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BICARBONATE-ENHANCED TRANSFORMATION OF PHENOL UPON IRRADIATION OF HEMATITE, NITRATE, AND NITRITE †

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Summary

Bicarbonate enhances the transformation of phenol upon irradiation of hematite, and phenol nitration upon irradiation of both nitrate and nitrite. Hematite under irradiation is able to oxidise the carbonate ion to the $\text{CO}_3^{\cdot-}$ radical, which in turn oxidises phenol to the phenoxyl radical faster compared to the direct photo-oxidation of phenol by hematite. The formation of $\text{CO}_3^{\cdot-}$ from hematite and carbonate under irradiation is supported by the detection of 3,3'-dityrosine from tyrosine, added as a probe for $\text{CO}_3^{\cdot-}$. It is shown that Fe(III) might be an important photochemical source of $\text{CO}_3^{\cdot-}$ in Fe-rich waters, e.g. waters that contain more than 1 mg L^{-1} Fe. The enhancement by bicarbonate of phenol nitration upon nitrate irradiation is probably accounted for by an increased photogeneration rate of nitrogen dioxide. The process could lead to enhanced phenol photonitration by nitrate in waters rich of inorganic carbon ($> 10 \text{ mM}$ bicarbonate). Bicarbonate also increases the transformation and nitration rates of phenol upon nitrite photolysis. The effect is due to the combination of basification that enhances phenol nitrosation and nitration, and of peculiar bicarbonate chemistry. It is shown that bicarbonate-enhanced phenol nitration upon nitrite photolysis could be a significant

photonitration pathway, leading to the generation of toxic nitrated compounds in natural waters in which the scavenging of hydroxyl radicals by nitrite is competitive with that of Dissolved Organic Matter (DOM).

† Electronic Supplementary Information (ESI) available. It is referred to in the text.

Introduction

Photochemical processes in surface waters are important pathways for the transformation of dissolved compounds, including biorefractory pollutants^{1,2} and natural organic matter.³⁻⁵ They consist of direct photolysis of sunlight-absorbing molecules,⁶⁻⁸ transformation photosensitised by dissolved organic matter (DOM),⁹⁻¹¹ and reaction with radical species that are produced by photochemical processes, such as $\bullet\text{OH}$, $\text{CO}_3^{\bullet-}$, $\text{Cl}_2^{\bullet-}$, and $\bullet\text{NO}_2$.¹²⁻¹⁷

Bicarbonate is the most abundant anion in freshwater. Its key role in acid-base equilibria has long been acknowledged, and more recent research has shown that it also interacts with surface-water photochemical processes. Bicarbonate and carbonate can scavenge reactive radical species such as $\bullet\text{OH}$,¹⁸ producing the radical $\text{CO}_3^{\bullet-}$ that is involved in the degradation of electron-rich aromatics and of sulphur-containing compounds.^{13,14,19} Actually $\text{CO}_3^{\bullet-}$ is less reactive than $\bullet\text{OH}$ and other transients toward degradation processes,^{20,21} but its reactions with the radical scavengers that naturally occur in surface waters are also slower. The carbonate radical can therefore reach a higher steady-state concentration than $\bullet\text{OH}$ in the aquatic systems.¹⁹ Addition of bicarbonate has been shown to slightly inhibit the photodegradation of a series of xenobiotic compounds (atrazine, fluometuron, hexazinone, bensulfuron methyl, ciprofloxacin, clofibric acid¹⁰), to have no effect on that of the insecticide fipronil,⁹ and to significantly enhance the photoinduced transformation of dimethyl sulphide upon nitrate photolysis.²²

The radical $\text{CO}_3^{\bullet-}$ could reach a higher steady-state concentration in surface waters compared to other reactive transients, not only because of slower consumption, but also due to further sources in addition to $\bullet\text{OH} + \text{HCO}_3^-/\text{CO}_3^{2-}$. The oxidation of carbonate by the excited triplet states of DOM could contribute some 10% to the overall generation rate of $\text{CO}_3^{\bullet-}$.¹⁹ To our knowledge, no data were available on the possible production of $\text{CO}_3^{\bullet-}$ from carbonate/bicarbonate and the Fe(III) (hydr)oxides under irradiation. It has been demonstrated that inorganic anions such as nitrite²³ and chloride¹⁶ can enhance the degradation of organic substrates (phenol, carbamazepine) in the presence of irradiated Fe(III) oxide colloids. Substrate degradation upon irradiation of the oxide colloid alone could take place by charge transfer or via the $\bullet\text{OH}$ radicals produced by photolysis of

the surface Fe^{III}-OH groups of the oxide. However the efficiency of •OH photoproduction by Fe(III) oxides is rather low,²⁴ almost negligible compared to the photoactive complex FeOH²⁺.²⁵ Furthermore, charge-transfer reactions can be kinetically hampered with many organic compounds.²⁴ In contrast the one-electron oxidation of nitrite to •NO₂ and of chloride to Cl₂^{•-} by the irradiated oxides would be much faster, and the radicals thus formed could react with the organic substrates and considerably enhance their degradation.^{16,23} Bicarbonate and carbonate as major anions in freshwater could be involved in similar reactions. For this reason the effect of NaHCO₃ on the kinetics of phenol degradation by irradiated hematite (α-Fe₂O₃) was studied in the present work. Hematite was adopted as a model of the Fe(III) (hydr)oxide colloids present in natural waters, which are photochemically active upon absorption of sunlight.²⁶

Additionally, to our knowledge there is very limited information concerning the effect of bicarbonate on the photochemical production of harmful transformation intermediates from a given substrate. Nitrophenols from phenol nitration are an example of this kind of intermediates, and they can be formed in surface waters under irradiation.¹³ For this reason, the present work considers the effect of bicarbonate on the photogeneration of toxic nitrated phenols from phenol upon UV irradiation of nitrate and nitrite.

Experimental Section

Reagents and Materials. Phenol (purity grade >98%), 2-nitrophenol (2-NP, 98%), 4-nitrophenol (4-NP, >99%), 4-nitrosophenol (4-NOP, 60%), tyrosine (99%), N,N-dimethyl-1-naphthylamine (99%), HCl (37%), NaH₂PO₄ × H₂O (>98%) and NaHCO₃ (99.7%) were purchased from Aldrich; horseradish peroxidase from Sigma; NaNO₂ (>99%), NaOH (99%), Na₂HPO₄ × 2 H₂O (99.5%), and acetonitrile (Lichrosolv gradient grad) from VWR Int.; sulphanilic acid (>99%), 2,2'-dihydroxybiphenyl (>98%), 4,4'-dihydroxybiphenyl (>98%), and 4-phenoxyphenol (>95%) from Fluka. These reagents were used as received without further purification. The synthesis of α-Fe₂O₃ was carried out following the procedure of Leland and Bard.²⁷ The radius distribution of the primary hematite particles was measured, after sonication of the suspension for 1 h, with an ALV-NIBS “High Performance Particle Sizer” Laser Light Scattering apparatus, connected to a ALV-5000/EPP Multiple Tau Digital Correlator. Particle radii were centred at around 170 nm, and the radius distribution plot is shown in ESI†, Figure S1.

Irradiation Experiments. Irradiation was carried out in cylindrical Pyrex glass cells (4.0 cm diameter, 2.3 cm height), containing 5 mL of aqueous solution or suspension. The optical path

length inside the cells was 0.4 cm. The solutions and the suspensions were magnetically stirred during irradiation. The stirring rate was limited not to perturb the surface layer via vortex formation. Anyway, it was sufficient to keep the suspension stable for the adopted irradiation time.

Three different lamps were used for the irradiation, depending on the set of experiments. Hematite was irradiated under blue light using a 40 W Philips TL K03 lamp with emission maximum at 435 nm. Total photon flux in the cells was 2.2×10^{-7} einstein s^{-1} , actinometrically measured with the ferrioxalate method.²⁸ Such a photon flux is around 1.5 times higher than the flux of sunlight at mid-latitude during summertime at noon, in the relevant wavelength interval of 400-450 nm.²⁹

Selective excitation of nitrate was obtained under a 100 W Philips TL 01 lamp with emission maximum at 313 nm. The photon flux in the cells measured by actinometry was 1.8×10^{-8} einstein s^{-1} . Irradiance in the 290-400 nm wavelength interval was 5.6 W m^{-2} , measured with a CO.FO.ME.GRA. (Milan, Italy) power meter.

Selective excitation of nitrite was carried out with an array of three 40 W Philips TL K05 lamps, with emission maximum at 365 nm. Photon flux in the cells was 1.2×10^{-7} einstein s^{-1} , and irradiance between 290 and 400 nm was 32 W m^{-2} . The emission spectra of the lamps and the absorption spectra of hematite, nitrate and nitrite are reported in ESI†, Figures S2-S4. Emission spectra were measured with an Ocean Optics SD2000 CCD spectrophotometer, absorption spectra with a Varian Cary 100 Scan UV-Vis spectrophotometer.

Some runs were carried out under N_2 atmosphere, obtained by purging the cells for 20 min prior to irradiation with a gentle flow of high-purity nitrogen (99.995%, purchased from SIAD, Bergamo, Italy). After irradiation the solutions were directly analysed, while the suspensions were first filtered through 0.45 μm Millipore Millex- LCR hydrophilic PTFE filter membranes. Solution pH was measured with a Metrohm 713 pH meter.

HPLC-DAD determinations. Sample analysis after irradiation was carried out with a VWR-Hitachi Elite liquid chromatograph coupled to a photodiode-array detector (HPLC-DAD). The instrument was equipped with L-2200 autosampler, L-2130 pump for low-pressure gradients, L-2300 column oven, Merck Hibar RT 250-4 column (25 cm length, 0.4 cm diameter) packed with LiChrospher 100 CH-18/2 (10 μm particle diameter), and L-2455 Diode Array Detector. Sample volume was set at 60 μL , column temperature at 40°C, and isocratic elution was carried out at 1.0 mL min^{-1} flow rate with a 40:60 mixture of acetonitrile: aqueous H_3PO_4 (pH 2.8). Under these conditions the retention times were (min): phenol (4.59), 2-nitrophenol (8.75), and 4-nitrophenol (5.23). The DAD detector was set to acquire signal in the 200-300 nm interval, and 210 nm was used

as the wavelength for quantification. For qualitative identification, the absorption spectra of the peaks corresponding to the two nitrophenols were compared with those of authentic standards.

Some additional runs were carried out in the presence of nitrobenzene and nitrite. Nitrobenzene degradation was HPLC monitored by 1.0 mL min⁻¹ elution with a 55:45 mixture of acetonitrile: aqueous H₃PO₄ (pH 2.8). The retention time under these conditions was 5.93 min and the detection wavelength was 264 nm.

HPLC-MS determinations. Whenever possible, the identification of phenol by-products in different irradiation experiments was carried out by comparing their full-scan MS spectra and their retention time with those of authentic standards. Negative atmospheric pressure chemical ionisation ((-)-APCI) and positive electrospray ((+)-ESP) mass spectrometry were performed on an Esquire 6000 ion trap system (Bruker, Bremen, Germany). By-product mass spectra were mainly characterised by their pseudo-molecular ions [M+H]⁺ or [M-H]⁻ as base peak in positive and negative ionisation mode, respectively. The analytical separation was carried out with a Superspher 100 C-18 column (250 × 4 mm i.d., 5 μm) from Merck at a flow rate of 0.2 mL min⁻¹. The mobile phase consisted of a binary mixture of solvents A (methanol) and B (water). The gradient was operated from 25% to 100% A for 25 min and then back to initial conditions in 5 min.

Nitrosophenols were separated using a 100 × 2.1 mm i.d, 5 μm PGC Hypercarb column from Hypersil (Shandon, UK). Elution was carried out with Methanol/ Acetonitrile/ 0.2 M formic acid (40/40/20 v:v) in the isocratic mode. In these conditions the retention times were 6.2 and 7.7 min for 4- and 2-nitrosophenol, respectively. MS detection was carried out as for the already-described analyses with the C-18 column, adopting (-)-APCI ionisation. The quantification of 4-nitrosophenol was carried out by comparison with an authentic standard. 2-nitrosophenol was quantified under the hypothesis that its MS response was the same as for the 4- isomer.

Synthesis and determination of 3,3'-dityrosine. 3,3'-Dityrosine was synthesised by incubating 0.5 mM L-tyrosine, 0.2 mg/mL (4.5 μM) horseradish peroxidase, and 500 μM H₂O₂ in 50 mM phosphate buffer (pH 7.4) for 20 min at 25°C. The resulting mixture was centrifuged to remove the enzyme.³⁰ The identification of tyrosine and 3,3'-dityrosine after the irradiation experiments was carried out using (+)-ESP mass spectrometry. The analytical separation was carried out with a MetaChem C-18 column (150 × 2 mm i.d., 3 μm) from Varian at a flow rate of 0.2 mL min⁻¹. The mobile phase consisted of a binary mixture of solvents A (methanol) and B (0.1% formic acid in H₂O). The gradient was operated from 0% to 50% A in 30 min and then back to the initial conditions in 5 min.

Results and discussion

Irradiation of hematite with phenol. Blue light was adopted for the irradiation of hematite because it is absorbed by the oxide,²⁷ and shows higher penetration in the aquatic systems compared to the UV.³¹ The initial degradation rates of 25 μM phenol, in the presence of 450 mg L^{-1} hematite ($\alpha\text{-Fe}_2\text{O}_3$) and/or of 0.010 M NaHCO_3 , upon irradiation under blue light ($\lambda_{\text{max}} = 435 \text{ nm}$) are reported in Table 1, entries no. 1-3 (also see ESI†, Figure S5, for the relevant time evolutions). In aerated solution the degradation rate of phenol was higher by at least one order of magnitude with hematite + bicarbonate than in the presence of the separate components. Moreover, the removal of dissolved oxygen under a gentle N_2 flow decreased the degradation rate of phenol by around one order of magnitude (see Table 1, entry no. 4, and ESI†, Figure S5).

The addition of 0.01 M bicarbonate increased the pH of the phenol/hematite system from 6.0 to 8.4. Because of the acid-base equilibrium between phenol and the phenolate anion ($\text{pK}_a \cong 10$ ³²), the ratio phenolate/phenol would pass from 1.0×10^{-4} at pH 6.0 to 0.025 at pH 8.4. Phenolate undergoes much faster degradation than phenol in the presence of irradiated hematite (see Table 1, entries 1 and 5), and the possible effect of pH was checked by addition of a phosphate buffer ($\text{NaH}_2\text{PO}_4 + \text{Na}_2\text{HPO}_4$, pH 8.4, total concentration 0.01 M). Phenol degradation rate with irradiated hematite and phosphate at pH 8.4 was at least 20 times lower than for hematite + NaHCO_3 at the same pH (Table 1, entries 3, 3' and 8). Phosphate is unlikely to influence significantly the photochemical behaviour of hematite because the photodegradation rate of phenol with phosphate buffer and hematite at pH 5.7 was comparable to that with hematite alone at pH 6.0 (Table 1, entries 1 and 6). These findings suggest that the enhancement of phenol photodegradation by bicarbonate cannot be accounted for by a mere basification of the solution.

Absorption of blue light by hematite (band gap around 2 eV) promotes electrons from the valence to the conduction band, leaving holes in the valence band.³³ The valence-band holes of irradiated hematite are rather strong oxidants at neutral pH, with $E_{\text{h}^+} = 2.3 \text{ V}$ at pH 7,³⁴ and would be able to oxidise the carbonate anion to the carbonate radical ($E^0(\text{CO}_3^{\bullet-}/\text{CO}_3^{2-}) = 1.59 \text{ V}$ ³⁵). The carbonate anion, which would be around 0.1 mM under the adopted experimental conditions based on the reported acidity constants,³² is known to form complexes on the hematite surface³⁶ where it could undergo one-electron oxidation. Furthermore, the oxidation of the carbonate anion to $\text{CO}_3^{\bullet-}$ has been described in the presence of TiO_2 under irradiation.³⁷

The radical $\text{CO}_3^{\bullet-}$ is able to react at a significant rate with phenol (rate constant around $10^7 \text{ M}^{-1} \text{ s}^{-1}$,²¹), and the enhancement of phenol degradation by NaHCO_3 could occur if the process of oxidation of CO_3^{2-} to $\text{CO}_3^{\bullet-}$ and subsequent reaction with phenol were faster than the direct phenol transformation by irradiated $\alpha\text{-Fe}_2\text{O}_3$. The latter reaction is rather slow (Table 1, entry no. 1),

possibly because the monoelectronic oxidation of phenol is kinetically hampered as it requires a concerted proton-electron transfer to yield the phenoxyl radical.³⁷

The oxidation of carbonate by the valence-band holes of α -Fe₂O₃ needs a parallel scavenging of conduction-band electrons. Electron scavenging could be carried out by surface-adsorbed oxygen species and/or by Fe(III) surface groups. The much higher degradation rate of phenol in aerated solution compared to the N₂ atmosphere (Table 1, entries 3, 3', 4) suggests that oxygen species would play a key role in the enhancement of phenol degradation in the presence of bicarbonate, probably by scavenging of conduction-band electrons.

The identification of the transformation intermediates of phenol by HPLC-MS in the presence of hematite + bicarbonate yielded three peaks with m/z 186 in APCI negative ionisation, and a peak with m/z 185 in ESI positive ionisation (also see ESI†, Figures S6, S7). The peaks with m/z 185 could be attributed to deprotonated dihydroxybiphenyls and phenoxyphenols (MW 186 when undissociated). Injection of the available commercial standards indicated that 2-2'-dihydroxybiphenyl and 4-phenoxyphenol could possibly be present in the system. The ESI peak with m/z 185 could be a protonated biphenylquinone (MW 184), most likely arising on oxidative dehydrogenation of the corresponding dihydroxybiphenyl. Dihydroxybiphenyls and phenoxyphenols are typical products of the reaction between phenol (C₆H₅-OH) and the phenoxyl radical C₆H₅-O[•],³⁸ and they are therefore compatible with a primary oxidation step of C₆H₅-OH to yield C₆H₅-O[•]. This is the expected reaction in the presence of the radical CO₃^{-•}, which can abstract an H atom from phenol to produce phenoxyl and bicarbonate:²¹



Additional evidence in favour of the oxidation of carbonate to CO₃^{-•} by irradiated hematite was obtained by use of tyrosine as a probe molecule for CO₃^{-•}. It has been shown that tyrosine selectively yields 3,3'-dityrosine upon reaction with the carbonate radical, and the use of tyrosine as a probe is particularly useful because the carbonate radical is detected with difficulty by ESR spectroscopy.³⁹ Formation of 3,3'-dityrosine was observed upon irradiation of α -Fe₂O₃ + NaHCO₃ with tyrosine (see Figure 1A). In contrast, the irradiation of α -Fe₂O₃ + tyrosine without bicarbonate yielded dihydroxyphenylalanine (DOPA) as a major intermediate, and no 3,3'-dityrosine was detected (see Figure 1B).

It is possible to approximately assess the environmental significance of hematite as a source of CO₃^{-•} under the following hypotheses: (i) the reactivity of hematite is representative of that of Fe species in surface waters (at least among the Fe(III) (hydr)oxides it does not show a peculiarly high photoactivity²⁷); and (ii) the enhancement by bicarbonate of the degradation rate of phenol in the

presence of hematite under irradiation is equal to the generation rate of $\text{CO}_3^{\bullet-}$ by hematite. The rate enhancement by bicarbonate is around $1.4 \times 10^{-9} \text{ M s}^{-1}$ (see Table 1, entries 3,3',8). The used radiation source, emitting $2.2 \times 10^{-7} \text{ einstein s}^{-1}$ over 12.6 cm^2 was about 1.5 times more intense than summertime sunlight at noon in the 400–450 nm wavelength interval.⁴⁰ The experimental pH value of 8.4 is reasonable for surface waters. The adopted hematite had an absorption coefficient of $9 \times 10^{-3} \text{ L mg}^{-1} \text{ cm}^{-1}$ at the lamp emission maximum (435 nm; see ESI†, Figure S2). At 450 mg L^{-1} loading (corresponding to $315 \text{ mg L}^{-1} \text{ Fe}$) and 0.4 cm optical path length, hematite would absorb around 98% of the incident radiation. A 200-time dilution to $1.5 \text{ mg L}^{-1} \text{ Fe}$ would give 2% as the corresponding fraction of radiation absorption. A concentration of $1.5 \text{ mg L}^{-1} \text{ Fe}$ is reasonable for Fe-rich water systems,⁴¹ and is for instance typical of the Rhône river (southern France) before the delta.¹⁶ By scaling for lamp intensity and hematite absorption one would obtain $2 \times 10^{-11} \text{ M s}^{-1}$ as the generation rate of $\text{CO}_3^{\bullet-}$ in a surface water layer containing $1.5 \text{ mg L}^{-1} \text{ Fe}$, under summertime noon conditions.

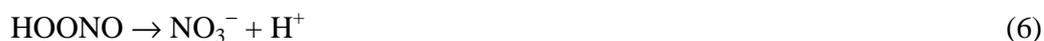
It is possible to make a comparison with the rate of $\text{CO}_3^{\bullet-}$ generation upon oxidation of $\text{CO}_3^{2-}/\text{HCO}_3^-$ by $\bullet\text{OH}$, a major process for $\text{CO}_3^{\bullet-}$ production in surface waters.^{14,19,22} The experimental data of the present work have been obtained with $[\text{CO}_3^{2-}] \approx 10^{-4} \text{ M}$. Table 2 reports the water composition data ($[\text{HCO}_3^-]$, $[\text{CO}_3^{2-}]$, steady-state $[\bullet\text{OH}]$) under mid-latitude summertime irradiation conditions, namely 22 W m^{-2} sunlight irradiance in the UV) of a number of surface-water samples with carbonate concentration near 0.1 mM.^{13,17,42} The use of $[\bullet\text{OH}]$ allows the consideration of the consumption of the hydroxyl radicals by the natural scavengers, first of all DOM. For the relevant samples the rate of $\text{CO}_3^{\bullet-}$ generation upon oxidation of bicarbonate and carbonate by $\bullet\text{OH}$ was calculated as $R_{\text{CO}_3^{\bullet-}} = \{ [\bullet\text{OH}] (k_{\bullet\text{OH},\text{HCO}_3^-} [\text{HCO}_3^-] + k_{\bullet\text{OH},\text{CO}_3^{2-}} [\text{CO}_3^{2-}]) \}$, with $k_{\bullet\text{OH},\text{HCO}_3^-} = 8.5 \times 10^6 \text{ M}^{-1} \text{ s}^{-1}$ and $k_{\bullet\text{OH},\text{CO}_3^{2-}} = 3.9 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$.²⁰ The resulting values of $R_{\text{CO}_3^{\bullet-}}$ are reported in Table 2 and are in the range of $0.6\text{--}4.1 \times 10^{-11} \text{ M s}^{-1}$, comparable to the $2 \times 10^{-11} \text{ M s}^{-1}$ rate obtained for hematite. Furthermore, 10^{-4} M carbonate would be present in the Greifensee lake (Switzerland) at pH 9 (upper limit for pH during summer¹⁹), with $2 \times 10^{-16} \text{ M } \bullet\text{OH}$ in the surface layer,⁴³ and $1 \times 10^{-11} \text{ M s}^{-1}$ as the corresponding $\text{CO}_3^{\bullet-}$ generation rate.^{19,43} Accordingly if hematite is representative of the photoreactivity of Fe species toward carbonate, in water bodies where total Fe exceeds 1 mg L^{-1} the iron-induced photooxidation of carbonate could be an important source of $\text{CO}_3^{\bullet-}$, comparable to the oxidation of $\text{CO}_3^{2-}/\text{HCO}_3^-$ by $\bullet\text{OH}$.

Irradiation of nitrate with phenol. Figure 2 shows the initial degradation rate of $25 \mu\text{M}$ phenol (2A) and the initial formation rates of 2- and 4-nitrophenol (2B) upon UVB irradiation of 10 mM NaNO_3 , as a function of C_{NaHCO_3} . Phenol degradation rate decreased with increasing bicarbonate up to a minimum around 5 mM NaHCO_3 . Due to the subsequent increase, at 16 mM NaHCO_3 the

degradation rate of phenol was similar to that observed in the absence of bicarbonate. The initial formation rate of nitrophenols underwent little change up to 5 mM bicarbonate, then it started increasing.

The addition of NaHCO₃ to phenol and NaNO₃ increased the pH from around 6 to 8.5, but very limited pH variation could be observed above 3-5 mM bicarbonate (see ESI†, Figure S8). Indeed, pH was almost constant in the C_{NaHCO₃} range where the enhancement effect of bicarbonate was operational. To assess the effect of pH, irradiation was also carried out in the presence of phosphate buffers with C_{NaH₂PO₄}+C_{Na₂HPO₄}=C_{NaHCO₃}, and pH within 0.1 units of that of the corresponding solution of NaHCO₃. Figure 2A shows that the rate of phenol degradation decreased monotonically with increasing phosphate (and pH). The trend of nitrophenols with phosphate (Figure 2B) is less straightforward, but in all the cases the addition of the phosphate buffer decreased the rate of phenol nitration. All the data therefore suggest that the enhancement effect of bicarbonate above 5 mM concentration is not connected with pH.

The transformation of phenol upon nitrate photolysis is most likely due to reaction with •OH, photoproducted in reaction 2.⁴⁴ The phosphate buffer is not able to alter significantly the steady-state [•OH] in the system: from the literature rate constants²⁰ one obtains that the percentage of •OH scavenging by phosphate would be <1%. The decrease of •OH photoproduction with increasing pH could be the consequence of the photoisomerisation of nitrate to peroxyxynitrous acid/peroxyxynitrite (pK_a ≈ 7).⁴⁵⁻⁴⁷ The acid HOONO can quickly be decomposed into the reactive species •OH and •NO₂. Peroxyxynitrite, formed under basic conditions, is more stable and would not produce •OH upon decomposition.⁴⁸⁻⁵² As a consequence, the rate of •OH generation would decrease with increasing pH.



A comparison of the rates of phenol photodegradation by nitrate in the presence of phosphate and of bicarbonate (Figure 2A) shows that the initial decrease is slightly more marked with NaHCO₃, possibly because of the significant scavenging of •OH by HCO₃⁻ and CO₃²⁻. In fact, based on the literature reaction rate²⁰ and acidity³² constants, in the presence of 5 mM NaHCO₃ 10% of •OH would react with bicarbonate, 7% with carbonate, and the remaining 83% with phenol. Despite all

the inhibitory effects carried out by bicarbonate, above 5 mM NaHCO₃ there was an enhancement of both phenol transformation and nitrophenol formation.

In a previous study it has been reported that bicarbonate enhances the photodegradation of dimethyl sulphide upon nitrate photolysis.²² A possible explanation is that an •OH scavenger could increase the quantum yield of reaction 2, by consuming the hydroxyl radical when it is still inside the solvent cage. In this way the solvent-cage recombination between •OH and •NO₂ would be inhibited, which would favour the diffusion of the oxidised scavenger (CO₃^{•-} in the present case) and of •NO₂ out of the cage. Due to the inhibition of in-cage recombination, the overall generation rate of •OH+CO₃^{•-}+•NO₂ in the presence of NaHCO₃ could be significantly higher than that of •OH+•NO₂ without NaHCO₃, which could enhance the degradation and the nitration of phenol. This hypothesis implies that bicarbonate and carbonate would be able to effectively scavenge •OH in the solvent cage only for C_{NaHCO₃} > 5 mM, below which they would only scavenge •OH in the solution bulk. Also note that bicarbonate concentration values above 5 mM are not uncommon in natural waters (see ESI†, Figure S9).

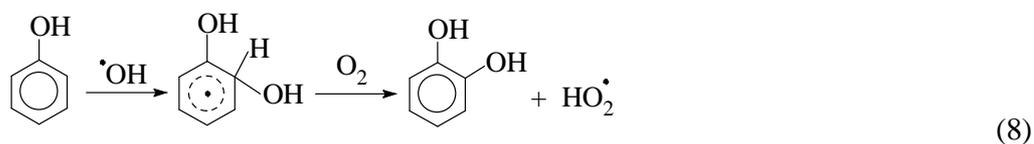
An alternative explanation for the bicarbonate effect is the reaction between ONOO⁻, formed upon nitrate photoisomerisation (reaction 3), and CO₂ to yield •NO₂ and CO₃^{•-}.⁵³⁻⁵⁷ Nitrogen dioxide and the carbonate radical would be able to enhance phenol nitration and total transformation, respectively, at elevated C_{NaHCO₃}.

The two described processes could well operate together. The minimum of phenol degradation rate as a function of C_{NaHCO₃} would thus be a combination of two opposite trends: the decrease of •OH photoproduction by nitrate in neutral to basic pH, coupled with the scavenging of •OH by bicarbonate, and the strong enhancement of the generation rate of CO₃^{•-} at elevated bicarbonate. The parallel enhancement of the generation rate of •NO₂ under the same conditions would also account for the significant increase of phenol photonitration above 5 mM NaHCO₃.

Irradiation of nitrite with phenol. Figure 3 reports the transformation rate of 25 μM phenol (3A) and the formation rate of nitrophenols (3B) as a function of the bicarbonate concentration, upon UVA irradiation of 10 mM NaNO₂. A 27-time increase of phenol degradation rate could be observed between 0 and 3 mM NaHCO₃, and in the same interval the formation rate of nitrophenols was increased by a factor of 9. Irradiation experiments in the presence of phosphate buffers with the same concentration of bicarbonate and the same pH (within ±0.1 units) were also carried out and are reported as well. The pH increase upon addition of phosphate increased the rates of phenol transformation and of nitrophenol formation at a considerable extent, but a bit less compared to bicarbonate, particularly in the case of phenol.

The increased transformation rate of phenol upon addition of the phosphate buffer is partially accounted for by increased nitration, which reached 35% yield with 2 mM phosphate. Formation of nitrosophenols was also very significant under these conditions and comparable to that of nitrophenols (see ESI†, Figure S10). It has been shown that phenol nitrosation upon nitrite photolysis is favoured under basic conditions because of the efficient reaction of $\bullet\text{NO}_2 + \bullet\text{NO}$ with phenolate. Nitrosophenol oxidation could also contribute to the nitrophenol buildup.⁵⁸ In contrast, very small variation of the $\bullet\text{OH}$ yield upon nitrite photolysis (reaction 7) could be observed under basic conditions. This is shown by the trend of the degradation of nitrobenzene, a known selective probe molecule for $\bullet\text{OH}$,⁴³ upon addition of phosphate (ESI†, Figure S11).

Up to around 4-5 mM bicarbonate, both the degradation of phenol and the formation of nitrophenols were faster compared to the phosphate buffer (Figure 3). The difference could be caused by the reaction between $\bullet\text{NO}$ and $\text{O}_2\text{-}\bullet$ to give ONOO^- ,⁵⁹ which could yield $\bullet\text{NO}_2$ and $\text{CO}_3\text{-}\bullet$ in the presence of bicarbonate,⁵³⁻⁵⁷ enhancing the overall transformation and the nitration of phenol. The radical $\bullet\text{NO}$ is produced by nitrite photolysis, and $\text{HO}_2\text{-}\bullet/\text{O}_2\text{-}\bullet$ ($\text{pK}_a = 4.8$ ⁴⁴) can be formed in substantial amount in the presence of aromatic compounds, $\bullet\text{OH}$ radicals, and dissolved oxygen. Catechol (reaction 8) was identified by HPLC-MS among phenol transformation intermediates in the studied system (see ESI†, Figure S12).



The decrease of phenol transformation and of nitrophenol formation rates at elevated bicarbonate could be accounted for by the depletion of reactive species in the reactions of $\text{O}_2\text{-}\bullet$ and $\bullet\text{NO}_2$ with $\text{CO}_3\text{-}\bullet$.²¹



A comparison with the nitrate system suggests that reaction 11 would play a more important role than reaction 12. The latter would take place as well upon nitrate irradiation, but no inhibition of phenol photonitration by nitrate was observed at high $\text{C}_{\text{NaHCO}_3}$ (Figure 2B). Carbonate and

bicarbonate might also be able to induce a solvent-cage effect on the photolysis of nitrite, but in such a case an enhancement of phenol phototransformation and photonitration would be expected at high C_{NaHCO_3} , which is not the case. Note that reaction 11 would not affect the formation of ONOO^- from nitrate significantly, because the process takes place via photoisomerisation (reaction 5) and not through reaction 10.

The two effects of bicarbonate on phenol transformation upon nitrite photolysis, pH variation and peculiar chemistry, could hardly be separated in surface waters. Furthermore, the pH trend as a function of the bicarbonate concentration is very similar in the adopted experimental system and in natural waters (see ESI†, Figures S8 and S9), which would enable a comparison between the present experimental results and the available field data on natural ecosystems. The assessment of the environmental importance of the bicarbonate-induced process in comparison with other known pathways of aromatic photonitration requires a description of the rate of phenol nitration as a function of phenol, nitrate and bicarbonate.

Unfortunately the complexity of the bicarbonate effect (pH variation, possible contribution of nitrosophenol oxidation, involvement of transformation intermediates in the generation of $\text{O}_2^{\bullet-}$, peroxyxynitrite chemistry) prevents the elaboration of a complete kinetic model, with soluble differential equations, which takes into account all the relevant phenomena. However, it is possible to adopt an empirical approach in which the two effects of bicarbonate (pH variation, formation of peroxyxynitrite) are both considered. In this way, the numerical fitting of the experimental data with reasonable functions would give lumped parameters that depend on the rate constants of the processes involved. First of all, at the environmental concentration levels of phenol and nitrite the formation rate of nitrophenols is linearly proportional to both $[\text{Phenol}]$ and the photon flux absorbed by nitrite, $I_{\text{abs}}^{\text{NO}_2^-}$ (see ESI†, Figures S13-15, and related discussion). The effect of bicarbonate, as far as its mere impact on pH is considered, can be obtained from the phosphate buffer data, because the total concentration of salt (phosphate or bicarbonate) and the pH values were adjusted so as to be the same in the two cases. The pH-dependent effect shows a saturative behaviour with the concentration of salt, similar to the trend of pH vs. the concentration of bicarbonate or phosphate (see ESI†, Figure S8). Upon fitting of the phosphate data (Figure 3B) and from the results reported in ESI†, Figures S13, S15, one can derive the following empirical equation for the formation rate of nitrophenols at environmental (i.e. low) concentrations of phenol and nitrite:

$$\left(\frac{d[2-\text{NP}]}{dt}\right)_{\text{pH}} = 47.5 \cdot \frac{[\text{Phenol}] \cdot I_{\text{abs}}^{\text{NO}_2^-} \cdot [\text{HCO}_3^-]}{[\text{HCO}_3^-] + 0.000385} \quad (13)$$

$$\left(\frac{d[4-\text{NP}]}{dt}\right)_{\text{pH}} = 31.2 \cdot \frac{[\text{Phenol}] \cdot I_{\text{abs}}^{\text{NO}_2^-} \cdot [\text{HCO}_3^-]}{[\text{HCO}_3^-] + 0.000344} \quad (14)$$

Note that all concentrations are in molarity, and that $I_{\text{abs}}^{\text{NO}_2^-}$ is the photon flux absorbed by nitrite, expressed in [einstein L⁻¹ s⁻¹]. $I_{\text{abs}}^{\text{NO}_2^-}$ was chosen in the model instead of [NO₂⁻] because the use of $I_{\text{abs}}^{\text{NO}_2^-}$ can be extended to a wider range of irradiation conditions. Other details are reported as ESI†.

The overall effect of bicarbonate (which would depend on both pH and ONOO⁻) can be obtained by combining the functional forms derived from the fitting of the bicarbonate data of Figure 3B, with the results reported in ESI† (Figures S13,S15). The formation rate of nitrophenols can be expressed as follows:

$$\frac{d[2\text{-NP}]}{dt} = 0.87 \cdot \frac{[\text{Phenol}] \cdot I_{\text{abs}}^{\text{NO}_2^-} \cdot [\text{HCO}_3^-]}{([\text{HCO}_3^-] + 0.014) \cdot ([\text{HCO}_3^-] + 0.000166)} \quad (15)$$

$$\frac{d[4\text{-NP}]}{dt} = 0.31 \cdot \frac{[\text{Phenol}] \cdot I_{\text{abs}}^{\text{NO}_2^-} \cdot [\text{HCO}_3^-]}{([\text{HCO}_3^-] + 0.0079) \cdot ([\text{HCO}_3^-] + 0.000139)} \quad (16)$$

Note that for 1 mM bicarbonate, namely the conditions of Figures 13-15 in ESI†, equations 15,16 would be transformed into $d[2\text{-NP}]/dt = 50 [\text{Phenol}] I_{\text{abs}}^{\text{NO}_2^-}$ and $d[4\text{-NP}]/dt = 30.5 [\text{Phenol}] I_{\text{abs}}^{\text{NO}_2^-}$, as reported in ESI† for constant (1 mM) bicarbonate.

The role of the peroxyxynitrite chemistry would be obtained as the difference between the overall bicarbonate effect and that attributed to pH (eq.15 – eq.13 for 2-NP, eq.16 – eq.14 for 4-NP). In natural waters the presence of dissolved organic matter (DOM) and the associated scavenging of •OH radicals would have an impact, in particular on the pH-dependent effect that is linked with the production of •NO₂ upon reaction between •OH and nitrite.⁵⁸ In contrast DOM is unlikely to inhibit the nitration pathway that involves ONOO⁻ and HCO₃⁻, because it is not expected to influence the generation rate of •NO or to react with it, and could even enhance the generation of O₂^{-•} (see for instance reactions 8,9). Both •NO and O₂^{-•} are precursors of ONOO⁻ (reaction 10). Accordingly, in the presence of variable interference by DOM, the formation of nitrophenols (2-NP + 4-NP) as enhanced by bicarbonate can be expected to vary from the full effect (ONOO⁻ and pH, described by the sum of eq.15 (2-NP) + eq.16 (4-NP)), to that of peroxyxynitrite only (eq.15 + eq.16 – eq.13 – eq.14). The plot of the resulting functions vs. bicarbonate (data not reported) shows that in the former case, the enhancement of nitration would be maximum for 1 mM bicarbonate, in the latter case for 0.4 mM bicarbonate (and no enhancement would be observed above 3.5 mM bicarbonate if the scavenging of •OH by DOM completely inhibits the pH-dependent effect).

The environmental significance of bicarbonate-enhanced phenol nitration can be assessed in comparison to nitration upon photolysis of nitrate and photooxidation of nitrite. The following

calculations are referred to conditions observed in the water of the paddy fields in the Rhône delta (Southern France), containing 3 mM bicarbonate. It has been demonstrated that these conditions are particularly favourable to aromatic photonitration.⁴² In a water layer with a sunlight UV irradiance of 22 W m^{-2} (comparable to that observed at 9 a.m. on 15 July at mid latitude¹³), with $2.9 \times 10^{-4} \text{ M}$ nitrate, $2.4 \times 10^{-5} \text{ M}$ nitrite and a steady-state $[\bullet\text{OH}] = 8.3 \times 10^{-16} \text{ M}$, nitrate photolysis and nitrite photooxidation would produce $[\bullet\text{NO}_2] \approx 1.5 \times 10^{-9} \text{ M}$.⁴² Under these circumstances the initial formation rate of nitrophenols ($\text{NP} = 2\text{-NP} + 4\text{-NP}$) in the presence of 10^{-8} M phenol would be ¹⁷ $d[\text{NP}]/dt = 3.2 \times 10^3 [\text{Phenol}] [\bullet\text{NO}_2] = 4.8 \times 10^{-14} \text{ M s}^{-1}$, able to produce 1 nM nitrophenols in 5 to 6 hours.

Under the same irradiation conditions (22 W m^{-2} sunlight UV irradiance) the rate of photolysis of $2.4 \times 10^{-5} \text{ M}$ nitrite (reaction 7) would be:¹⁷ $R_{\bullet\text{OH},\text{NO}_2^-} = 2.65 \times 10^{-5} \text{ s}^{-1}$ $[\text{NO}_2^-] = 6.4 \times 10^{-10} \text{ M s}^{-1}$. From the photolysis quantum yield, $\Phi \approx 0.03$ at $\lambda = 340\text{-}365 \text{ nm}$ ⁵¹ one obtains $I_{\text{abs}}^{\text{NO}_2^-} = R_{\bullet\text{OH},\text{NO}_2^-} \Phi^{-1} \approx 2 \times 10^{-8} \text{ einstein L}^{-1} \text{ s}^{-1}$. Note that 365 nm is the emission maximum of the lamp adopted in the present work, and 340 nm is the wavelength where sunlight absorption by nitrite is most effective.²⁹ In the presence of 3 mM bicarbonate and 10^{-8} M phenol, the formation rate of nitrophenols ($d[\text{NP}]/dt$)_{HCO₃⁻} would vary from 0.1×10^{-14} (eq.15+16-13-14) to $1.5 \times 10^{-14} \text{ M s}^{-1}$ (eq.15+16). Depending on the level of interference by DOM, bicarbonate could increase the formation rate of nitrophenols from 2 to 31%.

The case of the paddy fields of the Rhône delta would be intermediate because in the presence of 12.8 mg C L^{-1} NPOC (rate constant with $\bullet\text{OH}$ around $5 \times 10^4 (\text{mg C})^{-1} \text{ L s}^{-1}$),¹³ 3 mM HCO_3^- (rate constant with $\bullet\text{OH}$ $8.5 \times 10^5 \text{ M}^{-1} \text{ s}^{-1}$)²⁰ and $25 \text{ }\mu\text{M}$ CO_3^{2-} ($3.9 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$),²⁰ $24 \text{ }\mu\text{M}$ nitrite ($1 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1}$)²⁰ would scavenge around 27% of photogenerated $\bullet\text{OH}$. Under the reasonable hypothesis that the weight of the pH-dependent effect would be proportional to the fraction ξ of $\bullet\text{OH}$ that reacts with nitrite (that is around 1 under the conditions of Figure 3B), the effect of bicarbonate on the formation rate of nitrophenols would be expressed as $(d[\text{NP}]/dt)_{\text{HCO}_3^-} = [\text{eq.15+eq.16}+(\xi-1)\cdot(\text{eq.13+eq.14})]$. With $\xi=0.27$ as for the paddy fields of the Rhône delta one would get $(d[\text{NP}]/dt)_{\text{HCO}_3^-} = 4.8 \times 10^{-15} \text{ M s}^{-1}$, with an increase of about 10% with respect to the corresponding photonitration process without bicarbonate.

Conclusions

The present work shows that bicarbonate and carbonate are able to enhance the photochemical transformation, including the nitration where applicable, of phenol upon interaction with important photoactive species in surface waters such as Fe(III) oxide colloids, nitrate, and nitrite. Phenol

transformation upon irradiation of hematite is considerably enhanced by bicarbonate, probably because the oxidation of CO_3^{2-} to $\text{CO}_3^{\bullet-}$ and the reaction of $\text{CO}_3^{\bullet-}$ with phenol is faster than the direct oxidation of phenol by $\alpha\text{-Fe}_2\text{O}_3$. If the photoreactivity of hematite is representative of that of Fe(III) species, the Fe(III)-induced oxidation of CO_3^{2-} could be a major source of $\text{CO}_3^{\bullet-}$ in surface waters with more than 1 mg L^{-1} Fe.

Bicarbonate enhances phenol nitration upon photolysis of nitrate, probably because it leads to increased production of nitrogen dioxide. Nitrate photolysis is an important photochemical source of nitrophenols in surface waters, comparable to the oxidation of nitrite.^{17,42} The importance of nitrate photolysis toward nitrophenol formation would be increased by a factor of at least two in waters rich of inorganic carbon (10 mM bicarbonate or higher). DOM is unlikely to influence the photonitration of phenol by nitrate; at most there could be an enhancement through a solvent-cage effect.^{59,60}

Phenol transformation and nitration upon nitrite photolysis is considerably enhanced by bicarbonate, partially by basification and partially by peculiar chemistry. DOM could interfere with the pH-dependent process, but the pathway involving ONOO^- could be important below 1 mM bicarbonate. However, bicarbonate is expected to significantly enhance the photonitration of phenol by nitrite if the interference of DOM (explicated through the scavenging of $\bullet\text{OH}$) is limited.

Interestingly, the effects of bicarbonate on the photonitration processes induced by nitrate and by nitrite would be operational in different ranges of inorganic carbon. The nitrated intermediates that would be formed are pollutants of concern for their toxicity to aquatic organisms,⁶¹ and their ability to induce DNA damage.⁶²

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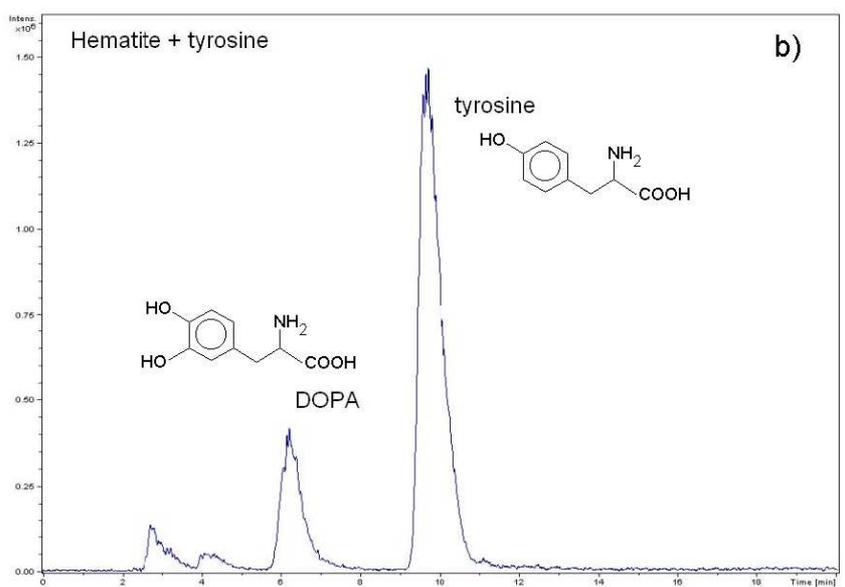
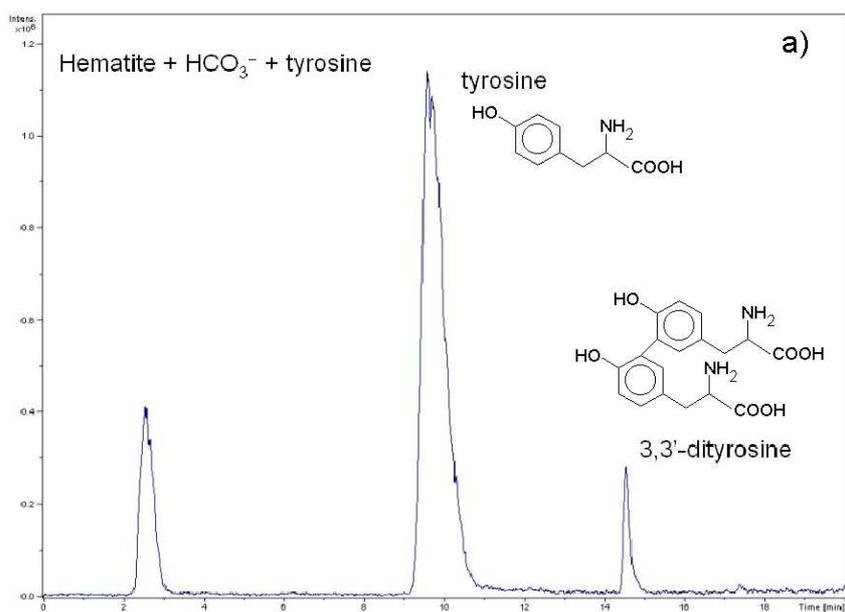


Figure 1. a) HPLC-ESP-MS chromatogram of the tyrosine + hematite + bicarbonate system under irradiation. b) HPLC-ESP-MS chromatogram of the tyrosine + hematite system under irradiation (DOPA = dihydroxyphenylalanine).

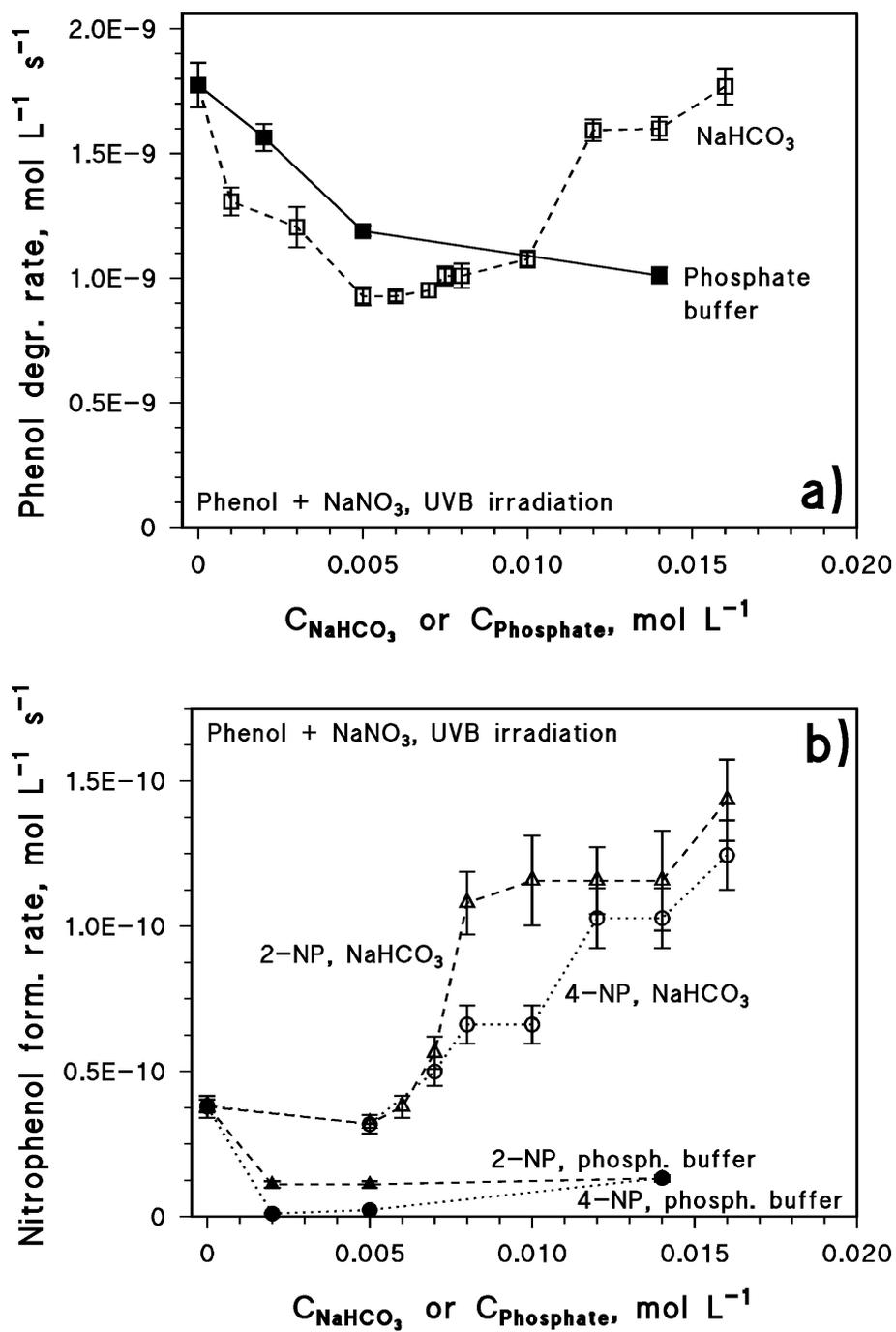


Figure 2. Initial rates of (a) phenol transformation and (b) nitrophenol formation, upon UVB irradiation of 10 mM NaNO_3 , as a function of the concentration of NaHCO_3 or of the phosphate buffer ($\text{NaH}_2\text{PO}_4 + \text{Na}_2\text{HPO}_4$). Initial phenol was $2.5 \times 10^{-5} \text{ M}$, pH varied from 6 to 8.5 depending on C_{NaHCO_3} .

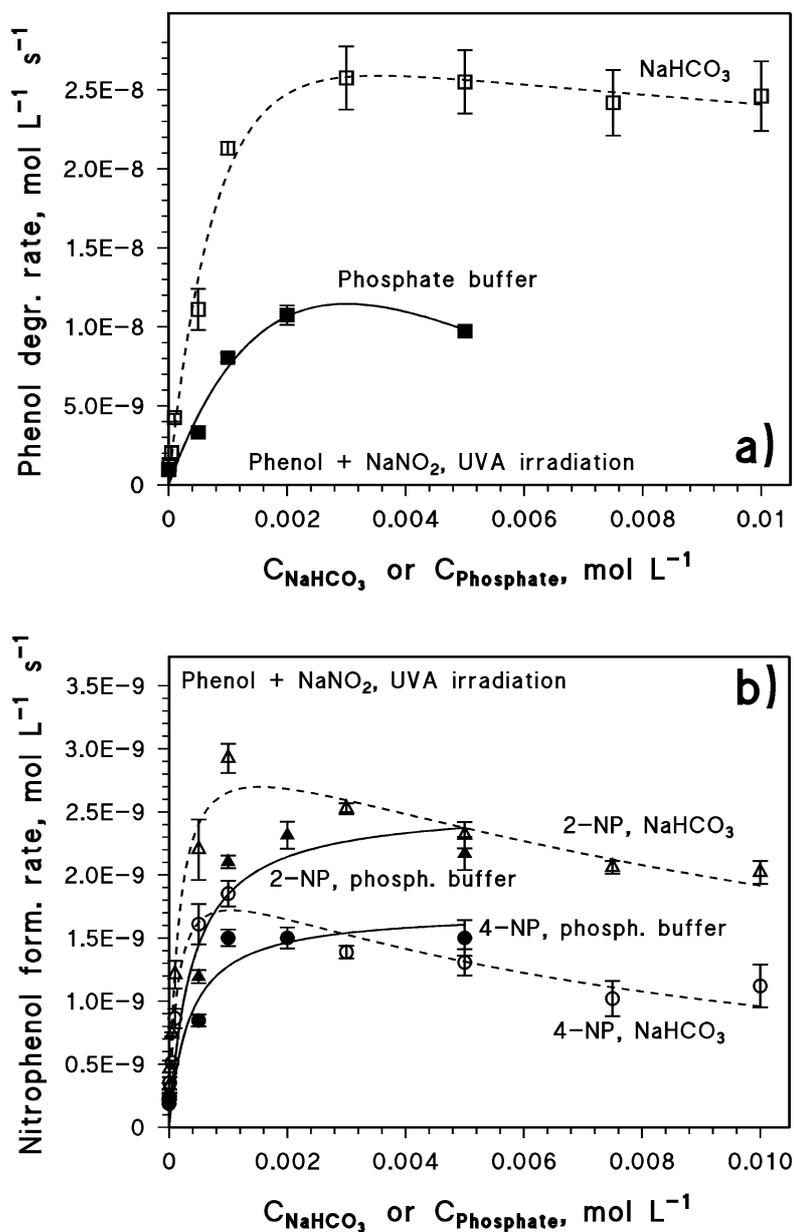


Figure 3. Initial rates of (a) phenol transformation and (b) nitrophenol formation, upon UVA irradiation of 10 mM NaNO_2 , as a function of the concentration of NaHCO_3 or of the phosphate buffer ($\text{NaH}_2\text{PO}_4 + \text{Na}_2\text{HPO}_4$). The curves connecting the experimental data of phenol are just a guide for the eye. The nitrophenol curves in the presence of bicarbonate (Δ , 2-NP; \circ , 4-NP) were fitted with equations of the form $y = a \times [(x+b)(x+c)]^{-1}$ (a, b, c: fitting parameters). The corresponding curves with phosphate (\blacktriangle , 2-NP; \bullet , 4-NP) were fitted with $y = d \times (x+e)^{-1}$ (d, e: fitting parameters). Initial phenol was 2.5×10^{-5} M, pH varied from 6.5 to 8.5 depending on C_{NaHCO_3} .

TABLE 1. Initial Degradation Rates of Phenol ^a

No.	Conditions	pH	Phenol degr. rate, M s ⁻¹ ^b
1	α -Fe ₂ O ₃ 450 mg L ⁻¹	6.0	$(4.3\pm 0.6)\times 10^{-11}$
2	NaHCO ₃ 0.01M	8.5	$(1.2\pm 0.4)\times 10^{-10}$
3	NaHCO ₃ 0.01M + α -Fe ₂ O ₃ 450 mg L ⁻¹	8.4	$(1.6\pm 0.1)\times 10^{-9}$
3'	NaHCO ₃ 0.01M + α -Fe ₂ O ₃ 450 mg L ⁻¹	8.4	$(1.3\pm 0.1)\times 10^{-9}$
4	NaHCO ₃ 0.01M + α -Fe ₂ O ₃ 450 mg L ⁻¹ , N ₂ atmosphere	8.4	$(1.0\pm 0.2)\times 10^{-10}$
5	NaOH 0.01M + α -Fe ₂ O ₃ 450 mg L ⁻¹	12	$(1.4\pm 0.1)\times 10^{-8}$
6	NaH ₂ PO ₄ / Na ₂ HPO ₄ buffer 0.01M + α -Fe ₂ O ₃ 450 mg L ⁻¹	5.7	$(3.8\pm 1.2)\times 10^{-11}$
7	NaH ₂ PO ₄ / Na ₂ HPO ₄ buffer 0.01M + α -Fe ₂ O ₃ 450 mg L ⁻¹	7.7	$(9.4\pm 1.5)\times 10^{-11}$
8	NaH ₂ PO ₄ / Na ₂ HPO ₄ buffer 0.01M + α -Fe ₂ O ₃ 450 mg L ⁻¹	8.4	$(7.3\pm 0.4)\times 10^{-11}$

^a Initial phenol concentration = 2.5×10^{-5} M, irradiation under blue light (lamp emission maximum at 435 nm).

^b Rates calculated as $k C_0$ by fitting the phenol time evolution curves with the pseudo-first order exponential function $C_t = C_0 \exp(-k t)$, where C_t is the concentration of phenol at the time t , C_0 its initial concentration, and k the pseudo-first order degradation rate constant. The error bounds ($\mu\pm\sigma$) represent the goodness of the fit to the experimental data (intra-series variability). Reproducibility between repeated runs (3, 3') was around 20%.

TABLE 2. Calculation details for the generation rate of the carbonate radical by $\bullet\text{OH}$ in surface water samples.

	Lake Piccolo, Avigliana, NW Italy	Lake Grande, Avigliana, NW Italy	Rhône river before the delta, S France ^a	Paddy fields, Rhône delta, S France ^a	Ditch water, Rhône delta, S France ^a
Literature reference	13	13	17	43	43
pH	8.6	8.8	8.3	7.2	7.8
HCO₃⁻, M	3.6×10^{-3}	2.5×10^{-3}	2.1×10^{-3}	3.1×10^{-3}	3.6×10^{-3}
CO₃²⁻, M	9.6×10^{-5}	1.0×10^{-4}	2.7×10^{-5}	2.5×10^{-5}	7.5×10^{-5}
[$\bullet\text{OH}$], M	1.6×10^{-16}	9.7×10^{-17}	3.8×10^{-16}	8.3×10^{-16}	6.9×10^{-16}
R_{CO₃^{-•}} , M s ⁻¹	1.1×10^{-11}	5.8×10^{-12}	1.1×10^{-11}	3.0×10^{-11}	4.1×10^{-11}

^a Total Fe in these samples has been determined as 1.5, 2.5 and 1.2 mg L⁻¹ Fe, respectively.