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**Moving from histological subtyping to molecular characterization: new treatment opportunities in advanced non-small-cell lung cancer**

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**Moving from histological subtyping to molecular characterization: new treatment opportunities in advanced non-small cell lung cancer**

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TABLE A. Generations of EGFR Kinase Inhibitors for the treatment of NSCLC

Generations	Name or acronym	Stage of development	Therapeutic target
First Generations	Gefitinib	Approved	EGFR
	Erlotinib	Approved	EGFR
	Lapatinib	Not approved	EGFR,HER2
	Canertinib	Not approved	
Second Generations	XL647	Phase II data	EGFR,HER2,VEGFR2,EphB4
	BMS-690514	Phase II data	EGFR,HER2,HER4,VEGFR1-4
	Afatinib	Approved	EGFR,HER2,HER3,HER4
	Icotinib	Approved (China only)	EGFR
	Neratinib	Not approved	EGFR,HER2,HER3,HER4
	Dacomitinib	Phase III data	
Third Generations	WZ4002	Preclinical data	EGFR mutant-selective
	CO-1686	Phase I/II data	EGFR mutant-selective
	AP26113	Phase I data	EGFR mutant-selective, ALK inhibitor
	TAS-2913	Preclinical data	EGFR mutant-selective
	AZD9291	Phase I/II data	EGFR mutant-selective
	Z650	Preclinical data	EGFR mutant-selective

*EGFR*: epidermal growth factor receptor; *ALK*: anaplastic lymphoma kinase; *HER2*: human epidermal growth factor receptor 2; *HER3*: human epidermal growth factor receptor 3; *HER4*: human epidermal growth factor receptor 4; *VEGFR2*: vascular endothelial growth factor receptor 2; *VEGFR1-4*: vascular endothelial growth factor receptor 1 to 4

TABLE B. ALK inhibitors in development for NSCLC

Name or acronym	Therapeutic target	Other ALK mutations target	Stage of development
Crizotinib	ALK, ROS1, MET		Approved
Ceritinib (LDK378)	ALK, ROS1		Approved (only in USA)
Alectinib (CH5424802)	ALK, GAK, LTK	L1196M, F1174C, R1275Q, C1156Y	Phase III
AP26113	ALK, EGFR	L1196M, F1174C, I1171T, F1245C, E1210K, S1206R, G1269S	Phase II
X-376	ALK, MET		Preclinical
X-396	ALK, MET	L1196M, C1156Y	Phase I
X-276	ALK		Preclinical
ASP3026	ALK, ROS1, MET	L1196M	Phase I
CEP-37440	ALK		Phase I
NMS-E628	ALK, IGF-1R, AURORA B	L1196M, C1156Y	Preclinical
TSR-011	ALK, TRKA, TRKB, TRKC		Phase I/IIa
PF-06463922	ALK, ROS1		Phase I/II

ALK: anaplastic lymphoma kinase; EGFR: epidermal growth factor receptor; ROS1: c-ros oncogene 1 GAK: cyclin G-associated kinase; MET: hepatocyte growth factor receptor; IGF-1R: insulin-like growth factor 1 receptor; AURK B: Aurora B kinase; TRK: tropomyosin-related kinases.

TABLE C. Potential treatment related adverse events with immune checkpoint inhibitors

[137,138,141,144,160,161,162,163,164,166,167]

TYPES	FREQUENCY	ADVERSE EVENTS
All types and grades	40-85%	
Grade 3 or 4	3-20%	
Gastrointestinal events	9-32%	Diarrhea, nausea, abdominal pain, colitis
Infusion-related reactions	3-10%	
Endocrine disorders	2-8%	Hypothyroidism, hyperthyroidism, adrenal insufficiency, hypophysitis
Pulmonary	3-6%	Pneumonitis, pulmonary edema
Hepatic/Pancreatic		Hepatitis, hyperglycemia, increased liver function enzymes and lipase levels
Skin disorders	6%	Dermatitis acneiform
Ocular		Uveitis, pruritus
Adverse event-related deaths	0-1,5%	

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5 **Moving from histological subtyping to molecular characterization: new treatment opportunities**  
6 **in advanced non-small cell lung cancer**  
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## Summary

In last ten years the systemic treatment of advanced non-small cell lung cancer (NSCLC) progressively moved away from the “one fits all” approach to histological subtyping and currently there is a progressive implementation of targeted therapies based on specific molecular characteristics such as the epidermal growth factor receptor (EGFR) sensitizing mutations and the anaplastic lymphoma kinase (ALK) rearrangements. Despite the availability of effective agents against these abnormalities, acquired resistance is still a major issue. A new generation of tyrosine kinase inhibitors (TKIs) for EGFR and ALK targeting acquired resistance mechanisms have been recently investigated. Several promising TKIs that hit other targets are also in clinical development including RAS/MEK, BRAF1, PIK3A, c-MET, ROS1, RET, HER2, FGFR, VEGFR, PDGFR, DDR2. Furthermore, new advances in immunology have been achieved through the discovery of vaccines and immune checkpoint pathways such as the cytotoxic T-lymphocyte-associated antigen-4 (CTLA-4), programmed cell death protein-1 (PD-1) and its ligands.

**Keywords:** Non-small cell lung cancer, tyrosine kinase inhibitors, immunotherapy, vaccines, monoclonal antibodies

## Introduction

In the last 10 years the systemic treatment of Non-Small Cell Lung Cancer (NSCLC) has undergone relevant changes leading to treatment customization based on histological findings and specific genomic abnormalities, some of them have been fully integrated in the therapeutic guidelines such as the Epidermal Growth Factor Receptor (EGFR) sensitizing mutations and anaplastic lymphoma kinase (ALK) rearrangements. A whole spectrum of genomic alterations (point mutations, **translocations**, gene amplifications) have been detected in adenocarcinoma [1,2,3,4,5], and more recently, in other types of lung cancer. This extensive amount of work led to the development of platforms for molecular genetics [6,7] and to initiate studies aimed to test, in the clinical setting, the role of specific targeted therapies. **Similarly to** that already reported for other solid tumors, lung cancer cells contain multiple genetic and epigenetic abnormalities but despite this complexity, their growth and survival can often be impaired by genetic changes of a single oncogene. This phenomenon, called “oncogene addiction,” provides a rationale for molecular targeted therapy [8]. One of the common characteristics of these oncogene “drivers” is the their low frequency that transforms an extremely common disease such as NSCLC in a wide array of distinct, rare entities. Because of such limitations broad tumor profiling would enable centers to participate in multiple nested clinical trials and facilitate patient enrollment in such trials. However, it should be stressed that the detected genomic changes beyond EGFR mutations and ALK rearrangements may be considered clinically experimental at the present time. Secondly, although genomic testing is widely endorsed, the clinical utility of routine multiple genetic testing remains unclear [9]. NSCLC with EGFR sensitizing mutations are highly responsive to therapy with EGFR tyrosine kinase inhibitors (TKIs) [10,11,12] and those with ALK rearrangements to treatment with the ALK inhibitors [13]. Unfortunately, among Caucasians no more than 10-15% of patients with NSCLC, mainly with adenocarcinoma, are candidates for treatment with specific targeted agents. In



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3 addition, not all patients with a specific genotype are responsive to specific targeted therapy, being  
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5 the response rate in the range of 60-70%, and for those that do benefit, acquired resistance is  
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7 expected approximately within one year. A new generation of EGFR and ALK kinase inhibitors that  
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9 is currently under investigation aims to overcome acquired resistance to existing agents.

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11 Recent advances in the understanding of immunology and antitumor immune responses have led  
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13 to the development of new drugs that are now being tested also in lung cancer. These new  
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15 strategies include vaccines and monoclonal antibodies that inhibit immune checkpoint pathways  
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17 and associated with unconventional toxicity and response.  
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#### 24 **Epidermal Growth Factor Receptor Tyrosine Kinase inhibitors**

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28 Activating mutations in the EGFR are present from 10% to 15% of patients of European descent  
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30 and approximately 30% of patients of East Asian descent [14]. Currently there are clinical data  
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32 available for three different generations of EGFR-TKIs (Table A)  
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35 **Gefitinib** and **erlotinib**, two first-generation reversible EGFR TKIs inhibitors, in first-line treatment  
36  
37 of EGFR mutated NSCLC have demonstrated superior outcomes when compared to standard  
38  
39 chemotherapy, in terms of higher response rates (RR), longer median progression-free survival  
40  
41 (PFS), less toxicity and better quality of life [2,3,15,16,17,18]. Overall survival (OS) ranges from 21.6  
42  
43 to 30.5 months and is highly influenced by massive crossover rates, suggesting that these agents  
44  
45 are effective independently from the line of therapy. Unfortunately, all patients treated with EGFR-  
46  
47 TKI develop acquired resistance [19,20]. The commonest mechanism associated with acquired  
48  
49 resistance is the threonine-790–methionine (T790M) gatekeeper point mutation detected in  
50  
51 approximately in 50% of the cases at the time of the clinical progression. The incidence of T790  
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53 mutation at baseline is reported to be in the range of 5% but this is still a matter of extensive  
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55 investigation and one study demonstrated that positive patients had a PFS of approximately 3  
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3 months [21]. Other mechanisms include c-MET amplification (15%–20% of cases), in-frame  
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5 duplications and/or insertion in exon 20 (5% of the cases) of the EGFR gene, PIK3CA mutations  
6  
7 (5%), HER-2 amplification and unknown mechanisms (25%–30% of the cases) [22,23,24].  
8  
9 Occasionally (3%) histological switch to small cell lung cancer has been demonstrated [12].

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12 Despite early encouraging phase I data, **canertinib** (CI-1033) and **neratinib** (HKI-272), two pan-HER  
13  
14 inhibitors, did not show significant clinical activity in NSCLC [25,26].

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16  
17 In a Chinese study **icotinib**, another reversible EGFR-TKI, was compared to gefitinib in the  
18  
19 second/third-line setting and no significant difference in terms of clinical outcome was observed  
20  
21 [27].  
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24 **Afatinib** (BIBW2992) is an irreversible EGFR inhibitor, targeting also HER2 and HER4, with potent  
25  
26 activity against NSCLC harboring activating EGFR mutations and/or the gatekeeper mutation  
27  
28 T790M. The phase III trial LUX-Lung 3 established the superiority of afatinib **versus** cisplatin plus  
29  
30 pemetrexed as first-line treatment for patients with advanced adenocarcinoma harboring EGFR-  
31  
32 activating mutations in terms of median PFS (11.1 **versus** 6.9 months, **hazard ratio [HR]** 0.58;  
33  
34 p=0.0004) and overall response rate (ORR, 56% **versus** 23%, p=0.001)[28]. Afatinib showed also  
35  
36 activity in patients previously treated with erlotinib or gefitinib (LUX-Lung 1 and LUX-Lung 4 trials)  
37  
38 [29,30]. A phase II trial of afatinib **versus** gefitinib as first-line treatment (LUX-lung 7,  
39  
40 NCT01466660) is ongoing in patients with EGFR activating mutations. In an exploratory combined  
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42 analysis of LUX-Lung 3 and LUX-Lung a benefit of 10 months in OS favoring afatinib was  
43  
44 documented in EGFR Del19 patients while no significant difference was observed in patients with  
45  
46 L858R mutations, individually or in exploratory combined analysis [31].  
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52 In a randomized phase II study **dacomitinib** (PF-00299804), another irreversible EGFR-TKI, was  
53  
54 compared with erlotinib and PFS was improved (2.86 **versus** 1.91 months) but not in the EGFR-  
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56 mutant subset (7.44 months in both arms) [32] without reporting significant differences in the  
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3 toxicity profile. A phase III study comparing the efficacy and safety of dacomitinib to gefitinib is  
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5 currently enrolling patients with EGFR-activating mutations NSCLC having as primary end point  
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7 PFS; results are awaited in 2015. Two negative phase III studies investigating the role of this agent  
8  
9 have been recently presented [33,34]. The ARCHER 1009 trial included patients previously treated  
10  
11 with chemotherapy (second/third line) and it did not meet its primary end point of demonstrating  
12  
13 statistically significant improvement in PFS when compared with erlotinib. In the second study  
14  
15 dacomitinib was compared to placebo (NCIC CTG BR.26) in patients with advanced NSCLC  
16  
17 previously treated with both chemotherapy and an EGFR TKI and it failed to improve overall  
18  
19 survival (OS).  
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24 **CO-1686** (AVL-301, CNX-419) and **AZD9291** are oral covalent, third-generation EGFR-TKIs that  
25  
26 target both the activating EGFR mutations and the T790M resistance mutation, while sparing the  
27  
28 wild-type EGFR. These agents have the potential either as first line agents in patients with both  
29  
30 sensitizing mutations and T790 mutation at baseline or as second line/third line agents in patients  
31  
32 with acquired resistance to first- and second generation EGFR TKI.  
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36 An ongoing phase I/II trial of CO-1686 in previously EGFR mutant NSCLC patients with documented  
37  
38 progression following a first-line EGFR inhibitor, such as erlotinib, gefitinib, neratinib, afatinib, or  
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40 dacomitinib, and the expansion cohort indicated the recommended phase II dose at 750 mg twice  
41  
42 daily. Available data indicate an ORR of 64% in patients with T790M mutation and it remains above  
43  
44 50% even when patients with T790M-unknown status are included. Median PFS in T790M+  
45  
46 patients is exceeding 6 months [35]. Main adverse events (AEs) of all grades include nausea (25%),  
47  
48 fatigue (21%), impaired glucose tolerance/hyperglycemia (21%). Dose-related WT-driven diarrhea  
49  
50 and rash has not been observed. An extensive phase 2/3 program called TIGER (Third-Generation  
51  
52 Inhibitor of mutant EGFR in lung cancer) has been planned. The phase II/III study TIGER I will  
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54 compare CO-1686 *versus* erlotinib in first-line mutant EGFR patients not screened for T790M  
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3 status. TIGER 2 is a phase II single arm study that will evaluate CO-1686 as a second line treatment  
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5 in mutant EGFR NSCLC, T790M+ after progression from first-line with other EGFR-TKIs and TIGER 3  
6  
7 will randomized patients with EGFR mutant NSCLC to receive CO-1686 or chemotherapy in  
8  
9 T790M+, after progression from first-line with EGFR-TKi [36].  
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11  
12 AZ9291 is another third generation EGFR-TKI with activity on the same targets of CO-1686 and a  
13  
14 large phase I open label study is ongoing. Preliminary data indicate clinical activity at all doses  
15  
16 studied so far and a good tolerability [37,38]. The overall disease control rate in T790M+ patients  
17  
18 is 96% (85/89) including responses in patients with brain metastases while an inferior activity was  
19  
20 noted in T790 - tumors. To date the longest duration of response is >8 months and no dose limiting  
21  
22 toxicities were observed. Grade 3/4 AEs occurred in 16% of patients. [39,40].  
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27 Data support the existence of a cross-link between **Vascular Endothelial Growth Factor Receptor**  
28  
29 **(VEGFR)** and EGFR pathway. VEGF signaling is up-regulated by EGFR expression [41,42,43] and,  
30  
31 conversely, VEGF up-regulation independent of EGFR signaling seems to contribute to resistance to  
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33 EGFR inhibition [44]. Therefore, inhibition of both pathways could improve antitumor efficacy and  
34  
35 overcome resistance to EGFR inhibition. **XL647** is an oral multiple receptor tyrosine kinase  
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37 inhibitor, including EGFR, VEGFR2, HER2 and Ephrin type-B receptor 4 (EphB4) tested in an phase II  
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39 study in patients with adenocarcinoma and EGFR sensitizing mutations or patients of Asian  
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41 ethnicity and/or female, and/or minimal or no smoking history. The ORR was of 19,6% and in 14  
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43 EGFR mutated patients the PFS was 9.3 months [45]. In a second study in patients with acquired  
44  
45 resistance to EGFR TKI, the ORR was only 3% and none of the 12 patients with a known T790M  
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47 mutation responded [46]. Tissue analysis was performed, at the time of progression, in patients  
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49 with EGFR-mutant adenocarcinoma treated first-line with XL647 and molecular analyses indicate  
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51 that this agent does not necessarily select for T790M resistant clones and this finding allows the  
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53 sequential use of EGFR TKIs such as erlotinib [47].  
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3 **BMS-690514** is a potent, reversible oral inhibitor of EGFR/HER-1, HER-2 and -4, and VEGFRs-1 to -3.  
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5 In a phase II study in advanced NSCLC, erlotinib-naïve and resistant patients were treated. Disease  
6 control (for at least 4 months) and ORR were 43.3% and 3.3% in erlotinib-naive and 22.6% and 3.2%  
7 in erlotinib-resistant patients, respectively. Six of 21 (29%) NSCLC patients with wild-type EGFR  
8 achieved disease control **versus** seven of 10 (70%) patients with EGFR mutations (including T790M  
9 positive tumors) [48]. An international randomized phase II trial comparing BMS-690514 with  
10 erlotinib in patients with advanced NSCLC that have progressed on platinum therapy has been also  
11 completed (NCT00743938), but results are not yet available.  
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21 **AP26113** is a reversible, dual EGFR/ALK inhibitor with preferential activity against mutant EGFR  
22 **versus** wild type. In a phase I/II study AP26113 showed activity in EGFR mutated patients  
23 progressed to prior EGFR-TKI [49] and the phase II study is ongoing and includes a cohort of  
24 patients with T790M (NCT01449461).  
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### 33 **Anaplastic Lymphoma Kinase (ALK) inhibitors**

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35 The EML4-ALK fusion gene is detected in 4%–6% of the adenocarcinomas of the lung [50], is  
36 oncogenic, and can be suppressed by specific ALK-inhibitors. EML4-ALK positive NSCLC patients  
37 share many of the clinical features of patients harboring EGFR mutations but, almost invariably,  
38 EML4-ALK and EGFR mutations are mutually exclusive [51]  
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45 **Crizotinib** is a MET inhibitor that also has activity on ALK and c-ROS1 oncogene (ROS1) pathways.  
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47 In a phase I/II study (PROFILE 1001) in ALK-translocated patients crizotinib induced tumor  
48 shrinkage in 61% of patients and the median PFS was 10 months [52]. These data were confirmed  
49 by a large multicenter second-line study (PROFILE 1005) in the same patient population with ORR  
50 of 51% [53]. In both trials, the majority of responses were observed during the first 8 weeks of  
51 treatment and median duration of responses was 48.1 and 41.9 weeks, respectively. In a phase III  
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3 second line study, crizotinib confirmed superior activity *versus* pemetrexed or docetaxel with a  
4 statistically significant increase of PFS (7.7 *versus* 3.0 months, respectively; HR 0.49, p=0.0001) and  
5 higher ORR (65% *versus* 20%, p=0.0001). No difference in OS was observed between the treatment  
6 arms [54]. The PROFILE 1014 trial investigated crizotinib *versus* cisplatin or carboplatin plus  
7 pemetrexed as first-line treatment in untreated ALK-positive non-squamous NSCLC patients [55].  
8 Crizotinib significantly prolonged PFS, the primary end point of the study, (median 10.9 *versus* 7.0  
9 months; HR: 0.454, p<0.0001) and also ORR favored crizotinib (74% *versus* 45%; p<0.0001) with an  
10 acceptable safety profile [56].

11  
12 Similarly to EGFR-TKI, mechanisms of acquired resistance to crizotinib are multiple, including ALK  
13 mutations or gene amplification documented in approximately one-third of patients at  
14 progression. L1196M (gatekeeper mutation) and C1156Y are two non-overlapping mutations in the  
15 ALK tyrosine kinase domain and each of them independently confers *in vitro* resistance to  
16 crizotinib. Other resistance mutations in the ALK kinase domain are G1269A, 1151Tins, L1152R,  
17 G1202R, and S1206Y [57]. Additional resistance mechanisms involves activation of alternative  
18 signaling pathways that can bypass ALK as, for instance, up-regulation of EGFR, cKIT gene  
19 amplification, activating mutations in EGFR and KRAS [58,59].

20  
21 Following treatment with crizotinib, relapses are most commonly reported in central nervous  
22 system (CNS). A study assessed the sites progression in EGFR mutant or ALK translocated tumors  
23 and CNS was the first site of disease progression in 13 of 28 ALK-positive patients (46%) treated  
24 with crizotinib [60]. The low level of crizotinib in the cerebrospinal fluid suggests poor drug  
25 penetration into the CNS, probably related to pharmacokinetic issues [61]. The development of the  
26 second-generation ALK inhibitors could overcome this problem as these drugs already  
27 demonstrated activity against CNS metastases.

28 Ceritinib (LDK378), a highly potent and selective, second generation ALK inhibitor, in a phase I  
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3 study (ASCEND-1 trial) in ALK-positive patients induced objective responses in 58% of the cases  
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5 (57% in crizotinib-resistant, 60% in crizotinib naive patients) with median PFS and duration of  
6  
7 response (DOR) of 8.6 and 8.2 months, respectively [62]. Treatment was reasonably tolerated and  
8  
9 the most common adverse events included diarrhea (84%), nausea (77%), vomiting (57%), fatigue  
10  
11 (36%), and ALT increased (36%). [63]. Phase II and III studies are currently ongoing either in  
12  
13 crizotinib-resistant and in crizotinib-naive patients. Recently based on phase I data reported above  
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15 ceritinib granted approved by FDA for crizotinib resistant patients.  
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19 **Alectinib (CH5424802)** is another potent selective orally available ALK inhibitor with activity  
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21 against L1196M gatekeeper mutation and other mutations such as F1174L and R1275Q [64]. In a  
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23 phase I/II study, alectinib was investigated in ALK-positive, crizotinib-pretreated patients. ORR was  
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25 93% and tolerability was good [65]. Another phase I trial enrolled 31 evaluable patients reporting  
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27 an ORR of 48% and disease stabilization in 34% of the patients. [66]. At baseline sixteen patients  
28  
29 had CNS disease, either parenchymal or leptomeningeal disease, and responses were reported  
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31 after 3-6 weeks of treatment [67]. A phase II study is currently ongoing. A randomized phase III  
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33 trial will compare alectinib *versus* crizotinib in treatment-naïve ALK-positive advanced NSCLC  
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35 patients.  
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40 **AP26113** is active against L1196M “gatekeeper” mutation and other resistance mutations that  
41  
42 have been observed clinically in patients who initially responded to crizotinib and then relapsed.  
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44 This agent is also active against ROS1 and, to a lesser extent, mutant EGFR with T790M mutation.  
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46 An ongoing phase I/II study showed an ORR of 63% (75% in crizotinib-resistant patients) with  
47  
48 significant clinical activity against brain metastases [68]. The most common adverse events were  
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50 nausea (38%), diarrhea (31%), fatigue (31%), cough (23%), and headache (20%), which were  
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52 generally grade 1/2 in severity. Early onset of signs of potential pulmonary toxicity were in 13% of  
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54 patients treated at 180 mg QD but not reported at lower doses [69].  
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3 **X-376** and **X-396** are two potent ALK and, to a lesser extent, MET inhibitors. These agents have **in**  
4 **vivo** potent antitumor activity with favorable toxicity profiles [70]. A phase I trial with X-396 is  
5 ongoing and the drug is generally well tolerated at doses up to 250 mg daily. The most common  
6 adverse events observed are rash (36%, G1-G3), fatigue (30%, G1-G2), nausea (27%, G1), vomiting  
7 (27%, G1) and edema (20%, G1-G2). Grade3/4 treatment related AEs were rash and edema.  
8 Efficacy data are still preliminary but activity in ALK positive tumors was seen in crizotinib naïve  
9 and pretreated patients including responses in brain metastases [71].

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15 **ASP3026** is a dual ALK/MET inhibitor acting through an ATP-competitive mechanism with  
16 significant activity against resistant cells to crizotinib with L1196M mutation. In a mouse model,  
17 ASP3026 enhanced the antitumor activities of paclitaxel and pemetrexed producing tumor  
18 shrinkage and prolonging survival [72]. A phase I study (NCT01401504) is ongoing in patients with  
19 relapsed/refractory solid tumor including NSCLC and in patients with clinical progression after prior  
20 exposure to crizotinib, the ORR rate was 44% with a toxicity profile similar to other agents of the  
21 same class [73]. A summary of ALK inhibitors currently in development for NSCLC is provided in  
22 Table B.  
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#### 41 **Heat-Shock Protein 90 (HSP90) inhibitors**

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43 **HSP90 inhibitors** induce growth inhibition and tumor regression in NSCLC cell lines and xenograft  
44 models, both as monotherapy and in combination with other agents [74,75] and showed efficacy  
45 in EGFR-mutated and ALK-rearranged NSCLC [76]. Collectively, phase II studies with **IPI-504** [77],  
46 **AUY922** [78] and **ganetespib** [79] indicated a clinically meaningful level of activity in ALK  
47 rearranged tumors, including patients with acquired resistance to crizotinib [80].  
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54  
55 Several combination studies are currently ongoing and investigate crizotinib with ganetespib ,  
56 crizotinib with **AT13387**, and LDK378 in combination with **AUY922**.  
57  
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59  
60



### RAS (rat sarcoma gene)/MAPK (mitogen-activated protein kinase) pathway

Activating mutations in the KRAS gene, usually missense mutations, with an aminoacid substitution at position 12, 13 or 61, are documented in about 25-30% of lung adenocarcinoma and lead to the constitutive activation of downstream kinases including PI3K/AKT/mTOR and RAS/RAF/MEK/MAPK [81]. To date, despite several therapeutic attempts there are no selective drug targeting KRAS. New treatment possibilities arise from the promising activity of multi-kinase inhibitors that target downstream RAS effectors in both the MAPK and PI3K pathways. **Sorafenib** targets RAF, VEGFR1-3, PDGFR-beta, cKIT, FLT-3 and RET; in NSCLC this agent already failed as monotherapy [82] and in combination with platinum-based chemotherapy [83,84] but additional findings reported from the BATTLE-1 trial suggested that patients with EGFR-wild-type and KRAS mutation or KRAS/BRAF mutation may derive benefit from sorafenib [85].

MEK mutations are documented around 1% of all NSCLC and MEK1 and MEK2 mutations have been identified in chemotherapy-resistant tumors. **Selumetinib** (AZD6244), an oral MEK inhibitor, has shown synergistic activity with docetaxel in preclinical models. Recently a randomized phase II trial investigated docetaxel with or without selumetinib in KRAS-mutant NSCLC patients. The combination arm resulted in a statistically non-significant prolongation of OS with an impressive ORR of 37% (*versus* 0% in the docetaxel alone group,  $p < 0.0001$ ) and a significant increase of PFS (median 5.3 *versus* 2.1 months,  $p=0.014$ ). Adverse events of grade 3 or higher were increased in the combination arm in terms of neutropenia (67% *versus* 55%), neutropenic fever (18% *versus* none) and asthenia (9% *versus* none) [86]. However, it should be noted that the median survival time in docetaxel arm was inferior compared with other studies that tested the same agent in unselected patient populations. Secondly, the experimental arm had an unbalance in terms of positive prognostic factors. The ongoing phase III trial will prove whether selumetinib added to

1  
2  
3 docetaxel improves survival in patients with advanced KRAS-mutant NSCLC. Currently, a phase I  
4  
5 study is evaluating selumetinib in combination with standard first-line platinum-containing doublet  
6  
7 chemotherapy.  
8

9  
10 **Trametinib** is another MEK inhibitor that has been also compared with docetaxel in KRAS-mutant  
11  
12 NSCLC. No significant difference in median ORR and PFS were observed [87]. Two studies  
13  
14 investigated trametinib in combination with chemotherapy, one in combination with docetaxel  
15  
16 (NCT01192165) and another with pemetrexed [88]. In the latter the ORR was 17% for the  
17  
18 combination, with 48% of patients achieving stable disease (SD). The ORR was much higher in  
19  
20 patients with the G12C KRAS mutation compared to all other mutations (40 **versus** 20%).  
21  
22  
23  
24  
25

### 26 **BRAF (v-raf murine sarcoma viral oncogene homolog B1) inhibitors**

27  
28 Somatic mutations in BRAF are detected in 1-2% of NSCLC, particularly in adenocarcinoma and  
29  
30 former/current smokers. The most common is the point mutation V600E but, in lung cancer, BRAF  
31  
32 mutations within the kinase domain also occur at different positions with potential therapeutic  
33  
34 differences between lung cancer and melanoma in terms of response to selective BRAF inhibitors.  
35  
36

37  
38 **Vemurafenib** and **dabrafenib** are two targeted agents currently being investigated in BRAF-mutant  
39  
40 lung adenocarcinomas. A phase II trial of dabrafenib in pre-treated NSCLC with a V600E BRAF  
41  
42 mutation is ongoing (NCT01336634) and preliminary results indicate an ORR of 54% with the  
43  
44 longest duration of response of 49 weeks. [89]. Molecular analyses indicated, in addition to the  
45  
46 known mutations, three new mutations including an activating mutation in KRAS, upstream of  
47  
48 BRAF. Therefore, acquired KRAS activation may be a mechanism of resistance to BRAF inhibitors  
49  
50 [90]. Preclinical data indicate MEK inhibition as a viable strategy in BRAF-mutated NSCLC [91].  
51  
52  
53  
54 Trials with several MEK inhibitors are ongoing in BRAF mutated tumors. A pooled shRNA-drug  
55  
56 screen strategy identified genes that, when inhibited, cooperate with MEK inhibitors to effectively  
57  
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1  
2  
3 inhibit KRAS mutant cancer cells. The anti-apoptotic BH3 family gene BCL-XL emerged as a top hit.  
4  
5 ABT-263 (navitoclax), a inhibitor that blocks the ability of BCL-XL to bind and inhibit pro-apoptotic  
6  
7 proteins, in combination with a MEK inhibitor led to dramatic apoptosis in many KRAS mutant cell  
8  
9 lines. This combination also caused marked *in vivo* tumor regressions in KRAS mutant xenografts  
10  
11 and in a genetically engineered KRAS-driven lung cancer mouse model, supporting the potential of  
12  
13 this combination as a new therapeutic approach for KRAS mutant cancers [92].  
14  
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### 19 **PIK3CA (phosphatidylinositol-4,5-bisphosphate3-kinase, catalytic subunit alpha) inhibitors**

20  
21 PIK3CA mutations are more frequently detected in squamous NSCLC, as well as AKT and PTEN  
22  
23 mutations, [93] and they have been also associated with acquired EGFR TKI resistance. AKT1 is the  
24  
25 signaling partner of PI3K and PTEN is its negative regulator. The first PI3K inhibitor rapamycin did  
26  
27 not show activity in NSCLC. Currently a new generation of PI3K/mTOR inhibitors is being  
28  
29 investigated with promising data in KRAS-mutant human NSCLC xenografts [94].  
30  
31  
32

33  
34 **Buparlisib** (BKM-120) reduces AKT activation without inducing mTOR inhibition. Trials combining  
35  
36 buparlisib with chemotherapy in squamous NSCLC and a phase II study in patients with NSCLC that  
37  
38 harbor an activating PI3K mutation are ongoing.  
39

40  
41 **Pictilisib** (GDC-0941) is another the PI3K inhibitor and preliminary data from an ongoing study in  
42  
43 combination with carboplatin, paclitaxel and optional bevacizumab showed an ORR of 44% [95].  
44  
45 Other PI3K inhibitors have been evaluated in phase I studies (**XL765**, **PX-866**) [96].  
46  
47  
48

### 49 **c-MET (c-mesenchymal-epithelial transition) inhibitors**

50  
51 Physiologic MET activation facilitates cell processes for embryonic development, wound healing,  
52  
53 and tissue regeneration. A gene located on chromosome 7 encodes for the MET tyrosine kinase  
54  
55 receptor that binds the hepatocyte growth factor (HGF or scatter factor). Both tumor and stromal  
56  
57  
58  
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1  
2  
3 cells have been identified as potential sources of HGF. In vitro studies have demonstrated that  
4  
5 fibroblast-dependent carcinoma cell growth and invasion is inhibited by anti-HGF antibodies,  
6  
7 highlighting the relevance of stroma-derived HGF in tumor maintenance and progression. HGF can  
8  
9 act either as a paracrine factor, causing positive feedback leading to c-MET transcription or by an  
10  
11 autocrine mechanism [97].  
12  
13

14  
15 *MET* protein is overexpressed in approximately 25% to 75% of early stage NSCLC and gene  
16  
17 amplification and overexpression have been associated with poor prognosis [98,99,100]. *MET* gene  
18  
19 amplification occurs in approximately 4% to 7% of untreated NSCLC [101,102], therefore rarely  
20  
21 underlying primary resistance to EGFR tyrosine kinase inhibitors while it also occurs more  
22  
23 frequently in approximately in 20% of patients previously treated with EGFR TKIs [101]. Elevated  
24  
25 serum level of HGF has been associated with more aggressive biology, poor prognosis in both  
26  
27 NSCLC and small-cell lung cancer [103,104] and with intrinsic and acquired resistance to EGFR TKI  
28  
29 [105,106]. Three strategies have been developed to antagonize MET including anti-HGF  
30  
31 monoclonal antibodies (mAbs) such as ficlatuzumab, rilotumumab and TAK701, anti-MET mAb  
32  
33 such as onartuzumab and selective and non-selective TKIs.  
34  
35  
36  
37

38 **Ficlatuzumab** is a humanized IgG1 antibody that binds HGF with high affinity and specificity and as  
39  
40 single agent decreased tumor growth in a dose-dependent manner and led to significant  
41  
42 reductions in phospho-c-MET and phospho-AKT levels, but produced a concomitant increase in  
43  
44 phospho-EGFR levels. In combination with erlotinib or cetuximab, ficlatuzumab showed increased  
45  
46 antitumor activity when compared to the single agent activity [107]. In phase I studies, as a single  
47  
48 agent or in combination with gefitinib, showed a good tolerability [108,109]. In a randomized  
49  
50 phase II trial gefitinib was compared with the combination of gefitinib and ficlatuzumab. The study  
51  
52 enrolled 188 Asian treatment naïve patients with lung adenocarcinoma not selected for EGFR  
53  
54 mutation status, even if the study population had clinical characteristics frequently associated with  
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57  
58  
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1  
2  
3 the presence of EGFR mutations. The study did not reach its primary endpoint of improving the  
4  
5 ORR but interesting observations may guide further development of this agent. Subgroup analyses  
6  
7 indicated that the combination was more effective in patients with low MET expression. In  
8  
9 particular, patients with EGFR activating mutations and low c-MET levels benefited more from the  
10  
11 combination in terms of PFS, indicating that c-MET/HGF inhibition may delay EGFR TKI resistance  
12  
13 [110].  
14

15  
16  
17 Several studies indicate that **rilotumumab** (AMG102), an anti-HGF IgG2 monoclonal antibody,  
18  
19 decreases c-MET phosphorylation and can delay the progression of some solid tumors [111]. To  
20  
21 date, a phase I/II trial of AMG 102 at the dose of 15 mg and erlotinib 150 mg in previously treated  
22  
23 patients with advanced NSCLC is ongoing.  
24

25  
26  
27 **Ornatuzumab** (MetMAb), an anti-MET monovalent monoclonal antibody inhibits HGF-mediated  
28  
29 activation, in a phase II trial in combination with erlotinib **versus** erlotinib alone was investigated as  
30  
31 second- or third-line treatment of NSCLC without previous exposure to EGFR-TKIs. Time to  
32  
33 progression (3 **versus** 1.5 months;  $p = 0.01$ ) and in OS (12.6 **versus** 4.6 months;  $p = 0.002$ ) were  
34  
35 improved in the MET-positive tumors (+2/+3 at immunohistochemistry) [112]. A randomized phase  
36  
37 III study (METLung) in MET-positive NSCLC patients has been then performed but in early March  
38  
39 2014 the **Independent Data Monitoring Committee (IDMC)** has recommended the study closure  
40  
41 due to a lack of any clinically meaningful efficacy[113].  
42  
43

44  
45  
46 **Tivantinib** (ARQ-197) is a non-ATP competitive MET inhibitor that in a randomized phase 2 study in  
47  
48 combination with erlotinib showed trends toward improved PFS and OS when compared to  
49  
50 erlotinib alone, with enhanced outcomes in the non-squamous subpopulation [114].  
51

52  
53 A multicenter, open-label, single arm Phase II combining erlotinib and tivantinib was performed in  
54  
55 Japan enrolling 45 EGFR-mutated NSCLC patients with acquired EGFR-TKI resistance and it showed  
56  
57 erlotinib plus tivantinib may be beneficial in tumors with high c-Met expression or those without  
58  
59  
60

1  
2  
3 resistance mutations in EGFR gene (i.e. T790M or Exon 20 ins) [115].

4  
5 The Phase III MARQUEE trial was designed to further test this combination, but is been halted  
6  
7 based on IDMC recommendation following a futility analysis [116]. Tivantinib plus erlotinib did  
8  
9 improve OS in the subgroup of tumors with high MET expression suggesting the potential for  
10  
11 efficacy in a biomarker-selected population.  
12  
13

14  
15 Erlotinib plus tivantinib versus erlotinib plus placebo were compared for OS in a phase III trial  
16  
17 (ATTENTION) in Asian patients with pretreated NSCLC with WT-EGFR. Enrollment was stopped  
18  
19 when 307 patients had been randomized, following the Safety Review Committee's  
20  
21 recommendation because of an imbalance in the incidence of interstitial lung disease between the  
22  
23 groups. OS was numerically prolonged but this did not reach statistical significance and hopefully  
24  
25 additional exploratory biomarker analysis will be able to identify subsets that benefit from this  
26  
27 combination [117].  
28  
29  
30

31  
32 **Cabozantinib** (XL-184) is an oral, potent inhibitor of MET, RET, VEGFR2 and AXL. In a phase II trial,  
33  
34 the ORR was 10% with overall disease control rate of 40% [118]. Several studies are exploring the  
35  
36 combination of cabozantinib plus erlotinib and in monotherapy in patients with KIF5B/RET  
37  
38 mutations. In patients with advanced EGFR-mutant NSCLC at progression after EGFR-TKis the  
39  
40 combination of cabozantinib and erlotinib delayed tumor growth in 87% of the cases as  
41  
42 documented through the assessment of the tumor doubling time. Ongoing correlative studies are  
43  
44 assessing the correlation between tumor response and MET amplification and/or T790M mutation  
45  
46 [119].  
47  
48

49  
50 **Crizotinib** is also a MET inhibitor and therefore might have a role either in tumors with *de novo*  
51  
52 MET amplification or in acquired resistance following EGFR-TKIs and MET gene amplification. The  
53  
54 combination of crizotinib and erlotinib is currently investigated as a potential strategy to delay  
55  
56 resistance to EGFR-targeted therapy. Furthermore, in a phase I study a cohort of patients with MET  
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3 amplified tumors are treated with crizotinib. Preliminary data indicate that tumors with an  
4  
5 increase in the ratio of MET gene copy number gain relative to centromere 7 (CEP7)  $\geq 5$  had the  
6  
7 higher benefit from crizotinib. However, the exploration of the optimal MET/CEP7 ratio associated  
8  
9 with clinical benefit is ongoing [120].  
10  
11

### 12 13 14 **ROS1 (c-ros oncogene 1) inhibitors**

15  
16  
17 ROS1 rearrangement is detectable in about 1% of lung adenocarcinoma [121] and it represents a  
18  
19 unique molecular subset of NSCLC with no overlap with other oncogene drivers. Preliminary  
20  
21 results demonstrated good activity of crizotinib in ROS1-rearranged NSCLC with an ORR of 57% and  
22  
23 a disease control rate of 80% [122]. Currently, trials with the second-generation ALK inhibitors  
24  
25 (AP26113, ASP3026) are ongoing in ROS1 fusion positive tumors.  
26  
27  
28  
29  
30

### 31 **RET (REarranged during Transfection) inhibitors**

32  
33  
34 The RET fusion gene has been recently reported in 1.7% of lung adenocarcinomas and related  
35  
36 patients have identifiable clinical-pathologic characteristics [123]. Currently RET-selective inhibitors  
37  
38 are not yet available, but in several trials the multikinase inhibitors such as vandetanib and  
39  
40 cabozantinib showed activity in RET positive tumors [124,125] and ongoing trials are further  
41  
42 assessing the activity of these agents. Preliminary data from a prospective phase II trial indicate  
43  
44 that in the first three RET fusion-positive NSCLC patients treated with cabozantinib a partial  
45  
46 response was observed in two patients, including one harboring a novel TRIM33-RET fusion. The  
47  
48 third patient with a KIF5B-RET fusion has had prolonged stable disease approaching 8 months  
49  
50  
51 [126].  
52  
53

54  
55 Several exploratory studies are currently exploring the role of other multitargeted inhibitors such  
56  
57 as lenvatinib, ponatinib (AP24534), dovitinib and sunitinib.  
58  
59  
60

## HER2 (human epidermal growth factor receptor 2)

Insertions or point mutations in exon 20 that lead to constitutive activation of HER2 are documented in approximately 1-2% of NSCLC, representing 6% of EGFR/KRAS/ALK-negative specimens [127]. Preclinical data suggest that HER2 mutation may be associated with primary resistance to first generation EGFR-TKI [128] and a potential role of afatinib in patients with mutation of HER2 was suggested but in a phase II study no objective responses were observed in seven patients with HER2 mutations, although five patients maintained stable disease [129]. In a single patient with HER2 amplified NSCLC the combination of dacomitinib and trastuzumab induced tumor shrinkage [130] and a phase II study of single agent dacomitinib (NCT00818441) included a cohort of patients with HER2 mutation or amplification. The ORR was 13% (3/26) in patients with *HER2* exon 20 insertions (2/5 patients with 9 base pair insertions). Partial responses lasted 13, 14, and 4+ months. No responses were documented in 4 patients with *HER2* amplification.[131]. Further studies are warranted to explore dacomitinib in a larger cohort of patients with 9 base pair insertions, patients with *HER2* amplification and point mutations and patients with extracellular domain mutations.

## FGFR (fibroblast growth factor receptor) inhibitors

FGFR1 is a member of FGFR family of 4 highly conserved RTKs whose activation leads to downstream signaling through PI3K/AKT and RAS/RAF/MEK/MAPK pathways [132]. Copy number changes can be detected by different techniques, including fluorescence in situ hybridization (FISH) analysis; at the present time the cut-off level for true amplification remains to be determined. Data suggest that the FGFR pathway activation can mediate resistance to other targeted therapies such as EGFR, BRAF, HER, MET, and angiogenesis inhibitors [133,134,135]. FGFR1 amplification has been reported in 20% of squamous cell carcinomas mainly in former/current smokers and 5% of



1  
2  
3 small cell carcinomas [136,137,138].

4  
5 **Dovitinib** (TKI258), a multiple TKI against PDGFR-beta, VEGFR1-3, c-kit, FLT3, CSFR1, Trk, RET,  
6  
7 FGFR1-3 is currently investigated in a phase II in patients with solid tumor harboring mutations,  
8  
9 amplifications or translocations of these targets. In a second line study single agent **nintedanib**  
10  
11 (BIBF 1120), a FGFR1/2/3, VEGFR1/2/3 and PDGFRa/b inhibitor, showed modest single activity in  
12  
13 unselected NSCLC patients. In platinum-pre-treated patients a phase III trial of nintedanib plus  
14  
15 pemetrexed versus pemetrexed plus placebo demonstrated a minimal PFS improvement (4.4  
16  
17 **versus** 3.6 months) [139]. Conversely a statistically significant improvement in PFS was observed in  
18  
19 another phase III study where nintedanib was associated to docetaxel and compared to docetaxel  
20  
21 plus placebo (median 3.4 **versus** 2.7 months; hazard ratio [HR] 0.79; p=0.0019). In the combination  
22  
23 arm OS was significantly improved for patients with adenocarcinoma who progressed within 9  
24  
25 months from the start of first-line treatment (median 10.9 months **versus** 7.9 months HR 0.75,  
26  
27 p=0.0073) [140]. Currently, nintedanib is explored in a phase II trial in patients with NSCLC  
28  
29 mutation-positive FGFR1.  
30  
31  
32  
33  
34

35  
36 Several phase I-II trials with FGFR1-3 kinase inhibitors alone or in combination with cytotoxics are  
37  
38 ongoing and exploring the activity of **lucitanib** (E-3810), **AZD4547** and **BGJ398**.

39  
40 **XL999** is a new chemical entity that inhibits a spectrum of receptor tyrosine kinases (RTKs) with  
41  
42 growth promoting and angiogenetic properties, including FGFR 1/3, PDGFRa/b, VEGFR2/KDR, KIT,  
43  
44 and FLT3. XL999 also inhibits FLT4 and SRC [141].  
45  
46

47  
48 Recently, V555M a secondary gatekeeper mutation has been identified in FGFR3 gene, which may  
49  
50 represent a potential mechanism of acquired resistance to FGFR inhibitors [142].  
51  
52  
53  
54

#### 55 **PDGFR (Platelet derived grow factor receptor) inhibitors**

56  
57 Platelet-derived growth factor receptor  $\alpha$  (PDGFR $\alpha$ ) is a receptor tyrosine kinase that promotes cell  
58  
59  
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1  
2  
3 survival and is expressed in both the tumor and the stromal components of human cancers. The  
4  
5 expression of activated PDGFR promotes oncogenic transformation. In preclinical studies,  
6  
7 amplification at chromosomal segment 4q12 is associated with a potential oncogenic role of  
8  
9 PDGFR $\alpha$  and KIT. This amplification has been identified in 3-7% of lung adenocarcinomas and 8-  
10  
11 10% of squamous NSCLC [143]. Multikinase inhibitors, such as imatinib and sunitinib, that include  
12  
13 KIT and PDGFR $\alpha$  among the targets, might have a potential therapeutic role in tumors harboring  
14  
15 these aberrations. Single agent sunitinib induced objective responses in 2-11% of patients with  
16  
17 previously treated, molecularly unselected advanced NSCLC [144,145]. Currently a phase II trial is  
18  
19 investigating the role of sunitinib in patients with KIT or PDGFR $\alpha$  mutations while in another  
20  
21 exploratory study dovitinib is administered to patients with molecularly defined tumors including  
22  
23 those harboring PDGFR mutations.  
24  
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### 31 **DDR2 (Discoidin death receptor 2) inhibitors**

32  
33 DDR2 gene mutations have been identified approximately in 2 % of NSCLC, mainly in squamous  
34  
35 histology. A DDR2 S768R mutation was found in a case of squamous cell carcinoma of the lung  
36  
37 EGFR wild type responsive to the combination of dasatinib and erlotinib. [146]. In a case report a  
38  
39 patient with chronic myelogenous leukemia and squamous cell carcinoma of the lung harboring a  
40  
41 DDR2 S768R mutation responded to dasatinib [147]. Two distinct mechanisms of acquired  
42  
43 resistance to dasatinib have been observed including NF1 loss and a second-site mutation in DDR2  
44  
45 [148]. A prospective phase II study of dasatinib in NSCLC with a DDR2 mutation or inactivating B-  
46  
47 RAF mutation is ongoing.  
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### 55 **THE CHECKMATE OF IMMUNOTHERAPY IN ADVANCED NSCLC**

56  
57 Lung tumors are recognized by the immune system and a stronger antitumor immune response as  
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3 documented, in the tumor stroma, by a higher percentage of tumor-infiltrating CD4+ T-cells, CD8+  
4  
5 T-cells, dendritic cells (DCs) and or natural killer (NK) cells may improve survival [149]. In the  
6  
7 context of immunotherapy the primary aim is to stimulate and increase the host immune response  
8  
9 against tumor cells and the therapeutic effect is associated with a unique timing to tumor response  
10  
11 and also holds different associated toxicities compared to chemotherapy or targeted therapy. Most  
12  
13 of the available data have been reported with vaccines and, more recently, immune-checkpoint  
14  
15 inhibitors targeting CTLA-4 and the PD-1 pathway, which have shown antitumor activity and  
16  
17 manageable toxicities. Immunotherapy-related toxicities are listed in Table C.  
18  
19

20  
21 New immunotherapy agents showed also activity in squamous lung cancers, a subgroup of  
22  
23 patients with limited therapeutic opportunities. To date, immunotherapy has been mainly  
24  
25 developed in the context of second-line setting for metastatic NSCLC; however, its role in the  
26  
27 context of the control of minimal residual disease in different disease settings is expected.  
28  
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31

### 32 33 **Talactoferrin**

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35  
36 **Talactoferrin** is an orally bioavailable recombinant of human lactoferrin produced in the fungus  
37  
38 *Aspergillus niger* with potential antineoplastic and immunomodulating activities. Following oral  
39  
40 administration, talactoferrin is transported into small intestinal Peyer's patches of the gut-  
41  
42 associated lymphoreticular tissues (GALT), where it recruits circulating immature dendritic cells  
43  
44 bearing tumor antigens and induces their maturation. In the GALT, DC maturation in the presence  
45  
46 of tumor antigens and lymphoid effector cells may induce systemic innate and adaptive immune  
47  
48 responses mediated by anti-tumor natural killer (NK) cells, cytotoxic T lymphocytes, and natural  
49  
50 killer T (NKT) cells; activation of tumor-draining lymph nodes, cellular infiltration of distant tumors,  
51  
52 and tumor-cell death may follow. In a phase II study patients with locally advanced or metastatic  
53  
54 NSCLC received either talactoferrin or placebo in combination with paclitaxel/carboplatin. The ORR  
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1  
2  
3 in the talactoferrin arm was 47% compared to 29% in the placebo arm ( $p=0.05$ ) without difference  
4  
5 in OS [150]. In a phase III study talactoferrin in pretreated patients with advanced NSCLC was  
6  
7 compared with BSC and no statistically significant difference in OS, PFS and disease control rate  
8  
9 (DCR) was observed between the two arms [151]. Another phase III trial is evaluating talactoferrin  
10  
11 in combination with carboplatin and paclitaxel **versus** placebo plus chemotherapy as first-line in  
12  
13 treatment-naïve patients.  
14  
15

16  
17 **Belagenpumatucl-L** is prepared by transfecting allogeneic non-small cell lung cancer (NSCLC) cells  
18  
19 with a plasmid containing a TGF-beta2 antisense transgene, expanding the cells, and then  
20  
21 irradiating and freezing them. Upon administration, this agent may elicit a cytotoxic T lymphocyte  
22  
23 (CTL) response against host NSCLC cells, resulting in decreased tumor cell proliferation. In a phase  
24  
25 II trial in patients with NSCLC stage II–IV three different doses of belagenpumatucl-L were  
26  
27 evaluated. The estimated median survival time for patients treated with the two higher doses was  
28  
29 significantly higher than for patients receiving the lowest dose (581 days **versus** 252 days;  
30  
31  $P=0.0186$ ) [152]. A phase III trial of belagenpumatucl-L in advanced NSCLC is currently underway .  
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### 38 **MUC1 (Mucinous glycoprotein-1)**

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40 **Tecemotide** (L-BLP25) is an investigational MUC1 antigen-specific cancer immunotherapy that is  
41  
42 designed to stimulate the body's immune system to identify and target cells expressing the cell-  
43  
44 surface glycoprotein MUC1. MUC1 is expressed in many cancers, including NSCLC, and has multiple  
45  
46 roles in tumor growth and survival. In a randomized phase IIB trial, tecemotide was administered  
47  
48 as maintenance therapy **versus** best supportive care in patients with stage IIIB/IV NSCLC in response  
49  
50 or stable disease after first-line chemotherapy/chemoradiation. Median OS benefit was 4.4 months  
51  
52 in favor of tecemotide. The survival advantage was statistically significant only in patients with  
53  
54 stage IIIB disease, in which there was a strong positive trend in 3-year survival [153]. In a phase III  
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3 study (START) patients with stage III NSCLC were randomized to receive either IV  
4 cyclophosphamide followed by weekly maintenance tecemotide or placebo. In the intent to treat  
5 population no OS difference was observed between the two arms (median OS of 25.6 **versus** 22.3  
6 months, respectively; p=0.123). In a preplanned subgroup analysis a statistically significant  
7 improvement in OS was documented in patients who received concurrent chemo-radiation  
8 followed by vaccination compared to those receiving placebo (30.8 **versus** 20.6 months,  
9 respectively; p=0.016) [154]. Two additional trials are ongoing; a phase III trial of tecemotide  
10 **versus** placebo in Asian patients (INSPIRE) and a second of phase II study of tecemotide with  
11 bevacizumab following chemoradiation for stage IIIA-IIIB NSCLC.

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24 **TG4010** contains a genetically modified virus that expresses both MUC1 and interleukin-2,  
25 resulting in activation of T-cells and NK cells. In a phase IIb study TG4010 was evaluated in patients  
26 with stage IIIB or IV MUC1-positive NSCLC in association with chemotherapy (cisplatin plus  
27 gemcitabine). Estimated 6-month PFS was 43.2 % in the TG4010 treated group, **versus** 35.1 % in  
28 the chemotherapy only arm (p=0.307) while median OS was similar for the two groups[155]. A  
29 phase IIb/III trial of TG4010 **versus** placebo in association with first-line chemotherapy in patients  
30 with stage IV NSCLC is ongoing.

#### 41 42 43 **Anti –EGF vaccine**

44  
45 Recombinant EGF was bound to a carrier protein and consequently an anti-EGF antibody that  
46 inhibits the interaction between endogenous EGF and the EGFR was generated leading to  
47 inhibition of growth and proliferation in cancer cells. In a randomized phase II study patients with  
48 stage IIIB/IV NSCLC at the end of first-line chemotherapy were randomly assigned to receive the  
49 EGF vaccine or best supportive care. The OS advantage for vaccinated patients was statistically  
50 significant only in the subgroup of younger patients (< 60 years, median survival 11.6 months  
51  
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3 **versus** 5.3 months,  $p=0.0124$ ). In patients with an antibody response a better OS was observed  
4  
5 (11.7 **versus** 3.6 months, respectively) compared with those with a poor antibody response [156].  
6

7  
8 A randomized phase III trial of the EGF vaccine **versus** BSC in patients with stage IIIB/IV disease is  
9  
10 ongoing.  
11

### 12 13 14 **Monoclonal antibodies against checkpoint inhibitors**

15  
16  
17 Immune checkpoints refer to a plethora of inhibitory pathways hardwired into the immune system  
18  
19 that are crucial for maintaining self-tolerance and modulating the duration and amplitude of  
20  
21 physiological immune responses in peripheral tissues in order to minimize collateral tissue  
22  
23 damage. It is now clear that tumors co-opt certain immune-checkpoint pathways as a major  
24  
25 mechanism of immune resistance, particularly against T cells that are specific for tumor antigens.  
26  
27 Because many of the immune checkpoints are initiated by ligand-receptor interactions, they can be  
28  
29 readily blocked by antibodies or modulated by recombinant forms of ligands or receptors. Recently  
30  
31 antibodies that bind to and inactivate these inhibitory stimuli have been evaluated in several solid  
32  
33 tumors including NSCLC.  
34  
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36

### 37 38 **Ipilimumab**

39  
40 Ipilimumab is a fully human IgG1 monoclonal antibody that binds to CTLA-4 and prevents cytotoxic  
41  
42 T-cell down-regulation at early stages of T-cell activation. In a pivotal study phase III study in  
43  
44 patients with previously treated metastatic melanoma, ipilimumab administered with or without a  
45  
46 glycoprotein100 (gp100) peptide vaccine was compared with gp100 alone. The median overall  
47  
48 survival was 10.0 months among patients receiving ipilimumab plus gp100, as compared with 6.4  
49  
50 months among patients receiving gp100 alone (HR, 0.68;  $P<0.001$ ) and relevantly 2-year survival  
51  
52 rate (24%) was significantly higher with ipilimumab [157].  
53  
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56  
57 In a multicenter phase II study, ipilimumab in combination with paclitaxel and carboplatin was  
58  
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3 evaluated in patients with chemotherapy-naive stage IIIb/IV NSCLC. Patients were randomized to a  
4  
5 concurrent ipilimumab plus paclitaxel/carboplatin followed by two doses of placebo plus paclitaxel  
6  
7 and carboplatin, a phased ipilimumab (two cycles of placebo plus paclitaxel and carboplatin  
8  
9 followed by four doses of ipilimumab plus paclitaxel and carboplatin), or a control regimen  
10  
11 (placebo plus paclitaxel and carboplatin) for a maximum of 18 weeks. Patients with  
12  
13 response/stable disease received maintenance treatment with ipilimumab or placebo until disease  
14  
15 progression or intolerance. Patients receiving phased ipilimumab experienced an improved  
16  
17 immune-related PFS (irPFS) as compared to those receiving PC alone (irPFS 5.7 **versus** 4.6 months,  
18  
19 respectively, p=0.05). Phased ipilimumab improved the activity of chemotherapy mainly in patients  
20  
21 with squamous histology [158]. The toxicity profile was similar to that observed in melanoma  
22  
23 patients, despite a higher dose of ipilimumab (10 mg/kg **versus** 3 mg/kg). A Phase III study of  
24  
25 phased ipilimumab with chemotherapy in patients with stage IV/recurrent squamous NSCLC is  
26  
27 ongoing.  
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### 33 **Monoclonal antibodies anti PD-1**

34  
35  
36 The inhibitory receptor PD-1 is expressed on activated T cells and modulates T cell function mainly  
37  
38 during the effector phase in peripheral tissues including tumors (as opposed to the up-regulation  
39  
40 of CTLA-4 during the early activation phase in the lymph node). The PD-1 ligand, PD-L1, is  
41  
42 expressed on epithelial and endothelial cells in addition to different types of immune cells such as  
43  
44 antigen-presenting cells (dendritic cells, macrophages, B cells). PD-L1 can be both constitutively  
45  
46 expressed and up-regulated by interferon in inflammatory conditions such as a chronic tissue  
47  
48 infection. It is thought that tumors “exploit” this regulatory function to evade a tumor-directed T  
49  
50 cell response. PD1 up regulation on the surface of tumor infiltrating CD8+ lymphocytes in patients  
51  
52 with NSCLC has been associated with a diminished anti-tumor cellular immune response [159].  
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56  
57 Nivolumab (BMS-936558, MDX-1106) is a fully - human IgG4 PD-1 receptor blocking antibody with  
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3 no antibody-dependent cell death activity (consistent with its IgG4 Fc-domain) that in a phase I  
4  
5 trial in several tumor types induced objective responses in NSCLC in approximately 20% of the  
6  
7 patients, most of them heavily pretreated [160,161]. Updated results from the expansion cohort of  
8  
9 the phase I trial included 129 patients. For those treated at 3 mg/kg of nivolumab the ORR was  
10  
11 24.3 % and median survival was 14.9 months (9.5 for squamous NSCLC; 18.2 for non-squamous  
12  
13 NSCLC). At a median 20.3-month follow-up, OS rates were 42% at 1 year and 24% at 2 years across  
14  
15 all cohorts of NSCLC, regardless of the dose received [162]. Recently, the association between PD-  
16  
17 L1 expression by immunohistochemistry (IHC) analysis and response to nivolumab was  
18  
19 investigated. ORR was 16.1% (5/31) in PDL-1 positive and 12,5% (4/32) in PD-L1 negative tumors  
20  
21 respectively. No apparent association between PD-L1 protein expression and NSCLC histology was  
22  
23 observed [163]. Additional evaluation of PD-L1 as a molecular marker of nivolumab efficacy is  
24  
25 needed.  
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30  
31 Phase III studies of second-line nivolumab compared to docetaxel for advanced/metastatic non-  
32  
33 squamous and squamous NSCLC are currently active. Recently, data about a multi-arm phase I trial  
34  
35 in front-line of nivolumab plus different platinum-based doublets, including gemcitabine/cisplatin  
36  
37 (Arm A), pemetrexed/cisplatin (Arm B), carboplatin/paclitaxel (Arm C) were presented. Forty-three  
38  
39 patients were treated with nivolumab plus platinum-based doublets. No dose-limiting toxicities  
40  
41 (DLTs) were seen with 10 mg/kg nivolumab. Grade 3-4 treatment-related adverse events were 49%  
42  
43 across arms, and 25%, 47%, and 69% of patients in Arms A, B, and C, respectively, had relevant  
44  
45 toxicity. Three grade 3 pneumonitis have been observed and one patient died of disease  
46  
47 progression with unresolved pneumonitis. Confirmed ORRs were 33%, 33%, and 31% in Arms A, B,  
48  
49 and C, respectively [164].  
50  
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54  
55 A phase III trial in front-line is currently randomizing patients with stage IV or recurrent NSCLC to  
56  
57 nivolumab at a dose 3 mg/kg IV every two weeks versus investigator's choice chemotherapy using  
58  
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1  
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3 PD-L1 expression level and histology as stratification factors. The primary end point of the study is  
4  
5 PFS and optional cross-over to nivolumab is allowed [165].  
6

### 7 8 **Pembrolizumab (MK-3475)**

9  
10 MK-3475 is a humanized IgG4 monoclonal antibody that binds PD-1 already evaluated at the dose  
11  
12 of 10 mg/kg every 2 weeks or 3 weeks in squamous and non-squamous NSCLC who had received  
13  
14 two prior systemic regimens. Assessment of drug activity was carried out every 9 weeks using  
15  
16 immune-related response criteria (irRC). ORR (confirmed & unconfirmed by irRC/RECIST) in all  
17  
18 patients was 15%/21% (16%/24% in PD-L1 positive *versus* 10%/8% in PD-L1 negative tumors). Most  
19  
20 of the toxicities reported were grade 1-2 in severity, most commonly fatigue (13%), decreased  
21  
22 appetite (6.5%), arthralgia (6.1%), pruritus (5.4%), rash (4.7%), and pyrexia (3.6%). The incidence of  
23  
24 grade 3/4 drug-related AEs was 6%. There were 3 cases of drug-related grade 3/4 pneumonitis.  
25  
26 [166]. A phase II/III study is currently recruiting to compare MK-3475 and docetaxel in patients  
27  
28 with NSCLC previously treated with platinum-containing chemotherapy (KEYNOTE -010).  
29  
30

31  
32 Early safety and clinical data of MK-3475 as initial therapy in patients with advanced NSCLC have  
33  
34 been already presented. EGFR and ALK negative, PD-L1 positive ( $\geq$  1% positive cells) NSCLC were  
35  
36 randomized to receive MK-3475 10 mg/kg every 3 or 3 weeks until progressive disease. Forty-five  
37  
38 patients were eligible for treatment with evaluable imaging at baseline by irRC and 42 by RECIST  
39  
40 criteria. Reported ORR was 26% by RECIST and 47% by irRC. Most commonly reported adverse  
41  
42 events were fatigue (14%), pruritus (8%), dermatitis acneiform (6%), diarrhea (6%) and dyspnea  
43  
44 (6%) [167]. A phase III of single agent MK-3475 versus chemotherapy in PD-L1 positive metastatic  
45  
46 NSCLC will start recruitment in September 2014.  
47  
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51

### 52 53 54 55 **Anti PD-L1 and 2 monoclonal antibodies**

56  
57 PD-1 binds to its ligands, PD-L1 (B7-H1) and PD-L2 (B7-DC), which are expressed on antigen  
58  
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3 presenting cells but also on cancer cells to escape to the immune system overexpressing PD-1  
4  
5 ligands on their surface and inhibition of PD-L1 on the tumor cell surface may represent another  
6  
7 therapeutic strategy. The downside of this inhibition includes the potential ability of tumors to  
8  
9 aberrantly up-regulate expression of other inhibitory ligands for PD-1, such as B7-DC and the  
10  
11 development of drug-resistant clones [168]. PD-L1 expression has been reported approximately in  
12  
13 50% of NSCLC [169].  
14  
15

16  
17 **BMS-936559** is an IgG4 monoclonal antibody targeting PD-L1 that in a phase I study in several  
18  
19 tumor types induced objective responses in 5 out of 49 evaluable patients with NSCLC. Response  
20  
21 duration ranged between 2.3 and 16.6 months with PFS rate at 24 week of 31% [170].  
22  
23

24 At the interim analysis of 40 NSCLC patients treated in a phase I trial with **MPDL-3280A**, an IgG1  
25  
26 monoclonal antibody directed against PD-L1, ORR was 23% and PFS rate at 24 weeks 46% with only  
27  
28 11% drug-related adverse events. Smokers or former smokers, had a better ORR (26%) compared  
29  
30 to never-smokers (10%) [171]. Furthermore, a retrospective assessment of tumor PD-L1 expression  
31  
32 suggests that PD-L1 may eventually be a predictive biomarker; a correlation has been observed  
33  
34 between strong IHC (3+) baseline PD-L1 expression and responses to MPDL3280A [172]. Two-  
35  
36 phase II trials in NSCLC in patients with PD-L1+ by IHC are recruiting patients. Furthermore an  
37  
38 association between a T-cell gene signature (including CD8, IFN $\gamma$  and Granzyme-A) and treatment  
39  
40 response was identified. Conversely, non-responders showed minimal tumor CD8+ T-cell  
41  
42 infiltration and the absence of T-cell activation (measured by Granzyme-A and Perforin  
43  
44 expression). Additionally, a subpopulation of patients exhibited changes in circulating cytokines  
45  
46 and activated T-cell subsets [133]. An evaluation of 60 NSCLC tumor samples indicated that genes  
47  
48 associated with tumor progression and signaling pathways were over-expressed in PD-L1+ tumors.  
49  
50 PD-L1 positivity was identified amongst KRAS mutation positive (8/10) and wild-type tumors  
51  
52 (15/43). PD-L1 positivity was not associated with PTEN, EGFR protein expression and histology. A  
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3 single arm phase II trial in patients with PD-L1-positive in advanced/metastatic NSCLC is in  
4  
5 progress. A phase III open-label, multicenter randomized study is comparing MPDL3280A with  
6  
7 docetaxel in patients with NSCLC after failure with platinum containing chemotherapy.  
8  
9

10 **MEDI4736** is another IgG1-kappa PD-L1 inhibitor that in a phase I trial have shown promising  
11  
12 activity and low toxicity in several solid cancers including NSCLC [173]. In addition a phase Ib study  
13  
14 of MEDI4736 in combination with tremelimumab is recruiting patients while a phase II study is  
15  
16 testing the drug activity in patients with stage IIIB/IV NSCLC already treated with at least two prior  
17  
18 systemic treatments. A phase I, open-label, multicenter study is evaluating MEDI4736 in  
19  
20 association with gefitinib.  
21  
22  
23

24 **AMP-224** is a recombinant fusion protein comprised of the extracellular domain of PD-L2 and the  
25  
26 Fc region of human IgG. Promising preclinical studies [159] led to a phase I trial in advanced cancer  
27  
28 not responsive to standard therapy. The dose escalation study is concluded and currently patients  
29  
30 are enrolled in an expansion cohort in order to assess the pharmacodynamic activity and  
31  
32 therapeutic potential [174].  
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### 38 **KIR Inhibition and other Checkpoint Proteins**

39  
40 **Lirilumab** (IPH2102/BMS-986015) is a fully human monoclonal antibody blocking the interaction  
41  
42 between killer-cell immunoglobulin-like receptors (KIR) on natural killer (NK) cells with their  
43  
44 ligands. Inhibitory KIRs seem to be selectively expressed in the peritumoral NK cell infiltrate and  
45  
46 thus be involved in the checkpoint pathway co-opted by tumors [175]. In a preclinical xenograft  
47  
48 tumor model lirilumab showed anti-tumor efficacy [176] and it is currently tested in a phase I trial  
49  
50 in combination with nivolumab in patients with advanced solid tumors including NSCLC and also in  
51  
52 combination with ipilimumab.  
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57 Phosphatidylserine (PS) is a cell membrane phospholipid physiologically expressed by normal cells  
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3 that during apoptosis moves to the outer membrane surface, suppressing the excessive immune  
4  
5 activation [177] and it was found overexpressed in some tumor cells. **Bavituximab** is a chimeric  
6  
7 IgG3 antibody against PS that in a phase II study was combined with carboplatin and paclitaxel  
8  
9 reporting a 52% overall response rate in first line NSCLC [178].-

## 14 **CONCLUSION**

17 Worldwide, NSCLC is the most common cause of cancer-related death and, unfortunately, the  
18  
19 overall 5-year survival rate remained unchanged in the last 10 years. However, the advent of  
20  
21 systematic genomic sequencing and the discovery of a clinically relevant activity of molecular  
22  
23 targeted therapies in specific subgroups of patients as well as the identification of a potential role  
24  
25 for immunotherapy open new treatment avenues in every histological subtype of lung cancer,  
26  
27 including small cell lung cancer.  
28  
29

31 Cancer is a genetic somatic disease (5% inherited), it is usually caused by genetic alterations of a  
32  
33 handful of genes (the so called “oncogenes or driver genes”) and today is often and increasingly  
34  
35 possible to identify these genetic lesions by molecular diagnosis. There is already established  
36  
37 paradigms indicating the targeted therapies are only effective when aimed at the alteration of the  
38  
39 drive gene(s) such in the case of EGFR-TKI and EGFR sensitizing mutations or ALK translocation and  
40  
41 ALK-inhibiting agents which have already proven their superior efficacy when compared to the  
42  
43 standard of care.  
44  
45

47 In the next five years the role of biomarkers will become even more relevant. With targeted  
48  
49 therapies, biomarkers have the potential for providing added value through an integrated  
50  
51 approach to prediction using the genetic makeup of the tumor and the genotype of the patient for  
52  
53 treatment selection and patient management. Specifically, biomarkers can aid in patient  
54  
55 stratification (risk assessment), treatment response identification (surrogate markers), or  
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3 differential diagnosis (identifying individuals who are likely to respond to specific drugs).  
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7  
8 **EXPERT COMMENTARY**  
9

10 Currently EGFR sensitizing mutations and ALK translocations are established molecular targets  
11 mainly in patients of Asian ethnicity, never smokers with adenocarcinoma of the lung. Even if these  
12 clinical factors are enrichment criteria for the specific molecular abnormalities only the  
13 appropriate molecular tests are associated with the best sensitivity and specificity. Worldwide  
14 erlotinib, gefitinib and afatinib got already approval for front-line treatments in EGFR mutation-  
15 positive patients while crizotinib is registered, with different indications in US and Europe, for the  
16 treatment of NSCLC patients with ALK translocation.  
17

18 While these molecular agents are standard of care in the setting of metastatic NSCLC, their role in  
19 the early and locally advanced disease setting remains to be established and appropriate and well-  
20 designed clinical trials are largely awaited.  
21

22 The crucial understanding of mechanisms of acquired resistance to molecular targeted therapies,  
23 leading clinically to disease progression, is today one of the biggest therapeutic challenges.  
24

25 Because of the urgent need of a better understanding of the resistance mechanisms in addition to  
26 the diagnostic relevance of initial tissue acquisition leading to biomarker testing, the opportunity  
27 of the re-biopsy at the time of disease progression, although it is not a standardized requirement  
28 according to current diagnostic guidelines, should be strongly advocated at least in the context of  
29 appropriated designed clinical trials because provides information about changes in genomic asset  
30 and histological findings , that could theoretically guide new therapies. At this stage the optimal  
31 clinical management of the NSCLC at the time of radiological progression on specific targeted  
32 therapies remains to be determined. There is evidence from retrospective studies that in the case  
33 of oligo-metastatic progression patients can benefit from a loco-regional treatment modality and  
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3 may continue the same drug. In case of diffuse progression therapeutic alternatives include the  
4  
5 addition of chemotherapy to the targeted therapy, the administration of a different targeted  
6  
7 therapy based on specific resistance mechanism, or the switch to systemic chemotherapy alone.  
8

9  
10 None of these approaches have been validated in the context of prospective clinical trials.

11  
12 The current state of knowledge of the genomic alterations in small cell and squamous cell lung  
13  
14 cancer lags behind compared to what is known in adenocarcinoma, but as more attention is  
15  
16 focused on this topic, we expect that new targeted therapies for this histological subtype will be  
17  
18 quickly developed.  
19

20  
21 Although immunotherapy studies in NSCLC had invariably failed to improve survival, new  
22  
23 therapeutic targets have emerged particularly the immune checkpoint pathways and, based on  
24  
25 data generated so far, specific inhibitory monoclonal antibodies, alone or in combination, may  
26  
27 hold the key to making immunotherapy a reality in the treatment of NSCLC.  
28  
29

### 30 31 32 33 **FIVE-YEAR REVIEW**

34  
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38 In the next five years it will become increasingly evident that there are molecularly distinct  
39  
40 subtypes of lung cancers, with different therapeutic approaches required for each subtype. The  
41  
42 extensive understanding of cancer pathogenesis will pave the way to new treatment options,  
43  
44 including targeted agents and cancer immunotherapy. Targeted approaches aim to inhibit  
45  
46 molecular pathways that are crucial for tumor growth and maintenance whereas, immunotherapy  
47  
48 endeavors to stimulate a host immune response that effectuates long-lived tumor destruction.  
49  
50 Targeted therapies and cytotoxic agents also modulate immune responses, which raises the  
51  
52 possibility that these treatment strategies might be effectively combined with immunotherapy to  
53  
54 improve clinical outcomes. Immunotherapy itself is moving in the direction of personalized  
55  
56 medicine searching for a single or combinations of biomarkers to identify the subgroup of patients  
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3 deriving the highest benefit from this approach.

4  
5 The establishment of molecular platforms accessible to all lung patients will be a critical  
6  
7 requirement, with a rapid turnaround time to direct patients to the appropriate therapy. This  
8  
9 epidemiological enterprise needs to be tightly linked to clinical trials, even in the context of the  
10  
11 current limited molecular testing accessibility that hopefully will become less relevant when next  
12  
13 generation sequencing facilities will become widely available.  
14  
15

16  
17 The International Cancer Genome Consortium (ICGC) and the Cancer Genome Atlas (TCGA) are  
18  
19 examples of large-scale integrative programs whose goal is to harness the full potential of the  
20  
21 cancer genome to deliver personalized cancer medicine through multidisciplinary programs. The  
22  
23 value of these prospective data collections will benefit our knowledge about molecular  
24  
25 epidemiology of lung cancer but provides also values for health economical assessments.  
26  
27

28  
29 The fact that most targeted cancer therapies have highly favorable toxicity profiles relative to  
30  
31 cytotoxic chemotherapy is cause for optimism. However, the current focus on single agent therapy  
32  
33 could complicate the investigation of tolerable combinations because dose and schedule are  
34  
35 selected exclusively based on tolerability of single agent studies. Combination studies of targeted  
36  
37 therapies are largely awaited by evaluating tolerability of each single agent given simultaneously. If  
38  
39 additive toxicities are observed (as might be expected), the combination might be abandoned  
40  
41 prematurely. If early development decisions will be, instead, driven by strategies that plan for  
42  
43 combination therapy rather than single agent therapy, clinical safety and efficacy could be assessed  
44  
45 more quickly.  
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47

48  
49 It should be acknowledged that for the next five years the role of histology will be still critical in  
50  
51 defining the treatment approach for a considerable proportion of patients with advanced lung  
52  
53 cancer. Furthermore, after failure of one or more targeted therapies chemotherapy still remains a  
54  
55 therapeutic opportunity sometime during the clinical course of the disease. Finally, the  
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3 identification of the best combinations to be used (e.g. chemotherapy and immunotherapy,  
4  
5 molecular targeted therapy and immunotherapy, radiotherapy and immunotherapy and others)  
6  
7 remains to be explored. For instance, it is well known that some chemotherapeutic agents may  
8  
9 effect the immune system through different mechanisms including up-regulation of major  
10  
11 histocompatibility complex–1 expression, dendritic cells and T-cell activation. Erlotinib can increase  
12  
13 class I and class II major histocompatibility complex expression and can induce  
14  
15 inhibition/activation on the T-cell proliferation through down-regulation of the c-Raf/ERK cascade  
16  
17 and Akt signaling pathway. Furthermore, similar types of interaction may be observed with  
18  
19 radiotherapy in a phenomenon called “abscopal effect”. This event is based on the fact that  
20  
21 radiation locally can trigger systemic immune activation and lead to spontaneous regression of  
22  
23 tumors or metastases that are outside the radiation field. Radiation therapy has the potential to  
24  
25 partner with immune-checkpoint blockade, because high-dose radiation appears to be associated  
26  
27 with increased antigen expression and induction of immunogenic cell death.  
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33  
34 In conclusion, the efficacy of various targeted therapies in such dismal disease such has been lung  
35  
36 cancer for a long period of time, suggests that we are entering an era in which treatment decisions  
37  
38 will be based on tumor molecular abnormality profile or “signature,” rather than tumor tissue type  
39  
40 or anatomical site of origin, improving patient prognosis and quality of life.  
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#### 48 **KEY ISSUES**

- 49  
50 • Molecular profiling of lung cancer is an absolute priority and large molecular screening  
51  
52 consortium should be largely encouraged.
- 53  
54 • All patients with EGFR mutations and ALK gene rearrangements should get therapy with  
55  
56 appropriate agents.  
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- New generations of EGFR-TKIs active also against T-790 mutation and a second generation of ALK-inhibiting agents active also against brain metastases are currently in clinical development
- Acquired resistance to specific targeted therapies arises within approximately one year and it is characterized by multiple mechanisms.
- In adenocarcinoma multiple new targets have been identified and for some of them specific inhibitors are already in clinical trials (RAS, MEK, BRAF1, PIK3A, c-MET, ROS1, RET, HER2).
- There is a growing interest to further explore the role of immunotherapy because of early significant results with immune checkpoint pathways inhibitors such as the cytotoxic T-lymphocyte-associated antigen-4 (CTLA-4), programmed cell death protein-1 (PD-1) and its ligands PD1-L1.

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- of considerable interest

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