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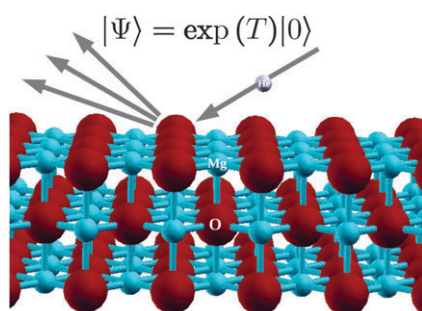
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(Article begins on next page)



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Ruth Martinez-Casado,\* Giuseppe Mallia,  
Denis Usvyat,\* Martin Schütz, Lorenzo Maschio,  
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# Diffraction of helium on MgO(100) surface calculated from first-principles†

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Ruth Martinez-Casado,<sup>\*a</sup> Giuseppe Mallia,<sup>a</sup> Denis Usvyat,<sup>\*b</sup> Martin Schütz,<sup>b</sup> Lorenzo Maschio,<sup>c</sup> Silvia Casassa,<sup>ac</sup> John Ellis<sup>d</sup> and Nicholas M. Harrison<sup>ae</sup>

In this work we simulate the diffraction peak intensities of He beams scattered on the MgO(100) surface from first principles. It turns out that diffraction peak intensities are extremely sensitive to the quality of the potential describing the He–MgO surface interaction. Achieving the required accuracy in first principles calculations is very challenging indeed. The present work describes a first principles protocol able to achieve very high accuracy for reasonable computational cost. This method is based on periodic local second-order Møller–Plesset where systematic corrections for basis set truncation and for electronic correlation are introduced using coupled cluster calculations on finite model systems mimicking the target system. For the He–MgO system the requirements with respect to the level of theory are very high; it turns out that contributions from connected quadruple excitations are non-negligible. Here we demonstrate that using this protocol, it is possible to reach the accuracy in the He–MgO potential that is required to predict the observed He diffraction peak intensities.

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## 1. Introduction

Interactions between molecules and crystalline surfaces are of great fundamental and technological interest and extensively studied both experimentally and theoretically. The scattering of He atom beams on crystal surfaces has the potential to be an important technique for determining the atomistic structure and dynamics of surfaces.<sup>1–6</sup> The He beam scatters from only the outermost surface layers unlike X-ray diffraction and it neither damages or charges the surface unlike electron diffraction and microscopy. During the last two decades its usefulness has been demonstrated in the determination of numerous surface structures.<sup>6–11</sup> The quantitative interpretation of He-diffraction is, however, limited as the He–surface interaction potential is not known accurately. An accurate calculation of the He–surface interaction requires a quantitative description of both short range repulsive forces dominated by electronic exchange and Coulomb interactions and of the long range van

der Waals interaction due to electronic correlation. The long range part of the interaction is governed by the slowly decaying van der Waals dispersion, which is weak and very difficult to calculate with high quantitative accuracy. The short range part, on the other hand, is dominated by the exponentially growing repulsive wall originating from the exchange-interaction between the (mutually penetrating) electron distributions of surface and He atom. The functional form of the interaction potential can be conveniently summarised by the corrugation function,  $z(E, x, y)$ , which is an isovalue surface of the energy of interaction,  $E(x, y, z)$ , at the kinetic energy of the He atom beam.

The positions of the He-diffraction peaks (“channels”) are determined by the kinetic energy and direction of the He-beam and the periodicity of the corrugation function which is determined by the surface unit cell. The variation of the intensity in each channel with the kinetic energy of the helium beam is determined by the detailed shape of  $z(E, x, y)$ .<sup>3,6,12</sup> At first sight it would seem that diffraction is solely governed by the short-range repulsive interactions, but in fact the van der Waals dispersion lowers the energy of the repulsive wall and thus influences the diffraction process quite substantially. As will be shown below, the sensitivity of the relative intensities of the helium beams, diffracted in various channels, to the corrugation amplitude is extremely strong. For instance, a variation of the latter by 10% can change the relative intensity by a factor of 2. Therefore, in order to predict from first principles the relative intensities of helium in different diffraction channels, a method for calculating the interaction potential with a precision of a few percent is required. For simple systems with a few atoms in the

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1 surface unit cell, empirical interaction potentials based on  
pairwise atomic interactions can be used to fit the diffraction  
pattern for a range of energies. Yet in order to unambiguously  
determine the surface structure from the diffraction pattern an  
independent and reliable determination of the He–surface inter-  
action is required. In systems with complex surface reconstruc-  
tions or multiple atoms in the surface unit cell, fitting the  
pairwise potential to experimental data is insufficient for unam-  
biguous structural determination.

10 A reliable calculation of the interaction potential is non trivial.  
Density functional theory is very widely used to determine surface  
adsorption energetics<sup>6</sup> but is unreliable for describing non-  
bonded and long range van der Waals interactions. DFT bench-  
mark calculations demonstrate this point clearly; there is a very  
strong variation with the details of the exchange correlation  
functional adopted.<sup>13</sup> Empirical models<sup>14,15</sup> for the energy surface  
depend on *ad hoc* parameters, which undermines the predictive  
value of the calculations.

20 The quantum chemical hierarchy of theoretical models, on the  
other hand, allows for the treatment of electron correlation at an  
increasing level of theory and thus a systematic increase in the  
accuracy of the computed energy surface. For He–MgO surface  
interactions the bottom rung of the hierarchy, second-order  
Møller–Plesset perturbation theory (MP2), has been recently demon-  
strated to provide a qualitatively correct but quantitatively inade-  
quate description of such systems.<sup>16–18</sup> As will be demonstrated  
below it is necessary to include up to connected quadruple excita-  
tions in the many body wavefunction (*e.g.* by means of the coupled  
cluster singles, doubles, triples and perturbative quadruples  
[CCSDT(Q)] method<sup>19</sup>) in order to compute a reliable energy surface.

30 In this work we apply a periodic/finite cluster hierarchical  
scheme to calculate the interaction potential between helium and  
the MgO(100) surface. It consists of the periodic local MP2  
treatment, which is subsequently corrected on the basis of finite  
cluster calculations, in order to achieve an energy surface at the  
CCSD(T)/extrapolated-basis-set and CCSDT(Q) levels of theory.  
Similar computational protocols have already been applied in  
earlier work to study the geometrical frustration of an argon  
monolayer adsorbed on MgO(100)<sup>20</sup> and to determine the lowest  
bound state of helium adsorbed on MgO(100).<sup>21</sup> Scattering simu-  
lations are then performed for various energies, polar angles, and  
two different azimuthal angles of the incident beam, for which  
high quality experimental scattering data is available.<sup>7,22</sup>

45 The paper is organized as follows. In Sections IIA and IIB we  
present the description of the computational schemes, used to  
calculate the He–MgO potential surface and the diffraction  
intensities, respectively. The results of the calculations and the  
related discussion is given in Section III. Finally Section IV  
concludes the paper.

## II. Computational methods

### A. He–MgO interaction potential

55 The hierarchy of molecular *ab initio* electronic structure methods  
allows it in principle to reach very high precision in describing

intermolecular interactions. However, the steep scaling of the  
computational cost with molecular size prevents the use of high-  
order canonical coupled cluster methods already for moderately  
large systems. This problem can be circumvented by instead  
using local coupled cluster methods<sup>23,24</sup> or DFT-based symmetry  
adapted perturbation theory (SAPT).<sup>25,26</sup> The latter has the  
additional bonus of naturally partitioning the interaction energy  
into physically meaningful components, and therefore provides  
detailed information on the individual components of the  
interaction potential.

10 In the case of surface adsorption, the surface is effectively  
infinite in extent, and a purely molecular treatment becomes  
impossible unless an appropriate embedding is set up to mimic  
the influence of the environment on the cluster.<sup>27</sup> Unfortunately,  
due to the delicacy of the interaction between the adsorbate and  
the surface, such an embedding has to be tuned with great care,  
since otherwise artefacts, noticeable at the scale of interaction  
energies, compromise the interaction potential.<sup>28</sup> It is much safer  
to use finite clusters not to compute the full interaction energy,  
but only to calculate *the corrections to the periodic result*, obtained  
*e.g.* at the periodic HF,<sup>29,30</sup> DFT-D,<sup>31,32</sup> or even at the MP2 levels<sup>20</sup>  
(the smaller the corrections the better). This becomes especially  
important for the description of the scattering of helium atoms at  
a surface, where a highly accurate corrugation function  $z(E,x,y)$ ,  
and thus a very precise interaction potential, is essential. To this  
end we employ the highest-order correlation treatment available  
in the periodic atomic-orbital (AO) format, which presently is the  
periodic local MP2 (LMP2) method,<sup>16–18</sup> and compute high-order  
corrections (up to CCSDT(Q)) based on finite cluster calculations  
on top of that platform.

30 An accurate correlated description also requires rather large  
basis sets.<sup>33</sup> In order to capture dispersion the basis set has to  
be augmented with diffuse AOs. Yet the quality of the basis set  
in periodic calculations is somewhat limited, since the overlap  
between AOs can cause numerical instabilities, particularly so  
for rich basis sets including diffuse functions. For the periodic  
LMP2 calculations thus a decent basis set was employed, *i.e.*, of  
triple-zeta quality for Mg and O, and of quadruple-zeta quality  
for He, augmented with diffuse p- and f-functions for O, and  
diffuse s-, p-, d- and f-functions for He. The Mg cc-pVTZ basis  
set initially already contains very diffuse functions, which  
cannot be used in the periodic context due to above mentioned  
redundancies. Such functions were either omitted or upscaled.  
The detailed specification of the employed basis set can be  
found in ref. 34. For further reference we denote it as AVTZ.  
This basis is sufficiently large to reach saturation at the  
Hartree–Fock (HF) level,<sup>21</sup> but not yet for the correlation energy  
contribution. Hence, the remaining basis set incompleteness  
error is corrected together with above mentioned method error  
correction scheme, as described in detail below.

50 The periodic LMP2 potential energy surface (PES) was calculated  
as the interaction energy per helium atom between an MgO(100)  
3-layer slab (with a experimental lattice parameter of 4.211 Å)  
and a square monolayer of He matching the surface lattice of  
MgO (for the corresponding He–He distances the He–He inter-  
action is negligible). Rumpling of the surface, which is observed

experimentally<sup>35</sup> for MgO(100), is not included in the LMP2 calculations. Yet, its influence on the diffraction intensities is explored at a later stage (see Section III). The inter-He-slab correlation interaction (dispersion), evaluated explicitly for the 3 layer slab, was then extrapolated to the semi-infinite crystal limit using the slab replication technique.<sup>18</sup> The He–MgO PES was represented by a uniform grid consisting of 313 points. All calculations have been performed using the CRYSTAL09<sup>36</sup> and CRYSCOR09<sup>18</sup> software packages, both based on the expansion of the crystalline orbitals as a linear combination of a local basis set (BS) consisting of atom centred Gaussian orbitals (see ref. 13 for details). The potential curves for 21 symmetry unique adsorption sites were calculated, each sampled by 14–17 points lying in the range of 2 to 7 Å along the He–surface direction *z*.

Since the valence electrons in MgO are localized around the oxygen atoms the interaction between He and MgO is mainly a competition of dispersive attraction and exchange repulsion between the electron clouds around oxygen and those of helium. The contribution of the upper core electrons of Mg to dispersion is small, but still non-negligible at the scale of the interaction energy.<sup>20,31,32</sup> In order to take it into account, additional frozen-core and correlated 2sp-Mg-core periodic LMP2 calculations were performed for two different adsorption sites. The core contribution was evaluated as the difference between the interaction energies of these two calculations. For adjusting the basis set to the describe core correlation effects, additional tight AOs from cc-pwCVTZ<sup>37</sup> (s- and p-functions) and cc-pCVTZ (d- and f-functions) basis sets were added on the Mg atoms. Since the core correlation calculations were already quite expensive, the core contributions were explicitly evaluated for the on-Mg and on-oxygen adsorption sites only, *i.e.*, the most attractive and repulsive adsorption sites on the surface. It turned that the core correlation contribution is rather isotropic along in-plane directions. Hence, in order to extrapolate the core correlation contribution for an arbitrary site we applied for it the 2D-sine law model.<sup>20</sup>

It has recently been established,<sup>20,21,34</sup> that the MP2 level of theory significantly underestimates the adsorption energy of noble gasses on the MgO surface, and especially so for He. Moreover, this underestimation is further magnified by the basis set deficiency error, which has the same sign as the MP2 method error for these systems. The latter problem can be eliminated to large extent by the recently implemented periodic LMP2-F12 method,<sup>38</sup> which unfortunately is yet computationally too expensive to be used for the whole He–MgO potential surface. Therefore, we employ a recently developed correction scheme,<sup>20</sup> where method and basis set deficiencies are corrected on the basis of finite cluster calculations. In this scheme, the periodic LMP2 result is corrected by scaling the intra-slab  $\Delta E_{\text{intra-MgO}}^{\text{LMP2}}$  and inter-adsorbate-slab  $\Delta E_{\text{inter}}^{\text{LMP2}}$  components of the correlation part of the interaction energy<sup>18,39</sup> with appropriate factors  $f_{\text{intra-MgO}}$  and  $f_{\text{inter}}$ , respectively. In contrast to other common correction schemes based on universal or empirical parameters (like *e.g.* the popular spin-component-scaled MP2), here the scaling factors are determined by comparing the CCSD(T)/basis-set-extrapolated treatment to the LMP2

treatment (in the basis of the periodic calculation) *for a specific finite model system characterized by the same kind of interactions as the actual system of interest*. In comparison to formally more rigorous approaches, where finite-cluster corrections are added to the periodic interaction energies in the form of energy increments,<sup>31,32</sup> our scheme is computationally more advantageous, since the whole LMP2 He–MgO potential surface is corrected in a single step.

Similar to previous work,<sup>21</sup> we employed the He–Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> dimer (see ESI, ref. 40) as the finite model system mimicking the periodic He–MgO system. A system of this size still allows for a CCSD(T) treatment with augmented basis sets of up to quadruple-zeta quality (and thus a reasonably accurate extrapolation to the basis set limit). Two sodium atoms (yet equipped with Mg instead of Na basis functions) replace the Mg atoms at opposite corners of the cluster to keep the charge-neutrality and the ionic type of binding in this cluster. Pure MgO clusters (without sodium atoms) of similar size, such as the flat Mg<sub>6</sub>O<sub>6</sub> cluster or a Mg<sub>5</sub>O<sub>5</sub> cluster with one oxygen atom below the central Mg, unfortunately have multireference character, and therefore cannot be used for evaluating the correction factors. A brick-like Mg<sub>9</sub>O<sub>9</sub> cluster, on the other hand, is not a multireference case, but yet too large for the quadruple-zeta CCSD(T) treatment.

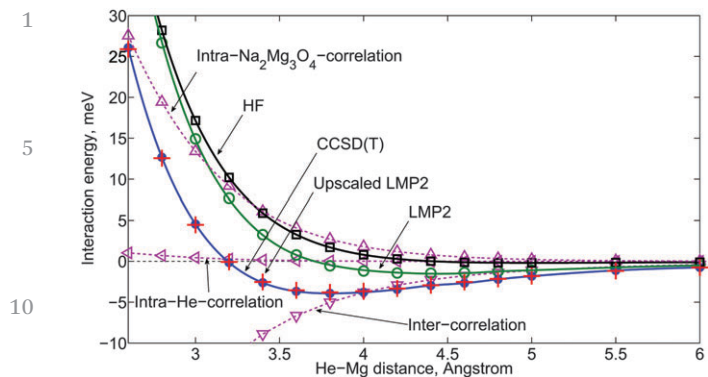
The He–Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> dimer was subjected to frozen-core local MP2 and CCSD(T) treatments using the Molpro program.<sup>41–43</sup> To correct for the basis set deficiency together with the method error, the same basis set and domains as in the actual periodic LMP2 treatment were also used in the finite-cluster LMP2 calculations. The upscaling factors were determined by fitting the upscaled LMP2 correlation contributions of the respective interaction energies to the CCSD(T) reference values. To this end we minimize the function

$$\sum_z \left( \Delta E^{\text{CCSD(T)}} - \Delta E_{\text{intra-He}}^{\text{LMP2}} - f_{\text{intra-Mg}_3\text{Na}_2\text{O}_4} \Delta E_{\text{intra-Mg}_3\text{Na}_2\text{O}_4}^{\text{LMP2}} - f_{\text{inter}} \Delta E_{\text{inter}}^{\text{LMP2}} \right)^2, \quad (1)$$

where  $\Delta E^{\text{CCSD(T)}}$ ,  $\Delta E_{\text{intra-He}}^{\text{LMP2}}$ ,  $\Delta E_{\text{intra-Mg}_3\text{Na}_2\text{O}_4}^{\text{LMP2}}$ , and  $\Delta E_{\text{inter}}^{\text{LMP2}}$  are the correlation contributions of, respectively, the (basis set extrapolated) CCSD(T) interaction energy, and the LMP2 intra-He, intra-Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub>, and He–Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> inter-monomer energies, all at a certain distance *z*. For the summation (1) 17 *z*-values in the range from 2.4 to 7 Å were used. Fig. 1 shows that the LMP2 potential curve for the He–Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> interaction is indeed far too shallow, yet after upscaling of the intra- and inter-monomer correlation components the CCSD(T) reference curve is faithfully reproduced by LMP2 over the whole range of relevant inter-monomer distances.

The upscaling parameters corresponding to the CCSD(T)/aug-cc-pVTZ (Ups1), CCSD(T)/aug-cc-pVQZ (Ups2) and CCSD(T)/aug-cc-pV(TQ)Z-extrapolated (Ups3) are given in Table 1. The inter-monomer correction factor of 1.7, which reflects the considerable underestimation of dispersion by MP2, is virtually unaffected by the basis set employed in the CCSD(T) calculation. The intra-Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> correction, on the





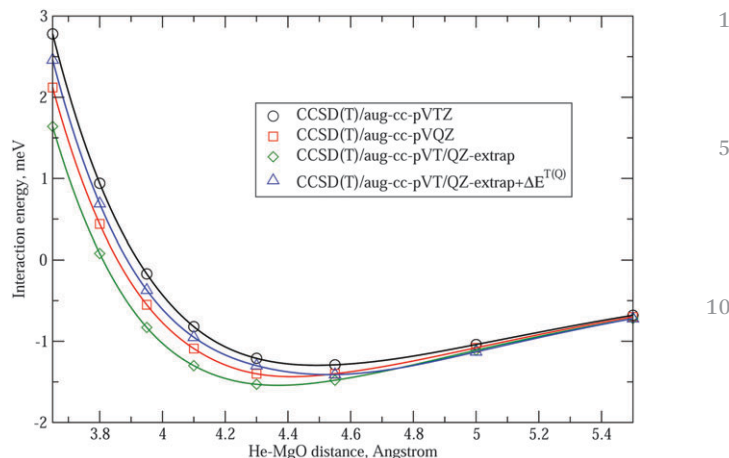
**Fig. 1** Interaction energy of the He–Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> dimer at the Hartree–Fock (black squares), LMP2/AVTZ (green circles) and CCSD(T)/aug-cc-pV(TQ)Z-extrapolated (blue small filled circles) levels. The LMP2 correlation interaction energy is partitioned (magenta dashed lines) into inter-component (down-pointing triangles), intra-Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub>-component (up-pointing triangles) and intra-He-component (left-pointing triangles). The LMP2 energies with the scaled intra-Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub>- (factor 1.07) and inter- (factor 1.71) components are denoted with red crosses.

**Table 1** The scaling factors for the intra- and inter-monomer components of the LMP2/AVTZ correlation contributions to the interaction energy for the He–Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> system, obtained by fitting to the CCSD(T) potential curve, calculated with aug-cc-pVTZ (Ups1), aug-cc-pVQZ (Ups2) basis sets, and aug-cc-pV(TQ)Z-extrapolated to the basis set limit (Ups3)

	Ups1	Ups2	Ups3
$f_{\text{intra-Mg}_3\text{Na}_2\text{O}_4}$	1.21	1.13	1.07
$f_{\text{inter}}$	1.67	1.69	1.71

other hand, is sensitive to the basis set. Interestingly, the quite moderate value of 1.07 for the intra-monomer correction factor indicates that in this particular component the method error of LMP2 and the basis set incompleteness error (of the AVTZ basis as used in the periodic calculation) compensate each other to a large extent when referenced to the CCSD(T)/basis-set-extrapolated value. However, as was recently demonstrated<sup>21</sup> for a single point CCSDT(Q) calculation on a small He–Mg<sub>2</sub>O<sub>2</sub> cluster, the CCSD(T) method is not yet a converged reference for this system with respect to the order of the correlated method (at least at the scale of the interaction energies). Therefore the upscaling factors corresponding to the CCSD(T)/basis-set-extrapolated results do not provide sufficient accuracy. As will be shown below, the relative diffraction intensities manifest a high sensitivity to deviations in the corrugation potential. Consequently, the remaining deficiencies of the CCSD(T)/basis-set-extrapolated description lead to significant errors in the intensities. Hence, a higher order correlated description becomes mandatory for reaching reasonable accuracy in the diffraction intensities. This also rules out the use of the DFT-SAPT method,<sup>25,26</sup> which is usually quite accurate for the inter-molecular interactions, but still inferior to CCSD(T), and hence definitely not sufficiently accurate for the present system.

Unfortunately, the He–Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> cluster is too large for a CCSDT(Q) treatment, making the direct computation of the correcting factors at the CCSDT(Q) level unfeasible. In order to include the higher order correlation correction in our scheme,



**Fig. 2** The CCSD(T) interaction energies of the He–Mg<sub>2</sub>O<sub>2</sub> dimer at the aug-cc-pVTZ (black circles), aug-cc-pVQZ (red squares) and aug-cc-pV(TQ)Z-extrapolated (green diamonds) basis sets levels. The curve resulting from adding the CCSDT(Q)–CCSD(T) energy differences (denoted as  $\Delta E^{\text{T(Q)}}$ ) to the CCSD(T)/aug-cc-pV(TQ)Z-extrapolated values is also given (blue triangles).

we calculated the CCSDT(Q)–CCSD(T) interaction energy difference with a moderate basis set (cc-pVDZ for Mg, aug-cc-pVDZ for O, and aug-cc-pVTZ for He) for the He–Mg<sub>2</sub>O<sub>2</sub> dimer with He placed on top of the Mg|Mg-bridge-position (see ESI, ref. 40). For these calculations Kallay's MRCC program,<sup>19,44</sup> interfaced with Molpro, was used. This energy *increment* was added to the CCSD(T)/basis set extrapolated result for the same cluster. It is assumed here that the basis set effects for the CCSDT(Q)–CCSD(T) energy correction, which is of the fifth-order within the MP partitioning, are much less important than for the correlation energy itself.<sup>45</sup> It is evident from Fig. 2 that in the long range regime the CCSDT(Q)–CCSD(T) correction is virtually zero, whereas in the short range regime, *i.e.* in the repulsive wall region it is substantial. Furthermore, the CCSDT(Q)–CCSD(T) corrected CCSD(T)/aug-cc-pV(TQ)Z-extrapolated curve is sandwiched by the CCSD(T)/aug-cc-pVQZ and CCSD(T)/aug-cc-pVTZ curves. In previous work,<sup>21</sup> by performing a single-point periodic LMP2-F12 calculation (to reach the basis set limit directly in the periodic system) and an incremental CCSD(T)–LMP2 energy correction on a larger He–Mg<sub>3</sub>O<sub>9</sub> cluster, it was shown that the upscaling factors obtained according to the protocol described above, lead to a slight overestimation of the binding. The upscaling parameters as obtained for the CCSD(T)/aug-cc-pVTZ curve (*i.e.* Ups1 of Table 1) are therefore anticipated to provide the most accurate diffraction intensities.

## B. Diffraction intensities

The diffraction intensities for various helium beam energies were calculated by solving the helium scattering Schrödinger equation

$$\left[ \nabla^2 + k_i^2 - \frac{2\mu}{\hbar^2} V(\mathbf{r}) \right] \Psi(\mathbf{r}) = 0, \quad (2)$$

where  $k_i$  is the incident wave vector,  $\mu$  is the mass of the helium atom and  $V(\mathbf{r})$  is the Born–Oppenheimer He–MgO interaction

1 potential. Eqn (2) was solved by means of the close-coupling  
method,<sup>2,3,9,10,14,46–48</sup> which implies expansion of the wavefunc-  
tion  $\Psi(\mathbf{r})$  in the plane-wave basis. The surface atoms, on the  
other hand, are treated classically at zero K surface temperature,  
5 *i.e.*, they are motionless. Finite temperature effects can be  
modeled on the basis of Debye–Waller factors<sup>2,9</sup> (*vide infra*).  
The directions of the diffracted beams are obtained from the  
two-dimensional Bragg conditions, which depend on the length  
(energy) and the component parallel to the surface of the wave  
10 vector of the incident beam. The detailed formalism of the  
method can be found for example in ref. 3. All close-coupling  
calculations were carried out by using the program CPXCC.<sup>2,3,46</sup>

In order to compare the calculated intensities to experi-  
mental reference data finite temperature effects have to be  
15 taken into account. The thermal correction at a certain surface  
temperature due to classical vibrations of the surface atoms in  
the direction perpendicular to the surface is given by the  
corresponding Debye–Waller factor.<sup>2,9</sup> It relates the intensity  
 $I(T)$  at that temperature  $T$  of a diffraction peak to the zero-  
20 temperature intensity  $I_0$  by

$$I(T) = I_0 e^{-2W(T)}. \quad (3)$$

Treating each atom as an independent Einstein oscillator,  
the dependence of the exponent on the temperature takes the  
25 form

$$2W(T) = \frac{3\hbar^2 T (k_{iz} + k_{fz})^2}{M k_B \Theta_D^2}, \quad (4)$$

30 where  $\Theta_D$  is the Debye temperature,  $k_{iz}$  and  $k_{fz}$  are the  $z$ -  
projections of the incident and diffracted wave-vectors,  $M$  is  
the averaged reduced mass of the surfaces atoms, and  $k_B$  the  
Boltzmann constant. For our system the Debye temperature is  
495 K<sup>49</sup> and the experiments,<sup>7,22</sup> used here as the reference,  
35 were conducted at temperature  $T = 300$  K. Finally, the accelera-  
tion of the helium atoms near the surface due to the attractive  
potential well with a depth  $D$  is taken into account by using the  
Beeby correction,<sup>50</sup> which replaces the  $z$  components of the  
initial and final wave vectors with

$$k_{i/f,z} \Rightarrow \sqrt{k_{i/f,z}^2 + \frac{2\mu D}{\hbar^2}}. \quad (5)$$

### III. Calculations

45 In order to facilitate the simulation of the diffraction intensi-  
ties, the potential energy surfaces as obtained from periodic  
LMP2/Ups1, LMP2/Ups3, and unscaled LMP2 calculations, were

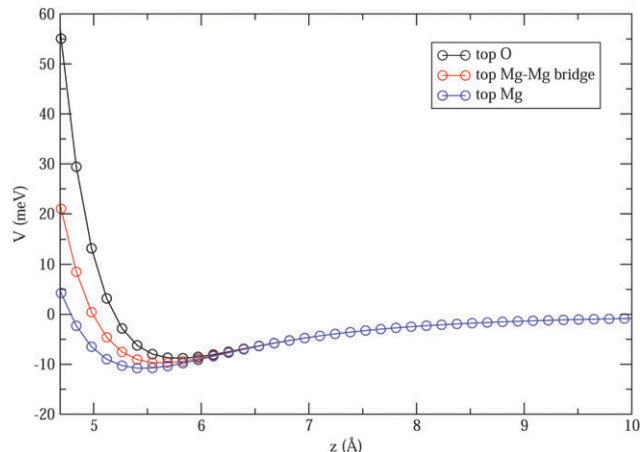


Fig. 3 Fitted potential for various positions of the He on top of the surface: (i) atop O (black), (ii) atop Mg (blue), and (iii) atop the Mg–Mg bridge position (red).

subsequently fitted to Buckingham pairwise potentials<sup>14</sup> by  
20 using the program GULP.<sup>51</sup> The resulting parameters of these  
model potentials are given in Table 2.

Fig. 3 displays the LMP2/Ups1 fitted potential along the  $z$ -  
direction for three different positions of He atop the surface: (i)  
atop the oxygen atoms, (ii) atop the Mg-atoms, and (iii) atop the  
25 Mg–Mg bridge position. The well depths of these potential  
curves are  $-8.80$ ,  $-10.80$  and  $-9.72$  meV, respectively, while  
the averaged well depth  $D$ , employed in eqn (5) is  $-9.62$  meV. In  
Fig. 3 one can also trace the growth of the amplitude of the  
corrugation function  $z(E, x, y)$  with increasing energy.

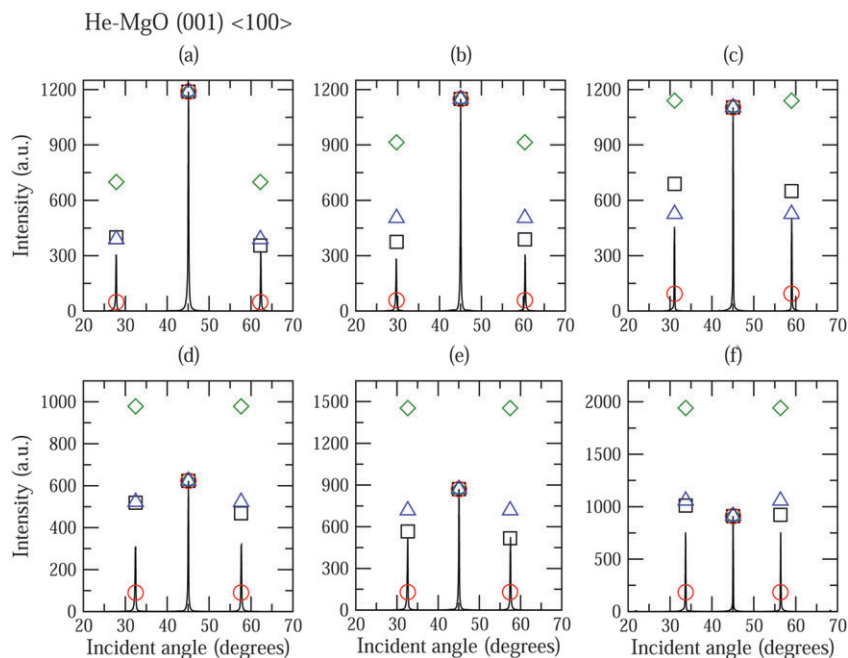
To further characterize the LMP2/Ups1 potential we calcu-  
lated the corresponding energies of the  $\Gamma$ -point vibrational  
states. For this purpose a vibrational band-structure plane-  
wave program<sup>11</sup> was used, that solves the Schrödinger eqn (2)  
for the bound states within the full 3D (2D-periodic) potential.  
35 For the LMP2/Ups1 potential 5 bound states were obtained with  
the energies:  $E_0 = -7.59$  meV,  $E_1 = -4.10$  meV,  $E_2 = -2.05$  meV,  
 $E_3 = -0.85$  meV, and  $E_4 = -0.30$  meV, all of them with an error  
of  $\pm 0.01$  meV. These values are overall in reasonably good  
40 agreement with the experimental ones reported in ref. 52  
( $-5.5$  meV,  $-2.6$  meV,  $-1.2$  meV,  $-0.5$  meV,  $-0.3$  meV),  
especially in the light of the results of ref. 21. As it is argued  
there, the upscaling model can lead to an overestimation of the  
well depth by up to 2 meV.

Now we focus on the main topic of the current article—the  
45 diffraction intensities, which were calculated for various  
helium beam energies and directions, for which experimental  
data is available.<sup>7</sup> The experimental diffraction peak intensities

Table 2 Fitting parameters for the Buckingham pairwise potential where  $A_{\text{HeX}}$  and  $C_{\text{HeX}}$  are respectively the repulsive and attractive coefficients of the He–X interactions (where X = O and Mg)

	$A_{\text{HeO}}$ (meV Å)	$\rho_{\text{HeO}}$ (Å)	$C_{\text{HeO}}$ (meV Å <sup>6</sup> )	$A_{\text{HeMg}}$ (meV Å)	$\rho_{\text{HeMg}}$ (Å)	$C_{\text{HeMg}}$ (meV Å <sup>6</sup> )
LMP2	$1.4 \times 10^5$	$3.5 \times 10^{-1}$	$6.3 \times 10^3$	2.1	$4.5 \times 10^{-1}$	$7.0 \times 10^{-1}$
LMP2/Ups1	$2.2 \times 10^5$	$3.4 \times 10^{-1}$	$11.7 \times 10^3$	$2.8 \times 10^1$	$4.8 \times 10^{-1}$	$3.0 \times 10^{-1}$
LMP2/Ups3	$2.4 \times 10^5$	$3.3 \times 10^{-1}$	$11.9 \times 10^3$	$4.5 \times 10^1$	$4.8 \times 10^{-1}$	$2.0 \times 10^{-1}$

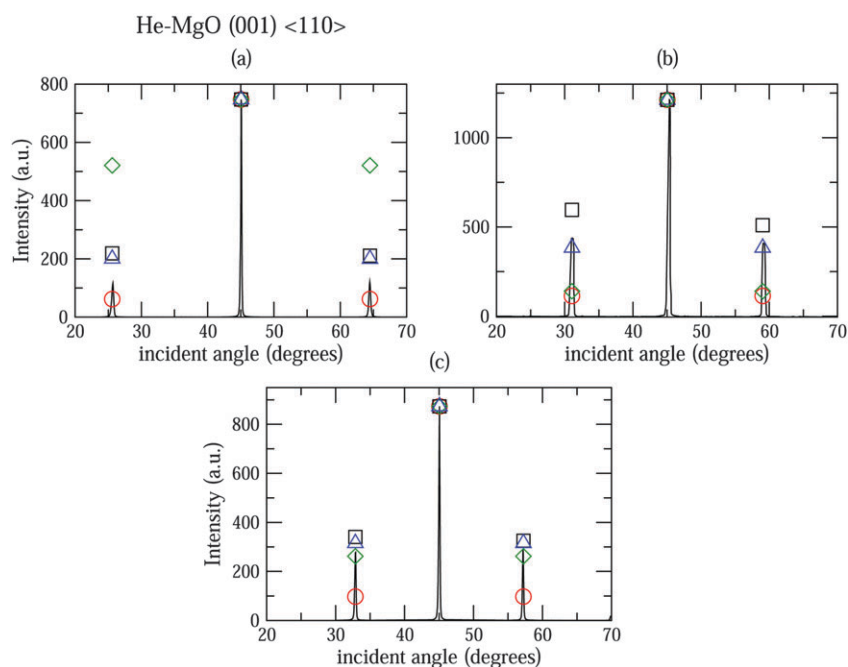




**Fig. 4** Comparison of the close-coupling intensities at  $\langle 100 \rangle$  direction of incident beam for the MP2 (red circles), Ups3 (green diamonds) and Ups1 (blue up triangles) with the experimental spectra (black lines) and the peak areas (black squares). The diffraction peaks are given in counts per s; the peak areas in counts  $\times$  deg per s, and close-coupling intensities have been normalized in a way that the specular (central) peak appears at the maximum of the experimental peak. The considered incident energy are the following: (a)  $E_i = 26.62$  meV, (b)  $E_i = 33.30$  meV, (c)  $E_i = 40.02$  meV, (d)  $E_i = 48.96$  meV, (e)  $E_i = 50.20$  meV and (f)  $E_i = 60.47$  meV.

and the corresponding integrated peak areas<sup>7,22</sup> for the two azimuthal  $\langle 100 \rangle$  and  $\langle 110 \rangle$  He-beam directions are displayed in Fig. 4 and 5, respectively. We note that some of the experimental

spectra, presented here, were not explicitly reported in ref. 7, but have been directly provided to us by Dr F. Traeger. It is usually argued (*cf. e.g.* ref. 53) that the peak areas are a more reliable



**Fig. 5** Comparison of the close-coupling intensities at  $\langle 110 \rangle$  direction of incident beam for the MP2 (red circles), Ups3 (green diamonds) and Ups1 (blue up triangles) with the experimental spectra (black lines) and the peak areas (black squares). The diffraction peaks are given in counts per s; the peak areas in counts  $\times$  deg per s, and close-coupling intensities have been normalized in a way that the specular (central) peak appears at the maximum of the experimental peak. The considered incident energy are the following: (a)  $E_i = 10.52$  meV, (b)  $E_i = 19.93$  meV, (c)  $E_i = 26.16$  meV.

1 representation of the intensity for comparison with calculated  
intensities, than the peak heights themselves, since effects of  
diffraction peak broadening due to energy and momentum  
spread of the He beam, are taken into account in the former.

5 The same figures also contain the relative intensities (normed to  
the intensities of the central peaks) calculated by employing the  
Ups1 model (the most accurate one, *cf.* Section IIA), and according  
to the Ups3 and pure LMP2 models, for comparison. Agreement of  
the LMP2 and Ups3 intensities with experiment is clearly very poor,  
10 whereas the Ups1 model provides indeed quite accurate diffraction  
peak intensities. The dramatic improvement of the agreement  
with experiment for the Ups1 model indicates (i) the sensitivity  
of the calculated diffraction peak intensities on the quality of the  
potential energy surface, and (ii) the effectiveness of the correcting  
15 scheme described above and the importance of the corrections of  
both method and basis set deficiencies.

Interestingly, the corrections themselves do not lead to a  
substantial change in the potential or the corrugation function  
(*e.g.* the amplitudes of the corrugation function in the Ups3 and  
20 Ups1 models differ only by about 10%). However, even such a  
small modification has extreme consequences for the relative  
amplitudes: the Ups3 amplitudes deviate from the Ups1 ones by  
a factor of two. Such a strong sensitivity of the intensities to the  
form of the surface corrugation renders He scattering as a highly  
25 accurate experimental tool to determine He–surface potentials.  
To reach the required extremely high precision in first-principle  
calculations of such potentials is indeed very challenging, but  
possible, as demonstrated by the present work.

Finally, we investigate the influence of the surface rumpling  
30 on the intensities. For the MgO(100) surface, rumpling man-  
ifests mainly in a shift of the Mg atoms inwards by about 0.02 Å  
(*cf. e.g.* ref. 35 or ref. 6, and references therein). We recalculated the  
diffraction patterns employing the fitted LMP2/Ups3 potential for  
the MgO with the rumpled surface, but the impact on the  
35 intensities was found to be very small. We attribute the low  
sensitivity of the intensities to rumpling in MgO to fact that the  
He–MgO interaction (both the attraction and repulsive components  
thereof) and thus the corrugation function for the low energies  
involved in this study are mainly determined by the electron clouds  
40 around the oxygen atoms, which are not affected significantly by  
rumpling. At higher energies the effect of the rumpling on the  
corrugation becomes more pronounced.<sup>6</sup> Higher energy He beams,  
accessible *via* the Fast Atom Diffraction technique,<sup>4,5</sup> could there-  
fore provide more information about rumpling.<sup>6</sup>

## IV. Conclusions

45 In this work we have simulated the diffraction peak intensities  
of He beams scattered on the MgO(100) from first principles.  
This is a very challenging endeavor due to the fact that the  
diffraction peak intensities are very sensitive to the He–surface  
interaction potential, which in turn poses high demands on the  
quality of the *ab initio* electronic structure methods used to  
55 construct the potential energy surface. In order to achieve the  
required precision we applied a recently developed hierarchical

first-principle computational protocol to calculate the He–MgO(100)  
interaction energies. It is based on a periodic local MP2 treatment  
with scaled intra-surface(slab) and inter-surface(slab)-helium corre-  
lation components of the interaction energy. The appropriate scaling  
factors correcting for method- and basis set errors are obtained  
5 from LMP2 and CCSD(T)/basis-set-extrapolated calculations on the  
He–Mg<sub>3</sub>Na<sub>2</sub>O<sub>4</sub> dimer, which mimics the target system. Actually,  
as it turns out, even a CCSD(T) treatment, the “gold standard” of  
numerical quantum chemistry, provides insufficient accuracy.  
Hence, we evaluated an additional CCSDT(Q)–CCSD(T) correction  
10 on the smaller He–Mg<sub>2</sub>O<sub>2</sub> dimer (CCSDT(Q) calculations are extre-  
mely expensive). The CCSDT(Q)–CCSD(T) correction is virtually zero  
for the long-range part of the CCSD(T) potential, yet has a noticeable  
impact on the repulsive part, making it steeper. Generally, it shifts  
the CCSD(T)/basis-set-extrapolated potential curve back to that  
15 obtained with CCSD(T)/aug-cc-pVTZ. The latter potential thus is  
expected to provide the most accurate diffraction peak intensities,  
which were obtained by employing the close-coupling method, with  
finite temperature effects included *via* corresponding Debye–Waller  
factor. The simulated diffraction peak intensities turned out to be in  
20 excellent agreement with available experimental data.

We conclude that the proposed methodology opens a way to  
predict accurate first principles diffraction patterns for helium  
atoms scattered on non-conducting surfaces. Such calculations  
will provide important support for experimental investigations  
25 of surfaces using helium beam techniques. In future work we  
plan to apply this method to predict helium diffraction inten-  
sities for surfaces of several other oxide crystals.

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