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**Soil water-holding capacity mediates hydraulic and hormonal signals of near-isohydric and near-anisohydric *Vitis* cultivars in potted grapevines**

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(Article begins on next page)

1 **Soil water-holding capacity mediates hydraulic and hormonal signals of**  
2 **near-isohydric and near-anisohydric *Vitis* cultivars in potted grapevines.**

3 **Abridged title:** Soil and genotype influence on grapevine response to drought.

4 Sara Tramontini<sup>a,b</sup>, Johanna Döring<sup>c</sup>, Marco Vitali<sup>b</sup>, Alessandra Ferrandino<sup>b</sup>, Manfred  
5 Stoll<sup>c</sup>, Claudio Lovisolo<sup>b</sup>

6 <sup>a</sup> *European Food Safety Authority (EFSA), Plant Health Unit (PLH), via Carlo Magno 1/a, 43126 Parma,*  
7 *Italy. The positions and opinions presented in this article are those of the author alone and are not intended*  
8 *to represent the views or scientific works of EFSA.*

9 <sup>b</sup> *University of Turin, Department of Agricultural, Forest and Food Sciences, DISAFA, via Leonardo da Vinci*  
10 *44, 10095 Grugliasco, Italy*

11 <sup>c</sup> *Hochschule Geisenheim University (HGU), Department of General and Organic Viticulture, Von-Lade Str.*  
12 *1, D-65366, Germany*

13

14 **Summary Text for the Table of Contents.**

15 The ecophysiological behaviour of grapevine cultivars in response to drought is  
16 influenced by the soil conditions and by the plant genotype. These two components  
17 interact through a complex of hydraulic and hormonal signal exchanges occurring  
18 between roots and leaves. Our work highlights the differences in these signals observed  
19 in a near-isohydric and a near-anisohydric grapevine cultivars on two soil substrates  
20 with different textures, causing different dynamics of water deprivation during an  
21 imposed increasing water stress.

22 **Abstract**

23 Grapevine (*Vitis vinifera* L.) expresses different responses to water stress, not only  
24 depending from genotype, but also from the influence of vineyard growing conditions  
25 or seasonality. We aimed to analyze the effects on drought response of two grapevine  
26 cultivars growing on two soils, one water draining (WD) containing sand 80% vol. and  
27 the other water retaining (WR), with no sand. Under these two different water-holding  
28 capacities Syrah, displaying a near-anisohydric response to water stress, and Cabernet

29 Sauvignon (on the contrary, near-isohydric) were submitted to water stress in a pot trial.  
30 Xylem embolism contributed to plant adaptation to soil water deprivation: in both  
31 cultivars during late phases of water stress, however, in Syrah, already at moderate early  
32 stress levels. By contrast, Syrah showed a less effective stomatal control of drought than  
33 Cabernet Sauvignon. The abscisic acid (ABA) influenced tightly the stomatal  
34 conductance of Cabernet Sauvignon on both pot soils. In the near-anisohydric variety  
35 Syrah an ABA-related stomatal closure was induced in WR soil to maintain high levels  
36 of water potential, showing that a soil-related hormonal root-to-shoot signal causing  
37 stomatal closure superimposes on the putatively variety-induced anisohydric response to  
38 water stress.

39 **Key words:** abscisic acid (ABA), cavitation, embolism, hydraulic conductance, water  
40 potential.

#### 41 **Introduction**

42 Grapevine (*Vitis vinifera* L.) is a species expressing both isohydric and anisohydric  
43 behaviours, not only depending from genotype (Schultz 2003), but also from the  
44 influence of growing conditions or seasonality (Chaves *et al.* 2010, de Souza *et al.*  
45 2003) or from the environmental conditions to which the plant was exposed (Collins *et*  
46 *al.* 2010; Lovisolo *et al.* 2010; Pou *et al.* 2012; Tramontini *et al.* 2013a).

47 Although the genotype itself is not sufficient to preview the physiological behaviour of  
48 grapevine plants, some cultivars have been more frequently observed expressing  
49 consistent results than others. One of these is Syrah. This cultivar, of mesic origin, has  
50 been mainly categorized as anisohydric, either from observations of plants under field  
51 conditions (Schultz 2003; Rogiers *et al.* 2009; Soar *et al.* 2009) or in pots (Soar *et al.*  
52 2006). Cabernet Sauvignon, on the other hand, has been more frequently observed to  
53 display a response to water deprivation nearer to isohydric type (Hochberg *et al.* 2013).  
54 Owing to the differential response observed on these two cultivars under the same water  
55 conditions, Cabernet Sauvignon and Syrah have already been coupled in comparative  
56 experiments (Chalmers 2007; Petrie and Sadras 2008; Rogiers *et al.* 2009; Hochberg *et*  
57 *al.* 2013) and can therefore be selected as efficient models for representing iso- and  
58 anisohydric behaviours.

59 The stomatal control, which is an endogenous, but highly variable character, was  
60 considered in combination with the soil effect. Soil is in fact another crucial component  
61 in grape and wine production, not only because it determines the water and nutrients  
62 availability for the plant and therefore its productive performances, but also for its  
63 specific implication in the “*terroir* effect” in viticulture (Bodin and Morlat 2006; van  
64 Leeuwen *et al.* 2009). In spite of the acknowledged importance on grape and wine  
65 production, not many studies attempted to quantify its effects with comparative trials.  
66 For this reason, in the presented work, we decided to focus the attention only on the  
67 differences produced by two soils in terms of soil texture and related water availability  
68 provided to the plant: one single aspect which is, however, strongly influenced by  
69 physical, chemical, and biological properties of the substrate. When a soil dries, in fact,  
70 the increasing drought affects the plant in multiple and complex ways (Whitmore and  
71 Whalley 2009).

72 Cavitation of the xylem vessels is a very relevant consequence of the limited soil  
73 moisture, as it can produce dramatic consequences by reducing the hydraulic  
74 conductivity of the vascular tissues and impairing the possibility for the plant to replace  
75 transpired water (Brodersen *et al.* 2013). It is also one of the most studied effects of  
76 drought in grapevine, in combination with loss in hydraulic conductance (Lovisolo and  
77 Tramontini 2010). In leaves, cavitation and consequent embolism formation affect  
78 mainly the leaf midrib (Blackman *et al.* 2010), with a conductivity loss in grapevine  
79 petioles of 50% at  $\Psi_{\text{stem}}$  of -0.95 MPa and of more than 90% at -1.5MPa (Zufferey *et al.*  
80 2011). On the other hand, the entity of damage produced by cavitation and the break  
81 against its propagation are modulated by the speed and intensity of stomata reaction and  
82 by its effect on transpiration (Domec and Johnson 2012) approximating leaves to  
83 hydraulic fuses of the plant (Zufferey *et al.* 2011).

84 Embolism formation and repair is controlled by a likely hydraulic mediation at the leaf  
85 level (Pantin *et al.* 2013) and via chemical signals (Salleo *et al.* 1996; Lovisolo and  
86 Schubert 2006) among which abscisic acid (ABA) has a crucial role. ABA is in fact the  
87 hormone devoted to drive the stomatal response to drought: when the soil water  
88 potential declines, ABA acts as a messenger indicating water stress from the roots, via  
89 the xylem sap, to the guard cells in the leaves and inducing the stomata closure  
90 (Hartung *et al.* 2002), limiting in such a way the potential consequences of embolism

91 formation (Chitarra *et al.* 2014). When the water availability is recovered to an adequate  
92 level, the roots stop releasing the hormone and the stomata re-open. The delayed  
93 interruption of the signal, much more gradual than the initial release, suggests a further  
94 action of the hormone on the embolisms repair (Lovisol *et al.* 2008; Perrone *et al.*  
95 2012).

96 Furthermore, in grapevine metabolic and hydraulic behaviour have shown to be related,  
97 according to the observations recently published by Hochberg *et al.* (2013) from a study  
98 conducted on Cabernet Sauvignon and Syrah plants too. In this work the more  
99 anisohydric grapevine cultivar showed higher water uptake and higher  $g_s$  than the near-  
100 isohydric cultivar.

101 The aim of the present work is to analyze the effect of two types of drying soil, differing  
102 in water retaining properties, on two grapevines genotypes, characterized by different  
103 ecophysiological behaviour, from the point of view of the hydraulic balance of the plant  
104 (i.e. water potential, stomatal control, embolism formation), and its hormonal (ABA)  
105 control of water losses.

## 106 **Materials and Methods**

### 107 *Plant material and growing conditions*

108 The trial was conducted in August 2012 at Hochschule Geisenheim University  
109 (Geisenheim, Germany) on 16 three-year-old plants of *Vitis vinifera* L. of two  
110 genotypes: 8 plants of ‘Cabernet Sauvignon’ and 8 of ‘Syrah’. Both were grafted on  
111 hybrids of *Vitis berlandieri* × *Vitis riparia* (‘161-49 Couderc’ for ‘Cabernet Sauvignon’  
112 and ‘420A Millardet Et De Grasset’ for ‘Syrah’) of comparable characteristics (Whiting  
113 2004), especially in controlling the interrelationship between leaf or stem water  
114 potential and stomatal conductance (Tramontini *et al.* 2013b). The plants were  
115 maintained under glasshouse conditions with no supplementary light or heating in 9 L  
116 (24 cm average diameter) plastic pots filled (20 cm depth) with two different substrates,  
117 one water draining (WD soil) and the other water retaining (WR soil). The WD  
118 substrate was composed of 80 % vol. of sand and 20 % vol. of ED 73 (Einheitserde  
119 Classic, Einheitserde-Einheitserde- und Humuswerke Gebr. Patzer GmbH & Co.KG,  
120 Sinntal, Germany; consisting of 55% white peat, 30% clay, 15% sod peat; chemical

121 properties pH (CaCl<sub>2</sub>) 5.8, salt content 2.5 g L<sup>-1</sup>) including nutrient salt (14+16+18, 1 kg  
 122 m<sup>-3</sup>) and a slow-release fertilizer (Gepac LZD 20+10+15, 2 kg m<sup>-3</sup>), the WR substrate  
 123 consisted entirely of ED 73.

124 Plants were watered to container capacity at the beginning of the experiment  
 125 (Tramontini *et al.* 2013b) and fertilized in order to bring them to the same level of  
 126 nitrogen availability. Soil nitrogen content after the fertilization was estimated  
 127 according to Robinson recommendations (1988), confirming that at the beginning of the  
 128 experiment the two different substrates had approximately the same amount of available  
 129 nitrogen. Data collection started when the plants had reached a mild water stress ( $\Psi_{\text{stem}}$   
 130  $\leq -0.5$  MPa), such as four days after interruption of irrigation. In that moment plants had  
 131  $14.4 \pm 2.8$  leaves with no significant differences between cultivars or soils. Each plant  
 132 was excluded from the trial when wilting was observed.

133 Soil water content ( $\theta$ , %), soil water potential ( $\Psi_{\text{soil}}$ , MPa), stem water potential ( $\Psi_{\text{stem}}$ ,  
 134 MPa), xylem embolism extent and stomatal conductance ( $g_s$ , mmol m<sup>-2</sup> s<sup>-1</sup>) were  
 135 assessed during the whole duration of the experiment. All measurements were taken  
 136 daily between 9:30-12:00 and 14:00-17:00 in order to standardize putative control of  
 137 circadian expression in cell water channels (Uehlein and Kaldenhoff 2006).

### 138 *Water relations*

139 Soil water content ( $\theta$ ) was gravimetrically determined by collecting daily approximately  
 140 10 ml of soil from three different points and depths in each pot (5, 10, 15 cm depth at  
 141 the half of rays 120° distant one from the other). The soil was weighed, oven-dried at  
 142 100 °C for 24 h and then re-weighed to assess water content. At the same time, the  
 143 water retention curves for the two soils were assessed with pressure plate measurements  
 144 of the potting substrate (Richards 1965), obtaining two equations:

145 WR soil  $-\Psi_{\text{soil}} = 53.791 * e^{-0.127 * \theta}$

146 WD soil  $-\Psi_{\text{soil}} = 1.3423 * e^{-0.264 * \theta}$

147 The obtained relationships allowed for the calculation of  $\Psi_{\text{soil}}$  based on  $\theta$ .

148  $\Psi_{\text{stem}}$  was measured on mature, undamaged and non-senescent leaves using a pressure  
149 chamber (Soilmoisture Corp., Santa Barbara, CA, USA) (Scholander *et al.* 1965) at  
150 midday according to Turner (1988). Prior to the measurements leaves were bagged with  
151 a plastic sheet and covered with aluminium foil to stop transpiration at least 1 h before  
152 measurements were taken.

### 153 *Xylem embolism*

154 Daily determination of xylem embolisms in leaf petioles, induced by the presence of air  
155 bubbles in xylem vessels, was carried out around midday using a high-pressure  
156 flowmeter (HPFM, Dynamax Inc., Houston, TX, USA) (Tyree *et al.* 1995). As the  
157 assessment of embolism extent is a destructive analysis, leaf petioles were used as a  
158 proxy of the plant behaviour (Lovisolo *et al.* 2008; Perrone *et al.* 2012). During the  
159 whole duration of the experiment macro- and microbubbles were regularly flushed out  
160 of the system according to the manufacturer's instruction manual and the mismatch  
161 between the two pressure transducers was controlled daily by running the 'Set Zero'  
162 routine before measuring.

163 For each determination of percent loss of conductivity (PLC), the petioles and leaves  
164 were cut under water from the shoots and immediately attached to the HPFM tubing  
165 under water preventing air bubbles to enter the system. The leaves were cut ~1 cm  
166 above the petiole insertion a few seconds after starting the measurement. The initial  
167 hydraulic conductance  $K_{\text{hi}}$  was determined applying an initial pressure of ~20 kPa for 3  
168 min. Distilled and degassed water with an addition of 10 mmol L<sup>-1</sup> KCl was used as  
169 perfusion liquid. Petioles were then flushed for 3 min applying a transient increase of  
170 pressure until a pressure of ~550 kPa was reached. This pressure was kept constant for 3  
171 min. To determine the final hydraulic conductance  $K_{\text{hf}}$  the pressure was downregulated  
172 to ~20 kPa and held constant for 3 min. To calculate  $K_{\text{hi}}$  and  $K_{\text{hf}}$  average values of the  
173 hydraulic conductance of the respective timespans were used.

174 Data were displayed and stored using the software HPFM95-XP Version 1.12  
175 (Dynamax Inc.) and exported and processed using Microsoft Excel.

176 The percent loss of conductivity (PLC) was determined as follows:

$$177 \text{ PLC [\%]} = \frac{(K_{\text{hf}} - K_{\text{hi}})}{K_{\text{hf}}} * 100$$

178 After the embolism determination the length and the maximum and minimum diameter  
179 of the petioles was assessed.

#### 180 *Stomatal conductance*

181 Measurements of  $g_s$  were carried out on adult, non-senescent leaves that were well-  
182 exposed to direct sunlight.  $G_s$  was measured using a porometer (AP4, Delta-T Devices  
183 Ltd, Cambridge, UK). Measurements on three leaves per plant were taken for every  
184 measuring cycle and the  $g_s$  values of the three leaves were averaged.

#### 185 *Analysis of abscisic acid (ABA) in leaves*

186 ABA was extracted from leaves where stomatal conductance was assessed applying the  
187 method described by Materán *et al.* (2009) with some adaptations: 2 g of frozen tissue  
188 were grounded to powder under liquid nitrogen, 5 ml of 80 % Methanol were added and  
189 the samples were extracted at 4 °C overnight. Samples were centrifuged at 4000 rpm for  
190 5 min, the supernatant was transferred to a flask and methanol was evaporated. The pH  
191 was adjusted to values between 8-9 with a phosphate buffer; 1 ml of ethyl acetate was  
192 added and samples were centrifuged at 4000 rpm for 5 min; after discarding the  
193 supernatant, the pH was adjusted to 2-3 (with 1N HCl), 2 ml of ethyl acetate were added  
194 and the samples were centrifuged at 4000 rpm for 5 min. The supernatant was removed  
195 and the ethyl acetate fraction was evaporated. The dry residue was re-suspended in  
196 methanol, filtered in brown vials and injected into a 1260 Infinity HPLC-DAD System  
197 (Agilent Technologies, Cernusco sul Naviglio, Milano, Italy). ABA was separated on a  
198 Purosphere® STAR RP-18, 5 µm, LiChroCART (250-4) (Merck, Darmstadt, Germany)  
199 column thermostated at 35 °C. The solvent gradient used was 100 % A (94.9 % H<sub>2</sub>O: 5  
200 % CH<sub>3</sub>CN: 0.1 % HCOOH) to 100 % B (5 % H<sub>2</sub>O: 94.9 % CH<sub>3</sub>CN: 0.1 % HCOOH)  
201 over 20 min. Solvent B was held at 100 % for 10 min then the solvent returned to 100 %  
202 A (Forcat *et al.* 2008). The flow rate into the column was set at 0.5 ml/min. DAD  
203 detection was performed at 262 nm, acquiring spectra in the range 190/700 nm.



204 To quantify ABA concentration in leaf samples the external standard method was used  
205 by building a calibration curve with ( $\pm$ )- Abscisic acid,  $\geq 98.5\%$  (Sigma Aldrich SRL,  
206 Milan, Italy) concentration ranging from 13.5 to 54.0 mg L<sup>-1</sup>; ABA identification was  
207 performed on the basis of retention times and of DAD spectrum comparison respect to  
208 the standard solution.

### 209 *Statistical analysis*

210 Regression coefficients were obtained using Excel (Microsoft, Redmond, WA, USA),  
211 and statistical analysis was performed with univariate analysis of variance (ANOVA)  
212 and multivariate analysis of variance (MANOVA) to reveal differences among cultivars  
213 and soils, by using IBM SPSS statistics 20.0 software package (SPSS, Chicago, IL).  
214 Differences between means were revealed by Tukey test ( $p < 0.05$ ).

215

## 216 **Results**

### 217 *Interrelationships between stomatal conductance and soil and stem water potential in* 218 *different soils and cultivars*

219 Our observations excluded the initial phase of optimal water availability and focused on  
220 the dynamics of water relations evolving from mild (day 1 of measurements) to extreme  
221 drought, as shown in Fig. 1. The soil water content between WR and WD soils was very  
222 different from the beginning, however, the dynamics of the daily averages of  $\Psi_{\text{stem}}$  and  
223  $g_s$  did not express constant differences between soils and cultivars along the period of  
224 the trial. The proportion of embolized vessels at petiole level (PLC) was higher on WD  
225 soil than on WR for most of the trial, but not constantly along the trial.

226 In spite of that, the relationship between  $\Psi_{\text{stem}}$  and  $\theta$  highlights how the two substrates  
227 are distinct for their effect on plant water status (Fig. 2). These differences are already  
228 evident at mild water stress conditions ( $\Psi_{\text{stem}}$  around -0.5 MPa) and while on WR soil  
229 the two cultivars show a linear relationship with  $\Psi_{\text{stem}}$  decreasing with decreasing  $\theta$   
230 (expressed as small, negative slope of regression lines), on WD the  $\theta$  is so reduced that

231  $\Psi_{\text{stem}}$  changes substantially for any small variation of  $\theta$  (expressed as higher, negative  
232 slope of regression lines).

233 The measured  $\Psi_{\text{stem}}$  was then combined with the calculated soil water potential ( $\Psi_{\text{soil}}$ )  
234 (Fig. 3). The obtained curves show that during water stress  $\Psi_{\text{stem}}$  declined following a  
235 decrease in  $\Psi_{\text{soil}}$ . In Cabernet Sauvignon this plant adaptation was evident at mild stress  
236 conditions, and apparently delayed (and/or less effective) in Syrah.

237 The response of  $g_s$  to  $\Psi_{\text{stem}}$  was maximum at the beginning of the trial with an overlap  
238 of the two curves representing the two cultivars at around -1.4 MPa (Fig. 4a). In  
239 comparison to Syrah Cabernet Sauvignon showed lower  $g_s$  under mild water stress  
240 conditions without strong changes under severe water stress conditions characterising  
241 its isohydric behaviour. Our experiment focuses on results obtained under stress, but  
242 hypothetical relationships preceding limiting conditions can be drafted: in these  
243 conditions Cabernet Sauvignon would probably have shown a steep adaptation to water  
244 stress, while Syrah progressively coupled stomatal function with decreasing plant water  
245 status (Fig. 4a). When splitting the two curves for the soil plots, further observations can  
246 be collected (Fig. 4b). The two cultivars on WD soil maximize their differences,  
247 whereas on WR soil they become minimized. Syrah maintains generally higher  $g_s$   
248 values than Cabernet Sauvignon, but, while, at a given  $\Psi_{\text{stem}}$ , in Syrah  $g_s$  is higher on  
249 WD than on WR soil, the opposite happens in Cabernet Sauvignon.

250 When these results are presented in form of average values, as illustrated in Fig. 5, all  
251 these differences in  $g_s$  of the two cultivars appear significantly valid at  $\Psi_{\text{stem}}$  not lower  
252 than -1 MPa, whereas no significant differences between  $g_s$  of the different cultivars  
253 occur at  $\Psi_{\text{stem}}$  lower than -1 MPa.

254 By sorting all measurements of stomatal conductance and stem water potential in three  
255 homogenous groups according to decreasing levels of soil water potential, it is possible  
256 to run a statistical analysis of results collected at comparable level of soil water  
257 availability (Table 1). At highest levels of soil water potential (mild water stress) the  
258 cultivar and not the soil significantly drives stomatal conductance, buffering stem water  
259 potential adjustments. When water availability in soil further decreases (intermediate  
260 water stress) soil properties significantly influence stomatal response. In such

261 conditions, in WR soils a stomatal closure is induced to maintain high levels of stem  
262 water potential. In Cabernet Sauvignon the putative isohydric control on water potential  
263 is not so effective, as in parallel to a not significant stomatal closure, plants respond to  
264 water deprivation with a decrease in water potential. Under severe water stress ,  
265 however, stomatal control does not avoid decrease on water potential. At these severe  
266 levels of water deprivation, soil properties do not influence  $g_s/\Psi_{\text{stem}}$  response.

#### 267 *Embolism-related and hormone-driven plant adaptations to water stress*

268 While observations concerning  $g_s$  are relevant for level of stress not higher than -1MPa,  
269 the level of embolism quantified as percent loss of hydraulic conductivity (PLC)  
270 provides relevant results also at more extreme conditions (Fig. 6). The differences  
271 observed between the two soils are statistically significant ( $P < 0.05$ ) with the vines on  
272 WD substrates showing a significantly higher PLC compared to WR substrates at  $\Psi_{\text{stem}}$   
273  $< -1$  MPa.

274 The analysis of the ABA content in leaves showed that the relationship between ABA  
275 concentration and  $g_s$  was consistently dependent on soil type for Syrah but not for  
276 Cabernet Sauvignon (Fig. 7a), variety where stomatal control was tighter (Fig. 7b). In  
277 both varieties, significantly in Syrah, the WR soil induces an increase of ABA content  
278 in leaf (Fig. 7b).

#### 279 **Discussion**

280 The aim of this study was to investigate how soil water-holding capacity could  
281 influence hydraulic and hormone-driven reactions of two cultivars putatively recognised  
282 as different in their stomatal response to water stress: Cabernet Sauvignon and Syrah.

#### 283 *Hydraulic control of water stress*

284 Water stress effects were already apparent at mild water stress conditions ( $\Psi_{\text{stem}}$  around  
285 -0.5 MPa), when plants started to experience different shrinking capacities of the two  
286 substrates. According to Whitmore and Whalley (2009), in fact, when a shrinking soil  
287 dries, as WR substrate of our pots, its degree of saturation is kept small in comparison  
288 with a drying rigid soil, such as the WD soil of this experiment (Fig. 1). In WD soils,

289 the matric potential becomes negative much faster, lowering the level of saturation after  
290 a much smaller amount of water is removed by roots

291 In addition to the soil effect, with  $\Delta\Psi$  between soil and stem higher for Cabernet  
292 Sauvignon than for Syrah, the two cultivars expressed a different capacity of water  
293 extraction from the substrate (Fig. 3), requiring to the former a higher energy in order to  
294 keep the water flow under increasing stress conditions. Furthermore, and probably  
295 related to the above-mentioned reason, Syrah displays higher  $g_s$  values than Cabernet  
296 Sauvignon, especially during early phases of water stress (mild water stress) (Fig. 4).  
297 On the other hand, Cabernet Sauvignon would preserve soil moisture more efficiently  
298 than Syrah, imposing at the same time a sensitive control to  $\Psi_{\text{stem}}$  while  $\Psi_{\text{soil}}$  decreases  
299 (Fig. 3). This result is consistent with putative near-anisohydric behaviour for Syrah and  
300 near-isohydric behaviour for Cabernet Sauvignon and with results recently obtained in  
301 an experiment by Hochberg *et al.* (2013). Also a lower leaf area of the canopy could  
302 preserve soil moisture, but our pot plants have been uniformed to have not different leaf  
303 area. The curves obtained from the four combinations soil/cultivar (Fig. 4b) could be  
304 thus explained by the fact that in water-stress conditions near-anisohydric varieties do  
305 not promptly regulate their stomatal conductance and therefore their transpiration rate  
306 (which was the case of WD substrate, Fig. 2). On the contrary, near-isohydric varieties,  
307 by tightly regulating the stomatal aperture, limit more the waste of water resources.  
308 Furthermore, it can be observed how the two curves on WR substrate are closer between  
309 each other than to the respective cultivar-correspondent on WD. As already observed  
310 under field conditions (Tramontini *et al.* 2013a), the expression of plant reactions to  
311 water stress seems to be buffered on clay soils. This could be due to the higher capacity  
312 of this kind of soils to hold water and release it gradually to the plant. It could be  
313 hypothesized that WR substrate produces an effect similar to that of clay soil,  
314 submitting the potted roots to transient drought conditions (produced by the daily  
315 fluctuations of dehydration during the day and rehydration during the night) able to  
316 interfere with the physical and hormonal signalling between roots and stem. However,  
317 as illustrated in Fig. 5, all these differences in  $g_s$  are significantly valid at  $\Psi_{\text{stem}}$  not  
318 lower than -1 MPa. When water stress becomes more severe, stomatal regulation is  
319 hydraulically controlled and a feedback on stomatal function derives from the metabolic  
320 plant control. Under increasing water stress, the limitations to photosynthesis pass

321 gradually from a stomatal control to a metabolic control (Flexas *et al.* 2004 and 2006).  
322 Due to this, the differences between iso- and anisohydric behaviours are evident  
323 between mild and moderate water stress, where the expression of the limitations  
324 imposed at stomatal level are maximised. In our results, at these conditions, the average  
325  $g_s$  is significantly different between varieties but not between substrates (under each  
326 variety), although on WD the differences remain evident. Concerning the consequent  
327 risk of cavitation, Syrah on both soils and Cabernet Sauvignon on WD have an increase  
328 in embolism formation, expressed in terms of xylem conductivity losses, of 32–36%,  
329 moving from  $\Psi_{stem} > -1$  MPa to  $\Psi_{stem} < -1$  MPa. Only Cabernet Sauvignon on WR soil  
330 shows higher embolism formation at  $\Psi_{stem} > -1$  MPa than at  $\Psi_{stem} < -1$  MPa. An  
331 explanation of this phenomenon would require the support of further data concerning,  
332 for example, the implication of the chemical signalling (in particular ABA) in the  
333 transpiration control. Soar *et al.* (2006) have in fact demonstrated the contribution of  
334 ABA to the differential response of  $g_s$  in iso- and anisohydric cultivars.

#### 335 *Abscisic-acid control on stomatal conductance*

336 On the near-isohydric cultivar, Cabernet Sauvignon, expressing very similar level of  
337 cavitation on the two soils at  $\Psi_{stem} > -1$  MPa, we could observe a more stable ABA  
338 signal, independently from the soil (Fig. 7), similarly to observations by Puértolas *et al.*  
339 (2013) using *Phaseolus vulgaris* L. In contrast, in Syrah, showing two levels of  
340 cavitation on the two soils both at moderate and at higher stress level, also the curves of  
341 ABA concentration in leaves were clearly distinguished, between the leaves of plants on  
342 WR soil richer on the hormone than those on WD soil, showing a substrate-dependant  
343 ABA concentration, as observed by Dodd *et al.* (2010) on *Helianthus annuus* L. In  
344 order to analyze better this result we suggest comparing it with that on Fig. 4b: contrary  
345 to initial expectations, Syrah has generally higher  $g_s$  on WD than on WR soil, and this  
346 may be due to the specific circumstances produced by the WR soil, as above-mentioned,  
347 favouring the release of the hormone (ABA) in the leaf. As recently observed by  
348 Brodribb and McAdam (2013) on two conifer species, the isohydric stomatal regulation  
349 can be identified as an ABA-driven stomatal closure, while the anisohydric is at least  
350 initially water potential-driven. The same appears to be true on our two grapevine  
351 cultivars: ABA control on  $g_s$  is tight in Cabernet Sauvignon and it is independent to soil  
352 properties. In Syrah plants potted on WD soil a similar ABA control on stomatal

353 conductance subsists. However, when the anisohydric Syrah grows onto the WR soil, an  
354 additional ABA leaf biosynthesis or accumulation is recordable. The WR-induced raise  
355 in ABA allows stomatal control limiting the anisohydric response, as it happens when  
356 anisohydric grapevines are deficit-irrigated upon partial root zone drying (Stoll *et al.*  
357 2000; Romero *et al.* 2012).

#### 358 *Hints for future research and speculations*

359 Our results are in line with those recently presented by Hochberg *et al.* (2013) on a  
360 similar work done on the same two varieties and with the general consideration on the  
361 differential photoprotective response to stress in iso- and anisohydric cultivars (Pou *et*  
362 *al.* 2012). We would expect that plant productivity of Cabernet Sauvignon, due to the  
363 ABA-driven stomatal closure and its putatively stronger downregulation of  
364 photosynthesis, is less influenced by the soil characteristics than Syrah.

365 The results of our current study combined with the ecological and oenological  
366 characteristics of the two genotypes, seem to find coherence: Cabernet Sauvignon, the  
367 more isohydric variety, thanks to a tight stomatal control, conserves varietal  
368 characteristics on the grape independently from the growing conditions. From a  
369 viticultural point of view, the avoidance of extreme conditions (and of the consequent  
370 recovery phases) to which Syrah is more prone, allows this variety to buffer vintage  
371 differences. Hence, the more anisohydric variety, seems to base its stomatal control  
372 more on hydraulic signals. This could be hypothesized as the effect of a higher  
373 involvement of long term adaptation mechanisms, such as anatomic modifications, and  
374 the development of a product which strongly varies according to the characteristics of  
375 the substrate. Both are expressions of the *terroir* concept favouring different  
376 components and mechanisms to adapt.

377 Although our results have been obtained on potted plants, where the nature of the  
378 substrate and the available volume for root development are a limiting projection of the  
379 edaphic condition of a vineyard, nevertheless they could be of support in the  
380 interpretation of *terroir* expression previously introduced by the same authors  
381 (Tramontini *et al.* 2013a). The isohydric Cabernet Sauvignon can adapt to a variety of  
382 climates and soils and, in spite of that, maintain certain organoleptic traits in the final

383 product. It is considered extremely capable to express the characteristics of a given  
384 *terroir* and, due to that, has been for a long time the world's most widely planted  
385 premium red wine grape (Robinson 2006). The anisohydric Syrah, on the other hand, is  
386 a very common commercial variety (the world's 7<sup>th</sup> most grown grape in 2004, still  
387 according to Robinson 2006) particularly distributed in warmer regions, from which  
388 very diverse wines can be produced.

389 Furthermore, ABA plays a key role by stimulating the activation of the anthocyanin and  
390 flavonoids biosynthesis pathway (Davies and Böttcher 2009; Ferrandino and Lovisolo  
391 2014). Both, its impact on water relations and on berry metabolism may contribute to a  
392 differential berry quality. This hypothesis could represent a relevant topic for further  
393 studies in field conditions, where also long terms mechanisms of adaptation and more  
394 complex dynamics of hormonal signalling (Dodd 2013) can be observed, and extended  
395 to other varieties, considering the main mechanisms involved in the *terroir* expression.

## 396 **Conclusions**

397 In conclusion, we reported a hydraulic control of stomatal responses at the base of the  
398 near-anisohydric Syrah adaptations to water stress, in contrast to an ABA-induced  
399 stomatal control in the near-isohydric Cabernet Sauvignon. Also in Syrah, however, the  
400 hormone-related response could be effective when soil properties allowed for higher  
401 water storage buffering hydraulic adaptations.

402

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## 554 **Figure legends**

555 Figure 1. (a) Dynamics of soil moisture ( $\theta$ , %), (b) stem water potential ( $\Psi_{\text{stem}}$ , MPa),  
 556 (c) stomatal conductance ( $g_s$ ,  $\text{mmol m}^{-2} \text{s}^{-1}$ ), and percent loss of (d) conductivity due to  
 557 embolisms (PLC, %), during the days of the trial. Measurements were conducted on  
 558 plants of Cabernet Sauvignon (*circles*) and Syrah (*triangles*) on water draining (WD,  
 559 *white*) and water retaining (WR, *black*) soils. Means  $\pm$  std err. *Diamonds* in frame (d)  
 560 represent the mean value of the day for both cultivars grouped.

561 Figure 2. Relationship between stem water potential ( $\Psi_{\text{stem}}$ , MPa) and soil moisture ( $\theta$ ,  
 562 %) measured on plants of Cabernet Sauvignon (*circles*) and Syrah (*triangles*) on water  
 563 draining (WD, *white*) and water retaining (WR, *black*) soils. Arrows on the x axis point  
 564 to maximum water-holding capacity of the two soils (% water at -0.01 MPa).

565 Figure 3. Relationship between stem water potential ( $\Psi_{\text{stem}}$ , MPa) and soil water  
 566 potential ( $\Psi_{\text{soil}}$ , MPa) measured on plants of Cabernet Sauvignon (*circles*) and Syrah  
 567 (*triangles*) on water draining (WD, *white*) and water retaining (WR, *black*) soils.  $\Psi_{\text{stem}}$   
 568 was obtained from direct measures while  $\Psi_{\text{soil}}$  from the derived equations of  $\Psi_{\text{soil}}$  and  $\theta$ .

569 Figure 4. Interrelationship between stomatal conductance ( $g_s$ ,  $\text{mmol m}^{-2} \text{s}^{-1}$ ) and stem  
 570 water potential ( $\Psi_{\text{stem}}$ , MPa) measured on plants of Cabernet Sauvignon (*circles*) and  
 571 Syrah (*triangles*) on water draining (WD, *white*) and water retaining (WR, *black*) soils.  
 572 The two figures present the same data clustered only for varieties (a) and for the  
 573 varieties on each soil (b). In addition, in Fig. 4a, an arbitrary hypothetical curve  
 574 preceding water stress has been identified with a dashed line.

575 Figure 5. Average values of leaf stomatal conductance ( $g_s$ ,  $\text{mmol m}^{-2} \text{s}^{-1}$ ) measured on  
 576 plants of Cabernet Sauvignon on water retaining soil (WR, *black*) and on water draining  
 577 soil (WD, *light grey*) and on Syrah plants on WR (*dark grey*) and on WD (*white*). Data  
 578 have been clustered for those collected between mild and moderate water stress ( $\Psi_{\text{stem}} >$   
 579  $-1$  MPa) and high water stress ( $\Psi_{\text{stem}} < -1$  MPa). Values of bars topped by common  
 580 letters are not significantly different, while different letters identify significantly  
 581 different groups ( $P < 0.05$  (\*),  $P < 0.01$  (\*\*); Tukey Test).

582 Figure 6. Average values of percent loss of conductivity (PLC, %) due to embolism  
 583 formation, measured on leaf petioles of Cabernet Sauvignon on water retaining soil  
 584 (WR, *black*) and on water draining soil (WD, *light grey*) and on Syrah plants on WR  
 585 (*dark grey*) and on WD (*white*). Data have been clustered for those collected between  
 586 mild and moderate water stress ( $\Psi_{\text{stem}} > -1$  MPa) and high water stress ( $\Psi_{\text{stem}} < -1$  MPa).  
 587 Values of bars topped by common letters are not significantly different, while different  
 588 letters identify significantly different groups ( $P < 0.05$  (\*),  $P < 0.01$  (\*\*); Tukey Test).

589 Figure 7 a and b. Relationship between stomatal conductance ( $g_s$ ,  $\text{mmol m}^{-2} \text{s}^{-1}$ ) and  
 590 abscisic acid (ABA) concentration ( $\text{ng g}^{-1} \text{fw}$ ) in leaf samples on plants of Cabernet  
 591 Sauvignon (*circles*) and Syrah (*triangles*) on water draining (WD, *white*) and water  
 592 retaining (WR, *black*) soils. In frame (a), continuous lines represent the two curves  
 593 obtained for Cabernet Sauvignon and dashed lines for Syrah. In frame (b), means  $\pm$  std  
 594 errors are displayed.

595

Water stress		$\Psi_{\text{stem}}$		$g_s$	
Mild ( $\Psi_{\text{soil}} > -0.083$ )	<b>Cabernet Sauvignon</b>	-0.972	n.s.	36.1	b
	<b>Syrah</b>	-0.764	n.s.	75.2	a
Intermediate ( $-0.083 > \Psi_{\text{soil}} > -0.212$ )	<b>Cabernet Sauvignon</b>	-1.189	b	33.4	n.s.
	<b>Syrah</b>	-0.875	a	55.3	n.s.
Severe ( $\Psi_{\text{soil}} < -0.212$ )	<b>Cabernet Sauvignon</b>	-1.780	b	14.7	b
	<b>Syrah</b>	-1.087	a	35.2	a
Mild ( $\Psi_{\text{soil}} > -0.083$ )	<b>water retaining soil (WR)</b>	-0.964	n.s.	41.9	n.s.
	<b>water draining soil (WD)</b>	-0.745	n.s.	60.9	n.s.
Intermediate ( $-0.083 > \Psi_{\text{soil}} > -0.212$ )	<b>water retaining soil (WR)</b>	-1.196	n.s.	27.9	b
	<b>water draining soil (WD)</b>	-0.867	n.s.	60.8	a
Severe	<b>water retaining soil (WR)</b>	-0.994	n.s.	19.5	n.s.

$(\Psi_{\text{soil}} < -0.212)$	<b>water draining soil (WD)</b>	-1.498	n.s.	22.3	n.s.
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596

597 Table 1: influence of cultivar and soil water-holding capacity on stem water potential  
598 ( $\Psi_{\text{stem}}$ ) and stomatal conductance ( $g_s$ ). Data were divided in three classes of soil water  
599 potential ( $\Psi_{\text{soil}}$ ) values: mild ( $\Psi_{\text{soil}} > -0.083$ ), intermediate ( $-0.083 > \Psi_{\text{soil}} > -0.212$ ) and  
600 severe water stress ( $\Psi_{\text{soil}} < -0.212$ ), and processed separately for the two effects of  
601 cultivar and soil. Different letters indicate significant differences among means,  $F$ -test,  
602  $P < 0.05$ , post hoc Tukey's test.

603















