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Clinical evaluation and endoscopic classification of bronchomalacia in dogs

E. Bottero, C. Bellino, D. De Lorenzi, P. Ruggiero, A. Tarducci, A. D'Angelo, P. Gianella

From the Department of Veterinary Sciences, University of Turin, Via L. Da Vinci 44, 10095 Grugliasco (TO), Italy (Bellino, D'Angelo, Tarducci, Gianella); Poliambulatorio Veterinario Argentina, via Levà 58, Arma di Taggia (IM), Italy (Bottero); Veterinary Hospital "I Portoni Rossi", Via Roma 57, Zola Predosa, Bologna (De Lorenzi); Centro Veterinario Specialistico, Via S. Giovannini 51-53, 00137, Roma (Ruggiero)

Short title: Bronchomalacia in dogs

Key words: Bronchi; Canine; Respiratory endoscopy; Airway collapse; Chronic cough

Abbreviations:

BAL bronchoalveolar lavage

BCS body condition score

BM bronchomalacia

DBC dynamic bronchial collapse

ISACHC International Small Animal Cardiac Health Council

LPB left principal bronchus (LPB)

LB1 left cranial lobe

LB2 left caudal lobe

RPB right principal bronchus

RB1 right cranial lobe

RB2 right middle lobe

RB3 accessory lung lobe

RB4 right caudal lobe

SBC static bronchial collapse

TBM tracheobronchomalacia

TM tracheomalacia

VHS vertebral heart size

Corresponding author: Paola Gianella

Department of Veterinary Sciences

University of Turin, Via L. Da Vinci, 44 10095 Grugliasco (TO) - Italy

Tel.++39 011 6709074 Fax ++39 011 6709083

e-mail: [paola.gianella@unito.it](mailto:paola.gianella@unito.it)

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1 Background: Little information is available about the association  
2 between bronchomalacia and historical or clinicopathological data.  
3 Also, studies applying an endoscopic classification scheme that  
4 differentiates between static and dynamic bronchial collapse and  
5 based on a scoring system are lacking.

6 Objectives: To describe the clinical presentation of bronchomalacia  
7 in dogs, to classify endoscopic findings, and to evaluate  
8 associations between historical, clinicopathological data, and  
9 endoscopic findings.

10 Animals: 59 client-owned dogs with an endoscopic diagnosis of  
11 bronchomalacia

12 Methods: In this retrospective study, medical records were  
13 analyzed and video documentation was reviewed to assign a score  
14 to endoscopic findings. Univariate analysis was performed on  
15 categorical variables organized in contingency tables, and a  
16 stepwise logistic regression model was used for multivariate  
17 analysis.

18 Results: Of the 59 dogs included in the study, two were affected  
19 by static bronchial collapse (SBC), 35 by dynamic bronchial  
20 collapse (DBC), and 22 by both SBC and DBC. The association  
21 between SBC and DBC was more frequently seen in the dogs with  
22 higher body weight, pulmonary hypertension, a bronchial type of  
23 radiographic pattern and nodularity at endoscopic examination.

24 Thirty-one dogs presented with tracheomalacia and  
25 bronchomalacia; an association emerged between these concurrent  
26 disorders in dogs living indoors. Multivariate analysis of the  
27 endoscopic scores showed a correlation between DBC severity and  
28 cough duration.

29 Conclusion and clinical importance: Results of this study provide  
30 evidence for two different types of bronchial collapse. Endoscopic  
31 scoring scheme has proved to be promising in the BM  
32 classification, although further evaluation of its applicability in  
33 larger canine populations is needed.

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43 Bronchomalacia (BM) refers to weakness of the bronchial walls  
44 due to softening of the supportive cartilage and hypotonia of  
45 myoelastic elements.<sup>1,2</sup> This results in a reduction in airway lumen  
46 diameter, leading to a respiratory syndrome encompassing chronic  
47 cough, wheezing, intermittent or continuous dyspnoea, difficulty  
48 in clearing secretions, and recurrent bronchitis and pneumonia.<sup>3-5</sup>

49 Tracheomalacia (TM) refers to weakness of the tracheal wall, such  
50 that the airway is softer and more susceptible to collapse. The  
51 weakness may be localized to one portion or involve the entire  
52 trachea. If both bronchi and trachea are involved, the term  
53 tracheobronchomalacia (TBM) is used.<sup>6,7</sup> As an isolated clinical  
54 entity, BM is much less common than TM and TBM in human  
55 medicine<sup>7</sup>, in contrast to its occurrence reported in veterinary  
56 medicine.<sup>8</sup>

57 The precise cause of malacia in humans is not fully understood,  
58 although several etiopathogenetic factors have been proposed,  
59 including congenital conditions,<sup>9</sup> endotracheal intubation,<sup>10</sup> long-  
60 term ventilation,<sup>11</sup> closed-chest trauma,<sup>12</sup> chronic airway irritation  
61 and inflammation,<sup>13,14</sup> malignancy,<sup>15</sup> asthma,<sup>3</sup> mechanical anatomic  
62 factors,<sup>4</sup> and thyroid diseases.<sup>16</sup> In veterinary medicine, similar  
63 causative factors have also been hypothesized,<sup>5,8,17</sup> although the  
64 role of airway inflammation or cardiomegaly has yet to be  
65 confirmed.<sup>8,18</sup>

66 Bronchoscopy is the gold standard for diagnosis in humans and  
67 animals,<sup>5,8,17,19,20</sup> with the left cranial lung lobe affected more  
68 frequently in brachycephalic and non-brachycephalic dogs.<sup>5,17,18</sup> In  
69 human medicine, two different forms of dynamic airway  
70 obstruction are distinguished: BM and excessive dynamic airway  
71 collapse (EDAC).<sup>6</sup> These entities might or might not coexist;  
72 however, they are rarely described in terms of extent, severity,  
73 location and associated anomalies because their understanding has  
74 been limited over the years by uncertainties about their definition,  
75 diagnosis and management. Furthermore, comparison between  
76 studies and different therapeutic protocols has been hampered for  
77 want of a standard method to quantify the severity of airway  
78 collapse.<sup>6</sup>

79 For these reasons, quantitative or morphometric bronchoscopy has  
80 been proposed,<sup>21</sup> but owing to a lack of resources, time,  
81 information, or technical limitations, it has seldom, if ever, been  
82 used. In veterinary medicine, despite the recent increasing  
83 recognition of BM in dogs,<sup>5,8,18</sup> studies addressing functional  
84 classes of airway narrowing, as well as an endoscopic systematic  
85 classification, are lacking.

86 The aims of the present study were: 1) to retrospectively evaluate  
87 the history and clinical presentation of a series of dogs with BM;  
88 2) to attempt an endoscopic classification of BM by differentiating  
89 between static and dynamic bronchial collapse and with the use of

90 a scoring system; and 3) to identify possible associations between  
91 historical and clinicopathological data and endoscopic findings.

## 92 Materials and methods

93 The medical records of dogs with an endoscopic diagnosis of BM  
94 between June 2010 and September 2011 were retrospectively  
95 reviewed. Information gleaned from the medical records included  
96 breed, sex, age, body weight, body condition score (BCS),  
97 lifestyle, presence of smoking owners, cough duration, type of  
98 thoracic radiographic pattern, radiographic evidence of tracheal  
99 and bronchial collapse, vertebral heart size (VHS), ISACHC heart  
100 failure classification, presence of pulmonary hypertension, and  
101 cytology of the bronchoalveolar lavage (BAL) fluid.

102 Bronchoscopic examination was performed under general  
103 anesthesia in all dogs. A 5-minute preoxygenation period was  
104 used. Dogs weighing  $\leq 15$  kg were not intubated; a stable plane of  
105 anesthesia was maintained with a constant rate infusion of  
106 propofol at 0.1-0.4 mg/kg/min or intermittent boluses. Oxygen was  
107 delivered through the working channel of the bronchoscope or by  
108 use of jet ventilation. Dogs weighing  $> 15$  kg were intubated after  
109 endoscopic tracheal examination and maintained on gas  
110 anesthesia. Balanced isotonic crystalloid fluids were administered  
111 IV to all dogs; ECG, blood pressure and pulse oximetry were  
112 constantly monitored. During recovery, supplemental oxygen was  
113 provided as needed.

114 All bronchoscopic procedures were performed in standardized  
115 fashion by two of the authors (E.B., P.R.).<sup>22</sup> Flexible video  
116 endoscopes (5.9 mm x 110 cm<sup>a</sup> and 4.9 mm x 60 cm<sup>b</sup>) were used.  
117 Videotaping was done with a digital video converter<sup>c</sup> connected to  
118 a FireWire 800-equipped Mac computer.<sup>d</sup> Video documentation  
119 for each dog was independently reviewed by two authors (E.B.,  
120 P.G.) in a blind, separate fashion in order to grade and map airway  
121 collapse and assign an endoscopic score. A discussion to reach a  
122 consensus opinion was done only in cases of divergent opinion.

123 The study population was composed of dogs with BM, with or  
124 without endoscopically detectable TM, and was divided into three  
125 subgroups according to the type of bronchial airway collapse  
126 (dynamic [subgroup 1], static + dynamic [subgroup 2], static  
127 [subgroup 3]). Tracheal collapse (cervical and/or intrathoracic)  
128 was graded as proposed by Tangner and Hobson.<sup>23</sup> The canine  
129 endobronchial map was used for lower airways examination and  
130 identification.<sup>22</sup>

131 Normal bronchial openings were described as smooth, round or  
132 slightly oval in appearance, with a minimal reduction in luminal  
133 diameter during phases of respiration.<sup>24</sup> Bronchial collapse was  
134 defined as static if a reduction in luminal diameter persisted during  
135 all phases of respiration, and as dynamic if changes in luminal  
136 diameter were observed on respiration. The reduction in luminal  
137 diameter was identified as flattening of the bronchial openings,

138 circumferential narrowing or distortion of their normal round  
139 appearance. Collapse was reported at the left principal bronchus  
140 (LPB), left cranial lobe (LB1), or left caudal lobe (LB2) and the  
141 right principal bronchus (RPB), right cranial lobe (RB1), right  
142 middle lobe (RB2), accessory lung lobe (RB3), or right caudal  
143 lobe (RB4). Grade 1 static and dynamic collapse was visualized  
144 and defined as a diameter reduction  $\leq 50\%$ , grade 2 collapse as a  
145 diameter reduction  $>50\%$  and  $\leq 75\%$ , and grade 3 collapse as a  
146 diameter reduction  $>75\%$ , with contact between the dorsal and  
147 ventral mucosa of the collapsed bronchus. Furthermore, type A  
148 extension of static and dynamic collapse was defined as collapse  
149 affecting  $\leq 3$  bronchi (principal left and right, lobar, segmental),<sup>22</sup>  
150 type B as collapse affecting 4-5 bronchi (principal left and right,  
151 lobar, segmental),<sup>22</sup> and type C as collapse affecting  $>5$  bronchi  
152 (principal left and right, lobar, segmental).<sup>22</sup> Each dog was  
153 classified based on the worst grade of collapse and total number of  
154 airways affected. Final endoscopic scores were then obtained by  
155 evaluating separately the severity and extension (grade 1, 2, 3 and  
156 type A, B, C, respectively) of static and dynamic bronchial  
157 collapse. Additional bronchoscopic findings including the  
158 presence of airway secretions, hyperemia or nodularity were also  
159 recorded.

160 BAL was performed by wedging the endoscope into 1 or 2 of the  
161 smallest bronchial segments, followed by instillation and

162 continuous vacuum aspiration of 2 ml/kg of warmed, sterile saline  
163 solution through the biopsy channel of the endoscope. BAL fluid  
164 was processed immediately after sampling for nucleated cell count  
165 using a standard haemocytometer and cytological differentiation  
166 on slides stained with Wright-Giemsa stain. Cytology results were  
167 classified according to the predominant cell type in the sample.<sup>25</sup>

#### 168 Statistical analysis

169 Statistical analysis was performed using a freeware statistical  
170 software package.<sup>e</sup>

171 Nominal data were expressed as frequency, percentage or both.  
172 TM, dynamic bronchial collapse (DBC) and dynamic+static  
173 bronchial collapse (DBC+SBC) were classified as being present or  
174 absent. For the endoscopic score each grade of severity (1, 2, 3)  
175 and type of extension (A, B, C) were classified as present or absent  
176 in the DBC and SBC groups, respectively. The historical and  
177 clinicopathological data were transformed into class variables  
178 (Table 1). Univariate analysis of nominal data was performed with  
179 contingency table analysis by Fisher's exact test. In addition, for  
180 each 2x2 contingency table, the odds ratio (OR) and the 95%  
181 confidence intervals of the odds ratio (95% OR<sup>CI</sup>) were calculated.  
182 Variables that meet a cut-off of  $P < 0.20$  at the univariate analysis  
183 were entered into a logistic regression model for the multivariate  
184 analysis. Three separate logistic regression models, one for TM,  
185 one for DBC and one for DBC+SBC were constructed, having

186 TM, DBC and DBC+SBC as the dependent variables and  
187 historical and clinicopathological data as the independent  
188 variables. For the endoscopic score, separate logistic regression  
189 models for each grade of severity (1, 2, 3) and type of extension  
190 (A, B, C) were constructed in the SBC and DBC groups  
191 respectively, having the grade of severity and type of extension as  
192 the dependent variables and historical and clinicopathological data  
193 as the independent variables. The most parsimonial final model  
194 was selected, via backward elimination, with a Wald *P*-value of  
195 0.05 as the removal threshold, given an acceptable log-likelihood  
196 ratio test value. Model fit was evaluated by Pearson's and Hosmer-  
197 Lemeshow's goodness-of-fit test. A value of  $P > 0.05$  indicates  
198 that the data adequately fit the model used.

#### 199 Results

200 Between June 1, 2010 and September 30, 2011, 59 dogs had a  
201 bronchoscopic diagnosis of BM with or without TM. The study  
202 population (n=59) consisted of dogs from 22 different breeds and  
203 21 mixed-breed dogs. Breeds accounting for at least 3 cases were  
204 miniature Poodle (n=5), Yorkshire terrier (n=5), Pugs (n=4) and  
205 Epagneul Breton (n=3). Thirty dogs were male (4 neutered) and 29  
206 were female (17 spayed). The median age was 11 years (range 2-  
207 16). Three dogs were aged between 2 and 4 years at presentation,  
208 25 between 5 and 10 years, and 31 were more than 10 years old.  
209 The median body weight was 10 kg (range 2.5-60 kg). Twenty-

210 five dogs weighed 10 kg or less, 23 between 11 and 20 kg, 8  
211 between 21 and 30 kg, and 3 more than 30 kg. The median BCS  
212 was 7 (range 3-9). Of the 48 dogs with a BCS >5, 31 had a BCS  
213  $\geq 7$ . Forty dogs lived indoors, 8 outdoors, and 11 indoors/outdoors.  
214 Thirty-three dogs were from households where smoking had  
215 regularly occurred indoors in the past year. Chronic cough was the  
216 chief complaint in all dogs; the duration was <6 months in 33 dogs  
217 and  $\geq 6$  months in 26. Radiographic diagnosis of an abnormal  
218 pulmonary pattern was made in 40/59 dogs, with bronchial and  
219 bronchointerstitial patterns found in 23/40 and 13/40 dogs,  
220 respectively. In the remaining 4 dogs, an interstitial pattern (2), an  
221 alveolar pattern (1) and bronchiectasis (1) were identified. Static  
222 radiography was considered supportive of tracheal collapse in 4/59  
223 dogs. There was no radiographic evidence of bronchial collapse.  
224 The VHS was  $\leq 9.7$  (normal, 8.5-10.7)<sup>26</sup> in all 52/59 dogs in which  
225 it was measured.  
226 Of the 32 dogs with concurrent heart disease, 22 were classified as  
227 ISACHC Class I, of which 8/22 Class Ia and 14/22 Class Ib, 9 as  
228 ISACHC Class II, and 1 as ISACHC Class III. Doppler  
229 echocardiography demonstrated pulmonary hypertension in 6/59  
230 dogs.  
231 BM without tracheal involvement was identified on bronchoscopy  
232 in 28/59 dogs (48%), and BM + TM in 31/59 (52%), of which 22  
233 (71%) with a dynamic type of bronchial collapse. TM was

234 primarily associated with movement of the dorsal tracheal  
235 membrane, and BM with dorsoventral flattening of the bronchial  
236 wall. Among the dogs with TM, grade I tracheal collapse was  
237 present in 9/31 (29%), grade II in 14/31 (45%), grade III in 7/31  
238 (23%), and grade IV in 1/31 (3%). Overall, 2 dogs (3%) were  
239 affected by static bronchial collapse (SBC) alone, 35 (59%) by  
240 dynamic bronchial collapse (DBC) alone, and 22 (37%) by both  
241 static and dynamic bronchial collapse (DBC+SBC). Concurrent  
242 static collapse of 1-3 lobar bronchi was documented in 9/59 dogs  
243 (15%); concurrent dynamic collapse of 1-6 lobar bronchi was  
244 documented in 22/59 (37%). In 1 of these dogs both static and  
245 dynamic lobar bronchial collapse was identified. Segmental static  
246 and dynamic airway collapse was documented in 15/59 (25%) and  
247 in 35/59 (59%) dogs respectively.

248 Overall, collapse of the left cranial lobe bronchus was most  
249 commonly identified (22/59 dogs, 37%), followed in descending  
250 order by collapse of the left caudal (16/59, 27%), right cranial  
251 (14/59, 24%), right caudal (12/59, 20%), right middle (10/59,  
252 17%), and accessory lung lobe bronchus (8/59, 14%). Table 2  
253 reports the final endoscopic score; the number of dogs in the  
254 different categories for static and dynamic collapse has been listed.  
255 All categories of severity and extension of SBC and DBC were  
256 represented, except for grade 3 type B SBC. With regard to DBC,

257 20 dogs were scored 3C, the most severe and extensive type of  
258 collapse.

259 On bronchoscopy, all dogs presented gross evidence of airway  
260 inflammation with hyperemia and mucus accumulation; 20 dogs  
261 presented bronchial nodules. BAL was performed in 45 dogs:  
262 cytology was normal in 7; in the remaining 38, analysis revealed  
263 neutrophilic (n=23), lymphocytic (n=1), eosinophilic (n=1), mixed  
264 neutrophilic-eosinophilic (n=12), and mixed neutrophilic-  
265 lymphocytic (n=1) inflammation. Intracellular bacteria were  
266 identified in 25 dogs. No parasitic larvae or ova were found in any  
267 of the samples examined. Culture of BAL fluid samples was not  
268 performed because of financial and logistic concerns. Fourteen  
269 dogs did not undergo BAL because of dramatic airway collapse  
270 and haemoglobin desaturation.

271 There were no significant associations detected for DBC by  
272 multivariate analysis (Table 3 and 4).

273 Results of the univariate analysis of the endoscopic scores  
274 revealed that the historical and clinicopathological data that met  
275 the criteria for the inclusion in the multivariate analysis were: age  
276 >10 years (CI 1.084-35.72;  $P = .04$ ) for type C extension of DBC;  
277 BCS 7-9 (CI 0.27-21.13;  $P = .16$ ) for type B extension of SBC;  
278 body weight between 10 and 20 kg (CI 1.36-infinite;  $P = .03$ ) for  
279 SBC grade 1 severity; cough  $\geq 6$  months duration (CI 1.32-16.48;

280  $P = .02$ ), smoking owners (CI 0.59-45.07;  $P = .18$ ) and  
281 radiographic bronchial pattern (CI 1.12-14.01;  $P = .04$ ) for DBC  
282 grade 3 severity. Multivariate analysis showed a correlation  
283 between DBC grade 3 severity and cough  $\geq 6$  months duration (CI  
284 4.5-37.7; OR = 11.35). The goodness of fit statistic (Hosmer-  
285 Lemeshow) suggested that the data adequately fit the final model  
286 ( $P = .24$ ).

## 287 Discussion

288 This study provides a systematic description of the concurrent  
289 presence of different types of BM, with or without TM, in a  
290 population of dogs with chronic cough, and explores the  
291 applicability of an endoscopic scoring scheme. The occurrence of  
292 BM alone or in association with TM has been described  
293 elsewhere.<sup>5,8,17,18</sup> As an isolated clinical entity, BM was reported in  
294 87.5% of dogs with brachycephalic airway syndrome,<sup>17</sup> in 100%  
295 and in 50% of dogs examined elsewhere,<sup>5,8</sup> and in 48% of the dogs  
296 in the present study, most of which of small-medium size. Sixty-  
297 seven percent of the dogs examined in a previous study were small  
298 breed dogs,<sup>5</sup> while medium and large breed dogs were common in  
299 another population.<sup>8</sup> Taken together, these data suggest that BM is  
300 a common, increasingly recognized clinical condition in canine  
301 breeds.

302 A substantial number of dogs in the present study (59%) were  
303 affected by DBC alone or in association with SBC (37%), with

304 most dogs presenting with concurrent DBC and TM (71%). Only  
305 two were diagnosed with SBC alone (3%). Factors contributing to  
306 the association between DBC and TM are not known, though  
307 similar histopathological alterations, such as atrophy of myoelastic  
308 elements and cartilage, causing dorsoventral flattening of the  
309 tracheal and bronchial wall along with dorsal tracheal membrane  
310 movements, can be hypothesized. In contrast, the lack of SBC in  
311 dogs with TM could suggest a different pathogenesis. In this  
312 regard, the static collapse could result from external compression  
313 or increased chronic expiratory efforts, as hypothesized  
314 elsewhere.<sup>17</sup>

315 In contrast to what observed in this study, and although clear data  
316 about the occurrence of DBC or SBC are largely unavailable, SBC  
317 has been more frequently reported than DBC in the canine BM  
318 population,<sup>8,18</sup> as well as in brachycephalic dogs.<sup>17</sup> This could  
319 simply reflect either differences among dog populations or support  
320 the hypothesis for the presence of two different clinicopathological  
321 entities that might or might not coexist. The presence of  
322 continuous forced exhalation in young brachycephalic dogs, in  
323 which immature airways are more easily compressed and  
324 deformed in comparison with those of adults, was hypothesized as  
325 the causative mechanism for developing the static type of  
326 bronchial collapse.<sup>17</sup> However, the presence of SBC also in older,  
327 non-brachycephalic dogs, as found in the present study population,

328 may reflect the existence of other pathogenetic mechanisms.  
329 Furthermore, it cannot be excluded that, over time, a dynamic type  
330 of bronchial collapse could evolve into a static type, thus  
331 representing an initially less severe form of airway narrowing.

332 In the present study, no predilection of BM for sex or age was  
333 observed. As described in previous studies,<sup>5,8</sup> the majority of the  
334 dogs were adult, elderly, overweight or obese, suggesting that a  
335 congenital component of BM may not exist or may clinically  
336 manifest over time in association with other comorbidities or  
337 symptoms such as severe chronic cough and that weight gain may  
338 be a contributing factor or a consequence of this condition, as  
339 hypothesized elsewhere.<sup>5</sup> In this regard, DBC in association with  
340 SBC was more often diagnosed in dogs weighing between 20 and  
341 30 kg; however, the BCS did not differ between the weight groups.

342 While tracheal collapse is relatively common in small-breed  
343 dogs,<sup>27</sup> no information is available about the association between  
344 BM and medium-large-breed dogs. It is possible that, as compared  
345 to smaller-size dogs, severe chronic cough eventually associated  
346 with underlying comorbidities or more severe alterations of the  
347 bronchial cartilage and myoelastic elements could have  
348 contributed to the development of BM in the larger dogs.

349 TM was more often found in the dogs living indoors. This  
350 association might have been influenced by the fact that small-  
351 breed dogs, like the majority of the dogs with TM in this study

352 population, and in which TM is relatively common,<sup>27</sup> are suited for  
353 indoor living. Unexpectedly, however, no significant association  
354 with chronic smoke exposure emerged. While in line with  
355 available data,<sup>28</sup> whether these results might have been related to  
356 less smoking among the dog owners over time is unknown and  
357 warrants further investigation.

358 Different abnormal radiographic patterns were identified in 68% of  
359 the dogs and a significant association emerged between the  
360 bronchial pattern and the presence of DBC+SBC. This could be  
361 explained by greater airway narrowing compared to that caused by  
362 the presence of DBC alone, thus leading to a more severe airway  
363 inflammation. In turn, the more severe airway inflammation might  
364 more likely lead radiographic infiltrates. Concurrent parenchymal  
365 pathologies cannot be excluded, however. In agreement with other  
366 reports,<sup>5</sup> different radiographic patterns were observed in the  
367 present study and the absence of radiographic changes did not  
368 exclude the presence of BM. Tracheal collapse was diagnosed in  
369 only 7% of the dogs and lower airway collapse in none, contrasting  
370 with other studies in which the radiographic identification of  
371 airway collapse was more accurate.<sup>5,8</sup>

372 A substantial percentage of dogs (54%) in the present study had  
373 concurrent heart disease, but no significant associations with any  
374 type of airway narrowing were found. A recent study failed to  
375 document a role of cardiomegaly in airway collapse.<sup>18</sup> In the dogs

376 examined here, the available VHS values were not greater than  
377 normal, thus supporting the hypothesis that factors other than  
378 cardiomegaly might have contributed to the airway collapse.

379 Interestingly, an association was found between pulmonary  
380 hypertension and DBC+SBC, suggesting that the presence of the  
381 two different types of bronchial collapse might represent a severe  
382 clinicopathological entity. It should be noted, however, that no  
383 attempt was made to identify the contribution of chronic  
384 obstructive pulmonary disease or hypoxia to the development of  
385 pulmonary hypertension, in addition to that caused by an  
386 underlying heart disease.

387 In this population of dogs, BM was found to more commonly  
388 involve the left-side bronchi, as observed in other studies.<sup>5,17,18</sup> For  
389 this particular distribution, besides thoracic conformation  
390 hypothesized for brachycephalic dogs,<sup>17</sup> other factors such as  
391 concurrent pulmonary disorders may have played a role. In  
392 addition, compared to observations of a previous study,<sup>8</sup> a larger  
393 number of dogs in this study presented with smaller airway  
394 collapse in the absence of lobar bronchial collapse (49.1%).  
395 Whether a reduction in thoracic volume because of obesity or  
396 hepatomegaly might have contributed to this condition, as  
397 elsewhere suggested,<sup>8</sup> merits further investigation.

398 It is known that long-standing inflammation may lead to nodular  
399 proliferation of fibrous tissue protruding into the airway.<sup>29</sup> In this

400 regard, an association was found here between nodularity at  
401 endoscopic examination and DBC+SBC, suggesting that the  
402 concurrent presence of the two different types of bronchial  
403 collapse might cause severe inflammation.

404 All dogs, regardless of the type and severity of airway narrowing,  
405 displayed bronchoscopic evidence of airway inflammation, and the  
406 majority showed neutrophilic inflammation, thus confirming the  
407 previously reported high percentage of inflammatory airway  
408 disease associated with BM.<sup>5,18</sup> No statistical differences among  
409 different types of airway narrowing and results of airway cytology  
410 were found. Intracellular bacteria were identified in 25 dogs, for  
411 which a lower respiratory tract infection was considered  
412 possible.<sup>30</sup> Similar to recent observations,<sup>5</sup> but in contrast with  
413 other studies,<sup>8,18</sup> our results suggests a potential association  
414 between infection and BM. However, because of the lack of BAL  
415 fluid culture, this link could not be clearly demonstrated and  
416 remains speculative at best.

417 As evidenced by the endoscopic score, most dogs appeared to be  
418 affected by DBC of much greater severity and extension than  
419 SBC. A possible explanation for this difference is that DBC may  
420 represent an initial form of BM, thus far more common than SBC.  
421 Furthermore, if we consider that many of the dogs here were of  
422 medium-large size, therefore with a larger bronchial tree  
423 potentially requiring higher compression forces to cause the same

424 grade of narrowing compared to the smaller dogs, the highly  
425 severe DBC found remains surprising. It is possible that serious,  
426 chronic cough, the chief complaint in all the dogs, played a  
427 significant causative role, perhaps in association with marked  
428 alterations of the bronchial tree, especially in the medium-large  
429 size dogs.

430 Significant associations were found between the endoscopic score  
431 and several clinicopathological variables, thus supporting further  
432 investigation of the scheme's applicability in larger canine  
433 populations.

434 This study has some limitations, primarily related to its  
435 retrospective design and the relatively small sample size,  
436 considering the different types of airway narrowing addressed. The  
437 increasing recognition of this syndrome<sup>5,8,17,18</sup> means that a very  
438 large study population would be needed to identify significant  
439 differences between dogs with different types of airway  
440 narrowing. Another limitation is the lack of information about  
441 histological diagnosis which could have shed light on the aetiology  
442 of the different forms of airway obstruction compared here.

443 Finally, further studies applying the endoscopic scoring scheme  
444 are needed to compare its use in populations of dogs of different  
445 size and age. This has practical implications since, in large-breed  
446 dogs, in which deeper segments of the bronchial tree can be

447 examined, BM might be erroneously classified as being more  
448 severe than in small-breed dogs.

449 The question remains as to whether the entity of cough and the  
450 presence of severe alterations of the bronchial tree could have  
451 influenced the severity of bronchial collapse and its progression  
452 from a dynamic to a static type, especially in the medium-large-  
453 breed dogs. In light of these considerations, the use of an  
454 endoscopic scoring scheme could facilitate a comprehensive  
455 approach to BM patients and eventually guide further therapeutic  
456 interventions.

457 Footnotes

458 <sup>a</sup>EG-270N5 Fujinon

459 <sup>b</sup>EB-270S Fujinon

460 <sup>c</sup>Canopus ADVC<sup>110</sup>

461 <sup>d</sup>Apple MacBook Pro-Core i7 processor 2.2 GHz 15.4-inch

462 <sup>e</sup>R, version 1.2.0, R Foundation for Statistical Computing, Vienna,  
463 Austria. Available at: [www.r-project.org](http://www.r-project.org). Accessed Feb 6, 2012

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552

553 **Table 1.** Historical and clinicopathological variables recorded and tested for their association with TM, in  
 554 addition to BM, dynamic bronchial collapse and dynamic + static bronchial collapse.

555

Historical and clinicopathological variables	Variables			
sex	male		female	
age	< 5	≥ 5 and ≤ 10	> 10	

(years)				
body weight (kg)	≤ 10	> 10 and ≤ 20	> 20 - ≤ 30	> 30
BCS  (1 - 9)	1 - 5	6 - 9	7 - 9	
lifestyle	indoor	outdoor	indoor/outdoor	
smoking owners	yes		no	
duration of cough  (months)	< 6		≥ 6	
radiographic pattern	normal	bronchial	bronchointerstitial	other
cardiologic examination	normal	abnormal		
pulmonary hypertension	yes		no	
BAL*	neutrophilic	eosinophilic	lymphocytic	mixed**
nodularity  (endoscopic examination)	yes		no	
TM***	yes		no	

557 \*reference values for normal BAL cell and differential count were 200-450 cells/ $\mu$ l comprising up to 5-7  $\pm$   
 558 5% neutrophils, lymphocytes, and eosinophils, and 65-85% macrophages<sup>27</sup>

559 \*\*type of mixed inflammation at cytologic analysis of BAL fluid: neutrophilic-eosinophilic; neutrophilic-  
 560 lymphocytic

561 \*\*\*TM endoscopically detected

562

563 **Table 2.** Severity and extension of static and dynamic bronchial collapse (endoscopic score) in 59 dogs

564 with bronchomalacia.

		<b>STATIC COLLAPSE</b>								
Endoscopic score		1A	1B	1C	2A	2B	2C	3A	3B	3C
Number of dogs		7	1	2	3	2	4	3	-	2
		<b>DYNAMIC COLLAPSE</b>								
Endoscopic score		1A	1B	1C	2A	2B	2C	3A	3B	3C
Number of dogs		10	5	1	1	5	9	2	4	20

565

566 The numbers (1, 2, 3) indicate the grade of severity of bronchial collapse; the letters (A, B, C) refer to the  
 567 extension of bronchial collapse.

568

569 **Table 3.** Results of univariate analysis of historical and clinicopathological variables tested for the  
 570 association with tracheomalacia (TM), dynamic (DBC) and dynamic+static bronchial collapse (DBC+SBC)  
 571 in 59 dogs with BM.

Historical and clinicopathological variables	TM (n=31) (95% OR <sup>CI</sup> ; P)	DBC (n=35) (95% OR <sup>CI</sup> ; P)	DBC + SBC (n=22) (95% OR <sup>CI</sup> ; P)
Sex	0.34-3.34; 1	0.24-2.55; 0.8	0.38-4.17; 0.7
Age <5 years	0.00-2.13; 0.1*	0.06-85.72; 1	0.013-16.98; 1
Age ≥ 5 years and ≤ 10 years	0.29-3.29; 1	0.13-1.60; 0.3	0.57-6.74; 0.3
Age > 10 years	0.48-5.09; 0.4	0.58-6.31; 0.3	0.16-1.81; 0.3
Body weight ≤ 10 kg	2.31-32.98; <0.01*	0.72-7.84; 0.1*	0.17-1.87; 0.4
Body weight > 10 and ≤ 20 kg	0.10-1.61; 0.2	0.64-12.70; 0.2	0.04-1.30; 0.1*
Body weight > 20 and ≤ 30 kg	0.002-0.90; 0.02*	0.001-0.65; 0.05*	1.02-71.02; 0.04*
BCS ≤ 1-5 vs ≥ 6-9	0.31-6.71; 0.7	0.43-9.49; 0.3	0.08-1.94; 0.3
BCS 7-9	0.43-4.37; 0.6	0.72-7.84; 0.1*	0.17-1.87; 0.4
Indoor	4.01-216.83; <0.01*	1.10-14.96; 0.02*	0.07-1.00; 0.04*
Outdoor	0.00-0.43; <0.01*	0.01-1.18; 0.05*	1.01-71.02; 0.04*
Indoor/outdoor	0.01-0.83; 0.01*	0.10-2.31; 0.3	0.31-6.96; 0.7
Smoking owners	0.26-2.63; 0.8	0.64-7.24; 0.2	0.17-2.00; 0.4
Duration of cough < 6 months vs ≥ 6	0.34-3.48; 1	0.15-1.62; 0.3	0.79-9.10; 0.1*

months			
Abnormal radiographic pattern	0.4-4.83; 0.6	0.94-17.8; 0.04*	0.03-0.91; 0.2
Radiographic bronchial pattern	0.16-1.79; 0.3	0.07-0.85; 0.01*	1.50-20.14; 0.01*
Radiographic bronchointerstitial pattern	0.38-7.15; 0.5	0.27-5.08; 1	0.23-4.42; 1
Abnormal cardiologic examination	0.33-21.98; 0.4	0.45-2.97; 0.3	0.81-6.22; 0.3
Pulmonary hypertension	0.51-254.15; 0.2	0.002-1.14; 0.03*	1.02-512.75; 0.02*
Neutrophilic inflammation (BAL)	0.13-1.56; 0.1*	0.29-3.33; 1	0.26-3.17; 0.8
Neutrophilic-eosinophilic inflammation (BAL)	0.12-2.49; 0.5	0.33-7.64; 0.7	0.15-3.57; 1
Nodularity	0.18-2.13; 0.4	0.11-1.41; 0.1*	0.64-7.84; 0.1*
Endoscopically detectable TM	-	0.85-9.50; 0.3	0.13-1.56; 0.5

572

573 \*Significant association

574 BAL=bronchoalveolar lavage

575 BCS=body condition score

576 OR<sup>CI</sup>= odds ratio 95% confidence intervals

577

578 **Table 4.** Results of multivariate analysis of historical and clinicopathological variables tested for the  
 579 association with tracheomalacia (TM), dynamic (DBC) and dynamic+static bronchial collapse (DBC+SBC)  
 580 in 59 dogs with BM.

581

Study population	Historical and clinicopathological variables	OR; (95% CI)
DBC+SBC*  <i>P</i> for Hosmer-Lemeshow  goodness of fit statistic = .44	Body weight > 20 - ≤ 30 kg	8.4; (1.4-74.3)
	Radiographic bronchial pattern	7.0; (1.9-30.6)
	Pulmonary hypertension	25.7; (3.0-579.9)
	Nodularity	3.4; (1.5-18.4)
TM**  <i>P</i> for Hosmer-Lemeshow  goodness of fit statistic = .53	Indoor lifestyle	22.7; (4.8-176.4)

582

583 CI= 95% confidence intervals

584 OR=odds ratio

585 \* variables removed in backward selection for DBC+SBC group: Body weight > 10 and ≤ 20 kg; Indoor  
 586 lifestyle; Outdoor lifestyle; Duration of cough < 6 months vs ≥ 6 months.

587 \*\* variables removed in backward selection for TM group: Age <5 years; Body weight  $\leq$  10 kg; Body  
588 weight > 20 and  $\leq$  30 kg; Outdoor lifestyle; Indoor/outdoor lifestyle; Neutrophilic inflammation (BAL).

589

590

591