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# Generalized Jacobi morphisms and the variation of the Einstein tensor

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## Abstract

In the framework of finite order variational sequences generalized Jacobi morphisms for General Relativity are explicitly represented by means of variational vertical derivatives and compared with classical results concerning the variation of the Einstein tensor.

**Key words:** fibered manifold, jet space, variational sequence, Helmholtz morphism, Jacobi morphism, variation, Einstein tensor.

**2000 MSC:** 55N30, 55R10, 58A12, 58A20, 58E30, 70S05, 70S10.

## 1 Introduction

In this note we shall evaluate the Jacobi morphism for the Hilbert–Einstein Lagrangian and verify that the result provides an alternative way to get the variation of the Einstein tensor. We consider the geometrical formulation of calculus of variations on finite order jets of a fibered manifold in terms of *variational sequences* introduced by Krupka [10, 11].

We introduce the notion of iterated variation of a section as an  $i$ -parameter ‘deformation’ of the section by means of vertical flows and thus define the  $i$ -th variation of a morphism in the variational sequence, which, in turn, is very simply related to the iterated Lie derivative of the morphism itself. Relying on previous results of us [6] on the representation of the Lie derivative operator in the variational sequence we can then define an operator on the quotient sheaves of the sequence, the *variational vertical derivative*. We

relate the second order variation of a generalized Lagrangian with the variational Lie derivative of generalized Euler–Lagrange operators associated with the Lagrangian itself [4, 5]. In particular, we show then that Euler–Lagrange equations as well as Jacobi equations, for a given Lagrangian  $\lambda$ , can be obtained from a unique variational problem for the Lagrangian  $\hat{\delta}\lambda$  (the variational vertical derivative of  $\lambda$ ), in terms of its generalized symmetries (see also [3]). The generalized Jacobi morphism is then represented as a new geometric object in the variational sequence. It turns out that the generalized Jacobi morphism is closely related with the generalized Helmholtz morphism.

As an application of the above mentioned results, the Jacobi morphism for the Hilbert–Einstein Lagrangian is calculated and a proposition stating its equivalence with the variation of the Einstein tensor is proved.

## 2 Variational sequences on jets of fibered manifolds

Our framework is a fibered manifold  $\pi : Y \rightarrow X$ , with  $\dim X = n$  and  $\dim Y = n + m$  (see *e.g.* [12]). For  $r \geq 0$  we are concerned with the  $r$ -jet space  $J_r Y$ ; in particular, we set  $J_0 Y \equiv Y$ . We recall the natural fiberings  $\pi_s^r : J_r Y \rightarrow J_s Y$ ,  $r \geq s$ ,  $\pi^r : J_r Y \rightarrow X$ , and, among these, the *affine* fiberings  $\pi_{r-1}^r$ . We denote multi-indices of dimension  $n$  by underlined Greek letters such as  $\underline{\alpha} = (\alpha_1, \dots, \alpha_n)$ , with  $0 \leq \alpha_\mu$ ,  $\mu = 1, \dots, n$ ; by an abuse of notation, we denote with  $\lambda$  the multi-index such that  $\alpha_\mu = 0$ , if  $\mu \neq \lambda$ ,  $\alpha_\mu = 1$ , if  $\mu = \lambda$ . We also set  $|\underline{\alpha}| \doteq \alpha_1 + \dots + \alpha_n$  and  $\underline{\alpha}! \doteq \alpha_1! \dots \alpha_n!$ . The charts induced on  $J_r Y$  are denoted by  $(x^\lambda, y_{\underline{\alpha}}^i)$ , with  $0 \leq |\underline{\alpha}| \leq r$ ; in particular, we set  $y_{\mathbf{0}}^i \equiv y^i$ . The local vector fields and forms of  $J_r Y$  induced by the above coordinates are denoted by  $(\partial_i^{\underline{\alpha}})$  and  $(d_{\underline{\alpha}}^i)$ , respectively.

For  $r \geq 1$ , we consider the natural complementary fibered morphisms over the affine fibering  $J_r Y \rightarrow J_{r-1} Y$

$$D : J_r Y \times_X TX \rightarrow TJ_{r-1} Y, \quad \vartheta : J_r Y \times_{J_{r-1} Y} TJ_{r-1} Y \rightarrow VJ_{r-1} Y, \quad (1)$$

which induce the natural fibered splitting

$$J_r Y \times_{J_{r-1} Y} T^* J_{r-1} Y = \left( J_r Y \times_{J_{r-1} Y} T^* X \right) \oplus \mathcal{C}_{r-1}^*[Y], \quad (2)$$

where  $\mathcal{C}_{r-1}^*[Y] \simeq J_r Y \times_{J_{r-1} Y} V^* J_{r-1} Y$ .

The above splitting induces also a decomposition of the exterior differential on  $Y$ ,  $(\pi_r^{r+1})^* \circ d = d_H + d_V$ , where  $d_H$  and  $d_V$  are called the *horizontal* and *vertical differential*, respectively. If  $f : J_r Y \rightarrow \mathbb{R}$  is a function, then we set  $D_{\alpha+\lambda} f \doteq D_\lambda D_\alpha f$ , where the operator  $D_\lambda$  is the standard *formal derivative*.

The following sheaves will be needed in the sequel.

- i. For  $r \geq 0$ , the standard sheaves  $\Lambda_r^p$  of  $p$ -forms on  $J_r Y$ .
- ii. For  $0 \leq s \leq r$ , the sheaves  $\mathcal{H}_{(r,s)}^p$  and  $\mathcal{H}_r^p$  of *horizontal forms*, i.e. of local fibered morphisms over  $\pi_s^r$  and  $\pi^r$  of the type  $\alpha : J_r Y \rightarrow \wedge^p T^* J_s Y$  and  $\beta : J_r Y \rightarrow \wedge^p T^* X$ , respectively.
- iii. For  $0 \leq s < r$ , the subsheaf  $\mathcal{C}_{(r,s)}^p \subset \mathcal{H}_{(r,s)}^p$  of *contact forms*, i.e. of sections  $\alpha \in \mathcal{H}_{(r,s)}^p$  with values into  $\wedge^p(\mathcal{C}_s^*[Y])$ . There is a distinguished subsheaf  $\mathcal{C}_r^p \subset \mathcal{C}_{(r+1,r)}^p$  of local fibered morphisms  $\alpha \in \mathcal{C}_{(r+1,r)}^p$  which project down onto  $J_r Y$ .

According to [13], the fibered splitting 2 yields naturally the sheaf splitting  $\mathcal{H}_{(r+1,r)}^p = \bigoplus_{t=0}^p \mathcal{C}_{(r+1,r)}^{p-t} \wedge \mathcal{H}_{r+1}^t$ , which restricts to the inclusion  $\Lambda_r^p \subset \bigoplus_{t=0}^p \mathcal{C}_r^{p-t} \wedge \mathcal{H}_{r+1}^{th}$ , where  $\mathcal{H}_{r+1}^{ph} \doteq h(\Lambda_r^p)$  for  $0 < p \leq n$  and  $h$  is defined to be the restriction to  $\Lambda_r^p$  of the projection of the above splitting onto the non-trivial summand with the highest value of  $t$ .

## 2.1 Generalized Euler–Lagrange and Helmholtz–Sonin morphisms in variational sequences

We refer now to the theory of variational sequences on finite order jet spaces, as it was developed by Krupka. By an abuse of notation, denote by  $d \ker h$  the sheaf generated by the presheaf  $d \ker h$ . Set  $\Theta_r^* \doteq \ker h + d \ker h$ .

**Definition 1** *The  $r$ -th order variational sequence associated with the fibered manifold  $Y \rightarrow X$  is the resolution by exact sheaves of the constant sheaf  $\mathbb{R}_Y$  (see [10]):*

$$0 \rightarrow \mathbb{R}_Y \rightarrow \Lambda_r^0 \xrightarrow{\mathcal{E}_0} \Lambda_r^1 / \Theta_r^1 \xrightarrow{\mathcal{E}_1} \Lambda_r^2 / \Theta_r^2 \xrightarrow{\mathcal{E}_2} \dots \xrightarrow{\mathcal{E}_{I-1}} \Lambda_r^I / \Theta_r^I \xrightarrow{\mathcal{E}_I} \Lambda_r^{I+1} \rightarrow \dots \rightarrow^d 0 \quad (3)$$

The quotient sheaves in the variational sequence can be conveniently represented. If  $k \leq n$ , then the sheaf morphism  $h$  yields the natural isomorphism  $I_k : \Lambda_r^k / \Theta_r^k \rightarrow \mathcal{H}_{r+1}^{kh} \doteq \mathcal{V}_r^k : [\alpha] \mapsto h(\alpha)$ . If  $k > n$ , then the projection  $h$  induces the natural sheaf isomorphism  $I_k : (\Lambda_r^k / \Theta_r^k) \rightarrow (\mathcal{C}_r^{k-n} \wedge \mathcal{H}_{r+1}^{nh}) / h(d \ker h) \doteq \mathcal{V}_r^k : [\alpha] \mapsto [h(\alpha)]$  [13].

Let  $\alpha \in \mathcal{C}_r^1 \wedge \mathcal{H}_{r+1}^{nh}$ . Then there is a unique pair of sheaf morphisms

$$E_\alpha \in \mathcal{C}_{(2r,0)}^1 \wedge \mathcal{H}_{2r+1}^{nh}, \quad F_\alpha \in \mathcal{C}_{(2r,r)}^1 \wedge \mathcal{H}_{2r+1}^{nh}, \quad (4)$$

such that  $(\pi_{r+1}^{2r+1})^* \alpha = E_\alpha - F_\alpha$ , and  $F_\alpha$  is locally of the form  $F_\alpha = d_H p_\alpha$ , with  $p_\alpha \in \mathcal{C}_{(2r-1,r-1)}^1 \wedge \mathcal{H}_{2r}^{n-1}$ .

Let  $\beta \in \mathcal{C}_r^1 \wedge \mathcal{C}_{(r,0)}^1 \wedge \mathcal{H}_r^n$ . Then there is a unique morphism

$$\tilde{H}_\beta \in \mathcal{C}_{(2r,r)}^1 \otimes \mathcal{C}_{(2r,0)}^1 \wedge \mathcal{H}_{2r}^n$$

such that, for all  $\Xi : Y \rightarrow VY$ ,  $E_\beta = C_1^1(j_{2r}\Xi \otimes \tilde{H}_\beta)$ , where  $\hat{\beta} \doteq j_r \Xi \rfloor \beta$ ,  $C_1^1$  stands for tensor contraction and  $\rfloor$  denotes inner product. Furthermore there is a unique pair of sheaf morphisms

$$H_\beta \in \mathcal{C}_{(2r,r)}^1 \wedge \mathcal{C}_{(2r,0)}^1 \wedge \mathcal{H}_{2r}^n, \quad G_\beta \in \mathcal{C}_{(2r,r)}^2 \wedge \mathcal{H}_{2r}^n, \quad (5)$$

such that  $\pi_r^{2r*} \beta = H_\beta - G_\beta$  and  $H_\beta = \frac{1}{2} A(\tilde{H}_\beta)$ , where  $A$  stands for antisymmetrisation. Moreover,  $G_\beta$  is locally of the type  $G_\beta = d_H q_\beta$ , where  $q_\beta \in \mathcal{C}_{2r-1}^2 \wedge \mathcal{H}_{2r-1}^{n-1}$ , hence  $[\beta] = [H_\beta]$ .

## 2.2 Generalized Jacobi morphisms in variational sequences

The Lie derivative operator with respect to the  $r$ -th order prolongation  $j_r \Xi$  of a projectable vector field  $(\Xi, \xi)$  can be conveniently represented on the quotient sheaves of the variational sequence in terms of an operator, the *variational Lie derivative*  $\mathcal{L}_{j_r \Xi}$  [6]. In particular, if  $p = n + 1$  and  $\eta \in \mathcal{V}_r^{n+1}$ , then

$$\mathcal{L}_{j_r \Xi} \eta = \mathcal{E}(\Xi \rfloor \eta) + \tilde{H}_{d\eta}(j_{2r+1} \Xi). \quad (6)$$

**Definition 2** Let  $\psi_{t_k}^k$ , with  $1 \leq k \leq i$  and  $i$  any integer, be the flows generated by the vertical (variation) vector fields  $\Xi_k$ . Let  $\sigma : X \rightarrow Y$  be a section. An  $i$ -th variation of  $\sigma$  generated by  $(\Xi_1, \dots, \Xi_i)$  is a smooth section  $\Gamma_i : I \times X \rightarrow Y$ ,  $\mathbf{0} \in I \subset \mathbb{R}^i$ , such that  $\Gamma_i(\mathbf{0}) = \sigma$  and  $\Gamma_i(t_1, \dots, t_i) = \psi_{t_i}^i \circ \dots \circ \psi_{t_1}^1 \circ \sigma$ .  $\square$

**Definition 3** Let  $\alpha : J_r Y \rightarrow \wedge^k T^* J_r Y$  and let  $\Gamma_i$  be an  $i$ -th variation of the section  $\sigma$  generated by an  $i$ -tuple  $(\Xi_1, \dots, \Xi_i)$ . We define the  $i$ -th variation of the morphism  $\alpha$  to be  $\delta^i \alpha \doteq \frac{\partial^i}{\partial t_1 \dots \partial t_i} \Big|_{t_1, \dots, t_i=0} (\alpha \circ j_r \Gamma_i(t_1, \dots, t_i))$ .  $\square$

The following Lemma states the relation between the  $i$ -th variation of a morphism and its iterated Lie derivative (see also [8]).

**Lemma 1** *Let  $\alpha : J_r Y \rightarrow \wedge^k T^* J_r Y$  and  $L_{j_r \Xi_k}$  be the Lie derivative operator with respect to  $j_r \Xi_k$ . Let  $\Gamma_i$  be the  $i$ -th variation of the section  $\sigma$  by means of the variation vector fields  $\Xi_1, \dots, \Xi_i$  on  $Y$ . Then we have  $\delta^i \alpha = (j_r \sigma)^* L_{j_r \Xi_1} \dots L_{j_r \Xi_i} \alpha$ .*

**Definition 4** *We call the operator  $\hat{\delta}^i \doteq [\delta^i \alpha] = j_r \sigma^* \mathcal{L}_{\Xi_i} \dots \mathcal{L}_{\Xi_1} [\alpha]$  the  $i$ -th variational vertical derivative operator.  $\square$*

This enables us to represent variations of morphisms in the variational sequence and we can thus state the following important main Theorem [4, 5].

**Theorem 1** *The operator  $\hat{\delta}$  is a functor defined on the category of variational sequences.*

PROOF. Let  $\alpha \in (\mathcal{V}_r^n)_Y$ . Let  $d, \bar{d}$  be the exterior differentials and  $\hat{\delta}, \bar{\delta}$  the vertical variational derivative on  $J_r Y$  and  $\hat{\delta} J_r Y$ , respectively. We have  $\bar{d} \hat{\delta} \alpha = \bar{\delta} d \alpha$ .  $\square$

**Proposition 1** *Let  $\lambda \in (\mathcal{V}_r^n)_Y$ ,  $\hat{\delta} \lambda \in (\mathcal{V}_r^n)_{VY}$ . We have  $\hat{\delta}^2 \lambda = \bar{\mathcal{E}}(\bar{\Xi}_2] \hat{\delta} \lambda) + \tilde{H}_{h(d\hat{\delta} \lambda)}(\bar{\Xi}_2)$ , where  $\tilde{H}_{h(d\hat{\delta} \lambda)}$  is the unique morphism belonging to  $\mathcal{C}_{(2r,r)}^1 \otimes \mathcal{C}_{(2r,0)}^1 \wedge \mathcal{H}_{2r}^n$  such that, for all  $\Xi_1 : Y \rightarrow VY$ ,  $E_{j_r \Xi_1] d \hat{\delta} \lambda} = C_1^1(j_{2r} \Xi_1 \otimes \tilde{H}_{h(d\hat{\delta} \lambda)})$ ; here  $C_1^1$  stands for tensor contraction.*

PROOF. In fact we have up to divergences  $\hat{\delta}^2 \lambda = \hat{\delta} \mathcal{L}_{j_r \Xi_2} \lambda = \mathcal{L}_{j_r \Xi_2} \hat{\delta} \lambda$ , so that the assertion follows by a straightforward application of the representation provided by Equation 6 and by linearity properties of  $\delta^i$ .  $\square$

By means of a simple calculation it is very easy to see that the following holds true.

**Lemma 2** *Let  $\chi(\lambda) \doteq \tilde{H}_{h(d\hat{\delta} \lambda)}$ . We have  $\chi(\lambda) : J_{2r} Y \rightarrow \mathcal{C}_r^*[VY] \wedge (\wedge^n T^* X)$  and  $\bar{d}_H \chi(\lambda) = 0$ .*

The following is an application of an abstract result due to Kolář [9], concerning a global decomposition formula for vertical morphisms.

**Theorem 2** *Let  $\chi(\lambda)$  be as in the above Lemma. Then we have  $\chi(\lambda) = E_{\chi(\lambda)} + F_{\chi(\lambda)}$ , where  $E_{\chi(\lambda)} : J_{4r}Y \rightarrow \mathcal{C}_0^*[VY] \wedge (\wedge^n T^*X)$ , and locally,  $F_{\chi(\lambda)} = \bar{d}_H M_{\chi(\lambda)}$ , with  $M_{\chi(\lambda)} : J_{4r-1}Y \rightarrow \mathcal{C}_{r-1}^*[VY] \wedge (\wedge^{n-1} T^*X)$ .*

**Definition 5** *We call the morphism  $\mathcal{J}(\lambda) \doteq E_{\chi(\lambda)}$  the generalized Jacobi morphism associated with the Lagrangian  $\lambda$ .  $\square$*

### 3 The Jacobi morphism for the Hilbert-Einstein Lagrangian

In the following we evaluate the Jacobi morphism for the Hilbert–Einstein Lagrangian and verify that the result provides an alternative way to get the variation of the Einstein tensor.

To this aim, let us first of all write explicitly the coordinate expression of  $\chi(\lambda) \doteq \tilde{H}_{h(d\hat{\delta}\lambda)}$ , for a generic Lagrangian  $\lambda$ .

From the main Theorem, by functoriality of  $\hat{\delta}$ , we have  $h(d\hat{\delta}\lambda) = h(\hat{\delta}d\lambda)$ . Let now  $\lambda = L\omega$ , then  $d\lambda = \partial_i^\alpha(L)d_\alpha^i \wedge \omega$  and  $\hat{\delta}d\lambda = \partial_j^\alpha(\partial_i^\alpha d_\alpha^j L) \wedge d_\alpha^i \wedge \omega$ , thus finally  $h(\hat{\delta}d\lambda) = h(d\hat{\delta}\lambda) = \partial_j(\partial_i^\alpha L)d_\alpha^i \wedge d^j \wedge \omega$ . As a consequence we have, with  $0 \leq |\mu| \leq 2r + 1$ :

$$\begin{aligned} \chi(\lambda) &\doteq \tilde{H}_{h(d\hat{\delta}\lambda)} = & (7) \\ &= \partial_j(\partial_i^\mu L) - \sum_{|\alpha|=0}^{s-|\mu|} (-1)^{|\mu+\alpha|} \frac{(\mu+\alpha)!}{\underline{\mu}!\underline{\alpha}!} D_\alpha \partial_j^\alpha(\partial_i^\mu L) \vartheta_\mu^i \otimes \vartheta^j \wedge \omega \doteq \chi_{ij}^\mu \vartheta_\mu^i \otimes \vartheta^j \wedge \omega \end{aligned} \quad (8)$$

and by a backwards procedure, which is essentially an integration by parts (see e.g. [9]), we get, up to divergencies:

$$\begin{aligned} \mathcal{J}(\lambda) &= (-1)^{|\mu|} D_\mu \chi_{ij}^\mu \vartheta^i \otimes \vartheta^j \wedge \omega = & (9) \\ &(-1)^{|\mu|} D_\mu \left( \chi_{ij}^\mu - \sum_{|\alpha|=0}^{s-|\mu|} (-1)^{|\mu+\alpha|} \frac{(\mu+\alpha)!}{\underline{\mu}!\underline{\alpha}!} D_\alpha \chi_{ij}^{\mu+\alpha} \right) \vartheta^i \otimes \vartheta^j \wedge \omega. \end{aligned} \quad (10)$$

Let now  $\dim X = 4$  and  $X$  be orientable. Let  $Lor(X)$  be the bundle of Lorentzian metrics on  $X$  (provided that it has global sections). Local fibered coordinates on  $J_2(Lor(X))$  are  $(x^\lambda; g_{\mu\nu}, g_{\mu\nu,\sigma}, g_{\mu\nu,\sigma\rho})$ .

The Hilbert–Einstein Lagrangian is the form  $\lambda_{HE} \in \mathcal{H}_2^4$  defined by  $\lambda_{HE} = L_{HE}\omega$ , where  $L_{HE} = r\sqrt{g}$ . Here  $r : J_2(Lor(X)) \rightarrow \mathbb{R}$  is the function such

that, for any Lorentz metric  $g$ , we have  $r \circ j_2 g = s$ , being  $s$  the scalar curvature associated with  $g$ , and  $g$  is the determinant of  $g$ .

The function  $L_{HE}$  is a *linear* function in the second derivatives of  $g$ ; thus  $\lambda_{HE}$  is a special Lagrangian. Owing to a well known property of  $\lambda_{HE}$  we have  $E_{d\lambda_{HE}} \in \mathcal{C}_{(2,0)}^1 \wedge \mathcal{H}_2^4$  and a direct computation shows that  $E_{d\lambda_{HE}} = G \doteq R - \frac{1}{2} s g$ ,  $R$  being the Ricci tensor of the metric  $g$  and  $G$  the Einstein tensor.

The Jacobi morphism for  $\lambda_{HE}$  is

$$\begin{aligned} \mathcal{J}(\lambda_{HE}) &\doteq E_{\chi(\lambda_{HE})} = \\ &= \frac{1}{2} [-\nabla_\lambda \nabla^\lambda w_\alpha^\beta + r^{\beta\lambda} w_{\lambda\alpha} - r_{\alpha\lambda} w^{\lambda\beta} - 2R_{\rho\alpha\lambda}^\beta w^{\rho\lambda} + \delta_\alpha^\beta r_{\rho\lambda} w^{\rho\lambda} + \\ &+ (G_\alpha^\beta + \frac{1}{2} s \delta_\alpha^\beta) w + \nabla^\beta \nabla_\lambda w_\alpha^\lambda + \nabla_\alpha (g^{\beta\gamma} \nabla_\lambda w_\gamma^\lambda) - \delta_\alpha^\beta \nabla_\lambda (g^{\lambda\gamma} \nabla_\rho w_\gamma^\rho)], \end{aligned}$$

where  $\nabla$  is the covariant derivative with respect to the metric connection,  $w_{\alpha\beta} = \gamma_{\alpha\beta} - \frac{1}{2} g_{\alpha\beta} \gamma$ ,  $\gamma = \gamma_\lambda^\lambda$  and  $\gamma_{\alpha\beta} = \delta g_{\alpha\beta}$  is the variation of the metric tensor.

Comparing with results in the literature [1, 2], we can conclude that  $\mathcal{J}(\lambda_{HE}) \doteq E_{\chi(\lambda_{HE})} = \hat{\delta}G$ . In fact, as a consequence of Proposition 1, we have the following (see also [3, 8]).

**Proposition 2** *Let  $\lambda_{HE}$  be the Hilbert–Einstein Lagrangian,  $G$  the corresponding Einstein tensor (i.e. the corresponding generalized Euler–Lagrange morphism in the variational sequence) and  $\hat{\delta}$  the variational vertical derivative operator. We have (up to divergencies):*

$$\hat{\delta}^2 \lambda_{HE} = E_{\lambda_{HE}} + E_{\chi(\lambda_{HE})} \equiv G + \hat{\delta}G. \quad (11)$$

This has relevant consequences. Among them, we recall that the variation of the Einstein tensor has proved to be an important tool for the study of the positivity of the energy in General Relativity. The Jacobi morphism, being the adjoint operator of the Hessian [7], can be used to provide global results in this direction. This topic will be developed elsewhere.

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