > NPIP, NPYR, NDPA > NDPheA (neg). Poor correlation with carcinogenic potency	Brambilla et al. (1987)
Micronuclei in peripheral blood	Male CD1 mice n = 5	i.p. 7.5, 15, 30 mg/kg bw (single) 1.5, 3, 6 mg/kg bw (twice)	<b>Negative</b>		Morita et al. (1997)
DNA breaks by comet assay in stomach, colon, liver, lung, kidney, brain and urinary bladder and BM	Male ddY mice n = 6	i.p.; 6.25, 12.5, 25, 50 mg/kg bw analysis: 3, 24 h after dosing	<b>Positive:</b> stomach, colon, liver, lung, kidney		Tsuda et al. (2000)
<b>NDPA</b>					
DNA strand breaks in liver by alkaline elution	Male Sprague-Dawley rats treated = 4 control = 16	Gavage (single) 25, 75, 225 mg/kg bw analysis: 3 h after dosing	<b>Positive</b> (linear dose response)	See also above	Brambilla et al. (1987)

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
Micronuclei in BM	Male CD1 mice n = 5	i.p. 50, 100, 200, 400 mg/kg bw (twice)	<b>Negative</b>		Morita et al. (1997)
Mutations in liver, lung, kidney, urinary bladder, testis and BM of transgenic <i>lacZ</i> Muta <sup>TM</sup> Mouse	Male CD2F1 mice (n = 6 animals)	i.p.; 250 mg/kg bw (single) analysis: 7, 14, 28 days	<b>Positive:</b> liver, lung, kidney Negative: BM, urinary bladder, testis	Mutagenic potency: liver > lung > kidney	Ito et al. (1999); Suzuki et al. (1999)
Micronuclei in blood reticulocytes		analysis: 24, 48, 72 h	Negative: BM		
DNA breaks by comet assay in stomach, colon, liver, lung, kidney, brain and urinary bladder and BM	Male ddY mice (n = 6)	i.p.; 50, 100, 200, 400 mg/kg bw analysis: 3, 24 h after dosing	<b>Positive:</b> all organs (excluding kidney)		Tsuda et al. (2000)
Micronuclei in liver and BM	Male CrI:CD (SD) rats, 6 weeks age	gavage: 10, 20, 40 mg/kg bw × 14 days	<b>Positive:</b> liver Negative: BM		Hamada et al. (2015)
Micronuclei in liver and BM	Male CrI:CD (SD) rats, 6 weeks age	gavage: 10, 20, 40 mg/kg bw × 14 days	<b>Positive:</b> hepatocytes Negative: blood	T	Terashima et al. (2015)
<b>NDBA</b>					
DNA strand breaks by alkaline elution in liver	Male Sprague-Dawley rats treated = 4 control = 16	Gavage (single) 2.22, 6.67, 20, 60 mg/kg bw analysis: 3 h after dosing	<b>Positive</b> (linear dose response)	Mutagenic potency: NDMA, NMEA, NDEA > <b>NDBA</b> , NMOR > NPIP, NPYR, NDPA > NDPheA (neg). Poor correlation with carcinogenic potency	Brambilla et al. (1987)
Micronuclei in BM	Male BDF1 mice (n = 5)	i.p. 150, 300, 600 mg/kg bw (single)	<b>Negative</b>		Morita et al. (1997)
DNA breaks by comet assay in stomach, colon, liver, lung, kidney and urinary bladder, brain and BM	Male ddY mice (n = 6)	i.p.; 75, 150, 300, 600 mg/kg bw analysis: 3, 24 h	<b>Positive:</b> all organs except BM		Tsuda et al. (2000)
<b>NDBzA</b>					
DNA strand breaks by alkaline elution in liver	Male Alderley Park rats	Gavage Analysis: 12 h after dosing	<b>Positive</b>		Schmezer et al. (1990)

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
Micronuclei in peripheral blood	Male CBA and C57B1/6J mice	Gavage 750 mg/kg bw (CBA) 500, 750 mg/kg bw (C57B1/6J) analysis: 24 h after dosing	<b>Negative</b>		
	Male Alderley Park rats	Gavage 750 mg/kg bw analysis: 24 h after dosing	<b>Negative</b>		
Micronuclei in liver	Male Alderley Park rats	Gavage 250, 500, 750 mg/kg bw analysis: 24 h after dosing	<b>Positive</b>		
Mutations in transgenic <i>lacZ</i> Muta <sup>TM</sup> Mouse liver and BM	Male CD2F1 mice (N = 6 animals)	Oral 30, 100, 435, 750 mg/kg bw (single dose) Analysis: 30 and 90 days after dosing NDMA as +ve control: 2,6,10 mg/kg bw	<b>Positive: liver (NDBzA and NDMA)</b> Negative: BM (NDBzA and NDMA)	NDBzA is 100-fold less potent than NDMA. Mutations are mainly GC > TA transversions (unidentified DNA adduct; possibly due to benzylation)	Jiao et al. (1997)
<b>NMOR</b>					
DNA strand breaks by alkaline elution in liver	Male Sprague-Dawley rats treated = 4 control = 16	Gavage (single) 1.2, 3.6, 10.7, 32 mg/kg bw analysis: 3 h after dosing	<b>Positive</b> (linear dose response)	Mutagenic potency: NDMA, NMEA, NDEA > NDBA, <b>NMOR</b> > NPIP, NPYR, NDPA > NDPheA (neg). Poor correlation with carcinogenic potency	Brambilla et al. (1987)
Micronuclei in BM	Male ddY mice (n = 5)	i.p. 125, 250, 500, 1,000, 2,000 mg/kg bw (single) 250, 375, 500 mg/kg bw (single)	<b>Positive</b>		Morita et al. (1997)

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
		31, 63, 125 mg/kg bw (twice) 125, 250 mg/kg bw (twice)			
DNA breaks by comet assay in stomach, colon, liver, lung, kidney and urinary bladder, brain and BM	Male ddY mice (n = 6)	i.p.; 250 mg/kg bw analysis: 3, 8, 24 h	<b>Positive:</b> all organs except brain and BM		Tsuda et al. (2000)
<b>NPIP</b>					
DNA strand breaks by alkaline elution in liver	Male Sprague-Dawley rats treated = 4 control = 16	Gavage (single) 60, 180 mg/kg bw analysis: 3 h after dosing	<b>Positive</b> (only high dose)	See above	Brambilla et al. (1987)
Micronuclei in peripheral blood	Male BDF1 mice (n = 5)	i.p. 30, 60, 120 mg/kg bw (single)	<b>Negative</b>		Morita et al. (1997)
DNA breaks by comet assay in stomach, colon, liver, lung, kidney and urinary bladder, brain and BM	Male ddY mice (n = 6)	i.p.; 120 mg/kg bw analysis: 3, 8, 24 h	<b>Positive:</b> all organs except brain and BM		Tsuda et al. (2000)
Mutations in liver and oesophagus of <i>gpt delta</i> rats	Male 344 rats (n = 5)	Oral; 33, 66 mg/kg bw (5 consecutive doses) Analysis: 6 weeks after dosing	<b>Positive:</b> dose dependent increase in liver <i>gpt</i> mutations, mainly AT > CG transversions, borderlines increases in GC > AT and AT > GC transitions; limited information in the oesophagus (1/312000 and 22/61500 mutant/surviving clones in controls and NPIP treated rats, respectively); similar mutational profile of liver mutants	Totsuka et al. (2019)	
<b>NPYR</b>					
DNA strand breaks by alkaline elution in liver	Male Sprague-Dawley rats	Gavage (single) 15, 45, 135 mg/kg bw	<b>Positive</b> (linear dose response)	See above	Brambilla et al. (1987)

Endpoint	Species	Experimental design and doses	Effect level	Comments	Reference
	treated = 4 control = 16	analysis: 3 h after dosing			
Micronuclei in peripheral blood	Male CD1 mice (n = 5)	i.p. 40, 100, 250, 625 mg/kg bw (single)	<b>Negative</b>		Morita et al. (1997)
DNA breaks by comet assay in stomach, colon, liver, lung, kidney and urinary bladder, brain and BM	Male ddY mice (n = 6)	i.p.; 600 mg/kg bw analysis: 3, 8, 24 h	<b>Positive:</b> all organs except brain and BM		Tsuda et al. (2000)
Mutations in liver of transgenic Sprague-Dawley ( <i>gpt</i> and <i>Spi</i> mutations)	Female Sprague-Dawley <i>gpt</i> delta rats	Oral (in drinking water) 200 ppm for 13 weeks N = 5	<b>Positive:</b> at the <i>gpt</i> locus; <b>increase in AT &gt; GC transitions</b>  <b>Negative:</b> <i>Spi</i> -mutations	10-fold increase in base substitutions but no increase in deletions.  Significant increase in GST-P positive liver cell foci.	Kanki et al. (2005)
<b>NDPheA</b>					
Micronucleus in peripheral blood	Mouse	i.p. 500 mg/kg bw 800 mg/kg bw	<b>Negative</b>		Reviewed in McGregor (1994)
DNA strand breaks in liver by alkaline elution	Male Sprague-Dawley rats  treated = 4 control = 16	Gavage (single) 540 mg/kg bw analysis: 3 h after dosing	<b>Negative</b>	See above	Brambilla et al. (1987)



## Appendix C – Acute toxicity studies

**Table C.1:** Acute toxicity studies on the acyclic (volatile) N-NAs NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA and NMVA

Reference	Species/Dose route/Doses	Observed effects	Lowest dose with effect (mg/kg bw)	LD <sub>50</sub> (mg/kg bw)
<b>NDMA</b>				
Barnes et al. (1954)	<b>Rat</b> , albino (M, F), i.p.			26.5
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			40
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), i.p.			43
Korsrud et al. (1973)	<b>Rat</b> , SD (M) Gavage: 0, 0.3, 0.7, 1.9, 5.1, 13.7, 37 or 100 mg/kg bw	Hepatic vacuolation Centrolob. congestion ↑Transaminases	<b>1.9</b> <b>5.1</b> <b>13.7</b>	
Stewart et al. (1975)	<b>Rat</b> , Wistar (F), i.p.	Reduced protein synthesis in liver; Centrolobular necrosis	<b>20</b>	
Maduagwu et al. (1980)	<b>Rat</b> , Wistar (M) Gavage: 0 or 50 mg/kg bw	↑ ALT, AST, Bilirubin	<b>50</b>	
Nishie (1983)	<b>Rat</b> , Holtzman (F), gavage	↓ Survival, hepatic necrosis	<b>20</b>	
Sumi et al. (1983)	<b>Rat</b> , Wistar (M) Gavage: 0, 8, 9 or 10 mg/kg bw	↓ Survival Hepatic necrosis, ↑ ALT, AST	<b>8</b> <b>8</b>	
Frei et al. (1970)	<b>Mouse</b> , CFW/D (M, F), i.p.			19.2
Mirvish and Kaufman (1970)	<b>Mouse</b> , SWR (M, F), i.p.	100% lethality	<b>20</b>	
Tomatis et al. (1964)	Hamster, Syrian Golden (M, F), gavage			28.3 (M), 33.6 (F)
Haas et al. (1973)	<b>Hamster</b> , Syrian Golden (M, F), s.c.			28 (M), 34 (F)
Mohr et al. (1974)	<b>Hamster</b> , European (M, F), s.c.			28 (M), 43 (F)
Reznik (1975)	<b>Hamster</b> , Chinese (M, F), s.c.			17.7 (M, F)
Ungar et al. (1984)	<b>Hamster</b> , Golden (M) Drinking water 0 or 2 mg/100 mL Duration: 24 h	Hepatic venopathy	<b>2</b>	
Maduagwu et al. (1980)	<b>Guinea pig</b> , Hartley (M), gavage	↑ ALT, AST, ALP, Bilirubin	<b>50</b>	
Maduagwu et al. (1980)	<b>Cat</b> (M), gavage	↓ Survival ↑ ALT, AST, ALP	<b>50</b>	
Maduagwu et al. (1980)	<b>Monkey</b> , African Green (M), gavage	↑ rel liver weight ↑ ALT, AST	<b>50</b>	
<b>NMEA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			90

Reference	Species/Dose route/Doses	Observed effects	Lowest dose with effect (mg/kg bw)	LD <sub>50</sub> (mg/kg bw)
<b>NDEA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			280
Stewart et al. (1975)	<b>Rat</b> , Wistar (F), i.p.	↓ Protein synthesis in liver Centrolobular necrosis	<b>250</b>	
Mukherjee and Ahmad (2015)	<b>Rat</b> , Wistar (M), i.p.	Hepatic necrosis ↑ AST, ALT	<b>100</b> <b>200</b>	
Schmähl et al. (1963)	<b>Mouse</b> , DB (M, F), s.c.	Hepatic necrosis		180
Mirvish and Kaufman (1970)	<b>Mouse</b> , SWR (M, F), i.p.	↓ Survival	<b>280</b>	
Mohr et al. (1966)	<b>Hamster</b> , Syrian Golden (?), s.c.			178
Witschi, 1973	<b>Hamster</b> , Syrian Golden (M), s.c.	↓ Survival, liver damage ↓ hepatic protein synthesis	<b>200</b>	
Mohr et al. (1972)	<b>Hamster</b> , European (?), s.c.			246
Reznik et al. (1976)	<b>Hamster</b> , Chinese (M, F), s.c.			232 (M, F)
<b>NDPA</b>				
Druckey et al. (1967)	<b>Rat</b> , BD (M, F), gavage	–		480
Pour et al. (1973); Althoff et al. (1973)	<b>Rat</b> , SD (M, F), s.c.			487
Reznik et al. (1975)	<b>Rat</b> , SD (M, F), s.c.	Haemorrhages in lung and stomach		487.2
Dickhaus et al. 1977)	<b>Mouse</b> , NMRI (F), s.c.			689
Pour et al. (1973)	<b>Hamster</b> , Syrian Golden (M, F), s.c.	Liver necrosis, haemorrhages in lung, heart and kidney		600
<b>NDIPA</b>				
Druckey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			850
<b>NEIPA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), oral application			1,100
<b>NMVA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			24

**Table C.2:** Acute toxicity studies on the acyclic (non-volatile) N-NAs NDBA, NDIBA, NMA (N-nitrosomethylaniline), NEA and NSAR

Reference	Species/Dose route/Doses	Observed effects	Lowest dose with effect (mg/kg bw)	LD50 (mg/kg bw)
<b>NDBA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			1,200
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), s.c.			1,200
Althoff et al. (1971)	<b>Hamster</b> , Chinese (M), s.c.	Acute liver dystrophy, necrosis in kidney, adrenals and heart		561
Althoff et al. (1971)	<b>Hamster</b> , Syrian Golden (M), s.c.			1,750
Althoff et al. (1973)	<b>Hamster</b> , Syrian Golden (M, F), gavage			2,150
Althoff et al. (1973)	<b>Hamster</b> , Syrian Golden (M, F), i.p.			1,200
Althoff et al. (1973)	<b>Hamster</b> , Syrian Golden (M, F), s.c.			1,750
Althoff et al. (1974)	<b>Hamster</b> , European (M, F), s.c.			2,462 (M), 1,866 (F)
Reznik et al. (1976)	<b>Hamster</b> , European (M, F), s.c.			1,420
<b>NDIBA</b>				
Althoff et al. (1975)	<b>Hamster</b> , Syrian Golden (M, F), s.c.			5,600 (M), 6,600 (F)
<b>NMA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage	–		280
Goodall et al. (1970)	<b>Rat</b> , albino (M, F), gavage			336 (M), 225 (F)
Goodall et al. (1970)	<b>Hamster</b> , Syrian Golden (M), gavage	Fat vacuoles in hepatocytes		150
<b>NEA</b>				
Pubchem <sup>(a)</sup> and ChemIDplus <sup>(b)</sup>	<b>Rat</b> (strain, sex not specified), p.o.	Lethality	180	
Pubchem <sup>(a)</sup> and ChemIDplus <sup>(b)</sup>	<b>Rat</b> (strain, sex not specified), i.p.	Lethality	180	
<b>NSAR</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			5,000
Wogan et al. (1975)	<b>Mouse</b> , newborn C57Bl/6JxC3HeB/FeJ (M, F) i.p. on PP day 1			184
Friedman and Couch (1976)	<b>Mouse</b> , Swiss (M), i.p.			3,500

(a): <https://pubchem.ncbi.nlm.nih.gov/compound/N-Nitroso-N-ethylaniline#section=Transport-Information>.

(b): <https://chem.nlm.nih.gov/chemidplus/rn/612-64-6>.

**Table C.3:** Acute toxicity studies for NMOR, NPIP, NTHZ, NPYR, NPRO and NDPheA

Reference	Species Dose route Doses	Observed effects	Lowest dose with effect (mg/kg bw)	LD <sub>50</sub> (mg/kg bw)
<b>NMOR</b>				
Lee and Lijinsky (1966)	<b>Rat</b> , Wistar (M), i.p.			320
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			320
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), i.p.			320
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), i.v.			98
Stewart et al. (1975)	<b>Rat</b> , Wistar (F), i.p.	↓ hep. protein synthesis centrolobular necrosis	400	
Nishie et al. (1984)	<b>Rat</b> , SD (M), s.c.	↓ rel liver weight ↑ ALT, AST, ↑ mitosis in liver	100	
Grasl-Kraupp et al. (2000)	<b>Rat</b> , Wistar (M), gavage	Hep. centro-lobular necrosis	250	
Haas et al. (1973)	<b>Hamster</b> , Syrian Golden (M, F), s.c.			492 (M), 562 (F)
Mohr et al. (1974)	<b>Hamster</b> , European (M, F), s.c.			493 (M), 429 (F)
Reznik et al. (1976)	<b>Hamster</b> , Chinese (M, F), s.c.			163 (M, F)
Mohr et al. (1979)	<b>Hamster</b> , Syrian Golden (M, F), s.c.			492 (M), 562 (F)
Ketkar et al. (1983)	<b>Hamster</b> , Syrian Golden (M, F), gavage			956 (M), 1,149(F)
Cardesa et al. (1990)	<b>Hamster</b> , Syrian Golden (M, F), gavage			735 (M), 615 (F)
<b>NPIP</b>				
Lee and Lijinsky (1966)	<b>Rat</b> , Wistar (M), i.p.			85
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			200
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), s.c.			100
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), i.v.			60
Haas et al. (1973)	<b>Hamster</b> , Syrian Golden (M, F), s.c.			324 (M), 283 (F)
Althoff et al. (1974)	<b>Hamster</b> , Syrian Golden (M, F), s.c.			324
Mohr et al. (1974)	<b>Hamster</b> , European (M, F), s.c.			226
Reznik et al. (1976)	<b>Hamster</b> , Chinese (M, F), s.c.			113
Mohr et al. (1979)	<b>Hamster</b> , Syrian Golden (M, F), s.c.			324 (M), 283 (F)
Cardesa et al. (1990)	<b>Hamster</b> , Syrian Golden (M, F), gavage			735 (M), 617 (F)
<b>NTHZ</b>				
Nishie et al. (1984)	<b>Rat</b> , SD, (M), s.c.			1,950

Reference	Species Dose route Doses	Observed effects	Lowest dose with effect (mg/kg bw)	LD <sub>50</sub> (mg/kg bw)
<b>NPYR</b>				
Lee and Lijinsky (1966)	<b>Rat</b> , Wistar (M), i.p.			650
Druckey et al. (1967)	<b>Rat</b> , BD (M, F), gavage	–		900
Ketkar et al. (1982)	<b>Hamster</b> , Syrian Golden (M, F), gavage			1,318 (M), 1,023 (F)
<b>NPRO</b>				
Mirvish et al. (1980)	Rat, MRC Wistar (M), i.p.			4,500
Nagasawa et al. (1973)	Mouse, Swiss (sex not specified), i.p.			203
<b>NDPheA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F), gavage			3,000
ECHA, 2017 <sup>(a)</sup>	<b>Rat</b> , Wistar (F), gavage			> 2,000

(a): <https://echa.europa.eu/registration-dossier/-/registered-dossier/27089/7/6/2>.



## Appendix D – Repeated dose toxicity studies

**Table D.1:** Repeated dose toxicity studies on NDMA and NDEA

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDMA</b>				
Barnes et al. (1954)	<b>Rat</b> , albino (M, F) No./sex/group: not specified  Diet: 0, 50, 100, 200 ppm; equivalent to 0, 2.5, 5, 10 mg/kg bw per day <sup>(a)</sup> Duration: up to 110 days	↓ Body weight ↓ Survival and hepatic necrosis	2.5	<b>2.5</b> <b>5</b>
Khanna and Puri (1966)	<b>Rat</b> , albino (sex not specified) No./group: > 5  Diet: 0 or 75 ppm, equivalent to 6.75 to 9 mg/kg bw per day <sup>(a)</sup> Duration: 1, 2, 4, 8, or 12 weeks	Hepatic necrosis (1–8 weeks)		<b>6.75–9</b>
Reuber (1975)	<b>Rat</b> , Buffalo (M, F) No./sex/group: 12  Diet: 0 or 0.0114%; equivalent to 10.3 mg/kg bw per day <sup>(a)</sup> Duration: 12 weeks	Hepatic vein thrombosis and fibrosis (F)		<b>10.3</b>
Maduagwu et al. (1980)	<b>Rat</b> , Wistar (M) No./group: 10  Gavage: 0, 1 or 5 mg/kg bw per day Duration: 5–11 days (5 mg), 30 days (1 mg)	↓ Survival ↑ ALT, AST, Bilirubin ↑ ALP	1 1	<b>5</b> <b>1</b> <b>5</b>
Sykora et al. (1985)	<b>Rat</b> , newborn Wistar (M, F) No./sex/group: > 6  i.p. application: 0, 0.2, 0.4 or 0.5 mg/kg bw per day Duration: postnatal day 1–20 (0), day 1–5 (0.2 mg), day 1–10 (0.4 mg), day 1–20 (0.5 mg)	↓ Survival ↓ body weight	–/0.2	<b>0.2 (M, F)</b> <b>0.2/0.4 (M, F)</b>
Roszczenko et al. (1996) (from ATSDR 2022)	<b>Rat</b> , Wistar (M) No./group: 7  Drinking water: 0, 0.002 mg/kg bw per day Duration: 10 days	↑ Serum AST, ALT, ALP and GGT		<b>0.002</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Roszczenko et al. (1996) (from ATSDR 2022)	<b>Rat</b> , Wistar (M) No./group: 7 0, 0.0007, 0.0016, 0.0035 mg/kg bw per day Duration: 10 days	↓ Serum total and latent iron binding capacity	0.0007	<b>0.0016</b>
Chooi et al. (2016)	<b>Rat</b> , Wistar (M) No./group: > 5  i.p. application: 0 or 10 mg/kg bw on 3 days/week: equivalent to 0 or 4.3 mg/kg bw per day, Duration: 4 weeks	↓ Body weight gain Liver fibrosis		<b>4.3</b>
Terracini et al. (1966)	<b>Mouse</b> , Swiss (M, F) No./sex/group: > 12  Drinking water: 0 or 0.005%; equivalent to 0 or 9 mg/kg bw per day <sup>(a)</sup> Duration: 1 week	↓ Survival		<b>9</b>
Anderson et al. (1986)	<b>Mouse</b> , A/J (M) No./group: 10  Drinking water: 0 or 50 ppm; equivalent to 9 mg/kg bw per day <sup>(a)</sup> Duration: 1, 2 or 4 weeks	↓ Body weight (1 week) ↑ hepatic NDMA demethylase activity (1 week) centrolobular liver damage (1 week)		<b>9</b>
Ungar et al. (1984)	<b>Hamster</b> , Golden (M) No./group: not specified Drinking water: 0 or 2 mg/100 mL; (100 mL drinking water/kg bw per day assumed) equivalent to 0 or 2 mg/kg bw per day <sup>(c)</sup> Duration: 2–14 days or 28 days	↓ Survival Portal venopathy		<b>2</b> <b>2</b>
Ungar et al. (1986)	<b>Hamster</b> , Syrian Golden (M) No./group: > 3  Drinking water: 0 or 2 mg/100 mL (100 mL drinking water/kg bw per day assumed) equivalent to 0 or 2 mg/kg Duration: 8, 12 or 16 weeks	↓ Survival Hepatic venopathy		<b>2</b> <b>2</b>
Maduagwu et al. (1980)	<b>Guinea pig</b> , Hartley (M) No./group: 10		1	<b>5</b> <b>1</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
	Gavage: 0, 1 or 5 mg/kg bw per day Duration: 30 days (1 mg), 5–11 days (5 mg)	↓ Survival ↑ ALT, AST, ↑ ALP, bilirubin	1	5
Magee et al. (1956)	<b>Rabbit</b> (M) No./group: 6  Diet: 20 ppm (10 weeks) followed by 30 ppm (4 weeks) and 50 ppm (8 weeks); equivalent to 1.5, 2.25 or 3.75 mg/kg bw per day <sup>(b)</sup> ; <i>no control</i> Duration: 22 weeks	↓ Survival Hepatic fibrosis		<b>1.5–3.75</b>
Sheweita et al. (2017)	<b>Rabbit</b> , New Zealand (M) No./group: 5 Oral route (not specified): 0 or 0.5 mg/kg bw per day Duration: 12 weeks	Hepatic necrosis and fibrosis ↑ hepatic TBARS ↓ activity of hepatic SOD, catalase, GST and GPx ↓ hepatic GSH content		<b>0.5</b>
Carter et al. (1969)	<b>Mink</b> (M) No./group: 3–6  Diet: 0, 2.5, 5 ppm; equivalent to 0, 0.32 or 0.63 mg/kg bw per day <sup>(b)</sup> Duration: 32–34 days	↓ Survival Hepatic necrosis		<b>0.32</b>
Strombeck et al. (1983)	<b>Dog</b> (sex not specified) No./group: 12 Oral: 2.5 µl/kg bw on 2 days/week, equivalent to 0.67 mg/kg bw per day Duration: 3 weeks	↓ Survival Hepatic necrosis ↑ ALT, AST ↑ ALP, bilirubin		<b>0.67</b>
Maduagwu et al. (1980)	<b>Cat</b> (M) No./group: 6  Gavage: 0, 1 or 5 mg/kg bw per day Duration: 5–11 days (5 mg), 30 days (1 mg),	↓ Survival ↑ ALT, AST ↑ ALP, bilirubin	1	<b>1</b> <b>1</b> <b>5</b>
Maduagwu et al. (1980)	<b>Monkey</b> , African Green (M) No./group: 6  Gavage: 0, 1 or 5 mg/kg bw per day Duration: 5–11 days (5 mg), 30 days (1 mg)	↓ Survival ↑ ALT, AST, ALP	1 1	<b>5</b> <b>5</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDEA</b>				
Takayama et al. (1975)	<b>Rat</b> , Donryu (M) No./group: 24  Drinking water: 50 ppm; equivalent to 6 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 3 weeks	Areas of liver hyperplasia		<b>6</b>
Rapp et al. (1965)	<b>Rabbit</b> , New Zealand (F, M) No./group: 3–13 Drinking water: 0, 42, 126, 378 or 1,134 mg/L on 6 days/week (1.4 kg bw reported and assuming 100 mL/kg bw water consumption/day) equivalent to 0, 3.6, 10.8, 32.4 or 97.2 mg/kg bw per day Duration: 4 weeks	↓ Survival	3.6	<b>10.8</b>
Sheweita et al. (2017)	<b>Rabbit</b> , New Zealand (M) No./group: 5  Oral route (not specified): 0 or 0.5 mg/kg bw per day Duration: 12 weeks	Hepatic necrosis and fibrosis ↑ hepatic TBARS ↓ activity of hepatic SOD, catalase, GST and GPx ↓ hepatic GSH content		<b>0.5</b>

**Table D.2:** Repeated dose toxicity studies on NMOR, NPIP and NDPheA

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NMOR</b>				
Wanson et al. (1980)	<b>Rat</b> , SD (sex?) No./sex/group: not specified Drinking water: 0 or 2.4 mg/kg bw per day Duration: 7 weeks	Ultrastructural changes in hepatocytes		<b>2.4</b>
Oberemm et al. (2009)	<b>Rat</b> , Wistar (M) No./sex/group: 7  Drinking water: 0 or 120 mg/L; equivalent to 0 or 10.8 mg/kg bw per day Duration: 7 weeks Observation: further 43 weeks	Induction of GSTp positive preneoplasia Alterations in hepatic transcriptome and proteome patterns		<b>10.8</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NPIP</b>				
Flaks and Challis (1980)	<b>Rat</b> , Leeds strain (M) No./sex/group: 6  Drinking water: 0 or 0.02% on 6 days/week; equivalent to 0, 8.6 or 15.4 mg/kg bw per day <sup>(a)</sup> , in dependence of the duration of experiment Duration: 2.5, 5 or 6 months	Centrolobular hepatic vacuolation (2.5 months) Preneoplastic foci (2.5 months)		<b>8.6–15.4</b>
Flaks and Challis (1981)	<b>Rat</b> , Leeds strain (M) No./sex/group: 6–12  Drinking water: 0 or 0.02% on 6 days/week; equivalent to 0 or 20.6 mg/kg bw per day <sup>(a)</sup> Duration: 12 or 28 days	Liver: ↓ glycogen, ↑ lipid and smooth endoplasmic reticulum; changed bile canaliculi		<b>20.6</b>
<b>NDPheA</b>				
NCI/NTP 1979	<b>Rat</b> , F344 (M, F) No./sex/group: 5  Diet for M: 0, 1,000, 2,000, 3,000, 4,000, 6,000, 8,000 or 10,000 ppm; equivalent to 0, 90, 180, 270, 360, 540, 720, or 900 mg/kg bw per day <sup>(a)</sup> For F: 0, 4,000, 8,000, 16,000, 24,000, 32,000, 46,000 ppm; equivalent to 0, 360, 720, 1,440, 2,160, 2,880 or 4,260 mg/kg bw per day <sup>(a)</sup> Duration: 11 weeks	↓ Survival ↓ Body weight	720 (F) 270 (M/F)	<b>1440 (F)</b> <b>360 (M/F)</b>
ECHA, 2017b	<b>Rat</b> , SD (M, F) No./sex/group: 10  Gavage: 0, 15, 50 or 150 mg/kg bw per day Duration: M: 2 weeks before and after mating (in total 4 weeks) F: 2 weeks before mating, during the mating period (up to 2 weeks), during gestation, during lactation until day 13 post-partum (duration 7–8 weeks)	Necrosis of urinary bladder (M, F)	50 (M, F)	<b>150 (M, F)</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
NCI/NTP 1979	<b>Mouse</b> , B6C3F1 (M, F) No./sex/group: 5  Diet for M: 0 or 3,160 to 22,000 ppm, equivalent to 0 or 632 to 4,400 mg/kg bw per day <sup>(a)</sup> ; for F: 0 or 3,160 to 46,000 ppm; equivalent to 0, or 4,400 to 9,200 mg/kg bw per day <sup>(a)</sup> Duration: 7 weeks	↓ Body weight M/F	2,200/6,400	<b>3,000/9,200</b>
Sheweita et al. (2017)	<b>Rabbit</b> , New Zealand (M) No./sex/group: 5 Route:  oral 0 or 0.5 mg/kg bw per day Duration: 12 weeks	Hepatic necrosis and fibrosis ↑ hepatic TBARS ↓ activity of hepatic SOD, catalase, GST and GPx ↓ hepatic GSH content		<b>0.5</b>

GST: glutathione-S-transferase; GSTp: placental glutathione-S-transferase; GSH: glutathione; GPx: glutathione-peroxidase; SOD: superoxide dismutase; TBARS: thiobarbituric-acid reactive substances.

(a): EFSA default values applied.

(b): <https://echa.europa.eu/registration-dossier/-/registered-dossier/27089/7/6/2>.

## Appendix E – Long term toxicity/carcinogenicity studies

**Table E.1:** Long-term/carcinogenicity studies on the acyclic (volatile)N-NAs NDMA, NMEA, NDEA, NDPA, NDIPA, NEIPA, NMBA and NMVA. Note that studies on NDMA and NDEA, applying the compound s.c., i.p., i.v. or i.m. to rats or mice, were not considered

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDMA</b>				
Magee et al. (1956)	<b>Rat</b> , albino (M, F) No/sex/group: 4–10  Diet: 0 or 50 ppm; equivalent to 0 or 2.5 mg/kg bw per day <sup>(a)</sup> Duration: 27–42 weeks Observation: 27–42 weeks	Hepatic necrosis and fibrosis Liver tumours		<b>2.5</b> <b>2.5</b>
Zak et al. (1960)	<b>Rat</b> , SD (M, F) No/sex/group: > 10  Diet (choline-deficient): 0 or 125 ppm; equivalent to 0 or 6.25 mg/kg bw per day <sup>(a)</sup> Duration: up to 224 days	Tumours in liver, lung and kidney		<b>6.25</b>
Argus and Hoch-Ligeti (1961)	<b>Rat</b> , Wistar (M) No/group: > 20  Gavage: 400 µg/rat (assuming 300 g bw) on 5 days per week; equivalent to 0.95 mg/kg bw per day; <i>no control</i> Duration: 31–48 weeks	Tumours in liver, lung and kidney		<b>0.95</b>
Magee et al. (1962)	<b>Rat</b> , Porton (F) No/group: > 5  Diet: 0, 5, 10, 20 or 50 ppm; equivalent to 0, 0.25, 0.5, 1 or 2.5 mg/kg bw per day <sup>(a)</sup> Duration: 102–104 weeks (5–20 ppm) or 42 weeks (50 ppm) Observation: 27–42 weeks	Liver tumours	0.25	<b>0.5</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Terracini et al. (1967)	<b>Rat</b> , Porton (M, F) No/sex/group: 5–62  Diet for M: 0, 2 or 5 mg/kg; for F: 0, 2, 5, 10, 20 or 50 mg/kg; equivalent to for M: 0, 0.1, 0.25 mg/kg bw per day; for F: 0, 0.1, 0.25, 0.5, 1.0 or 2.5 mg/kg bw per day <sup>(a)</sup> Duration: > 52 weeks Observation: up to 120 weeks	Liver tumours	0.1	<b>0.1</b> (M) <b>0.25</b> (F)
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 20  Gavage: 4 mg/kg bw on 5 days/week; equivalent to 2.86 mg/kg bw per day; historical controls available Duration: on average 270 days	HCC, hepatic sarcoma		<b>2.86</b>
Hoch-Ligeti (1968)	<b>Rat</b> , SD (M) No/group: 19  Gavage: 400µg/rat on 5 days/week;(assuming 300 g bw), equivalent to 0.95 mg/kg bw per day; <i>no control</i> Duration: 24 weeks Observation: 525 days	HCC		<b>0.95</b>
Geil et al. (1968)	<b>Rat</b> , Holtzman (F) No/group: > 5  Drinking water: 0 or 15 mg/L; equivalent to 0 or 0.75 mg/kg bw per day <sup>(a)</sup> Duration/tumour induction time: 289–386 days	Liver tumours		<b>0.75</b>
Keefer et al. (1973)	<b>Rat</b> , MRC (M) No/group: > 14  Drinking water: 0, 5 or 25 mg/L on 5 days/week; equivalent to 0, 0.18 or 0.9 mg/kg bw per day <sup>(a)</sup> Duration: 30 weeks Observation: 100 weeks	Liver tumours		<b>0.18</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Hadjiolov et al. (1974)	<b>Rat</b> , Wistar (M) No/group: 24  Drinking water: 0 or 1.4 mg/kg bw on 5 days/week; equivalent to 0 or 1 mg/kg bw per day Duration: 5 months	Malignant liver tumours		<b>1</b>
Terao et al. (1978)	<b>Rat</b> , Wistar (M) No/group: > 14  Diet: 0 or 10 ppm; equivalent to 0 or 0.5 mg/kg bw per day <sup>(a)</sup> Duration: 54 weeks Observation: 69 weeks	Leydig cell tumours Hepatic effects	0.5	<b>0.5</b>
Arai et al. (1979)	<b>Rat</b> , Wistar (M, F) No/sex/group: > 17 Diet: 0, 0.1, 1, or 10 ppm; equivalent to 0, 0.005, 0.05 or 0.5 mg/kg bw per day <sup>(a)</sup> Duration: 96 weeks	Nodular hepatic hyperplasia (M, F) HCC (M, F)	0.005 0.005	<b>0.05</b> <b>0.05</b>
Lijinsky et al. (1981)	<b>Rat</b> , F344 (M, F) No/group: 20  Drinking water: 33.3 mg/L on 5 days/week; equivalent to 1.2 mg/day per day <sup>(a)</sup> historical controls available Duration: 30 weeks Observation: 70 weeks	HCC, liver sarcoma, leukaemia		<b>1.2</b>
Ito et al. (1982)	<b>Rat</b> , Wistar (M) No/group: 7–17  Diet: 0, 0.1, 1 or 10 mg/kg bw per day Duration: 96 weeks	Liver: Hyperplastic nodules, HCC, fibrosarcoma Liver endothelioma	0.1 1	<b>1 10</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Brantom 1983 (Thesis) with calculations in Peto et al. (1984, 1991a,b)	<b>Rat</b> , Wistar (M, F) No/sex/group: 60 Drinking water: 0, 0.033, 0.066, 0.132, 0.264, 0.528, 1.056, 1.584, 2.112, 2.640, 3.168, 4.224, 5.280, 6.336, 8.448, 16.896 ppm Equivalent to for M: 0, 0.002, 0.004, 0.008, 0.02, 0.03, 0.07, 0.1, 0.14, 0.17, 0.2, 0.27, 0.34, 0.41, 0.54, 1.08 mg/kg bw per day <sup>(a)</sup> For F: 0, 0.003, 0.006, 0.011, 0.02, 0.05, 0.09, 0.14, 0.18, 0.23, 0.28, 0.37, 0.46, 0.55, 0.73, 1.47 mg/kg bw per day <sup>(a)</sup> Duration: 12 months, 18 months, lifetime	↓ Survival M/F Liver tumours including HCC (Brantom) M/F Bile epithelial tumour M/F Mesenchymal liver tumours M/F	0.17/0.23 0.1/0.003 0.1/0.14	<b>0.2/0.28</b> <b>0.002/0.003</b> <b>0.14/0.006</b> <b>0.14/0.18</b>
Lijinsky and Reuber (1984)	<b>Rat</b> , F344 (F) No/group: 20  Drinking water on 5 days/wk: 0, 5.5 or 13 mg/L; equivalent to 0, 0.19 or 0.46 mg/kg bw per day <sup>(a)</sup> Duration: 30 weeks	HCC Liver haemangiosarcoma	0.19	<b>0.19</b> <b>0.46</b>
Lijinsky et al. (1987)	<b>Rat</b> , F344 (M) No/group: 20  Gavage: 0 or 2 mg/rat (~ 300 g bw) on 2 days/week; equivalent to 0 or 1.9 mg/kg bw per day; Duration: 30 weeks Observation: 63 weeks	HCC, CCC, hepatic haemangiosarcoma, tumours of lung <del>tumours of</del> (alveolar, squamous), kidney (mesenchymal) and nasal mucosa		<b>1.9</b>
Fukushima et al. (2005)	<b>Rat</b> , F344 (M) No/group: > 80  Drinking water: 0, 0.001, 0.01, 0.1, 1 or 10 ppm; equivalent to 0, 0.00005, 0.0005, 0.005, 0.05 or 0.5 mg/kg bw per day <sup>(a)</sup> Duration: 16 weeks	↑ Number and size of preneoplastic liver lesions	0.005	<b>0.05</b>
Takayama et al. (1963)	<b>Mouse</b> , ddN (M, F) No/sex/group: > 12  Diet: 50 ppm; equivalent to 7.5 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 5 months	Lung AD and liver haemangio-endothelioma		<b>7.5</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Toth et al. (1964)	<b>Mouse</b> , Balb/c (M, F) No/sex/group: 41–46  Drinking water: 0 or 0.001%; equivalent to 0 or 0.9 mg/kg bw per day <sup>(a)</sup> Duration: 141 days	↓Survival tumours in lung and liver		<b>0.9</b>
Takayama et al. (1965)	<b>Mouse</b> , ddN (M) No/group: 17–21  Diet: 7.1 or 17.7 mg/kg bw per day; <i>no control</i> Duration: 5 months Observation: ~ 6.5 months	AD/CA in lung and hepatic haemangiosarcoma		<b>7.1</b>
Takayama et al. (1965)	<b>Mouse</b> , ICR (M) No/group: 11–17  Diet: 6.7 or 18.9 mg/kg bw per day; <i>no control</i> Duration: 5 months Observation: 6.8–10 months	AD/CA in lung and hepatic haemangiosarcoma		<b>6.7</b>
Takayama et al. (1965)	<b>Mouse</b> , C3H/AHe (M) No/group: > 9 Diet: 0 or 5.3 mg/kg bw per day Duration: 5 months Observation: 11 months	AD in lung and kidney HCA/HCC		<b>5.3</b>
Terracini et al. (1966)	<b>Mouse</b> (M, F) No/sex/group: > 7  Drinking water: 0 or 0.0025% (3 weeks) and 0.0005% (35 weeks); equivalent to 0 or 4.5 and 0.45 mg/kg bw per day <sup>(a)</sup> Duration: 38 weeks	Tumours in liver, lung, kidney		<b>0.45–(4.5)</b>
Clapp et al. (1968)	<b>Mouse</b> , RF (M) No/group: > 50  Drinking water: 0 or 0.94 mg/kg bw per day Mean duration: 366 days Observation: 616 days	↓ Survival Hepatic haemangiosarcoma and endothelioma, AD of lung		<b>0.94</b> <b>0.94</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Clapp and Toya (1970)	<p><b>Mouse</b>, RF (M) No/group: 17–262</p> <p>Drinking water: 0, 0.4, 0.43, 0.91 or 1.8 mg/kg bw per day Duration with increasing dose: 262, 224, 406, 266 or 49 days; respective Cumulative dose: 0, 89, 170, 243 or 87 mg/kg</p>	<p>↓ Survival Hepatic haemangiosarcoma HCC Lung AD</p>		<p><b>0.43</b> (406 days)–<b>1.8</b> (49 days)</p> <p><b>1.8</b> (49 days) or <b>0.43</b> (406 days) <b>0.4</b> (224 days) <b>0.4</b> (224 days)–<b>1.8</b> (49 days)</p>
Clapp et al. (1971)	<p><b>Mouse</b>, Balb/c (M) No/group: 18–82</p> <p>Drinking water: 0 or 1.7 mg/kg bw per day Duration: 285 days</p>	<p>Hepatic haemangiosarcoma Lung tumours</p>		<p><b>1.7</b></p>
Clapp et al. (1971)	<p>Mouse, RF (M) No/group: &gt; 90</p> <p>Drinking water: 0 or 0.91 mg/kg bw per day Duration: 267 days Cumulative dose: 0 or 243 mg/kg</p>	<p>Hepatic haemangiosarcoma lung tumours</p>		<p><b>0.91</b></p>
Den Engelse (1974)	<p><b>Mouse</b>, C3Hf (M, F) No/group: &gt; 17</p> <p>Drinking water: 0 or 10 ppm, equivalent to 0 or 0.9 mg/kg bw per day<sup>(a)</sup> Duration: 13 weeks Observation: 58–99 weeks</p>	<p>Hepatoma (M), hepatic haemangioma (F)</p>		<p><b>0.9</b></p>
Griciute et al. (1981)	<p><b>Mouse</b>, C57Bl (M, F) No/sex/group: &gt; 30</p> <p>Gavage: 0.03 mg/mouse (20 g) on 2 days/week; equivalent to 0.43 mg/kg bw per day; <i>no control</i> Duration: 50 weeks Observation: 80 weeks</p>	<p>HCC, hepatic haemangioendotheliosarcoma</p>		<p><b>0.43</b></p>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Anderson et al. (1986)	<b>Mouse</b> , A/J (M) No/group: 49–100  Drinking water: 0 or 0.5 ppm; equivalent to 0 or 0.05 mg/kg bw per day <sup>(a)</sup> Duration 12–18 weeks	Papillomas of forestomach (18 weeks)		<b>0.05</b>
Tomatis et al. (1964)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: > 26  Drinking water: 25 mg/L, (consumption of 100 mL drinking water/kg bw per day assumed) equivalent to 2.5 mg/kg bw per day; <i>no control</i> Duration: 6 weeks; 2 weeks break; 5 weeks treatment; Observation: 70 weeks	HCC, CCC, hepatic haemangioendothelioma		<b>2.5</b>
Homburger et al. (1976)	<b>Hamster</b> , Syrian Golden (M, F) No/group: > 9  Drinking water: 0 or 1 mg/L (consumption of 100 mL drinking water/kg bw per day assumed) equivalent to 0 or 0.1 mg/kg bw per day Duration: 60 weeks	No significant tumour formation; CA in stomach of 1 animal	0.1	
Love et al. (1977)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: > 46 Drinking water: 0 or 15 mg/L on 5 days/week; (consumption of 100 mL drinking water/kg bw per day assumed) equivalent to 0 or 1.1 mg/kg bw per day Duration: 19–23 weeks Observation: 19–23 weeks	HCC, CCC, hepatic Kupffer cell sarcoma		<b>1.1</b>
Lijinsky et al. (1987)	<b>Hamster</b> , Syrian Golden (M) No/group: 10–20  Gavage: 0.75 or 1.5 mg/animal on 1–2 days/week; (assuming 135 g bw) equivalent to 0.79 or 1.58 mg/kg bw per day; <i>no control</i> Duration: 4–6.5 weeks (1.58 mg) or 20 weeks (0.79 mg)	HCC, CCC, tumour of nasal mucosa Haemangiosarcoma in liver	0.79	<b>1.58</b> (4 weeks) <b>0.79</b> (20 weeks)

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Le Page et al. (1969a)	<b>Guinea pig</b> (M) No/group: 6  Diet: 0, 12.5, 25 or 50 ppm (60 g food/kg bw per day assumed) equivalent to 0, 0.75, 1.5 or 3 mg/kg bw per day Duration: 49 weeks	Tumours in liver	0.75	<b>1.5</b>
Le Page and Christie (1969b)	<b>Rabbit</b> (M, F) No/sex/group: 4–8  Diet: 0, 25, 50 or 100 ppm (assuming 75 g feed/kg bw per day <sup>(b)</sup> ); equivalent to 0, 1.9, 3.8 or 7.5 mg/kg bw per day Duration: up to 83 weeks	↓ Survival Tumours in liver (hepatocellular and bile epithelial)	1.9	<b>3.8 1.9</b> (F)
Koppang and Rimeslåtten (1976)	<b>Mink</b> (M, F) No/sex/group: > 11  Diet for M: 0, 0.04, 0.06, or 0.13 mg/kg bw per day; for F: 0, 0.05, 0.06, 0.08, 0.17 mg/kg bw per day Duration: 122–670 days	Hepatic venopathy (122 days) M/F Haemangiomas in liver (321–670 days) M/F	0.06/0.08 0.06/0.08	<b>0.13/0.17</b> <b>0.13/0.17</b>
Adamson et al. (1982) Thorgeirsson et al. (1994)	<b>Monkey</b> (4 Rhesus, 2 Cynomolgus) (sex not specified) No animals: 4/6 necropsied  i.p. application: 0 or 10 mg/kg bw 1×/2 weeks; equivalent to 0.71 mg/kg bw per day Cumulative dose: 7 g (0.06–12.35) Observation time: 54 (8–118)	Hepatitis, cirrhosis, hyperplastic nodules		<b>0.71</b>
<b>NMEA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 4–11  Drinking water: 1 or 2 mg/kg bw per day; historical controls available Duration/mean tumour induction time: 500 and 360 days	Liver tumours		<b>1</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Lijinsky et al. (1980)	<b>Rat</b> , F344 (M) No/group: 20  Drinking water: 6 or 30 mg/L on 5 days/week; equivalent to 0.2 or 1.1 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 30 weeks Observation: ~ 70–120 weeks	Liver tumours (HCC) Tumours of oesophagus and nasal cavity	0.2	<b>0.2</b> <b>1.1</b>
Lijinsky et al. (1981)	<b>Rat</b> , F344 (sex not specified) No/group: 20  Drinking water: 150 mg/L on 5 days/week; equivalent to 5.4 mg/day/day <sup>(a)</sup> ; historical controls available Duration: 30 weeks Observation: ~ 30 weeks	↓ Survival HCC, liver sarcoma, leukaemia, tumours of oesophagus		<b>5.4</b>
Lijinsky et al. (1983)	<b>Rat</b> , F344 (F) No/group: 20  Drinking water: 0 or 6 mg/L on 5 days/week; equivalent to 0 or 0.2 mg/day per day <sup>(a)</sup> Duration: 30 weeks Observation: ~ 30 weeks	Insignificant occurrence of leukaemia and other tumours in oesophagus, stomach, liver	0.2	
Lijinsky et al. (1987)	<b>Rat</b> , F344 (M) No/group: 16  Gavage: 0 or 2.3 mg/rat (~ 300 g bw) on 2 days/week; equivalent to 0 or 2.2 mg/kg bw per day; Duration: 30 weeks Observation: ~ 45 weeks	↓ Survival HCC, haemangiosarcoma of liver tumours of lung and nasal mucosa		<b>2.2</b> <b>2.2</b>
Lijinsky et al. (1987)	<b>Hamster</b> , Syrian Golden (M) No/group: 9–20  Gavage: 0.9 mg/animal on 1 or 2 days/week; (assuming 135 g bw) equivalent to 0.95 or 1.9 mg/kg bw per day; <i>no control</i> Duration: 15–20 (0.95 mg) or 25 (1.9 mg) weeks	↓ Survival HCA/HCC CCA/CCC; tumour of nasal mucosa	0.95	<b>1.9</b> <b>0.95</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDEA</b>				
Argus and Hoch-Ligeti (1961)	<b>Rat</b> , Wistar (M) No/group: 25 Gavage: 550 µg/rat (assuming 300 g bw) on 5 days per week; equivalent to 1.31 mg/kg bw per day; no control Duration: 22–35 weeks	HCC		<b>1.31</b>
Rajewsky et al. (1966)	<b>Rat</b> , Wistar (M) No/group: 50  Drinking water: 0 or 5 mg/kg bw per day; Duration: up to 200 days	HCC		<b>5</b>
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/sex/group: 4–67  Drinking water: 0.075, 0.15, 0.3, 0.6, 1.2, 2.4, 4.8, 9.6 or 14.2 mg/kg bw per day; historical controls available Duration/tumour induction timer: 68–750 days	Liver tumours, squamous cell carcinoma of oesophagus	0.075	<b>0.15</b>
Reuber and Lee (1968)	<b>Rat</b> , Buffalo (M, F); age at start of treatment: 4, 12, 24 or 52 weeks old No/sex/group: 10–14 Diet: 0.0114%, equivalent to 5.7 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> <i>Duration: 26 weeks</i>	↓ Body weight (age 4 weeks) HCC (F > M) (age 4 weeks) Hep. nodules (F > M) (age 12 weeks)		<b>5.7</b>
Hadjilov et al. (1972)	<b>Rat</b> , Wistar (M) No/group: 25  Drinking water: 1 mg/rat/days; (assuming 300 g bw) equivalent to 3.3 mg/kg bw per day; <i>no control</i> Duration: 22 weeks Observation: further 10 weeks	HCC, hepatic haemangioendothelial sarcoma		<b>3.3</b>
Nixon et al. (1974)	<b>Rat</b> , Wistar (M, F) No/sex/group: 20  Drinking water: 0, 0.2 or 1 mg/kg bw per day Duration: 14–15 months (0.2 mg/kg bw per day) or 7–8 months (2 mg/kg bw per day)	Hepatoma		<b>0.2 (M, F)</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Nixon et al. (1974)	<b>Rat</b> , F344 (M, F) No/sex/group: 16  Drinking water: 0 or ~ 0.1 mg/kg bw per day Duration: 20 months	No significant tumour formation	~ 0.1	
Kroes et al. (1974)	<b>Rat</b> , Wistar (M, F) No/sex/group: > 18  Gavage: 0 or 0.005 mg/rat on 5 days/week; (assuming a bw of 300 g/200 g for M/F) equivalent to 0 or 0.012 (M)/0.018 (F) mg/kg bw per day Duration: lifetime	No significant tumour induction	0.012/0.018 (M/F)	
Takayama et al. (1975)	<b>Rat</b> , Donryu (M) No/group: 24  Drinking water: 50 ppm; equivalent to 2.5 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 2 weeks Observation: 34–78 weeks	Liver tumours		<b>2.5</b>
Reuber (1975)	<b>Rat</b> , Buffalo (M, F) No/sex/group: 14 Diet: 0.0114% (114 ppm); equivalent to 5.7 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 26 weeks	CA of oesophagus: M > F HCC and sarcoma of liver: F > M		<b>5.7</b>
Lijinsky and Taylor (1979)	<b>Rat</b> , SD (M, F) No/sex/group: 6  Drinking water: 70 mg/L on 5 days/week equivalent to 2.5 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 30 weeks Observation: ~ 30 weeks	↓ Survival HCC, sarcoma of liver papilloma + CA of oesophagus		<b>2.5</b>
Lijinsky et al. (1981)	<b>Rat</b> , F344 (F) No/group: 12–20  Drinking water: 0, 0.45, 1.1, 2.8, 7, 18, 45, or 113 mg/L on 5 days/week; equivalent to 0, 0.02, 0.04, 0.1, 0.25, 0.6, 1.6 or 4.0 mg/kg bw per day <sup>(a)</sup> Duration: up to 104 weeks	HCC Tumours of oesophagus and stomach		<b>0.02</b> (60 weeks) <b>0.02</b> (104 weeks)

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Lijinsky et al. (1981)	<b>Rat</b> , F344 (M, F randomly allocated to groups) No/group: 20  Drinking water: 45 mg/L on 5 days/week equivalent to 1.62 mg/kg bw per day <sup>(a)</sup> ; no control Duration: 22 weeks Observation: ~ 30 weeks	↓ Survival HCC Tumours of oesophagus and forestomach		<b>1.62</b>
Lijinsky et al. (1983)	<b>Rat</b> , F344 (F) No/group: 20  Drinking water: 0 or 7 mg/L on 5 days/week; equivalent to 0 or 0.25 mg/day per day <sup>(a)</sup> Duration: 30 weeks Observation: ~ 30 weeks	↓ Survival Tumours of oesophagus		<b>0.25</b>
Brantom 1983 (Thesis) with calculations in Peto et al. (1991a,b)	<b>Rat</b> , Wistar (M, F) No/sex/group: 60 Drinking water: 0, 0.033, 0.066, 0.132, 0.264, 0.528, 1.056, 1.584, 2.112, 2.640, 3.168, 4.224, 5.280, 6.336, 8.448, 16.896 ppm Equivalent to for M: 0, 0.002, 0.004, 0.008, 0.02, 0.03, 0.07, 0.1, 0.14, 0.17, 0.2, 0.27, 0.34, 0.41, 0.54, 1.08 mg/kg bw per day <sup>(a)</sup> For F: 0, 0.003, 0.006, 0.011, 0.02, 0.05, 0.09, 0.14, 0.18, 0.23, 0.28, 0.37, 0.46, 0.55, 0.73, 1.47 mg/kg bw per day <sup>(a)</sup> Duration: 12 months, 18 months, lifetime	↓ Survival M/F Liver tumours (including HCC, Brantom) M/F Tumours in oesophagus M	0.1/0.05 ~ 0.004/– ~ 0.02/0.02	<b>0.14/0.09</b> ~ <b>0.008/0.003</b> ~ <b>0.03/0.05</b>
Berger et al. (1987)	<b>Rat</b> , SD (M) No/group: 80  Drinking water: 0, 0.01, 0.032 or 1 mg/kg bw on 5 days/week; equivalent to 0, 0.007, 0.023 or 0.07 mg/kg bw per day Duration: lifetime	↓ Survival Tumours in liver, gastrointestinal and urinary tract	0.007	<b>0.023</b> <b>0.007</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Lijinsky et al. (1987)	<b>Rat</b> , F344 (M) No/sex/group: 16  Gavage: 2.5 mg/rat (~ 300 g bw) on 2 days/week; equivalent to 2.4 mg/kg bw per day; <i>no control</i> Duration: 20 weeks Observation: ~ 45 weeks	↓ Survival HCC, tumours of oesophagus and nasal mucosa		<b>2.4</b> <b>2.4</b>
Cortinovis et al. (1991)	<b>Rat</b> , SD (M) No/sex/group/time point: ~ 8  Drinking water: 0 or 5 ppm; equivalent to 0.25 mg/kg bw per day <sup>(a)</sup> Duration: 10–65 weeks	HCA, HCC and oesophageal papillomas after 40 weeks ↑ No of preneoplastic liver lesions after 15 weeks		<b>0.25</b> <b>0.25</b>
Schmähl et al. (1963)	<b>Mouse</b> , DBA (M, F) No/sex/group: ~ 14  Drinking water: 0 or 13 mg/kg bw per day Mean tumour induction time: 180 days	Hepatoma, hepatic haemangiosarcoma		<b>13</b>
Takayama et al. (1965)	<b>Mouse</b> , ICR (M) No/group: 11  Drinking water: 6.01 mg/kg bw per day; <i>no control</i> Duration: 5 months	AD in lung, HCA and hepatic haemangiosarcoma		<b>6.01</b>
Takayama et al. (1965)	<b>Mouse</b> , C3H/AHe (M) No/sex/group: 4–10  Drinking water: 0 or 8.46 mg/kg bw per day Duration: 6.6 months	HCC and hepatic haemangiosarcoma		<b>8.46</b>
Schmähl and Thomas (1965)	<b>Mouse</b> , DBA (M, F) No/sex/group: 19–28  Drinking water: 0, 3, 8 or 13 mg/kg bw per day Duration/mean tumour induction time: 290, 170 or 180 days	Hep haemangioendothelioma		<b>3</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Clapp et al. (1970)	<b>Mouse</b> , RF (M) No/sex/group: 30–162  Drinking water: 0, 2, 3.5, 6 or 11.5 mg/kg bw per day Duration: lifetime Mean age at death with tumour: 9.5–25 months	↓ Survival Tumours in liver, lung, stomach		<b>2</b>
Clapp et al. (1971)	<b>Mouse</b> , Balb/c (M) No/group: 15–60  Drinking water: 0, 3.6, 6.7 mg/kg bw per day Duration: 245–285 days	Hepatic haemangiosarcoma; tumours in oesophagus and stomach		<b>3.6</b>
Clapp et al. (1971)	<b>Mouse</b> , RF (M) No/group: > 60  Drinking water: 0 or 6 mg/kg bw per day Duration: 270 days	Hepatocellular tumours Tumours in lung, oesophagus and stomach		<b>6</b>
Gray et al. (1991)	<b>Mouse</b> , strain not specified (F) No/group: 10  Drinking water: 0, 0.033, 0.066, 0.132, 0.264, 0.528, 1.056, 1.584, 2.112, 2.640, 3.168, 4.224, 5.280, 6.336, 8.448, 16.896 ppm Equivalent to: 0, 0.01, 0.02, 0.04, 0.07, 0.14, 0.29, 0.43, 0.58, 0.72, 0.87, 1.15, 1.44, 1.73, 2.31, 4.62 mg/kg bw per day <sup>(c)</sup> Duration: ~ 25 months	Malignant tumours in liver (hepatocell.) Tumours in oesophagus stomach Lung tumours	0.04 0.14 0.29	<b>0.07</b> <b>0.29</b> <b>0.43</b>
Herrol and Dunham (1963)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: 15  Gavage: 0 or 3.3 mg/animal 2×/wk (assuming 130 g) equivalent to 0 or 3.34 mg/kg bw per day Duration: 7 months	Tumours of respiratory tract, liver, kidney and ethmoturbinals		<b>3.34</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Baker et al. (1974)	<b>Hamster</b> , Chinese (M, F) No/sex/group: 20  Drinking water: 0 or 40 mg/L (100 mL drinking water/kg body weight assumed), equivalent to 0 or 4 mg/kg bw per day Duration: 17–26 days	↓ Survival HCC; CA of stomach and oesophagus		<b>4</b>
Lijinsky et al. (1987)	<b>Hamster</b> , Syrian Golden (M) No/group: 10–20 Gavage: 1 mg/animal (~ 135 g bw) on 1 or 2 days/week; equivalent to 1.1 or 2.1 mg/kg bw per day; <i>no control</i> Duration: 20 (1.1 mg) or 25 (2.1 mg) weeks	↓ Survival HCC and hepatic haemangiosarcoma Tumour of nasal mucosa	1.1 1.1	<b>2.1</b> <b>2.1</b>
Gray et al. (1991)	<b>Hamster</b> , strain not specified (F) No/group: 10  Drinking water: 0, 0.033, 0.066, 0.132, 0.264, 0.528, 1.056, 1.584, 2.112, 2.640, 3.168, 4.224, 5.280, 6.336, 8.448, 16.896 ppm; equivalent to: 0, 0.003, 0.01, 0.02, 0.03, 0.05, 0.11, 0.16, 0.22, 0.27, 0.33, 0.44, 0.55, 0.66, 0.88 or 1.75 mg/kg bw per day <sup>(c)</sup> Duration: 22 months	Tumours in liver Tumours in trachea		<b>0.05</b> <b>0.27</b>
Druckrey et al. (1962)	<b>Guinea pig</b> (M) No/group: 11  Drinking water: 5 mg/kg bw per day; <i>no control</i> Duration/mean tumour induction time: ~ 240 days	HCA, HCC		<b>5</b>
Argus and Hoch-Ligeti (1963)	<b>Guinea pig</b> (M) No/group: 10  Drinking water: highly variable dosing 0–4.2 mg animal per day (assuming 1.1 kg bw) equivalent to 0–3.8 mg/kg bw per day; <i>no control</i> Duration: 30–40 weeks	Tumours in liver, lung		<b>0–3.8</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Lombard (1965); Schmähl et al. (1978)	<b>Guinea pig</b> (M) No/group: not specified  Route: not specified; 8–40 mg animal/days; (assuming 1.1 kg bw) equivalent to 7.3–36.6 mg/kg bw per day Duration: not specified	HCA		~ <b>7.3–36.6</b>
Arcos et al. (1969)	<b>Guinea pig</b> (M) No/group: ~ 20  Drinking water: 1.2 mg/kg bw per day; <i>no control</i> Duration: 4, 8, 12, 16, 20 or 24 weeks Observation: 12 months	Liver tumours		<b>1.2</b> (12 weeks)
Rapp et al. (1965)	<b>Rabbit</b> , New Zealand (M, F) No/sex/group: 3–13  Drinking water: 0 or 42 mg/L on 6 days/week (assuming 2 kg bw and 200 mL water consumption/days) equivalent to 0 or 3.6 mg/kg bw per day Duration: 52–82 weeks	CA of liver and lung		<b>3.6</b>
Schmähl et al. (1965)	<b>Rabbit</b> , mixed strains (M) No/group: 2  Drinking water: 3.4 mg/kg bw per day; <i>no control</i> Duration: 700–800 days	Hepatic CA		<b>3.4</b>
Hirao et al. (1974)	<b>Dog</b> (mongrel) (M) No/group: ~ 14  Drinking water: 50, 100 or 500 mg/L (assuming 100 mL water consumption/kg bw/days) equivalent to 5, 10 or 50 mg/kg bw per day; <i>no control</i> Duration: 2–50 weeks Observation: 175 weeks	HCC, CCC and mesenchymal liver tumours (haemangioma, haemangiosarcoma, fibroma, leiomyoma, leiomyosarcoma, haemangioendothelioma) CA of nasal mucosa		<b>5</b>
Schmähl et al. (1978)	<b>Cat</b> , domestic (F) No/sex/group: 10  Milk: 0 or 1.5–2 mg/kg bw per day Duration: ~ 500 days	HCC, cholangioma		<b>1.5–2</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Schmähl et al. (1967)	<b>Pig</b> , Holländer (M, F) No/sex/group: 2  Drinking water: 4.4 mg/kg bw per day; <i>no control</i> Duration: 313–334 days	Cirrhosis, liver tumours, AD of kidney, CA of ethmoid cavity		<b>4.4</b>
Schmähl et al. (1969)	<b>Pig</b> , Holländer (F) No/group: 2  Drinking water: 1.5–3 mg/kg bw per day; <i>no control</i> Duration: 470 and 594 days	Hepatoma, CA of ethmoid cavity AD of kidney		<b>1.5–3</b>
Graw and Berg (1977)	<b>Mini-pig</b> , Göttingen (sex not specified) No/group: 6  Oral application (injection into mouth): 0.4 mg/kg bw on 5 days/wk on 42 weeks/year; equivalent to 0.23 mg/kg bw per day; <i>no control</i> Duration: 5 years	Liver fibrosis HCA, HCC, Kupffer cell-sarcoma		<b>0.23</b>
Adamson (1989); Thorgeirsson et al. (1994)	<b>Monkey</b> , Cynomolgus, Rhesus (M, F)  Diet: 40 mg/kg bw per day on 5 days/week; equivalent to 28.6 mg/kg bw per day; tumours in untreated controls: 7/90 Start of treatment: at birth, 1–8 months post-partum or adulthood. Duration until tumour appearance: 49(Rh, F), 52 (Rh, m), 31 (Cy, f), 25 (Cy, m) months; total dose: 8.8 g (Rh, F), 10.2 (Rh, m), 7.1 g (Cy, f), 18 g (Cy, m).	HCC in 31/40 animals		<b>28.6</b>
	<b>Monkey</b> , Cynomolgus, Rhesus (M, F)  i.p application: 40 mg/kg bw on 2 days/months, i.e. 2.7 mg/kg bw per day; Start of treatment: at birth, 1–8 months post-partum or adulthood Mean duration until tumour appearance: 17 months (except 18 months for Cy,M); mean total dose: 1.1 g (for all except 1.3 g for Cy,M)	HCC 112/128		<b>2.7</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Thorgeirsson et al. (1994)	<b>Monkey</b> , Rhesus, Cynomolgus, African Green, Rhesus x Cynomolgus (sex not specified) No/group: 10–106  Newborn at first treatment i.p. application: 0.1, 1, 5, 10, 20, 40 every 2 weeks; equivalent to 0.007, 0.07, 0.35, 0.7, 1.4 and 2.8 mg/kg bw per day; untreated controls available Mean duration/tumour induction time: 157 (111–177), 74 (52–109), 38 (14–64), 25(13–39), 16 (6–49) months. Total dose: 0.104 (0.056–0.146), 1.78 (1.66–2.74), 2.70 (1.47–5.81), 2.02 (1.22–4.14), 2.40 (0.83–4.65), 1.59 (0.39–4.08) g	In 4/11 animals	0.007	<b>0.07</b>
Adamson et al. (1979, 1983) Thorgeirsson et al. (1994)	<b>Monkey</b> , Bush baby <i>G. crassicaudatus</i> (M, F) No/group: 10–14 i.p. application: 0 or 10–30 mg/kg bw per day on 2 days/months, i.e. 0.6–2 mg/kg bw per day; untreated controls available; Mean duration/tumour induction time: 22.9 months (range: 15–32 months); average total dose: 0.75 g (range: 0.3–1.49 g)	Mucoepidermoid CA of nasal mucosa >> HCC		<b>0.6–2</b>
<b>NDPA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 15  Drinking water: 4, 8, 15 or 30 mg/kg bw per day; historical controls available Duration/tumour induction time: 120–300 days	HCC Papilloma/CA of oesophagus CA of tongue		<b>4</b>
Althoff et al. (1973) from IARC (1978)	<b>Rat</b> , SD (M, F) No/sex/group: not specified  s.c. application: 24.3, 48.7 or 97.4 mg/kg bw/once per week; equivalent 3.5, 7 or 13.9 mg/kg bw per day; no control? Duration: lifetime	AD/CA in kidney	3.5	<b>7</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Reznik et al. (1975)	<b>Rat</b> , SD (M, F) No/sex/group: 10  s.c. application: 0, 24.4, 48.7 or 97.4 mg/kg bw on 1 days/week; equivalent to 0, 3.5, 7, or 13.9 mg/kg bw per day Duration: lifetime	↓ Survival Tumours of nasal cavity Tumours of lung (M) Tumours of liver	3.5 7	<b>3.5</b> <b>3.5</b> <b>7</b> <b>13.9</b>
Lijinsky and Taylor (1979)	<b>Rat</b> , SD (M) No/sex/group: 15  Drinking water: 90 mg/L on 5 days/wk equivalent to 3.2 mg/kg bw/ per day <sup>a</sup> ; no control Duration: 30 weeks Observation: ~ 50 weeks	↓ Survival HCC, Papilloma+CA of oesophagus CA of nasal turbinates		<b>3.2</b> <b>3.2</b>
Lijinsky et al. (1981)	<b>Rat</b> , F344 (M, F) No/group: 20  Drinking water: 45 mg/L on 5 days/week; equivalent to 1.6 mg/kg bw per day <sup>a</sup> ; historical controls available Duration: 30 weeks Observation: ~ 40 weeks	↓ Survival CA of oesophagus, forestomach, tongue		<b>1.6</b> <b>1.6</b>
Lijinsky et al. (1983)	<b>Rat</b> , F344 (M, F) No/sex/group: 12–20  Gavage: 0 or 4.4 mg/kg bw for M and 0 or 2.2 mg/kg bw for F on 2 days/week; equivalent to 0 or 1.3 mg/kg bw per day for M and to 0 or 0.62 mg/kg bw per day for F Duration: 30 weeks	↓ Survival HCC, CA of nasal cavity, oesophagus and forestomach		<b>1.3/0.62</b> (M/F) <b>1.3/0.62</b> (M/F)
Dickhaus et al. (1977)	<b>Mouse</b> , NMRI (F) No/group: 12–14  s.c. application: 0, 34.4, 68.9 or 137.8 mg/kg bw on 1 days/week; equivalent to 0, 4.9, 9.8 or 19.6 mg/kg bw per day Duration: lifetime	↓ Survival Tumours in nasal cavity, lung, pharynx, oesophagus and stomach		<b>4.9</b> <b>4.9</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Pour et al. (1973, 1974)	<b>Hamster</b> , Syrian Golden (M, F) No/group: > 19  s.c. application: 0, 3.75, 7.5, 15, 30, or 60 mg/kg 1×/week; equivalent to 0, 0.53, 1.07, 2.14, 4.28 or 8.6 mg/kg bw per day Duration: lifetime	↓ Survival Tumours of (para)nasal cavities and in upper and lower respiratory tract	0.53	<b>1.07</b> <b>0.53</b>
Adamson et al. (1979, 1983); Thorgeirsson et al. (1994)	<b>Monkey</b> (4 Rhesus, 2 Cynomolgus) (sex not specified) No/group: 6  i.p. application: 40 mg/kg bw; 2×/month; equivalent to 2.7 mg/kg bw per day Mean duration/tumour latency: 29 months (22–33 months); Total dose 6.97 g (6.07–7.86 g)	HCC 6/6		<b>2.7</b>
<b>NDIPA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: ~ 10 Gavage: 25 and 50 mg/kg bw per day; historical controls available Duration/tumour induction time: 430–770 days	Malignant liver tumours		<b>25</b>
Lijinsky and Taylor (1979)	<b>Rat</b> , SD (M) No/group: 15  Drinking water: 90 or 600 mg/L on 5 days/wk equivalent to 3.2 or 21.46 mg/day per day <sup>(a)</sup> ; <i>no control</i> Duration: 50 weeks for 5.8 mg/kg bw per day or 40 weeks for 38.6 mg/kg bw per day Observation: ~ 50 weeks	↓ Survival CA of nasal turbinates HCC	3.2	<b>3.2</b> <b>3.2</b> <b>21.4</b>
<b>NEIPA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 14  Drinking water: 10 or 20 mg/kg bw per day; historical controls available Mean tumour induction time: 345 and 375 days	HCC, CA of oesophagus, pharynx and forestomach		<b>10</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NMBA</b>				
Lijinsky et al. (1980)	<b>Rat</b> , F344 (M, F) No/sex/group: 20  Drinking water: 6.25 (for M) or 16 mg/L (for M and F) on 5 days/week; equivalent to 0.23 mg/kg bw per day (for M) or 0.57 mg/day per day <sup>(a)</sup> (for M and F); historical controls available Duration: 20–23 weeks Observation: lifetime	↓Survival Papilloma and CAs of oesophagus, forestomach, oropharynx, tongue		<b>0.23/0.57</b> (M/F) <b>0.23/0.57</b> (M/F)
Koreeda et al. (1999)	<b>Rat</b> , F344 (M) No/group: 20–27  Drinking water: 15 mg/L; equivalent to 0.75 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 17–21 weeks	Papilloma and CA of oesophagus		<b>0.75</b>
Stoner et al. (2006)	<b>Rat</b> , F344 (M) No/group: 10–20 <u>s.c. application</u> : 0 or 0.25 mg/kg bw on 1 days/week; equivalent to 0 or 0.04 mg/kg bw per day Duration: 15 weeks Observation: 8 weeks after end of treatment	Papilloma and CAs of oesophagus		<b>0.04</b>
Lijinsky et al. (1988)	Hamster, Syrian Golden (M, F) No/sex/group: 20  Gavage: 0, 2.5 or 5 mg/one per week; unclear if dose is given per animal or kg bw Duration: 23–30 weeks	Papilloma and CA of forestomach, AD of liver, AD and CA of nasal mucosa		At the lower dose but unclear dosage
<b>NMVA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 5–14  Drinking water: 0.3 or 0.6 mg/kg bw per day; historical controls available Duration/mean tumour induction time: 390 or 270 days	CA of oesophagus, tongue, pharynx		<b>0.3</b>

AD: adenoma; CA: carcinoma; HCA: hepatocellular adenoma; HCC: hepatocellular carcinoma; CCC: cholangiocellular carcinoma.

(a): Applying EFSA default values.

(b): Animal dietary exposure: overview of current approaches used at EFSA (EFSA, 2019b).

(c): Based on suggestions of authors, compound uptake in mg/kg bw per day was calculated as follows: ppm values were multiplied by the density factor of 0.942 and a water consumption of 290 mL/kg bw for female mice and 110 mL/kg bw for female hamsters was assumed.

**Table E.2:** Long-term/carcinogenicity studies on acyclic (non-volatile) N-NAs NDBA, NDIBA, NMA and NSAR

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDBA</b>				
Druckrey et al. (1967)	<b>BD rat</b> (M, F) No/group: 10–16 Drinking water: 10, 20, 37.5 or 75 mg/kg bw per day; historical controls available Mean duration/tumour induction time: 150–540 days	HCC, papilloma and CA of pharynx, oesophagus and urinary bladder		<b>10</b>
Okajima et al. (1971), taken from IARC (1978)	<b>Rat</b> , Wistar (sex not specified) No/sex/group: not specified Drinking water: 0.01 or 0.05%; equivalent to 5 or 25 mg/kg bw per day <sup>(a)</sup> ; controls not specified Duration: not specified	Urinary bladder tumours	5	<b>25</b>
Kunze et al. (1969); Kunze and Schauer (1971)	<b>Rat</b> , Wistar (F) No/group: 30 Drinking water: 20 mg/kg bw per day; <i>no control</i> Duration/tumour appearance: after 145 days	Papilloma and CA of urinary bladder		<b>20</b>
Ito (1973)	<b>Rat</b> , Wistar (M) No/group: 12 Drinking water: 0.05% equivalent to 25 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 28 weeks	Transitional cell CA of urinary bladder; Tumours of liver and oesophagus		<b>25</b>
Lijinsky et al. (1983)	<b>Rat</b> , F344 (M) No/group: 20 Gavage: 0 or 5.4 mg/rat bw 2x/wk (assuming 400 g bw), equivalent to 0 or 3.9 mg/kg bw per day <sup>(b)</sup> Duration: 30 weeks	HCC, CA of forestomach and oesophagus, transitional cell carcinoma of urinary bladder; AD and CA of lung; CA of nasal cavity		<b>3.9</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Takayama (1969)	<b>Mouse</b> , ICR (M) No/group: > 29 Diet: 0 or 50 mg/kg, equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 12–15 months	Hepatoma, papilloma and CA of forestomach, papilloma of oesophagus, AD of lung		<b>7.5</b>
Bertram and Craig (1970)	<b>Mouse</b> , C57Bl/6 (M, F) No/sex/group: 50  Drinking water for M: 7.6 or 29.1 mg/kg bw per day; for F: 8.2 or 30.9 mg/kg bw per day; <i>no control</i> . Duration: average of 240 days	Papilloma/CA of urinary bladder (M) Papilloma/CA of oesophagus, tumours of tongue/forestomach		<b>7.6 (M)</b> <b>7.6/8.2 (M/F)</b>
Wood et al. (1970)	<b>Mouse</b> , IFxC57 (M, F) No/sex/group: 12–17  s.c. application: 0 or 10 µL (9 mg) every second week; (assuming 25 g bw for M and 20 g bw for F) equivalent to 0 or 25.7 mg/kg bw per day for M and 0 or 32 mg/kg bw per day for F Duration: 40 weeks	Papilloma/CA or urinary bladder, lung AD		<b>25.7/32</b>
Althoff et al. (1971)	<b>Hamster</b> , Syrian Golden (M) No/group: 100  Gavage: 300 mg/kg bw once/week; equivalent to 42.9 mg/kg bw per day; <i>no control</i> Duration: 15–50 weeks	Papilloma and CA of urinary bladder, trachea and lung		<b>42.9</b>
Althoff et al. (1971)	<b>Hamster</b> , Chinese (M) No/group: 100  Gavage: 300 mg/kg bw once/wk equivalent to 42.9 mg/kg bw per day; <i>no control</i> Duration: 15–50 weeks	Papilloma and CA of urinary bladder and forestomach		<b>42.9</b>
Althoff et al. (1974)	<b>Hamster</b> , European (M, F) No/sex/group: 5 (M), 10 (F)  s.c. application: 0, 49, 98, 197, 394 or 788 mg/kg bw 1x/wk for M; 0, 93, 187 or 373 mg/kg bw 1x/wk for F; equivalent to 0, 7, 14, 28, 56 or 113 mg/kg bw per day for M and 0, 13, 27 or 53 mg/kg bw per day for F Duration: lifetime	↓ Body weight Tumours in respiratory and upper digestive tract, urinary bladder and (para)nasal cavities		<b>7/13 (M/F)</b> <b>7/13 (M/F)</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Reznik et al. (1976)	<b>Hamster</b> , Chinese (M, F) No/sex/group: 20  s.c. application: 0, 71, 142 or 284 mg/kg bw/week; equivalent to 0, 10, 20 or 40,2 mg/kg bw per day Duration: 15–50 weeks	↓ Survival (M) Lung tumours (M)	10	<b>10</b> <b>20</b>
Ivankovic (1968)	<b>Guinea pig</b> (sex not specified) No/group: 15  Drinking water: 40 mg/kg bw on 5 days/wk, equivalent to 28.6 mg/kg bw per day; no control Duration: lifetime	HCC, CCC Papilloma and CA of urinary bladder		<b>28.6</b>
<b>NDIBA</b>				
Lijinski et al. (1979)	<b>Rat</b> , SD (F) No/group: 15  Drinking water: 110 mg/L on 5 days/wk equivalent to 3.9 mg/day per day <sup>(a)</sup> ; <i>no control</i> Duration: 30 weeks Observation: 120 weeks	Tumours of lung and occasionally in other organs		<b>3.9</b>
Lijinski et al. (1981)	<b>Rat</b> , F344 (sex not specified) No/group: 20  Drinking water: 440 mg/L on 5 days/week; equivalent to 15.7 mg/day per day <sup>(a)</sup> ; reference to historical controls Duration: 50 weeks Observation: ~ 70 weeks	CA of nose, tumours of trachea and lung		<b>15.7</b>
Althoff et al. (1975)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: 20  s.c. application: 0, 62.5, 125, 250 or 500 mg/kg bw/1x/week; equivalent to 0, 9, 18, 36 or 72 mg/kg bw per day Duration: lifetime	Tumours of larynx Tumours of nasal mucosa and bronchial tree	9	<b>9</b> <b>18</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NMA</b>				
Boyland et al. (1964)	<b>Rat</b> , Albino (M, F) No/sex/group: 16 Drinking water: 0 or 0.2% (after 7 months reduced to 0.1%) on 6 days/week; equivalent to 0 or 86 to 43 mg/kg bw per day <sup>(a)</sup> Duration: until tumour appearance (average ~ 390 days)	Malignant tumours of oesophagus		<b>43–86</b>
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 20 Diet: 10 mg/kg bw per day; historical controls available Duration: lifetime; average tumour induction time: 450 days	↓ Survival CA of oesophagus and urinary bladder		<b>10 10</b>
Goodall et al. (1970)	<b>Rat</b> , albino (M) No/group: 20 Gavage: 7.5 mg/rat 2×/week; (assuming 300 g bw); equivalent to 7.1 mg/kg bw per day; <i>no control</i> Duration: <i>lifetime Tumour latency: average 89 weeks</i>	Papilloma of mouth/pharynx, oesophagus and forestomach		<b>7.1</b>
Goodall et al. (1970)	<b>Rat</b> , albino (M, F) No/sex/group: 16–17 Drinking water: 2 mg/rat 5×/wk (assuming 300 g bw) equivalent to 4.8 mg/kg bw per day; <i>no control</i> Duration: 52 weeks Tumour latency: average 67–75 weeks	Papilloma and CA of mouth/pharynx, oesophagus and forestomach (M, F) Tumour in intestinal tract (M)		<b>4.8 4.8</b>
Schmähl (1976)	<b>Rat</b> , SD (M, F) No/sex/group: 48 s.c. application: 0, 2 or 10 mg/kg bw/1× per week; equivalent to 0, 0.3 or 1.4 mg/kg bw per day Duration: 24 weeks	Papillomas and CA or oesophagus		<b>0.3</b>
Schmähl (1976)	<b>Rat</b> , SD (M, F) No/sex/group: 48 Drinking water: probably 0, 0.3 or 1.5 mg/kg bw per day – unclear documentation Duration: 24 weeks	Papillomas and CA or oesophagus		<b>0.3 (?)</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Kroeger-Koepke et al. (1983)	<b>Rat</b> , F344 (M, F) No/sex/group: 20  Drinking water: 0 or 50 mg/L on 5 days/week; equivalent to 0 or 1.8 mg/kg bw per day <sup>(a)</sup> Duration: 50 weeks Observation: until tumour occurrence (max until wk 120)	CA of oesophagus and forestomach		<b>1.8</b>
Michejda et al. (1986)	<b>Rat</b> , F344 (F) No/group: 20  Drinking water: 0 or 5 mg/animal/wk (assuming 250 g bw) equivalent to 0 or 2.9 mg/kg bw per day <sup>(a)</sup> Duration: 20 weeks Observation: until tumour occurrence	Tumours of oesophagus and tongue		<b>2.9</b>
Greenblatt et al. (1971)	<b>Mouse</b> , Swiss (M, F) No/sex/group: 20  Drinking water: 0 or 70 mg/L; equivalent to 0 or 6.3 mg/kg bw per day <sup>(a)</sup> Duration: 28 weeks Observation: additional 12 weeks	Lung adenoma, malignant lymphoma		<b>6.3</b>
<b>NDBzA</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 10  Diet: 50 or 100 mg/kg bw per day; historical controls available Duration: lifetime	No tumour formation	100	
<b>NMAMBA</b>				
Li et al. (1985)	<b>Rat</b> , Wistar (F) No/group/duration: 4–7  Gavage: 10–200 mg/kg bw 2x/wk leading to total doses between 150 and 6,600 mg/kg bw Duration: 46–640 days		Papilloma of oesophagus, AD of liver at 10 months	<b>~ 5.3</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Li et al. (1985)	<b>Mouse</b> , Kunming (F) No/group/duration: 7–25  Gavage: 5 mg to 10 mg/mouse 2×/wk, leading to total doses of 45–670 mg/mouse Duration: 28–317 days	Papilloma and CA of oesophagus at 5 months, hyperplasia and AD in the liver at 5 months		~ <b>77</b>
<b>NSAR</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 6–14  Drinking water: 100 or 200 mg/kg bw per day; historical controls available Duration/tumour induction time: 357–631 days	CA of oesophagus		<b>100</b>
Sawyer and Marvin (abstract 1974)	<b>Mouse</b> , Swiss ICR (M, F) No/sex/group: 65  Diet: 0 or 0.25%; equivalent to 0 or 375 mg/kg bw per day <sup>(a)</sup> Duration: 13 months	↓ Body weight gain and survival CA of nasal region, tumours of lung and small intestine		<b>375</b> <b>375</b>

AD: adenoma; CA: carcinoma, HCA: hepatocellular adenoma; HCC: hepatocellular carcinoma; CCC: cholangiocellular carcinoma.

(a): EFSA default values applied.

(b): In table 1 of the study there is a discrepancy between the dose applied 2 times per week and rat and the total dose applied per rat. For the present calculations the dose applied 2 times per week and rat was taken.

**Table E.3:** Long-term toxicity and carcinogenicity studies for NMOR, NPIP, NPYR, NHPYR, NPRO, NHPRO, NPIC and NDPheA

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NMOR</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 16  Drinking water: 8 mg/kg bw per day; historical controls available Duration/mean tumour induction time: 165 days	HCC, hep. haemangioendotheliom		<b>8</b>
Garcia and Lijinsky (1972)	<b>Rat</b> , MRC (M, F) No/sex/group: 15  Drinking water: 100 mg/L on 5 days/week; equivalent to 3.57 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 50 weeks Observation/tumour appearance: ~ 50 weeks	↓ Survival Tumours of liver and nasal cavity		<b>3.57</b> <b>3.57</b>
Lijinsky and Taylor (1975)	<b>Rat</b> , SD (M) No/group: 30  Drinking water: 40 mg/L on 5 days per week; equivalent to 1.43 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 30 weeks Observation: up to 100 weeks	HCC, hep. haemangioendothelioma, Kupffer cell sarcoma		<b>1.43</b>
Lijinsky et al. (1976)	<b>Rat</b> , SD (M) No/group: 30  Drinking water: 8 or 40 mg/L on 5 days per week; equivalent to 0.29 or 1.43 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 30 weeks Observation: lifetime	Hepatocellular and haemangioendothelial tumours in liver		<b>0.29</b>
Shank and Newberne (1976)	<b>Rat</b> , SD (F in P-generation; M and F in F1- and F2-generation) No/sex/group in F1/2: > 50  Diet: 0, 5 or 50 ppm; equivalent to 0 or 0.25 or 2.5 mg/kg bw per day <sup>(a)</sup> Duration: continuously from P to F1-and F2-generation Observation in F2: 125 weeks	↓ Survival in F1+F2 F1+F2: HCC, angiosarcoma of liver and lung		<b>0.25</b> <b>0.25</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Mirvish et al. (1976)	<b>Rat</b> , MRC (M) No/group: > 40  Drinking water: 0 or 150 mg/L on 5 days/week; equivalent to 0 or 5.4 mg/kg bw per day <sup>(a)</sup> Duration: 40–50 weeks	↓ Survival HCC, Kupffer cell sarcoma		<b>5.4</b> <b>5.4</b>
Lijinsky et al. (1982)	<b>Rat</b> , F344 (M, F) No/sex/group: 20  Drinking water: 16 (only M) or 40 mg/L (M and F) on 5 days per week; equivalent to 0.57 or 1.43 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 50 weeks Observation: lifetime	HCC, hep. sarcoma papilloma of oesophagus, leukaemia in M		<b>0.57</b>
Lijinsky et al. (1988)	<b>Rat</b> , F344 (F) No/group: > 20  Drinking water: 0, 0.07, 0.18, 0.45, 1.1, 2.6, 6.4, 16, 40 or 100 mg/L on 5 days/week; equivalent to 0, 0.003, 0.006, 0.016, 0.039, 0.093, 0.229, 0.571, 1.429 or 3.571 mg/kg bw per day <sup>(a)</sup> Duration: 25–100 weeks	↓ Survival Benign or malignant liver tumours HCC	0.016 0.016	<b>0.039</b> <b>0.003</b> <b>0.039</b>
Hecht et al. (1989)	<b>Rat</b> , F344 (F) No/group: 20  Drinking water: 0 or 26.5 mg/L on 5 days/week; equivalent to 0 or 0.95 mg/kg bw per day <sup>(a)</sup> Duration: 50 weeks Observation: 120 weeks	↓ Survival HCC, hep. haemangiosarcoma		<b>0.95</b> <b>0.95</b>
Cortinovis et al. (1991)	<b>Rat</b> , SD (M) No/group/time point: ~ 8  Drinking water: 0 or 10 ppm; equivalent to 0 or 0.5 mg/kg bw per day <sup>(a)</sup> Duration: 10–65 weeks	HCA and HCC after 40 weeks ↑ No of preneoplastic liver lesions after 15 weeks		<b>0.5</b> <b>0.5</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Greenblatt et al. (1971)	<b>Mouse</b> , Swiss (M, F) No/sex/group: 20  Drinking water: 0 or 80 mg/L; equivalent to 0 or 7.2 mg/kg bw per day <sup>(a)</sup> Duration: 28 weeks Observation: 40 weeks	↓ Survival lung AD and HCC		<b>7.2</b> <b>7.2</b>
Hecht et al. (1989)	<b>Mouse</b> , A/J (F) No/group: 40  Drinking water: 0 or 0.2 µmol/mL (or 23.2 mg/L); equivalent to 0 or 3.48 mg/kg bw per day <sup>(a)</sup> Duration: 10 weeks Observation: 30 weeks	Lung tumours		<b>3.48</b>
Mohr et al. (1974)	<b>Hamster</b> , European (M, F) No/sex/group: 10–20  s.c. application; for M: 0, 21.5, 42.9 or 85.8 mg/kg bw 1×/week; for F: 0, 24.6, 49.3 or 98.5 mg/kg bw 1×/week; equivalent to for M: 0, 3.1, 6.1 or 12.3 mg/kg bw per day; for F: 0, 3.5, 7 or 14.1 mg/kg bw per day Duration: lifetime	↓ Survival and body weight (M/F) Tumours in nasal cavity, respiratory tract and stomach		<b>3.1/3.5</b> <b>3.1/3.5</b>
Shank and Newberne (1976)	<b>Hamster</b> , Syrian Golden (F in P-generation; M and F in F1- and F2-generation) No/sex/group in F1/2: > 15  Diet: 0, 5 or 50 ppm; (assuming 6 g feed consumption per day and 135 g bw) equivalent to 0, 0.22 or 2.2 mg/kg bw per day Duration: continuously from P to F1- and F2-generation Observation in F2: 110 weeks	↓ Survival in F1 + F2 F1 + F2: Nodular hyperplasia of liver	0.22 0.22	<b>2.2</b> <b>2.2</b>
Reznik et al. (1976)	<b>Hamster</b> , Chinese (M, F) No/sex/group: 20  s.c. application: 0, 8.1, 16.3 or 32.6 mg/kg bw 1×/week; equivalent to 0, 1.1, 2.3 or 4.7 mg/kg bw per day Duration: lifetime	↓ Survival (M, F) Tumours of forestomach, oesophagus & pharynx in (M, F) Tumours of larynx, trachea, nasopharynx (M, F)	1.1	<b>1.1</b> <b>1.1</b> <b>2.3</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Ketkar et al. (1983)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: 28–50  Drinking water for M: 0, 0.9, 3.4 or 6.1 mg/kg bw per day; for F: 0, 1.1, 3.9 or 8.2 mg/kg bw per day Duration: lifetime	Benign tumours in upper respiratory tract (larynx, trachea) and digestive tract Malignant tumours in upper respiratory tract (larynx, trachea) and digestive tract (mostly HCC, CCC)	–/1.1	<b>0.9/1.1</b> (M/F) <b>0.9/3.9</b> (M/F)
Lijinsky et al. (1984)	<b>Hamster</b> , Syrian Golden (M) No/group: 20  Gavage: 0 or 5.2 mg/hamster 1x/week; equivalent to 0 or 5.6 mg/kg bw per day (assuming 135 g bw) Duration: 26 weeks Observation: lifetime	↓ Survival CA of nose, AD of trachea		<b>5.6</b> <b>5.6</b>
Cardesa et al. (1990)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: 25–30  Drinking water: 0, 0.001, 0.005 or 0.01%: equivalent to 0, 1, 5, or 10 mg/kg bw per day (consumption of 100 mL drinking water/kg bw per day assumed); Duration: lifetime	↓ Survival Papilloma in trachea and larynx CA of larynx	1 (F) 1 (M)	<b>5</b> (F) <b>1</b> (M/F) <b>5</b> (M)
<b>NPIP</b>				
Boyland et al. (1964)	<b>Rat</b> , Albino (M, F) No/sex/group: 16  Drinking water: 0 or 0.2% on 6 days/week; equivalent to 0 or 86 mg/kg bw per day <sup>(a)</sup> Duration: until tumour appearance (average ~ 190 days)	Malignant tumours of liver and oesophagus		<b>86</b>
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 9–20  Drinking water: 5 or 20 mg/kg bw per day; historical controls available Duration/mean tumour induction time at 5 mg/kg bw per day: 280 days	↓ Survival CA of oesophagus HCC	5 5	<b>20</b> <b>5</b> <b>20</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Garcia and Lijinsky (1972)	<b>Rat</b> , MRC (M, F) No/sex/group: 15  Drinking water: 100 mg/L on 5 days/week; equivalent to 3.57 mg/kg bw per day <sup>(a)</sup> ; <i>no control</i> Duration: 50 weeks Observation/tumour appearance: ~ 50 weeks	↓ Survival Tumours of liver, pharynx, oesophagus and respiratory tract		<b>3.57</b> <b>3.57</b>
Eisenbrand et al. (1980)	<b>Rat</b> , SD (M, F) No/group: 34–78  Drinking water: 0, 0.024, 0.12, 0.6 or 3 mg/kg bw on 5 days/week; equivalent to 0, 0.02, 0.09, 0.4 or 2.1 mg/kg bw per day Duration/mean tumour induction time: ~ 400–800 days in dependence of dose	↓ Survival Liver tumours (HCC and cholangioma) Squamous cell CA of oesophagus or forestomach	0.4 0.02	<b>2.1</b> <b>0.02</b> <b>0.09</b>
Flaks and Challis (1980)	<b>Rat</b> , Leeds strain (M) No/group: 6  Drinking water: 0 or 0.02% on 6 days/week; equivalent to 0 or 8.6–15.4 mg/kg bw per day <sup>(a)</sup> Duration: 2.5, 5 or 6 months	Preneoplastic liver foci (2.5 months)		<b>8.6–15.4</b>
Lijinsky et al. (1981)	<b>Rat</b> , F344 (F) No/group: 20  Drinking water: 0 or 0.9 mM on 5 days/week; equivalent to 0 or 3.7 mg/kg bw per day <sup>(a)</sup> Duration: 28 weeks Observation/tumour appearance: ~ 40 weeks	↓ Survival Tumours of forestomach, larynx		<b>3.7</b> <b>3.7</b>
Peto et al. (1984) Gray et al. (1991)	<b>Rat</b> , Colworth (M, F) No/sex/group: 6  Drinking water: 0, 0.18, 0.36, 0.73, 1.45, 2.9, 5.81, 8.71, 11.62, 14.52, 17.42, 23.23, 29.04, 34.85, 46.46, 92.93 ppm; equivalent to 0, 0.009, 0.018, 0.037, 0.074, 0.15,	Malignant tumours in liver Tumours in oesophagus and lower jar	0.037	<b>0.074</b> <b>Unclear from fig</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
	0.29, 0.44, 0.58, 0.73, 0.87, 1.16, 1.45, 1.74, 2.3 or 4.6 mg/kg bw per day <sup>(a)</sup> Duration: 30 months			
Takayama (1969)	<b>Mouse</b> , ICR (M) No/group: 30–33  Diet: 0 or 50 ppm, equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 12 months	Squamous CA of forestomach, HCC, tumours of hepatic endothelium, papilloma of oesophagus, AD of lung		<b>7.5</b>
Greenblatt and Lijinsky (1972b)	<b>Mouse</b> , Swiss (M, F) No/sex/group: 20  Drinking water: 0 or 0.01% on 5 days/week; equivalent to 0 or 6.4 mg/kg bw per day <sup>(a)</sup> Duration: 26 weeks Observation: further 12 weeks	AD of lung		<b>6.4</b>
Xie et al. (2010)	<b>Mouse</b> , Balb/c (not specified) No/group: 10–20  i.p. application: 0 or 0.2 mmol/kg bw/days; equivalent to 0 or 22.83 mg/kg bw Duration: 8 weeks Observation: 16 weeks after treatment	Alveolar type II epithelial cell tumours of lung, bronchial tumours		<b>22.83</b>
Mohr et al. (1974)	<b>Hamster</b> , European (M, F) No/sex/group: 10–20  s.c. application: 0, 11.3, 22.6 or 45.2 mg/kg 1×/week; equivalent to 0, 1.6, 3.2 or 6.5 mg/kg bw per day Duration: lifetime/tumour appearance	↓ Survival (M, F) ↓ Body weight (M, F) Tumours in nasal cavity, nasopharynx, lung and forestomach (M, F)		<b>1.6</b> (control data missing) <b>1.6</b> <b>1.6</b>
Reznik et al. (1976)	<b>Hamster</b> , Chinese (M, F) No/sex/group: 20  s.c. application: 0, 5.7, 11.3 or 22.6 mg/kg bw 1×/week; equivalent to 0, 0.8, 1.6 or 3.2 mg/kg bw per day Duration: lifetime	↓ Survival (M, F) Tumours of oesophagus, forestomach, tongue, palate, lung and liver (M, F)	0.8	<b>1.6</b> <b>0.8</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Mohr et al. (1979)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: 20  s.c. application: 15, 30 or 60 mg/kg bw 1x/week; equivalent to 2.1, 4.3 or 8.6 mg/kg bw per day; <i>no control</i> Tumour appearance after 86 weeks Duration: lifetime	Tumours in trachea		<b>2.1</b>
Ketkar et al. (1983)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: 28–50  Drinking water: 0, 0.006, 0.025 or 0.05%: equivalent to 0, 6, 25 or 50 mg/kg bw per day (consumption of 100 mL drinking water/kg bw per day assumed); Duration: lifetime Mean observation/latency: 50–84 weeks	Tumours in respiratory and digestive tract and liver		<b>6</b>
Cardesa et al. (1990)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: 25–30  Drinking water: 0, 0.006, 0.025 or 0.05%: equivalent to 0, 6, 25 or 50 mg/kg bw per day (consumption of 100 mL drinking water/kg bw per day assumed); Duration: lifetime	↓ Survival M/F Papilloma and CA in trachea and larynx (M, F)		<b>25/6 (M/F) 6</b>
Adamson et al. (1983); Thorgeirsson et al. (1994)	<b>Monkey</b> , (Cynomolgus, Rhesus, African Green) (M, F) No/group: 12  Oral: 400 mg/kg bw on 5 days/week; equivalent to 7.14–286 mg/kg bw per day; cumulative dose: 1625.91 months (177.02–2662.32 months) Duration/average tumour latency: 87 g (36–145 g)	HCC 11/12 Toxic hepatitis 1/12		<b>286</b>
Adamson et al. (1979, 1983); Thorgeirsson et al. (1994)	<b>Monkey</b> , (Cynomolgus, Rhesus, African Green) (M, F) No/group: 11  i.p. application: 40 mg/kg bw 1x/week; equivalent to 5.7 mg/kg bw per day; cumulative dose: 42.9 g (26.7–51.5 g); average tumour latency: 141 months (79–239 months)	HCC 6/10 Toxic hepatitis 4/10		<b>5.7</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NPYR</b>				
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 5–20  Drinking water: 5 or 10 mg/kg bw per day for 150 days and doubled to 10 or 20 mg/kg bw per day; historical controls available Duration/average tumour induction time: 470 or 290 days	HCC		<b>5–10</b>
Greenblatt and Lijinsky (1972a)	<b>Rat</b> , MRC (M, F) No/sex/group: 15–35  Drinking water: 0 or 200 mg/L on 5 days/week; equivalent to 0 or 7.14 mg/kg bw per day <sup>(a)</sup> Duration: 67 weeks (mean survival time 70–79 weeks)	HCC Papillary mesothelial tumours of testis (M)		<b>7.14</b>
Lijinsky et al. (1976)	<b>Rat</b> , SD (M, F) No/sex/group: 14–15  Drinking water: 0 or 0.02% on 5 days/week; equivalent to 0 or 7.14 mg/kg bw per day <sup>(a)</sup> ; Duration: 50 weeks Tumour appearance: 45–105 weeks	Tumours of oesophagus and nasal mucosa		<b>7.14</b>
Preussmann et al. (1977)	<b>Rat</b> , SD (M, F) No/sex/group: 24–62  Drinking water: 0, 0.3, 1, 3 or 10 mg/kg bw per day Duration/tumour induction time: 664–444 days	HCC	0.3	<b>1</b>
Lijinsky et al. (1981)	<b>Rat</b> , F344 (F) No/group: 20  Drinking water: 0 or 0.9 mM (90 mg/L) on 5 days/week; equivalent to 0 or 3.2 mg/kg bw per day <sup>(a)</sup> Duration: 28 weeks Tumour appearance: up to 110 weeks	Tumours of forestomach, cholangioma, lymphangioma of liver		<b>3.2</b>
Peto et al. (1984) Gray et al. (1991)	<b>Rat</b> , Colworth (M, F) No/sex/group: 6	Malignant tumours in liver) Malignant tumours in oesophagus	11 0.45	<b>0.22</b> <b>0.9</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
	Drinking water: 0, 0.56, 1.12, 2.24, 4.49, 8.98, 17.95, 26.9, 35.9, 44.9, 53.9, 71.8, 89.8, 107.7, 143.6 or 287.2 ppm; equivalent to 0, 0.03, 0.06, 0.11, 0.22, 0.45, 0.9, 1.35, 1.8, 2.24, 2.7, 3.6, 4.5, 5.4, 7.2 or 14.4 mg/kg bw per day <sup>(a)</sup> Duration: 30 months			
Hoos et al. (1985)	<b>Rat</b> , SD (M) No/group: > 50  Drinking water: 0, 1 or 2 mg/kg bw per day Duration: 600 days for 1 mg/kg bw per day (period d100–700) or 300 days for 2 mg/kg bw per day (period days 100–400 or days 400–700)	HCC		<b>1</b>
Michejda et al. (1986)	<b>Rat</b> , F344 (F) No/group: 20  Drinking water: 0 or 9 mg/animal/week; (assuming 250 g bw) equivalent to 5.1 mg/kg bw per day <sup>(a)</sup> Duration: 30 weeks	HCC		<b>5.1</b>
Chung et al. (1986)	<b>Rat</b> , F344 (M) No/group: 20  Drinking water: 0 or 6 mM (600 mg/L); equivalent to 0 or 30 mg/kg bw per day <sup>(a)</sup> Duration: 83 weeks	↓ Survival Preneoplastic liver lesions, HCA, HCC		<b>30</b> <b>30</b>
Berger et al. (1987)	<b>Rat</b> , SD (M) No/group: 80  Drinking water: 0, 0.04, 0.133 or 0.4 mg/kg bw on 5 days/wk, i.e. 0, 0.03, 0.1 or 0.3 mg/kg bw per day Duration: lifetime	Tumours of liver (HCA) and GI tract	0.03	<b>0.1</b>
Greenblatt and Lijinsky (1972b)	<b>Mouse</b> , Swiss (M, F) No/sex/group: 20  Drinking water: 0 or 0.01% on 5 days/week; equivalent to 0 or 6.4 mg/kg bw per day <sup>(a)</sup> Duration: 26 weeks Observation: further 12 weeks	AD of lung		<b>6.4</b>



Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Shah et al. (1985)	<b>Mouse</b> , Swiss (M) No/group: 20  Gavage: 0 or 50 µg/mouse 5 days/week; (assuming bw of 25 g) equivalent to 0 or 1.43 mg/kg bw per day <sup>(a)</sup> Duration: lifetime (15–20 months)	Papillary adeno-CA of lung HCC		<b>1.43</b>
Chung et al. (1986)	<b>Mouse</b> , A/J (F) No/group: 40  Drinking water: 0 or 40 mg/L; equivalent to 0 or 6 mg/kg bw per day <sup>(a)</sup> Duration: 10 weeks	↑ incidence of lung tumours (insignificant)	6	
Hecht et al. (1988)	<b>Mouse</b> , A/J (F) No/group: 30  i.p. application: 0 or 100 µmol (10 mg) total dose per mouse; applied on 3 days/week; (assuming 20 g bw) equivalent to 0 or 23.8 mg/kg bw per day; Duration: 7 weeks	Lung tumours		<b>23.8</b>
Anderson et al. (1993)	<b>Mouse</b> , strain A (M) No/group: not specified  Drinking water: 0, 6.8 or 40 ppm; equivalent to 0, 1.1. or 7.2 mg/kg bw per day <sup>(a)</sup> Duration: 4 weeks	No significant tumour formation	7.2	
Hoffmann et al. (1993)	<b>Mouse</b> , A/J (F) No/group: 30  i.p. application: 3×/week; total dose/mouse: 0, 20 or 100 µmol; (assuming 20 g bw) equivalent to 0, 2 or 10.2 mg/kg bw per day Duration: 7 weeks Observation: 30 weeks	Lung tumours		<b>2</b>

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Ketkar et al. (1982)	<b>Hamster</b> , Syrian Golden (M, F) No/sex/group: 30  Drinking water: 0, 0.00042, 0.0016 or 0.0033% (consumption of 100 mL drinking water/kg bw per day assumed) equivalent to 0, 0.4, 1.6 or 3.3 mg/kg bw per day Duration: lifetime Tumour latency: 57–82 weeks	Overall tumour incidence liver tumours	0.4 1.6	<b>1.6 (F)</b> <b>3.3 (M)</b>
<b>NHPYR</b>				
Eisenbrand et al. (1980)	<b>Rat</b> , SD (M, F) No/sex/group: > 10  Drinking water: 0 or 3.5 mg/kg bw on 5 days/week; equivalent to 0 or 2.5 mg/kg bw per day Duration/mean tumour induction time: 711 days	HCC		<b>2.5</b>
<b>NPRO</b>				
Garcia and Lijinsky (1973)	<b>Rat</b> , MRC (M, F) No/sex/group: 15  Drinking water: 0 or 0.015%; equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 75 weeks	No significant tumour formation	7.5	
Nixon et al. (1976)	<b>Rat</b> , Wistar (M), weanling No/group: 26  Gavage: 0 or 72.5 mg/rat 1x/wk (assuming 100 g bw) equivalent to 0 or 103 mg/kg bw per day Duration: 4 weeks Observation: lifetime	No significant tumour formation	103	
Mirvish et al. (1980)	<b>Rat</b> , MRC (M) No/group: > 35  Drinking water: 0 or 2500 mg/L on 5 days/week; equivalent to 0 or 89.3 mg/kg bw per day <sup>(a)</sup> Duration: 78 weeks	No significant tumour formation	89.3	

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
Greenblatt and Lijinsky, 1972b	<b>Mouse</b> , Swiss (M, F) No/sex/group: 30  Drinking water: 0, 0.05 or 0.1% on 5 days/week; equivalent to 0, 32.1 or 64.2 mg/kg bw per day <sup>(a)</sup> Duration: 26 weeks Observation: 38 weeks	No tumour formation in lung	64.2	
Hecht et al. (1988)	<b>Mouse</b> , A/J (F) No/group: 30  i.p. application: 3×/week; total dose/mouse: 0 or 100 µmol (14.4 mg); (assuming 20 g bw) equivalent to 0 or 34.3 mg/kg bw per day; Duration: 7 weeks	No tumour formation in lung	34.3	
<b>NHPRO</b>				
Garcia and Lijinsky, 1973	<b>Rat</b> , MRC (M, F) No/sex/group: 15  Drinking water: 0 or 0.015%; equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 75 weeks	No significant tumour formation	7.5	
Nixon et al. (1976)	<b>Rat</b> , Wistar (M), weanling No/group: 26  Gavage: 0 or 72.5 mg/rat 1×/wk (assuming 100 g bw) equivalent to 0 or 103 mg/kg bw per day Duration: 4 weeks Observation: lifetime	No significant tumour formation	103	
<b>NPIC</b>				
Garcia and Lijinsky (1973)	<b>Rat</b> , MRC (M, F) No/sex/group: 15  Drinking water: 0 or 0.015%; equivalent to 0 or 7.5 mg/kg bw per day <sup>(a)</sup> Duration: 75 weeks	No significant tumour formation	7.5	

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
<b>NDPheA</b>				
Argus and Hoch-Ligeti (1961)	<b>Rat</b> , Wistar (M) No/group: 25  Gavage: 1.07 mg/rat on 5 days/wk,(assuming 300 g bw) equivalent to 2.54 mg/kg bw per day; <i>no control</i> Duration: 53 weeks Cumulative dose per animal: 244 mg	No tumour formation	2.54	
Druckrey et al. (1967)	<b>Rat</b> , BD (M, F) No/group: 20  Drinking water: 120 mg/kg bw per day; historical controls available Duration: > 500 days Observation: 700 days	No tumour formation	120	
Boyland et al. (1968)	<b>Rat</b> , CB (M) No/group: 24  i.p. application: 0 or 2.5 mg/rat/once per week; (assuming 300 g bw) equivalent to 1.2 mg/kg bw per day Duration: 6 months Observation: 2 years	No significant tumour formation	1.2	
Cardy et al (1979), NCI/NTP (1979)	<b>Rat</b> , F344 (M, F) No/sex/group: 20–50  Diet: 0, 1,000 or 4,000 mg/kg equivalent to 0, 50 or 200 mg/kg bw per day <sup>(a)</sup> Duration: 100 weeks	↓ Survival (F) CA of urinary bladder (M, F) Fibroma in in skin and subcutis (M)		<b>200</b> <b>200</b>
Cardy et al. 1979, NCI/NTP 1979	<b>Mouse</b> , B6C3F1 (M, F) No/sex/group: 20–50  Diet for M: 0, 10,000 or 20,000 mg/kg equivalent to 0, 1,500 or 3,000 mg/kg bw per day <sup>(a)</sup> For F: 0, 5,000 mg/kg for 38 weeks, 3 weeks break, and 1,000 mg/kg for 60 weeks: average 2,315 mg/kg; equivalent to 0 or 347 mg/kg bw per day <sup>(a)</sup> 10,000 mg/kg for 38 weeks, 3 weeks break and finally 4,000 mg/kg for 60 weeks:	Inflammation of urinary mucosa (M, F) Insignificant increase in urinary CA (M/F)	1500/347 (M/F)	<b>1,500/347</b> (M, F) <b>3,000/861</b> (M/F)

Reference	Species/Experimental design and doses	Most sensitive endpoint	Highest dose with no effect (mg/kg bw per day)	Lowest dose with effect (mg/kg bw per day)
	average 5,741 mg/kg; equivalent to 861 mg/kg bw per day <sup>(a)</sup> Duration: 38–101 weeks			

AD: adenoma; CA: carcinoma, HCA: hepatocellular adenoma; HCC: hepatocellular carcinoma; CCC: cholangiocellular carcinoma.

(a): Applying EFSA default values.

(b): <https://echa.europa.eu/registration-dossier/-/registered-dossier/27089/7/6/2>.

## Appendix F – Epidemiological studies

### F.1. Cancers of digestive system organs

#### F.1.1. Cohort studies

Knekt et al. (1999) investigated the risk of colorectal and other gastrointestinal (GI) cancers following exposure to NDMA, using a cohort of healthy adult Finnish men and women in the Finnish Mobile Clinic survey (1966–1972). Dietary intake was assessed by a dietary history questionnaire. Cancers of the GI tract were identified by the nationwide Finnish Cancer Registry. Mean daily intake of NDMA was 0.052 µg from the diet and 0.071 µg from beer. Dietary NDMA was provided mainly by smoked and salted fish and cured meat and sausages. An increased risk of colorectal cancer was found for high intake of smoked and salted fish (Q3 vs. Q1, HR: 2.58, 95% CI 1.21–5.51) and cured meat (HR: 1.84, 95% CI 0.98–3.47). However, for cured meat it did not reach statistical significance. After adjustment for confounding factors, an increased risk for colorectal cancer was observed for high intake of NDMA (Q4 vs. Q1, HR: 2.12; 95% CI: 1.04–4.33; p-trend = 0.47) but no association was found between intake of NDMA and stomach cancer. The main limitations of the study were the lack of adjustment for important confounders (e.g. *Helicobacter pylori* infection status, alcohol).

Nothlings et al. (2005) conducted a multi-ethnic cohort study (African American, Latino, Japanese American, Native Hawaiian, Caucasian) in Hawaii and Los Angeles to investigate the association between intake of meat, other animal products and N-NAs and pancreatic cancer. Subjects were enrolled in the study between 1993 and 1996. Incident pancreatic cancer cases were identified by record linkages to the Hawaii Tumour Registry, the Cancer Surveillance Program for Los Angeles County and the California State Cancer Registry. Follow-up of the cohort for cancer incidence were done by active contact with the subjects and computerised linkages to cancer registries and death certificate files. A quantitative food frequency questionnaire was used in the study. Intakes of pork and of total red meat were both associated with a 50% increase in risk (Q5 vs. Q1; both p-trend < 0.01). However, the strongest association was found for processed meat (Q5 vs. Q1, HR: 1.68; 95% CI: 1.35–2.07; p-trend < 0.01) after adjusting for confounding factors. An analysis based on estimated intake of N-NAs from processed meat, showed an increased risk for pancreatic cancer for high N-NA intake (HR: 1.26; 95% CI: 1.01–1.56; p-trend = 0.29). The main limitations of the study are: the lack of information on values for N-NAs and the lack of control for other potential confounding factors (e.g. BMI).

Jakszyn et al. (2006) investigated the association between the estimated dietary intake of NDMA in relation to gastric cancer risk. Data were obtained from the large European Prospective Investigation into Cancer and Nutrition (EPIC) study. Enrolment took place between 1992 and 1998. The follow-up data were obtained mainly from population cancer registries. Dietary intake was assessed by different instruments (semi-quantitative food frequency questionnaire, 7-day record, non-quantitative food frequency). Mean (SD) exposure to NDMA was 0.19 (0.31) µg/day in the controls and 0.26 (0.34) µg/day among cases. There was no association between NDMA intake and gastric cancer (HR per 1 µg/day increase: 1.00; 95% CI: 0.7–1.43). No association was found for cardia gastric cancer (T3 vs. T1, HR: 0.73; 95% CI: 0.3–1.79) or non-cardia gastric cancer (T3 vs. T1, HR: 1.09; 95% CI: 0.69–1.73). A limitation of the study is the possible misclassification in the exposure due to country-specific questionnaires and different sources of information to estimate NDMA from food (country-specific values when available). Other limitations are the differences in follow-up procedures, the lack of information on loss of follow-up and lack of control in the analysis for *Helicobacter pylori* infection status.

Larsson et al. (2006) investigated the associations between intake of red meat, poultry and fish, processed meat (bacon or side pork, sausage or hotdogs, ham or salami), NDMA and risk of stomach cancer. Data were obtained from the Swedish Mammography Cohort established between 1987 and 1990. Dietary exposure was assessed through a 67-item food frequency questionnaire. Incident cases were ascertained through the National and Regional Swedish Cancer registries. Completeness of follow-up of the cohort was obtained. In the Cox PH models, after adjustment for possible confounding factors, an increased risk was observed for high intake of NDMA (RR Q5 vs. Q1: 1.96; 95% CI: 1.08–3.58; p-trend = 0.02). The association between NDMA and gastric cancer did not differ according to level of vitamin C or vitamin E intake. After controlling for confounding factors an increased risk was also observed for high intake of processed meat (HR: 1.66; 95% CI: 1.13–2.45, p-trend = 0.01), bacon or side pork (HR: 1.55; 95% CI: 1.00–2.41, p-trend = 0.05) and ham or salami



(HR: 1.48; 95% CI: 0.99–2.22, *p*-trend = 0.01). The limitation of the study was the lack of control for important confounding factors (e.g. smoking, *Helicobacter pylori* infection status).

Loh et al. (2011) conducted a cohort study (1993–1997) to investigate the association between dietary NOCs (NDMA), endogenous NOC, dietary nitrite and cancer incidence in the (EPIC)–Norfolk, United Kingdom, study. Incident cancer cases were identified through the National Health Service Central Register and through the East Anglian Cancer Registry. An assessment of baseline diet was carried out using a 131-item food frequency questionnaire. The estimated mean intake of NDMA in the population of the study was 57 ng per day. After adjustments for potential confounding factors, NDMA intake was not associated with total cancer incidence (Q4 vs. Q1, HR: 1.10; 95% CI: 0.97–1.24). In a stratified analysis by sex, a borderline increased risk was observed for total cancer among men (Q4 vs. Q1, HR: 1.18; 95% CI: 1.0–1.40) but not among women (HR: 1.05; 95% CI: 0.86–1.29; *p*-trend = 0.83). NDMA intake (as continuous variable) was borderline associated with GI cancers (oesophagus, stomach, colon and rectum) (HR per 1 standard deviation increase of NDMA: 1.13; 95% CI: 1.00–1.28). For specific cancer sites, an increased risk was found for rectum cancer (HR per 1 standard deviation increase: 1.46; 95% CI: 1.16–1.84, *p*-trend = 0.001). No association was found between NDMA intake and cancers of the colon, stomach, oesophageal, breast, prostate, lung and ovary. Cancers of the skin (melanoma) bladder, corpus uteri, pancreas and kidney were categorised as 'others'. NDMA intake (as continuous variable) was associated with increased risk of 'other cancers' (HR per 1 standard deviation increase of NDMA: 1.11; 95% CI: 1.03–1.19). In this study, plasma vitamin C concentrations had an effect-modifier role between cancer risk and NDMA exposure (*p*-for interaction: 0.006). In subjects with plasma vitamin C levels of 50 µmol/L the cancer risk associated with NDMA intake was lower. A limitation of this study is the small number of cases of some sites, the lack of information on loss of follow-up and the lack of control for some possible confounding factors (e.g. fruits and vegetables), *Helicobacter pylori* infection status for gastric cancer.

Keszei et al. (2013) studied the relationship between risks of oesophageal cancer and gastric cancer subtypes and dietary NDMA intake in the Netherlands Cohort Study (1986–2002). At baseline a questionnaire on dietary factors (150-item food frequency questionnaire) and other risk factors were completed by study participants. Incidence cases of cancer were identified by linkage to the Netherlands Cancer Registry and the Nationwide Network and Registry of Histopathology and Cytopathology in the Netherlands. The main sources of NDMA intake in this cohort were beer and processed meat. Mean intake of NDMA was 0.084 µg/day for men and 0.04 µg/day for women. For the statistical analysis a subgroup cohort was used (N = 1947 men and 2085 women). In the multivariate model, after controlling for confounding factors, an increased risk was found for oesophageal squamous cell carcinoma (T3 vs. T1: HR: 1.76; 95% CI: 1.07–2.90; *p*-trend = 0.01). When the analysis was conducted separately for men and women, the association was seen only for men (T3 vs. T1, HR: 2.43; 95% CI: 1.13–5.23; *p*-trend = 0.01). In the multivariable adjusted model using NDMA as a continuous a statistically significant increase in risk for oesophageal squamous cell carcinoma was also found for women (HR: 1.34; 95% CI: 1.04–1.71 per 0.1 µg/day NDMA increment). For gastric non-cardia adenocarcinoma a statistically positive association was found for men (HR: 1.06; 95% CI: 1.01–1.10 per 0.1 µg/day NDMA increment) but not among women. No association was found between NDMA intake and oesophageal adenocarcinoma and NDMA intake and gastric cardia cancer. The limitation of the study is the low number of cases and the lack of control for *Helicobacter pylori* infection status for gastric cancer.

### F.1.2. Case–controls

Risch et al. (1985) conducted a case–control study from 1979 to 1982 to explore the role of diet on gastric cancer. Cases were matched by age, sex, residence and birth year. Cases were subjects with newly diagnosed gastric cancer that were resident in either Newfoundland, Manitoba, or the Toronto, Ontario metropolitan area. Cases in Newfoundland and Manitoba were obtained from tumour registries, while in Toronto, identification was through examination of medical records in hospitals treating individuals with stomach cancer. Histologic confirmation was obtained from all cases included in the study. In Newfoundland and Manitoba, controls were identified through door-to-door while in metropolitan Toronto, they were identified through municipal enumeration lists. Response rate was 44% for cases and 58% for controls. A medical history questionnaire and a diet history questionnaire (94 food items) were administered to the participants of the study. Continuous conditional logistic regression techniques for matched studies were employed to analyse the data. No association was found for NDMA and stomach cancer (OR: 0.94; 95% CI, 0.14–6.13). The limitation of the study is the

lack of control for important confounding factors (e.g. smoking, *Helicobacter pylori*) and the low response rate.

Gonzalez et al. (1994) conducted a case–control study (1988–1989) to investigate the association between nutrients, nitroso compounds and the risk of gastric cancer in four provinces of Spain (15 hospitals). In this study, histologically confirmed gastric adenocarcinoma and controls matched by sex, age and area of residence were included. A dietary history method (77 items) was used to assess dietary intake. Response rate of cases and controls was 100%. After adjusting for energy intake, an increased risk was found for subjects with high intake of *N*-NAs (OR: 2.09; *p*-trend = 0.007). When cases were stratified by histological type, a significant association was found for high intake of *N*-NAs in both intestinal (Q4 vs. Q1; OR: 1.77, *p*-trend = 0.101) and diffuse types (Q4 vs. Q1, OR: 2.09, *p*-trend = 0.133). A lower risk for gastric cancer was found for subjects with high intake of *N*-NAs and high intake of vitamin C (OR: 1.17, 95% CI: 0.74–1.85). The limitation of the study was the lack of control for important confounding factors (e.g. smoking, *Helicobacter pylori*) and the lack of confidence intervals for the risk estimates in the main analysis.

Rogers et al. (1995) investigated the association between foods and beverages containing NDMA and laryngeal, oesophageal and oral cancer in a case–control study in western Washington state. Newly diagnosed cancers were identified by using the Cancer Surveillance System. Controls were ascertained using random digit dialling of households in the same three-county area and they were frequency matched by age and sex to the oral cancer cases. Participants completed a food frequency questionnaire (125 items). Median intake of NDMA for cases and controls was 0.2 µg/day. The response rate for cases were 83% and 82% among controls. After adjusting for potential confounding factors, an increased risk was found for high NDMA intake and laryngeal cancer, oesophagus cancer and oral cavity cancer, nonetheless it was only statistically significant for oral cavity (T3 vs. T1; OR: 1.82; 95% CI: 1.10–3.00; *p*-trend = 0.118). In a stratified analysis by levels of vitamin C, subjects with low vitamin C intake (including supplements) were at an increased risk of oesophagus cancer (OR: 2.96, *p* < 0.05). For all cancer sites combined (laryngeal, oesophageal, oral cavity) NDMA consumption was associated with a 79% increased risk (*p*-trend = 0.037). The main limitation of the study was the high levels of missing information for NDMA.

Pobel et al. (1995) conducted a hospital-based case–control study in Marseille (1985–1988), and included 92 histologically confirmed cases of adenocarcinoma and 128 controls, matched by sex and age. Cases were identified in the departments of gastroenterological surgery of eight major hospitals in Marseille. Controls were subjects undergoing functional re-education for trauma or injuries in two specialised medical centres. Diet was assessed by dietary history method. The mean daily intake of NDMA was 0.55 µg (SD: 0.87) for cases and 0.33 µg (SD: 0.50) for controls. After controlling for confounding factors, a significant increase risk of gastric cancer was reported for the second (0.23 µg/day) (T2 vs. T1, RR: 4.13; 95% CI: 0.93–18.27) and third tertile (T3 vs. T1, RR: 7.0; 95% CI: 1.85–26.46, *p*-trend = 0.04) of dietary intake of NDMA. No protective effect was found for vitamin C intake. Potential limitations of this study are the small sample size, the lack of control for important confounding factors such as smoking and the fact that controls were from a different study base.

La Vecchia et al. (1995) conducted a case–control study (1985–1993) to investigate the association between NDMA and gastric cancer. They included incident cases of gastric cancer and hospital controls. Controls were patients admitted to the same hospital for acute, non-neoplastic, non-digestive tract diseases, unrelated to long-term modifications of diet. The response rate was 95% for both cases and controls. A 29-item food frequency questionnaire was used to assess dietary intake. The mean intake of NDMA in the population studied was 0.18 µg. After controlling for confounding factors, an increased risk was found for high intake of NDMA (T3 vs. T1, OR: 1.40; 95% CI: 1.1–1.7; *p*-trend ≤ 0.01). The effect was stronger for males (OR: 1.75; 95% CI: 1.3–2.3) and younger subjects (< 60 years) (OR: 1.79; 95% CI: 1.3–2.4). The limitation of the study was the lack of control for important confounding factors such as smoking habits and alcohol consumption.

De Stefani et al. (1998) conducted a case–control study in Uruguay to assess the role of dietary *N*-NAs, heterocyclic amines and gastric cancer. In the period 1993–1996, newly diagnosed and microscopically confirmed gastric cancer cases (79.4% cancer in the antrum and pylorus, 20% cancer of the cardia, 20% whole stomach, 30% with cancer of the corpus) admitted to the four major hospitals in Montevideo were enrolled in the study. Controls were selected from the same hospitals and in the same period as the cases. The response rate of cases and controls were 94.2% and 91.5%, respectively. A short food frequency questionnaire was used for exposure assessment (29 items). High dietary NDMA intake was significantly associated with an increased risk of gastric cancer (Q4 vs. Q1, OR: 3.62; 95% CI: 2.38–5.51, *p*-trend = > 0.001), after controlling for confounding factors. When

dietary items were entered as continuous variables and adjusted simultaneously for each other, NDMA intake remained statistically significantly associated (OR: 1.58; 95% CI: 1.25–2.0). The limitation of the study was the lack of control for education and there was a clear difference between cases and controls.

Palli et al. (2001) conducted a case–control study in Italy (1985–1987) to investigate the role of diet on gastric cancer. Cases were histologically confirmed gastric cancer and controls were enrolled from a random sample of the population. Diet was assessed by using a food frequency questionnaire (181 food items). After controlling for confounding factors, an increased risk, although not statistically significant, was found high dietary intake of NDMA (T3 vs. T1, OR: 1.1; 95% CI: 0.8–1.5) and gastric cancer. The limitation of the study was the lack of control in the analysis for smoking habits and alcohol consumption.

Zhu et al. (2014) examined the association between *N*-NA intake and colorectal cancer risk in case–control study carried out in Newfoundland and Labrador and Ontario, Canada. Cases were pathologically confirmed adenocarcinoma identified from familial Colorectal cancer registries (1997–2006). Controls consisted of a random sample of each provincial population and were selected using random digit dialling. Controls were frequency-matched with cases on sex and age. The response rates for the study were 65.0% for cases and 53.5% for controls. Participants were asked to complete a 170-item self-administered food frequency questionnaire. Median daily intake of NDMA among controls was 0.20 µg/day. In the multivariate analysis, high intake of NDMA intake was associated with an increased risk of colorectal cancer (Q5 vs. Q1, OR: 1.42; 95% CI: 1.03–1.96; *p*-trend = 0.005), specifically for rectal carcinoma (OR: 1.61; 95% CI: 1.11–2.35; *p*-trend = 0.01). An increased risk for colorectal cancer was also found for NDMA-containing meats (OR: 1.47; 95% CI: 1.03–2.10; *p*-trend = 0.20). There was evidence of effect modification between dietary vitamin E and NDMA. Individuals with high NDMA and low vitamin E intakes had a significantly higher risk (OR: 3.01; 95% CI: 1.43–6.51; *p* for interaction = 0.017). There was no interaction with vitamin C. The limitation of study is low response rate of cases and controls and the fact that cases completed the questionnaire after a long period after diagnosis leading to a high possibility of recall bias.

Zheng et al. (2019) examined the association between *N*-nitroso compounds intake and pancreatic cancer risk in Texas, USA. Cases were pathologically confirmed pancreatic ductal adenocarcinoma (2002–2009). Frequency-matched controls were cancer-free individuals recruited from friends and genetically unrelated family members (usually spouses or in-laws) of patients diagnosed with cancers excluding the ones related to tobacco smoking (pancreatic cancer, lung cancer, head and neck cancer). The overall response rates for the study was 78% for both cases and controls. During study recruitment, two different versions of Harvard semi-quantitative food frequency questionnaire were used to assess dietary habits (84 and 131 food items). After adjustment for confounders, a significantly increased risk for pancreatic cancer was found for NDEA (Q4 vs. Q1, OR: 2.28; 95% CI: 1.71–3.04, *p*-trend < 0.0001) for both animal and plant sources and *N*-NDMA from plant sources (Q4 vs. Q1, OR: 1.93, 95% CI: 1.42–2.61, *p*-trend < 0.0001). No association was found for NDBA, NPYR, NTCA, NTHZ, NPIP, NPRO and pancreatic cancer. No effect modification by dietary vitamin C, E or red meat intake, or by alcohol intake level was seen. The limitation of the study was the lack of information on risk estimates by smoking status and the source of controls.

Zheng (2021) investigated the relationship between dietary *N*-NOCs and HCC. Cases (N = 827) were histologically or radiologically confirmed incident HCC cases from the MD Anderson Cancer Center in USA. Controls (N = 1013) were spouses of cancer patients with no history of liver or GI or lung or head and neck cancers. Cases and controls were recruited from 2004 to 2018. Dietary intake was assessed by two different semiquantitative food frequency questionnaires (originally with 84 food items and an updated version with 131 food items). Clinical variables were retrieved from their medical records. Underlying cirrhosis was determined by pathological findings (diagnostic biopsies) and CT scans. Information regarding demographics, lifestyle factors including smoking status and smoking intensity, family histories of cancers. Blood samples from cases and controls were tested for Hepatitis C virus and Hepatitis B virus. High intake of NDEA from plant sources (Q4 vs. Q1, OR: 1.58; 95% CI: 1.03–2.41), high intake of NDMA from plant sources (Q4 vs. Q1, OR: 1.54; 95% CI: 1.01–2.34) and NPIP from animal sources (Q4 vs. Q1, OR: 2.52; 95% CI: 1.62–3.94, *p*-trend < 0.0001) were all associated with increased HCC risk. No increased risk was found for total NDEA (Q4 vs. Q1, OR: 1.10; 95% CI: 0.72–1.69, *p*-trend = 0.32) and NDEA from animal sources (Q4 vs. Q1, OR: 0.92; 95% CI = 0.60–1.41, *p*-trend = 0.58), total NDMA (Q4 vs. Q1, OR: 0.80; 95% CI: 0.53–1.21, *p*-trend = 0.22) and NDMA from animal sources (Q4 vs. Q1, OR: 1.26; 95% CI: 0.83–1.91), NDBA (Q4 vs. Q1, OR: 0.39; 95% CI: 0.25–0.61, *p*-trend < 0.001), NDPA (Q4 vs. Q1, OR: 0.88; 95%

CI: 0.56–1.39, p-trend = 0.74) and NMAMBA (Q4 vs. Q1, OR: 1.24; 95% CI: 0.81–1.87, p-trend = 0.20) from animal sources and total NPYR (Q4 vs. Q1, OR: 0.89; 95% CI: 0.58–1.37, p-trend = 0.76), NPYR from plant (Q4 vs. Q1, OR: 0.75; 95% CI: 0.49–1.16, p-trend = 0.24) and animal sources (Q4 vs. Q1, OR: 1.04; 95% CI: 0.68–1.59, p-trend = 0.88). It is important to note that in the multivariate analysis in which *N*-NA intake from plant origin were included as a covariate, *N*-NA intake from animal origin and red and processed meat were considered as a confounding factor. The limitations of the study are as following: response rate was not reported, not all cases were histologically confirmed, controls were not sampled from the community and no matching between cases and controls were reported, values for NOCs were not available for all foods in the questionnaire.

## F.2. Other cancers

### F.2.1. Cohort studies

Knekt et al. (1999) investigated the risk of Head and Neck cancer (HNC) and NDMA (1966–1972), using a cohort of healthy adult Finnish men and women in the Finnish Mobile Clinic survey. A dietary history interview was used to assess dietary habits of the volunteers. Mean daily intake of NDMA was 0.052 µg of NDMA from the diet and 0.071 µg from beer. After adjustment for confounding factors, no significant association was observed between NDMA intake (Q4 vs. Q1, HR: 1.37; 95% CI: 0.50–3.74; p-trend = 0.43) and HNC cancer. The limitation of the study was the low power (48 incident HNC cancer cases).

Michaud et al. (2009) combined data from three US prospective cohort studies (Nurses' Health Study (NHS) I, NHS II and male Health Professionals Follow-Up Study (HPFS) (1976–2002) and examined the association between NDMA and NPYR and glioma. Dietary intake was assessed by three different food frequency questionnaires ranging from 61 to 131 food items. Estimates from each cohort were pooled by using a random effects model, and only combined effects were presented. After controlling for confounding factors, high intake of NDMA (Q5 vs. Q1, HR: 0.88; 95% CI: 0.57–1.36; p-trend = 0.73) and NPYR (T3 vs. T1, HR: 0.81; 95% CI: 0.62–1.05; p-trend = 0.93) were not associated with the risk of glioma. No effect modification was observed by intake of vitamins C or E or other antioxidant measures. The limitations of the study were: the assessment of vital status that was mainly based on family members report or using mortality data; misclassification of the exposure due to different food-frequencies questionnaires; the potential confounders were not taken into consideration (e.g. smoking, BMI, fruit and vegetable intake, ionising radiation, occupation).

Jakszyn et al. (2011) investigated the association between red meat consumption, dietary *N*-NAs and haem iron, and the risk of bladder cancer among participants in the European Prospective Investigation into Cancer and Nutrition (EPIC) study that involved 23 centers among 10 European countries (1992–1998). Country-specific food frequency questionnaires containing up to 260 food items were used to assess dietary intake. Follow-up was based on population-cancer registries in seven of the participating countries: Denmark, Italy, the Netherlands, Spain, Sweden, United Kingdom and Norway. In France, Germany and Greece, a combination of methods was used, including health insurance records, cancer and pathology hospital registries and active follow-up. All models were stratified by age at recruitment, sex and center and adjusted for confounding factors. No statistically significant associations were found between NDMA and bladder cancer (Q4 vs. Q1, HR: 1.12; 95% CI: 0.88–1.44; p-trend = 0.49). No association was found for red and processed meat (HR: 1.15; 95% CI: 0.90–1.45; p-trend = 0.49) and haem iron (HR: 1.10; 95% CI: 0.88–1.39; p-trend = 0.39). The limitation of the study is the lack of control for fruits and vegetable intake.

Jakszyn et al. (2012) investigated the association between NDMA and endogenous *N*-nitroso compounds (ENOC) and haem iron and the risk of prostate cancer among men, recruited in eight European countries within the EPIC (1992–1998). Prostate cancer cases were identified through population-based cancer registries and a combination of methods including health insurance records, cancer and pathology hospital registries and active follow-up. Country-specific food frequency questionnaires were used to assess dietary intake (up to 260 items). After adjusting for confounding factors, no association was found between prostate cancer risk and NDMA (Q5 vs. Q1, HR: 1.04; 95% CI: 0.92–1.18; p-trend = 0.95). After stratifying for stage, a borderline increased risk was found for localised prostate cancer risk (Q5 vs. Q1, HR: 1.23; 95% CI: 0.99–1.53; p-trend = 0.19). No association was found between ENOC and haem iron intake and prostate cancer risk. The limitation of this study is the lack of a clear method of data adjustment for confounders. Risk estimates reported in



the text and abstract do not correspond to data in the tables. No adjustments were made by important risk factors (e.g. family history of prostate cancer).

### F.2.2. Case-control studies

Goodman (1992) conducted a population case-control study on Hawaii (1983–1985) to investigate the association between diet and lung cancer. Participants were residents of Oahu (Caucasian, Japanese, Chinese, Filipino, Hawaiian/part-Hawaiian). Cases were individuals with histologically confirmed lung cancer. Controls were identified through different random-digit dialling, from lists of Oahu residents and from Oahu residents registered with the Health Care Financing Administration. Controls were matched by sex and age with cases. Response rate was 70% (men) and 63% (women) among cases and 71% (men) and 67% (women) among controls. A dietary history method was used to assess dietary intake (130 items). In the multivariate analysis, after controlling for confounding factors an increased risk of lung cancer was found for high intake of NDMA among men (Q4, vs. Q1, OR: 3.3; 95% CI: 1.7–6.2, *p*-trend = 0.0006) and women (Q4 vs. Q1, OR: 2.7; 95% CI: 1.0–6.9, *p*-trend = 0.04). The limitation of this study is the low response rate between cases and controls.

De Stefani et al. (1996) conducted a case-control study in Uruguay (1994–1995) to investigate the association between dietary intake of NDMA and lung cancer. Incidence histologically confirmed lung cancer cases were identified in the 7 major hospitals in Montevideo. Controls were selected from the admission register of the same hospital. Controls were frequency matched by age, sex and residence with cases. Among the 320 lung cancer cases, only 13 patients were women. A semi-quantitative food frequency questionnaire (70 items) was used to assess dietary intake. After adjusting for confounding factors, high NDMA intake was associated with a threefold increase in risk for lung cancer (Q4 vs. Q1, OR: 3.14; 95% CI: 1.86–5.29, *p*-trend < 0.001). In a stratified analysis by histological type, the risk was higher for adenocarcinoma of the lung (Q4 vs. Q1, OR: 4.57; 95% CI: 1.88–11.1, *p*-trend < 0.001). Salted meat was the food that contained most of the NDMA. When the analysis was stratified by smoking status, the association between NDMA and lung cancer was not statistically significant anymore for former (OR: 2.25; 95% CI: 0.77–6.58) and non-smokers (OR: 3.42; 95% CI: 0.76–15.4). The limitations of the study were the lack of information on the response rate of cases and controls and the lack of histological reports for 15% of cases.

De Stefani et al. (2009) undertook a case-control study among Uruguayan males, on meat intake and related mutagens and the risk of lung cancer (1996–2004). Newly diagnosed microscopically confirmed lung cancer cases and controls were drawn from the four major public hospitals in Montevideo. Response rate among cases and controls were 97.7% and 97.3% respectively. A food frequency questionnaire (64 food items) was used to assess dietary intake. After adjusting for confounding factors, an increased risk was found for high intake of total meat, red meat and processed meat. *N*-NAs (OR: 1.89; 95% CI: 1.30–2.73; *p*-trend = 0.0002) and benzo[*a*]pyrene from meat were associated with the risk of lung cancer. When the analysis was stratified by smoking status, the association between NDMA and lung cancer was not statistically significant anymore for former smokers (OR: 1.66; 95% CI: 0.89–3.08). The association remained only for current smokers (OR: 1.67; 95% CI: 1.07–2.60).

Wilkens et al. (1996) conducted a case-control study to evaluate dietary intake of nitrites and *N*-NAs in relation to cancers of the lower urinary tract. Cases were Caucasians (53%) or of Japanese ancestry (47%) who had been diagnosed with a lower urinary tract cancer (90% urinary bladder; 7% renal pelvis, 3% ureter) between 1979 and 1986 at the seven largest civilian hospitals on the Island of Oahu. Response rates were 89% for controls and 73% for cases. Controls were selected from the Health Surveillance Program of the Hawaii State Department of Health and frequency matched to cases for sex, age and ethnic group. A dietary history (29-item questionnaire) was used to assess dietary intake. Mean intake of *N*-NAs among males was 0.27 µg/day (cases) and 0.23 µg/day (controls) and among females 0.14 µg/day (cases) and 0.13 µg/day (controls). After controlling for confounding factors, an increased risk was only observed for men of Japanese ancestry (T3 vs. T1, OR: 3.0; 95% CI, 1.4–6.4, *p*-trend = 0.01). The limitation of the study was the use of a very short dietary questionnaire.

Catsburg et al. (2014) examined the role of dietary sources of NOCs and NOC precursors as potential bladder cancer risk factors using data from the Los Angeles Bladder Cancer Study. Bladder cancer cases were histologically confirmed and identified through the Los Angeles County Cancer Surveillance Program, the population-based Surveillance, Epidemiology and End Results (SEER) cancer registry of Los Angeles County. Controls were frequency matched by age, sex and ethnicity (non-Hispanic white,

Hispanic, African American). Forty food groups were included in the dietary section of the structured health questionnaire. In the multivariate analysis, no association was found for high intake of N-NA (Q5 vs. Q1, OR: 1.03; 95% CI: 0.78–1.36; p-trend = 0.984). In a stratified analysis by smoking status, an increased risk, although not statistically significant, was found for N-NAs (OR: 1.52; 95% CI: 0.86–2.66; p-trend = 0.281) among non-smokers but not among smokers (OR: 0.96; 95% CI: 0.69–1.33; p-trend = 0.701). High intake of haem iron was also associated with an increased risk of bladder cancer among non-smokers. No data regarding the response rate among controls were provided.

Boeing et al. (1993) conducted a case–control study (1987–1988) with incident and histologically confirmed cases of meningioma and glioma that were identified in two clinics in Rhein-Neckar-Odenwald area of Germany. Controls were randomly selected from the residential registers of the study area. A food frequency questionnaire (42 items) was used to assess dietary intake. Response rate was 97.8% among cases and 72% among controls. After controlling for possible confounding factors, an increased risk for glioma, with a dose–response, was found for medium and high intake of N-nitroso-dimethylamine (NDMA) (T3 vs. T1 OR: 2.8; 95% CI: 1.5–5.3, p-trend = 0.001), NPYR (T3 vs. T1 OR: 3.4; 95% CI: 1.8–6.4, p-trend < 0.001) and NPIP (T3 vs. T1 OR: 2.7; 95% CI: 1.4–5.2, p-trend = 0.004). For meningioma, an increased risk was also found for high intakes of NDMA, NPYR and NPIP. However, it was only statistically significant for high intake of NPIP (T3 vs. T1 OR: 2.0; 95% CI: 1.0–3.8). The limitations of the study are: the low number of cases of meningioma; differences of response rate between cases and controls and the control in the multivariate model for very few confounding factors.

Giles (1994) conducted in Australia, a population-based case–control study on 416 histologically confirmed primary gliomas (20–70years) diagnosed between 1987 and 1991 in 14 hospitals in Melbourne. Controls (N = 409) were selected from a random sample of people registered on the Victorian Electoral Roll and resident in the same area from which cases were recruited and matched to cases by sex and age. Dietary intake was assessed by a food frequency questionnaire (59 food items). The response rate in the study was 86% among cases and 65% among controls. After adjusting for alcohol and tobacco, an increased risk was observed for high intake of NDMA among in men (T3 vs. T1 OR: 1.78; 95% CI: 1.12–2.84) but not for women (T3 vs. T1 OR: 1.45; 95% CI: 0.78–2.68). In a subgroup analysis, women with low levels of beta-carotene and high levels on NDMA intake were at an increased risk of glioma (T3 vs. T1 OR: 3.1; 95% CI: 1.1–9.0). The limitations of the study are the low response rate of controls; the lack of adjustments for other dietary items such as vegetables intake and the use of proxy interviews.

Bunin et al., 1994 conducted a case–control study of maternal diet during pregnancy and risk of astrocytoma. The study included 155 cases and 155 controls (< 6 years). Cases were registered in the Children's Cancer Group, a cooperative group of paediatric oncology from North America and Canada. Controls were selected by random-digit dialling matched to cases by telephone area code. A food frequency questionnaire (53 items) was used to assess diet during pregnancy. After controlling for income levels, no association was found between maternal diet and risk of astrocytoma in the offspring including the intake of N-NAs (Q4 vs. Q1, OR = 0.8; 95% CI: 0.4–1.6). The limitations of the study are the small sample size, the high possibility of misclassification of exposure due to recall bias and the lack of control for smoking and other confounding factors.

Ward et al. (2000) conducted a case–control study in Taiwan to investigate the association between N-NA intake and nasopharyngeal carcinoma. Cases (N = 375) of nasopharyngeal carcinoma were identified in two referral hospitals in Taipei. Controls (N = 327) were selected from the same district or township as the cases using the National Household Registration System. They were matched to the cases by gender and in 5-year groups. A food frequency questionnaire (66 food items) was used to assess subjects dietary intake as adults. Mother of cases (N = 96) and controls (N = 120) were also interviewed about their children's diet at age 10, age 3 and weaning period using a food frequency questionnaire of 33 foods. Foods were categorised as low in N-NAs for values of 0, medium low for values 0–1 ppb and high for values of 5 ppb or more. The CYP2E1 genotype was determined, but they were not able to evaluate effect modification because of the low prevalence of homozygosity. After adjustments for age, gender, ethnicity and vegetable intake, high intake of N-NAs from soybean products was not associated with nasopharyngeal carcinoma in any age. However, total N-NAs intake in age 3 (OR: 2.6, 95% CI 1.0–7.0) and weaning (OR: 3.9, 95% CI 1.4–10.4) were associated with an increased risk. Intake of N-NAs during adulthood was not associated with an increased risk of nasopharyngeal carcinoma. The limitation of the study is the recall bias, the low and different response rates of mothers of cases (47.3 %) and controls (63.2%) and the lack of control for smoking in the multivariate models.



### F.3. N-NA intake via drug contamination

Adami et al. (2021) conducted a retrospective cohort study within Danish Prescription Registry and compared the risk of cancer (oesophageal, stomach, liver, pancreatic) among first users of histamine-2 receptor blockers (H2RBs) Ranitidine (N = 103,565) vs. users of others H2RBs (N = 182,497) and vs. users of proton pump inhibitors (PPIs) (N = 807,725). No differences were observed for any cancer except for oesophageal adenocarcinoma (Ranitidine vs. others H2RBs, HR: 1.30; 95% CI: 1.01–1.68) (Ranitidine vs. PPIs, HR: 1.27; 95% CI: 1.04–1.56). However, when the analysis was restricted to those with at least 10 prescriptions and 10 years of follow-up no association was found. Limitations were the low number of cancer events and no control for important confounders such as smoking, diet, alcohol, BMI and occupation.

Cardwell et al. (2021) conducted a nested case–control study within the Scottish Primary Care Clinical Informatics Unit Research database (N = 3,260 cases and 14,037 controls). Bladder cancer cases were frequency matched to 5 controls. Information on use of Ranitidine, other histamine-2 receptor agonists and proton pump inhibitors were identified from prescribing records. An increased risk of bladder cancer was found in ranitidine users, compared with no users (OR: 1.22; 95% CI 1.06–1.40 p-trend = 0.005), and the effect was greater with prolonged use (> 3 years) of ranitidine (OR: 1.43; 95% CI 1.05–1.94) after adjusting for comorbidities and smoking. Limitations were no control for important confounders such as diet, BMI and occupation.

Yoon et al. (2021) conducted a cohort study using the Health Insurance Review and Assessment (HIRA) database in South Korea. They compared users of Ranitidine (N = 88,416) with users of Famotidine (N = 10,129) (> 1 year exposure). They found no association between use of Ranitidine and overall cancer risk (HR 0.99, 95% CI 0.91–1.07, p = 0.716). However, an increased risk, although not statistically significant, was found for bladder cancer (HR: 1.41; 95% CI: 0.88–2.24). They matched groups by sex, age, diabetes and cumulative exposure instead of controlling for these factors in the Cox model. The lack of control for important confounding factors such as smoking was also a limitation of the study.

Kim et al. (2021) conducted a study, using the Korean database IBM Explorys. They compared incidence of GI cancer (oesophagus, stomach, liver, pancreas, colonrectum) among users of Ranitidine (N = N = 581,028) with users of famotidine (N = 909,970) or omeprazole (N = 2,179,048). They used logistic regression models to estimate risks and found a statistically inverse association between Ranitidine use and risk of all cancer types (ORs varying from 0.39 to 0.51) comparing with users of famotidine or omeprazole. The main limitation of the study is the study design. They said it was a cohort study, but they analysed data as a case–control study without matching cases to controls and thus introducing a bias in the study. Moreover, it was not clear whether or not the ORs reported were adjusted.

Pottegård et al. (2018) conducted a 5-year cohort study (N = 5150) to investigate the association between the use of contaminated Valsartan products and risk of cancer. The National Prescription Registry of Denmark was used to identify subjects' exposure to NDMA contamination. The median follow-up was 4.6 years (interquartile range 2.0–5.5 years). After controlling for confounders, no association was found between subjects exposed to possibly NDMA contaminated valsartan products and overall cancer in comparison to subjects exposed to valsartan products unlikely to be contaminated with NDMA (HR: 1.09, 95% CI: 0.85–1.41). Subgroup analysis by cancer type showed an increased risk, although not statistically significant, for colorectal (N = 51, HR: 1.46; 95% CI: 0.79–2.73), melanoma (N = 17, HR: 1.34; 95% CI: 0.46–3.85), uterine (N = 15, HR: 1.81; 95% CI: 0.55–5.90) and prostate cancer (N = 47, HR: 1.33; 95% CI: 0.68–2.62). Limitations of the study were the short follow-up time, small sample size and lack of control for important confounding factors.

Gomm et al. (2021) conducted a cohort study (N = 780,871) to study the relation between NDMA-contaminated Valsartan and the risk of cancer. Participants were individuals who had filled a prescription for Valsartan between 2012 and 2017 and data were obtained from a large German statutory health insurance provider. No association was found between exposure to NDMA-contaminated valsartan and overall risk of cancer (HR: 1.01; 95% CI: 0.99; 1.03) after controlling for sex and age. However, a statistically significant association was found between exposure to NDMA-contaminated Valsartan and hepatic cancer (HR 1.16; 95% CI: 1.03; 1.31) after controlling for sex, age, anti-inflammatory drugs, polypharmacy and comorbidities. Limitations of the study include the lack of control for important confounding factors such as smoking, diet and occupation.

Pottegård et al. (2018) conducted a cohort study to investigate the association between the use of contaminated Valsartan products and risk of cancer. The cohort included 5150 Danish patients using

valsartan from 2012 to 2017 aged 40 years or older. The National Prescription Registry of Denmark was used to identify subjects' exposure to NDMA contamination (single valsartan product and its manufacturer). The authors assumed a daily exposure to NDMA ranging between 0.14 and 0.31  $\mu\text{g}/\text{kg}$  bw per day. Cancer outcomes were obtained from the Danish Cancer Registry (updated in 2016) and from the Danish National Patient Registry to ascertain outcomes from 1 January 2017 to 30 June 2018. The number of cancer cases in the period of observation was 302. The lag time from exposure was 1 year. The median follow-up was 4.6 years (interquartile range 2.0–5.5 years). After controlling for confounding factors, no association was found between NDMA contaminated valsartan products and cancer (HR: 1.09, 95% CI: 0.85–1.41). When a lag time of 2 years was applied, risk estimates increased to 1.17; CI: 95% 0.88–1.55. Subgroup analysis by cancer type showed an increased risk, although not statistically significant, for colorectal (N = 51, HR: 1.46; 95% CI: 0.79–2.73), melanoma (N = 17, HR: 1.34; 95% CI: 0.46–3.85), uterine (N = 15, HR: 1.81; 95% CI: 0.55–5.90) and prostate cancer (N = 47, HR: 1.33; 95% CI: 0.68–2.62). The limitation of the study is the sample size, the follow-up time of the study that was probably not long enough for the outcomes to occur and the lack of control for other confounding factors such as smoking.

Gomm et al. (2021) conducted a cohort study (N = 780,871) to study the relation between NDMA-contaminated valsartan and the risk of cancer. Participants were individuals who had filled a prescription for valsartan between 2012 and 2017. The lag time from exposure was 3 years. Data were obtained from a large German statutory health insurance provider. No association was found between exposure to NDMA-contaminated valsartan and the overall risk of cancer after controlling for sex, age, polypharmacy (defined as prescription of five or more different drugs), prescription of low-dose acetylsalicylic acid (ASA), non-ASA non-steroidal anti-inflammatory drugs, 5 $\alpha$ -reductase inhibitors, statins, spironolactone, glucocorticoids for systemic use, selective serotonin reuptake inhibitors and hormone replacement therapy, the comorbidities diabetes, chronic obstructive pulmonary disease, congestive heart failure, alcohol-related diseases and the Charlson comorbidity index (score). A statistically significant association was found between exposure to NDMA-contaminated valsartan and hepatic cancer (HR 1.16; 95% CI: 1.03; 1.31). The limitation of the study is the lack of control for other potential confounding factors such as smoking.

## Appendix G – List of uncertainties<sup>27</sup>

**Table G.1:** Hazard identification and characterisation

Main group	Subgroup	Uncertainty assessment question	Sources of uncertainty in the opinion	Priority ranking of the Uncertainty <sup>28</sup>
Chemical composition and analytical methods	Chemical composition	Is there uncertainty associated with the dose in the critical studies used in the risk assessment?	NDEA as well as several <i>N</i> -NAs have been considered as volatile. However, the volatility is very low at the controlled conditions used in the critical study and the doses were controlled throughout the experiment. An indirect method was used to measure <i>N</i> -NAs in lower doses; however, the method was considered sound. Spillage of drinking water estimated around 5% may lead to some overestimation of the dose.	<b>2</b>
			Background <i>N</i> -NAs in the feed and drinking water may lead to underestimation of the dose. However, background <i>N</i> -NAs in the feed and drinking water in the critical study before addition of <i>N</i> -NAs were monitored.	<b>1</b>
	Analytical methods	Sufficiently tested methods are available.	<b>1</b>	
Hazard identification and characterisation	ADME	Is there uncertainty in any aspect of ADME?	Sufficient information was available for only a few <i>N</i> -NAs but could be extrapolated for others.	<b>1</b>
			No accumulation is expected based on the available studies	<b>0</b>
			Factors compromising liver function will decrease the bioactivation of <i>N</i> -NAs in liver and increase extrahepatic distribution.	<b>1</b>

<sup>27</sup> Relevant uncertainties are also discussed in the text of the draft opinion.

<sup>28</sup> With respect to the impact on the outcome of the hazard identification and characterisation and the exposure estimations.

		Extensive mechanistic studies were performed on the metabolism of N-NAs.	<b>1</b>
		Limited information was available regarding the relevance in humans, genetic background/susceptibility/sensitive populations, however it was mostly consistent with the animal studies.	<b>1</b>
<b>Toxicity studies in experimental animals: critical endpoints and critical study design</b>	<b>Are there sources of uncertainties in the design of the studies in experimental animals?</b>	Few and limited developmental and reproductive studies were available leading to uncertainty for more sensitive endpoints related to young animals. However, the doses used so far were higher than the ones tested in the critical study on carcinogenesis.	<b>2</b>
	<b>Are there uncertainties in the use of the animal model?</b>	The adverse effect considered was the carcinogenicity in liver. The data in humans for this target site are limited and therefore the uncertainty for the target site is high. However, overall the animal model is relevant for the adverse effect identified, i.e. cancer in humans	<b>1</b>
		Dose–response information on DNA adducts which would be the most sensitive effect to consider is limited. The formation of benign or malignant tumours was considered the most reliable effect.	<b>1</b>
<b>Genotoxicity</b>	<b>Is there uncertainty on the genotoxicity of the substance?</b>	For NDMA, NMEA, NDEA, NDPA, NDBA, NMOR, NPIP and NPYR, conclusive and consistent information is provided from in vivo and in vitro studies. The genotoxic potential of the remaining two (NMA and NSAR) is limited to <i>in vitro</i> assays and QSAR/read-across analysis	<b>1</b>
<b>Mixture group membership and interactions</b>	<b>Is there uncertainty on the extent and profile of effects due to co-exposure (e.g. metabolites, interaction of chemicals, combined effects)?</b>	Considering that the MoA for the carcinogenicity of N-NAs is similar and CYP isozymes can interact with more than one N-NA, additive interactions are to be expected.	<b>1</b>
<b>Mode of action</b>	<b>Are there uncertainties on the MoA of the substance that could affect the conclusions of the risk assessment?</b> <b>Is there uncertainty on the human relevance of the MoA identified in experimental animals?</b>	Although there are still UCs regarding the potency of some N-NAs as well as the target sites in humans, the MoA is consistent regarding the possible formation of tumours in humans.	<b>1</b>

	<b>Substances used and read across</b>	<b>Are there uncertainties in the selection of one or a group of chemicals to perform read across regarding the information supporting the proposed chemical and toxicological mechanisms and the potency of the hazard e.g. according to QSAR or BMD modelling and dose response analysis?</b>	NDEA, NDMA, NMEA and NMOR were found to have similar potencies. Based on the available data, it was not possible to confirm the potency for other N-NAs. Due to inconsistent/limited information, similar potencies were attributed to all N-NAs. Toxicological data were found for all N-NAs in food; however, for some only limited data were available. –	<b>1</b> <b>1</b>
	<b>Selection of reference point</b>	<b>What are the uncertainties in the use of NOAEL/LOAEL due to lack of appropriate BMDL?</b>	A reference point equal to the BMDL of NDEA was attributed to the N-NAs. That is a conservative approach leading to underestimation of the BMDL.	<b>3</b>
		<b>Are there uncertainties in the selection of the RP that are not covered by the BMD confidence interval e.g. is model uncertainty covered?</b>	Spillage of water during the dosing	<b>2</b>
	<b>Dose–response analysis of critical endpoints</b>	<b>Is there uncertainty regarding the dose–response analysis e.g. trend occurrence, large data variation, possible covariants?</b>	Raw data were not available; however, the endpoint of tumour detection is expected to be accurately reported	<b>1</b>
			The dose–response relationship was well defined	<b>1</b>

**Table G.2:** Occurrence and exposure

<b>Main group</b>	<b>Subgroup</b>	<b>Uncertainty assessment question</b>	<b>Sources of uncertainty in the opinion</b>	<b>Priority ranking of the Uncertainty<sup>28</sup></b> <b>0</b> – U with negligible priority <b>1</b> – U with low priority <b>2</b> – U with medium priority <b>3</b> – U with high priority

<b>Occurrence data</b>	<b>Analytical measurements</b>	Is there uncertainty due to the performance of the analytical method? This may include identification, sensitivity and recovery	This uncertainty is applicable to both occurrence and literature data used in the assessment and considered having a low impact on the assessment assuming standard quality methodologies are normally used in the laboratories (also when the information on analytical method is not available)	<b>1</b>
			This uncertainty is applicable to both occurrence and literature data used in the assessment. The high percentage of LC data leads to uncertainty in the assessment that was dealt with the substitution method. This uncertainty was considered having high priority and the need for a quantitative assessment.	<b>3</b>
			This uncertainty is applicable to both occurrence and literature data used in the assessment and considered having a low impact on the assessment due to the use of standard quality methodologies	<b>1</b>
			This uncertainty is applicable to both occurrence and literature data used in the assessment and considered having a low impact on the assessment due to the use of standard methodologies	<b>1</b>
	<b>Data reporting</b>	Is there uncertainty on whether there are errors in the reported occurrence data or linked to missing information?	This uncertainty is applicable to both occurrence and literature data used in the assessment. Errors cannot be excluded although outliers were carefully analysed and feedback from the data provider was sought when needed. This uncertainty is considered having a low impact on the assessment	<b>1</b>
			This uncertainty is applicable to both occurrence and literature data used in the assessment but considered having a low impact on the assessment	<b>1</b>
		Is there uncertainty in the information on sampling strategy	This uncertainty could be applicable to both occurrence and literature data used in the assessment but considered having a negligible impact on the assessment as likely most data were collected randomly.	<b>0</b>
		Is there uncertainty in the information on processing, e.g. processing prior to the analysis of the samples	The type of processing prior to the analysis of sample and relevant to this opinion was partially captured by the food category description (e.g. cured meat vs. fresh meat) thus it is expected that the occurrence data would capture its effects.	<b>1</b>
	Is there uncertainty in the form of the food reported (cooked/uncooked, powder/liquid/reconstituted etc.)	Uncertainty due to the impact of cooking is applicable to both occurrence and literature data used in the assessment and considered having a medium impact on the assessment in this opinion	<b>2</b>	



<b>Representativeness and completeness of the data</b>	Is there uncertainty in the occurrence data due to limited data availability	Detailed occurrence data were available for several food categories but for others extrapolation was needed thus some uncertainty was introduced considered to have a medium impact on the assessment	<b>2</b>
		This uncertainty is applicable, but it was dealt with by converting consumed composite dish containing ingredients related to food categories that are main contributors to the exposure into their relevant ingredient amount	<b>1</b>
		This uncertainty is applicable to both occurrence and literature data across all compounds and food categories used in the assessment and considered having a medium impact on the assessment in this opinion. In particular, the concentration in fresh mammal and bird meat for NDBA, NDEA, NDMA, NPIP and NPYR were derived from only 8 samples available in Kocak et al. (2012)	<b>2</b>
		This uncertainty is applicable to both occurrence (only 4 reporting countries) and literature data used in the assessment and considered having a medium impact on the assessment in this opinion	<b>2</b>
		Not applicable. Only more recent data (> 2000) were included in the assessment although this limited the availability data (aspect addressed in other uncertainty sections)	<b>0</b>
		Detailed occurrence data were available for several food categories but for others extrapolation was needed thus some uncertainty was introduced considered to have a medium impact on the assessment	<b>2</b>
		Not applicable as these variables were not taken into account in the opinion	<b>0</b>
	Is there uncertainty in the occurrence data due to lack of data for potentially relevant major food categories?	Data were available for only a limited number of food categories (for NDPA, NMA, NMEA and NSAR only for one food category) and it is not clear if lack of data is linked to the absence of the compounds in the food. This uncertainty was considered of high priority and bringing the need for a quantitative assessment	<b>3</b>
<b>Multiple chemicals and metabolites</b>	Is there uncertainty in the occurrence data due to that not <b>all relevant substances</b> are reported.	This uncertainty is applicable, but it was dealt by assuming all N-NAs co-occur in the same sample by summing the average occurrence of compounds (with and without potency factors) in all foods. The impact on a potential underestimation is negligible.	<b>0</b>
<b>Left censorship</b>	Is there uncertainty in the occurrence data due to extrapolation or use of models?	This uncertainty is applicable to both occurrence and literature data used in the assessment. The high percentage of LC data leads to uncertainty in the assessment that was dealt with the substitution	<b>3</b>

			method. This uncertainty was considered having high priority and bringing the need for a quantitative assessment.	
		Is there uncertainty in the occurrence data due to left censorship and the substitution method	This uncertainty is applicable to both occurrence and literature data used in the assessment. The high percentage of LC data leads to uncertainty in the assessment that was dealt with the substitution method. This uncertainty was considered having high priority and the need for a quantitative assessment.	<b>3</b>
<b>Consumption data</b>	<b>Data reporting</b>	Is there uncertainty in the consumption data due to errors e.g. in classification, body weight, age, memory errors, etc.?	This uncertainty is applicable but standard across all opinions and already documented in EFSA 2011 and considered to have a low priority	<b>1</b>
		Is there uncertainty in consumption data, e.g. due to methodology of the dietary survey, weekdays, national recipes? Is there uncertainty in the form of the food reported (powder/liquid/reconstituted etc.)		
	<b>Representativeness of the data</b>	Is there uncertainty in the representativeness of the consumption data (e.g. of the countries, special population groups, sample size and response rates).	This and other uncertainties related to the consumption database are standard across all opinions and already documented in EFSA 2011 and considered to have a low priority.	<b>1</b>
<b>Dietary Exposure estimates methodology</b>		Is there uncertainty linked to the methodology used for calculating the exposure?	This uncertainty is applicable but the method used is of acknowledged quality thus the uncertainty is considered to have a low priority.	<b>1</b>

No additional uncertainties were identified specific to the risk characterisation.

## **Annexes**

### **Annex A – Protocol for human risk assessment related to the presence of *N*-nitrosamines in food**

### **Annex B – BMD analyses**

### **Annex C – Occurrence data provided to EFSA and retrieved from the literature that have been used in the exposure assessment**

### **Annex D – Prediction of TD<sub>50</sub>s for NMAMPA and NMAMBA by Read Across with the OECD QSAR Toolbox v 4.4**

### **Annex E – Protocol for an Expert Knowledge Elicitation on the Uncertainty of the Risk Assessment of *N*-nitrosamines in food**

### **Annex F – Outcome of the public consultation**

Annexes A–F are available under the Supporting Information section on the online version of the scientific output.