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**LONG-TERM *IN-VITRO* WEAR PERFORMANCE OF AN INNOVATIVE THERMO-
COMPRESSED CROSS-LINKED POLYETHYLENE**

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NOMENCLATURE

PE-A	conventional PE GUR-1020 (EtO-sterilized)
PE-B	conventional PE GUR-1020 (γ -sterilized)
PE-C	conventional PE GUR-1050 (γ -sterilized)
XLPE	cross-linked PE GUR-1020 (EtO-sterilized)
XLPE-RT	cross-linked-Thermo-Compression PE GUR-1050 (EtO-sterilized)
Mc	Million cycles
KW	Kruskal-Wallis non-parametric statistical tests
KS	Kolmogorov-Smirnov non-parametric statistical tests

1 **1 ABSTRACT**

2 New cross-linked polyethylene acetabular cups, obtained by thermo-compression process,
3 were compared with conventional and traditional commercially available cross-linked
4 polyethylene in terms of wear behavior in a hip simulator for 10 millions cycles using bovine
5 calf serum as lubricant.

6 Gravimetric measurements revealed significant differences between the wear behaviors of the
7 five sets of acetabular cups. In particular, this new type of thermo-compression crosslinked
8 wore more than the traditional cross-linked polyethylene but exhibited a wear rate about four
9 times lower than conventional UHMWPE.

10 The FTIR analyses indicate that oxidation to various extents appears as a consequence of γ -
11 irradiation in presence of oxygen.

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17 **Keywords:** PE GUR-1020; PE GUR-1050; Wear, Long-term wear behavior; Conventional
18 UHMWPE; FTIR analysis; Oxidation.

19 2 INTRODUCTION

20 The research to improve the life of a patient that had a hip implant remains a major concern of
21 scientists in the world. It is well known that ultra-high-molecular-weight-polyethylene
22 (UHMWPE) is the most commonly used material in orthopaedics implants even if wear due
23 to this material represents a serious clinical problem [1]. Excessive wear may lead to gross
24 mechanical failure such as fracture and disassociation [2] and the release of particulate wear
25 debris may induce biological responses that cause implant loosening [3, 4]. Medical grade
26 resins are described as GUR-1020 e GUR-1050 depending on their molecular weights. The
27 requirements for medical grade UHMWPE powder are specified in ASTM standard F648 and
28 ISO standard 5834-1. These standards characterize powders on molecular weight, trace
29 impurities of titanium, aluminium, and chlorine (residuals from catalysts), and ash content.
30 No analysis is required on the final form that can be achieved by different processes [5-7];
31 these may not produce uniform conditions in the manufactured shape and there is still no
32 consensus on which resin and conversion method would be universally proposed as the best
33 choice for all the orthopaedic applications.

34 At the moment, the focus of research in total joint arthroplasty has shifted to improve the
35 wear performance of conventional UHMWPE and increase its mechanical properties, such as
36 yield stress and Young's modulus. To this end, increasing the cross-linked density is well
37 established as a promising solution to improve the wear resistance and durability of
38 polyethylene [3, 8]. The molecular chain structure at the articulating surface of polyethylene
39 is believed to undergo a reorganization process due to strain accumulation caused by surface
40 traction [9]. This altered polyethylene responds to stresses favourably if loaded along the fiber
41 axis but unfavourably if loaded off axis [10]. Several different manufacturing processes have
42 been developed to increase the wear characteristic of conventional polyethylene in order to
43 achieve the so-called cross-link polyethylene (XLPE). The industrial process consists to treat

44 the UHMWPE with high-energy radiation, peroxide, or silane treatments [3, 8, 11]. The
45 common factor of these aforementioned processes, is the treatment with γ -irradiation or e-
46 beam at dose between 40 to 100 kGy [1, 3, 8, 9, 11-14]) before the machining of PE bar into
47 the final form; this increase the crosslinking density and improve wear resistance of the
48 conventional polyethylene. Radiation-induced cross-linking of UHMWPE has been shown to
49 improve wear resistance by up to 5 fold if compared to conventional polyethylene [15-17].
50 This decrease in wear has been observed in clinical studies [18, 19], in laboratory tests using
51 hip joint simulators [8, 20, 21], and under both ideal and severe wear conditions [14, 22].

52 However, as the level of polyethylene cross-linking increases, the mechanical properties, such
53 as the ductility, yield strength, and elongation to failure decreases [1, 11, 23-25]. Moreover,
54 this result in a decrease in the toughness [1, 26] and acetabular cups fabricated from these
55 materials might present a risk for wear increase and catastrophic failure [24]. At this concern,
56 improvements in the forming process of XLPE acetabular cups were implemented. In
57 particular, a thermo-compression process, at a temperature above the melting point, follows
58 the irradiation phase completing the manufacturing of the articulating surface of the
59 acetabular cup. As a consequence, mechanical machining of the articulating surface is
60 avoided and higher material homogeneity and superficial finish achieved. Absence of
61 machining marks on the surface of the polyethylene should reduce the abrasion and this could
62 end in improved wear resistance of the XLPE cups.

63 With this in mind, in this work we asked whether new cross-linked PE acetabular cups,
64 obtained by thermo-compression process (XLPE-RT), would result in less wear than XLPE
65 and conventional UHMWPE.

66 In particular, two outcomes were used as the basis for comparing wear behavior:

- 67 ▪ Wear rate (determined from sequential gravimetric measurements during the course of the
68 test) may be influenced by material composition (different GUR) and sterilization;
69 ▪ Degree of oxidation of the acetabular cups (determined from FTIR measurements).

70 On the basis of an initial analysis [27] it was decided to continue testing up to 10 million
71 cycles (Mc) in order to evaluate the long-term wear effects. This study provides additional
72 important information to that already described [27], since it clarifies the characteristics of the
73 long-term wear resistance of the materials.

74 **3 MATERIALS AND METHODS**

75 *3.1 Specimens tested*

76 The wear behaviour of 20 different polyethylene acetabular cups (28-mm inner x 44-mm
77 outer; 4 specimens for each batch) coupled with 28-mm CoCrMo femoral heads were
78 investigated using a hip joint simulator. The conventional PE and the XLPE resins studied
79 were surgical grade consolidated by compression moulding according to the ISO 5834/2.
80 More details are shown in Table 1.

81 Following a standardized procedure [22, 28-30], another 10 acetabular cups (two for each
82 type of material used) were stored (non-loaded) in bovine calf serum to compensate for
83 weight changes due to fluid absorption. All specimens were tested three months after their
84 sterilization. All polyethylene acetabular cups were pre-soaked for four weeks prior the wear
85 tests.

86 *3.2 Experimental wear details*

87 Wear tests were performed using a 12-station hip joint simulator (Shore Western, USA). The
88 simulator set-up followed is described in details elsewhere [27, 31, 32]. Each articulating
89 station was subjected to a sinusoidal loading (max 2 kN) with a frequency of 1.1 Hz,
90 according to the rotation test frequency under room temperature (23 ± 1 °C) conditions.

91 The weight loss of the cups was determined every 0.5 Mc until five Mc and every one Mc
92 from 5 to 10 Mc. A SARTORIUS microbalance (AG, Germany) with a precision of ± 0.1 mg
93 was used to measure the weight loss during the experiments.

94 The effects of the different polyethylenes on wear were evaluated using *KW* and *KS* statistical
95 tests. Statistical significance was set at $P < 0.05$.

3.3 *Roughness measurements*

97 The surface roughness of all the femoral heads was measured using a contact profilometer
98 Hommel Tester T8000 (Hommel Werke, Germany). Scanning operations were performed
99 identifying three planes according to previous standardized protocol [33]. Sampling lengths
100 were taken using a cut-off of 0.08 mm. Two parameters (R_a and R_t), used to qualify the
101 surface roughness, were taken into consideration as previously reported [33]. In particular, R_a
102 is the arithmetic mean of the sum of roughness profile values (DIN EN ISO 4287 - 1997) and
103 does not indicate if valleys or peaks cause the roughness. R_t is the vertical distance from the
104 deepest valley to the highest peak of the roughness profile over the sampling length. The
105 measurements were acquired at 0, 5, and 10 Mc.

106 A statistical analysis (factorial ANOVA, analysis of variance, statistical significance was set
107 at $p < 0.05$)[34] was performed to find out whether the various surface profile parameters (R_a
108 and R_t) of the femoral heads could predict the variance observed in the weight loss of the
109 acetabular cups measurements. A linear regression analysis was performed between each
110 roughness parameter and the weight loss measurements; the linear regression intercept
111 coefficient and squared linear regression coefficient R^2 are reported.

112

113 3.4 *FTIR spectroscopy*

114 The most worn polyethylene acetabular cups (one for each batch) were cut perpendicular to
115 the articulating surface; from the cross section a series of ca. 180 μm thick slices was obtained
116 using a PolyCuts Microtome (Reichert-Jung) at 10 mm/s in air at room temperature. A FTIR
117 Microscope (Spectrum Spotlight 300, Perkin-Elmer, Shelton, Connecticut, USA) was used to
118 map the oxidation. For collecting the line-scan spectra, the area of analysis was set at 100 x
119 100 μm^2 and the spectra were recorded every 100 μm along the mapping direction, starting

120 from the articulating surface towards the bulk. Each line scan was collected starting from the
121 worn area of the bearing surface. All spectra were run in the transmission mode with a 4 cm^{-1}
122 resolution and 16 scans per spectrum. All the spectra were normalised at 2020 cm^{-1} at
123 absorption of 0.05, corresponding to a film thickness of $100\text{ }\mu\text{m}$. The peak at 2020 cm^{-1} , a
124 combination band associated with the twisting of CH_2 , was used as an internal standard, since
125 it can be regarded as unaffected by minor changes in the polymer structure [35]. The degree
126 of oxidation of samples was determined by the ketones absorption at 1718 cm^{-1} .
127 Hydroperoxides were detected after reaction with nitrogen monoxide (NO) for 15 hours in a
128 dark reaction vessel. Under these conditions, hydroperoxides in polyethylene are converted
129 into more easily detectable nitrates at 1630 cm^{-1} as reported by Lacoste et al. [36, 37].

130 4 RESULTS

131 All the acetabular cups and the femoral heads completed the planned 10 Mc.

132 Compared to the different cup configurations, the XLPE-RT combination wore more than the
133 XLPE but maintained a lower weight loss than the other conventional PE during the whole
134 test (Fig. 1).

135 Significant statistical differences ($p < 0.0001$) were observed between all the polyethylene
136 cups using the KS statistical test. The same significance (KW, $p < 0.05$) was also observed
137 between the two cross-linked PE materials and between the three conventional PE acetabular
138 cups. Significant statistical differences ($p < 0.05$) were also found considering the type of
139 resin (PE-A vs. PE-B) and between the groups with the same sterilization (PE-B vs. PE-C).

140 The mean roughness measurements (\pm Standard Deviation) of the parameters (R_a , R_t) for the
141 femoral heads under investigation at zero Mc, 5 Mc, and 10 Mc are reported in Table 2.

142 The coefficient of correlation between the weight loss and the two roughness parameters (R_a
143 and R_t) for the femoral heads coupled with the various different polyethylenes are plotted in
144 Figs. 2 & 3.

145 The average roughness R_a for the heads coupled with the cross-linked PE showed the lower
146 coefficient of linear regression ($R^2 = 0.45$) in comparison with the conventional PE ($R^2 =$
147 0.81). The same correlation was observed with the R_t parameter ($R^2 = 0.27$ vs. $R^2 = 0.84$).

148 The FTIR line-scan spectra measured on the aforementioned polyethylene acetabular cups are
149 shown in Fig. 4. All samples show diffusion of apolar products from the bovine serum, as
150 indicated by the ester absorption at 1740 cm^{-1} found in all spectra. However, this phenomenon
151 was found to be more superficial than in samples retrieved after in vivo implantation [38, 39].

152 The FTIR spectrum of PE-A shows only a slight oxidation, evidenced by the hydroperoxides

153 absorption at 1630 cm^{-1} . Samples B and C show the same oxidation profile, but the oxidation
154 level of sample B is much higher. The presence of oxidation is also confirmed by the
155 absorption of ketones at 1718 cm^{-1} . The oxidation found in these samples can be attributed to
156 a poor packaging storage, in agreement with other study that observed this phenomenon [40].

157 The two-crosslinked samples show different oxidation level: a minimal absorption of both
158 ketones and hydroperoxides indicates quite a low oxidation for XLPE. On the contrary, a high
159 concentration of ketones and hydroperoxides indicates a high oxidation level of XLPE-RT,
160 comparable to that of PE-B.

161 Evidently, not all hydroperoxides were eliminated due to a too short thermal treatment. In
162 addition, the higher oxidation level found in XLPE-RT can be explained with the lack of
163 mechanical machining after irradiation. Thus, if from one hand the absence of machining
164 marks on the articulating surface could reduce the abrasion, on the other end, eliminating the
165 mechanical machining also means failing in removing the superficial layer of polyethylene,
166 which have been oxidized during irradiation.

167 **5 DISCUSSION**

168 Interest in an improved polyethylene that present wear resistance for orthopaedic implants is
169 an open challenge. The wear performance of five different polyethylenes coupled with
170 CoCrMo femoral heads was evaluated for 10 million cycles. In particular, we asked whether
171 new XLPE-RT acetabular cups, after long-term wear tests, would result in less wear than
172 long-term wear tests would result in less wear than XLPE and conventional UHMWPE after
173 UHMWPE and to determine the degree of oxidation of these specimens.

174 We found a reduced wear rate for the XLPE configurations in comparison to the conventional PE. In
175 particular, the XLPE and XLPE-RT cups showed a significant 80% to 90% wear reduction compared
176 with the conventional PE (Fig. 1). XLPE-RT acetabular cups showed higher weight losses than XLPE
177 (50%). It was stressed that our initial hypothesis related to the absence of machining marks on the
178 surface of the polyethylene should be reduce the abrasion and this could ends in improved
179 wear resistance of the cups doesn't verified but the high oxidation level observed on these
180 new XLPE-RT cups could altered the results observed.

181 Significant differences ($p < 0.05$) were observed between all the polyethylene cups. In
182 particular, the results of this investigation clearly showed a reduced wear for the two types of
183 XLPE with respect to the conventional UHMWPE components.

184 Hip simulator studies have indicated that cross-linking can reduce the type of wear (>95%)
185 that occurs in acetabular components in *in-vitro* tests [11, 41-45]. These results are also
186 confirmed from *in-vivo* follow-up studies: a significant decrease in the femoral head
187 penetration into the highly cross-linked polyethylene acetabular liners compared with the
188 conventional UHMWPE liners was observed by Martell and D'Antonio [15, 46]. Regarding
189 the sterilisation treatments, we found that our γ -irradiated PE-B specimens continued to wear
190 about four times faster than the EtO sterilised of the same composition (Fig. 1). The oxidation

191 level of PE-B, partially crosslinked by radiation sterilization, is much higher than that of the
192 EtO sterilized sample (PE-A). Thus, the oxidation induced by irradiation in air led to a
193 decrease in the molecular mass.

194 A concern about the same sterilisation method but different material show the PE-B cups (γ -
195 irradiated) wore about 3 times than the PE-C cups sterilised under the same conditions. This
196 result can be explained by the different oxidation levels found in the two samples, according
197 to the mechanism proposed by Costa et al. [4]. In particular, a decrease of molecular weight
198 may involve abrasion.

199 Concerning the roughness measurements, R_a and R_t were taken into account because, as better
200 explained in a previous work [33], the femoral heads implanted in patients could be scratched
201 at various stages and variations of the roughness during the life of the prosthesis may not
202 change in a systematic manner [47]. Profiles of different surfaces could have the same
203 roughness average (R_a) and wavelength but have different shapes. In this sense, R_t is the other
204 parameter that can better correlate with the wear rate, when femoral heads with substantially
205 different surface conditioning are compared. A slight increase in R_a may be observed from 5
206 to 10 Mc for all the combinations (Table 2). Conversely, an appreciable increase in R_t is
207 observed for all the configurations. It was stressed that the PE-B group presents higher R_a and
208 higher R_t with respect to the other polyethylenes. Probably that isolated scratches on the PE-B
209 acetabular cups increased the wear rate significantly more than generalized roughness of the
210 metallic counter-face and could also change the wear performance ranking of various
211 polyethylene formulations. A correlation between wear that occurs at 10 Mc and weight loss
212 is observable only for high weight loss (conventional polyethylenes with $R^2 > 0.8$). Regarding
213 the cross-linked PE the model is not able to describe a correlation between the roughness and
214 the weight loss because the variations are smaller than measurements accuracy.

215 **6 CONCLUSIONS**

216 All the acetabular cups (cross-linked and conventional PE) showed significant differences
217 between them in respect to the wear behavior. Weight loss was found to decrease for the
218 XLPE than the other configurations. However, this study is limited in predicting clinical wear
219 rates. It is stressed that these wear tests were conducted in a laboratory under controlled
220 conditions on a hip simulator. The FTIR analyses indicate that oxidation to various extents
221 appears as a consequence of γ -irradiation in presence of oxygen and therefore the XLPE cups
222 in our study showed the most variability in wear rates, whereas the conventional PE cups
223 showed much more uniform wear behavior.

224 Differences in the wear behaviour could be correlated to different oxidation levels. In fact,
225 while these levels increase for the cups, the roughness values keep constant as to indicate that
226 improvement in manufacturing process influences the quality of substrate rather than the
227 surface. Further studies are in progress to better investigate the reasons of different oxidation
228 levels and to correlate the wear behaviour to the spatial distribution of the oxidation.

229

230

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Table 1 – Description of the five groups of polyethylene tested in this study.

Type of resin	Manufacturing process	Irradiation dose	Final sterilization
PE-A**	Mechanical machining from bar extrusion to obtain the final form.	---	Eto
PE-B**	Mechanical machining from bar extrusion to obtain the final form.	---	γ 3 ± 0.5 Mrad (Dose in vacuum packed)
PE-C**	Mechanical machining from bar extrusion to obtain the final form.	---	γ 3 ± 0.5 Mrad (Dose in vacuum packed)
XLPE**	Machining into the final form. Washing and packaging under controlled environment (standard procedure for biomedical components).	γ -irradiation dose in the range 67.5 ÷ 82.5 kGy (nominal dose 100kGy) Annealing at 150°C under nitrogen for 6 hours.	Eto
XLPE-RT**	Thermo-compression according to ISO 5834/2 into a cylindrical pre-form, which allows obtaining the concavity of the cup with no need of further machining.	γ -radiation dose in the range 82.9 ÷ 97.5 kGy (nominal dose 100kGy) Annealing at 150°C under nitrogen for 6 hours.	Eto

** More details about the type of resin is given in the Nomenclature section.

Table 2 - Mean roughness (\pm Standard Deviation) for R_a and R_t parameters at zero, 5, and 10 million cycles.

Cycles (Mc)	R_a (μm)			R_t (μm)		
	0 Mc	5 Mc	10 Mc	0 Mc	5 Mc	10 Mc
PE-A	0.01 \pm 0.01	0.02 \pm 0.01	0.02 \pm 0.01	0.05 \pm 0.01	0.17 \pm 0.09	0.16 \pm 0.05
PE-B	0.01 \pm 0.01	0.02 \pm 0.01	0.05 \pm 0.03	0.07 \pm 0.01	0.15 \pm 0.04	0.36 \pm 0.21
PE-C	0.01 \pm 0.01	0.01 \pm 0.01	0.01 \pm 0.01	0.05 \pm 0.01	0.11 \pm 0.03	0.10 \pm 0.01
XLPE	0.01 \pm 0.01	0.01 \pm 0.01	0.02 \pm 0.01	0.05 \pm 0.02	0.16 \pm 0.07	0.21 \pm 0.03
XLPE-RT	0.01 \pm 0.01	0.01 \pm 0.01	0.02 \pm 0.01	0.05 \pm 0.01	0.11 \pm 0.04	0.17 \pm 0.05

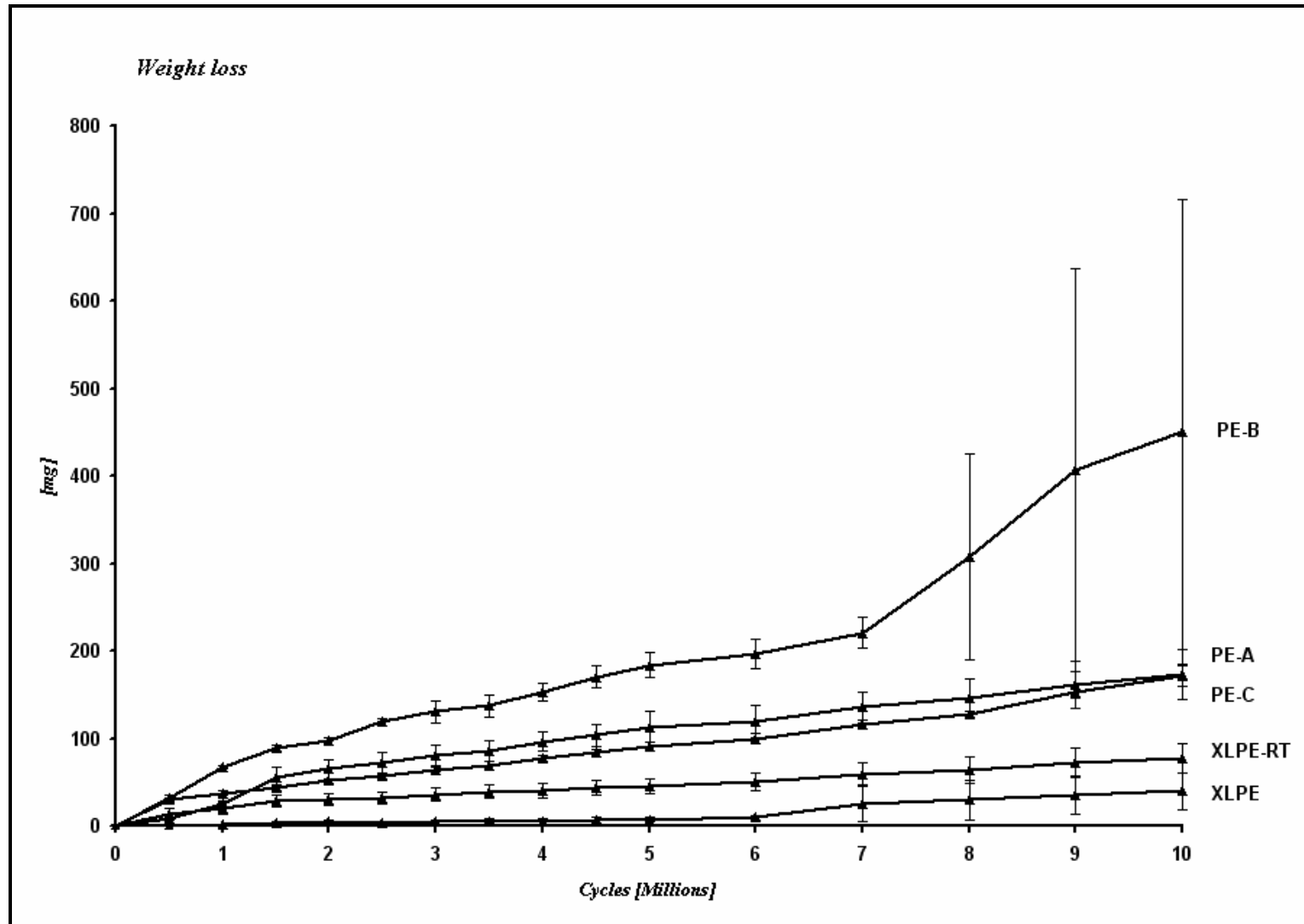


Figure 1 – The picture shows the weight loss (\pm standard deviation) for five different polyethylenes. The cross-linked PE wore less than the other ones. Between 5 and 10 million cycles, wear reduced with respect the initial trend. The PE-B continued to show the worst wear behavior.

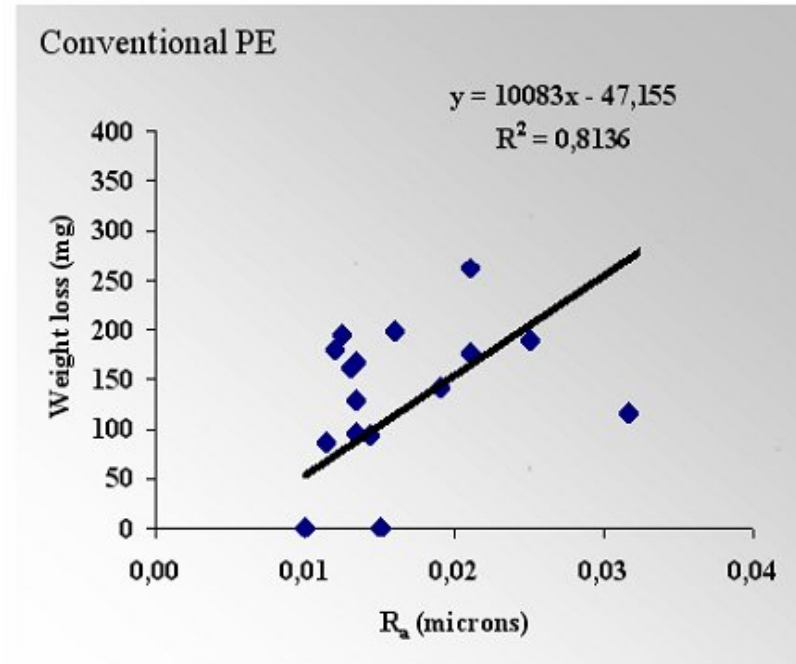
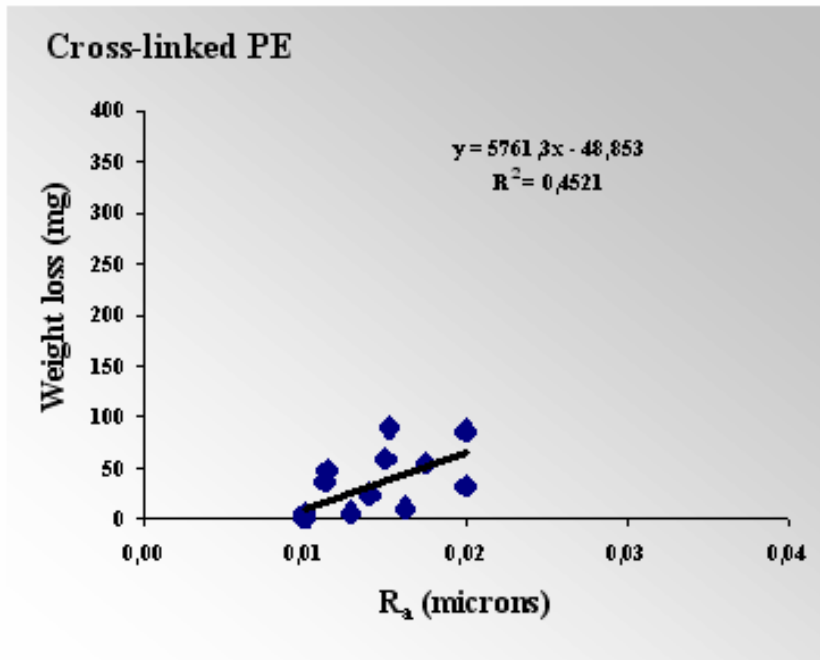


Figure 2 – Weight loss of the different polyethylenes vs. R_a from zero to 10 Mc. The solid line represents a simple linear regression fit, whereas the points represent each data value.

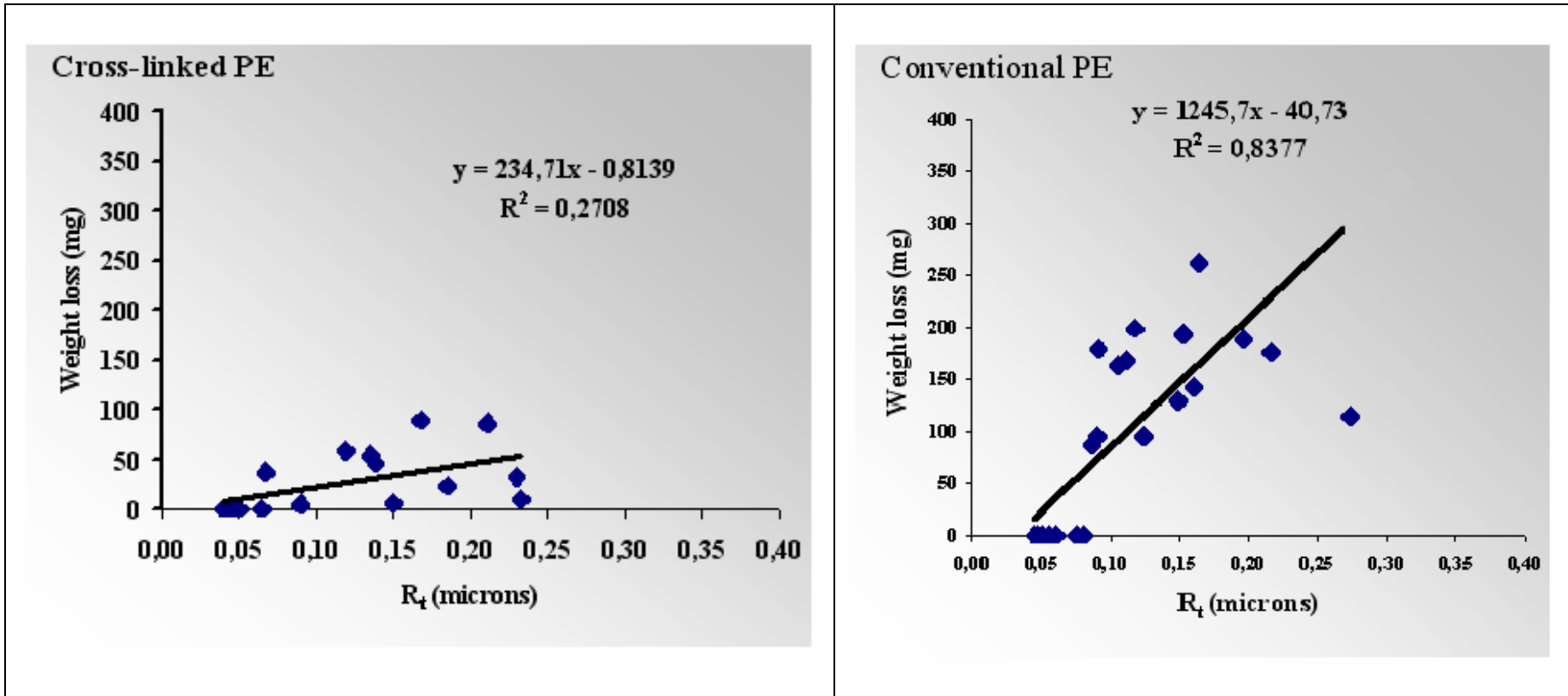


Figure 3 – Weight loss of the different polyethylenes vs. R_t from zero to 10 Mc. The solid line represents a simple linear regression fit, whereas the points represent each data value.

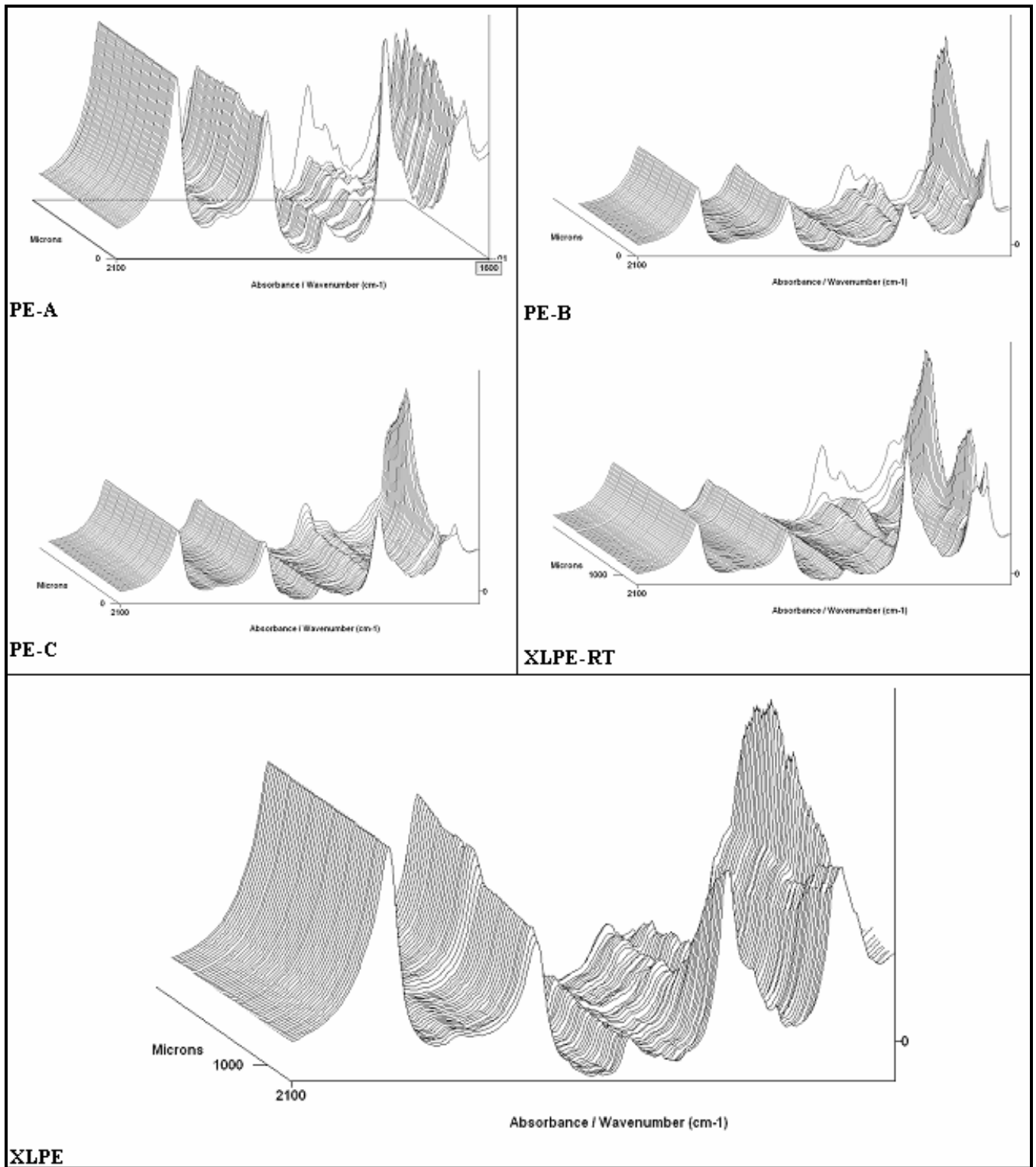


Figure 4 – FTIR spectra of the most worn polyethylene acetabular cups.