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Recent Advances on Catalytic CO₂ Reduction by Organometal Complexes Anchored on Modified Electrodes

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Critical overview of the very recent literature on heterogeneous electrochemical catalysis of CO₂ reduction with organic metal modified electrodes is summarized. Five methods for electrode functionalization are discussed and compared in terms of efficiency and selectivity: adsorption, by using membranes, adopting an “hybrid” covalent organic framework approach, performing electropolymerization directly on the electrode surface, and exploiting the formation of strong covalent chemical bonds between the surface and the desired catalyst.

Introduction

The catalytic conversion of the extremely stable CO₂ molecule to usable fuels or chemical products is a critical goal that would positively impact the global environment and hopefully helping in overcoming some of the problems of energy crisis.¹⁻⁶ Valuable products, such as carbon monoxide, formic acid and high-molecular-weight polymers, obtained from the electrochemical conversion of CO₂, have been indicated as chemicals that can store intermittent energy sources, thus balancing power supply and energy demand and environmental concerns of greenhouse gas emissions. A possible approach to reduce the large overpotentials required for this multi-electron process is the use of organometal molecular compounds, able to catalyze CO₂ reduction through proton-assisted processes.^{4, 7} Most electrocatalytic studies in this field focus primarily on the catalyst/substrate interaction in homogeneous solution. Relatively few works were focused on the heterogeneous electrochemical catalysis despite the large number of potential advantages such as easier recovery of products and catalyst, small amounts of catalyst necessary for efficient electrolysis, deactivation pathways often hindered or suppressed and elimination of solubility problems. Furthermore, in heterogeneous system the electron transfer will be more efficient to the attached or bonded catalyst compared with that in solution, lowering the limitation of the electron transfer in the catalytic cycle. Transition metal complexes immobilized on electrode surfaces can represent promising catalysts to be utilized on a large-scale process for CO₂ reduction, particularly if non-precious metals like cobalt, iron, nickel, copper, and zinc are involved. Although the interest in this area began several decades ago, it has drawn substantial attention only in the recent years, due to the development of photoelectrochemical (PEC)

cells dedicated to CO₂ reduction, currently one of the most popular topic.⁶ PEC cell design is a complex matter and involves simultaneous managing of a multitude of processes related to solar excitation, charge separation, electrical conduction, molecular transport, catalytic chemistry, and reaction product recovery. Progress in this field have been made, especially for H₂O reduction,^{8,9} but PECs for CO₂ reduction are still in their infancy. An interesting review on modified electrodes by anchoring transition metal complexes as catalyst for CO₂ reduction has been published some years ago by Vos and coworkers.¹⁰ Nevertheless the field is so rapidly growing both in interest and importance that a critical overview of the recent results is desirable. In this focus we present a brief overview of the most important recent results of such modified electrodes as electrocatalysts for CO₂ reduction by different methods of anchoring transition metal complexes on the electrodes.

Electrode functionalization by adsorption

Among the different techniques for immobilizing the catalysts onto the electrode surface, chemisorption certainly represents one of the most convenient method.¹¹⁻¹³ Catalyst molecules bearing special moieties such as aromatic group or double bonds, etc. show chemical affinity to form spontaneously non covalent modification of electrode surfaces enough stable for long periods in solvents where they are insoluble. Meshitsuka and coworkers¹⁴ in 1974 published the first attempt to perform heterogeneous CO₂ electrocatalysis by phthalocyanine (Pc) metal (Mn, Pd, Cu, Fe, Co, Ni) complexes (MPc) adsorbed on graphite electrode surface; Co(Pc) proved to be one of the most reactive catalysts for CO₂ electroreduction. After the seminal work of Lehn and coworkers¹⁵ that introduced bipyridyl Re complex into CO₂ electrocatalysis, many efforts have been performed with these complexes due to their higher selectivity compared with other catalysts. However the first paper of non-covalent surface attachment of a bipyridyl Re mo-

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lecular complex for catalytic CO₂ reduction appeared only in 2013.¹⁶ A graphite electrode was modified with a Re complex containing pyrene groups that sticks on the graphite electrode through a π-π stacking interaction. At a rather negative applied potential, -1.67 V vs NHE (Normal Hydrogen Electrode), in acetonitrile, the modified electrode shows CO faradaic efficiency (η_{CO} , defined as the moles of electrons consumed to get the desired reaction product divided by the total moles of electrons transferred from anode to cathode⁶) = 70% and TON_{CO} = 58 with no H₂ formation (TON= Turn Over Number, defined as the moles of intended reaction product formed divided by the moles of catalytic sites). Functionalized surface lost its activity after 1 h due to the reduction of the pyrenyl moieties at the very negative potentials, probably due to electrostatic repulsion with the surface and consequent loss of planarity and surface binding.

Compared to CO₂ reduction by one-electron process, proton coupled multi-electron reduction requires less overpotential.^{10, 17} An ITO/multilayer electrode¹⁸ was modified by the Layer-by-Layer method (LBL) with corresponding multimetallic complex, Mn(III)/Ni(II)/Zn(II) μ-(meso-5,10,15,20-tetra(pirydil)porphyrin) tetrakis [bis (bipyridine) chloride ruthenium(II)] ([MTRP]ⁿ⁺ (Fig. 1; M = Mn(III), Ni(II) and Zn(II), n = 5, 4, 4 respectively), and polyoxotungstate ([SiW₁₂O₄₀]⁴⁻). By performing electrolysis at -0.8 V vs. Ag/AgCl for 6 h in aqueous solution, CO was the only product on [ZnTRP]ⁿ⁺/[SiW₁₂O₄₀]⁴⁻ multilayer electrode with TON_{CO} = 3850, while the analogues [NiTRP]⁴⁺/[SiW₁₂O₄₀]⁴⁻ and [MnTRP]⁵⁺/[SiW₁₂O₄₀]⁴⁻ produced methanol (TON_{MeOH} = 1.76×10⁵) and formic acid (TON_{HCOOH} = 1.06×10⁶), respectively. It is worth noting in the energy transfer of the TRP that extra irradiation altered the catalysis pathway and lowered the potential, enhancing the catalytic efficiency. At -0.6 V vs. Ag/AgCl under irradiation, [MnTRP]⁵⁺/[SiW₁₂O₄₀]⁴⁻ produced formaldehyde only with TON_{HCHO} = 1.8×10⁵.

With the help of polyethyleneimine and high surface area multi-walled carbon nanotubes (MWCNT), Zhao and coworkers¹⁹ were able to produce cobalt tetra-amino-phthalocyanine (CoTAPc) modified electrodes with multilayers by using LBL self-assembly technique. The new electrodes significantly decreased the overpotential of the CO₂ reduction, and the cathode successfully reduced CO₂ to formic acid. MWCNT/CoTAPc modified electrode produced twice number of moles of formic acid than the electrode without MWCNT. Later, they reported a glassy carbon (GC) or carbon felt electrode functionalized with MWCNT/FeTAPc, showing catalysis at less negative potential and higher reaction rate than the electrode with only MWCNT or FeTAPc.²⁰

Electrode functionalization by membrane

Polymeric matrices which could confine the catalyst in a membrane attached onto the electrode surface provides another convenient way for electrode modification. The electrochemical reaction takes place in the membrane-electrode-catalyst layers and requires high proton and electron conductivity, and effective access of reactants to the catalyst. Preliminary inves-

tigation in this field have been reported by Yoshida and coworkers²¹ that studied the catalytic activity towards CO₂ reduction in aqueous solution of [Co(tpy)₂]²⁺ (tpy = 2,2':6',2"-terpyridine) on Nafion coated

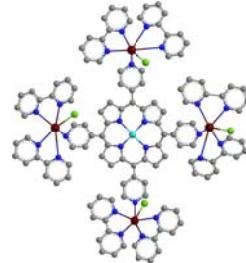


Figure 1. Structural representation of [MTRP]ⁿ⁺ (M = Mn(III), Ni(II) and Zn(II), n = 5, 4, 4 respectively)¹⁸.

carbon electrodes. Re(bpy)(CO)₃Br and Re(tpy)(CO)₃Br (bpy = 2,2'-bipyridine, tpy = 2,2':6',2"-terpyridine) coated on Nafion membranes in aqueous solution showed higher selectivity,²² but strongly dependent on the applied potentials. In particular Re(tpy)(CO)₃Br complex produces mainly formic acid and requires larger overpotential than the bpy analogous.

As a substitute of Re, bipyridyl Mn complexes shows excellent selectivity in CO₂ with²³ and without²⁴ added Brønsted acid. In 2014 Cowan's group²⁵ embedded [Mn(bpy)(CO)₃Br] in a Nafion membrane as an active heterogeneous electrocatalyst for CO₂ reduction in neutral aqueous electrolyte at less negative potential compared with the homogeneous solution. Although the number of active catalytic molecules were rather limited in the membrane, TON reached 471 (~36 fold higher than the homogeneous counterpart²³), η_{CO} = 51% and η_{H2} = 24% after 4 hours at -1.5 V vs. Ag/AgCl. The [Mn(bpy)(CO)₃Br]/MWCNT-/Nafion electrode leads to a 10 fold current enhancement, but with lower TON value (101) and lower selectivity (η_{CO} = 22%, η_{H2} = 47%). Very recently, they immobilized [Mn(bpy('Bu)₂-CO)₃Br]²⁶ (bpy('Bu)₂ = 4,4'-di-tert-butyl-2,2'-bipyridine) on GC by Nafion/MWCNT. This film improved the selectivity towards CO₂ at -1.4 V vs. SCE (η_{CO} = 44%, η_{H2} = 46%), exceeding that of the previously reported [Mn(bpy)(CO)₃Br]/MWCNT/Nafion electrode. The catalysts obtained by replacing bpy with 4,4'-dicarboxylic acid bpy or with 4,4'-diol bpy were found to be active towards CO₂ in acetonitrile solutions, but not in Nafion membranes. Aoi and coworkers²⁷ studied the CO₂ reduction with a GC electrode modified by a CoCl₂ complex, Co^{II}(Ch), adsorbed on MWCNT confined in Nafion (Fig. 2). At -1.1 V vs. NHE in aqueous solution in pH 4.6 buffer, the system yielded CO and H₂ as major products with TON = 1100 (with a Turn Over Frequency, TOF, defined as TON divided by the reaction time, equal to 140 h⁻¹) η_{CO} = 89%, η_{H2} = 11%.

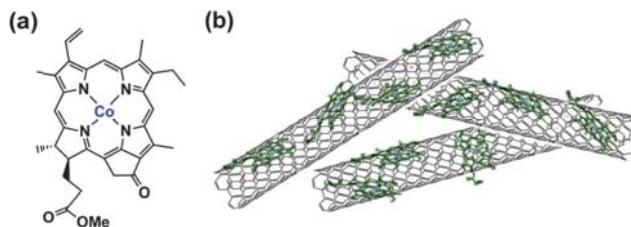


Figure 2. (a) Structure of Co^{II}(Ch) and (b) schematic image of Co^{II}(Ch) on MWCNTs²⁷.

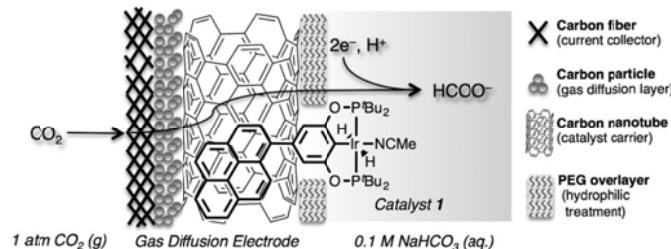


Figure 3. Carbon nanotube-coated gas diffusion electrode, with Ir pincer dihydride catalyst bounded on the surface for electrochemical reduction of CO₂ to formate²⁸.

Gas diffusion electrode (GDE) promotes catalytic CO₂ reduction in solution at gas-liquid interface.²⁹ Meyer's group²⁸ reported GDE/MWCNT/Ir/PEG system (Ir = iridium catalyst, see Fig. 3, PEG = polyethylene glycol) for CO₂ reduction, where the catalyst was non-covalently adsorbed on the MWCNT, and covered by PEG on the top of the surface. Also, the GC and the fluorine-doped tin oxide (FTO) electrodes were investigated for comparison. The GDE system showed a little better TON than others, while the GC system exhibited $\eta_{\text{HCOO}^-} = 93\%$, TON = 5300 in 2 h at -1.4 V vs. NHE in aqueous solution with $\eta_{\text{H}_2} = 7\%$, and TON = 203000 in 8 h, which is about four orders of magnitude higher than homogeneous solution³⁰. By using a membrane, anchoring the catalyst becomes easier, and the catalysis can be directly tested. Singh and coworkers³¹ tested the CO₂ reduction catalytic activity of Ni and Cu salen ligand complexes directly applied with Nafion coated on GDE. These salen ligand complexes showed long stability and produced more quantity of hydrocarbons and CO at a 10-25% less overpotential than their respective metals, with total η of 74% for Ni complex and 25% for Cu complex at -1.5 V and -1.8 V vs. Ag/AgCl, respectively. The catalyst (N,N-Bis(salicylidene)-ethylenediamine-Co) was dispersed in carbon black,³² and the mixture was covered with Nafion over GDE. The electrode with 60wt% exhibited the best activity for CO₂ reduction in aqueous solution, due to the right balance of porosity and conductivity of the carbon black mixture.

Electrode modification by covalent organic frameworks (COF)

Without the membrane support, catalyst can also be physically compacted on the rough electrode surface. Very recently Yaghi and coworkers^{33, 34} reported cobalt porphyrin catalysts on COFs, bridged by organic struts via functional groups. The microcrystalline COF formed automatically on alumina coated fluorine-doped tin oxide (FTO)³⁴ or the powders were directly deposited on porous conductive carbon fabric for aqueous electrochemical reduction of CO₂. The catalysts exhibited high η_{CO} and TON_{CO}, and long stabilities. The microcrystalline COF deposited on carbon fabric³³ showed larger porous, more dispersed Co centers and higher TON, but lower selectivity and lower η_{CO} , and it suffered low active sites' ratio (4% of the total catalyst on the surface) caused by aggregation. Even though,

they are among the most active catalysts (Fig. 4) with TON_{CO} ≈ 48000 per active site in 24 h with $\eta_{\text{CO}} = 83\%$ and $\eta_{\text{H}_2} = 24\%$.

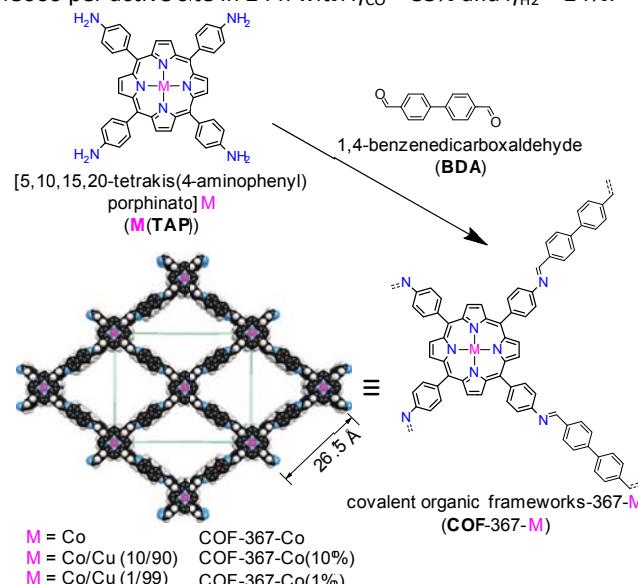


Figure 4. Design and synthesis of metallocporphyrin-derived 2D covalent organic frameworks³³.

Electrode functionalization by electropolymerization

If the catalyst bears a suitable group able to polymerize, the electrode surface can be easily modified by electropolymerization.^{35, 36} Portenkirchner and coworkers^{37, 38} electropolymerized a 5,5'-bisphenylethylnyl bipyridyl Re complex on the electrode surface, as a potential catalyst for CO₂ reduction. However, the homogeneous and the heterogeneous catalyst showed in CH₃CN solutions relative low η_{CO} for CO₂ reduction, 45% and 33% respectively. We very recently exploited the electropolymerization properties of Re(bpy) complexes containing the thiophene moiety, that have the advantage to produce an electron-conducting film, with interesting results.³⁹

The electrocatalytic reduction of CO₂ on a ITO electrode covered with cobalt 5,10,15,20-tetrakis(4-aminophenyl)porphyrin (Co-TAPP) polymer in 1-butyl-3-methylimidazolium tetrafluoroborate, BMImBF₄) as a novel reaction media,⁴⁰ occurred at a particularly positive potential, -0.8 V vs. Ag/AgCl, which means a significant energy gain in the electrochemical fixation of CO₂. Only CO was detected by gas chromatography, with $\eta_{\text{CO}} = 64.9\%$ and TON = 69 for 4 h. Later, Zn(II) and Fe(III) were used as catalysts with the same procedure,⁴¹ but while the electropolymerized Fe porphyrin was active toward CO₂ reduction, the corresponding Zn derivative was poorly active.

Electrode functionalization by chemical bonds

Formation of covalent chemical bonds between the catalyst and the surface impart particular stability to the modified electrodes. Cobalt porphyrin complexes bearing peripheral acetylene groups was covalently linked to the boron-doped p-type

conductive crystalline diamond electrode surface decorated with alkyl azides.⁴² The modified diamond surface was used as “smart” electrode, exhibiting good stability and electrocatalytic activity for CO₂ reduction to CO in acetonitrile solution.

Very recently, the electrochemical behavior of a GC prepared by simple and direct functionalization of terpyridine containing diazonium salts was explored.⁴³ This versatile strategy allows to extend the use of such modified electrodes through the reversible coordination of a variety of metal ions; for example cobalt center have been tested for the CO₂ reduction, with TON_{CO} = 70 for 30 min.⁴³

Please Insert Table 1 (that contains Ref. ⁴⁴) around here

Conclusions

Table 1 summarizes the properties of the electrodes herein considered. Often organic metal complexes anchored on modified electrodes exhibit better Turn Over Number, faradic efficiencies, stability, and/or less negative overpotentials compared with the corresponding complexes in homogeneous solution, highlighting the beneficial effect of the immobilization. Physical attachment of catalysts on the surface by Nafion, polymer membrane or nanoparticles etc., would have the drawback of lower number of active sites on the surface (because part of the catalyst embedded in the solid structure may be hidden to the reaction), while monolayer of covalently chemically bonded species or adsorbed polymer, produced also by electropolymerization, have less total amount, but potentially better electron conduction properties. Although no general rule can be suggested at this stage of the research, the best solution appears to adopt electrodes with the largest surface area, in order to maximize the amount of active catalyst in contact with the reactive interface. Hence, the new electrode modification methods by novel hybrid electrode material via covalent organic framework approach seems quite promising. The challenge is to provide an adequate transport of materials (to and from the catalytic center) and electrons (via a conductive material) to the active surface.

The recent works suggested that dispersed catalyst could exhibit much better activity than the concentrated ones, such as the complexes with pores or localized in the porous matrix. That may arise from the more free catalytic environment around the catalyst center, or because reaction products may interfere with the catalytic cycle. The Gas Diffusion Electrode approach that adopt the conductive black carbon is also appealing, due to the gaseous nature of CO₂ that would allow the direct reaction without the need to use an organic solvent for CO₂ solutions. Thus the Covalent Organic Framework and Gas Diffusion Electrode approaches are actually the most efficient ones in term of TON, selectivity, and durability, and appear to be suitable to be embedded in a Photo Electrochemical Cell for CO₂ reduction.

From this point of view the research field appears to be promising and mostly unexplored, when compared to the homogeneous counterpart. The use of intact transition metal com-

plexes anchored to conducting surface appear to be one of the main research fields that would allow a competitive development of Photo Electrochemical solar cell for selective CO₂ conversion, allowing the molecular design of the catalysts. Investigation of proton assisted multi-electron reduction in different solvents, may significantly alter the selectivity, and it seems to be the preferred pathway to follow, due to benefits in decreasing the reduction overpotentials and increasing selectivity.

Although, a lot of efforts have been done in the heterogeneous CO₂ reduction, the elucidation of the mechanism is still obscure compared with the homogeneous, and the catalytic pathways seldom discussed, even there's some works that mention the use of Infrared⁴⁵ or Electrochemical Impedance Spectroscopy⁴⁰. The knowledge of the mechanism will certainly help the design of more efficient catalysts and systems. So there are a plenty of research opportunities ranging from molecular or solid state calculations, in *in-situ* spectroscopic techniques, and especially electrochemical techniques that allow to study the chemical reaction at the interfaces.

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Table 1. Comparison of modified electrode performances for catalytic CO₂ electrochemical reductions in MeCN solutions (0.1 M TBAPF₆).

| Method | Electrode/(catalyst) | E (V) | E (V) ^a vs. NHE | η_{CO} (%) | TON _{CO} | Time (h) | Solution | Ref |
|------------|--|-------------------|-------------------------------|-----------------|---------------------------------|-------------|--|---------------|
| Adsorption | graphitic carbon electrode/([Re(P)(CO) ₃ Cl]) | -1.67 vs NHE | -1.67 | 70 | 58 | 1 | 0.1M TBAPF ₆ MeCN | ¹⁶ |
| | ITO/([ZnTRP] ⁿ⁺ /[SiW ₁₂ O ₄₀] ⁴⁻) | -0.8 vs Ag/AgCl | -0.51 | --- | 3850 | 6 | 0.1 M NaClO ₄ | ¹⁸ |
| | ITO/([NiTRP] ⁴⁺ /[SiW ₁₂ O ₄₀] ⁴⁻) | -0.8 vs Ag/AgCl | -0.51 | --- | 1.76×10^5 ^a | 6 | 0.1 M NaClO ₄ | ¹⁸ |
| | ITO/[MnTRP] ⁵⁺ /[SiW ₁₂ O ₄₀] ⁴⁻) | -0.8 vs Ag/AgCl | -0.51 | --- | 1.06×10^6 ^b | 6 | 0.1 M NaClO ₄ | ¹⁸ |
| | ITO/ ([MnTRP] ⁵⁺ /[SiW ₁₂ O ₄₀] ⁴⁻) ^c | -0.65 vs Ag/AgCl | -0.36 | --- | 1.86×10^5 ^d | 3 | 0.1 M NaClO ₄ | ¹⁸ |
| Membrane | GCE/([Mn(bpy)(CO) ₃ Br]) | -1.50 vs Ag/AgCl | -1.21 | 51 ^e | 471 | 4 | phosphate buffer pH=~7 | ²⁵ |
| | GCE/([Mn(bpy)(CO) ₃ Br]/ MWCNT) | -1.50 vs Ag/AgCl | -1.21 | 22 ^e | 101 | 4 | phosphate buffer pH=~7 | ²⁵ |
| | GCE/([Mn(bpy)(^t Bu) ₂)(CO) ₃ Br]/ MWCNT) | -1.40 vs. SCE | -1.07 | 44 ^e | 7.3 | 4 | phosphate buffer pH=~7 | ²⁶ |
| | GCE/([Co ^{II} (Ch)]/ MWCNT) | -1.10 vs. NHE | -1.10 | 89 ^e | 1100 | 8 | 5 mM NaClO ₄ pH=4.6 ^f | ²⁷ |
| | GDE/ (Ir /MWCNT) | -1.40 vs. NHE | -1.40 | 93 ^g | 5300 ^g | 2 | 0.1m NaHCO ₃ , 0.5m Li-CIO ₄ , 1% MeCN v/v | ²⁸ |
| COF | carbon fabric/(COF-367-Co) | -1.30 vs. Ag/AgCl | -1.01 | 83 ^e | 48000 | 24 | 0.5 M KHCO ₃ pH=7.3 | ³³ |

| | | | | | | | | |
|---|--|-------------------|-------|------|------|-----|------------------------------|----|
| | FTO/(Al ₂ (OH) ₂ TCPP-Co) ^h | -1.33 vs. Ag/AgCl | -1.04 | 76 | 1400 | 7 | 0.5 M KHCO ₃ | 34 |
| electropolymerization Pt-plate /([Re(5,5'-bisphenylethynyl-2,2'-bipyridyl)(CO) ₃ Cl]) | | -1.6 vs. NHE | -1.60 | 33 | --- | 1 | 0.1M TBAPF ₆ MeCN | 38 |
| | ITO/(Co-TAPP) | -0.8 vs. Ag/AgCl | -0.51 | 64.9 | 69 | 4 | BMIImBF ₄ | 40 |
| Chemical bond | GCE/Co-tpy | -1.50 vs. Ag/AgCl | -1.21 | --- | 70 | 0.5 | 0.1 M TBAPF ₆ DMF | 43 |
| a) TON _{MeOH} ; b) TON _{HCOOH} ; c) under irradiation; d) TON _{HCOOH} ; e) TON _{H2} ; f) adjusted by H ₂ SO ₄ aqueous solution; g) formate; h) TCPP-H ₂ = 4,4',4'',4''-(porphyrin-5,10,15,20-tetrayl)tetrabenzoate. i) the redox potentials are reported against NHE for comparison, using the value in Ref. ⁴⁴ | | | | | | | | |