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1	Experimental characterization and numerical modeling of the compressive mechanical
2	behavior of hazelnut kernels
3	
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6	
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11	
12	Abstract
13	
14	The evaluation of mechanical properties of hazelnuts has been developed over the past years
15	mainly to optimize industrial processes. The aim of this study is to reproduce the compressive
16	behavior of hazelnut kernel obtained by experimental and numerical activities; the
17	contribution of pellicle influence to the mechanical behavior is also analyzed.
18	The experimental activity is aimed to measure the mechanical properties of hazelnut kernel
19	and to obtain a model calibration based on experimental data analysed by statistical
20	approach. The finite element models of hazelnut kernels are implemented and a set of
21	numerical compression tests are simulated; the comparison of experimental and numerical
22	responses is shown.
23	
24	Keywords: Hazelnut kernel, mechanical properties, finite element model
25	
26	1. Introduction
27	The hazelnut <i>Corylus avellana L.</i> is native of an area that stretches from Europe to south west
28	Asia and has been introduced in USA (California State) and several other countries around the
29	world. Turkey is the largest producer of hazelnuts in the world with approximately 75% of
30	worldwide production, followed by Italy, USA and Spain (FAO, 2014).
31	The nut kernel is the edible part of the hazelnut. Many studies have been conducted
32	regarding its internal structure, some of them dating back to the first years of the XX century
33	(Winton, 1906; Young, 1912). The edible kernel is covered by a removable thin fibrous

34 pellicle, with the internal tissue of the cotyledons consisting of parenchyma cells separated by

35 very small intercellular spaces (Young, 1912).

The hazelnut kernel is widely used in the food industry as fruit, grounds and in form of flour. The roasting process is used to achieve an optimal flavor development and intensity of taste, as for it modifies the physical, chemical and sensory characteristics.

39 The evaluation of mechanical properties of hazelnuts (whole fruit, shell, kernel) has been 40 developed over the past years with the objectives to obtain industrial processes and improve 41 the use of hazelnuts as food ingredient. The easiness to break and to remove the nut shell was 42 evaluated on Turkish varieties (Güner et al., 2003; Ozdemir and Akinci, 2004; Ercisli et al., 43 2011) and also on nut varieties intended for fresh table consumption (Valentini et al., 2006). 44 Nut shell characteristics, such as hardness and thickness, were measured and correlated to 45 the biological cycle of the nut weevil of *Curculio nucum* (*Coleoptera: Curculionidae*) pest and to 46 the damage by its larvae (Guidone et al., 2007) stress the importance of physical properties 47 evaluation.

48 The physical characteristics of the hazelnut kernel have an important role on the crispness 49 and crunchiness sensory parameters especially on the roasted nuts (Saklar et al., 1999) and 50 the water activities have direct effects on mechanical characteristic (Borges and Peleg, 1997). 51 The overall quality is influenced by oxygen and relative humidity contents during the product 52 storage (Ghirardello et al., 2013). Di Matteo et al. (2012) evaluated also some mechanical 53 properties of chemical-peeled hazelnut kernels, such as firmness and rigidity, to study an 54 original industrial process to improve the kernel pellicle removal. A mechanical 55 characterization of whole nut, kernel and shell was conducted (Delprete and Sesana, 2014) in 56 order to aid the design and construction of selecting machines.

57 The main aim of this study is to obtain, by experimental and numerical activities, the model 58 of the compressive behavior of hazelnut kernel and to investigate the role of the pellicle 59 coating and roasting process; the here investigated variety of hazelnut is the *Tonda Gentile* 60 *Trilobata*.

61 The present study measures the mechanical properties of the kernel material, raw and 62 roasted, selects and calibrates the proper constitutive material model for numerical 63 simulations, and investigates the behavior of the whole hazelnuts in the same experimental 64 conditions (raw and roasted). Finally the research identifies the average value of the 65 investigated mechanical parameters, the variability of the measurements and the influence of 66 the number of the specimens within a single sample. The implementation and validation of a 67 numerical finite element (FE) model of hazelnut based on geometric and material data is 68 reported.

69

#### 70 **2. Materials and methods**

- 71 Experiments were carried out to obtain the empirical data of material behavior.
- 72 The geometry of real kernel has been computed by means of TAC scanning of four kernels and
- this has been used to define **a** numerical modeling. Material calibration data has been derived
- from experimental test activity on specimens obtained from the same four kernels.
- 75

## 76 **2.1. Experimental tests**

- The hazelnut sample was composed of about 5 kg of conform and raw *Tonda Gentile Trilobata*(formerly known as *Tonda Gentile delle Langhe*) Italian autochthonous cultivar (2013
  harvest).
- 80 The moisture content, determined according to the AOAC 925.40 method (AOAC, 2000), was
- 81 of 4.45% ± 0.57% w.b.
- 82 Geometric parameters and mass of kernels were acquired as described in a previous work
- 83 (Delprete and Sesana, 2014).
- 84 According to  $\chi^2$  test and normal distribution test, the samples distributions were checked to
- 85 be normal. By means of Chauvenet test (Montgomery et al., 2001) measurements anomalies
- 86 were excluded from data processing. Minimum sample size was identified by means of
- 87 plotting percent relative deviation vs specimen number, selecting the sample size
- 88 corresponding to percent relative deviation settling to a steady value.
- 89 For roasting process, about 2 kg hazelnuts were put in oven roasted at 140 °C of roasting
- 90 temperature during 30 minutes (Donno et al., 2013). Moisture content of roasted hazelnuts, at
- 91 the time of analysis, was 2.40%±0.31% w.b.
- 92 Compressive tests were performed based on the previous studies (Delprete and Sesana, 2014;
- 93 Valentini et al., 2006; Ghirardello et al., 2013).
- 94 In particular, a reference system has been defined on the kernel indicating three main
- 95 directions and dimensions as reported in Figure 1. The testing machine is a TA.XTplus texture
- 96 analyzer (Stable Micro Sytems, Godalming, UK), with loading speed 6 mm/min (down plate
- 97 moving). For all tests, the average curve was calculated by Matlab R2010b software, by means
- 98 of dedicated routines developed for the present research activity. Each considered sample is
- 99 **composed** consisted of at least 50 specimens.
- 100 To optimize the experimental conditions that allow the best monitoring of the measurement
- 101 changes, (according to Torchio, et al., 2012), and to evaluate the influence of the sample size
- 102 on the variability in the measurements, the optimum sample size was assessed representing

103	the relative standard deviation (RSD) values against the number of measurements for each						
104	parameter. The stabilization of the RSD assessed the minimum sample size.						
105	The first test sample (Sample 1) is composed of 50 just shelled raw hazelnut kernels while						
106	the second (Sample 2) is composed of 50 manual peeled raw hazelnut kernels; that is, in the						
107	former case the kernels are provided with pellicle while, in the latter one, the pellicle has been						
108	removed by a careful hand scraping procedure. In particular, by means of a sharp razor and a						
109	lens, the pellicle has been carefully removed, taking care of not cutting away kernel material.						
110	In both cases the kernels are compressed along the <i>A</i> direction (Delprete and Sesana, 2014).						
111	In Figure 2 the test setup is presented.						
112							
113	Figure 1: Hazelnut shell and kernel main dimensions.						
114							
115	Figure 2: Kernel compression along A axis, experimental setup.						
116 117	The third test cample (Sample 2) is composed of 50 reacted hazelput kernels, pollicle was						
117	removed as the reacting procedure makes it to detach from the kernels. As in the previous						
110	removed, as the roasting procedure makes it to detach from the kernels. As in the previous						
119	Eases, the testing procedure consists in a compression along the A direction.						
120	From these three sets of tests, the force-displacement curves were acquired, the average						
121	maximum load ( $L_{kf}$ ) to break the hazelnuts and the slope (stiffness K) of the linear part of						
122	the compression curve were calculated for each specimen within the corresponding sample. It						
123	has to be noted that the hazelnut failure force was defined as the force needed for the						
124	separation of the two cotyledons (Figure 3). For each of these parameters, $\chi^2$ test was done to						
125	verify the normality of distributions and the relative standard deviation analysis was done to						
126	optimize the sample size.						
127							
128	Figure 3: Compression failure of hazelnut kernel: cotyledon separation.						
129 130	The fourth (Sample 4) and fifth (Sample 5) test samples are composed of-raw and roasted						
131	kernel specimens, respectively (Figure 4 a), undergoing compression test (Figure 4b). The						
132	specimens are cylindrical, 5 mm high and 5 mm diameter, and they are obtained by means of						
133	two dedicated tools: the first tool cuts a slice (thickness of 5 mm) from the kernel with two						
134	parallel surfaces, the second tool is a circular blade of 5 mm diameter and it cuts a cylinder						
135	from the kernel slice. Cylinders were cut without taking into account of the direction as the						

136 kernel material results to by hysotropic (Delprete and Sesana, 2014). The kernel specimens

137 were obtained from each of the four described groups of hazelnuts, basing based on their138 kernel conformity.

- 139
- 140 141

#### Figure 4: Kernel specimens a) and specimens compression setup b).

From these two sets, the stress-strain curves were acquired, the average maximum stress to break the specimens (*UCS*), the slope (elastic modulus  $\overline{E}_k$ ) of the linear part of the curves and the knee stresses ( $\sigma_k$ ) were calculated (Delprete and Sesana, 2014).

145 The sixth experimental sample was concerned about four raw kernels without pellicle. By 146 using computed tomography analysis (CTA) these four raw kernels were scanned and the 147 actual geometry including the inner cava was digitalized. The four raw kernels were 148 compressed until cotyledon separation and, from the cotyledons of each of them, cylindrical 149 kernel specimens were obtained and compressed. Results obtained were processed to obtain 150 the above-mentioned parameters, but without average calculation. hen, These results have 151 been compared with the results of previous samples to check if they could belong to the same 152 range of results.

153 The corresponding constitutive curves were used to calibrate the numerical FE models of 154 each kernel. Finally the simulation of compression of the kernel was run.

155

#### 156 **2.2. Numerical modeling**

157 The numerical analysis was carried out with the commercial finite element software ABAQUS158 6.11-1.

Compression tests showed that the hazelnut kernel is elastic and isotropic and then the material constitutive model selected for the FE model is elastic isotropic. To calibrate the model, the elastic modulus was measured following the procedure described in (Delprete and Sesana, 2014). The Poisson's ratio was not measured and, as a first approximation, it was kept constant to 0.3.

Geometry information was acquired by CTA; the output STL file discretizes the outer surface and the internal cavity surface with 0.4 mm resolution. Due to the extremely large number of elements, a re-mesh operation was carried out; moreover the space between the inner and the outer surface was filled by 4-node linear tetrahedron elements. Table 1 gives a comparison of the number of nodes and elements for each hazelnut kernel.

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The simulation aims to reproduce the experimental tests, in which the hazelnuts are compressed between two steel plates. Two infinite stiff plates simulate these plates because the elastic modulus of steel is four orders of magnitude greater than that of the hazelnuts; this choice guarantees a lower computational time.

A zero displacement boundary condition is imposed on the lower plate and a 2 mm boundarycondition on the upper plate.

Some nodes in the lower part of the hazelnut mesh were constrained to the lower plate by low stiffness spring elements to ensure solution convergence. Volume force corresponding to gravity effect is imposed on the whole model.

The analysis type is static and the numerical implicit procedure is based on Newton-Raphson method, which allows obtaining solutions for non-linear problems. In this case the source of nonlinearity is not represented by the material but by the geometry, whose changes during the simulation are not negligible.

The contact formulation is based on a surface-to-surface discretization, so contact conditions are enforced over regions around slave nodes rather than only at individual nodes (ABAQUS Analysis User's Manual). The plates are the master surfaces while the hazelnut is the slave surface.

189 The contact property between the plates and the hazelnut is assumed as Coulomb friction 190 model with constant coefficient  $\mu = 0.3$ .

191

### 192 **3. Results and discussion**

193

#### 194 **3.1. Experimental testing**

#### 195 **3.1.1. Physical properties**

196 The mass measurement distribution of hazelnut kernels can be assumed as a normal 197 distribution (positive  $\chi^2$  test, 85% confidence level); the corresponding average and standard 198 deviation values and the sample size are reported in Table 2.

199 For what concerns geometric measurements, statistical analysis was run on *A*/*B*, *C*/*B* and *C*/*A* 

200 values (Delprete and Sesana, 2014). The distribution of these ratios can be assumed as a

201 normal distribution (positive  $\chi^2$  test, 85% confidence level) with average values and standard

202 deviations reported in Table 2.

The obtained mass and geometric results show a distribution which is coherent with analogous results described in (Delprete and Sesana, 2014).

205 206 Table 2: Average values and standard deviations of physical and geometrical measurements on the specimen 207 samples. 208 209 3.1.2. Whole kernel mechanical properties 210 In Figure 5 experimental force-displacement curves of raw kernels with and without pellicle 211 (Sample 1 and 2 respectively) are reported along with the average calculated curves. 212 Stiffness distribution of raw kernels with pellicle can be assumed as a normal distribution and 213 the corresponding values are reported in Table 3; also the load to failure distribution gives a positive  $\chi^2$  test and so can be considered normal. For both these parameters it is possible to 214 define a minimum sample size by means of the stabilization of the percent relative standard 215 216 deviation. The 70% of the sample presents a defined failure point; for the remaining 217 specimens the corresponding point is not recognizable on the curves. 218 219 220 Figure 5: Force-displacement raw kernel curves with (blue) and without (red) pellicle. Average curves are 221 respectively the green and the cyan ones. 222 223 For what concerns Samples 2 and 3, that is raw and roasted kernels without pellicle, both 224 stiffness and load to failure are normally distributed; the mean and standard deviation values 225 are reported in Table 3. The 64% of the Sample 2 and the 58% of the Sample 3 present a 226 defined failure point. In both cases it is possible to define a minimum sample size both for the 227 stiffness and for the load to failure because of the stabilization of the percent relative standard 228 deviation. 229 In Figure 6 experimental force-displacement curves of roasted kernels are reported along 230 with the average calculated curve. 231 232 233 Figure 6: Force-displacement roasted kernel curves with average curve (white). 234 235 The normality of the stiffness distributions leads to the definition of a 95% confidence level 236 interval. In Figure 7 three intervals are shown, corresponding to raw kernels (with and 237 without pellicle) and roasted kernels. The two raw kernel intervals have an intersection but a 238 consistent part of the raw with pellicle interval extends above the raw without pellicle one. On 239 the other side the lower part of the raw without pellicle interval lies below the raw with

pellicle one. So the presence of the pellicle has an important effect on the compressive
behavior of the kernel; in particular, as it is shown in Figure 5, it increases the average
stiffness.

- 243
- 244

Figure 7: Raw kernels with (blue continuous lines) and without (red continuous lines) pellicle and roasted without
(black continuous lines) pellicle: 95% stiffness values limits.

247

The roasted kernel interval is just a little greater than the raw with-pellicle interval and so the corresponding average curves are very similar. This means that the material properties have increased due to baking; in particular the roasted kernels have obtained almost the same average stiffness as the raw with pellicle kernels.

These results show a distribution which is coherent with analogous results described in (Delprete and Sesana, 2014). The numerical values varied are therefore different as a different moisture level is present in the examined sample and it is well known (Koyuncu et al., 2004; Guner et al., 2003) that for wood and shells this property influences the mechanical properties.

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#### Table 3: Mechanical properties measurements on the whole kernel samples.

#### 3.1.3. Kernel specimens mechanical properties

For the two Samples 4 and 5 the stiffness distributions can be considered normal. The averagevalue, the standard deviation and the minimum sample size are shown in Table 4.

- 263
- 264

Table 4: Mechanical properties measurements on the kernel specimen samples.

265

Experimental stress-strain curves can show, in the range 0-1.5 mm displacement, two distinctive trends; the first consists in a clear change of slope of the curve, the second in the presence of a maximum. As regards raw kernels, the 34% of the sample shows a change of slope, the 51% a maximum and a 15% neither of them. On the other hand the 70% of roasted kernels shows a change of slope, the 18% a maximum and the 12% neither of them.

These results show a distribution, which is coherent with analogous results described in (Delprete and Sesana, 2014). As stated above, the numerical values are therefore different as

a different moisture level is present in the examined sample and it is well known (Koyuncu et

al., 2004; Guner et al., 2003) that for wood and shells this property influences the mechanical
properties.

276

277

**3.1.4. CTA scans** 

279 The digital geometry of Sample 6 specimens was obtained by means of General Electric 280 Phoenix V|tome|x m, a versatile X-ray micro-focus computed tomography system for 3D metrology and analysis. It allows carrying out non-destructive testing tasks with less than 281 282  $1 \,\mu m$  detail detectability. As stated above, the outer and the inner surfaces of the hazelnut 283 kernels were discretized with 0.4 mm resolution by the measurement system and then a re-284 mesh process has led to a strong reduction of elements. In Figure 8 the external a) and 285 internal c) surfaces, supplied by computed tomography, and the same surfaces after the re-286 meshing process b) and d) are reported as an example.

- 287
- 288

Figure 8: External a) and internal c) surfaces by CTA and after re-meshing elaboration b) and d).

289

#### **3.2. Numerical simulations**

As shown in Table 4, the elastic modulus values are distributed as a normal both for raw kernels and roasted kernels, so it is possible to define a 95% level confidence interval for both samples. In particular, as regards raw kernels, the lower and the upper limit are, respectively 6.4 MPa and 16.9 MPa. For each hazelnut kernel three simulations have been carried out, that is three different values of elastic modulus have been considered: the lower limit, the mean and the upper limit. In Figure 9 the simulation results are plotted along with the 95% limit curves of Sample 2.

298

Figure 9: Comparison between numerical results (black, red and green lines) and 95% limit curves (blue lines).
300

The black, red and green curves are obtained, respectively, with the lower limit, the mean and the upper limit stiffness values. The comparison of the curves outlines the influence of the geometry of the kernel: for example the upper limit curve in case b) assumes lower values with respect to case c). Moreover also the area delimited by the upper and lower limit curves is very different from case b) to case c). The upper limit curve (green curve) lies, in all cases, between the 95% limit curves (blue

307 curves) so it gives a good approximation of the compressive behavior of the hazelnut kernel;

the mean curve (red curve) is almost coincident with the lower limit curve and the lower limit curve (black curve) lies out of the 95% range. So the stiffness values that better describe the compressive behavior of the hazelnut are included in the upper part of the Gaussian distribution.

312

#### 313 **4.** Conclusions

- A procedure to define a numerical model of kernel compression testing has been described.
- The geometric model has been defined by means of 3 dimensional scanning of actual hazelnuts. The constitutive material model has been selected according to experimental evidence as linear elastic. The calibration parameters were obtained by means of processing experimental data.
- Experimental data acquisition and processing took a relevant time lapse as it allowed defining
  many points as the sample size, the best experimental data fitting curve and corresponding
  confidence interval, the simulation results reliability.
- Experimental testing also pointed out that the compression of with and without pellicle kernels outlined the influence of pellicle on mechanical behavior, that is, an increase of the overall stiffness of the kernel. The affecting effect of moisture on compression mechanical properties was confirmed.
- The results show the influence of the geometry on the compressive behavior and outline an underestimation of the elastic modulus; the underestimation is because the upper values of the Gaussian distribution allow the numerical curves to lie between the 95% limit experimental curves. This can be attributed to the approximation induced by the choice of a perfect elastic material and to the great practical difficulty in extracting cylindrical kernel specimen. A good approximation has been however achieved.
- 332

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- 341

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Figure 7 Click here to download high resolution image





CTA number	Nodes	Elements
1	20349	99144
2	15689	76868
3	14768	72014
4	25415	126489

Table 1: Elements and nodes of numerical hazelnuts.

	Averag	e value	Standard deviation	Minimum sample size
Mass [g]		1.27	0.08	43
Geometric parameters	A/B [-]	1.00	0.07	53
	C/B [-]	0.88	0.05	53
	C/A [-]	0.88	0.06	55

Table 2: Average values and standard deviations of physical and geometrical measurements on the specimen samples.

Sample	Kind of		Average	Standard	Minimum	$\chi^2$
	specimens		value	deviation	sample	( 85% conf. level)
					size	
1	Raw kernels with pellicle	Stiffness <i>K</i> [N/mm]	41.93	7.75	42	positive
		$\overline{L}_{k\!f}$ [N]	83.93	16.33	22	positive
2	2 Raw kernels without pellicle	Stiffness <u>K</u> [N/mm]	31.25	5.02	39	positive
		$\overline{L}_{k\!f}$ [N]	65.04	16.06	23	positive
3	Toasted kernels without pellicle	Stiffness $\overline{K}$ [N/mm]	40.50	8.19	33	positive
		$\overline{L}_{k\!f}$ [N]	78.64	29.79	18	positive

Table 3: Mechanical properties measurements on the whole kernel samples.

Sample	Kind of		Average	Standard	Minimum	χ <sup>2</sup>
	specimens		value	deviation	sample size	(85% conf.
						level)
		Elastic				
		modulus $\overline{E}_k$	11.61	2.68	46	positive
		[MPa]				
4	Raw kernels	Stress to				
	Raw Kerneis	failure UCS	1.51	0.24	34	positive
		[MPa]				
		Knee stress	1 2 3	0.21	27	nositive
		$\sigma_k$ [MPa]	1.25	0.21	27	positive
		Elastic				
		modulus $\overline{E}_k$	10.81	3.43	53	positive
		[MPa]				
5	Toasted	Stress to				
5	kernels	failure UCS	1.32	0.26	16	positive
		[MPa]				
		Knee stress	1 1 2	0.30	<i>I</i> ,1	nositiva
		$\sigma_k$ [MPa]	1.12	0.50	TI	positive

Table 4: Mechanical properties measurements on the kernel specimen samples.

# FIGURES:

Figure 1: Hazelnut shell and kernel main dimensions.

Figure 2: Kernel compression along *A* axis, experimental setup.

Figure 3: Compression failure of hazelnut kernel: cotyledon separation.

Figure 4: Kernel specimens a) and specimens compression setup b).

Figure 5: Force-displacement raw kernel curves with (blue) and without (red) pellicle.

Average curves are respectively the green and the cyan ones.

Figure 6: Force-displacement toasted roasted kernel curves with average curve (green white). Figure 6: Raw kernels with (blue continuous lines) and without (red continuous lines) pellicle and toasted roasted without (red dotted black continuous lines) pellicle: 95% stiffness values limits.

Figure 7: External a) and internal c) surfaces by CTA and after re-meshing elaboration b) and d).

Figure 8: Comparison between numerical results (black, red and green lines) and 95% limit curves (blue lines).