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22	Occurrence of ochratoxin A before bottling in DOC and DOCG wines produced in
23	Piedmont (northern Italy)
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34 Abstract

35 Italy is one of the countries where ochratoxin A (OTA) contamination in wine poses more 36 risks. Previous surveys on the occurrence of OTA have poorly considered north Italian 37 wines. In this study, 1,206 red and white DOC and DOCG wines produced in Piedmont (Northern Italy) from 2000 to 2007 have been analyzed for OTA level (0.116 μ g l⁻¹) and 38 39 incidence (68.0%). The monitoring - the widest per number of Italian wine samples 40 considered - analyzed the OTA contamination of wines in tanks just before bottling. OTA level and incidence were significantly higher in red (0.121 μ g l⁻¹, 69.4%) than in white 41 $(0.086 \ \mu g \ l^{-1}, 61.3\%)$ wines. Among the white wines, the incidence was significantly lower 42 43 in the Moscato wines (7.3%), due to the different wine processing. The differences in the 44 mean OTA level in the three main grapevine varieties of red wines could be related to the 45 harvest period. Among the Nebbiolo appellations, a reduction of the OTA level was noticed 46 with increasing the wood aging period. A significant effect of the vintage year was also 47 registered.

48

49 Keywords: Aspergillus carbonarius, HPLC, mycotoxins, ochratoxin A, survey, wine.

50

51 **1. Introduction**

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53 Ochratoxin A (OTA) was first detected in wine by Zimmerli and Dick (1996). On grapes, 54 OTA is produced by *Aspergillus* belonging to the section *Nigri* (black aspergilli), and in 55 particular by *Aspergillus carbonarius* (Battilani & Pietri, 2002). OTA constitutes a serious 56 threat for the human and animal health, as it is a potent nephrotoxin, which also exhibits 57 immunosuppressive, teratogenic and carcinogenic properties. Currently, the European 58 Union has a specific regulation for the OTA thresholds in different food, $2 \mu g/kg$ being the 59 maximum level of the mycotoxin allowed for wine, grape must and grapes (European 60 Commission, 2006).

61 There is a correlation between the mycotoxin contamination and the colour class of the 62 wine: both the incidence of contamination and the concentration of the toxin are higher in 63 red than in rosé or white wines (Otteneder & Majerus, 2000), due to the maceration of the must with grape skins, which might favour OTA extraction from the skin (Blesa, Soriano, 64 65 Moltó, & Mañes, 2006). In Europe, a concentration gradient was also observed from the 66 north to the south of Europe, especially for red wines (Pietri, Bertuzzi, Pallaroni, & Piva, 67 2001). This could be attributed to the hotter and more humid climatic conditions in 68 southern countries that can better favour the growth of A. carbonarius and the consequent 69 production of OTA. A geographical gradient has also been observed from north to south of 70 Italy (Brera et al., 2008).

Piedmont is a northern Italian region vocated to the production of high quality red and
white wines, including worldwide known denominations, such as Asti, Barbaresco,
Barbera, Barolo, Dolcetto, Nebbiolo and Roero. Over 70% of the regional wine production
is represented by Appellation of Controlled and Guaranteed Origin (DOCG) and
Appellation of Controlled Origin (DOC) wines.

Generally, all the previous reports considering Italian wines, analyzed few samples from the northern regions and summarily concluded that the northern wines have very low levels or not detectable OTA contamination. In particular, Visconti, Pascale, and Centonze (1999), analyzed 56 samples of red (38), rosé (8), white (9) and dessert (1) wine, finding 87% of contamination, ranging from < 0.01 to 7.6 μ g/kg, but the authors affirmed that most of the samples where coming from southern Italy. Pietri, Bertuzzi, Pallaroni, and Piva

82 (2001) analyzed 111 Italian wines, 23 of them produced in north-western Italy (9 in 83 Piedmont): the mean was 0.011 µg/l and the incidence was 65%. An extensive study on the 84 OTA contamination on Italian wines was carried out by Brera et al. (2008), that analyzed 85 1166 samples: none of the 34 wines produced in Piedmont trespassed the limit of detection 86 $(0.01 \ \mu g/l)$. All the above-mentioned papers analyzed wines in bottles purchased in local 87 food stores. Anyway, Grazioli, Fumi, and Silva (2006) reported a significant reduction of 88 the OTA content in wines after 12 months of bottle-aging. In our study, all the samples were withdrawn from wines still in the tanks of the wineries just before bottling. 89

The aim of this study was to assess the incidence and level of OTA in Piedmontese red and white wines DOC (Appellation of Controlled Origin) and DOCG (Appellation of Controlled and Guaranteed Origin), over a wide period (from 2000 to 2007). A second aim was to evaluate if the colour, the grapevine variety, the vintage year, and the wood aging period had an effect on the OTA content. In particular, the wines produced from Nebbiolo grapes can receive different appellations based on the wood and bottle aging period (Nebbiolo, Roero, Barbaresco, and Barolo).

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- 98 **2. Materials and methods**
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100 2.1. Samples

A total of 1,206 samples of wines produced in Piedmont were analyzed, all of them collected between 2004 and 2008 from 132 wineries distributed all around the Piedmont region, at the end of the winemaking process just before bottling. The wines were produced from grapes harvested in the period 2000-2007. A lower number of samples for the years 2000-2002 is related to the fact that just aged wines were collected for this period. The 106 wine samples - all of them DOC (Appellation of Controlled Origin) or DOCG (Appellation

107 of Controlled and Guaranteed Origin) - included 1,002 red wines and 204 white wines.

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109 2.2. Sample preparation and analysis

The method developed by Visconti et al. (1999) with some modifications was used for 110 111 OTA determination. A 10 ml aliquot of each sample was diluted with 10 ml water solution 112 containing polyethyleneglycol (1%) and NaHCO₃ (5%), mixed and filtered through Whatman[®] GF/A glass microfiber filter (GE Healthcare[®], Piscataway, NJ, USA). Ten ml of 113 diluted extract were cleaned up through an OchraTest[®] (Vicam[®], Milford, MA, USA) 114 immunoaffinity column. OTA was eluted by adding three times 0.75 ml methanol and 115 116 collected in silanized clean vial. The elute was dried using a microplate evaporator with air 117 flow at 40°C and recovered with 0.5 ml of HPLC mobile phase. Samples were analyzed in a HPLC apparatus Agilent® 1100 series equipped with G1379 degasser, G1313A 118 119 autosampler, G1316A column thermostat set at 27°C, G1321A FLD - Fluorescence 120 Detector set at excitation and emission wavelengths of 333 and 460 nm, respectively, 121 G1311 quaternary pump and Agilent Chemstation G2170AA Windows XP operating system (Agilent[®], Waldbronn, Germany). An analytical column RP-18 (XTerra[®] Waters[®], 122 123 Milford, MA, USA; 150 mm x 4.6 mm i.d., 5 µm) with a pre-column was used. The mobile phase, eluting at 1 ml min⁻¹, consisted of an isocratic mixture of acetonitril:water:acetic 124 acid (99:99:2) for 18 min. 100 µl of sample were injected into the HPLC column and the 125 126 retention time of OTA was ca. 6.23 min.

127 The amount of OTA in the final solution was determined by using a calibration graph of 128 concentration versus peak area and expressed as ng/ml, achieved by injection into the 129 HPLC column of 100 μ l of standard solutions of OTA (Sigma Chemical Co.[®], St Louis, MO, USA). The recovery (Table 1) was determined on a blank wine spiked at three concentrations of ochratoxin A (0.1, 2 and 10 μ g l⁻¹). Each test was performed six times and the median recovery value were respectively 90.6%, 91.8% and 92.4%. The repeatability was, respectively, 2.64%, 2.71% and 2.82% (Table 1). The limit of detection (LOD) and the limit of quantification (LOQ), based on the IUPAC definition (Thompson, Ellison, & Wood, 2002) were respectively 0.0072 and 0.0093 μ g l⁻¹. The high value of regression coefficient (R² ≥ 0.99) obtained indicated a good linearity of the analytical response.

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138 2.3. Statistical analysis

139 Samples with a concentration of OTA higher than the LOD were considered positive, 140 whereas samples with concentrations lower than LOD were considered negative. Mean 141 OTA concentrations were calculated by using 0 for negative samples. Experimental results 142 are reported as mean ± standard deviation and maximum. The Kruskal-Wallis test was used 143 to compare the mean OTA levels among the different vintage years, grapevine varieties of 144 red or white wines, and appellations of Nebbiolo wines, while the Mann-Whitney test was 145 used to compare the mean OTA levels in red / white wines, using the null hypothesis that the levels were not different. The χ^2 test was used to compare the OTA contamination 146 frequencies of different categories of wines. Statistical analyses were performed by using 147 the programme SPSS Release 12.01. 148

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150 **3. Results and discussion**

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152 Most of the studies carried out on the OTA level and incidence analyzed wines in bottle 153 bought in the supermarkets or wine shops. A particularity of this study was to analyze the 154 OTA contamination of wines in tanks just before bottling and commercialization. Sample 155 population of the present study was composed of 1,206 units and 4 variables as follows: 156 colour of wine (red or white), grapevine variety, or appellation (Table 2), and year of 157 vintage (Table 3). The present study represents not only the widest monitoring on 158 Piedmontese wines for number of samples, but also on Italian wines and, excluding the 159 interlaboratory study realized for the European Commission in 2002 (Miraglia & Brera, 160 2002), it is the single monitoring with the highest number of sample analyzed. Previous 161 studies on Italian wines, carried out by Visconti et al. (1999), Pietri et al. (2001), and Brera 162 et al. (2008) analyzed respectively 56, 111, and 1166 Italian wine samples.

163 Comparing the results obtained in this study with similar monitoring, a generally low level 164 of contamination emerges in the wines produced in Piedmont, but the incidence of the 165 contamination is relatively high (68.0%). It means a high percentage of wines contaminated 166 with a low level of OTA. Brera et al. 2008 found an incidence of 64.3% on all the Italian 167 wine samples, but considering the north Italy wines, the incidence was only 7.3%. The 168 incidence resulted relatively high at European level (59.0%; Miraglia & Brera, 2002). 169 Studies about the relationship between presence of black aspergilla in vineyard and final 170 level of OTA contamination in the wines produced, showed that even low levels of 171 mycotoxigenic fungi can be related to significant ochratoxin A contamination (Battilani, Giorni, Bertuzzi, Formenti, & Pietri, 2006). The presence of Aspergillus section nigri in 172 173 Piedmontese vineyards was very low, either at veraison or at harvest (Spadaro, Lorè, 174 Ciavorella, Garibaldi, & Gullino, 2008).

As far as wine colour is concerned, a higher incidence of positive samples was observed in the red (69.4%) compared to the white wines (61.3%). The χ^2 -test showed that the frequencies of OTA occurrence in red and white wines were not comparable ($p=7x10^{-137}$). 178 Also the mean OTA concentration was statistically higher (p=0.001, Mann-Whitney test) in the red (0.121 μ g l⁻¹) than in the white wines (0.086 μ g l⁻¹). The percentage of red and 179 white wines with over 0.1 μ g l⁻¹ OTA were 24.6% and 13.7% respectively. The different 180 181 level of contamination in the red and white wines is related to the wine-making process -182 red wines have longer maceration that favour the OTA extraction from the pomace to the 183 must (Grazioli et al., 2006) - and confirms previous studies (Otteneder & Majerus, 2000). 184 The maximum level of OTA detected in a white wine - a Roero Arneis produced in 2007 was 1.36 μ g Γ^1 , and the maximum level in a red wine – a Nebbiolo produced in 2005 – was 185 2.63 μ g l⁻¹. Two red wines out of 1206 samples (0.17%) trespassed the maximum admitted 186 level of 2.0 μ g Γ^1 , established by the European legislation (EC Regulation 1881/2006). 187

188 Considering the white wine varieties, the statistical analysis (*p*=0.001; Kruskal-Wallis test) 189 showed a significant effect of the grape variety. In particular, the incidence (6.7%) of OTA 190 contamination in the Moscato wines was significantly lower than in all the other white 191 wines. The difference among the white wines could be explained by the different 192 winemaking processes. In particular, the Moscato grapevines are used for the production of 193 sparkling wines, such as Asti Spumante or Moscato d'Asti, where the grapes are 194 immediately and softly pressed, and the juice is immediately cooled and fermented at 195 controlled temperature.

Also among the red wines, a significant effect was shown by the grapevine variety tested (p=0.046; Kruskal-Wallis test). In particular, the magnitude between the mean OTA contamination levels of Barbera wines (0.087 µg l⁻¹) and Nebbiolo wines (0.129 µg l⁻¹) was statistically significant (p=0.011; Mann-Whitney test). The contamination level of Nebbiolo wines was also statistically different (p=0.049; Mann-Whitney test) from the level of the Dolcetto wines (0.117 µg l⁻¹). Moreover, the incidence of contaminated Nebbiolo wines 202 (72.0%) was higher than the incidence of Barbera (67.0%) or Dolcetto (66.3%) ones. Such difference could be explained with the different variety characteristics or harvesting period. 203 204 The bunch structure of the Barbera grapes is very compact, while the Nebbiolo grapes are 205 less compact, and the Dolcetto grapes are intermediate. This difference is accompanied by a 206 higher susceptibility to *Botrytis cinerea* of the Barbera grapes, and a lower susceptibility of 207 the Nebbiolo variety. Anyway, in our study such characteristics were not related to the 208 ochratoxin level found in the wines produced, as in previous studies (Battilani et al. 2004). 209 Dolcetto grapes are generally harvested after September 15, followed by the harvest of 210 Barbera grapes at the beginning of October, and finally by the vintage of Nebbiolo grapes, 211 in the second half of October. The late harvest of Nebbiolo grapes could favour a longer 212 contamination by A. carbonarius and a higher production of OTA. Generally the wines 213 produced from grapes left for longer times on the vines have higher OTA contamination 214 (Valero, Marín, Ramos, & Sanchis, 2008).

215 Considering the wines produced from Nebbiolo grapes, four are the appellations, depending 216 also on the aging period before commercialization. In particular, the Nebbiolo appellation 217 does not include a compulsory aging, while the Roero, Barbaresco, and Barolo appellations 218 foresee an aging period (20, 24, and 36 months, respectively), including a period in wood 219 barrels (at least 6, 12, and 24 months, respectively). Such wood aging period in oak barrels could contribute to reduce the mean OTA contamination observed in the Barolo wines 220 $(0.098 \ \mu g \ l^{-1})$, compared to the Barbaresco $(0.133 \ \mu g \ l^{-1})$, Roero $(0.175 \ \mu g \ l^{-1})$, or Nebbiolo 221 wines (0.179 µg l⁻¹). Previously, Savino, Limosani, and Garcia-Moruno (2007) found that 222 223 the OTA contamination in red wines can be reduced by oak wood fragments. Other studies 224 did not find any change in the OTA concentration in wine after 1-year aging (Visconti, 225 Perrone, Cozzi, & Solfrizzo, 2008).

226 Table 3 reports the incidence and level of contamination in the wines based on the year of vintage. A relatively low level of OTA contamination was found in all the years taken in 227 consideration, ranging from 0.048 μ g l⁻¹ in 2007 to 0.096 μ g l⁻¹ in 2006. The magnitude 228 229 between the means of OTA levels in the years considered was statistically significant 230 (p=0.002, Kruskal-Wallis test). The incidence of OTA contamination was relatively high 231 for every year considered (ranging from 51.5% to 77.8%). Anyway it should be noticed that 232 the LOQ of the method, originally published by Visconti et. al (1999) and recommended by the European Standard rEn 14133, was relatively low (0.0093 μ g l⁻¹), permitting to find a 233 234 high number of positive samples. The years with a higher number of positive samples were 235 2000, 2003, 2006, and 2007. An explanation of this trend could be attributed to the climate 236 conditions that occurred in the harvest season of those years characterized by a September -237 October period with intense rain and relatively high temperatures. Clouvel, Bonvarlet, 238 Martinez, Lagouarde, Dieng, and Martin (2008) found critical levels of OTA concentration in French wines with humid and warm conditions during the 20 days before harvest. 239

Although Northern Italy is not at the moment a geographical area where OTA contamination represents a significant risk in the vineyards, and the wines produced present generally low levels of the mycotoxin, future scenarios of climatic changes, characterized by a general increase of temperature and decrease of precipitation in this region (Salinari et al., 2006) could favour *A. carbonarius* contamination. To prevent severe OTA contaminations, a constant monitoring of the black aspergilli in vineyard and of the OTA level on the wines should performed.

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