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Microwave-assisted Extraction of edible Cicerbita alpina Shoots and its LC-12

- **MS Phenolic Profile.** 13
- Lavinia Alexandru<sup>a,b</sup>, Lorena Pizzale<sup>b</sup>, Lanfranco Conte<sup>b</sup>, Alessandro Barge<sup>a</sup> and Giancarlo 15 Cravotto<sup>a,\*</sup>
- 17
- <sup>a</sup> Dipartimento di Scienza e Tecnologia del Farmaco, Università di Torino, Via P. Giuria 9, 10125 18 Torino, Italy. 19
- <sup>b</sup> Dipartimento di Scienze degli Alimenti, Università di Udine, Via Sondrio 2, 33100 Udine, Italy. 20 21
- Abstract 22

23 BACKGROUND: Crude extracts obtained from the edible shoots of Cicerbita alpina using microwave assisted extraction have been qualitatively profiled by liquid chromatography 24 coupled with an Ion Trap Mass Spectrometry detector and an ESI interface (LC/ESI-MS<sup>3</sup>) for 25 their phenolic content. The main challenge of the present investigation was to create a working 26 strategy designed to obtain a rich phenolic profile despite the limited amount of starting plant 27 material and phytochemical data available. 28

RESULTS: The best extraction conditions (temperature 90°C; time 5 min; solvent 29 methanol:water 50:50; sample weight 3 g) were achieved using a full factorial  $2^4$  experimental 30 design. Fifteen compounds, including flavonoid conjugates and phenolic acid derivatives were 31 detected and tentatively identified. The total phenolic content varied from 93.58 mg g<sup>-1</sup> GAE, for 32 the cultivated plant to 10.54 mg g<sup>-1</sup> GAE for the wild one whereas the total flavonoid content 33 varied from 145.00 mg  $g^{-1}$  rutin for the cultivated plant to 25.22 mg  $g^{-1}$  rutin for the wild one. 34

CONCLUSION: A total of eleven compounds are herein reported, for the first time, as coming 35 from this plant source. 36

*Keywords: Cicerbita alpina*; full 2<sup>4</sup> factorial design; microwave assisted extraction; LC/ESI-MS;
 phenolics.

40

### 41 **INTRODUCTION**

Cicerbita alpina (L.) Wallroth. (Blue sow thistle) is a perennial alpine herbaceous plant 42 (Asteraceae family) which is itself common throughout the Alps.<sup>1</sup> The traditional culinary use 43 of the edible shoots of wild plant is well known in the northeastern part of Italy. Moreover, this 44 species is of commercial value due to its limited diffusion which is safeguarded by two Friuli-45 Venezia Giulia regional laws.<sup>2</sup> As a result, a great deal of attention has recently been dedicated 46 to the cultivation of this herb. Having carried out an up-to-date literature survey of the chemical 47 composition of C. alpina it is clear that there is a lack of data regarding the aerial part of the 48 plant, but more detailed data on the composition of the roots is, however, available. Table 1<sup>3-7</sup> 49 sums up the secondary metabolites isolated and identified in C. alpina which have been reported 50 in the literature so far and the chemical structures of the phenolic compounds are shown in Fig. 51 52 1. Nowadays, phenolic compounds are one of the most common groups of phytochemicals in the vegetal kingdom to play an important role in human and animal diet. They exhibit a wide range 53 of physiological properties including anti-allergenic, antiatherogenic, anti-inflammatory, anti-54 microbial, antioxidant, anti-thrombotic, cardioprotective and vasodilatory effects.<sup>8-10</sup> 55

Table 1. Secondary metabolites occurring in C. alpina

Plant organ	Extraction solvent	Isolated compounds	References
Air-dried	МеОН	8-Acetyl-15β-	3,4
subaerial parts		Dglucopyranosyllactucin	
		Sonchuside A	
Air Dried Root	EtOH	8-Acetyl-lactucin	5

		8-Acetyl-11β,13-	
		dihydrolactucin	
		Lactucin	
		11β,13-Dihydrolactucin	
Freeze-dried shoot	МеОН, (СН3)2 СО	8-O-Acetyl-15-β- D-	6
	H2O	glucopyranosyllactucin	
		Chlorogenic acid	
		3,5- Dicaffeoylquinic acid	
		Caffeoyltartric acid	
		Cichoric acid	
Dried roots	EtOH	Imperatorin	7
Dried leaves		Isoimperatorin	
		Oxypeucedanin	
		Ostruthol	
		11β,13- Dihydrolactucin	
		8-Acetyl-15-β-	
		Dglucopyranosyllactucin	



57

58 **Fig. 1.** Chemical structures of phenolic compounds found in the edible *Cicerbita alpina* shoots.

One of the main characteristics required of any biological or analytical test is a systematic 59 approach to the experiments. Design of experiment (DOE, <sup>11-13</sup>) is a statistical methodology that 60 aims to plan and conduct experiments to extract the maximum amount of information in the 61 fewest number of experimental runs. The basic idea is that of simultaneously varying all the 62 relevant factors within a set of planned experiments. The result is a causal predictive model that 63 shows the importance of all the factors and their interactions. These models can be summarized 64 as informative contour plots that highlight the optimum combination of factor settings.<sup>14</sup> 65 Screening is the first stage of such a process and the goal is simply to establish the most 66 important factors together with their interactions. If the combinations of k factors are 67 investigated at two levels, a factorial design will consist of 2<sup>k</sup> experiments.<sup>11</sup> A factorial design 68 explores the influence of all experimental factors and interaction effects on the response. In the 69 70 present study, a design of experiments approach using microwave assisted extraction (MAE) has 71 been developed for the extraction of phenols from the cultivated edible C. alpina shoots. The factors considered in MAE included: methanol/water ratio, sample quantity, extraction 72

temperature and time, whereas the response is expressed in total chromatographic peak area of the most intense characteristic peaks monitored at 280 nm. MAE is widely recognized as a very efficient technique for this application. <sup>15-20</sup> LC/ESI-MS was used as the tools for phenol investigation in the crude extract. Multistage MS analyses provided useful information about the phenolic profile of *C. alpina*. Moreover, this is the first report where the preliminary results obtained combined an improved MAE strategy with the LC/ESI-MS analysis for limited amounts of starting plant material.

80

#### 81 **Experimental**

#### 82 Chemicals

The following flavonoids and phenolic acids were used as standards: acacetin (MW 284), 83 apigenin (MW 270), diosmin (MW 608), eriodictyol (MW 288), hesperetin (MW 302), 84 kaempferol (MW 286), luteolin (MW 286), caffeic acid, ferulic acid, gallic acid, para 85 hydroxybenzoic acid, syringic acid and two flavanone glicosides, apigetrin (apigenin 7-O-86 87 glucoside MW 432) and hesperidin (hesperetin 7-rhamnoglucoside MW 610). HPLC-grade methanol, HPLC-grade formic acid and Milli-Q grade water (Milli-Q Plus system, Milipore, 88 Bedford, USA) were used as solvents. All the chemicals were purchased from Sigma Aldrich 89 (Milan, Italy). 1mg mL<sup>-1</sup> stock solutions were prepared using flavonoid standards dissolved in 90 91 dimethylsulfoxide (DMSO) and phenolic acids in  $H_2O$ : MeOH (5:95, v/v).

92

#### 93 **Plant material**

Edible shoots of wild *C. alpina* were collected on the 27<sup>th</sup> of May, 2010 from the area of Collina/Forni Avoltri in the Udine province of Italy at 1800 m, whereas samples of the cultivated *C. alpina* were collected on the 15<sup>th</sup> of May, 2010 from Piani di Vas/Rigolato, in the same province, at an altitude of 1400 m. The cleaned fresh samples were stored at -20°C until they were freeze-dried. Prior to extraction, the samples were reduced to a fine powder using a
grinding mixer (Analytical grinder A10 3250000, Ika, Germany).

100

#### 101 Design of experiment of microwave-assisted extraction (MAE)

A MAE set was designed for the extraction of phenolics from the cultivated *C. alpina* shoots. MAE experiments were performed with a professional microwave oven (Mars 1200 W, 2450 MHz, CEM Corporation, Matthews, North Carolina, USA). The instrument has an internal temperature control system with an optical fiber thermometer and a pressure control system. 30 mL of solvent (MeOH/H<sub>2</sub>O mixture) were added to 1.0-3.0 g of plant material which has been placed in an inner vessel. The extraction was carried out under different extraction conditions at 300 W irradiation power. The extracts were filtered and the filtrates evaporated under vacuum.

109 The variables of the study include methanol/water ratio, sample quantity, extraction temperature

and time, whereas the response is expressed in the total chromatographic area of the most intense

111 characteristic peaks monitored at 280 nm. The coded values which correspond to the upper (+1),

112 intermediate (0) and the lower level (-1) ranges for each variable, are listed in Table 2.

Table 2. Factors and coded levels applied in the MAE procedure of total phenols

Independent variable	Lower level (-1)	Intermediate level (0)	Upper level (1)
X1: Extraction temperature	40°C	65°C	90°C
X2: Extraction time (min)	5	15	25
X3: Extraction solvent (%)	50	75	100
(binary mixtures MeOH–H <sub>2</sub> O)			
X4: Sample quantity (g)	1	2	3

113

# 115 **2<sup>4</sup> Full factorial design**

Modde procedures for design of experiments (Modde ver. 8.02, MKS Umetrics AB, Sweden) were employed to design and analyze the experimental data. 19 experiments were used to optimize the four aforementioned factors in the  $2^4$  full factorial design applied to the MAE of phenols from *C. alpina* edible shoots. The most important factors and their interactions are depicted as contour plots of the MAE process. All runs were randomly performed in triplicate and the chromatographic area averages of the most characteristic peaks at 280 nm were taken as the response.

123 The model fit was evaluated by examining the summary of the fit,  $R^2$  (measures fit),  $Q^2$ 124 (measures predictive power), model validity (indicates if the model is appropriate) and 125 reproducibility, coefficients, ANOVA and the effect plots for screening designs. Model adequacy 126 was further assessed using a plot of residuals against predicted values.

127

#### 128 **Total phenolic content micro-assay**

Phenolic content was determined, according to the method developed by Cicco et al.<sup>21</sup>, on the 129 crude extracts obtained under the optimized MAE conditions. The proposed method is a 130 variation on the classical Folin-Ciocalteu method which uses a new combination among time, 131 temperature, alkali and alcohol for the spectrophotometric evaluation of low-concentration 132 phenolics in methanol extracts. The absorption of the final mixtures was measured at 740 nm, in 133 a 1 cm cuvette, on a UV/VIS Varian Cary 1E spectrophotometer (Agilent Technologies, Santa 134 Clara, CA, USA). The former conditions enabled a high accuracy and reproducibility of the 135 assay. Quantification was carried out on the basis of a standard curve which was prepared using 136 different dilutions of a 1 mg mL<sup>-1</sup> solution of gallic acid in methanol as the reference phenolic 137 compound. Total phenolics values are expressed as gallic acid equivalents (mg  $g^{-1}$  GAE of dry 138

139 weight DW). All measurements were performed in triplicate and expressed as averages ±
140 standard deviation.

# 141 **Total flavonoid content**

The aluminum chloride colorimetric method was used for the determination of flavonoid content in the crude extracts obtained under optimized MAE conditions. <sup>22</sup> Each plant extract (0.5 mL) was mixed with MeOH (1.5 mL), 10% aluminum chloride (0.1 mL), 1 M sodium acetate (0.1 mL) and distilled water (2.8 mL). Absorbance at 415 nm was recorded after 30 min of incubation at room temperature. A standard calibration curve was generated at 415 nm using known concentrations of rutin. Total flavonoid values in the test samples were calculated from the calibration curve and expressed as mg rutin equivalents per g of sample.

149

#### 150 LC/ESI-MS analyses

5 mg of freeze-dried crude cultivated herb extract were dissolved in 5% MeOH (2 mL). Prior to 151 the analysis, the sample was filtered through a 0.45 µm syringe filter. LC/ESI-MS analyses and 152 153 MS<sup>n</sup> experiments were performed on a Finnigan LXQ linear ion trap operating in negative ion mode coupled with a Finnigan Surveyor LC Pump Plus equipped with a Finnigan Surveyor 154 Autosampler Plus (Thermo Scientific, San Jose, CA, USA). The LC separations were performed 155 on a C18 Novapack 4 µm analytical column, 150 x 4.6 mm, operating at 30°C, 1 mL min<sup>-1</sup> flow 156 after 1:20 flow splitting (accurate flow splitter, LC Packings, Amsterdam, The Netherlands) and 157 with an acidified mobile phase composed of H<sub>2</sub>O (0.1% HCOOH, v/v) and MeOH ((0.1% 158 HCOOH, v/v). The injection volume was 10µL. Collision-induced dissociation (CID) multiple 159 MS spectra (MS<sup>n</sup> experiments) were acquired using helium as the collision damping gas in the 160 161 ion trap at a pressure of 1 mTorr. The gradient elution steps were (time, % B): 0.01, 5; 5, 5; 20, 30; 30, 40; 40, 45; 50, 50; 60, 100; 70, 100. Mass selection of the analyte by m/z was followed by 162

fragmentation and fragment analysis. The full mass spectra were recorded in the 100-1000 m/zrange.

165

#### 166 **RESULTS AND DISCUSSION**

#### 167 **Optimization of MAE conditions**

In view of the greater availability of the cultivated, as opposed to the collected edible shoots of 168 C. alpina, the experimental design was conducted on this source. In order to optimize the four 169 extraction parameters, 19 software generated runs were used to evaluate the total 170 171 chromatographic area at 280 nm. The response values together with the experimental combinations are listed in Table 3. The MAE total chromatographic area of the most 172 characteristic peaks at 280 nm ranged from 41218 to 74554 A.U. The crude extract of the wild 173 174 edible C. alpina shoots was obtained with the optimized MAE method. Two representative HPLC chromatograms recorded at 280 nm and that correspond to the crude extracts of the 175 176 cultivated (A) and the wild edible shoots of C. alpina (B), both obtained following the optimum 177 MAE conditions, are shown in Fig. S1. The response contour plots that show the different 178 interactions between the variables are shown in Fig.2.

 Table 3. Screening factorial design in terms of coded values and response values for total chromatographic area at 280 nm

 The second second

Experiment no.	X1 (°C)	X2 (min)	X3 (%)	X4 (g)	Response (AU)
1	-1	-1	-1	-1	55 594
2	1	-1	-1	-1	59 216
3	-1	1	-1	-1	53 012
4	1	1	-1	-1	49 516
5	-1	-1	1	-1	41 344
6	1	-1	1	-1	48 943

7	-1	1	1	-1	46 335
8	1	1	1	-1	45 469
9	-1	-1	-1	1	66 283
10	1	-1	-1	1	74 554
11	-1	1	-1	1	56 078
12	1	1	-1	1	57 059
13	-1	-1	1	1	51 481
14	1	-1	1	1	55 857
15	-1	1	1	1	41 218
16	1	1	1	1	44 596
17	0	0	0	0	49 821
18	0	0	0	0	47 781
19	0	0	0	0	46 312





182 Fig. 2. UV-chromatograms of the crude extracts of the cultivated (A) and wild (B) edible shoots

183 of *C. alpina* recorded at 280 nm.



186 **Fig. 3.** Plot of residuals against predicted values.



188 **Fig. 4.** Product-ion spectra of hesperitin (parent ion m/z 301).

The ANOVA of the model showed that the values of the determination coefficient,  $R^2$ , and the adjusted determination coefficient,  $R^2$  adj., were 0.9243 and 0.8295 respectively, which demonstrates a high degree of correlation between the observed and the predicted values. ANOVA also showed that the *p*-value of the model was 0.002 indicating that the model was statistically significant at the 95% confidence level (p<0.05).

In view of these results, the optimum MAE conditions were: extraction temperature (90°C), extraction time (5 min), solvent ratio (50%) and sample quantity (3 g). Fig. 3 presents the assessment of the model adequacy in the form of a plot of residuals against predicted values. Under the optimal conditions, the model predicted a response of 76335 A.U. and a mean value of 74820  $\pm$  1616 with RSD= 0.02% (*n*=3). The model adequacy was confirmed by the good correlation between the results.

200 At higher temperature, solvent viscosity decreased enhancing the diffusivity and, thus, extraction efficiency.<sup>23, 24</sup> The reason for the higher extractability of phenols is the introduction of water to 201 methanol. The increase in plant tissue permeability can be explained by the presence of water 202 which enables better mass transfer via diffusion.<sup>25</sup> The volume of solvent used was indeed 203 important because a higher volume of solvent generally increases recovery in conventional 204 205 extraction techniques, but studies concerning recoveries in MAE show that in this case, lower recoveries were achieved. <sup>15, 26, 27</sup> The highest amount of phenolic compounds was achieved after 206 5 minutes of MAE irradiation, thus, it can be supposed that prolonged exposure to the irradiation 207 leads to the degradation of the phenols as the solute/solvent system overheats.<sup>28</sup> 208

209

#### 210 Colorimetric analyses

In order to roughly estimate the total phenolic and flavonoid content in the extracts, two basic spectrophotometric assays were employed and preceded the LC-MS analyses. The total phenolic content varied from 93.58  $\pm$  0.47 mg g<sup>-1</sup> GAE, for the crude extract of the edible shoots of the cultivated *C. alpina*, to 10.54  $\pm$ 0.41 mg g<sup>-1</sup> GAE for the wild one whereas the total flavonoid content varied from 145.00  $\pm$  0.59 mg g<sup>-1</sup> rutin for the crude extract of the cultivated *C. alpina*, to 216  $25.22 \pm 0.21 \text{ mg g}^{-1}$  rutin for the wild one. According to Schieber *et al.* <sup>29</sup>, the Folin-Ciocalteu 217 method leads to an overestimation of the total phenolic content in plant extracts, due to 218 interference from reducing substances, so a chromatographic approach is essential for a better 219 estimation of the phenolic content.

- 220
- 221

# LC/ESI-MS analyses

222 The analyses of the crude extracts by LC-MS were preceded by the analysis of a mixture of common phenolic standards, which are available in our laboratory, in order to evaluate the 223 fragmentation behavior present in the product-ion spectra. According to Justensen  $^{30}$ , the 224 product-ion spectra of the standard aglycones (for flavonoids) provided information about their 225 specific A-ring and B-ring fragmentation. The A-ring fragments m/z 151 and m/z 107 were 226 present as common fragments in the product-ion spectra of the main flavanone (eriodyctiol, 227 hesperitin) and flavone (apigenin, luteolin, acacetin) standard compounds. The specific B-ring 228 fragments observed for flavones and flavanones were: m/z 117 for apigenin, m/z 133 for luteolin 229 and m/z 135 for eriodyctiol. Mass spectra of the methoxylated flavonoids, acacetin and 230 hesperitin, revealed base peaks whose m/z values correspond to the loss of •CH<sub>3</sub> from the 231 deprotonated ion [M-H-15]• and did not provide B-ring fragments (see example in Fig. 5). 232





	1	υ	1	2	υ
Peak	tR (min)	[M-H]-	MS2 ions	MS3 ions	Tentative assignement
		(m/z)			
1	1.7	533	191 (21)		Quinic acid derivative
2	11.07	311	179 (65)	149 (30)	Caftaric acid
3	16.79	353	179 (9), 191 (100)		Caffeoylquinic acid
4	17.01	179	161 (54), 135		Caffeic acid
			(100), 143 (69)		
5	18.81	447	401 (2)	285 (100)	Luteolin derivative
6	19.38	431	385 (100)		1- <i>Ο-β</i> -D-
					Glucopyranosyl
					sinapate
7	23.1	463	287 (100)		Eriodyctiol
					glucuronide
8	23.21	473	311 (100), 293		Caffeic acid apiosyl
			(92), 179 (2)		glucoside
9	24.07	473	311 (100)	179 (58)	Cichoric acid
10	25.64	609	447 (7), 285 (100)		Kaempferol-3_,7di-
					O-glucoside
11	27.95	515	353 (100)	179 (53),	3_,50-
				191 (100)	Dicaffeoylquinic acid
12	28.49	461	285 (100)		Luteolin glucuronide
13	29.33	593	447 (100)		Luteolin
					rhamnoglucoside
14	31.5	431	269 (57)		Apigenin-7- <i>O-β</i> -
					glucoside
15	34.36	533	489 (100)	285 (100)	Luteolin
					acetylglucoside

Table 4 Tentative peak assignments of C. alpina crude extract obtained by negative LC/ESI-MS

236 In this first stage of our study we analyzed the crude extract of the cultivated herb, rather than the wild type, due to the higher number of compounds that absorb at 280 nm present in it. Fifteen 237 peaks, numbered as 1-15, were detected and tentatively assigned as belonging to both flavonoid 238 239 and phenolic acid classes. Characterization of the phenolic compounds was based on the mass spectra obtained under ESI and multistage MS, comparison with reference compounds and with 240 literature data. <sup>30-38</sup> The HPLC-MS profile of the *C. alpina* extract is presented in Fig 5. MS<sup>n</sup> 241 analyses in negative mode were performed on each molecule and the data obtained are 242 summarized in Table 4. 243

In negative MS analysis, the molecular ion occurs due to the breakdown of the O-glycosidic 244 bond in the 7-position. 162 amu are lost in monohexosides and 324 in dihexosides. <sup>39</sup> The MS 245 spectra of compounds 1-4 revealed specific fragments that characterize the presence of three free 246 phenolic acids (2-4) and a diglucoside of quinic acid (1) which is highlighted by the fragment 247  $MS^2$  191, the loss of two hexose units and a water molecule. Based on literature data <sup>40</sup>. 248 compounds 2 and 3 were tentatively assigned as caftaric acid  $((m/z) 311 \rightarrow 179 \rightarrow 149)$  and 249 caffeoylquinic acid ((m/z)  $353 \rightarrow 179 \rightarrow 191$ ). Caffeic acid ((m/z)  $179 \rightarrow 161 \rightarrow 135 \rightarrow 143$ ) was 250 identified by comparing its mass spectra with the one of a reference compound. Another mass 251 fragmentation pattern, similar to the one found for compound 2, can be seen in the case of 252 compound 11. In this case, the fragmentation pattern of 3', 5' caffeoylquinic acid is identical to 253 the same compound reported in other studies. A caffeic acid derivative found in the mass spectra 254 of compound 8, is revealed by the presence of the fragment  $MS^2$  179 which corresponds to 255 caffeic acid and by the losses of 162 amu (hexose unit) and 132 amu (apiosyl moiety). Data 256 previously reported in literature and the mass fragmentation of compound 9 revealed a derivative 257 258 of caffeic acid (MW 180) and tartaric acid (MW 150), namely cichoric acid. The negative ESI-MS spectra of compounds 2, 5, 9 and 11 are shown in Fig. 7. On the basis of literature data <sup>31-39</sup> 259 and of the mass spectra analyses, four compounds (5, 12, 13 and 15) were tentatively assigned as 260

261 luteolin derivatives. In all cases, the fragmentation of the deprotonated ion resulted in fragments 262 having in common the aglycone m/z 285. Luteolin was identified by comparison its mass spectra 263 with an authentic standard. The assignment of compound **14** as apigenin-7-*O*-β-glucoside was 264 done via its comparison with a standard compound. Kaempferol 3'-7'-di-*O*-glucoside was 265 unambiguously assigned to compound **10**, on the basis of literature data and comparison with the 266 aglycone kaempferol reference standard.

267

# 268 Conclusions

A multitask approach was developed for the extraction and the analysis of the phenolic profile of 269 270 the edible shoots of cultivated C. alpina. The best extraction conditions were achieved using a full factorial  $2^4$  experimental design that covered all the main extraction parameters. The 271 analytical approach was carried out by an original way to overcome the limitations deriving from 272 273 the scarce availability of the plant material. The developed microwave-assisted extraction of 274 phenolic compounds was followed by a qualitative LC/ESI-MS analysis which enabled their 275 identification. Research is in course to set up a comprehensive metabolic profile of the crude 276 extracts derived from this culinary herb.

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