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This is the author's manuscript

Original Citation:

Availability:

This version is available http://hdl.handle.net/2318/81598

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This is an author version of the contribution published on:
Questa è la versione dell'autore dell'opera:
[Spadaro D., Ciavorella A., Lopez G., Garibaldi A., Gullino M.L. (2010) -
Effect of culture age, protectants, and initial cell concentration on viability of
freeze-dried cells of Metschnikowia pulcherrima. Canadian Journal of
Microbiology, 56, 809-815. DOI: 10.1139/W10-068]
The definitive version is available at:
La versione definitiva è disponibile alla URL:
[http://www.nrcresearchpress.com/toc/cjm/56/10]

17 Effect of culture age, protectants and initial cell concentration on viability of freeze-

18 dried cells of Metschnikowia pulcherrima

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- 34
- 35 **Running title**
- 36 Freeze-drying of Metschnikowia pulcherrima
- 37

38 ABSTRACT

39

40 The effect of freeze-drying using different lyoprotectants at different concentrations on the 41 viability and biocontrol efficacy of Metschnikowia pulcherrima was evaluated. The effects of initial yeast cell concentration and culture age on the viability were also considered. Yeast 42 cells grown for 36 h were more resistant to freeze-drying than 48 h-old cells. An initial 43 concentration of 10^8 cells mL⁻¹ favoured the highest survival after freeze-drying. When 44 maltose (25% w v⁻¹) was used as protectant, a high cell viability was obtained (64.2%). Cells 45 46 kept a high viability after 6 months of storage at 4°C. The biocontrol efficacy of freeze-dried 47 cells was similar to the activity of fresh cells on 'Gala' apples, and slightly lower on 'Golden 48 Delicious' apples. After optimizing the freeze-drying conditions, the viability of M. 49 *pulcherrima* cells were similar to that obtained in other studies. The results constitute a first 50 step towards the commercial development of *M. pulcherrima* as biocontrol agent.

51

52 Key words

53 Apple fruit, biological control, freeze-drying, postharvest, yeast.

55 INTRODUCTION

56

57 Several microorganisms, particularly yeast naturally occurring on the surface of fruit and 58 vegetables, have been widely studied for the control of postharvest diseases (Spadaro and 59 Gullino 2004). The acceptance of biocontrol agents (BCAs) depends on their effectiveness 60 and compatibility with current handling and storage practices used in the agrochemical marketplace (Wraight et al. 2001). Formulation is necessary in order to present the product in 61 62 a usable form and to optimize its efficacy, stability, safety and ease of application. The 63 formulation must therefore provide conditions which retain viability during preparation, storage and application, and favour survival of the agent, in the environment (Rhodes 1993). 64

Among the microorganisms under development, there are some antagonistic yeast strains belonging to the species *Metschnikowia pulcherrima* (Pitt) M.W. Miller effective against postharvest decay of apple, table grape, grapefruit, cherry tomato, and peach (Schena et al. 2000; Janisiewicz et al. 2001; Spadaro et al. 2002, 2008; Zhang et al. 2010). *M. pulcherrima* normally acts as competitor for scarce nutrients, such as iron (Saravanakumar et al. 2008), or by producing hydrolases, such as chitinases, able to degrade the cell wall of pathogenic fungi (Saravanakumar et al. 2009).

Biocontrol of postharvest pathogens using competitive yeast is only effective when applying high (at least 10⁷-10⁸ cells mL⁻¹) concentrations of microorganisms (Hofstein et al. 1994), and its effectiveness increases with the concentration. The need of storing and preserving the microbial pesticides requires an increase in the shelf-life, obtained by stabilizing the viability of the microorganisms (Burges 1998). This can be achieved i) by refrigeration in liquid state; ii) by freezing in presence of cryoprotectant substances; iii) by dehydrating the product (Montesinos, 2003). 79 Freeze-drying is the most convenient and successful method of preserving bacteria, 80 sporulating fungi and yeast (Berny and Hennebert 1991). Freeze-drying, compared to other 81 dehydration techniques (To and Etzel 1997), has the advantages of protecting from 82 contamination during storage, long viability and ease of distribution (Miyamoto-Shinohara et 83 al. 2006). Formation of a maximally freeze-concentrated matrix with entrapped microbial 84 cells is essential in freezing prior to freeze-drying. Freeze-drying must retain a solid 85 amorphous state of protectant matrices. Freeze-dried matrices contain cells entrapped in the 86 protective matrices in the freezing process. The retention of viability during storage seems to 87 be controlled by water plasticization of the protectant matrix and possibly interactions of 88 water with the dehydrated cells. Highest cell viability has been obtained in glassy protective 89 media (Pehkonen et al. 2008). Some investigators have already studied the effect of freeze-90 drying on survival and efficacy of yeast biocontrol agents, such as *Candida sake* (Abadias et 91 al. 2001), Cryptococcus laurentii (Li and Tian 2007) and Pichia anomala (Melin et al. 2007).

The aim of the present research was to evaluate the effect of freeze-drying on the survival of *M. pulcherrima*. Furthermore, the effect of different lyoprotectants used at different concentrations was studied on the viability of yeast cells after freeze-drying. Moreover, the initial yeast cell concentration and culture age on the viability of freeze-dried yeast cells were taken into consideration. Finally, the biocontrol efficacy of frozen, freeze-dried and fresh cells were compared.

98

99 MATERIALS AND METHODS

100

101 Microorganisms

102 *Metschnikowia pulcherrima* (Pitt) M.W. Miller strain BIO126 was isolated from the 103 carposphere of an apple 'Golden Delicious' harvested in an unsprayed orchard located in

104 Piedmont, Northern Italy (Spadaro et al. 2002). The strain was stored at -80° C in a cell 105 suspension with 20% v v⁻¹ of glycerol.

For the biocontrol assays, five strains of *Botrytis cinerea* were isolated from rotten apples and selected for their high virulence. Each strain was stored on a slant of Potato Dextrose Agar (Merck, Darmstadt, Germany) with 50 mg L⁻¹ streptomycin Merck at 4°C. Spore suspensions were prepared by growing the fungal strains on Petri dishes on PDA amended with 50 mg L⁻¹ of streptomycin for 10 days. Spores from the five strains were collected, resuspended in sterile Ringer's solution (Merck, Darmstadt, Germany), filtered through 8 layers of sterile cheese-cloth and brought to a final concentration of 10^5 spores mL⁻¹ per strain.

113

114 Yeast growth

115 Before use, the yeast was subcultured in Petri dishes with nutrient yeast dextrose agar (NYDA, Droby et al. 1989). The growth medium was YEMS (yeast extract 30 g L⁻¹, D-116 mannitol 5 g L^{-1} and L-sorbose 5 g L^{-1}), which favoured a high yeast biomass (Spadaro et al. 117 118 2010). Well-controlled fermentations of 4.0 L working volume (nominal volume, 5 L) were carried out in Applikon BioConsole ADI 1025 glass stirred tank vessels (ApplikonTM 119 120 Biotechnology, The Netherlands), integrated with the software Bioexpert Lite for data acquisition. Yeast cultures were inoculated to 5×10^5 cfu mL⁻¹. Operating conditions included 121 122 temperature controlled at 25 ± 0.2 °C, floating dissolved oxygen monitored using a 123 polarographic probe, agitation with two equally spaced Rushton impellers controlled at a 124 constant speed of 450 rpm, and air sparging through a submerged ring sparger controlled at 4.0 Lmin^{-1} . 125

126

127 Sample preparation

128 Cells were collected at the beginning of the stationary phase by centrifugation (7500 rpm for

129 10 min at 4°C) in a J21-2 centrifuge (Beckman, Brea, USA) and resuspended in Ringer 130 solution. The concentration of the resuspended cells was determined by Bürker chamber and 131 adjusted to the desired concentrations. An aliquote (500 μ l) of the yeast cells suspensions 132 were then deposited into vials.

133 The protective agents tested were two monosaccharide sugars (D-glucose and D-fructose), 134 four disaccharide sugars (lactose, maltose, sucrose and trehalose), a sugar alcohol (L-sorbitol) 135 and a complex matrix (yeast extract). Each protectant was dissolved in deionised water, 136 autoclaved and added to the yeast cell suspension to obtain the final concentration (w v⁻¹). 137 Throughout the experiments, different concentrations (1%, 5%, 10%, 15%, 20%) of 138 protectants were tested and also the effect of the initial cell concentration was evaluated. 4.5 139 ml of each protectant suspension were added into each vial containing the yeast cell 140 suspension (500 µl), to get the desired protectant concentration. For each protectant and/or 141 initial concentration tested, tenfold dilutions of the suspensions were made in Ringer solution, 142 and 100 µl aliquots of the suspensions were spread plated in triplicate on Petri dishes 143 containing NYDA in order to determine the initial fresh cell concentration. Dishes were incubated at 25±1°C for 48 h, and the initial number of colony forming units per millilitre 144 (cfu mL⁻¹) was determined. 145

146

147 Freeze-drying

The suspension was frozen at -20°C for 4 h and at -40°C for 12 h and subsequently lyophilized in a Heto FD 1.0 Freeze-Dryer (Waltham, Massachusetts, USA) operating at a chamber pressure of 1 Pa and at -45°C for 24 h. After freeze-drying, the vials were sealed under vacuum. Experiments were replicated three times for each protectant and concentration.

152

153 **Reyhdration and yeast cell viability**

154 For each protectant and/or initial concentration tested, three replicates of freeze-dried vials 155 were resuspended in autoclaved Ringer solution to the original volume (5 mL) and left on a 156 rotary shaker for 15 min. Tenfold dilutions of the suspensions were made in Ringer solution, 157 and 100 µl aliquots of the suspensions were spread plated in triplicate on Petri dishes containing NYDA. Dishes were incubated at 25±1°C for 48 h, and the number of cfu mL⁻¹ 158 159 was determined. Viability percentage for each protectant was assessed as ratio between 160 freeze-dried and fresh cells concentration. For the last viability assays about initial cell 161 concentration with high protectant, the vials were stored at 4°C for 2, 4, and 6 months before 162 rehydration and viability assessment. Experiments were repeated three times.

163

164 **Biocontrol assays**

165 To evaluate the efficacy of freeze-dried cells of *M. pulcherrima* strain BIO126 against *B*. *cinerea* on apple, freeze-dried cells (with 25% w v⁻¹ maltose or 25% w v⁻¹ fructose) were 166 rehydrated as described above and compared with frozen and fresh yeast cells. Freeze-dried 167 and frozen cell concentration was adjusted at 10⁸ cfu mL⁻¹ with Ringer solution. Fresh yeast 168 169 cells were obtained by growing them in YEMS for 36 h, by centrifuging (7500 rpm for 10 min at 4° C) and resuspending them in Ringer solution to 10^{8} cfu mL⁻¹. For a chemical control, 170 171 fruit were treated with thiabendazole (Tecto 20S, Syngenta Crop Protection, Milan, Italy, 20% 172 a.i., $200 \,\mu g \,m L^{-1}$).

Two assays were carried out on two apple cultivars, 'Golden Delicious' and 'Gala'. Apples were artificially wounded at the equatorial region (3 mm diameter; 6 mm depth; 3 wounds per fruit). A 30 μ l yeast suspension was applied to each wound and, after 3 h, wounds were inoculated with a 30 μ l of *B. cinerea* suspension (10⁵ spores mL⁻¹). Five apples per replicate and three replicates per treatment were used. Treated apples were stored at 20±1°C for 7 days, after which the grey mould lesion diameters were measured. The assays were repeated twice. 179

180 Data analysis

Each experiment was repeated at least twice. No significant differences were found among corresponding experiments so that the trials were pooled and statistical analysis was performed by using the SPSS-WIN software. Statistical significance was judged at the level of P<0.05. When the analysis of variance was statistically significant, Duncan's multiple range test was used for the separation of means.

186

187 **RESULTS AND DISCUSSION**

188

189 Viability assays: culture age

190 In a first set of experiments, the cells of *M. pulcherrima* strain BIO126 grown for 36 and 48 h in YEMS were freeze-dried starting from an initial concentration of 1×10^9 cfu mL⁻¹ and 191 adding different protectant agents at 1% w v⁻¹ (Table 1). The formulations after freeze-drying 192 193 were porous cakes. Highest cell viability was obtained in glassy protective media, such as 194 sugars. In general, the addition of any of the eight protectants tested provided a survival rate 195 statistically higher than Ringer solution. No significant difference was found in the viability 196 of yeast cells after freezing for the protectants tested. For 36 h old cultures, a statistically 197 higher viability was obtained with maltose (8.81%) and fructose (9.94%). A noticeable result 198 was obtained also by the addition of yeast extract (7.52%). For 48 h old cultures in YEMS, 199 the viability was always lower than for 36 h old cultures, the protectant being equal. The 200 highest viability was obtained by using maltose (3.21%), followed by trehalose (2.12%) and 201 yeast extract (2.00%). Without protectants, viability after freeze-drying was extremely low: 202 0.11% for 36 h old cultures and 0.22% for 48 h old cultures. Cultures grown for 36 h in 203 YEMS were chosen to continue the experiments.

204 In 5-liter fermenter experiments, the cultures of *M. pulcherrima* reached the stationary phase 205 after 32 h growth in YEMS (Spadaro et al. 2010), so 36 h old cultures corresponded to the 206 plain stationary phase, while 48 h old cultures corresponded to the beginning of the decline 207 phase, when viable count started to turn down. Indeed, preliminary experiments showed that 208 younger (grown for 24 or 30 h) or older cultures (grown for 42 or 48 h) had lower viability 209 after freeze-drying (data not shown). Similarly, freeze-drying of other biocontrol agents was 210 performed on cultures in plain stationary phase. In particular, the cultures of the bacterial 211 antagonist Pantoea agglomerans and the yeast BCA Candida sake were harvested 212 respectively after 24 h (Costa et al. 2000) and 38 h growth in liquid medium (Abadias et al. 213 2001).

214

215 Viability assays: initial cell concentration with low protectant

216 In a second set of experiments, the number of protectants tested was reduced to five, by 217 keeping one monosaccharide sugar (D-fructose), one sugar alcohol (L-sorbitol), one complex 218 matrix (yeast extract) and two disaccharide sugars (maltose and trehalose). The 219 microorganism cultures were freeze-dried after 36 h growth at three initial cell concentrations $(10^{11}, 10^{10} \text{ and } 10^9 \text{ cfu mL}^{-1})$ and in presence of 5% w v⁻¹ of protectant (Figure 1). The lowest 220 initial concentration of microorganism $(10^9 \text{ cfu mL}^{-1})$ provided the highest final viability. In 221 particular, starting with 10¹¹ cfu mL⁻¹, fructose (0.69%) and sorbitol (0.63%) were the 222 protectants providing statistically higher viability. With an initial concentration of 10^{10} cfu 223 mL^{-1} , yeast extract (1.50%) and maltose (1.44%) resulted the most effective protectants. 224 225 Fructose (6.3%), followed by maltose (5.1%) and sorbitol (4.2%) provided the highest yeast viabilities, when freeze-drying was realized on an initial concentration of 10^9 cfu mL⁻¹. 226

The viability of microorganisms, particularly of *M. pulcherrima*, was very poor after freezedrying without the use of any protective agent. Thus several protectants were tested at 229 different concentrations, throughout the experiments, without adding other chemical compounds, to evaluate its single effect on viability and to keep the formulation as simple as 230 231 possible, as suggested by Nail et al. (2002). The experiments carried out showed that the type 232 and concentration of the protective agent used had a strong effect on the viability of the cells 233 of M. pulcherrima. Previously, Costa et al. (2000) found that maximum protection of cells of 234 P. agglomerans during freeze-drying was achieved with sugars, and in particular with 235 disaccharides. Sugars replace structural water in membranes after dehydration and prevent 236 unfolding and aggregation of proteins by hydrogen bonding with polar groups of proteins 237 (Patist and Zhoerb 2005).

238

239 Viability assays: protectant concentration

In the following series of experiments, cells with an initial concentration of 10⁹ cfu mL⁻¹ were 240 freeze-dried in presence of four concentrations (5%, 10%, 15%, and 20% w v⁻¹) of four 241 protective agents (Figure 2). At 5% w v⁻¹ protectant, fructose and maltose provided a cell 242 survival respectively of 9.2% and 6.2% after freeze-drying, significantly higher than the other 243 two protectants. At 10% w v^{-1} protectant, fructose (13.3%), followed by maltose (7.6%), was 244 the most effective protective agent tested. At 15% w v^{-1} , yet the most effective protectants 245 246 were fructose and maltose, able to guarantee respectively 11.8% and 10.7% viability. The 247 highest *M. pulcherrima* cell viability was achieved at high concentration (20%) of protective 248 agents: in particular, maltose (20.6%), followed by fructose (14.6%) and sorbitol (12.8%) 249 provided the best results.

250

251 Viability assays: initial cell concentration with high protectant

The last set of experiments related to the viability of *M. pulcherrima* was carried out by freeze-drying the yeast cells at three initial concentrations and in presence of two high

254 concentrations (20 and 25%) of maltose and fructose (Figure 3). At the highest initial concentration (10⁹ cells mL⁻¹) 25% of maltose permitted the highest yeast viability (47.0%). 255 When the initial cell concentration was 10^8 cells mL⁻¹, noticeable viabilities were obtained for 256 both protectants and both concentrations tested. In particular, 20% and 25% of maltose 257 258 provided a survival rate respectively of 62.5% and 64.2%. The viability in presence of 20% 259 and 25% fructose were respectively 21.4% and 43.4%. The viability when the initial cell concentration was 10⁷ cells mL⁻¹ was lower compared to the other two initial concentrations, 260 261 and the best results was provided by 25% maltose (35.4%) or 25% fructose (35.6%).

When increasing the protectant concentration, a lower microorganism concentration (10^8 cells) 262 mL⁻¹) guaranteed a higher viability. With 5% protectant, the highest viability was guaranteed 263 with 10⁹ cells mL⁻¹ of antagonistic yeast (Figure 1), but with 20% or 25% protectant, the 264 highest viability was obtained with 10^8 cells mL⁻¹(Figure 3). A high initial yeast concentration 265 (higher than 10^{10} cells mL⁻¹) was related to a drastic decrease in the viable count. Costa et al. 266 (2000) studied the effect of the initial cell concentration on the viability of *P. agglomerans*, 267 268 finding that the effect of the initial cell concentration was related to the protective medium 269 used. When sucrose was used as a protectant, the highest recovery was obtained at high concentration (10^{10} cfu mL⁻¹). On the opposite, when protein based protectants were used, the 270 271 lowest viabilities were obtained at high bacterial concentration. Bozoglu et al. (1987) 272 suggested that the death of microorganisms is proportional to their area of contact with the 273 external medium. Pehkonen et al. (2008) showed that the differences in cell viabilities after 274 dehydration were depending on the protective agents used, and in particular on the glass 275 transition properties of the disaccharides used.

The protective effect provided by some disaccharides, such as maltose or trehalose, on living organisms under desiccation could be explained by several mechanisms. In the waterreplacement hypothesis, the stabilization was attributed to the formation of hydrogen bonds between sensitive components and disaccharide molecules when water is removed, maintaining the structural integrity of membranes and proteins (Crowe et al. 1987). A second hypothesis is related to the ability of disaccharides to form a glassy structure during drying under suitable conditions where the sensitive components are embedded (Crowe et al. 1993). Lodato et al. (1999) found that the presence of a certain amount of amorphous disaccharides (such as maltose) during freeze-drying was critical for ensuring the cell viability of *Saccharomyces cerevisiae*.

286 The shelf-life of a biological product refers to the period of time during which the 287 antagonistic cells remain viable and effective (Elzein et al. 2004). In our study, no significant 288 loss of viability on freeze-dried cells appeared after a storage at 4°C of the vials obtained in the last experiments for 2, 4, and 6 months. In particular, when 10^8 cells mL⁻¹ of BIO126 289 were freeze-dried in presence of 20% or 25% maltose, the cell viabilities after 2, 4, and 6 290 291 months were 61.4%, 61.3%, and 59.7% with 20% maltose and 63.8%, 63.2% and 60.3% with 292 25% maltose. The low temperature helped to keep the metabolic activity at a low level, and 293 contributed to increase the storage stability and shelf life. Freeze-drying is probably the most 294 effective desiccation technique for microorganism long storage, and recent studies have 295 showed that it is suitable to keep alive not only gram-negative or gram-positive bacteria, but 296 also yeast for up to 20 years (Miyamoto-Shinohara et al. 2006).

297

Biocontrol assays

To test the efficacy of the freeze-dried cells of *M. pulcherrima* strain BIO126 in controlling *B. cinerea* on apple, two trials were carried out on the cultivars 'Golden Delicious' and 'Gala' (Figure 4). The fruit were stored at 20°C for 7 days. The biocontrol trials showed a significant reduction of grey mould severity on both apple cultivars by fresh, frozen as well as freeze-dried yeast cells. The biocontrol efficacy of the freeze-dried cells was similar on 'Golden

Delicious' and 'Gala' apples, with a disease severity reduction of 45.3% and 48.1% respectively, as compared to the control. On both cultivars, the antagonistic activity of fresh and frozen cells amended with protective agents was similar. On 'Golden Delicious' apples, freeze-dried yeast cells showed a slightly lower biocontrol efficacy, as compared to the fresh and frozen cells, but on 'Gala' apples the efficacy of freeze-dried cells was not statistically different from the biocontrol provided by the fresh cells.

310 The biocontrol efficacy trials confirmed that freeze-dried cells of M. pulcherrima after 311 rehydration not only were viable, but still kept a biocontrol activity against B. cinerea similar 312 to that shown by fresh cells of the same strain when applied at the same concentration. 313 Previous, Abadias et al. (2001) showed a lower efficacy of freeze-dried Candida sake cells 314 against P. expansion on apples compared to the fresh cells, partially due to the damage created 315 to the cells, which could not totally recover their function after the dehydration-rehydration 316 process. On the opposite, the biocontrol activity of freeze-dried cells of Pichia anomala 317 against moulds on moist cereal grains remained intact (Melin et al. 2007).

The present work permitted to set up a freeze-drying protocol for the cells of *M. pulcherrima* characterized by a high viability and the preservation of the biocontrol efficacy against postharvest pathogens. Previous studies showed that the cell viability could significantly increase when a suitable rehydrating medium is used instead of Ringer solution. Future research will focus on the effect of the rehydrating media, of longer storage times and of storage temperatures on yeast cell viability.

324

325 ACKNOWLEDGEMENTS

326

327 This research was carried out with a grant from the Piedmont Region for the project 328 "Selection, study of the efficacy and of the mechanism of action, characterization and

development of antagonistic yeast against post-harvest fruit pathogens". The authors
acknowledge Dr. Pilar Bustos and Ms. Incoronata Luongo for their help in the realization of
the trials and Dr. Robert Milne for his linguistic advice.

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447 Figure captions

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Figure 1 Viability of *Metschnikowia pulcherrima* cells (%) after 36h growth in YEMS and freeze-drying, using different sugar solutions (5% w v⁻¹) as protectants and Ringer solution as rehydration medium. Cells at three different initial concentrations were freeze-dried. Samples were analyzed as soon as the freeze-drying process finished. Each bar represents the mean value and standard deviation of 12 samples obtained from three independent experiments. Bars of the same colour followed by the same letter are not statistically different by Duncan's Multiple Range Test (P < 0.05).

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Figure 2 Viability of *Metschnikowia pulcherrima* cells (%) after 36h growth in YEMS and freeze-drying, using different sugar solutions at different concentrations (5%, 10%, 15%, 20% w v⁻¹) as protectants and Ringer solution as rehydration medium. Initial microorganism concentration was 10^9 cfu mL⁻¹. Samples were analyzed as soon as the freeze-drying process finished. Each bar represents the mean value and standard deviation of 12 samples obtained from three independent experiments. Bars followed by the same letter are not statistically different by Duncan's Multiple Range Test (*P* < 0.05).

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Figure 3 Viability of *Metschnikowia pulcherrima* cells (%) after 36h growth in YEMS and freeze-drying, using maltose and fructose, at two concentrations (20% and 25% w v⁻¹) as protectants and Ringer solution as rehydration medium. Three different initial concentrations were freeze-dried. Samples were analyzed as soon as the freeze-drying process finished. Each bar represents the mean value and standard deviation of 12 samples obtained from three independent experiments. Bars followed by the same letter are not statistically different by Duncan's Multiple Range Test (P < 0.05).

Figure 4 Efficacy of fresh, frozen or freeze-dried cells of *Metschnikowia pulcherrima* strain BIO126 in reducing the development of *Botrytis cinerea* on apples 'Golden Delicious' and 'Gala'. Fruit were artificially wounded, treated with 5×10^8 antagonist cells mL⁻¹ and inoculated after 3h with 5×10^5 conida mL⁻¹ of *Botrytis cinerea*. Thiabendazole (200 µg mL⁻¹; p.a. 19.7%) was used as chemical control. Fruit were stored at $20\pm1^{\circ}$ C for 7 days. Lesion diameters (cm) were measured. Bars of the same colour followed by the same letter are not statistically different by Duncan's Multiple Range Test (P < 0.05).