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## Towards lactic acid bacteria-based biorefineries

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*Original Citation:*

*Availability:*

This version is available <http://hdl.handle.net/2318/149452> since 2016-01-29T12:15:23Z

*Published version:*

DOI:10.1016/j.biotechadv.2014.07.005

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# UNIVERSITÀ DEGLI STUDI DI TORINO

***This is an author version of the contribution published on:***

*Questa è la versione dell'autore dell'opera:*

*Mazzoli R., Bosco F., Mizrahi I., Bayer E.A., Pessione E. Biotechnol. Adv., 32 (7),  
Pergamon-Elsevier Science LTD, 2014, pagg. 1216-1236.*

***The definitive version is available at:***

*La versione definitiva è disponibile alla URL:*

*<http://www.sciencedirect.com/science/article/pii/S0734975014001104>*

# 1 **Towards lactic acid bacteria-based biorefineries**

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21 Running title: LAB-based biorefineries

22

23 Key words: metabolic engineering, polylactide, cellulose, ethanol, butanol, polyhydroxyalkanoates,  
24 mannitol, GABA, bacteriocins

## 1 **Summary**

2           Lactic acid bacteria (LAB) have long been used in industrial applications mainly as starters  
3 for food fermentation or as biocontrol agents or as probiotics. However, LAB possess several  
4 characteristics that render them among the most promising candidates for use in future biorefineries  
5 in converting plant-derived biomass – either from dedicated crops or from municipal/industrial solid  
6 wastes – into biofuels and high value-added products. Lactic acid, their main fermentation product,  
7 is an attractive building block extensively used by the chemical industry, owing to the potential for  
8 production of polylactides as biodegradable and biocompatible plastic alternative to polymers derived  
9 from petrochemicals. LA is but one of many high-value compounds which can be produced by LAB  
10 fermentation, which also include biofuels such as ethanol and butanol, biodegradable plastic  
11 polymers, exopolysaccharides, antimicrobial agents, health-promoting substances and nutraceuticals.  
12 Furthermore, several LAB strains have ascertained probiotic properties, and their biomass can be  
13 considered a high-value product. The present contribution aims to provide an extensive overview of  
14 the main industrial applications of LAB and future perspectives concerning their utilization in  
15 biorefineries. Strategies will be described in detail for developing LAB strains with broader substrate  
16 metabolic capacity for fermentation of cheaper biomass.

17

## Contents

1	
2	1. Introduction
3	
4	2. Agro-industrial biomass for LAB-catalyzed bioconversion processes: past, present and future
5	2.1. Suitable biomasses for LAB biofinery processes
6	2.1.1. Milk whey
7	2.1.2. Molasses
8	2.1.3. Starch
9	2.1.4. Wheat bran
10	2.1.5. Lignocellulose
11	2.2. Expanding LAB substrate metabolization performance: construction of recombinant
12	amylolytic and (hemi-)cellulolytic LAB
13	
14	3. Increasing high-value metabolite production of LAB: fermentation and metabolic (genetic)
15	engineering strategies.
16	3.1. Products for environmental friendly bulk chemicals and polymers.
17	3.1.1. LA and LA-derived chemicals
18	3.1.2. Polyhydroxyalkanoates
19	3.1.3. Biofuels: ethanol, butanol and hydrogen
20	3.2. Products for food application and human health promotion
21	3.2.1. Food aromas and flavors
22	3.2.2. Polyols and sweeteners
23	3.2.3. Exopolysaccharides
24	3.2.4. Nutraceuticals: bio-active amines, conjugated linoleic acids, seleno-metabolites and
25	vitamins.
26	3.2.5. Antimicrobial molecules: LAB bacteriocins
27	
28	4. Concluding remarks and future perspectives

## 1 **1. Introduction**

2 Lactic acid bacteria (LAB) include a wide group of Gram-positive fermenting bacteria which  
3 are generally non-sporulating and non-motile. They comprise both cocci and bacilli belonging to  
4 *Carnobacterium*, *Enterococcus* (*Ent*), *Lactobacillus* (*Lb*), *Lactococcus* (*Lc*), *Leuconostoc* (*Leu*),  
5 *Oenococcus*, *Pediococcus* (*Ped*), *Streptococcus* (*Str*), *Tetragenococcus*, *Vagococcus* and *Weissella*  
6 genera (Hofvendahl and Hahn-Hägerdal, 2000).

7 LAB are among the most promising microorganisms for biorefineries converting waste  
8 biomasses into industrially important products (Berlec and Strukelj, 2009). Currently, the main  
9 application of LAB in industrial processes is as food starters (e.g., for dairy products, pickles, meat  
10 and wine) (Papagianni, 2012). However, several physiological characteristics of the LAB render these  
11 bacteria highly suitable for much wider industrial application:

- 12 i) Except for some pathogenic streptococci strains, LAB are considered GRAS  
13 (generally regarded as safe);
- 14 ii) LAB are robust organisms already adapted to stress conditions in industrial processes,  
15 since they generally show high acid tolerance (they can survive at pH 5 and lower) and  
16 broad optimal growth temperatures (ranging from 20 to 45°C, depending on the genus  
17 and strain) (Hofvendahl and Hahn-Hägerdal, 2000);
- 18 iii) LAB are able to metabolize numerous mono- (both hexose and pentose) and di-  
19 saccharides (Kandler, 1983);
- 20 iv) LAB naturally produce many metabolites with confirmed applications in both the food  
21 and non-food industries (Fig. 1), such as: antimicrobial molecules (e.g., bacteriocins)  
22 (Settanni and Corsetti, 2008); food aromas and flavors (e.g., diacetyl and  
23 acetaldehyde) (Papagianni, 2012); food complements (e.g., vitamins) (Sybesma et al.,  
24 2004); food texturing agents (e.g., exopolysaccharides) (Chapot-Chartier et al., 2011);  
25 sweeteners (e.g., mannitol) (Hugenholtz et al., 2011); nutraceutical molecules, e.g.,  $\gamma$ -  
26 aminobutyric acid (GABA) opioid peptides and seleno-metabolites (Lamberti et al.,  
27 2011; Mazzoli et al., 2010; Mazzoli, 2014); bulk chemicals (e.g., lactic acid and  
28 ethanol) with applications for plastic polymeric manufacturing, e.g., polylactic acid  
29 (PLA) or polyethylene terephthalate (PET), respectively (Madhavan Nampoothiri et  
30 al., 2010; Singh and Ray, 2007) or as solvents or biofuels (e.g., ethyl lactate, ethanol)  
31 (Ohara, 2003); as well as biodegradable plastics (i.e., polyhydroxyalkanoates, PHA)  
32 (Aslim et al., 1998).

1           Although it is not within the scope of this review, it is worth mentioning LAB properties as  
2 extensively used probiotics (Settanni and Moschetti, 2010; Lamberti et al., 2011).

3

4 \*Fig. 1

5

6           Depending on which metabolic pathway(s) is (are) used by a LAB strain to catabolize sugars,  
7 a given strain can show either homo-, hetero- or mixed acid fermentation phenotype.  
8 Homofermentation virtually produces lactic acid (LA) as the sole end product. Sugars are catabolized  
9 through the Embden-Meyerhof pathway, and its end product, i.e., pyruvic acid, is enzymatically  
10 reduced either by D-lactate or L-lactate dehydrogenase (D-LDH and L-LDH, respectively) giving  
11 rise to the two LA enantiomers. The stereospecificity of the LA produced depends on the specific  
12 LAB strain, that is on the presence of the genes encoding L-LDH and/or D-LDH and their relative  
13 level of expression. Furthermore, the D-LA/L-LA balance can be affected by the presence of lactate  
14 racemase (E.C. 5.1.2.1) that catalyzes their interconversion (Goffin et al., 2005). In  
15 heterofermentative metabolism, equimolar amounts of LA, carbon dioxide and ethanol or acetate are  
16 formed from glucose via the phosphoketolase pathway. This pathway is used by facultative  
17 heterofermenters, such as *Lb. casei*, to metabolize pentoses and for the fermentation of hexoses and  
18 pentoses by obligate heterofermenter organisms such as *Leuconostoc*. Almost all LAB, except some  
19 lactobacilli, are able to ferment pentoses, i.e., they are facultative heterofermenters (Kandler, 1983).  
20 Mixed acids are formed by homofermenters such as lactococci during glucose limitation and during  
21 growth on other sugars, e.g., *Lc. lactis* growing on maltose, lactose and galactose, or at increased pH  
22 and temperature (Papagianni, 2012). Ethanol, acetate and formate are formed in addition to LA. Here,  
23 monosaccharides are catabolized through the Embden-Meyerhof pathway as in homofermentative  
24 metabolism, but pyruvate is partly reduced to LA by LDH and partly converted to formate and acetyl-  
25 CoA by pyruvate formate lyase (PFL). In the presence of oxygen, PFL is inactivated and an alternative  
26 pathway of pyruvate metabolism becomes active via pyruvate dehydrogenase (PDH), resulting in the  
27 production of carbon dioxide, acetyl-CoA and NADH (Hofvendahl and Hahn-Hägerdal, 2000).

28           Although the ability of LAB to synthesize a large panel of highly valuable compounds renders  
29 them good candidates for biorefinery application, economic feasibility of such LAB-based industrial  
30 fermentations (especially for the production of bulk chemicals) remains problematic. Since LAB have  
31 limited potential to biosynthesize amino acids, nucleotides, and/or vitamins, supplementation of these  
32 nutrients is necessary for optimal growth. These complex nutritional requirements increase the costs

1 of both growth medium preparation and product separation and purification (John et al., 2007; Okano  
2 et al., 2010a). Furthermore, LAB are generally unable to directly ferment (i.e., without previous  
3 saccharification by physico-chemical and/or enzymatic treatments) complex carbohydrates, e.g.,  
4 starch and cellulose, which comprise the most abundant and least expensive feedstocks for  
5 biorefineries (John et al., 2007; Okano et al., 2010a).

6 Metabolic engineering helps solve defined problems, e.g., broadening the range of carbon  
7 sources used by a microorganism or improving its product yield and productivity. The small genomes  
8 (about 2-3 Mb) and the relatively simple physiology of LAB make them suitable organisms for  
9 metabolic engineering (De Vos and Hugenholtz, 2004). Many genetic tools, including gene  
10 expression vectors, are available for LAB (de Vos, 1999; Sorvig et al., 2005). Advantageously, a  
11 number of chromosomal integration systems for LAB have been developed since the 1980s and are  
12 continuously optimized (for an extensive review please refer to Gaspar et al., 2013). Tools for  
13 unlabelled (i.e., without insertion of antibiotic resistance markers) gene integration into the  
14 chromosome by either homologous recombination (e.g., pORI, pSEUDO and Cre-lox systems) or  
15 single-stranded DNA recombineering currently allow manipulation of lactobacilli and *Lc. lactis*  
16 (Douglas et al., 2011; Lambert et al., 2007; Pinto et al., 2011; van Pijkeren and Britton, 2012). Such  
17 strategies are essential for engineering microorganisms suitable for application in industrial  
18 fermentations, since they circumvent genetic instability of plasmid-bearing strains and avoid the need  
19 for antibiotic pressure, which is too costly at the industrial scale and not applicable in food-oriented  
20 processes.

21 To date, *Lc. lactis* is still by far the most extensively studied species among LAB, and many  
22 examples of successful metabolic engineering of this species are available (Hugenholtz et al., 2011;  
23 Kleerebezem and Hugenholtz, 2003). So far, the main efforts in genetic modification of LAB have  
24 been concentrated in the development of LAB with enhanced qualities for food grade applications,  
25 e.g., with improved production of flavors or nutritional (health-promoting) components, or increased  
26 resistance to bacteriophages (Hugenholtz et al., 2011; Papagianni, 2012; Singh et al., 2006). Only a  
27 few attempts have been made to improve LA production by metabolic engineering in LAB. Most  
28 were attempts to obtain optically pure LA isomer by cloning or deletion of genes encoding either D-  
29 or L-LDH or by deletion of racemase genetic determinant(s) (Singh et al., 2006; Okano et al., 2009b;  
30 2010b). In the meantime, an increasing number of LAB has been the object of extensive fundamental  
31 research. Whole genome sequences of about 40 LAB strains have been determined. Moreover,  
32 sequencing of more than 100 LAB genomes is currently underway as reported by a number of publicly  
33 available databases (<http://www.genome.jp/kegg/>; <http://www.jgi.doe.gov/>;

1 <http://www.ncbi.nlm.nih.gov/genome>) (Gaspar et al., 2013). The metabolism of several LAB has  
2 been investigated by a great number of studies through transcriptomic, proteomic and/or metabolomic  
3 approaches (Bron et al., 2012; Carvalho et al., 2013; Gaspar et al., 2013; Pessione et al., 2014). Such  
4 information will contribute to expand the potential of systems metabolic engineering of LAB.

5 The present paper intends to provide an extensive overview of the main current industrial  
6 applications of LAB and future perspectives concerning their utilization in biorefineries for  
7 converting waste biomass by fermentation. Strategies for improving production of high-value  
8 compounds by LAB or expanding their substrate metabolic capacity for cheaper forms of biomass,  
9 such as starch or lignocellulose, will be described in detail with examples of both fermentation  
10 process optimization and strain engineering through genetic manipulation techniques.

11

12

## 13 **2. Agro-industrial biomass for LAB-catalyzed bioconversion processes: past, present and** 14 **future**

15 Today, LA production is the most significant application of LAB for large-scale industrial  
16 fermentation. In 2002, of the 150,000 tons of LA that were produced worldwide, about 90% was  
17 generated via LAB fermentation using glucose as the carbon source (Sauer et al., 2008). The higher  
18 the purity of the sugar(s) used as substrate, the higher the purity of the fermentation product(s) (e.g.,  
19 LA) obtained. This greatly reduces the costs for down-stream product purification. However, this  
20 approach is economically unfavourable, since pure sugars are expensive. Therefore, different types  
21 of biomass, such as energy crops, forestry residues, or by-products from agro-industrial activities  
22 (e.g., milk whey, molasses, starch, wheat bran and flour, and lignocellulose), featuring both low  
23 purchase cost and renewability, have either been proposed or have already been tested as fermentative  
24 substrates for LAB (Hofvendahl and Hahn-Hägerdal, 2000; Okano et al., 2010a). It is worth noting  
25 that several parameters affect product yield and productivity from renewable resources, including the  
26 carbon source, the nitrogen source, the fermentation mode, the pH and temperature (Hofvendahl and  
27 Hahn-Hägerdal, 2000). Notably, the heterogeneous chemical and physical nature of the different  
28 available feedstocks limits the possibility to develop generally applicable bioconversion processes.  
29 In particular, the bioconversion of the most abundant and promising biomass types, i.e., starch and  
30 lignocellulose, by LAB is currently the most problematic, as described in the section 2.2.

31

## 1 **2.1. Suitable biomasses for LAB biorefinery processes**

2 **2.1.1 Milk whey.** Milk whey represents a cheap raw material that is available in large amounts  
3 (13.500.000 tons/year in the EU) as a by-product of the caseification process (Koller et al., 2007).  
4 Furthermore, this surplus product causes a huge and expensive disposal problem for the dairy industry  
5 (Koller et al., 2007). Although several possibilities of cheese whey utilization have been explored, a  
6 major portion of the world cheese whey production is currently discarded as effluent. The major  
7 constituents of whey are lactose (45-50 g/L), proteins (6-8 g/L) and salts. The main components of  
8 the whey protein fraction are  $\beta$ -lactoglobulin (2.7 g/L),  $\alpha$ -lactalbumin (1.2 g/L), immunoglobulins  
9 (0.65 g/L), bovine serum albumin (0.4 g/L), lactoferrin (0.1 g/L) and lactoperoxidase (0.02 g/L)  
10 (Wong et al., 1996). The high content of lactose in whey permeate (about 80% of the original lactose  
11 in milk) and the presence of other essential nutrients (e.g., vitamins) for the growth of microorganisms  
12 would potentially enable milk whey to be used directly as a medium for LAB fermentation, e.g., for  
13 LA production.

14 **2.1.2 Molasses.** Molasses is a by-product of the sugar manufacturing process and contains  
15 sucrose as the most abundant sugar. Its high carbohydrate concentration makes molasses a highly  
16 viscous liquid which needs dilution before using it in microbial growth media, so as to avoid osmotic  
17 problems for the cells. Molasses has been traditionally used as animal feed and for ethanol and yeast  
18 production, but applications for LA production by LAB have also been reported (Hofvendahl and  
19 Hahn-Hägerdal, 2000).

20 **2.1.3 Starch.** Starch consists of a mixture of glucans, i.e., amylose and amylopectin. Both  
21 amylose and amylopectin possess a linear backbone of glucose residues linked by  $\alpha$ (1-4) linkages. In  
22 addition, in amylopectin branching takes place through  $\alpha$ (1-6) bonds occurring every 24 to 30 glucose  
23 units of the backbone chain. Starch can be obtained from various plants and is an interesting raw  
24 material on the basis of cost and availability (Okano et al., 2010a). However, relatively few LAB  
25 strains (mainly lactobacilli) isolated so far have starch-degrading properties (see also section 2.2.)  
26 (Okano et al., 2010a). Furthermore, most of the naturally amylolytic LAB show either low LA yields  
27 or poor enantioselectivity as described in section 3.1.1. (Okano et al., 2010a). Many groups have  
28 explored acid/enzyme hydrolysis of starchy substrates (wheat, corn, cassava, potato, rice, rye,  
29 sorghum and barley) followed by LAB fermentation or simultaneous saccharification and  
30 fermentation by co-culture/mixed culture in LA production processes (Xiaodong et al., 1997; Datta  
31 and Henry, 2006; Hofvendahl and Hahn-Hägerdal, 2000).

1           **2.1.4. Wheat bran.** Wheat bran is rich in proteins, oil, nutrients, and calories and is among the  
2 major by-products of wheat production. Wheat flour or wheat bran has been used to produce LA, as  
3 they contain a large percentage of starch and proteins, which can be utilized as the sole sources of  
4 carbon and nitrogen, respectively (John et al., 2006; Li et al., 2010b; Naveena et al., 2005a; b; Oh et  
5 al., 2005).

6           **2.1.5. Lignocellulose.** Lignocellulose is the main component of the plant cell wall and the  
7 most abundant renewable source of biomass on Earth. It also includes waste biomass produced by  
8 human activities, such as waste paper, by-products from agricultural crops (e.g., wheat straw, corn  
9 stalks) and industrial waste streams (e.g., paper mill sludge, wood industry waste). Despite great  
10 differences in composition and in anatomical structure of cell walls across plant taxa, lignocellulose  
11 typically consists of cellulose (35-50% of the plant dry weight), hemicellulose (e.g., xylans, mannans  
12 and  $\beta$ -glucans, 20-35 % of the plant dry weight) and lignin (5-30% of the plant dry weight) as the  
13 major components (Lynd et al., 2002). While cellulose is a linear homopolymer of  $\beta$ -glucose units  
14 linked by (1-4) glycosidic bonds, hemicellulose is a heterogenous class of polysaccharides which are  
15 often branched and consist of both hexose (e.g., glucose and galactose) and pentose (e.g., xylose,  
16 which is the most abundant hemicellulose component, and arabinose) sugars (Jordan et al., 2012).  
17 Some LAB strains can ferment short cello-oligosaccharides and/or xylooligosaccharides (Adsul et  
18 al., 2007a; De Vos, 1997; Ohara et al., 2006; Kowalczyk et al., 2008). However, no natural  
19 cellulolytic or hemicellulolytic LAB has been isolated so far as described in section 2.2.

20

## 21   **2.2. Expanding LAB substrate metabolization performance: construction of recombinant** 22 **amyolytic and (hemi-)cellulolytic LAB**

23           The bioconversion of starchy and lignocellulosic biomasses by LAB is currently hampered by  
24 major limitations. Relatively few natural LAB strains having starch-degrading properties, e.g.,  
25 amyolytic strains belonging to *Lactobacillus species* (*Lb. amylophilus*, *Lb. amylovorus*, *Lb.*  
26 *amyolyticus* and certain strains of *Lb. plantarum*), have been isolated so far (Giraud et al., 1994;  
27 Guyot et al., 2000; Narita et al., 2004). Most of them have been used for one-step LA production and  
28 have exhibited either low yield or low enantioselectivity (John et al., 2007; Okano et al., 2010a).  
29 However, screening for useful amyolytic LAB is both time-consuming and difficult.

30           Currently, pre-treatment of starchy and ligno-cellulosic feedstocks, including polysaccharide  
31 hydrolysis into oligo-/mono-saccharides, is in most cases necessary prior to sugar fermentation by  
32 LAB (Hofvendahl and Hahn-Hägerdal, 2000, Okano et al., 2010a). Hydrolysis of polysaccharides

1 can be achieved by either physico-chemical strategies (e.g., steam, diluted acids or alkali) or  
2 enzymatic treatment (addition of commercial amylase or cellulase cocktails). Both physico-chemical  
3 and enzymatic hydrolysis can be very efficient, but most physico-chemical processes are known to  
4 produce toxic compounds, e.g., furfural and hydroxymethylfurfural, which inhibit microbial growth.  
5 Moreover, both physico-chemical and enzymatic treatments significantly increase the cost of the  
6 bioconversion processes (Okano et al., 2010a). Notably, dedicated production of hydrolytic enzymes  
7 is still a major economic constraint for cost-effective bioprocessing of plant-derived lignocellulosic  
8 biomasses (Olson et al., 2012). In this context, the cost of cellulases is currently comparable to the  
9 purchase cost of the feedstock, i.e., 50 cents per gallon ethanol (Olson et al., 2012). Extensive research  
10 has been dedicated to developing consolidated biomass bioconversion processes (CBP), featuring  
11 biomass hydrolysis and bioconversion to high-value product(s) in a single fermenter. As far as LAB  
12 are concerned, many efforts have been aimed at the construction of recombinant amyolytic or  
13 cellulolytic strains by metabolic engineering over the past 25 years.

14 To date, owing to the less recalcitrant nature of starch with respect to lignocellulose, the most  
15 successful studies have been those aimed at the construction of recombinant amyolytic LAB, i.e.,  
16 secreting heterologous  $\alpha$ -amylase (Okano et al., 2007; Van Assendolk et al., 1993) (see Table 1).  
17 Increased amounts of  $\alpha$ -amylase from *Str. bovis* 148 could be biosynthesized in *Lb. casei* by fusing  
18 the 5'-untranslated leader sequence (UTLS) and the ribosome binding site (RBS) of the *slpA* gene  
19 from *Lb. acidophilus* with the promoter of the gene encoding LDH of *Lb. casei* (Narita et al., 2006).  
20 Maximum  $\alpha$ -amylase activities measured in such engineered LAB are 3-6 fold higher than those  
21 shown by the native amyolytic *Str. bovis* 148 (Narita et al., 2004). The most impressive performance  
22 was reported for a *Lb. plantarum* strain secreting  $\alpha$ -amylase from *Str. bovis* 148, which was able to  
23 ferment 86 g of raw corn starch to D-LA in 48 h with a similar yield of 0.85 g/g total sugar consumed  
24 but a higher maximum volumetric productivity of 3.86 g/L/h, compared to *Str. bovis* 148 (Narita et  
25 al., 2004; Okano et al., 2009c) (Table 1).

26

27 \*Table 1

28

29 Enzymatic systems for lignocellulose hydrolysis are much more complicated than those  
30 required for starch hydrolysis. Multiple enzymes, having different substrate specificities (e.g.,  
31 cellulases, xylanases and other hemicellulases) and catalytic mechanisms (i.e., exoglucanases,

1 endoglucanases, processive endoglucanases, and  $\beta$ -glucosidases), are required to co-operate  
2 synergistically for efficient lignocellulose hydrolysis (Bayer et al., 2013; Lynd et al., 2002; Wilson,  
3 2011). Although recent studies have highlighted that strategies for cellulose hydrolysis can be highly  
4 diverse in phylogenetically distant cellulolytic microorganisms (Himmel et al., 2010; Wilson 2011),  
5 two main cellulase paradigms have been the most extensively studied so far: i) the “free”-cellulase  
6 systems (i.e., secreted cellulases that do not form stable complexes) of aerobic microorganisms, such  
7 as filamentous fungi (e.g., *Trichoderma reesei*) and actinomycetes (Chandel et al., 2012; Lynd et al.,  
8 2002); and ii) the “complexed” cellulase systems, i.e., the cellulosomes of anaerobic bacteria, such  
9 as *Clostridium spp.* and *Ruminococcus spp.*, and fungi (i.e., Chytridomycetes) which are generally  
10 bound to the cell surface (Bayer et al., 1998; Bayer et al., 2008; Fontes and Gilbert, 2010; Himmel et  
11 al., 2010; Lynd et al., 2002). Aerobic microorganisms generally secrete amounts ranging from 1 to  
12 10 g/l up to 100 g/l (in some fungi) of “free” cellulases, while cellulosome-biosynthesizing anaerobic  
13 bacteria, such as *Clostridium thermocellum*, produce much lower amounts of cellulases (around 0.1  
14 g/L) because of the lower energy levels in anaerobic versus aerobic bacteria and the higher specific  
15 activity of such complexes with respect to “free-enzyme” systems (You et al., 2012).

16         Examples of recombinant cellulolytic strategies have been applied so as to bestow the ability  
17 to grow on lignocellulose biomasses on LAB strains by heterologous cellulase expression (Mazzoli  
18 et al., 2012; Yamada et al., 2013) (Table 1). Early examples in LAB, have been mainly aimed at  
19 obtaining LAB strains able to improve silage fermentation and storage and/or silage digestibility by  
20 cattle (Bates et al., 1989; Ozkose et al., 2009; Rossi et al., 2001; Scheirlinck et al., 1989). *Lb.*  
21 *plantarum* is commonly used for silage fermentation so as to diminish silage pH by means of LA  
22 fermentation and improve long-term silage storage (Scheirlinck et al., 1989). However, the soluble  
23 carbohydrate concentration in silage is often very low, and since natural *Lb. plantarum* is not able to  
24 ferment glucans (either starch or cellulose), the amount of LA produced is too low to inhibit further  
25 silage fermentation by spoilage microorganisms. Heterologous endocellulase expression in *Lb.*  
26 *plantarum* (by gene cloning into plasmids or through integration into the genomic DNA), designed  
27 to obtain recombinant cellulolytic strains with improved silage fermentation properties, has been  
28 received with great interest (Bates et al., 1989; Rossi et al., 2001; Scheirlinck et al., 1989). The *C.*  
29 *thermocellum* endoglucanase Cel8A was successfully expressed in two probiotic lactobacilli (Cho et  
30 al., 2000). Later, construction of cellulolytic LAB for industrial production of LA has been  
31 considered. *C. thermocellum* Cel8A was introduced into *Lb. plantarum AldhL1*, thus obtaining a  
32 recombinant strain that was able to grow on cellooligosaccharides up to 5-6 glucose residues (Okano  
33 et al., 2009c; 2010a). Very recently, Morais and co-workers (2013) demonstrated that the construction

1 of simple consortia of recombinant *Lb. plantarum* strains expressing and secreting cellulase-xylanase  
2 mixtures could potentially be used for biomass (e.g., wheat straw) bioconversion.

3 Current cutting-edge strategies aimed at the development of recombinant cellulolytic  
4 microbial strains are mainly based on heterologous expression of so-called mini- or designer-  
5 cellulosomes, i.e., artificial cellulosomes, which are composed of the minimum number of  
6 components enabling them to be active on lignocellulosic substrates (Bayer et al., 1994; Bayer et al.,  
7 2007). Because of lower protein secretion ability of bacteria with respect to eukaryotic cells,  
8 complexed-cellulases, showing higher specific activity than free cellulases, seem the most promising  
9 enzymatic systems for conferring cellulolytic ability to LAB. Moreover, LAB are relatively close to  
10 cellulolytic clostridia from a phylogenetical standpoint, and their G+C content is low and similar to  
11 those of strains such as *C. cellulovorans*, *C. thermocellum* and *C. cellulolyticum*. This is essential for  
12 efficient biosynthesis of heterologous proteins, with particular emphasis on efficient translation,  
13 which is often biased by different codon usage in very distant organisms (Mazzoli et al., 2012).

14 Modulation of mRNA stability can be an alternative tool to optimize heterologous cellulase  
15 expression in LAB (Daguer et al., 2005; Komarova et al., 2005; Narita et al., 2006; Okano et al.,  
16 2010b). Recent studies suggest that mechanisms of protein secretion in Clostridia and LAB could be  
17 similar (Okano et al., 2010b; Mingardon et al., 2011; Wieczorek and Martin, 2010). In fact, the  
18 products of genes encoding cellulosomal components of cellulolytic clostridia, including their  
19 original signal peptide, could be efficiently secreted by *Lb. plantarum* (Okano et al., 2010b;  
20 Mingardon et al., 2011; Morais et al., 2013). This significantly reduces problems connected with  
21 heterologous cellulase expression. In fact, as for secretion of other proteins in heterologous hosts,  
22 heterologous cellulase expression may be hampered by saturation of transmembrane transport  
23 mechanisms of the host, which causes reduction/loss of cell viability (Mazzoli et al., 2012). Such  
24 limitations are still a major bottleneck of recombinant cellulolytic strategies (Mazzoli et al., 2012).  
25 Nevertheless, genomic screening for homologous signal peptides could hold the key for fine tuning  
26 and balance between high secretion and reduction in cell viability as was nicely demonstrated by  
27 Mathiesen and co-workers (2008).

28 In other cases, the simple replacement of the original signal peptide with that of Usp45, the  
29 main secreted protein of *Lc. lactis*, has been used for promoting heterologous protein secretion in *Lc.*  
30 *Lactis* (Morello et al., 2008), e.g., components of the *C. thermocellum* scaffolding protein CipA  
31 (Wieczorek and Martin, 2010). In addition, *Lc. lactis* HtrA mutants, which are defective in the  
32 unique exported housekeeping protease HtrA (i.e., the main protein component responsible for quality  
33 control of secreted proteins in this species), have been employed for the efficient secretion of

1 heterologous cellulases (Wieczoreck and Martin, 2010). The construction of LAB strains that display  
2 cell-surface-anchored designer cellulosomes or cellulosomal components has also been recently  
3 achieved. Fragments of the CipA scaffolding protein of *C. thermocellum* have been functionally  
4 displayed on the cell surface of *Lc. lactis* by fusing them with the C-terminal anchor motif of the  
5 streptococcal M6 protein, a sortase substrate (Wieczoreck and Martin, 2010; 2012). Surface-anchored  
6 complexes were thus displayed with efficiencies that could approach  $10^4$  complexes/cell (Wieczoreck  
7 and Martin, 2010) (Table 1). A non-covalent surface display system for LAB has also been developed  
8 by fusing a target heterologous protein, i.e., the  $\alpha$ -amylase, with the C-terminal cA peptidoglycan-  
9 binding domain, which shows high homology with LysM repeats of the major autolysin AcmA from  
10 *Lc. lactis* (Okano et al., 2008).

11 Cellulolytic activities, measured in recombinant LAB constructed so far, differ widely  
12 (Table 1). It can be estimated that hydrolytic activity of native cellulosome-producing strains, such  
13 as *C. thermocellum*, on cellulosic substrates, which are commonly used for *in vitro* enzymatic tests  
14 such as  $\beta$ -glucan, carboxy methyl cellulose (CMC) or phosphoric acid swollen cellulose (PASC),  
15 ranges between 100 and 1000 U/L (Kraus et al., 2012; You et al., 2012). Some recombinant LAB  
16 show cellulolytic activities lower than *C. thermocellum* by 10/100 fold (Table 1). However, for other  
17 engineered strains reported values are similar to or higher than those of natural cellulosome-producing  
18 bacteria (Table 1). It is worth noting that efficient hydrolysis of more recalcitrant polysaccharides,  
19 such as crystalline cellulose, do not depend merely on the amount of cellulases but requires mixtures  
20 of different enzymatic activities showing high synergism. Cellulase expression levels shown by some  
21 recombinant LAB obtained thus far are encouraging for future development of strains ready for  
22 industrial application in biomass biorefinery. It will therefore be interesting if future engineering of  
23 LAB strains expressing viable designer cellulosomes with similar catalytic activities on recalcitrant  
24 substrates will be possible.

25 Efficient conversion of plant biomass, should take into account that hemicellulose, consisting  
26 of both hexose and pentose polymers, can constitute up to 35% of the plant dry biomass (Jordan et  
27 al., 2012; Lynd et al., 2002). Microbial strains which can ferment pentose sugars generally suffer  
28 from lower yield and productivity with respect to glucose, because of inefficient uptake, redox  
29 imbalance, or carbon catabolite repression (Jojima et al., 2010). A number of LAB such as *Lb.*  
30 *pentosus*, *Lb. brevis*, *Lb. plantarum* and *Leu. lactis* are able to metabolize both arabinose and xylose  
31 through the phosphoketolase pathway, leading to equimolar amounts of LA and acetic acid or ethanol  
32 (Tanaka et al., 2002; Okano et al., 2009a). An additional xylose fermentation pathway with higher  
33 LA production yields was discovered in *Lc. lactis* IO-1 (Tanaka et al., 2002). However, even xylose-  
34 metabolizing LAB strains such as *Lc. lactis* IO-1, *Leu. lactis* SHO-47 and *Leu. lactis* SHO-54 cannot

1 ferment xylan or xylooligosaccharides with degrees of polymerization higher than seven (Ohara et  
2 al., 2006). Isolation of bacteria from different sources is a promising approach to discover new LAB  
3 strains with pentose/hemicellulose metabolization properties. Alternatively, metabolic pathway  
4 engineering (e.g., expression of heterologous xylanases) is an effective tool to improve hemicellulose  
5 bioconversion by LAB as well as for cellulose degradation (Morais et al., 2013; Raha et al., 2006)  
6 (Table 1). Morais et al. (2013) demonstrated that xylanase-expressing *Lb. plantarum* improved  
7 cellulose accessibility. A *Lb. plantarum* strain was engineered so as to obtain a recombinant strain  
8 with higher conversion yields of both arabinose and xylose into D-LA (Okano et al 2009 a; b) (Table  
9 1). The final modified *Lb. plantarum*  $\Delta dhL1-xpk1::tk/pUC-PXylAB$  strain could convert both  
10 arabinose and xylose into LA with yields of 0.82 and 0.89 g of produced LA per gram of pentose,  
11 respectively.

12

13

### 14 **3. Increasing high-value metabolite production of LAB: fermentation and metabolic (genetic)** 15 **engineering strategies**

16

#### 17 **3.1. Products for environmental-friendly bulk chemicals and polymers**

##### 18 **3.1.1. LA and LA-derived chemicals**

19 LA, the main LAB fermentation product, is currently among the most sought-after chemicals.  
20 Apart from its traditional use as a preservative (acidifier) and flavor-enhancing agent by the food  
21 industry, LA has also been used as an emulsifying and moisturizing agent by the cosmetics industry,  
22 in the synthesis of optically pure pharmaceuticals and as an intermediate in pharmaceutical processes,  
23 and by the tanning industry (Papagianni, 2012). Another interesting application of LA is in the  
24 synthesis of ethyl lactate that is used as a biodegradable solvent (Singh and Ray, 2007; Madhavan  
25 Nampoothiri et al., 2010). Recently, worldwide demand for LA has considerably increased because  
26 of the use of LA as a building block for the synthesis of plastic polymers, i.e., polylactides (PLA)  
27 (John et al., 2007). Worldwide annual PLA production capacity is expected to be as high as 216,000  
28 metric tons in 2015 ([www.Iea-bioenergies.task42-biorefineries.com](http://www.Iea-bioenergies.task42-biorefineries.com)). PLA are linear aliphatic  
29 polyesters showing many interesting features, i.e., biodegradability and biocompatibility,  
30 thermoplasticity and high tensile strength, which make them highly versatile and attractive for various  
31 commodities and for medical applications (Madhavan Nampoothiri et al., 2010). For these reasons,

1 PLA is considered a general purpose plastic material, which is expected to replace various polymers  
2 traditionally derived by oil refinery in applications comprising: i) food and goods packaging and  
3 cutlery; ii) biomedical devices such as matrices for tissue regeneration and drug delivery systems,  
4 surgical suture thread, orthopaedic fixation (e.g., pins rods, ligaments), cardiovascular applications  
5 (e.g., stents, grafts) and devices; and iii) agriculture plastic sheetings (John et al., 2007; Okano et al.,  
6 2010a; Singh and Ray, 2007). PLA are obtained by chemical condensation of LA (Cheng et al., 2009).  
7 Since LA is a chiral molecule existing as two optical isomers (D-LA and L-LA) and the physical  
8 properties of PLA generally depend on the enantiomeric composition of LA, the availability of  
9 optically pure LA is essential for the polymerization process. In this respect, biotechnological  
10 production of LA is advantageous. Whereas chemical synthesis always results in a racemic mixture  
11 of L- and D-LA, selected, natural or engineered microbial strains can produce optically pure products  
12 (John et al., 2007). Although other LA-producing microorganisms, such as filamentous fungi, are  
13 known and other microbial models have been proposed for this purpose (Okano et al., 2010a), of the  
14 150,000 tons of LA that are produced worldwide every year, about 90% is produced by LAB  
15 fermentation (Sauer et al., 2008).

16 At present, the main portion of LA for PLA synthesis is obtained by bioconversion of  
17 dedicated crops (mainly corn) by industries such as Nature works LLC (USA) and Purac (The  
18 Netherlands) (Abdel-Rahman et al., 2013). However, optimization of LA production from cheaper  
19 and more environmentally sustainable feedstocks using LAB fermentation has been extensively  
20 investigated by several research groups worldwide. This is essential so as to reduce the costs  
21 associated with the fermentative production of LA, which should be at or below \$ 0.8 per kilogram  
22 of LA, in order to ensure that PLA will be competitive with fossil-fuel-based plastics (Okano et al.,  
23 2010a).

24 It has been pointed out that the carbon substrate is not the only nutrient that significantly  
25 affects LAB growth. In this context, yeast extract is frequently added as a source of nitrogen for LA  
26 production with a significant increase of production costs (Hofvendahl and Hahn-Hägerdal, 2000).  
27 Corn steep liquor, a by-product from the corn steeping process, represents a cheaper and successful  
28 alternative in LA production processes (Wee et al., 2006).

29 Among the different possible carbon substrates for LAB growth several low-cost sources of  
30 biomass have been considered, which include milk whey, molasses, starchy materials, lignocellulose  
31 hydrolysates, and wheat bran, as described in Table 2.

32

1 \*Table 2

2

3 Different lactobacilli species (e.g., *Lb. helveticus*, *Lb. delbrueckii* subsp. *bulgaricus*, *Lb.*  
4 *acidophilus*, *Lb. casei*) have been employed in LA production from whey (Table 2). Among them,  
5 *Lb. helveticus* is the generally preferred organism. This microorganism is a homolactic LAB that  
6 produces a D-/L-LA racemic mixture (Roy et al., 1986). Temperature and pH are the key  
7 environmental parameters that affect the LA production process. *Lb. helveticus* showed enhanced  
8 lactose utilization and LA production at 42°C and pH 5.8 (Tango and Ghaly, 1999). The highest LA  
9 production rate was obtained with *Lb. helveticus* grown in whey permeate, with corn steep liquor as  
10 the nitrogen source (Amrane and Prigent, 1998). LA productivity of 9.7 g/L/h using *Lb. helveticus*  
11 strain milano has been obtained in continuous fermentation of whey-yeast extract permeate medium  
12 (Roy et al., 1986; 1987). Because LAB do not have sufficient proteolytic activities to utilize milk  
13 proteins in whey, they frequently require supplementation of yeast extract to the medium (Abdel-  
14 Rahman et al., 2013). In the work of Vasala et al. (2005) on *Lb. salivarum*, yeast extract  
15 supplementation was replaced by *in situ* treatment of the fermentation medium with proteolytic  
16 microorganisms. LAB have been immobilized by several methods on different supports (e.g., calcium  
17 alginate,  $\kappa$ -carrageenan, agar and polyacrylamide gels) (Panesar et al., 2007a; b), and the immobilized  
18 systems have been investigated for LA production from whey. A two-stage process was used for  
19 continuous fermentation of whey permeate medium with *Lb. helveticus* immobilized in  $\kappa$ -  
20 carrageenan/locust bean gum, which resulted in high LA productivity (i.e., 19–22 g/L/h) (Schepers  
21 et al., 2006). In a study by Panesar et al. (2007b) *Lb. casei* was immobilized in Ca pectate gel. A  
22 higher level of lactose conversion to LA (32.95 g/L) was achieved (94.37%), and the cell system was  
23 found highly stable: no decrease in lactose conversion to LA was observed up to 16 batches.

24 *Lb. delbrueckii* and *Ent. faecalis* have been used for LA production from molasses  
25 (Monteagudo et al., 1997; Göksungur and Güvenç, 1999; Kotzanmanidis et al., 2002; Wee et al.,  
26 2004) (Table 2). Monteagudo et al. (1997) studied the kinetics of LA fermentation by *Lb. delbrueckii*  
27 grown on beet molasses, whereas production yield of LA from beet molasses by free and immobilized  
28 *Lb. delbrueckii* cells has been described in a comparative study by Göksungur and Guvenç (1999).  
29 Optimization of LA production on a modified formulation of carbon and nitrogen substrates (using  
30 different sugar, yeast extract and calcium carbonate concentrations) from beet molasses by *Lb.*  
31 *delbrueckii* was carried out by Kotzanmanidis et al. (2002). Most of the investigations concerning LA  
32 fermentation from molasses were performed using the genus *Lactobacillus*; however, Wee and co-

1 worker (2004) reported a high yield and productivity of LA from molasses without pretreatment using  
2 a strain of *Ent. faecalis*. Continuous production of LA from molasses by perfusion culture of *Lc. lactis*  
3 was described by Ohashi et al. (1999).

4 Many researchers have attempted direct LA production from starchy materials and wild  
5 amylolytic LAB have been isolated in different environments (Giraud et al., 1994; Guyot et al., 2000;  
6 Narita et al., 2004). Amylolytic LAB utilize starchy biomass and convert it into LA in a single step  
7 fermentation (Reddy et al., 2008). *Str. bovis* 148, a common rumen bacterium (Mizrahi, 2013), was  
8 found to produce L-LA from raw corn starch with a high yield of 0.88 g/g and a relatively high optical  
9 purity of 95.6% (Narita et al., 2004). *Lb. amylophilus* GV6 was found to actively ferment various  
10 pure and crude starchy substrates with more than 90% LA yield (Altaf et al., 2005; Vishnu et al.,  
11 2000; 2002). *Lb. plantarum* A6 showed both a good degree of starch utilization and good yields of  
12 LA production in a complex medium composed of free sugars (brown juice) and starch (Thomsen et  
13 al., 2007).

14 An isolated strain of *Lb. brevis* (S3F4) showed LA production capability from lignocellulosic  
15 hydrolysates. S3F4 was able to simultaneously utilize xylose and glucose without catabolic repression  
16 (Guo et al., 2010). *Lactobacillus* sp. RKY2 was employed in continuous LA fermentations with cell  
17 recycling, using lignocellulosic hydrolyzates and corn steep liquor as inexpensive raw materials. The  
18 results of this study have indicated that the cell-recycling cultivation method can improve volumetric  
19 productivity (Wee and Ryu, 2009). However, a few LAB, such as *Lb. pentosus* (Bustos et al., 2005),  
20 *Lb. brevis* (Chaillou et al., 1998), and *Leu. lactis* (Ohara et al., 2006), are known to ferment xylose,  
21 producing both LA and acetic acid. *Lc. lactis* utilizes heterofermentative metabolism when  
22 metabolizing pentoses, with production of an equimolar mixture of LA and acetate (Doran-Peterson  
23 et al., 2008). In the work of Laopaiboon et al. (2010) xylose was obtained as the main fermentable  
24 sugar (89%) from hydrolyzate of sugarcane bagasses. The detoxified hydrolysate, supplemented with  
25 yeast extract, was found to be a potential substrate for LA production by *Lc. lactis* IO-1. The  
26 bioconversion of hemicellulosic sugars (xylose, glucose, and arabinose) from different agro-industrial  
27 wastes into LA by *Lb. pentosus* was reported in the work of Moldes et al. (2006). Pure L-LA was  
28 produced from sugarcane bagasse cellulose by simultaneous saccharification and fermentation (SSF)  
29 in the presence of a cellobiose-utilizing *Lb. delbrueckii* mutant Uc-3 that utilizes both cellobiose and  
30 cellotriose efficiently (Adsul et al., 2007a; b). The performance of recycled paper sludge as feedstock  
31 for LA production with *Lb. rhamnosus* ATCC 7469 was evaluated by Marques et al (2008): maximum  
32 production of LA from this feedstock was obtained by performing the enzymatic hydrolysis and  
33 fermentation steps simultaneously.

1 Plackett–Burman design was employed for screening 15 parameters for production of L(+)-  
2 LA from wheat bran by *Lb. amylophilus* GV6 in solid state fermentation (Naveena et al., 2005b).  
3 Wheat bran was utilized as both support and substrate in a single-step conversion of raw starch to  
4 L(+)-LA (Naveena et al., 2005b). Barley, wheat, and corn were hydrolyzed by commercial amylolytic  
5 enzymes and fermented to LA by *Ent. faecalis* RKY1 without additional nutrients. LA productivities  
6 of 0.8 g/L/h were obtained from barley and wheat (Oh et al., 2005). In the work of John et al. (2006)  
7 protease-treated wheat bran was used for the production of L(+)-LA using a mixed culture of *Lb.*  
8 *casei* and *Lb. delbrueckii*. In L-LA production by *Lb. rhamnosus* LA-04-1, wheat bran hydrolysate  
9 combined with corn steep liquor showed a better performance than that without treatment, especially  
10 for L-LA yield (0.99 g/g) (Li et al., 2010b).

11 Regarding the optical purity of the LA product, several examples designed to optimize  
12 enantioselective biosynthesis can be cited. L-LA is the most used isomer in both food and  
13 pharmaceutical industries, and hence its production by fermentation is a well-established process (Yu  
14 and Hang, 1989). Efficient D-LA production with high optical purity (97.2 - 98.3%) from sugarcane  
15 molasses by *Lb. delbrueckii* JCM 1148 was reported in the work of Calabria and Tokiwa (2007). A  
16 strain of *Lb. lactis* obtained by UV mutagenesis was also employed for the production of D-LA from  
17 molasses and hydrolyzed cane sugar (Joshi et al., 2010). Plackett–Burman design and response  
18 surface methods were applied for optimization of D(–)-LA production by *Leu. mesenteroides* B512  
19 (Coelho et al., 2011). The production of homo-D-LA from xylose was achieved by using a  
20 recombinant strain of *Lb. plantarum* NCIMB 8826 deficient for its L-LDH and whose  
21 phosphoketolase gene was replaced by a heterologous transketolase gene (Okano et al., 2009b). Using  
22 the same recombinant *Lactobacillus* strain, production of optically pure D-LA from arabinose was  
23 achieved (Okano et al., 2009a).

24

### 25 3.1.2. Polyhydroxyalkanoates

26 Polyhydroxyalkanoates (PHAs) are natural, biodegradable, linear polyesters which are  
27 produced as intracellular carbon and energy storage molecules by a great number of bacteria (both  
28 Gram-positive – including LAB – and Gram-negative species), but also by some yeasts and plants  
29 (Lu et al., 2013). PHAs are particularly versatile biopolymers, since they can consist of different  
30 monomeric units (e.g., 3-hydroxybutyrate, 3-hydroxyvalerate, 4-hydroxybutyrate), with properties  
31 similar to conventional plastics. PHA applications range from the production of films and containers  
32 to biomedical applications, such as in wound management or as cardiovascular devices (e.g.,

1 pericardial and atrial septal repair patches, scaffolds for regeneration of arterial tissues, vascular  
2 grafts, cardiovascular stents and heart valves) and orthopaedic devices (cartilage tissue engineering,  
3 bone graft substitutes, etc.), and for drug delivery (e.g., tablets, implants, micro-carriers) (Lu et al.,  
4 2013).

5 Poly- $\beta$ -hydroxybutyrate (PHB) production was described in LAB belonging to *Lactococcus*,  
6 *Lactobacillus*, *Pediococcus* and *Streptococcus* genera. Higher yields of PHB were obtained in  
7 *Lactobacillus* species reaching 35.8% of cell dry weight. The values for *Lactococcus*, *Pediococcus*  
8 and *Streptococcus* species were 20.9%, 8.0% and 17.2%, respectively. The PHB yields obtained with  
9 LAB in deMan, Rogosa and Sharpe (MRS) broth were generally lower than the values reported in  
10 soil bacteria, e.g., *Alcaligenes* and *Azotobacter* species, in which values higher than 55% have been  
11 reported (Aslim et al., 1998).

12 LA-producing bacteria such as *Lb. lactis* (Tanaka et al., 1995), *Propionibacterium* (Tohyama  
13 et al., 2002), *Lb. delbrueckii* (Tohyama et al., 1999; 2000, Patnaik, 2005) and *Cupriavidus necator*  
14 have also been used in a co-culture fermentation system. LAB converted sugars into LA which was  
15 later taken up by *C. necator* to produce PHAs. In a two-stage system, xylose was converted to LA  
16 using *Lc. lactis*, the LA was further converted to 3-hydroxybutyrate by *C. necator* (Tanaka et al.,  
17 1995). In another investigation, *Lb. delbrueckii* was used to convert glucose to LA which was later  
18 converted to 3-hydroxybutyrate by *C. necator* (Tohyama et al., 1999; 2000). By application of neural  
19 optimization, the PHB yield of a co-culture of *Ralstonia eutropha* and *Lb. delbrueckii* could be  
20 increased by 19.4% compared with the single cultivation of *R. eutropha* (Patnaik, 2009).

21 Generally, it has been demonstrated that co-culture fermentations resulted in increased yield  
22 with improved control of product qualities. A further advantage in the application of co-cultures is  
23 the possibility of utilizing secondary products (e.g., whey, molasses), which are cheaper than glucose  
24 as substrates for production of PHAs (Bader et al., 2010). Bacteria that have GRAS status for PHA-  
25 production, such as LAB and bacilli belonging to probiotic species (Aslim et al., 1998; Yilmaz et al.,  
26 2005), might constitute an added value to these biotechnological processes (Defoirdt et al., 2009).

27

### 28 **3.1.3. Biofuels: ethanol, butanol and hydrogen**

29 The demand for renewable energy technologies has initially focused on ethanol – a  
30 fermentation product produced by a wide variety of microorganisms – to serve as a potential  
31 renewable biofuel. There are many ongoing efforts devoted towards the use of engineered and native  
32 microorganisms for use as industrial producers of ethanol (Balusu et al., 2004; Chen et al., 2009;

1 Jarboe et al., 2007; Ng et al., 1981; Olofsson et al., 2008). LAB have emerged as promising candidates  
2 for alcohol (i.e., ethanol and butanol) production by industrial fermentation, which can be competitive  
3 alternatives to other types of engineered microbial systems (e.g., *C. thermocellum*, *Saccharomyces*  
4 *cerevisiae*, *Zymomonas mobilis* or *Escherichia coli*) (Morais et al., 2013).

5 One of the major problems in using bacteria for such endeavors is their low ethanol tolerance.  
6 In this context, some LAB species are particularly attractive candidates to serve as ethanol-producing  
7 bacteria for the biofuel industry, since they are relatively tolerant to high ethanol concentrations  
8 required for commercial alcohol production processes, used in the food industry and for biofuel  
9 production (Lucena et al., 2010; Passoth et al., 2007). Notably, Lactobacilli include some of the most  
10 ethanol-, butanol- and generally alcohol-tolerant organisms known (Alegria et al., 2004; Knoshaug  
11 and Zhang, 2009; Nicolaou et al., 2010). Alegria et al. (2004) demonstrated that *Lb. plantarum* can  
12 grow at pH 3.2 with up to 13% ethanol. Interestingly, *Lactobacillus* species are predominant in  
13 contaminated ethanol fermentations (Limayem et al., 2011; Roach et al., 2013). Such is the case for  
14 *Lb. vini* and *Lb. fermentum*, which were found in a recent study (Lucena et al., 2010) to grow in  
15 distilleries used in bioethanol processes in Brazil. In the latter study, the authors reported that a variety  
16 of *Lactobacillus* species appeared during the process of bioethanol production, thus competing with  
17 the yeast. Towards the end of the harvest season, however, the most frequently found bacterial species  
18 were *Lb. fermentum* and *Lb. vini*. Representative isolates of both species had the ability to grow in  
19 medium containing up to 10% ethanol. These characteristics, which allow such species to contaminate  
20 the yeast cultures in the bioreactors, may thus be used to advantage as an infrastructure for  
21 engineering ethanol-producing bacteria.

22 High tolerance of *Oenococcus oeni* strains was also reported, where these strains retained  
23 viability in media of up to 13% ethanol (Alegria et al., 2004).

24 As mentioned above, ethanol is produced as a product of LAB heterofermentation. Some  
25 obligate and facultative LAB heterofermenters, such as *Oenococcus oeni* and *Lb. pentosus*, are  
26 capable of fermenting both hexose and pentose sugars to ethanol. In facultative LAB  
27 heterofermenters the switch between homofermentation, in which only LA is produced, and  
28 heterofermentation, in which a variety of products can be produced, is attributed to the catabolic state  
29 of the bacterium, where limiting catabolism such as low glycolytic flux leads to heterofermentation  
30 and non-limiting catabolism with high glycolytic flux leads to homofermentative fermentation  
31 (Zaunmüller et al., 2006). This phenomenon is ascribed to the regulation of the enzymatic activities  
32 of LDH and pyruvate formate lyase, which are subject to control by the catabolic and anabolic flux  
33 rates and changes in the NADH/NAD<sup>+</sup> ratios (Melchiorsen et al., 2002). Ethanol production is mainly  
34 thought to occur when hexose sugars are fermented, due to the reduction of acetyl-CoA to ethanol by

1 two extra NADPH molecules that are produced. When pentose sugars are fermented, these two  
2 NADPH molecules are not produced, thereby resulting in accumulation of LA and acetate.  
3 Nevertheless, ethanol production was observed when *Lb. plantarum* – a facultative heterofermenter  
4 – was grown solely on pentose sugars (Domagk and Horecker, 1958). The ethanol-producing  
5 enzymes of the phosphoketolase pathway exhibit slower kinetics than the hexose-fermenting  
6 enzymes; therefore when hexoses are the only carbon source this becomes the rate-limiting step of  
7 fermentation and bacterial growth in general (Richter et al., 2001).

8 The low tendency of LAB towards ethanol fermentation can be addressed by metabolic  
9 engineering. This approach has been used successfully in other bacteria, such as  
10 *Thermoanaerobacterium saccharolyticum*, an anaerobic bacterium that is able to ferment xylan and  
11 biomass-derived sugars. High yields of ethanol production as the only detectable organic product was  
12 achieved in this bacterium by knockout of genes involved in organic acid formation (Shaw et al.,  
13 2008).

14 Several studies have attempted to improve ethanol production in LAB by over-expression of  
15 heterologous genes encoding pyruvate decarboxylase (*pdc*) and/or alcohol dehydrogenase (*adh*).  
16 Gold et al. (1996) reported the expression of the *pdc* and *adh* genes from *Zymomonas mobilis* in *Lb.*  
17 *casei* 686. In the latter study, the recombinant strain produced more than twice the ethanol produced  
18 by the parental strain (Gold et al., 1996). In a later study, in which the same operon was expressed in  
19 several *Lactobacillus* strains such as *Lb. casei* as well as in other species, it was reported that LA was  
20 the primary fermentation product formed by all of the strains, indicating that activities of ADH and  
21 PDC were insufficient to divert significant carbon flow towards ethanol. Interestingly, the *Lb. casei*  
22 transformant in this study did not exhibit increased ethanol production activity (Nichols et al., 2003).  
23 A slightly different approach was attempted by Liu et al. (2006). In the latter study, the authors  
24 attempted to increase the production of ethanol by introducing the PDC gene from the Gram-positive  
25 bacterium *Sarcina ventriculi* into an LDH-deficient strain, *Lb. plantarum* TF103. The authors  
26 speculated that by substituting LDH with PDC activity, pyruvate may be re-directed toward ethanol  
27 production instead of LA fermentation. Nevertheless, although slightly more ethanol was observed,  
28 carbon flow was not significantly improved toward ethanol, suggesting that additional understanding  
29 of this organism's metabolism is necessary for effective strain improvement (Liu et al., 2006).  
30 Recently Solem and co-workers (2013) were able to redirect *Lc. lactis* carbon flow toward ethanol  
31 production, obtaining a strain with ethanol as the sole fermentation product. This was achieved by a  
32 knockout strategy of all LDH genes in this bacterium consisting of *ldhX*, *ldhB*, and *ldh* together with  
33 those coding for phosphotransacetylase (*pta*) and the native ADH (*adhE*). In parallel, the authors  
34 introduced codon-optimized *Z. mobilis adh* and *pdc* genes.

1 Another approach for increasing ethanol production could be the selection of and enrichment  
2 for more ethanogenic LAB as was reported in a recent study in which a *Lb. pentosus* strain was  
3 isolated through a series of selection and enrichment procedures (Kim et al., 2010). This strain,  
4 designated *Lb. pentosus* JH5XP5, was able to produce ethanol without acetate. The production yields  
5 of ethanol vs LA in this strain were 2.0- to 2.5-fold higher when either glucose, galactose or maltose  
6 was used either as a single carbon source or simultaneously with glucose (Kim et al., 2010).

7 Advantages of LAB over the yeast *S. cerevisiae* in ethanol production from lignocellulosic  
8 biomass include the ability of several LAB strains, e.g., *Lb. plantarum*, to metabolize both hexose  
9 and pentose sugars (Kleerebezem et al., 2003; Gänzle et al., 2007; Okano et al., 2009a; b).  
10 Furthermore, the production of acid together with LAB acid tolerance reduces the risk of  
11 contamination by other bacteria and fungi and may enable degradation of substrates directly after acid  
12 pretreatments that are commonly used for lignin deconstruction in plant biomass (Morais et al., 2013).  
13 Nevertheless, ethanogenic LAB strains, which would be sufficient for the bioethanol industry, are  
14 as yet nonexistent. In the future, the combination of the above approaches may eventually be an  
15 effective solution for designing an efficient ethanogenic LAB strain.

16 As far as butanol production is concerned, it is noteworthy that all natural butanol producers  
17 belong to the genus *Clostridium* (Mazzoli, 2012). The highest amounts of butanol are synthesized by  
18 *C. acetobutylicum*, *C. beijerinckii*, *C. saccharobutylicum*, and *C. saccharoperbutylacetonicum*  
19 (Mazzoli, 2012). Nonetheless, the expression of the clostridial butanol biosynthetic pathway in  
20 heterologous hosts, such as in *E. coli*, *S. cerevisiae*, and *Lb. brevis*, has been extensively explored as  
21 a means to engineer recombinant butanol-producing strains (Mazzoli, 2012). Transformation of *crt*,  
22 *bcd*, *etfB*, *etfA*, and *bcd* from *C. acetobutylicum* into *Lb. brevis*, in concert with autologous thiolase,  
23 aldehyde and alcohol dehydrogenase enabled the recombinant strain to produce butanol (Berezina et  
24 al., 2010). The final butanol titer by this recombinant *Lb. brevis* was very low (i.e., 300 mg/L),  
25 compared to natural or engineered clostridia (i.e., titers up to 19 g/L were reported for strains  
26 optimized by random mutagenesis or rational metabolic engineering) (Nicolaou et al., 2010; Tomas  
27 et al., 2003). However, recent studies have demonstrated that highly efficient butanol production can  
28 be obtained in engineered heterologous hosts (e.g., 15 g/L in recombinant *E. coli*) by the construction  
29 of chimeric biosynthetic pathways (Shen et al., 2011). Metabolic engineering has also served towards  
30 the development of a *Clostridium cellulolyticum* strain for isobutanol synthesis directly from cellulose  
31 (Higashide et al., 2011).

32 Formate is another LAB metabolite with high potential as a precursor of biofuel, i.e.,  
33 molecular hydrogen (H<sub>2</sub>) (Oh et al., 2011). LAB produce formate during mixed-acid fermentation in  
34 anaerobic conditions through pyruvate conversion by pyruvate formate lyase (Fig. 1). Industrial

1 production of H<sub>2</sub> could be envisaged by coupling such LAB fermentation with a second anaerobic  
2 fermentation employing either enteric bacteria such as *E. coli* which are equipped with formate-  
3 hydrogen lyase (Oh et al., 2011) or photofermenting bacteria (e.g., purple non-sulfur bacteria such as  
4 *Rhodobacter sphaeroides*) (Keskin et al., 2011) which are able to oxidize formate with concomitant  
5 H<sub>2</sub> evolution.  
6  
7

## 8 **3.2. Products for food application and human health promotion**

9

### 10 **3.2.1. Food aromas and flavors**

11 Production of food aromas such as diacetyl and acetaldehyde by LAB has been extensively  
12 reviewed in 2012 by Papagianni and will be only briefly summarized here.

13 Diacetyl is naturally produced by LAB and is responsible for the typical butter aroma of  
14 several dairy products such as butter, buttermilk and a number of cheeses (Papagianni, 2012). *Lc.*  
15 *lactis* biovar. diacetylactis has been extensively employed to produce diacetyl from citrate in co-  
16 fermentation with lactose (Papagianni, 2012). Diacetyl is generated by oxidative decarboxylation of  
17 the intermediate product of the fermentation  $\alpha$ -acetolactate. Because of its value as an aroma  
18 compound, efficient production of diacetyl from lactose rather than citrate has been the aim of several  
19 metabolic engineering strategies (Fig. 2) (Papagianni, 2012). Under aerobic conditions pyruvate  
20 metabolism in LAB strains such as *Lc. lactis* is strongly shifted towards acetate and  $\alpha$ -acetolactate  
21 biosynthesis (Guo et al., 2012). Pyruvate conversion to  $\alpha$ -acetolactate can be catalyzed by either  $\alpha$ -  
22 acetolactate synthase (ALS) or acetohydroxy acid synthase (ILVBN) (Fig. 2). ILVBN is an anabolic  
23 synthase involved in branched chain amino acid synthesis.  $\alpha$ -Acetolactate can then be decarboxylated  
24 to acetoin or decarboxylated and oxidized to diacetyl (Guo et al., 2012). Furthermore, aerobic  
25 conditions also strongly increase NADH-oxidase activity (NOX) which is thought to replace the role  
26 of LDH in the re-oxidation of NADH which is generated by glycolysis (Guo et al., 2012). Several  
27 attempts to increase diacetyl production through metabolic engineering by genetic manipulation  
28 techniques have been performed so far in which i) LDH was inactivated and/or; ii) either ALS or  
29 ILVBN has been overexpressed and used, and/or; iii)  $\alpha$ -acetolactate decarboxylase (ALDB), i.e., the  
30 enzyme which catalyzes the conversion of  $\alpha$ -acetolactate to acetoin, was inactivated (Guo et al., 2012;  
31 Papagianni, 2012). However, these approaches have been relatively unsuccessful in significantly  
32 increasing diacetyl production. In fact, Hoefnagel and co-workers (2002) demonstrated that the  
33 enzymes with the greatest effect on the flux to diacetyl reside outside the ALS branch itself, i.e., LDH

1 and NOX. Recently, Guo and co-workers (2012) have been able to increase NOX activity by 58-fold  
2 in *Lc. lactis* by using selected strong promoters for the constitutive expression of the NADH oxidase  
3 gene. Such engineered strains showed an altered NADH/NAD<sup>+</sup> ratio which led to re-routing of  
4 pyruvate flux from LA to diacetyl whose final titer increased from approximately 1.07 mM to 4.16  
5 mM.

6  
7 **\*Fig. 2**

8  
9 Acetaldehyde, an important aroma compound in dairy products, can be produced by LAB  
10 through at least two pathways: i) pyruvate decarboxylation by PDC; ii) threonine conversion (i.e.,  
11 giving rise to acetaldehyde and glycine) catalyzed by threonine aldolase (Papagianni, 2012). Actually,  
12 the latter reaction seems to play the main role in acetaldehyde biosynthesis in LAB (Papagianni,  
13 2012). Successful paradigms of an engineered strain with increased acetaldehyde production have  
14 been performed by improvement of either threonine aldolase or PDC activity (Papagianni, 2012).

15  
16 **3.2.2. Polyols and other sweeteners**

17 Several successes were obtained in the field of production of low-calory sweeteners, such  
18 as polyols (i.e., mannitol and sorbitol) and alanine, by LAB fermentation (Fig. 3).

19 Both mannitol and sorbitol are six-carbon sugar alcohols which are traditionally produced  
20 by catalytic hydrogenation from glucose or glucose/fructose mixtures and are used in the food and  
21 pharmaceutical industries, as well as in medicine (Papagianni, 2012). Using this process, mixtures of  
22 mannitol and sorbitol are often produced, which are then relatively difficult to separate, thus adding  
23 supplemental cost to this mode of production (Papagianni, 2012).

24 Mannitol production without co-formation of sorbitol by heterofermentative LAB is well  
25 known (Hugenholtz et al., 2011). These LAB divert a part of fructose-6-phosphate (fructose-6P) from  
26 the glycolytic flux to mannitol by using mannitol-1-phosphate dehydrogenase (Wisselink et al., 2002)  
27 (Fig. 3). Mannitol production is increased in this reaction if fructose is co-fermented with glucose  
28 (Wisselink et al., 2002). Increased mannitol yields have been achieved by optimizing the mannitol  
29 fermentation of heterofermentative LAB (Racine and Saha, 2007). Although fructose to mannitol  
30 conversion yields of up to 66% were obtained by natural heterofermentative LAB, nonetheless co-  
31 production of other metabolites (e.g., LA and acetic acid) occurs in these strains. Rational metabolic  
32 engineering (Aarnikunnas et al., 2003) or random mutagenesis (Helando et al., 2005) approaches have  
33 been used to reduce the amounts of such co-products and improve mannitol production yield.  
34 Homofermentative LAB usually produce but very low amounts of mannitol (Papagianni, 2012).

1 However, several strategies have been reported for enhancing mannitol production in  
2 homofermentative strains such as *Lc. lactis* and *Lb. plantarum* (Wisselink et al., 2002; 2005).  
3 Construction of L-LDH-deficient *Lc. lactis* strains, together with the inactivation of *mtlA* and/or *mtlF*  
4 mannitol transport systems and overexpression of the mannitol-1-phosphate dehydrogenase gene  
5 (*mtlD*) of *Lb. plantarum* and the mannitol-1-phosphate phosphatase (Mtl1Pase) gene of the protozoan  
6 parasite *Eimeria tenella*, led to improved glucose conversion to mannitol with yields (50%) close to  
7 the theoretical maximum (67%) (Wisselink et al., 2002). By a similar strategy *Lb. plantarum* strains  
8 showing up to 50% carbon flux re-routing toward mannitol were obtained (Wisselink et al., 2005).

9 Only a few organisms have been described as able to naturally produce sorbitol, e.g., the  
10 Gram-negative bacterium *Zymomonas mobilis* (Silveira and Jonas, 2002). In LAB, high sorbitol  
11 production through metabolic engineering has been reported with *Lb. plantarum*. A strategy including  
12 inactivation of both LDH and mannitol-1-phosphate dehydrogenase in a *Lb. plantarum* strain  
13 overproducing a sorbitol-6-phosphate dehydrogenase led to efficient re-routing of fructose-6P  
14 towards sorbitol with a near-to-theoretical yield of 0.65 mol/mol (Ladero et al., 2007) (Fig. 3).

15 LAB are not reported to produce xylitol naturally although strains of *Str. avium* and *Lb.*  
16 *casei* are able to metabolize it (London, 1990). Nyssölä et al. (2005) constructed a recombinant *Lc.*  
17 *lactis* strain in which the xylose reductase (XR) gene from *Pichia stipites* and a xylose transporter  
18 from *Lb. brevis* were expressed. This co-expression however did not improve xylitol production.  
19 Nevertheless, an increased productivity level, comparable to that of the more efficient yeast  
20 producers, was achieved in fed-batch fermentation by using non-growing *Lc. lactis* cells (Papagianni,  
21 2012).

22 L-Alanine is used as a food sweetener and in pharmaceutical applications (Papagianni,  
23 2012). Conversion of pyruvate into alanine occurs in various anaerobic bacteria and involves a single  
24 enzymatic reaction catalyzed by alanine dehydrogenase. Homo-L-alanine from sugar fermentation  
25 was obtained with an engineered *Lc. lactis* (Hols et al., 1999). Metabolism shift from homolactic to  
26 homo-alanine in this strain was obtained by functional replacement of autologous L-LDH with  
27 alanine dehydrogenase from *Bacillus sphaericus* and growth in the presence of excess of ammonium  
28 (which is required for the conversion of pyruvate to alanine by alanine dehydrogenase) (Fig. 3). Under  
29 these conditions, pyruvate obtained through glycolysis was completely converted to alanine, with  
30 NADH consumption, thus maintaining the glycolytic redox balance. Furthermore, the inactivation of  
31 the alanine racemase gene led to complete conversion of glucose into L-alanine.

32

33 **\*Fig. 3**

34

### 1 3.2.3. Exopolysaccharides

2 LAB are able to synthesize a large spectrum of structural polysaccharides that are either  
3 integral constituents of their cell wall, e.g., peptidoglycan and lipoteichoic acids, or linked to the cell  
4 wall *via* covalent, as in the case of capsular polysaccharides, or non-covalent bonds, or released into  
5 the extracellular environment (i.e., exopolysaccharides, EPS) (Chapot-Chartier et al., 2011). Certain  
6 EPS can be loosely associated with the cell wall (Chapot-Chartier et al., 2011). The exact role of EPS  
7 in LAB physiology is not clear and is probably multiple and complex for different EPS and strains  
8 and likely includes: i) protection against desiccation and osmotic stress, phage attack, toxic  
9 compounds (e.g., ethanol, sulphur dioxide and toxic metal ions), antibiotics and host immune system  
10 (especially phagocytosis); ii) adhesion to solid surfaces (e.g., adhesion to eukaryotic cells) and  
11 biofilm formation (Chapot-Chartier et al., 2011).

12 LAB EPS have been subjected to continuous investigation because of their enormous potential  
13 application. This includes i) for improving the rheological properties, smoothness, creaminess, mouth  
14 feel, texture, stability (thus replacing other food stabilizers such as pectin, starch, alginate, or gelatin)  
15 and water retention capacity of dairy products (e.g., yogurt, cheese, sour cream, ice cream) and  
16 notably in the case of low-fat versions and other food (e.g., bakery) products; ii) for clinical (e.g., in  
17 microsurgery), pharmaceutical, and other biotechnological use (e.g., for the manufacture of  
18 chromatographic media); iii) for their properties as prebiotics; iv) for other health-promoting effects  
19 such as possible anti-tumor, anti-ulcer, immunomodulating, or cholesterol-lowering activities  
20 (Chapot-Chartier et al., 2011).

21 Nonetheless, natural production of EPS by LAB is very low compared to that of other food-  
22 grade EPS (e.g., xanthan, gurdlan) produced by non-dairy bacteria. Metabolic engineering has  
23 therefore been used for improving EPS production by LAB and/or for structural engineering of EPS  
24 produced by LAB (Papagianni, 2012).

25 EPS are classified in homopolysaccharides (homo-EPS) and heteropolysaccharides (hetero-  
26 EPS). Homo- and hetero-EPS differ in their composition, biosynthesis, yields, molecular  
27 organization, rheological properties, and applications (Fig. 4).

28

29 **\*Fig. 4**

30

1 Homo-EPS are composed of either D-glucose (glucans) or D-fructose (fructans) units, and  
2 differ regarding the type of glycosidic linkages, type and degree of branching, length of the  
3 polysaccharide chains, and conformation. Homo-EPS are biosynthesized extracellularly by only one  
4 transglycosylase or glycansucrase by using sucrose as the substrate (Fig. 4A). These enzymes  
5 hydrolyze the glycolytic bond in sucrose and use either the glycosyl or the fructosyl moiety for the  
6 polymerization of  $\alpha$ -D-glucans or  $\beta$ -D-fructans, respectively (Chapot-Chartier et al., 2011). These  
7 features render homo-EPS biosynthesis independent of central carbohydrate catabolism. Apart from  
8 glucans (e.g., alternan, dextran, mutan, reuteran) and fructans (e.g., inulin and levans), glycansucrases  
9 can also biosynthesize low-molecular mass oligosaccharides such as fructooligosaccharides (FOS)  
10 and glucooligosaccharides (GOS). FOS and GOS have prominent commercial importance as  
11 prebiotics, i.e., compounds supporting growth of probiotic organisms.

12 Hetero-EPS are biosynthesized by the polymerization of oligosaccharidic, ranging from di- to  
13 octasaccharide, repeating units. Hetero-EPS repeating units are biosynthesized intracellularly and  
14 then exported and polymerized in the extracellular environment (Fig. 4B). Glucose, galactose, xylose,  
15 mannose, arabinose and rhamnose are the most represented constituent monosaccharides, but amino-  
16 sugars and polyols can also be occasionally present as well as glucuronic acid. A huge diversity of  
17 hetero-EPS is produced by LAB with respect to monosaccharide composition and ratio, branching  
18 type and degree, molecular structure and mass, conformation and rigidity. The biosynthesis of hetero-  
19 EPS is a process that demands high-energy consumption and consists of four reactions: 1) sugar  
20 uptake; 2) synthesis of high-energy sugar-nucleotide precursors (e.g., UDP-glucose, UDP-galactose);  
21 3) glycosyltransferase-catalyzed biosynthesis of the oligosaccharide repeating unit; 4)  
22 oligosaccharide export and extracellular polymerization of the EPS (Fig. 4B). The biosynthesis of  
23 UDP-glucose and dTDP-glucose generally uses glucose-6-phosphate as the substrate, which is then  
24 diverted from glycolysis. As an alternative, the conversion of galactose to UDP-Gal and UDP-Glu  
25 can be obtained through the Leloir pathway (Welman and Maddox, 2003) (Figs 1, 4B).

26 Because of their very different biosynthetic pathways, homo- and hetero-EPS biosynthetic  
27 yields generally differ greatly, with homo-EPS being produced in much higher amounts than hetero-  
28 EPS (Chapot-Chartier et al., 2011). As more information about EPS biosynthetic enzyme-encoding  
29 genes and their regulation becomes available, the possibility of recombinant production of either  
30 natural or “designer” hetero-EPS at high yields becomes possible (Welman and Maddox, 2003).  
31 Different strategies for enhanced EPS production in LAB have been employed or suggested,  
32 including reduction of LDH activity, and overexpression of genes encoding the enzymes that catalyze  
33 conversion of glucose-6-phosphate to sugar nucleotide precursors or specific glycosyl transferases

1 (Welman and Maddox, 2003). Overexpression of GalU, catalyzing the synthesis of the EPS precursor  
2 UDP-glucose from glucose-1-phosphate, under the control of a nisin-inducible promoter, increased  
3 the specific activity of the enzyme by 20-fold in *Lc. Lactis*, which in turn increased both UDP-glucose  
4 and UDP-galactose synthesis by 8-fold, although EPS synthesis was not significantly enhanced  
5 (Boels et al., 2001a). However, overexpression of both GalU and phosphoglucomutase, which  
6 catalyzes glucose-6-phosphate isomerization to glucose-1-phosphate in *Str. thermophilus*, led to a 2-  
7 fold increase in EPS synthesis (Levander et al., 2002). Yet, to date, all these metabolic engineering  
8 strategies resulted only in modest increase in EPS production (Hugenholtz et al., 2011). In fact, an  
9 inherent limitation in high-yield hetero-EPS biosynthesis is that it involves high energy-demanding  
10 pathways (Welman and Maddox, 2003). It has been calculated that at least two glucose molecules  
11 should be catabolized through glycolysis to obtain enough energy for the incorporation of one glucose  
12 molecule in EPS and that EPS yield cannot be higher than 33% of a given substrate (Welman and  
13 Maddox, 2003). Therefore, strategies for improving hetero-EPS production should also comprise  
14 energy-saving re-arrangements of LAB metabolism.

15 A different approach aims at structural engineering of EPS produced by LAB. This can be  
16 achieved either by controlling the culture conditions (e.g., the type of sugar source) or by genetic  
17 engineering strategies (e.g., by introducing new heterologous, or engineered glycosyltransferases into  
18 LAB) (Boels et al., 2001b; Welman and Maddox, 2003).

19

#### 20 **3.2.4. Nutraceuticals: bio-active amines, conjugated linoleic acids, seleno-metabolites and** 21 **vitamins**

22 LAB biosynthesize a large spectrum of molecules with recognized health-promoting  
23 properties such as  $\gamma$ -aminobutyric acid (GABA),  $\beta$ -phenylethylamine, bioactive peptides, short chain  
24 fatty acids, conjugated linoleic acids, selenometabolites, and vitamins (Pessione 2012; 2014). The *in*  
25 *situ* and *ex-situ* production of these molecules by LAB is currently used or has been proposed for the  
26 manufacturing of “functional foods” or “nutraceuticals”, i.e., foods that provide the consumer with  
27 an “added benefit” over and above the nutrient content, and possibly reduce the risk of specific  
28 chronic diseases (Mazzoli, 2014). Although at present the use of probiotic LAB is preferable to  
29 supplying the purified molecules as nutraceutical food supplements, the question remains with respect  
30 to vitamins and amino acid derivatives.

31 Bioactive amines, such as GABA,  $\beta$ -phenylethylamine, and tryptamine, are produced by LAB  
32 as well as by eukaryotes (including humans) by decarboxylation of their precursor amino acid (i.e.,

1 glutamate, phenylalanine, tryptophan, respectively). Amino acid decarboxylation is a general strategy  
2 used by LAB and other bacteria to supply cells with additional metabolic energy, through functional  
3 coupling with an electrogenic amino acid/amine antiport system which generates a proton gradient  
4 across the cytoplasm membrane (Konings, 2006; Mazzoli et al., 2010). Furthermore, it is a defense  
5 mechanism against environmental acidity, since amino acid decarboxylation produces a compound  
6 which is less acidic than the substrate (Mazzoli et al., 2010).

7 While some amino acid decarboxylation products, e.g., histamine, tyramine, putrescine and  
8 cadaverine, are considered spoilage molecules that can be found in fermented food and have negative  
9 effects on human health (e.g., headaches, smooth muscle contraction, hypertension, brain hemorrhage,  
10 allergies, and enteric histaminosis) (Pessione et al., 2005; 2009), GABA and  $\beta$ -phenylethylamine  
11 have desirable properties (Mazzoli et al., 2010). Several studies have reported that  $\beta$ -  
12 phenylethylamine is a mood elevator (Mazzoli, 2014).

13 GABA, together with its antagonist, i.e., glutamate, is the major neurotransmitter of the central  
14 nervous system of vertebrates. It also acts as a blood pressure modulator in mild hypertensive patients  
15 having diuretic and tranquillizer effects (Li and Cao, 2010; Mazzoli et al., 2010). Furthermore, GABA  
16 plays a regulatory and trophic role on the pancreas and in immunological processes, such as the down-  
17 regulation of pro-inflammatory cytokine release (Mazzoli, 2014).

18 A number of studies have investigated factors that promote glutamate decarboxylase  
19 biosynthesis or catalysis, leading to GABA accumulation in the fermentation medium. In *Lc. lactis*  
20 NCDO 2128, GABA is biosynthesized only by cultures in stationary phase and in acidic media (pH  
21 lower than 5.7), while the presence or absence of a high glutamate concentration did not have a  
22 significant effect (Mazzoli et al., 2010). Actually, in this strain, catalytic activation of glutamate  
23 decarboxylase by glutamate seems more important than its biosynthetic regulation.

24 Recently, simple and effective fermentation methods have been developed for several LAB  
25 strains, including *Lb. brevis* NCL912, *Lb. brevis* GABA100, *Lb. buchneri*, and *Ent. avium* G-15, in  
26 order to produce high amounts of GABA (Cho et al., 2007; Kim et al., 2009; Li et al., 2010a; Park  
27 and Oh, 2007; Siragusa et al., 2007; Tamura et al., 2010). All these strategies rely on exogenous  
28 addition of high amounts of glutamate, which is not economically viable at the industrial scale. The  
29 future in this research area is therefore either i) the development of co-cultures of GABA-producing  
30 strains and glutamate-producing microbes (e.g., *Corynebacterium glutamicum*) or ii) the engineering  
31 of strains which can achieve high-level GABA production directly from glucose (Adkins et al., 2012).

32 Possible health benefits can be ascribed to some isomeric forms of linoleic acid, currently  
33 called conjugated linoleic acids (CLA). These molecules, used as dietary supplements, are generally  
34 produced by isomerization of linoleic acid by chemical processes (Ogawa et al., 2005). Nevertheless,

1 this process often results in the by-production of undesired isomers having poor biological activity.  
2 Conversely, biological CLA production is more isomer-selective and it is possible to control the  
3 isomer ratio by acting on the culture condition (Ogawa et al., 2005). Both bifidobacteria and several  
4 LAB genera like *Streptococcus* and *Lactobacillus* are able to synthesize CLA when they are grown  
5 in presence of linoleic acid (i.e., cis,cis-9,12-octadienoic acid) (0.5 g/L) (Coakley et al., 2003). The  
6 highest biological activities are currently ascribed to either the cis,trans-9,11 or, alternatively, the  
7 trans,cis-10,12 isomer, and LAB produce them generally by biohydrogenation and oxidation  
8 processes (Wahle et al., 2004) but also by hydration and dehydration reactions (Ogawa et al., 2005).  
9 Several positive effects exerted by CLA on human health have been described in the literature.  
10 Among these, it is worth mentioning a modulation of sugar metabolism, resulting in attenuation of  
11 insulin resistance and improvement of metabolic syndrome and diabetes (Wahle et al., 2004), body  
12 weight loss with an increase of lean body mass (Terpstra, 2004), and induction of apoptosis in cancer  
13 cells (Ewaschuk et al., 2006). Nevertheless, some experimental evidence obtained in mice, like body  
14 fat reduction, has never been confirmed in humans, and either tumor-promoting activity or deleterious  
15 effects on lipid balance (i.e., increase in oxidative lipid products, HDL-cholesterol lowering effect)  
16 has been described using several animal models (Ewaschuk et al., 2006; Terpstra, 2004; Wahle et al.,  
17 2004). As for many other pharmaceutical treatments, it has been hypothesized that CLA concentration  
18 is a key factor in defining limits between beneficial or negative effects: an anti-carcinogenic action  
19 has been demonstrated using CLA concentrations of 0.5%-1% (w:w) of the total diet (Ewaschuk et  
20 al., 2006). Considering that high linoleic acid dietary intake can be detrimental (Ewaschuk et al.,  
21 2006), the CLA dosage has to be maintained at the minimal active concentration to avoid undesired  
22 consequences. On the other hand, some experimental evidence suggests that somewhat opposite  
23 effects likely correlate with different CLA isomers, due to the fact that they can act through different  
24 cell signalling pathways. For instance, the trans,cis-10,12 isomer has been considered dangerous for  
25 human health, causing decreased plasma leptin concentrations and insulin resistance (Terpstra, 2004;  
26 Wahle et al., 2004). For all these reasons, it is very important, on the one hand, to screen the  
27 appropriate strains producing the beneficial isomers, but on the other, to check the optimal dosage of  
28 the purified molecules to be administered as supplements. In view of nutraceutical applications in  
29 humans, Ogawa and co-workers (2005) set up a safe isomer-selective process for the production of  
30 CLA by *Lb. plantarum* strain AKU 1009a. These authors suggested that the substrate (i.e., linoleic  
31 acid) has to be dispersed with albumin or with a surfactant so as to be more bio-available for the  
32 bacterial cells: a final concentration of 40 g/L of CLA was obtained from linoleic acid by using this  
33 fermentation strategy. Furthermore, the use of anaerobic conditions allowed the authors to avoid  
34 interfering oxidative metabolism of linoleic acid, thus improving CLA yields. Finally, since it was

1 previously observed that free unsaturated fatty acids inhibit bacterial growth and trigger defense  
2 mechanisms involving “undesired” saturation reaction, these authors used for CLA production resting  
3 washed cells instead of actively growing bacteria. With this approach it was possible to enhance  
4 productivity by 100-fold. The most interesting finding of this study was the development of a method  
5 to control the ratio of isomer production between cis,trans-9,11 (i.e., biologically active) and  
6 trans,trans-9,11 (i.e., reduced biological activity) octadecadienoic acid. The addition of L-serine,  
7 glucose, NaCl or AgNO<sub>3</sub> to the growth medium strongly improved the cis,trans-9,11 production  
8 (about 75% selectivity) (Kishino et al., 2003). The possibility of producing CLA by *Lb. plantarum*  
9 fermentation of less expensive substrate, i.e., castor oil which is currently used in cosmetics, has also  
10 been investigated by Ogawa et al. (2005). These authors reported that production of cis,trans-9,11  
11 CLA could be obtained with a selectivity yield of about 50%. However, the final titer of total CLA  
12 produced was much lower (i.e., 7.5 g/L only) as compared with amounts (i.e., 40 g/L) produced by  
13 using linoleic acid as the substrate. Moreover, pre-treatment with lipases was necessary in order to  
14 hydrolyze ricinoleic acid from its esters in castor oil (Ogawa et al., 2005). Further application of LAB  
15 as biocatalysts has also been proposed, e.g., in production of regioselective partially hydrogenated  
16 oils (Ogawa et al., 2005).

17         The ability to produce metal-fixing enzymes is a further metabolic feature of LAB which can  
18 be exploited for nutraceutical applications (Pessione, 2012). Since several *Lactobacillus* species can  
19 fix intracellularly sodium selenite into selenocysteines they are potential sources of selenium-  
20 containing molecules which are more bio-available (and therefore more easily adsorbed by human  
21 gut cells) than inorganic Se (Calomme et al., 1995). Nowadays, selenocysteine is considered as the  
22 21<sup>st</sup> standard amino acid. Selenocysteine is encoded by the UGA codon, which usually corresponds  
23 to a STOP codon, but can be recognized by specific Se-cysteine-tRNA in suitable genetic  
24 environment and physiological conditions (Pessione, 2012). Selenomethionine is incorporated into  
25 proteins non-specifically in place of methionine. In both bacteria and eukaryotic cells, including  
26 human cells, several enzymes containing selenocysteines in their active site have been identified so  
27 far, almost all of which belong to the oxidoreductase class. Glutathione peroxidase, a key enzyme for  
28 control of oxidative stress and related diseases in both bacteria and eukarya, is but one of many studied  
29 proteins in which seleno amino acids are incorporated into the active site. Lamberti and co-workers  
30 (2011) have recently identified a selenocysteine lyase in *Lb. reuteri*. Selenocysteine lyase is a PLP-  
31 dependent enzyme which is essential for the biosynthesis of new seleno-proteins from selenide  
32 (Lacourciere and Stadtman, 1998). Very recently, it has been shown that by growing a Se-fixing LAB  
33 strain in sodium selenite-supplemented medium some of the selenium-containing proteins (i.e.,  
34 containing selenocysteine) were released extracellularly, thus rendering selenium more bioavailable

1 (Galano et al., 2013). This finding has opened new perspectives in both probiotic-nutraceutical  
2 applications and in industrial production of selenoproteins to be used as food supplements.

3 Production of B-vitamins, especially folate and riboflavin (B2), by LAB has been another very  
4 active research area as described extensively in a recent review (Papagianni, 2012). These vitamins  
5 are produced by several LAB species (e.g., *Lc. lactis*, *Lb. gasseri* and *Lb. reuteri*), often in large  
6 quantities, and are therefore found in fermented foods (Papagianni, 2012). Moreover, increased  
7 vitamin biosynthesis has been obtained by metabolic engineering (Burgess et al., 2004; Hugenholtz  
8 et al., 2002). Folate biosynthetic genes and riboflavin biosynthetic operon have been overexpressed  
9 in *Lc. lactis* leading to strains with significantly increased folate (Hugenholtz et al., 2002) or  
10 riboflavin (Burgess et al., 2004) production, respectively. By directed mutagenesis followed by  
11 selection and metabolic engineering, Sybesma and co-workers (2004) modified the biosynthetic  
12 pathways of folate and riboflavin in *Lc. lactis*, resulting in the simultaneous overproduction of both  
13 vitamins.

### 14 15 **3.2.5. Antimicrobial molecules: LAB bacteriocins**

16 A promising feature of LAB is the production of interference molecules, i.e., bacteriocins.  
17 Bacteriocins are proteinaceous compounds (peptides or small proteins), synthesized at the ribosomal  
18 level (and not as secondary metabolites) specifically interfering with the growth of other bacteria.  
19 They have bactericidal action and are selective for prokaryotes. These compounds have found  
20 application both in the food industry, i.e., to counteract both spoilage and pathogenic bacteria, and as  
21 antibiotic substitutes to treat bacterial infections in humans and animals (Cotter et al., 2005; 2013;  
22 Papadimitriou et al., 2014).

23 LAB are particularly prolific in bacteriocin production and can biosynthesize different types  
24 of antagonistic molecules. Due to the urgent necessity of new antimicrobial compounds, research is  
25 proceeding rapidly, and natural and food environments have been screened thus leading to isolation  
26 and characterization of new molecules every year. However, the continuous discovery of new  
27 bacteriocins makes it necessary to frequently revise previous classification based on bacteriocin  
28 structure, mode and spectrum of action. A very recent and detailed classification has been reported  
29 by Papadimitriou and co-workers (2014). Traditionally, bacteriocins were divided into three classes.  
30 Class I consists of the lantibiotics, because they contain post-translationally modified amino acids  
31 such as lanthionine (i.e., two alanines linked by a sulphur),  $\beta$ -methyl-lanthionine, dehydroalanine and  
32 dehydrobutyrine. Lantibiotics are thermo-resistant small peptides (19-38 amino acids in length) active  
33 mainly against Gram-positive bacteria. They can damage cell-envelope structure and function

1 through different mechanisms, e.g., pore formation and inhibition of peptidoglycan synthesis. The  
2 class II bacteriocins are very small (<10 kDa) heat-stable peptides, without extensive post-  
3 translational modifications, although they may contain D-amino acids. The best-known class II  
4 “pediocin-like” bacteriocin has a narrow but very specific activity against the food pathogen *Listeria*  
5 *monocytogenes*. Finally, bacteriolysins are large, heat-labile antimicrobial enzymatic proteins causing  
6 the lysis of sensitive cells by catalyzing cell-wall hydrolysis.

7         Although pore formation seems to be a shared property by divergent bacteriocins, we now  
8 know that the precise mechanism of bacteriocin action is more complicated than initially suspected.  
9 For example, the existence of docking molecules (receptors) that may be necessary for the initial  
10 binding of the bacteriocin to the cell surface is now recognized (Hassan et al., 2012), although some  
11 bacteriocins like enterocin AS-48, gassericin A, subtilisin A and carnocyclin A can exert their  
12 activity without binding to any receptor (Nishie et al., 2012).

13         Bacteriocins have been applied to the control of spoilage and pathogenic bacteria in food.  
14 Since bacteriocins are sensitive to proteases and peptidases, which are often present in the food matrix  
15 (notably in cheese), it is preferable to purify them and to immobilize them into the food packaging  
16 instead of directly adding them into the food itself (Jin et al., 2010). By this approach it is possible to  
17 extend the shelf-life of food products by inhibiting the growth of spoilage microorganisms such as  
18 *Bochotrix sp.* or *Clostridium tyrobutyricum*, but also to prevent food-borne infections by pathogenic  
19 bacteria such as *Listeria monocytogenes* and *Staphylococcus aureus*, which are currently responsible  
20 for 9000 cases of death per year (Lamberti et al., 2014). Furthermore, the use of bacteriocins allows  
21 to reduce the use of: i) sugar and salt in food with positive effects on diabetic and hypertensive  
22 patients; ii) other food preservatives and the need of a constant and stringent cold-chain. Application  
23 of bacteriocins in the food domain brings potential benefits for the whole population, from both health  
24 and energy-saving aspects.

25         A cutting-edge area of research is the use of bacteriocins as antibiotic substitutes. The list of  
26 multidrug resistant (MDR) bacterial strains, causing death chiefly in the hospital environment and  
27 more recently triggering severe illnesses in previously non-vulnerable patients in the community, is  
28 expanding fast. Nowadays, the number of options to counteract infectious diseases by “traditional”  
29 antibiotic molecules is progressively lower (Alanis, 2005). The necessity to find new molecules for  
30 the treatment of severe infections is crucial (Siegel, 2008). Recent evidence suggests that LAB  
31 bacteriocins could provide this function, as supported by findings from animal and human trials (Sang  
32 and Blecha, 2008). The spectrum of bacteriocin action can vary depending on the species producing  
33 them (Montalbán-Lopez et al., 2011). Appreciated features of LAB bacteriocins include their activity

1 at very low concentrations (nanomolar range) and for extended time periods. In spite of the fact that  
2 some of them, like nisin, have been used for several decades in food, no resistant mutants have been  
3 described so far (Nishie et al., 2012). Actually, the rise of naturally appearing bacteriocin-resistant  
4 mutants appears to take place at a very low frequency. For example, in *L. monocytogenes*, nisin-  
5 resistant mutants appeared at a frequency of  $10^{-6}$  to  $10^{-8}$  (Harris et al., 1991).

6 Today, the main challenge for bacteriocin use in the treatment of bacterial infections is their  
7 proteinaceous nature which renders them active *in vitro* but sometimes problematic *in vivo*. When  
8 lacticin 3147 from *Lc. lactis* was exposed to the conditions of the GI tract of pigs it was rapidly  
9 deactivated indicating that such lantibiotics may undergo proteolytic degradation like nisin F  
10 (Gardiner et al., 2007). Attempts have been made to protect bacteriocins from the action of digestive  
11 and tissue enzymes (i.e., proteases and peptidases). Recently, van Staden and co-workers (2012) have  
12 reported that brushite cement-incorporated nisin F (at concentrations ranging from 1 to 5%)  
13 maintained its antimicrobial activity both *in vitro* and *in vivo* when implanted in sub-cutaneous  
14 pockets on the back of mice previously inoculated with *S. aureus*. No infection could be established  
15 and no viable cells of this pathogen could be recovered within a time period of seven days (van Staden  
16 et al., 2012)

17 Some bacteriocins have proved to be effective against Staphylococcus (e.g. enterocin 96),  
18 while others are known to target *Str. pneumoniae* (e.g. salivaricin D), which is the major cause of  
19 pneumonia (Hammami et al., 2013). The purified bacteriocin E 50-52 produced by *Enterococcus* was  
20 unable to provide any protection to mice infected with *Mycobacterium tuberculosis* indicating that it  
21 could not reach the mycobacteria intra-cellularly. Conversely, when the bacteriocin was used in  
22 complex with phosphatidylcholine–cardiolipin liposomes it was able to inhibit mycobacterium within  
23 the cells and to prolong the life of infected mice (Sosunov et al., 2007). An *in vivo* study concerning  
24 women affected by staphylococcal mastitis demonstrated that the use of a nisin-containing solution  
25 on the infected area for two weeks significantly decreased staphylococcal counts and mastitis  
26 symptoms (Fernandez et al., 2008). Mutacin B-Ny266 from the *Str. mutans* is active against both  
27 methicillin resistant *Staphylococcus aureus* (MRSA) and vancomycin-resistant enterococci (VRE)  
28 (Mota-Meira et al., 2005). A number of variants of nisin (i.e., nisin F, Q and Z) which differ by up to  
29 10 amino acids from nisin A were tested against MRSA and vancomycin-intermediate-resistant  
30 (VISA) *Staphylococcus aureus*, and nisin F proved to be the most effective (Piper et al., 2011).

31 From a biotechnological standpoint important aspects to be considered in view of large-scale  
32 application of LAB bacteriocins are yield optimization and genetic modifications to improve both  
33 spectrum of activity and protease resistance.

1           Regarding the yield optimization of bacteriocin, two aspects should be considered: i) time of  
2 growth providing maximum harvesting and ii) modulation of biosynthesis by  
3 environmental/endogenous factors. In most LAB species the highest bacteriocin accumulation occurs  
4 at the end of the exponential growth phase, because their production is under quorum-sensing control,  
5 i.e., connected to the time in which biomass has reached a threshold number of cells (Park et al.,  
6 2003). Nevertheless, it has been reported that early bacteriocin production can occur in both *Lb. sakei*  
7 (Aasen et al., 2000), *Lc. lactis* subsp *cremoris* (Lamberti et al., 2014), and *Ent. faecium* (Leroy and  
8 DeVuyst, 2002). The hypothesis concerning these results is that the low growth rate typical of the  
9 early logarithmic phase led to a gain of energy and nutrients, higher than that required for biomass  
10 generation. This condition can support higher bacteriocin biosynthesis due to better utilization of  
11 carbon/energy sources and enhanced ATP availability. However, this is a transitional event, since  
12 growth must proceed and hence energy and nutrients are soon directed towards biomass formation,  
13 and bacteriocin production is then switched off.

14           Environmental factors modulating bacteriocin synthesis are generally connected with  
15 bacterial stress: a fine tuning of bacteriocin production allows reducing the energy costs and  
16 optimizing yields limiting the synthesis to times of stress (Gillor et al., 2008). Oxygen stress-enhanced  
17 bacteriocin biosynthesis in *Lb. amylovorus* DCE 417 has been reported by Neysens and co-workers  
18 (2005). Regarding endogenous factors, it has to be considered that growth rate and biomass yield of  
19 the producer strains are not affected during bacteriocin production, since they are immune to their  
20 own bacteriocins. LAB possess genes that encode immunity mechanisms: among which, it is worth  
21 mentioning that most bacteriocins are biosynthesized as biologically inactive precursors containing a  
22 leader sequence preventing the bacteriocin from being active while located inside the producing cell.  
23 This sequence has to be removed to generate the fully active peptide which is then secreted (Willey  
24 and van der Donk, 2007). Protection can also be provided either by specific immunity proteins  
25 (Fimland et al., 2005) or by means of a specialized ABC-transport system pumping the lethal  
26 molecule outside of the cell (Draper et al., 2009).

27           The strategy of modifying the natural bacteriocins by biotechnological approaches is a  
28 promising field of research to obtain more effective molecules. The potential to create salivaricin  
29 variants with enhanced resistance to the intestinal protease trypsin has been explored by O'Shea and  
30 colleagues (2010; 2013). Eleven variants of the salivaricin P components (a two-component  
31 bacteriocin, highly active against *Listeria monocytogenes*), with conservative modifications at the  
32 trypsin-specific cleavage sites were created. Eight of such salivaricin P variants were resistant to  
33 trypsin digestion while retaining antimicrobial activity. Similarly, in the same research group, Field

1 and co-workers (2012) obtained nisin variants with increased antibacterial activity towards both  
2 Gram-positive and Gram-negative bacteria. An additional bacteriocin feature that has been improved  
3 by genetic engineering is the enhanced capability to diffuse through complex polymers, with useful  
4 applications in the food industry (Rouse et al., 2012).

5

6

#### 7 **4. Concluding remarks and future perspectives**

8 As early as 2003, Ohara preconized LAB-based biorefineries as among the most promising  
9 biotechnological strategies for obtaining high-value molecules and commodity chemicals (Ohara,  
10 2003). Some of the LAB showing the highest potential for biorefinery application are summarized in  
11 Table 3, where their main growth characteristics (carbon sources, optimal temperature and pH ranges)  
12 are compared with those of other attractive candidates for future industrial fermentation processes.

13

14 \*Table 3

15

16 Since then, significant advances have been achieved in the development of molecular tools for  
17 engineering the metabolic pathways of LAB, optimization of fermentation processes, as well as more  
18 in depth understanding of enzymes and other biochemical systems and metabolic pathways relevant  
19 for industrial application. Nonetheless, at least two major problems need to be solved prior to concrete  
20 application of LAB in cost-sustainable biorefineries. Complex growth media are currently necessary  
21 to complement the limited biosynthetic capacities of LAB for production of amino acids and vitamins,  
22 which increase both fermentation and product-purification costs. Search for less expensive nutritional  
23 supplements with reduced content of impurities than yeast extract is an active field of study (John et  
24 al., 2007; Okano et al. 2010a). Alternative fermentation strategies (e.g., co-cultivation with microbial  
25 strains supplying essential nutrients) and metabolic engineering are additional tools to resolve or  
26 reduce such nutrient requirements. Recombinant strategies can address another major issue, namely  
27 to expand substrate-metabolization abilities of LAB, thus enabling them to directly ferment (i.e.,  
28 without the need for exogenous addition of enzymes and/or physico-chemical saccharification pre-  
29 treatments) cheap and abundant biomass, such as starch and lignocellulose. Recombinant amyolytic  
30 LAB showing high yield and productivity have recently been constructed (Okano et al., 2009c).

1 However, development of recombinant cellulolytic microorganisms is at a much earlier stage, mainly  
2 because of the more recalcitrant nature of lignocellulose which requires heterologous expression of  
3 multiple proteins. To date, relatively few LAB strains have been engineered with single  
4 cellulases/hemicellulases that are able to hydrolyze amorphous substrates or grow on short cello-  
5 oligosaccharides (Table 1). Intensive research is still necessary to fully understand the molecular  
6 mechanisms to enable native cellulase systems to hydrolyze crystalline cellulose for rational design  
7 of efficient minimal enzyme mixtures. Furthermore, genetic engineering strategies need to be  
8 improved to promote secretion of sufficient amounts and optimal relative ratios of required multiple  
9 enzymatic activities in LAB.

10 In the near future research progress will likely lead to the application of natural or engineered  
11 LAB strains in biorefineries (Fig. 5). Appropriate LAB strains will be grown in industrial plants on  
12 inexpensive biomass (e.g., plant-derived biomass) under fermentation conditions promoting the  
13 biosynthesis of bulk commodities and/or high-value products, such as LA, ethanol, butanol, PHAs,  
14 polyols, EPS, bacteriocins, molecules with nutraceutical properties (e.g., GABA, CLA,  
15 selenoproteins and vitamins). Most of these products will be purified from the cell-free fermentation  
16 medium by simple and low-cost procedures, while in the case of PHA-related processes, polymers of  
17 interest will be extracted and purified from bacterial biomass by a variety of methods as described in  
18 detail elsewhere (Dias et al., 2006; Keshavarz and Roy, 2010). Moreover, LAB biomass can be  
19 recycled for other fermentation processes or used for probiotic manufacturing or as a protein  
20 supplement for food and feed applications (Fig. 5). Purified high-value molecules will be used, either  
21 directly or after further chemical-physical processing, for a number of applications, some of which  
22 are illustrated in Fig. 5. Both PHA and polymers of LA (i.e., PLA) can be used for the manufacturing  
23 of biodegradable plastics with broad application spectrum, as described above. Furthermore, LAB-  
24 produced bacteriocins can be used for functionalizing plastic films designed for food packaging, thus  
25 obtaining foods with improved safety (i.e., more “recalcitrant” to colonization by pathogenic strains)  
26 and longer shelf life (e.g., more “recalcitrant” to colonization by spoilage microorganisms). Among  
27 other compounds with food application, EPS, polyols and bioactive compounds (e.g., GABA) can be  
28 added to food so as to obtain products with improved properties, such as: i) healthier features (i.e.,  
29 containing polyols instead of sugars) and therefore suitable for some pathological conditions (e.g.,  
30 diabetes); ii) increased organoleptic characteristics, e.g., smoother or creamier by EPS addition; iii)  
31 nutraceutical properties, i.e., containing molecules such as GABA and other bioactive amines, CLA  
32 or vitamins which will provide additional health benefits to consumers. It is not unrealistic to  
33 hypothesize that in the future the same food factory will be able to produce dairy product(s) and  
34 bioplastic films for packaging of such food products, possibly functionalized with bacteriocins, by

1 using the same LAB strain(s). Apart from polymerization to PLA, LA can be esterified with ethanol  
2 thus producing a highly sought-after biodegradable solvent, i.e., ethyl lactate. Last but not least, LAB  
3 are currently considered good candidates for production of biofuels such as ethanol and butanol, as  
4 well as for synthesis of formate (as a biofuel precursor) which is a suitable substrate for hydrogen-  
5 producing fermentation processes. Hopefully, what is currently an optimistic vision could be realized  
6 in the near future, so that such LAB-based biorefineries will become a relevant option for an  
7 environmentally friendly and cost-sustainable economy.

8

9 **\*Fig. 5.**

10

## 11 **Acknowledgements**

12 This study was financially supported partly by “Action B-Return of Italian researchers from  
13 abroad” scheme from University of Torino and “Regione Piemonte“ and partly by private financing  
14 from Carbios, Biopôle Clermont-Limagne, Saint-Beauzire (France). Support to IM and EAB was  
15 generously provided by the Israel Ministry of Science (IMOS), grant number 3620320151. E.A.B. is  
16 the incumbent of The Maynard I. and Elaine Wishner Chair of Bio-organic Chemistry.

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11

1 **Figure captions**

2

3 Fig. 1. Schematic overview of the metabolic pathways enabling LAB to produce some of the most  
4 industrially desired molecules by sugar fermentation. Thin arrows stand for single enzymatic  
5 reactions, while thick arrows stands for multiple reaction pathways. AlaDH, alanine dehydrogenase;  
6 ALS,  $\alpha$ -acetolactate synthase; EPS, exopolysaccharides; GAP, glyceraldehyde-3-phosphate; LDH,  
7 lactate dehydrogenase; PDH, pyruvate dehydrogenase; PFL, pyruvate-formiate lyase; PHB,  
8 polyhydroxybutyrate.

9

10 Fig. 2. Diacetyl biosynthetic pathway in *Lc. lactis*. ALDB,  $\alpha$ -acetolactate decarboxylase; ALS,  $\alpha$ -  
11 acetolactate synthase; ILVNB, acetohydroxy acid synthase.

12

13 Fig. 3. Examples of effective strategies for improving the production of sweeteners: 1) sorbitol, 2)  
14 mannitol, and 3) L-alanine, from sugars by means of LAB (adapted from Hugenholtz et al., 2011).  
15 Inactivation of LDH ( $\Delta$ LDH) is a common feature to improve intracellular NADH concentration.  
16 AlaDH, alanine dehydrogenase; Mth1PDH, mannitol-1-phosphate dehydrogenase; Mtl1Pase,  
17 mannitol-1-phosphate phosphatase; Stl6PDH, sorbitol-6-phosphate dehydrogenase; Stl6Pase,  
18 sorbitol-6-phosphate phosphatase.

19

20 Fig. 4. Scheme representing: A) general routes for homo-EPS (i.e., glucans and fructans) biosynthesis  
21 from sucrose; B) model of hetero-EPS biosynthesis in *Lc. lactis* NIZO (adapted from Welman and  
22 Maddox, 2003). GlysucA, glycansucraseA; GlysucB, glycansucrase B, EpsA, B, D, E, F, G, H, I, J,  
23 and K, gene products involved in EPS biosynthesis; Frt, fructose; Glc, glucose; Gal, galactose; Rha,  
24 rhamnose.

25

26 Fig. 5. Prospects and broad applications of a future LAB-based biorefinery. EPS, exopolysaccharides;  
27 GABA,  $\gamma$ -amino butyric acid; PHA, polyhydroxyalkanoates; PLA, polylactide.

**Color key**

- Food aromas
- Sweeteners
- Thickeners/prebiotics
- Biofuel/biofuel precursor
- Building block/plastic polymer

**Fig. 1.**

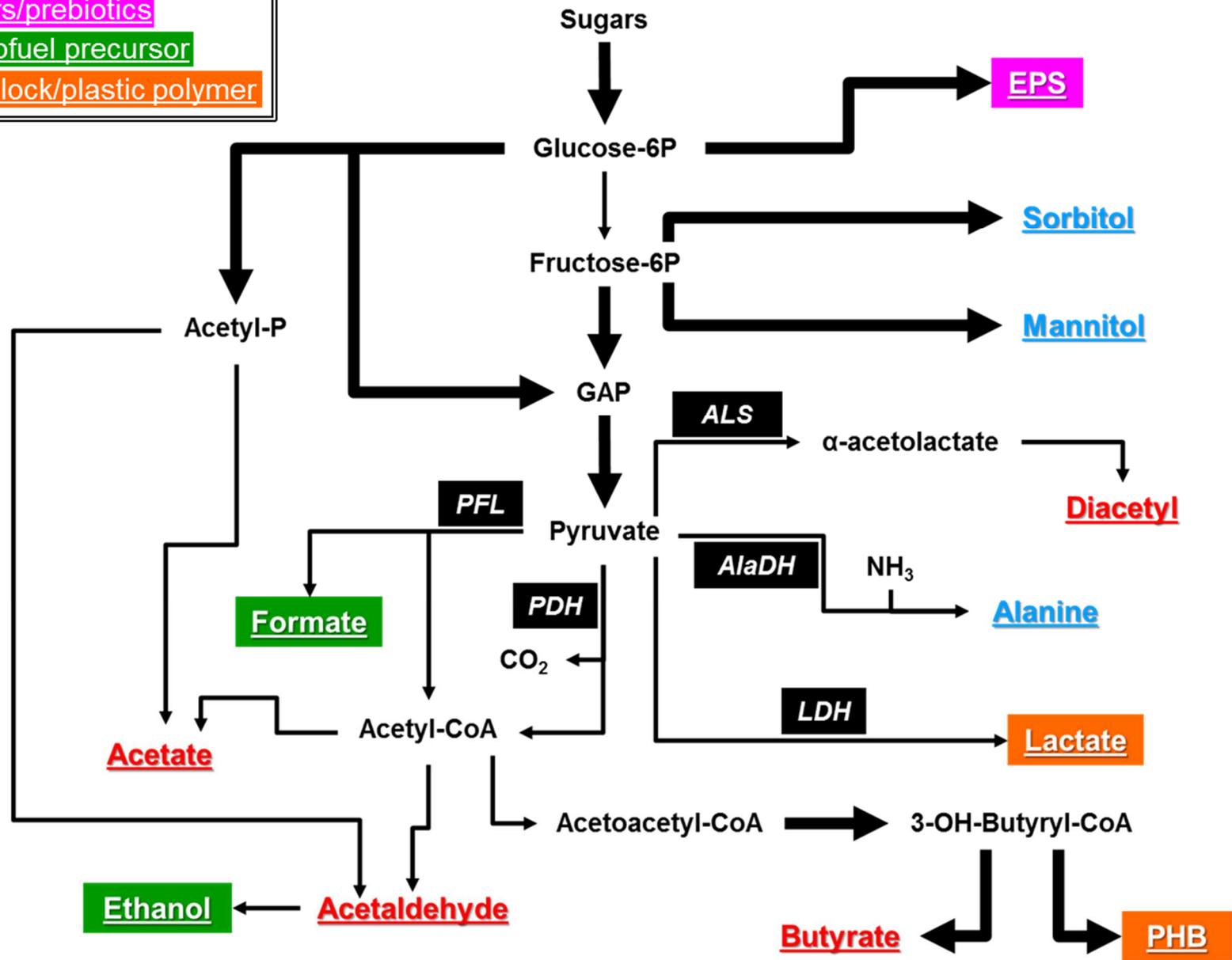


Figure 2

Fig. 2.

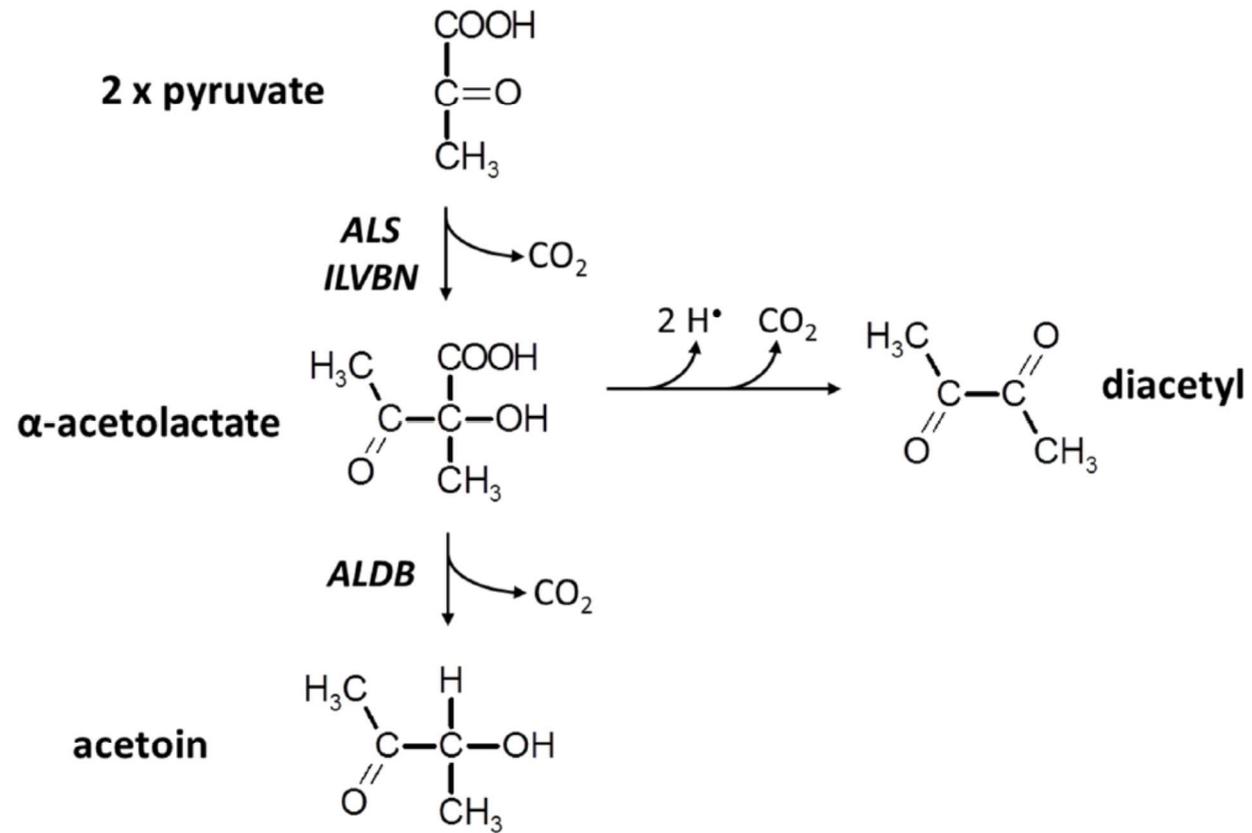


Figure 3

Fig. 3.

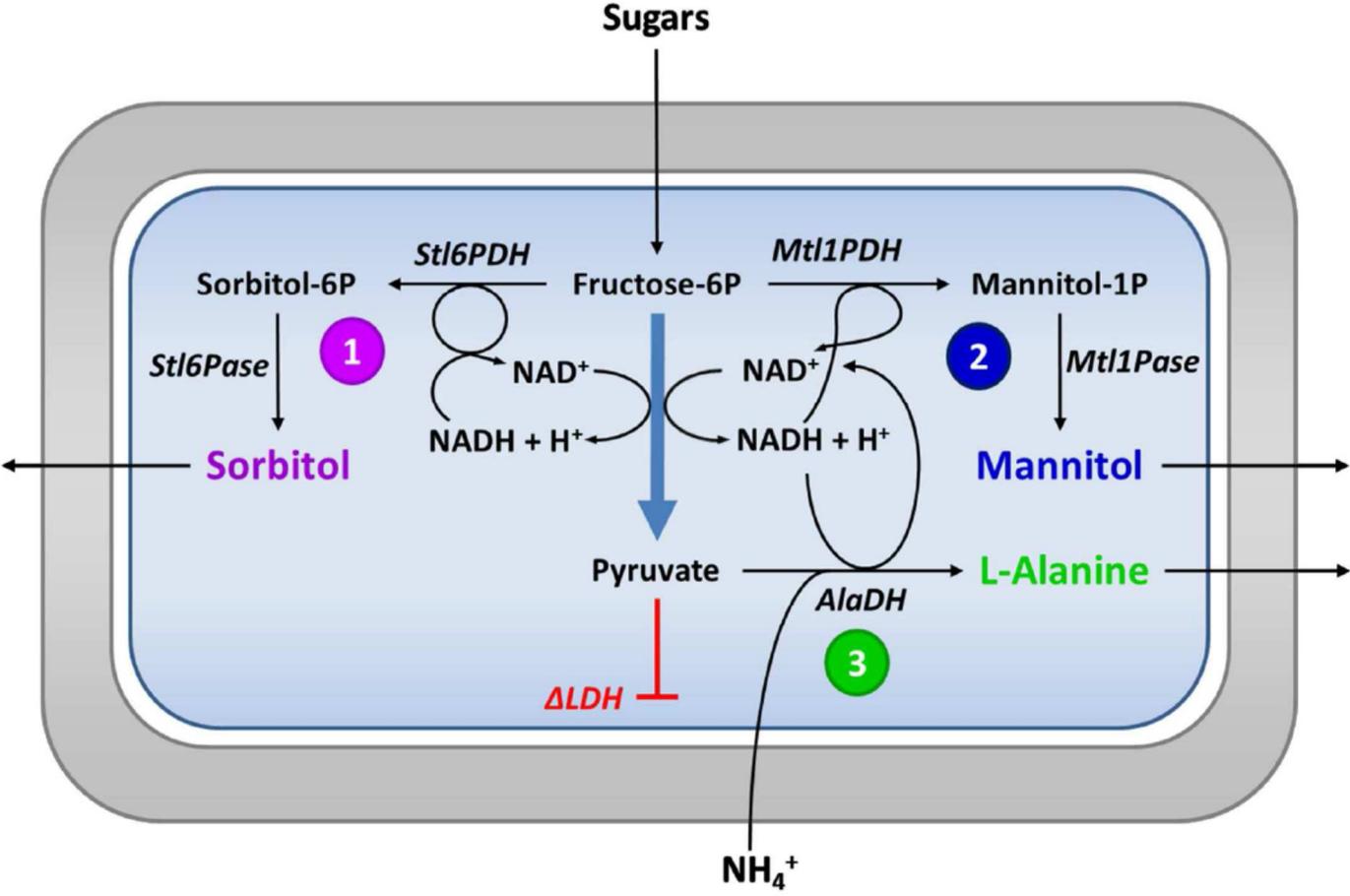
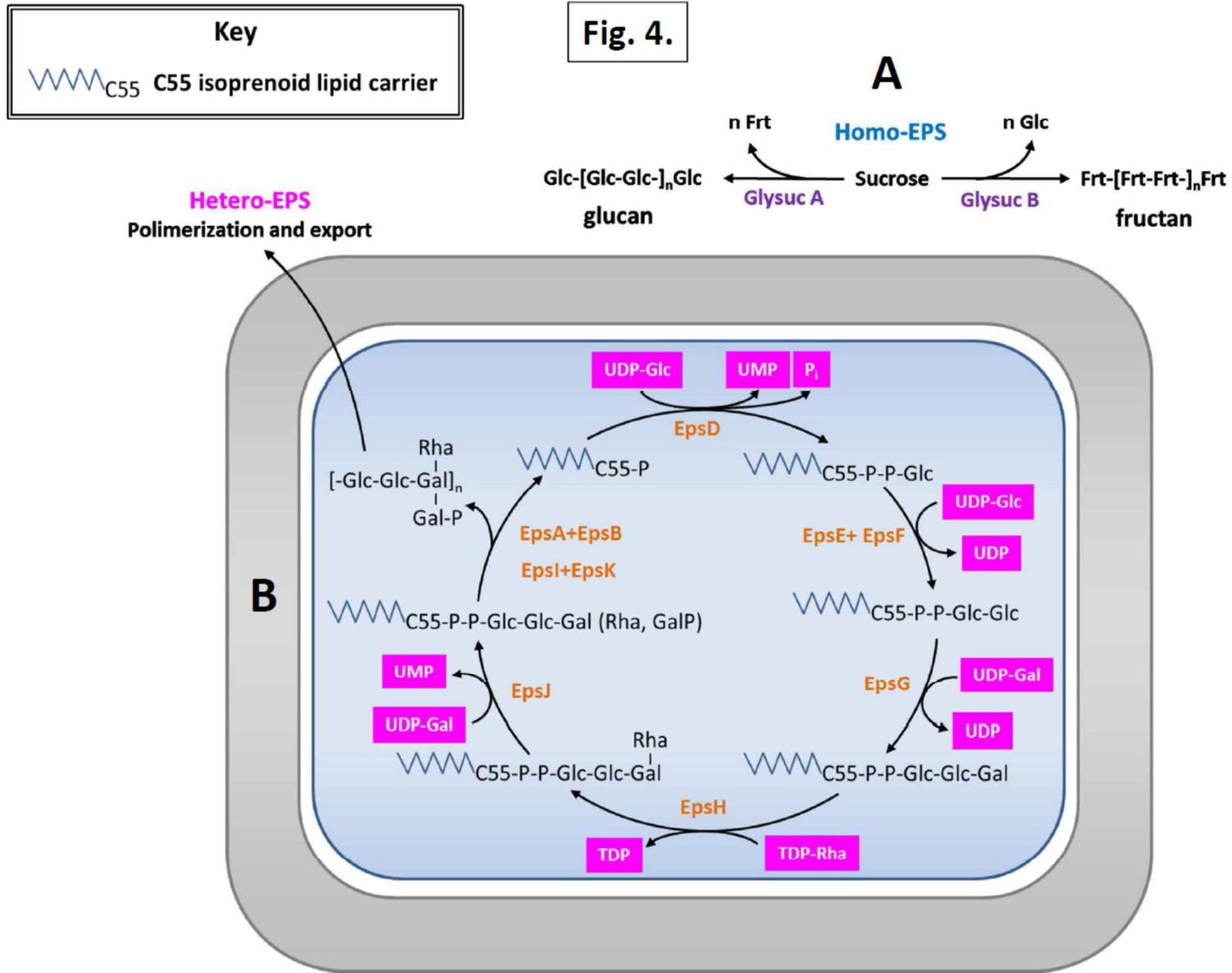


Figure 4



new Table 1

Strains	Heterologous protein(s) expressed	Heterologous protein expression/secretion level <sup>a</sup>	Improved phenotypic properties of the strain	References
<b>Strains with improved amyolytic properties</b>				
<i>Lb. casei</i> BLSJ 03135	$\alpha$ -amylase (AmyA) from <i>Str. bovis</i> 148	$\approx$ 900 U/L (N3-G5- $\beta$ -CNP) <sup>b</sup>	Not described	Narita et al., 2006
<i>Lb. plantarum</i> NCIMB 8826 ( $\Delta$ ldhL1)	$\alpha$ -amylase (AmyA) from <i>Str. bovis</i> 148	714 U/L (N3-G5- $\beta$ -CNP) <sup>b</sup>	Growth on raw corn starch	Okano et al., 2009c
<i>Lc. lactis</i> IL 1403	$\alpha$ -amylase (AmyA) from <i>Str. bovis</i> 148	$\approx$ 500 U/L (N3-G5- $\beta$ -CNP) <sup>b</sup>	Growth on soluble starch	Okano et al. 2007
<i>Lc. lactis</i> MG1363	$\alpha$ -amylase (AmyS) from <i>Bacillus stearothermophilus</i>	600 U/L (amylose azure) <sup>b</sup>	Hydrolysis of starch	Van Asseldonk et al., 1993
<b>Strains with improved cellulolytic properties</b>				
<i>Lb. gasseri</i> ATCC 33323	Cel8A endoglucanase from <i>C. thermocellum</i>	722 U/L (CMC) <sup>b</sup>	Hydrolysis of CMC	Cho et al., 2000
<i>Lb. jonhsonii</i> NCK 88	Cel8A endoglucanase from <i>C. thermocellum</i>	759 U/L (CMC) <sup>b</sup>	Hydrolysis of CMC	Cho et al., 2000
<i>Lb. plantarum</i> strains B41 and Lp80	Cel8A cellulase from <i>Bacillus sp.</i> N-4	34.24/43.61 U/L (CMC) <sup>b</sup>	Increased silage acidification	Rossi et al., 2001
<i>Lb. plantarum</i> Lp80	Cel8A endoglucanase from <i>C. thermocellum</i>	$\approx$ 90 U/L (CMC) <sup>b</sup>	Hydrolysis of CMC	Scheirlinck et al., 1989
<i>Lb. plantarum</i> NCDO 1193	Cel5E endoglucanase from <i>C. thermocellum</i>	1996 U/L (CMC) <sup>b</sup>	Hydrolysis of CMC	Bates et al., 1989
<i>Lb. plantarum</i> NCIMB 8826 ( $\Delta$ ldh1)	Cel8A endoglucanase from <i>C. thermocellum</i>	6.03 U/L (barley $\beta$ -glucan) <sup>b</sup>	Growth on cellohexaose	Okano et al., 2010b
<i>Lb. plantarum</i> WCFS1	Cel6A endoglucanase from <i>Thermobifida fusca</i>	280 U/L (PASC) <sup>b</sup>	Hydrolysis of sodium hypochlorite-pretreated wheat straw	Morais et al., 2013
<i>Lc. lactis</i> strains IL1403 and MG1363	Cellulase from <i>Neocallimastix sp.</i>	5.9 U (CMC) <sup>b,c</sup>	Hydrolysis of CMC	Ozkose et al., 2009
<i>Lc. lactis</i> HtrA NZ9000	Fragments of CipA scaffoldin from <i>C. thermocellum</i>	$9 \times 10^3$ scaffolds/cell <sup>d</sup>	Scaffoldins displayed on the cell surface	Wieckzoreck and Martin, 2010
<b>Strains with improved hemicellulose-metabolizing properties</b>				
<i>Lb. plantarum</i> NCIMB 8826 ( $\Delta$ ldh1-xpk1)	Transketolase (Tkt) from <i>Lc. lactis</i> IL1403 (replacing endogenous phosphoketolase Xpk1)	Not determined	Almost homolactic fermentation of arabinose	Okano et al. 2009a
<i>Lb. plantarum</i> NCIMB	Transketolase (Tkt) from <i>Lc. lactis</i> IL1403	Not determined	Almost homolactic	Okano et al. 2009b

8826 ( <i>Δldh1-xpk1-xpk2</i> )	(replacing endogenous phosphoketolase Xpk1 and Xpk2); Xylose isomerase (XylA) and xylulose kinase (XylB) from <i>Lb. pentosus</i> NRIC 1069		fermentation of xylose	
<i>Lb. plantarum</i> WCFS1	Xyn11A endoxylanase from <i>Thermobifida fusca</i>	3360 U/L (oat spelt xylan) <sup>b</sup>	Hydrolysis of sodium hypochlorite-pretreated wheat straw	Morais et al., 2013
<i>Lc. lactis</i> MG1316	Xylanase from <i>Bacillus coagulans</i> ST-6	≈87 U/L (xylan) <sup>c</sup>	Hydrolysis of RBB-xylan	Raha et al., 2006

<sup>a</sup>Maximum values reported in each study. Substrates used for determining enzyme activity are indicated in parentheses. CMC, carboxy methyl cellulose; N3-G5-β-CNP, 2-chloro-4-nitrophenyl-6<sup>5</sup>-azido-6<sup>5</sup>-deoxy-β-maltopentaoside; PASC, phosphoric acid-swollen cellulose

<sup>b</sup>Enzyme activity/protein quantification measured in extracellular fraction

<sup>c</sup>The volume of extracellular extract used in this study was not reported

<sup>d</sup>Proteins displayed on the cell surface

Table 1. Recombinant LAB showing improved amylolytic, cellulolytic or hemicellulolytic properties described in this study.

new Table 2

Microorganisms	Carbon source	T and pH	Fermentation mode	LA (g/L)	Yield $Y_{P/S}$ (g/g)	Productivity (g/L/h)	References
<b>Strains able to ferment whey</b>							
<i>Lb. casei</i> NBIMCC 1013	Whey permeate (+YE)	37°C, pH 6.5	Ca-pectate immobilized cells	≈33	≈0.87	-	Panesar et al., 2007b
<i>Lb. helveticus</i> ATCC 15009	Cheese whey	42°C, pH 5.8	Batch	9.3	0.36	-	Tango and Ghaly, 1999
<i>Lb. helveticus</i> milano	Whey permeate (+ CSL)	42°C, pH 5.9	Batch	35	≈0.5	2.7	Roy et al., 1986
<i>Lb. helveticus</i> milano	Whey permeate (+ YE)	42°C, pH 5.9	Continuous fermentation (dilution rate 0.35 h <sup>-1</sup> ), Ca-alginate entrapped cells	-	-	9.7	Roy et al., 1987
<i>Lb. helveticus</i> milano	Whey permeate powder (+ YE)	42°C pH 5.9	Batch	-	-	5.4	Amrane and Prigent, 1998
<i>Lb. helveticus</i> R211	Whey permeate (+YE)	42°C, pH 5.5	Continuous fermentation, K-carrageenan/locust bean gum immobilized cells	-	-	19-22	Schepers et al., 2006
<i>Lb. salivarum</i> ssp. <i>salicinius</i>	Whey	30°C, pH 6.5	Batch	≈10	-	0.2	Vasala et al., 2005
	Whey (+ protease enzymes)			≈50	-	0.9	
	Whey (+ proteolytic <i>Bacillus megaterium</i> )			≈50	-	0.8	
<b>Strains able to ferment molasses</b>							
<i>Ent. faecalis</i> RKY1	Molasses (+ YE)	38°C, pH 7.0	Batch	95.7	0.95	4.0	Wee et al., 2004
<i>Lb. delbrueckii</i> C.E.C.T. 286	Beet molasses	49°C, pH 5.9	Batch	-	0.91	-	Monteagudo et al., 1997
<i>Lb. delbrueckii</i> IFO3202	Beet molasses	45°C, pH 6.0	Batch	61	0.96	-	Göksungur and Güvenç, 1999
			Batch, Ca-alginate immobilized cells	59	0.90	-	
<i>Lb. delbrueckii</i> JCM 1148	Sugarcane molasses	40°C, pH 6	Batch (fermenter)	107	0.9	1.48	Calabia and Tokiwa, 2007
<i>Lb. delbrueckii</i> NCIMB 8130	Sucrose (+ YE + CaCO <sub>3</sub> )	45°C	Batch	88.4	0.97	-	Kotzanmanidis et al., 2002
<i>Lb. lactis</i> NCIM 2368 RM2-24	Hydrolyzed cane sugar	42°C, pH 7	Batch	81	-	1.68	Joshi et al., 2010
	Molasses			70	-	1.45	

<i>Lc. lactis</i> ssp. <i>cremoris</i> IFO3427	Molasses	37°C, pH 6.8	Stirred ceramic membrane reactor perfusion	46	-	15.8	Ohashi et al., 1999
<b>Strains able to ferment starchy substrates</b>							
<i>Lb. amylophilus</i> GV6	Soluble starch	37°C, pH 6.5	Batch	-	0.90	-	Vishnu et al., 2000
	Corn starch			-	0.82	-	
<i>Lb. amylophilus</i> GV6	Soluble starch	37°C, pH 6.5	Batch	9.6	0.96	-	Vishnu et al., 2002
	Corn starch			8.8	0.94	-	
	Potato starch			8.7	0.92	-	
	Sorghum starch grain			11.6	0.92	-	
	Cassava starch grain			14	0.92	-	
	Barley starch grain			11.3	0.90	-	
	Rice starch grain			13	0.91	-	
	Wheat starch grain			11.7	0.93	-	
<i>Lb. amylophilus</i> GV6	Starch (+ red lentil and baker's yeast)	37°C, pH 6.5	Batch	12.2	0.92	-	Altaf et al., 2005
<i>Lb. manihotivorans</i> LMG 18010T	Starch	35°C, pH 6.0	Batch (fermenter)	12.6	0.67	0.5	Guyot et al., 2000
<i>Lb. plantarum</i> A6	Cassava raw starch	30°C, pH 6.0	Batch (fermenter)	41	0.9	-	Giraud et al., 1994
<i>Lb. plantarum</i> A6	Synthetic brown juice	40°C	Batch (fermenter)l	14.2 5	-	≈0.59	Thomsen et al., 2007
<i>Str. bovis</i> 148	Raw starch	37°C, pH 6.0	Batch (fermenter)	14.7	0.88	-	Narita et al., 2004
<b>Strains able to ferment lignocellulosic substrates</b>							
<i>Ent. faecalis</i> RKY1	Barley	38°C, pH 7.0	Batch (fermenter)	-	0.94	0.88	Oh et al., 2005
	Wheat			-	0.93	0.81	
	Corn			-	0.94	0.51	
<i>Lactobacillus</i> sp. RKY2	Oak wood chip hydrolyzate (+ CSL)	36°C, pH 6	Continuous cell recycle (dilution rate 0.16 h <sup>-1</sup> )	42	0.95	6.7	Wee and Ryu, 2009
<i>Lb. amylophilus</i> GV6	Wheat bran	37°C	Solid state fermentation	-	0.42	-	Naveena et al., 2005b
<i>Lb. brevis</i> S3F4	Corn stover hydrolysate	30°C	Batch	18.2	0.74	0.76	Guo et al., 2010
	Corn cob hydrolysate			39.1	0.69	0.81	
<i>Lb. casei</i> + <i>Lb. delbrueckii</i>	Protease-treated wheat bran	37°C	Batch	123	0.95	2.3	John et al., 2006

<i>Lb. delbrueckii</i> mutant Uc-3	Sugarcane bagasse cellulose	42°C, pH 6	Batch (Simultaneous saccharification and fermentation)	67	0.83	0.93	Adsul et al., 2007a
<i>Lb. delbrueckii</i> mutant Uc-3	Cellobiose	42°C, pH 6.5	Batch	90	0.9	2.25	Adsul et al., 2007b
	Cellotriose			1.7	0.85	-	
<i>Lb. pentosus</i> CECT-4023T	trimming vine shoots hydrolyzate	31°C, pH 6.5	Batch	46	0.78	0.933	Bustos et al., 2005
<i>Lb. pentosus</i> CECT-4023T	Barley bran hydrolysate	31°C, pH 6.0	Batch (fermenter)	33	0.57	0.60	Moldes et al., 2006
	Corn cob hydrolysate			26	0.53	0.34	
	Trimming vine shoot hydrolysate			24	0.76	0.51	
	Detoxified <i>Eucalyptus globulus</i> hydrolysate			14.5	0.70	0.28	
<i>Lb. rhamnosus</i> ATCC 7469	Recycled paper sludge	37°C, pH 5.5	Batch (Simultaneous saccharification and fermentation)	73	0.97	2.9	Marques et al., 2008
<i>Lb. rhamnosus</i> LA-04-1	Wheat bran hydrolysate (+ CSL)	42°C, pH 6.25	Batch (fermenter)	-	0.87	1.68	Li et al., 2010b
<i>Lc. lactis</i> IO-1 JCM 7638	Xylose	-	-	33	0.60	-	Doran-Peterson et al., 2008
<i>Lc. lactis</i> IO-1 JCM 7638	Sugarcane bagasse	37°C	Batch	10.9	-	≈ 0.17	Laopaiboon et al., 2010
<i>Leu. lactis</i>	Hydrolyzed xylan	37°C	Batch	2.3	-	-	Ohara et al., 2006

Table 2. Natural and mutant (i.e., not-engineered) LAB used to ferment lignocellulosic, molasses, starchy and whey substrates described in this study. CSL, corn steep liquor; YE, yeast extract

new Table 3

	Carbon source	<i>Lb. brevis</i>	<i>Lb. hilgardii</i>	<i>Lb. kunkeei</i>	<i>Lb. plantarum</i>	<i>Ped. damnosus</i>	<i>Ped. parvulus</i>	<i>Ped. pentosaceus</i>	<i>Saccharomyces cerevisiae</i>	<i>Scheffersomyces stipitii</i> ( <i>Pichia stipitii</i> )	<i>Candida shahatae</i>	<i>Kluyveromyces marxianus</i>	<i>Escherichia coli</i> (FBR2)	<i>Zymomonas mobilis</i> (Zm4)
Natural carbon utilization	Arabinose	+	-	-	v	-	-	+	-	-	+	+	+	+
	Fructose	+	+	+	+	+	+	+	+	+	+	+	+	+
	Lactose	v	v	-	+	-	-	v	-	-	-	-	-	+
	Mannitol	-	-	+	+	-	-	-	-	-	-	-	-	-
	Maltose	+	+	-	+	v	+	+	+	+	+	+	+	+
	Melezitose	-	v	-	+	v	-	-	-	-	-	-	-	-
	Ribose	+	+	-	+	-	-	+	-	-	-	-	-	-
	Sucrose	v	v	+	+	v	-	-	+	+	+	+	+	+
	Trehalose	-	-	-	+	+	v	+	+	+	+	-	-	-
	Xylose	v	+	-	v	-	-	v	-	+	+	+	+	+
Growth range	Temperature	26–45°C	20–40°C	26–40°C	26–40°C	20–35°C	26–39°C	26–40°C	<44°C	26–35°C	10–40°C	<40°C	<49°C	27–37.5°C
	pH	3-4	4-5	4-5	4-6	4-8	4-7	4-8	3.0-8.0	4.0-7.5	3.0-7.5	4.8-6.3	4.8-6.3	5.5-6.8

Table 3. Comparison of potential biorefinery organisms and several LAB species from the genera *Lactobacillus* and *Pediococcus* for their growth conditions and carbon source utilization (Buschke et al., 2013, Charalampopoulos et al., 2002, Gaspar et al., 2013, Gibbons and Hughes, 2009, Vos et al., 2011). v, varies among strains.