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## On Unequally Smooth Bivariate Quadratic Spline Spaces

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### Abstract

In this paper we consider spaces of unequally smooth local bivariate quadratic splines, defined on criss-cross triangulations of a rectangular domain. For such spaces we present some results on the dimension and on a local basis. Finally an application to B-spline surface generation is provided.

*Key words: bivariate spline approximation, unequally smooth bivariate spline space, B-spline basis*

*MSC 2000: 65D07; 41A15*

## 1 Introduction

Aim of this paper is the investigation of bivariate quadratic spline spaces with less than maximum  $C^1$  smoothness on criss-cross triangulations of a rectangular domain, with particular reference to their dimension and to the construction of a local basis. Indeed, in many practical applications, piecewise polynomial surfaces need to be connected by using different smoothness degrees and, in literature, tensor product spline surfaces of such a kind have already been investigated (see e.g. [1, 5]). In [2] the dimension and a B-spline basis for the space of all quadratic  $C^1$  splines on a criss-cross triangulation are obtained. Since some supports of such B-splines are not completely contained in the rectangular domain, in [7] a new B-spline basis for such space is proposed, with all supports included in the domain.

The paper is organized as follows. In Section 2 we present some results on the dimension of the unequally smooth spline space and on the construction of a B-spline basis with different types of smoothness. In Section 3 an application to B-spline surface generation is presented.

## 2 Bases of unequally smooth bivariate quadratic spline spaces

Let  $\Omega = [a, b] \times [c, d]$  be a rectangle decomposed into  $(m + 1)(n + 1)$  subrectangles by two partitions

$$\begin{aligned}\bar{\xi} &= \{\xi_i, \quad i = 0, \dots, m + 1\}, \\ \bar{\eta} &= \{\eta_j, \quad j = 0, \dots, n + 1\},\end{aligned}$$

of the segments  $[a, b] = [\xi_0, \xi_{m+1}]$  and  $[c, d] = [\eta_0, \eta_{n+1}]$ , respectively. Let  $\mathcal{T}_{mn}$  be the criss-cross triangulation associated with the partition  $\bar{\xi} \times \bar{\eta}$  of the domain  $\Omega$ .

Given two sets  $\bar{m}^\xi = \{m_i^\xi\}_{i=1}^m$ ,  $\bar{m}^\eta = \{m_j^\eta\}_{j=1}^n$ , with  $m_i^\xi, m_j^\eta = 1, 2$  for all  $i, j$ , we set

$$M = 3 + \sum_{i=1}^m m_i^\xi, \quad N = 3 + \sum_{j=1}^n m_j^\eta \tag{1}$$

and let  $\bar{u} = \{u_i\}_{i=-2}^M$ ,  $\bar{v} = \{v_j\}_{j=-2}^N$  be the nondecreasing sequences of knots, obtained from  $\bar{\xi}$  and  $\bar{\eta}$  by the following two requirements:

- (i)  $u_{-2} = u_{-1} = u_0 = \xi_0 = a, \quad b = \xi_{m+1} = u_{M-2} = u_{M-1} = u_M,$   
 $v_{-2} = v_{-1} = v_0 = \eta_0 = c, \quad d = \eta_{n+1} = v_{N-2} = v_{N-1} = v_N;$
- (ii) for  $i = 1, \dots, m$ , the number  $\xi_i$  occurs exactly  $m_i^\xi$  times in  $\bar{u}$  and for  $j = 1, \dots, n$ , the number  $\eta_j$  occurs exactly  $m_j^\eta$  times in  $\bar{v}$ .

For  $0 \leq i \leq M - 1$  and  $0 \leq j \leq N - 1$ , we set  $h_i = u_i - u_{i-1}$ ,  $k_j = v_j - v_{j-1}$  and  $h_{-1} = h_M = k_{-1} = k_N = 0$ . In the whole paper we use the following notations

$$\begin{aligned}\sigma_{i+1} &= \frac{h_{i+1}}{h_i + h_{i+1}}, \quad \sigma'_i = \frac{h_{i-1}}{h_{i-1} + h_i}, \\ \tau_{j+1} &= \frac{k_{j+1}}{k_j + k_{j+1}}, \quad \tau'_j = \frac{k_{j-1}}{k_{j-1} + k_j}.\end{aligned} \tag{2}$$

When in (2) we have  $\frac{0}{0}$ , we set the corresponding value equal to zero.

On the triangulation  $\mathcal{T}_{mn}$  we can consider the spline space of all functions  $s$ , whose restriction to any triangular cell of  $\mathcal{T}_{mn}$  is a polynomial in two variables of total degree two. The smoothness of  $s$  is related to the multiplicity of knots in  $\bar{u}$  and  $\bar{v}$  [4]. Indeed let  $m_i^\xi$  ( $m_j^\eta$ ) be the multiplicity of  $\xi_i$  ( $\eta_j$ ), then

$$m_i^\xi \quad (m_j^\eta) \quad + \quad \text{degree of smoothness for } s \text{ crossing the line } u = \xi_i \quad (v = \eta_j) \\ = 2.$$

We call such space  $\mathcal{S}_2^{\bar{u}}(\mathcal{T}_{mn})$ . We can prove [4] that

$$\dim \mathcal{S}_2^{\bar{u}}(\mathcal{T}_{mn}) = 8 - mn + m + n + (2 + n) \sum_{i=1}^m m_i^\xi + (2 + m) \sum_{j=1}^n m_j^\eta. \tag{3}$$

Now we denote by

$$\mathcal{B}_{MN} = \{B_{ij}(u, v)\}_{(i,j) \in \mathcal{K}_{MN}}, \quad \mathcal{K}_{MN} = \{(i, j) : 0 \leq i \leq M - 1, 0 \leq j \leq N - 1\}, \tag{4}$$

the collection of  $M \cdot N$  quadratic B-splines defined in [4], that we know to span  $\mathcal{S}_2^\mu(\mathcal{T}_{mn})$ . In  $\mathcal{B}_{MN}$  we find different types of B-splines. There are  $(M - 2)(N - 2)$  inner B-splines associated with the set of indices  $\widehat{\mathcal{K}}_{MN} = \{(i, j) : 1 \leq i \leq M - 2, 1 \leq j \leq N - 2\}$ , whose restrictions to the boundary  $\partial\Omega$  of  $\Omega$  are equal to zero.

To the latter, we add  $2M + 2N - 4$  boundary B-splines, associated with

$$\widetilde{\mathcal{K}}_{MN} := \{(i, 0), (i, N - 1), 0 \leq i \leq M - 1; (0, j), (M - 1, j), 0 \leq j \leq N - 1\},$$

whose restrictions to the boundary of  $\Omega$  are univariate B-splines [7].

Any  $B_{ij}$  in  $\mathcal{B}_{MN}$  is given in Bernstein-Bézier form. Its support is obtained from the one of the quadratic  $C^1$  B-spline  $\bar{B}_{ij}$ , with octagonal support (Fig. 1) [2, 7], by conveniently setting  $h_i$  and/or  $k_j$  equal to zero in Fig. 1, when there are double (or triple) knots in its support. The  $B_{ij}$ 's BB-coefficients different from zero are computed by using Table 1, evaluating the corresponding ones related to the new support [3]. The symbol "O" denotes a zero BB-coefficient.

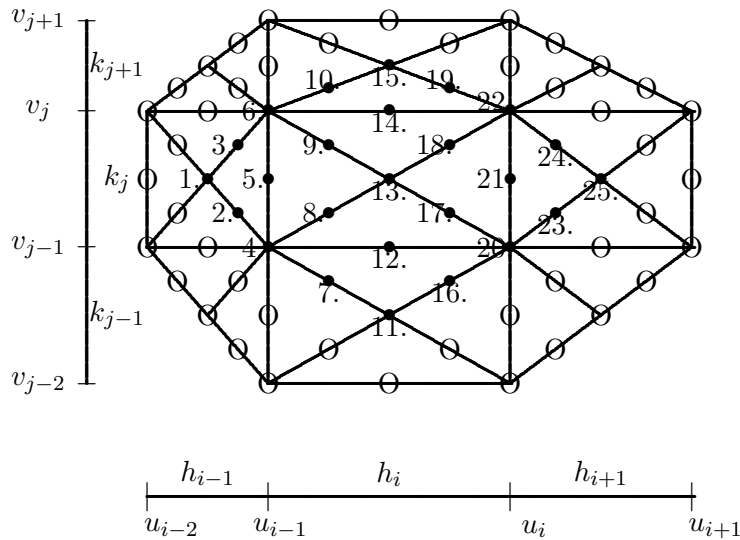


Figure 1: Support of the  $C^1$  B-spline  $\bar{B}_{ij}(u, v)$ .

Since  $\bar{u}$  and  $\bar{v}$  can have multiple knots, then the  $B_{ij}$  smoothness changes and the B-spline support changes as well, because the number of triangular cells on which the function is nonzero is reduced. For example, in Fig. 2 we propose: (a) the graph of a B-spline  $B_{ij}$ , with the double knot  $v_{j-1} = v_j$ , (b) its support with its BB-coefficients different from zero, computed by setting  $k_j = 0$  in Fig. 1 and Table 1. Analogously in Figs. 3÷6 we propose some other multiple knot B-splines. In Figs. 2(b)÷6(b) a thin line means that the B-spline is  $C^1$  across it, while a thick line means that the function is continuous across it, but not  $C^1$  and a dotted line means that the function has a jump across it.

All  $B_{ij}$ 's are non negative and form a partition of unity.

1. $\frac{\sigma'_i}{4}$ ,	2. $\frac{\sigma'_i}{2}$ ,	3. $\frac{\sigma'_i}{2}$ ,	4. $\sigma'_i\tau'_j$ ,	5. $\sigma'_i$ ,
6. $\sigma'_i\tau'_{j+1}$ ,	7. $\frac{\tau'_j}{2}$ ,	8. $\frac{\sigma'_i + \tau'_j}{2}$ ,	9. $\frac{\sigma'_i + \tau'_{j+1}}{2}$ ,	10. $\frac{\tau'_{j+1}}{2}$ ,
11. $\frac{\tau'_j}{4}$ ,	12. $\tau'_j$ ,	13. $\frac{\sigma'_i + \sigma_{i+1} + \tau'_j + \tau'_{j+1}}{4}$ ,	14. $\tau'_{j+1}$ ,	15. $\frac{\tau'_{j+1}}{4}$ ,
16. $\frac{\tau'_j}{2}$ ,	17. $\frac{\sigma_{i+1} + \tau'_j}{2}$ ,	18. $\frac{\sigma_{i+1} + \tau'_{j+1}}{2}$ ,	19. $\frac{\tau'_{j+1}}{2}$ ,	20. $\sigma_{i+1}\tau'_j$ ,
21. $\sigma_{i+1}$ ,	22. $\sigma_{i+1}\tau'_{j+1}$ ,	23. $\frac{\sigma_{i+1}}{2}$ ,	24. $\frac{\sigma_{i+1}}{2}$ ,	25. $\frac{\sigma_{i+1}}{4}$ ,

Table 1: B-net of the  $C^1$  B-spline  $\bar{B}_{ij}(u, v)$ .

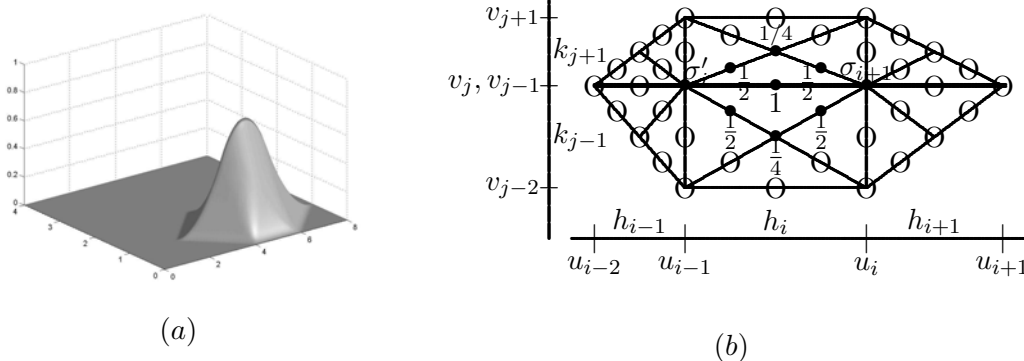


Figure 2: A double knot quadratic  $C^0$  B-spline  $B_{ij}$  with  $v_{j-1} = v_j$  and its support.

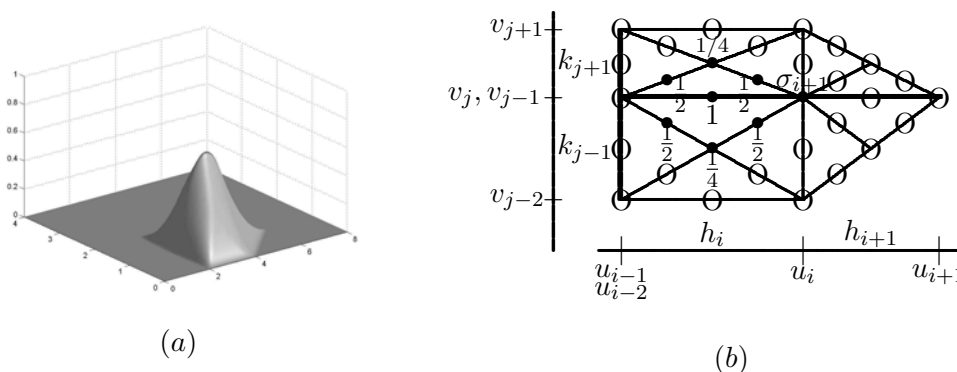


Figure 3: A double knot quadratic  $C^0$  B-spline  $B_{ij}$  with  $u_{i-2} = u_{i-1}$ ,  $v_{j-1} = v_j$  and its support.

Since  $\#\mathcal{B}_{MN} = M \cdot N$ , from (3) and (1) it results that  $\#\mathcal{B}_{MN} > \dim \mathcal{S}_2^{\bar{u}}(\mathcal{T}_{mn})$ . Therefore the set  $\mathcal{B}_{MN}$  is linearly dependent and we can prove [4] that the number of linearly independent B-splines in  $\mathcal{B}_{MN}$  coincides with  $\dim \mathcal{S}_2^{\bar{u}}(\mathcal{T}_{mn})$ . Then we can

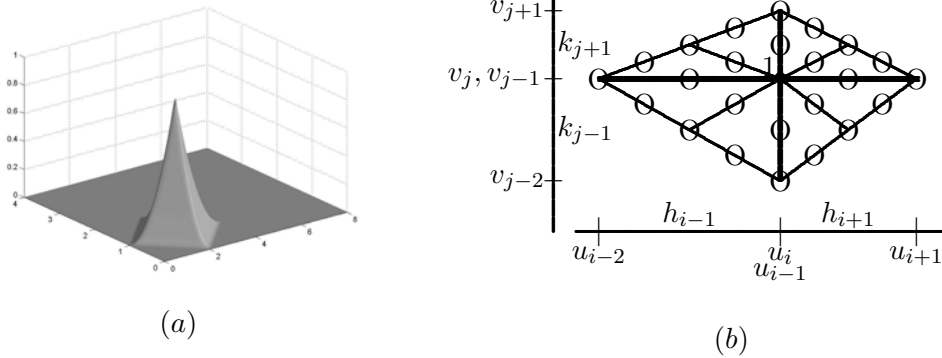


Figure 4: A double knot quadratic  $C^0$  B-spline  $B_{ij}$  with  $u_{i-1} = u_i$ ,  $v_{j-1} = v_j$  and its support.

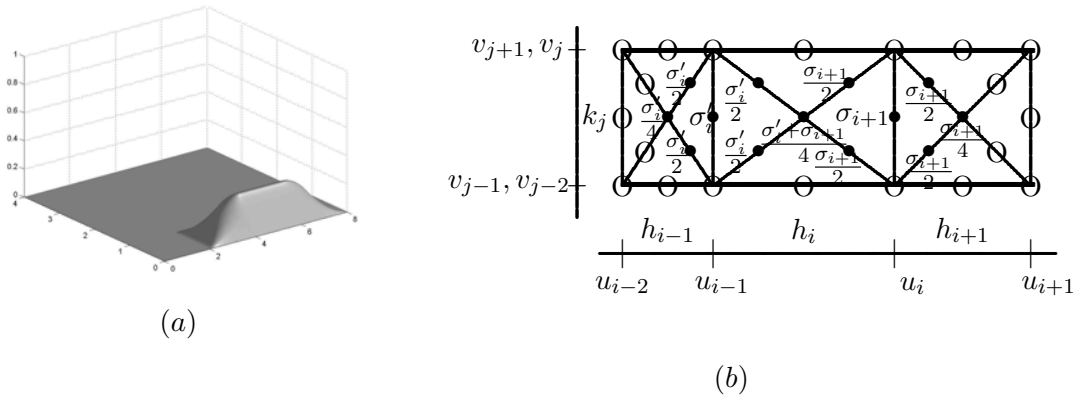


Figure 5: A double knot quadratic  $C^0$  B-spline  $B_{ij}$  with  $v_{j-2} = v_{j-1}$ ,  $v_j = v_{j+1}$  and its support.

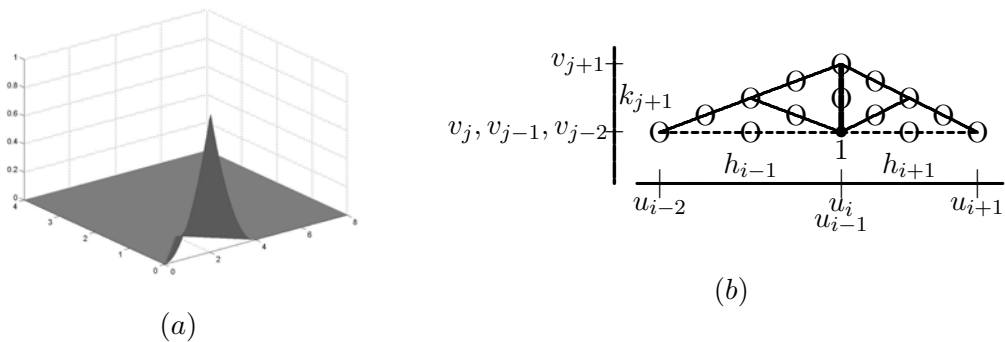


Figure 6: A triple knot quadratic B-spline  $B_{ij}$  with  $u_{i-1} = u_i$ ,  $v_{j-2} = v_{j-1} = v_j$  and its support.

conclude that the algebraic span of  $\mathcal{B}_{MN}$  is all  $\mathcal{S}_2^\mu(\mathcal{T}_{mn})$ .

### 3 An application to surface generation

In this section we propose an application of the above obtained results to the construction of unequally smooth quadratic B-spline surfaces.

An unequally smooth B-spline surface can be obtained by taking a bidirectional net of control points  $\mathbf{P}_{ij}$ , two knot vectors  $\bar{u}$  and  $\bar{v}$  in the parametric domain  $\Omega$ , as in Section 2, and assuming the  $B_{ij}$ 's (4) as blending functions. It has the following form

$$\mathbf{S}(u, v) = \sum_{(i,j) \in \mathcal{K}_{MN}} \mathbf{P}_{ij} B_{ij}(u, v), \quad (u, v) \in \Omega. \quad (5)$$

Here we assume  $(s_i, t_j) \in \Omega$  as the pre-image of  $\mathbf{P}_{ij}$ , with  $s_i = \frac{u_{i-1} + u_i}{2}$  and  $t_j = \frac{v_{j-1} + v_j}{2}$ .

We remark that in case of functional parametrization,  $\mathbf{S}(u, v)$  is the spline function defined by the well known bivariate Schoenberg-Marsden operator (see e.g. [6, 9]), which is “variation diminishing” and reproduces bilinear functions.

Since the B-splines in  $\mathcal{B}_{MN}$  are non negative and satisfy the property of unity partition, the surface (5) has both the convex hull property and the affine transformation invariance one.

Moreover  $\mathbf{S}(u, v)$  has  $C^1$  smoothness when both parameters  $\bar{u}$  and  $\bar{v}$  have no double knots. When both/either  $\bar{u}$  and/or  $\bar{v}$  have/has double knots, then the surface is only continuous at such knots [8].

Finally, from the B-spline locality property, the surface interpolates both the four points  $\mathbf{P}_{00}$ ,  $\mathbf{P}_{M-1,0}$ ,  $\mathbf{P}_{0,N-1}$ ,  $\mathbf{P}_{M-1,N-1}$  and the control points  $\mathbf{P}_{ij}$  if both  $u_i$  and  $v_j$  occur at least twice in  $\bar{u}$  and  $\bar{v}$ , respectively.

*Example 1.*

We consider a test surface, given by the following functional parametrization:

$$\begin{cases} x = u \\ y = v \\ z = f(u, v) \end{cases},$$

with

$$f(u, v) = \begin{cases} |u|v & \text{if } uv > 0 \\ 0 & \text{elsewhere} \end{cases}.$$

We assume  $\Omega = [-1, 1] \times [-1, 1]$  as parameter domain and  $m = n = 5$ . Moreover we set  $\bar{\xi} = \{-1, -0.5, -0.25, 0, 0.25, 0.5, 1\}$  and  $\bar{\eta} = \bar{\xi}$ . We choose  $\bar{m}^\xi = \{1, 1, 2, 1, 1\}$  and  $\bar{m}^\eta = \bar{m}^\xi$ . Therefore we have  $M = N = 9$  and

$$\bar{u} = \{-1, -1, -1, -0.5, -0.25, 0, 0, 0.25, 0.5, 1, 1, 1\}, \quad \bar{v} = \bar{u}.$$

In this case  $\mathbf{P}_{ij} = f(s_i, t_j)$ . The graph of the corresponding surface (5) is reported in Fig. 7(a). It is obtained by evaluating  $\mathbf{S}$  on a  $55 \times 55$  uniform rectangular grid of points in the domain  $\Omega$ . In Fig. 7(b) we present the quadratic  $C^1$  B-spline surface, obtained if all knots in  $\bar{u}$  and  $\bar{v}$ , inside  $\Omega$ , are assumed simple.



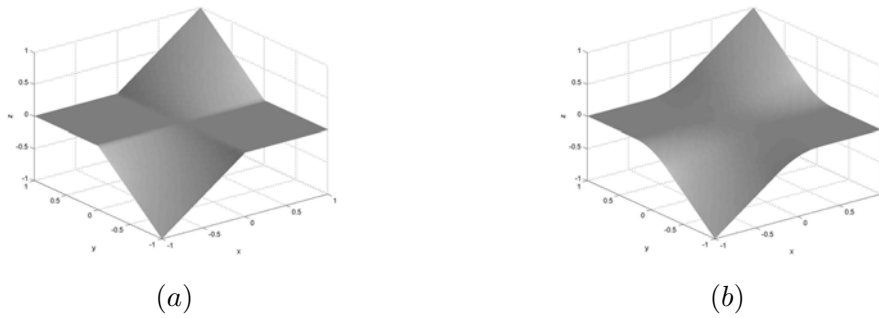


Figure 7:  $\mathbf{S}$  with double (a) and simple (b) knots at  $\xi_3 = \eta_3 = 0$ .

We remark how the presence of double knots allows to well simulate a discontinuity of the first partial derivatives across the lines  $u = 0$  and  $v = 0$ .

*Example 2.*

We want to reconstruct the spinning top in Fig. 8 by a non uniform quadratic B-spline surface (5).



Figure 8: A spinning top.

In order to do it we consider the following control points

$$\begin{aligned} \mathbf{P}_{00} = \mathbf{P}_{10} = \mathbf{P}_{20} = \mathbf{P}_{30} = \mathbf{P}_{40} = \mathbf{P}_{50} &= (0, 0, 0), \\ \mathbf{P}_{01} &= (0, \frac{1}{2}, \frac{1}{2}), & \mathbf{P}_{11} &= (\frac{1}{2}, \frac{1}{2}, \frac{1}{2}), & \mathbf{P}_{21} &= (\frac{1}{2}, -\frac{1}{2}, \frac{1}{2}), \\ \mathbf{P}_{31} &= (-\frac{1}{2}, -\frac{1}{2}, \frac{1}{2}), & \mathbf{P}_{41} &= (-\frac{1}{2}, \frac{1}{2}, \frac{1}{2}), & \mathbf{P}_{51} &= \mathbf{P}_{01}, \\ \mathbf{P}_{02} &= (0, \frac{3}{4}, \frac{7}{12}), & \mathbf{P}_{12} &= (\frac{3}{4}, \frac{3}{4}, \frac{7}{12}), & \mathbf{P}_{22} &= (\frac{3}{4}, -\frac{3}{4}, \frac{7}{12}), \\ \mathbf{P}_{32} &= (-\frac{3}{4}, -\frac{3}{4}, \frac{7}{12}), & \mathbf{P}_{42} &= (-\frac{3}{4}, \frac{3}{4}, \frac{7}{12}), & \mathbf{P}_{52} &= \mathbf{P}_{02}, \\ \mathbf{P}_{03} &= (0, \frac{13}{10}, \frac{5}{6}), & \mathbf{P}_{13} &= (\frac{13}{10}, \frac{13}{10}, \frac{5}{6}), & \mathbf{P}_{23} &= (\frac{13}{10}, -\frac{13}{10}, \frac{5}{6}), \\ \mathbf{P}_{33} &= (-\frac{13}{10}, -\frac{13}{10}, \frac{5}{6}), & \mathbf{P}_{43} &= (-\frac{13}{10}, \frac{13}{10}, \frac{5}{6}), & \mathbf{P}_{53} &= \mathbf{P}_{03}, \end{aligned}$$

$$\begin{aligned}
 \mathbf{P}_{04} &= (0, 1, 1), & \mathbf{P}_{14} &= (1, 1, 1), & \mathbf{P}_{24} &= (1, -1, 1), \\
 \mathbf{P}_{34} &= (-1, -1, 1), & \mathbf{P}_{44} &= (-1, 1, 1) & \mathbf{P}_{54} &= \mathbf{P}_{04}, \\
 \\
 \mathbf{P}_{05} &= (0, \frac{1}{2}, 1), & \mathbf{P}_{15} &= (\frac{1}{2}, \frac{1}{2}, 1), & \mathbf{P}_{25} &= (\frac{1}{2}, -\frac{1}{2}, 1), \\
 \mathbf{P}_{35} &= (-\frac{1}{2}, -\frac{1}{2}, 1), & \mathbf{P}_{45} &= (-\frac{1}{2}, \frac{1}{2}, 1) & \mathbf{P}_{55} &= \mathbf{P}_{05}, \\
 \\
 \mathbf{P}_{06} &= (0, \frac{1}{8}, 1), & \mathbf{P}_{16} &= (\frac{1}{8}, \frac{1}{8}, 1), & \mathbf{P}_{26} &= (\frac{1}{8}, -\frac{1}{8}, 1), \\
 \mathbf{P}_{36} &= (-\frac{1}{8}, -\frac{1}{8}, 1), & \mathbf{P}_{46} &= (-\frac{1}{8}, \frac{1}{8}, 1) & \mathbf{P}_{56} &= \mathbf{P}_{06}, \\
 \\
 \mathbf{P}_{07} &= (0, \frac{1}{8}, \frac{3}{2}), & \mathbf{P}_{17} &= (\frac{1}{8}, \frac{1}{8}, \frac{3}{2}), & \mathbf{P}_{27} &= (\frac{1}{8}, -\frac{1}{8}, \frac{3}{2}), \\
 \mathbf{P}_{37} &= (-\frac{1}{8}, -\frac{1}{8}, \frac{3}{2}), & \mathbf{P}_{47} &= (-\frac{1}{8}, \frac{1}{8}, \frac{3}{2}) & \mathbf{P}_{57} &= \mathbf{P}_{07}, \\
 \\
 \mathbf{P}_{08} &= (0, \frac{1}{8}, 2), & \mathbf{P}_{18} &= (\frac{1}{8}, \frac{1}{8}, 2), & \mathbf{P}_{28} &= (\frac{1}{8}, -\frac{1}{8}, 2), \\
 \mathbf{P}_{38} &= (-\frac{1}{8}, -\frac{1}{8}, 2), & \mathbf{P}_{48} &= (-\frac{1}{8}, \frac{1}{8}, 2) & \mathbf{P}_{58} &= \mathbf{P}_{08}, \\
 \\
 \mathbf{P}_{09} &= \mathbf{P}_{19} = \mathbf{P}_{29} = \mathbf{P}_{39} = \mathbf{P}_{49} = \mathbf{P}_{59} &= (0, 0, 2),
 \end{aligned}$$

defining the control net in Fig. 9. Here  $M = 6$  and  $N = 10$ .

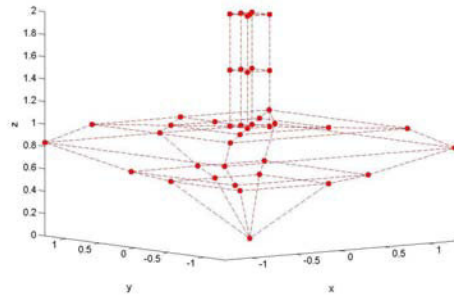


Figure 9: The control net corresponding to  $\{\mathbf{P}_{ij}\}_{(i,j) \in \mathcal{K}_{6,10}}$ .

Then, to well model our object, we assume  $\bar{u} = \{0, 0, 0, 1, 2, 3, 4, 4, 4\}$  and  $\bar{v} = \{0, 0, 0, 1, 2, 3, 3, 4, 4, 5, 6, 6, 6\}$ . The graph of the B-spline surface of type (5) is reported in Fig. 10(a), while in Fig. 10(b) the corresponding criss-cross triangulation of the parameter domain is given.

In Fig. 11 we present the quadratic  $C^1$  B-spline surface based on the same control points and obtained if all knots in  $\bar{u}$  and  $\bar{v}$ , inside  $\Omega$ , are assumed simple, i.e.

$$\bar{u} = \{0, 0, 0, 1, 2, 3, 4, 4, 4\}, \quad \bar{v} = \{0, 0, 0, 1, 2, 3, 4, 5, 6, 7, 8, 8, 8\}.$$

In Fig. 12(a) and (b) the effects of multiple knots are emphasized. We remark that in such a way we can better model the real object.

The construction of the B-spline basis and the B-spline surfaces has been realized by Matlab codes.

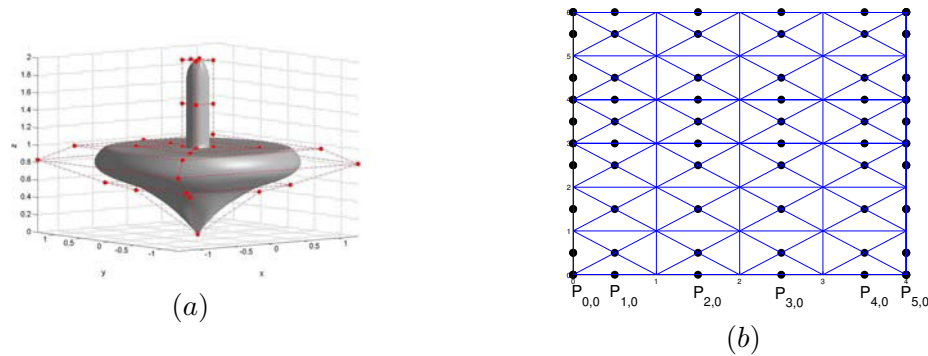


Figure 10: The surface  $\mathbf{S}(u, v)$  with double knots in  $\bar{v}$  and its parameter domain.

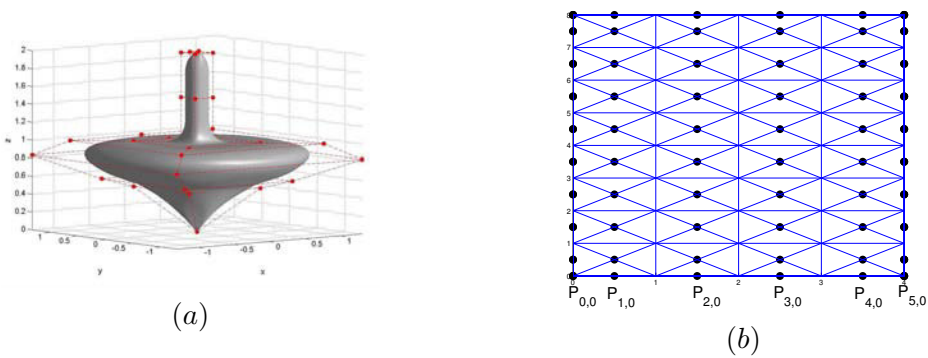


Figure 11: The surface  $\mathbf{S}(u, v)$  with simple knots inside  $\Omega$  and its parameter domain.

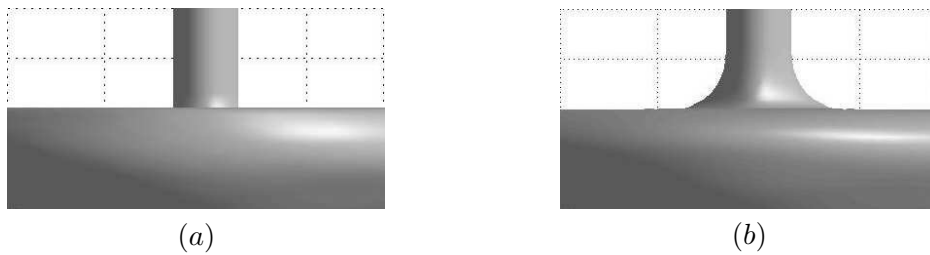


Figure 12: In (a) zoom of Fig. 10(a) and in (b) zoom of Fig. 11(a).

## 4 Conclusions

In this paper we have presented some results on the dimension of the unequally smooth spline space  $\mathcal{S}_2^{\bar{u}}(\mathcal{T}_{mn})$  and on the construction of a B-spline basis with different types of smoothness.

We plan to use these results in the construction of blending functions for multiple knot NURBS surfaces with a criss-cross triangulation as parameter domain. Moreover such results could be also applied in reverse-engineering techniques, by using surfaces based on spline operators reproducing higher degree polynomial spaces [6, 9].

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