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**Increased tissue oxygenation explains the attenuation of hyperemia upon repetitive pneumatic compression of the lower leg**

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(Article begins on next page)

1 **Increased tissue oxygenation explains the attenuation of hyperaemia upon repetitive**  
2 **pneumatic compression of the lower leg**

3

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7 **Author contribution**

8 AM: conception and design of the experiment, collection, analysis and interpretation of the data, drafting the  
9 manuscript

10 GC: collection, analysis and interpretation of the data, drafting of the manuscript

11 WF: design of the experimental set-up, collection, analysis and interpretation of the data

12 DM: design of the experimental set-up ,collection, analysis and interpretation of the data

13 CF: design of the experiment, critical revision of the manuscript

14 SR: conception and design of the experiment and critical revision of the manuscript

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16

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19 **Running Head** Tissue oxygenation modulates compression-induced hyperaemia

20

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26 **ABSTRACT**

27 **Aim**

28 The rapid hyperaemia evoked by muscle compression is short-lived and was recently shown to undergo a  
29 rapid decrease even in spite of continuing mechanical stimulation. The present study aims at investigating  
30 the mechanisms underlying this attenuation which include local metabolic mechanisms, desensitization of  
31 mechano-sensitive pathways, and reduced efficacy of the muscle pump.

32 **Methods**

33 In 10 healthy subjects short sequences of mechanical compressions (n=3-6; 150 mmHg) of the lower leg  
34 were delivered at different inter-stimulus intervals (ranging from 20 to 160 s) through a customized  
35 pneumatic device. Hemodynamic monitoring included near infrared spectroscopy, detecting tissue  
36 oxygenation and blood volume in calf muscles, as well as simultaneous echo-Doppler measurement of  
37 arterial (superficial femoral artery) and venous (femoral vein) blood flow.

38 **Results**

39 The results indicate that: i) a long lasting (>100 s) increase in local tissue oxygenation follows the  
40 compression-induced hyperaemia ; ii) the compression-induced hyperaemia exhibits different patterns of  
41 attenuation depending on the inter-stimulus interval; iii) the amplitude of the hyperaemia is not correlated  
42 with the amount of blood volume displaced by the compression; iv) the extent of attenuation negatively  
43 correlates with tissue oxygenation ( $r=-0,78$ ,  $P<0.05$ ).

44 **Conclusion**

45 Increased tissue oxygenation appears to be the key factor for the attenuation of hyperaemia upon repetitive  
46 compressive stimulation. Tissue oxygenation monitoring is suggested as a useful integration in medical  
47 treatments aimed at improving local circulation by repetitive tissue compression.

48

49 **NEW AND NOTEWORTHY**

50 This study shows that i) the hyperaemia induced by muscle compression produces a long-lasting increase in  
51 tissue oxygenation; ii) the hyperaemia produced by subsequent muscle compressions exhibits different  
52 pattern of attenuation at different inter-stimulus intervals; iii) the extent of attenuation of the compression-  
53 induced hyperaemia is proportional to the level of oxygenation achieved in the tissue. The results support the  
54 concept that tissue oxygenation is a key variable in blood flow regulation.

55

56 **Keywords:** muscle blood flow, hyperaemia, muscle compression, tissue oxygenation.

**57 Glossary**

58 IPC intermittent pneumatic compression

59 ISI inter-stimulus interval

60 NIRS near-infrared spectroscopy

61 SRS spatially-resolved spectroscopy

62 THI total haemoglobin index

63 TOI tissue oxygenation index

64

65

**66 INTRODUCTION**

67 Since the seminal work of Mohrman and Sparks (39) several studies have demonstrated that a rapid and  
68 transient hyperaemic response can be elicited by a short-lasting muscle compression (10, 30, 38, 56-60).  
69 Although the underlying mechanisms have not been fully identified, this phenomenon has been well  
70 documented in different experimental models, such as the isolated muscle (39), awake and anesthetized  
71 animals (57, 58, 60) and humans (10, 30, 38, 56). In addition a rapid dilatory response to compressive  
72 stimuli has also been observed in isolated feed arteries (7). More controversial is the hemodynamic response  
73 to repeated compressive stimuli. Kirby et al (30) observed that the response to 5 consecutive compressions  
74 was non-significantly attenuated with respect to the response to a single compression. Conversely, Clifford et  
75 al (7) using the same pattern of 5 consecutive compressive stimuli on an isolated muscle feed arteries  
76 observed a significant increase of the dilatory response as compared to the single compression.  
77 In a recent work Turturici and colleagues investigated the blood flow response to a longer lasting sequence  
78 of mechanical stimulations (20 compressions, 1 s ON /1 s OFF) reporting that the initial hyperaemic  
79 response progressively fades away in spite of continuing stimulation and hypothesized that the  
80 mechanosensitive mechanism underlying the response could undergo some kind of transient inactivation  
81 (60). In fact, the attenuation of the compression-induced hyperaemia was observed to increase at increasing  
82 stimulation frequencies (60). A similar behavior was recently observed also in humans (38).  
83 Surprisingly this phenomenon has been poorly described in the several investigations concerning the  
84 hyperaemic effect of intermittent pneumatic compressions (IPC) (14, 15, 32-34), and in experimental studies  
85 investigating the mechanisms underlying compression and contraction-induced hyperaemia (9, 24, 31, 40,  
86 44), with the exception of a short report by Tschakowsky et al (56). In this pioneering investigation the  
87 authors observed that repetitive compression of the forearm below heart level exhibited a transient  
88 hyperaemia settling to a lower level after 10-20 s from the beginning of the treatment (56). More recently  
89 Sheldon et al (47) also reported attenuation of the hyperaemia during IPC treatment, although on a larger

90 time scale (45 vs. 5 min from the beginning) and observed that the effect was dependent on the frequency of  
91 stimulation.

92 The issue is relevant because improving limb perfusion is a major aim in the treatment of disorders such as  
93 the peripheral arterial disease and is pursued in sport medicine for accelerated recovering from fatigue (1,  
94 35). Understanding of the underlying mechanisms is essential for implementing optimal treatments (46).  
95 Potential mechanisms underlying attenuation of the hyperaemia during repetitive mechanical stimulation  
96 include: 1) inactivation of the mechano-sensitive vasodilatory pathways (60), 2) diminished efficacy of the  
97 muscle pump (56), and 3) local regulatory mechanisms that may be activated in response to hyper-perfusion  
98 (30, 56). Unfortunately, none of these possibilities is supported by a solid experimental evidence. In  
99 particular, 1) mechano-sensitive channels exhibiting inactivation properties have been identified (17, 26), but  
100 their actual involvement in the rapid compression-induced dilatation was not ascertained, 2) at high  
101 stimulation frequencies incomplete vascular refilling may reduce the contribution of the pump, however, a  
102 role for the muscle pump was excluded in a previous animal study (60), and 3) local vasoconstrictory  
103 mechanisms are known to act in response to hyper-perfusion but little is known about the actual regulatory  
104 variable ( $O_2$ ,  $CO_2$ , pH, etc.) and about the strength and timing of this vascular reaction (6, 45). However, in a  
105 recent reformulation of the metabolic control of blood flow, a primary role for tissue  $pO_2$  has been postulated  
106 (23). According to their model, an excessive rise in  $O_2$  concentration within the tissue would trigger a  
107 vasoconstrictory response, mediated by the inhibition of a tonically released vasodilator (23). Along this line,  
108 a rise in tissue  $O_2$  occurring during a compression-induced hyperaemia could then trigger a constrictor  
109 response and limit further hyperaemic events in response to subsequent mechanical stimuli.

110 On this basis the present study was aimed to test the following hypotheses: 1) the compression-induced  
111 hyperaemia elicits a rise in tissue oxygenation, 2) the attenuation of the hyperaemic response to subsequent  
112 compressive stimuli is related to the extent of hyper-oxygenation achieved in the tissue, and 3) the other  
113 mechanisms, namely, the intrinsic inactivation of mechano-sensitive pathways and the muscle pump would  
114 have a minor role in the attenuation of the hyperaemic response upon repetitive compressive stimulation.  
115 In order to assess changes in tissue oxygenation, the near infrared spectroscopy (NIRS) was adopted. By  
116 locating the NIRS probe under the compressive cuff, continuous monitoring of local oxygenation and blood  
117 volume changes from the relevant muscles was achieved. Moreover, in addition to arterial inflow, venous  
118 outflow was also monitored as its response to the compression is an indicator of the extent of filling of the  
119 venous compartments and thus, of the efficacy of the muscle pump exerted by compressive stimuli.

120

## 121 **MATERIALS AND METHODS**

### 122 *Ethical approval*

123 Ten healthy subjects (8 men and 2 women; age:  $27.1 \pm 3.0$  years; weight:  $67.9 \pm 11.7$  kg; height:  $176.7 \pm 9.7$   
124 cm) were recruited for the present study. All subjects were normotensive and non-obese.

125 The study conformed to the standards set by the Declaration of Helsinki and was approved by the Local

126 Ethical Committee (Prot. # 60195) and all subjects gave their written informed consent after they were  
127 instructed about purpose and procedures of the experiment.

128

### 129 *Mechanical leg compressions*

130 A previously tested prototype of IPC device was employed in the present study to deliver controlled and  
131 repeatable compressions to the leg of the subject (19, 20). Briefly the device exerts a compressive action by  
132 inflating five different bladders wrapped around the foot and the calf of the subject, with programmable  
133 pressure levels and timing. In the present study all bladders were inflated simultaneously to a supra-systolic  
134 pressure of 150 mmHg, with inflation and deflation times of about 3 s each. Two digital pulses are generated  
135 by the device to signal the starting time of both inflation and deflation.

136

### 137 *Near-infrared spectroscopy*

138 Local hemodynamic changes induced by leg compression were measured using a continuous wave NIRS  
139 device (NIRO-200NX, Hamamatsu Photonics, Hamamatsu City, Japan), which, besides the classical  
140 modified-Lambert-Beer method, supports spatially-resolved spectroscopy (SRS) (16, 52). Since mechano-  
141 sensitive vascular reactivity appears to be more prominently expressed by muscular than cutaneous tissues  
142 (57) we focused our attention on SRS parameters which, being less affected by cutaneous circulation,  
143 provide a more specific monitoring of muscle tissue (2, 36, 37). Since NIRS cannot discriminate between  
144 haemoglobin (Hb) and myoglobin (Mb), all measurements always refer to Hb+Mb in the sample volume  
145 (51). In particular, TOI (tissue oxygenation index) indicates the ratio  $(MbO_2+HbO_2)/(Mbtot+Hbtot)$   
146 expressed in percentage, and THI (tissue haemoglobin index) indicates the concentration of (Hb+Mb) in  
147 arbitrary units and is therefore an indicator of blood volume changes. Classical Lambert-Beer Parameters  
148 ( $O_2Hb$  and  $HHb$  detecting changes in the concentration of oxygenated and deoxygenated (Hb+Mb),  
149 respectively) are only displayed in Fig. 1 and not further considered in the study.

150

### 151 *Hemodynamic measurements*

152 Measurements of blood velocity in femoral artery and vein were performed simultaneously using two  
153 ultrasound systems (MyLab 25 XVision and MyLab 25 Gold, Esaote, Genoa, Italy) equipped with linear  
154 arrays (LA 523, Esaote, Genoa, Italy). Superficial femoral artery and femoral vein were insonated distally to  
155 the inguinal ligament. Since these instruments could not measure blood velocity and vessel diameter  
156 simultaneously, the latter was measured at the beginning and at the end of every stimulation protocol.  
157 Doppler measurements were performed by extending the sample volume over the whole vessel size,  
158 echographically displayed (transversal approach) in real time. All blood velocity measurements in femoral  
159 artery were obtained with insonation angle of about  $60^\circ$  (operating frequency of 6.6 MHz) instead, a higher  
160 angle of about  $70^\circ$  (operating frequency of 5 MHz) was used in order to avoid saturation of the recording  
161 when assessing the high-speed venous outflow propelled by leg compression. The two probes were placed

162 few centimeters apart with the ultrasound beam of the proximal probe oriented proximally and the one of the  
 163 distal probe oriented distally, in order to avoid interference between the measurements.

164

#### 165 *Experimental set-up*

166 A schematic representation of the experimental setup is reported in Fig 1 A. All experiments were performed  
 167 in a quiet room with a constant ambient temperature of about 22-23 °C. The subject sat upright on an  
 168 adjustable chair with the back supported by a back rest.

169 The NIRS probe was located on the lateral head of gastrocnemius muscle of the right leg (inter-optode  
 170 distance = 4 cm). The IPC device was wrapped around the lower leg, over the NIRS probe. The two  
 171 echographic probes were maintained in place by dedicated holders for the whole duration of the protocol.

172

#### 173 *Experimental protocol*

174 After 15 min of rest, an initial series of 3 compressive stimuli with inter-stimulus interval (ISI) of 160 s was  
 175 delivered to the subject. After other 4 min of rest four series of 6 compressive stimuli were delivered at  
 176 different frequency (ISI= 20, 40, 60 and 80 s) in randomized order and separated by 4-min resting intervals.  
 177 Femoral artery and femoral vein diameters were collected at the beginning and at the end of every  
 178 stimulation protocol. Diameters were measured along a single direction, since both vessels present a circular  
 179 cross-section in these experimental conditions. Average diameter of the artery was calculated as  
 180  $(D_s + 2 * D_d) / 3$ ,  $D_s$  being the systolic and  $D_d$  the diastolic diameter.

181

#### 182 *Data acquisition and processing*

183 The NIRS signals were digitally acquired along with both Doppler audio signals and the digital synchronism  
 184 signal from the IPC device by a single acquisition system (CED Micro 1041, Cambridge Electronic Design,  
 185 Cambridge, UK) and stored on the computer for later analysis with Spike2 software (version 6.10,  
 186 Cambridge Electronic Design, UK).

187 A specific algorithm was implemented in the Spike2 script language to calculate blood velocity from  
 188 Doppler audio signals (11, 25). Briefly, power spectra of the audio signals were computed by the Fast  
 189 Fourier Transform over non-overlapping epochs lasting 25.6 ms. From each spectrum the maximum  
 190 frequency of the signal (corresponding to maximum blood velocity) was estimated according to D'Alessio  
 191 (11), then the mean frequency was calculated as the average of all frequencies below the maximum,  
 192 weighted according to spectral amplitude (25). The mean frequency was then time-averaged over each  
 193 cardiac cycle and converted into blood velocity,  $BV = (MF * C) / (2F * \cos\theta)$ , where MF is the mean  
 194 frequency calculated from Doppler shift, C the averaging speed of ultrasound in soft tissue (1540 m/s), F the  
 195 operating frequency of the Doppler, and  $\theta$  the insonation angle). Blood flow, in ml/min, was then calculated

196 as mean blood velocity times cross-sectional area of the vessel ( $BF = BV * \pi r^2 * 60$ , where BV is the blood  
 197 velocity expressed in cm/s, and  $\pi r^2$  the cross sectional area of the vessel in  $cm^2$ ).

198 The response to each compressive stimulus was characterized by: pre-compression arterial blood flow,  
 199 calculated as the average over the 4 s preceding the compression; pre-compression TOI; pre-compression  
 200 THI; peak arterial blood flow, as the hyperaemic peak reached after the compression;  $\Delta$  TOI, calculated as  
 201 the difference between the peak TOI reached after the compression and pre-compression TOI; displaced  
 202 blood volume, calculated as the product of the area under the curve of the venous blood velocity response  
 203 and the cross-sectional area of vein.

204 In addition, the amplitude of the hyperaemic response was also calculated as the difference between peak  
 205 arterial flow and pre-compression flow.

206 In order to assess the extent of attenuation of the response throughout the experimental protocol, changes in  
 207 blood flow and blood volume were normalized to the changes observed in response to the first delivered  
 208 compressive stimulus.

209

## 210 **Statistics**

211 To examine the effect of repetitive leg compression performed at different ISI on peak blood flow, displaced  
 212 blood volume, pre-compression THI and pre-compression TOI, a two-way repeated-measures ANOVA was  
 213 used with factors ISI and repetition (GraphPad Prism v 6.0, GraphPad Software, La Jolla, CA). When  
 214 significance was found, a Dunnett's post hoc test was performed to assess significant changes within each  
 215 series with respect to the response to the first stimulus. Pearson's coefficient was used to assess the  
 216 correlation between different variables. All data are expressed as means  $\pm$  standard deviation in the text and  
 217 means  $\pm$  standard error in diagrams. The level of statistical significance was set at  $P < 0.05$ .

218

219

## 220 **RESULTS**

221

### 222 *Single leg compression*

223 A typical response to a single compressive stimulus is reported in figure 1B. Venous blood velocity exhibits  
 224 a prompt and short-lasting increase, peaking  $1.7 \pm 0.2$  s after the beginning and terminating before the end of  
 225 the compression. The blood volume displaced by compression was on average  $28.3 \pm 14.8$  ml. The increase  
 226 in arterial blood flow starts immediately after deflation and peaks in  $4.9 \pm 1.4$  s passing from a basal value of  
 227  $74.5 \pm 22.7$  ml  $min^{-1}$  to  $260.2 \pm 83.3$  ml  $min^{-1}$  during the peak (peak flow is  $3.6 \pm 1.0$  of baseline). Blood  
 228 flow generally returns within 15-25 s The response in tissue oxygenation is further delayed. TOI slowly  
 229 increases (from  $66.4 \pm 5.1$  to  $78.0 \pm 4.0$  %) and peaks after  $20.6 \pm 5.1$  s from deflation. Local changes in  
 230 blood volume are detected by THI exhibiting a rapid decrease during compression followed by a slower  
 231 return to the basal level, in agreement with the changes in venous and arterial blood flow, respectively.



232

233 *Repeated leg compressions*

234 The hemodynamic response to repetitive leg compression at different ISI is summarized in Fig 2, each  
 235 column representing the response to a single stimulus. The upper two rows show the response in terms of  
 236 peak arterial blood flow and displaced venous blood volume, both variables exhibiting a significant  
 237 dependence on ISI ( $p < 0.01$ ) and repetition ( $p < 0.01$ ). It can be observed that when ISI = 160 s the response to  
 238 subsequent stimuli is unchanged. Unchanged response in terms of peak arterial flow and displaced blood  
 239 volume is also observed in response to the first compression in each series. Instead, both parameters exhibit a  
 240 progressive attenuation although with different time course at ISI ranging from 20 to 80 s. In particular, the  
 241 hyperaemia is consistently reduced starting from the second stimulus in the series, at ISI ranging from 20 to  
 242 60 s, while displaced blood volume is consistently reduced at ISI = 20 and 40 s, starting from the third  
 243 stimulus. A peculiar pattern is observed at ISI = 80 s where hyperaemia is only attenuated in response to  
 244 even and not to odd stimuli, while, at the same time displaced blood volume remains unaffected.

245 NIRS parameters, shown in the lower rows of fig 2, exhibited a significant dependence on repetition  
 246 ( $p < 0.01$ ) but not on ISI, along with a significant interaction between the two factors. It can be observed that  
 247 pre-compression THI, which can be considered an indicator of vascular filling, qualitatively parallels the  
 248 changes in displaced blood volume, remaining unchanged at large ISI and exhibiting the most marked  
 249 reduction at ISI = 20 s. Pre-compression TOI exhibits instead marked increases at all ISIs lower than 160 s  
 250 starting from the second stimulus in the sequence. It is interesting to observe that its pattern of change is  
 251 opposite to peak blood flow: i.e., hyperaemic peak is higher if the pre-compression TOI is lower. Note also  
 252 that the oscillating pattern previously observed in peak blood flow at ISI = 80 s is also exhibited by pre-  
 253 compression TOI in an opposite way.

254 In order to provide a better understanding of the interplay between the different parameters in the peculiar  
 255 response to repetitive compression at ISI = 80 s, original tracings are reported from a representative subject  
 256 in Fig. 3. As described in Fig. 1, the first stimulus elicits a marked hyperaemia which results in a marked  
 257 increase in oxygenation. The following compression, which occurs when the tissue oxygenation is still high,  
 258 now elicits a much smaller hyperaemia, resulting in a proportionally smaller increase in TOI and attenuated  
 259 vascular refilling in THI. The third compression occurs when the TOI is almost returned to basal levels and  
 260 the elicited hyperaemia resumes its original size. Although it cannot be fully appreciated with this time scale,  
 261 the venous blood flow response is comparable in all instances as well as the pre-compression level reached  
 262 by THI.

263 Another representative recording illustrating the pattern at ISI = 20 s is reported in fig 4. Note the  
 264 disappearance of the hyperaemic response to the second and subsequent stimuli in spite of the fact that  
 265 arterial blood flow is returned to basal level. A weak hyperaemia reappears only in response to the last  
 266 stimulus, when also TOI is almost returned to basal level. Note that THI indicates that blood volume is

267 almost fully returned to basal level after the first stimulus (thanks to the marked hyperaemia) but not  
 268 afterwards. Accordingly, the venous response is markedly reduced after the third and subsequent stimuli.

269 In general a good correlation was found between the peak blood flow during hyperaemia and the ensuing  
 270 increase in oxygenation as shown in fig 5 A, in which all subjects have been pooled and each dot represents  
 271 the response to a single compression. The overall  $r$  is 0.76 ( $p < 0,05$ ). When individually computed for the  
 272 different subjects  $r$  ranged between 0.72 and 0.95 ( $p < 0,05$ ) (average  $0.78 \pm 0.1$ ).

273 On the contrary the hyperaemic response was not correlated with the amount of displaced blood volume as  
 274 shown in Fig. 5B ( $r = 0.34$ , individual  $r$  ranging between  $-0.4$  and  $+0.3$ ).

275 Fig 5C shows the correlation between pre-compression TOI and the peak of the hyperaemic response which  
 276 is exhibiting an overall  $r = -0,434$  ( $p < 0.05$ ), however a much higher within- subject correlation is observed: -  
 277  $0.78 \pm 0.06$ , individual  $r$  ranging between 0.7 and 0.9 ( $p < 0.05$ ).

278 In Fig. 5D the amplitude of the hyperaemic response (= peak flow-basal flow) instead of peak flow is plot vs.  
 279 pre-compression TOI. While the general pictures resembles that of Fig. 5C, it is here better evidenced that  
 280 the hyperaemia can be almost abolished at high TOI levels. Moreover, the slope of the regression lines,  $m$ ,  
 281 allows to quantify the dependence of the hyperaemic response on tissue oxygenation. On average,  $m = -$   
 282  $0.082 \pm 0.026$  meaning that the compression-induced hyperaemia is attenuated by 8% per unitary increase of  
 283 TOI, with respect to its full amplitude (the one that is evoked in resting conditions).

284

#### 285 *Changes in vessel size*

286 A slight increase in vessel diameter was detected from the comparison of measurements performed at the  
 287 beginning and at completion of the experimental protocol in both femoral artery (from  $6.0 \pm 0.8$  to  $6.2 \pm 0.8$   
 288 mm,  $p < 0.05$ ) and vein (from  $8.3 \pm 0.9$  to  $8.6 \pm 1.3$  mm,  $p < 0.05$ )

289

## 290 **DISCUSSION**

291 For the first time a comprehensive approach has been employed for the investigation of the rapid  
 292 compression-induced hyperaemia and its adaptation upon repetitive stimulation, which includes continuous  
 293 assessment of NIRS indicators of changes in local tissue oxygenation and blood volume as well as  
 294 simultaneous monitoring of arterial inflow and venous outflow. This allowed us to describe the early  
 295 hyperaemic changes taking place at the beginning of IPC treatments at different frequencies, and to confirm  
 296 our initial hypotheses: i) the compression-induced hyperaemia elicits proportional increases in local tissue  
 297 oxygenation; ii) the extent of attenuation of the hyperaemic response to subsequent stimuli is related to the  
 298 current level of tissue oxygenation; iii) the extent of attenuation is not strictly dependent on the extent of  
 299 vascular filling and on the ISI, therefore the attenuation cannot be attributed to the reduced efficacy of the  
 300 muscle pump or to a simple, time-dependent, inactivation mechanism of mechano-sensitive pathways.

301

302 *Compression-induced hyperaemia increases tissue oxygenation*

303 A novel observation of the present study is that muscle compression elicits a prominent increase in local  
304 tissue oxygenation. This increase is consequent to the induced hyperaemia but is much longer lasting. This  
305 aspect is important because it reveals that the return to “control conditions” is not achieved at the end of the  
306 hyperaemia, which normally occurs within 15-25 s and may instead require up to 100 - 200 s. This pattern  
307 has never been reported for compression-induced hyperaemia but it is in agreement with what occurs in the  
308 rapid-onset hyperaemia induced by short contractions (53).

309 It is generally accepted that an increase in perfusion, with unchanged metabolism, increases tissue  
310 oxygenation (3, 12). In the present condition, different factors could contribute to the observed TOI increase  
311 in response to compression-induced hyperaemia: 1) depletion of the venous-compartment, which alters the  
312 proportion of arterial/venous blood in the sample volume; 2) increased Hb saturation in venous blood due to  
313 decreased oxygen extraction, given that the hyperaemia occurs in a condition of constant metabolism; 3)  
314 increased saturation of myoglobin. The voiding of venous compartment does not seem to affect the TOI  
315 signal considerably, as no relevant changes are observed immediately after the compression, including those  
316 associated with large blood volume changes (see original tracings in Figs. 1, 3 and 4). Unfortunately, NIRS  
317 cannot discriminate between Mb and Hb saturation nor between arterial and venous compartments, thus no  
318 univocal explanation can be provided. Irrespective of the underlying reason, the increase in tissue  
319 oxygenation was a very consistent feature of the hemodynamic response to the compression of the resting  
320 muscle and exhibited a good correlation with the amplitude of hyperaemia (Fig. 5A).

321

322 *Is compression-induced hyperaemia attenuated by increased tissue oxygenation?*

323 Several lines of evidence from the present study support the finding that elevated tissue oxygenation is the  
324 factor responsible for the attenuation of the hyperaemia and for the reduced responsiveness to the mechanical  
325 stimulus. By looking at the original tracings of Fig. 3 it can be observed that the response to the second  
326 compression is smaller as compared to the first and the third responses, while TOI is higher than baseline.  
327 The same is visible in Fig.4: the hyperaemic response almost disappears during the initial high oxygenation  
328 phase and only later exhibits a tendency to recover, concomitantly with a decrease in TOI. This dependence  
329 of peak hyperaemia on pre-compression TOI is also supported by the histograms of Fig. 2 (see opposite  
330 patterns of peak blood flow and pre-compression TOI) and is quantitatively assessed by the correlations in  
331 Fig. 5 C and D. Moreover, it appears to be rather linear and rather similar between different subjects.  
332 According to these indications, the amplitude of the hyperaemic response is attenuated by  $8 \pm 2$  % per  
333 unitary increase of TOI meaning that an increase in TOI by 12.5 points virtually abolishes the response.

334 Notably, the dependence of the active vessel dilatation on tissue oxygenation may explain why the same  
335 short sequence of compressive stimuli elicited opposite effects in vitro (7), where tissue hyperoxia does not  
336 take place, and in vivo (30).

337 In the several studies investigating hemodynamic effects during IPC treatments this pattern of adaptation of  
338 the hyperaemia has not been described, possibly because the attention was focused on medium-long term  
339 rather than on early effects. Although different devices and patterns of stimulation have been used in  
340 previous investigations, an increase in limb perfusion is generally reported, ranging between 20 and 240 %,  
341 and being assessed at 5-60 min from the beginning of the treatment (9, 15, 24, 33, 40, 44, 47), which also  
342 appear to be little dependent on the stimulation frequency (47). These results are not readily comparable with  
343 the present ones because no steady state was reached in our study. It is reasonable to expect that a certain  
344 stable increase in perfusion is obtained with prolonged stimulation, once steady tissue oxygenation is  
345 achieved.

346

#### 347 *Underlying mechanisms and implications*

348 As discussed above, the attenuation of the mechano-sensitive dilatatory response to multiple compressions  
349 could result as a reaction of the tissue to the hyper-perfusion (generated in response to the first stimulus),  
350 which entails the washout of metabolites and alteration of the local milieu in which  $PO_2$  is the most relevant  
351 variable (4, 23, 27). It is well known that low oxygenation stimulates vasodilatation and, conversely, that  
352 increased oxygenation leads to vasoconstriction, although the effects generally observed in humans exposed  
353 to increased levels of inspired  $PO_2$  are rather small (5, 62). In the latter study, increasing arterial  $PO_2$  from  
354 100 to 2100 mmHg increased resting vascular conductance only by 20-25% and reduced functional  
355 hyperaemia by 20% (5). However it must be observed that tissue  $PO_2$  is differently affected by increased  
356 arterial  $PO_2$  and hyper-perfusion. In fact while the hyperbaric hypoxia at 2100 mmHg increases the amount  
357 of oxygen carried to the tissue by about 30% (5) a 2-fold increase in perfusion results in a 200% increase in  
358 oxygen flow. In early studies reactions to hyper-perfusion were investigated on isolated preparations with  
359 externally-controlled blood supply (21). However these studies could not provide a clear indication of the  
360 time course of the local tissue response, nor could they discriminate between “metabolic” and myogenic  
361 response, given that hyper-perfusion was produced by increased perfusion pressure which also resulted in  
362 increased transmural pressure (48). In this respect, the compression-induced hyperaemia offers a peculiar  
363 model of (transient) tissue hyper-perfusion, characterized by unchanged tissue metabolism, unchanged  
364 arterial  $PO_2$  and most likely unchanged neuro-hormonal drive.

365 The prompt counter-reaction to the compression-induced hyperaemia and the concomitant inactivation of the  
366 mechano-sensitive dilatation upon increased tissue oxygenation fits with the “bang-bang” model of blood  
367 flow control, recently proposed by Golub & Pittman (23) according to which the feedback signal ( $O_2^-$ , whose  
368 concentration increases in response to increased  $O_2$  availability) carries the information of excessive

369 perfusion and operates a vasoconstriction by inactivating the tonically released vasodilators (namely, nitric  
370 oxide), aim of this regulation being to protect the tissue from hyperoxia and prevent excessive perfusion.

371 Accordingly, the vascular mechano-sensitivity, which is considered to mediate the rapid dilatation and the  
372 anticipatory (feed-forward) hyperaemia at the beginning of exercise (8, 30, 43, 60) is promptly abolished if  
373 the exercise does not take place, due to the hyper-oxygenation produced by the hyper-perfusion. Instead, in  
374 the case of exercise the hyper-oxygenation is quickly reduced even below control levels (18) by increased  
375 metabolism and no limitation to vasodilation takes place, which results in the "functional hyperaemia". The  
376 same mechanism is likely to explain why both passive movement hyperaemia is attenuated upon repeated  
377 stimulation (54, 55) and contraction-induced hyperaemia is attenuated after a sequence of muscle  
378 compressions (38).

379 Surprisingly, with one exception (34) no study has ever included NIRS in the characterization of the  
380 hyperaemic response to compression and IPC. Although tissue oxygenation can be considered a major  
381 outcome of perfusion, in the short term it does not strictly follow arterial blood flow, e.g., in Fig. 2 TOI is  
382 maintained at high levels for some time, after the end of hyperaemia. On this basis, it might be more  
383 appropriate to monitor TOI rather than blood flow in order to better appreciate the actual effects of the  
384 treatment. In addition, adopting NIRS as the monitoring technique gives the possibility to assess the effects  
385 specifically on the tissue of interest, as compared to the more global information provided by blood flow in  
386 an large supplying artery.

387

#### 388 *Alternative hypothesis 1: Vascular refilling and the muscle pump*

389 The parallelism observed between changes in pre-compression THI and in displaced blood volume (Fig.2),  
390 suggests that pre-compression THI is a good indicator of current vascular filling and that its changes mostly  
391 reflect volume changes of the venous compartment. By observing its time course after the compressive  
392 stimulus we can detect a fast refilling phase, associated to the possible concomitant hyperaemia, and a  
393 subsequent slow phase, associated to "resting" blood flow. At high ISI, i.e., 80 and 160 s, a complete  
394 vascular refill is granted by both a consistent hyperaemia and a large time interval. Accordingly, the  
395 compressive action of the device displaces comparable amount of blood volume at every stimulus. At lower  
396 ISI, the lack of hyperaemia and/or insufficient time for the slow phase to yield a significant contribution may  
397 result in incomplete vascular refilling and in a reduction of the blood volume displaced by the subsequent  
398 compression. This observation is in agreement with the study by Delis and colleagues who reported 3 to 4  
399 compressions per minute (i.e., ISI = 20 or 15 s) as the optimum stimulation frequency to maintain low  
400 venous pressure in the treated limb (13). Valic et al (61), in the anesthetized dog estimated a refilling time of  
401 less than 1 s due to the large contraction-induced hyperaemia. Based on direct foot venous pressure  
402 estimation, two human studies reported refilling times of 16 - 40 s after 10 tip-toe movements (42) and  
403 pneumatic compression (22). In the present conditions the refill could take place in 10-15 s through the rapid

404 phase in the presence of large hyperaemia but could otherwise require more than one minute when  
405 hyperaemia was blunted (Fig. 3).

406 According to the “muscle pump” effect, an increase in intramuscular pressure empties the venous  
407 compartments producing a decrease in venous pressure, which in turn increases the artero-venous pressure  
408 gradient thus contributing to the ensuing hyperaemia. This mechanism is activated both with active muscle  
409 contraction as well as with the compression of the passive muscle and has been often considered to explain  
410 the larger hyperaemic responses observed when compressing (10, 56) or contracting (41, 50) limbs muscles  
411 below as compared to above heart level. However the issue is still debated (7, 29, 49) due to the conflicting  
412 evidence provided by other studies (24, 28, 61). In particular, Jasperse et al. investigated the effect of  
413 positional differences on reactive hyperaemia, as a model of hyperaemia dissociated from the muscle pump.  
414 They showed that also reactive hyperaemia is larger when evoked below, with respect to above heart level,  
415 suggesting that positional effects may be secondary to differences in driving pressure rather than to the  
416 muscle pump. The present results support this view through a complementary model, i.e., the muscle pump  
417 action dissociated from the hyperaemia. This particular condition was observed in several instances such as  
418 the responses to the second compression at ISI ranging from 20 to 80 s (in Fig 2 and in Fig 4), in which  
419 maintained vascular filling and compression-displaced blood volume, i.e., an effective muscle pump, was  
420 associated with a considerably reduced hyperaemia, as compared to the first compression in the series. This  
421 proves that the muscle pump mechanism is not involved in the attenuation of the hyperaemia in response to  
422 multiple compressions. Whether the muscle pump plays a role in the hyperaemic response to the first  
423 compressive stimulus cannot be ruled out based on the present data. In fact, from scatter plot in Fig 5B we  
424 can observe that the largest hyperaemic responses were never associated with low displaced blood volume,  
425 which suggests that adequate vascular filling may be a necessary condition to express the full response.  
426 Investigating the mechanisms behind compression-induced hyperaemia was not an aim of this study; further  
427 investigations will be necessary to elucidate this issue.

428

#### 429 *Alternative hypothesis 2: Desensitization of mechano-sensitive pathways*

430 It was previously observed that the hyperaemic response to the compressive stimulus progressively reduced  
431 to 26% of its original amplitude, with decreasing ISI from 4 min to 2 s (60). On this basis the hypothesis was  
432 put forward that the attenuation could be due to some transient inactivation (desensitization) of mechano-  
433 sensitive dilatory mechanisms. This hypothesis was supported by the observation that desensitization upon  
434 repeated activation is a characteristic of certain vascular mechano-sensitive channels (17, 26). A subsequent  
435 human study in which similar stimulation protocols were applied to the forearm, qualitatively confirmed the  
436 attenuation pattern, although with a less gradual dependence on the ISI (38)

437 The up-and-down pattern exhibited by compression-induced hyperaemia at ISI = 80 s (Fig. 2 and Fig. 3)  
438 seems to exclude a simple, frequency-dependent, desensitization mechanism of mechano-sensitive pathways,  
439 as previously hypothesized (38, 60). More complex desensitization patterns possibly affecting multiple

440 mechanosensitive pathways cannot be excluded based on the present data. However, in order to explain the  
441 peculiar hyperaemic responses observed at ISI=80 s, such desensitization pattern should exhibit an up-and-  
442 down time course, as exhibited by TOI, which would appear a quite unlikely coincidence.

443

#### 444 *Limitations*

445 Manual assessment of insonation angles, as required with the transversal approach, is not very accurate and  
446 may introduce systematic errors in the calculation of absolute flow values. This is particularly true for  
447 assessment of venous blood flow since a wide angle between the vessel axis and the ultrasound beam had to  
448 be adopted in order to avoid saturation of the velocity signal (aliasing). However, the analysis was here  
449 focused on relative changes, thereby eliminating errors associated with measurement of the insonation angle.

450 Diameter of the femoral vein was not continuously monitored. Possible enlargement of the vessel during the  
451 passage of the blood volume displaced by the compression may have resulted in underestimation of venous  
452 flow.

453 Diameter of both femoral artery and vein exhibited a small increase throughout the experimental protocol,  
454 which was not accounted for. This may also have led to increasing underestimation of blood flow with time.  
455 Since the sequence of the series was randomized this aspect should not have affected the results.

456

#### 457 *Conclusions*

458 This study demonstrated that the attenuation of hyperaemia upon repetitive limb compression is not  
459 dependent on vascular filling and the muscle pump nor on a simple ISI-dependent desensitization of  
460 mechano-sensitive structures. In addition, strong evidence is provided, supporting the concept that tissue  
461 hyper-oxygenation is the key signal underlying the inactivation of the rapid dilatory response to muscle  
462 compression. This evidence is however indirect and other studies are necessary to conclusively prove this  
463 assertion.

464 Irrespective of the underlying mechanisms, it is worth emphasizing that the inactivation of the vascular  
465 response to the compressive stimulus can be strong enough to abolish the hyperemia almost completely,  
466 suggesting a role for this phenomenon in protecting the tissue from hyperperfusion and oxidative stress.

467 The hyperaemic response to muscle compression is proposed as a peculiar model for the investigation of the  
468 response to hyper-perfusion characterized by constant arterial pO<sub>2</sub>, constant tissue metabolism as well as  
469 modest or absent systemic reactions.

470 Finally, tissue oxygenation monitoring is recommended to assess the efficacy of IPC treatments, oriented to  
471 improve blood perfusion in limbs.

472

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479 **Disclosures**

480 No competing interest to declare.



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621

622

623

## 624 **LEGENDS TO FIGURES**

625

### 626 **Fig 1**

#### 627 **Experimental setup and typical hemodynamic response to a compressive stimulus.**

628 A) The experimental setup includes: the IPC system for the compression of the lower limb, eco-Doppler  
 629 monitoring of blood flow from femoral vein and femoral artery, and NIRS monitoring at lateral head of  
 630 gastrocnemius muscle. B) Typical response to leg compression in a representative subject. From top to  
 631 bottom: blood velocity in femoral vein (BVfV), blood velocity in femoral artery (BVfA), tissue  
 632 oxygenation index (TOI), total hemoglobin index (THI), changes in oxygenated hemoglobin (O<sub>2</sub>Hb) and in  
 633 deoxygenated hemoglobin (HHb) and the synchronism signal (Sync.), the thick and thin bars indicating start  
 634 of inflation and deflation of the cuff, respectively.

### 635 **Fig 2**

#### 636 **Hemodynamic responses to repetitive compression at different inter-stimulus intervals (ISI).**

637 The ISI is indicated at the bottom of each column of bar- diagrams; each bar refers to the response to a single  
 638 compressive stimulus. From top to bottom: Peak (arterial) blood flow, displaced (venous) blood volume, Pre-  
 639 compression THI (indicating local vascular filling reached before the delivery of the compressive stimulus);  
 640 Pre-compression TOI (indicating local tissue oxygenation before the stimulus). For the first three variables

641 and for each subject, responses have been normalized to the response to the first stimulus in the 160-s series  
642 (white bar). \* significantly different from the first response in the series ( $p < 0.05$ )

643 **Fig 3**

644 **Original recordings of the response to repetitive leg compression at inter-stimulus interval = 80 s, from**  
645 **a representative subject.**

646 Notations as in Fig.1. Note the pattern of response of arterial blood velocity in relation to tissue oxygenation.  
647 The dotted line represents the initial TOI baseline.

648 **Fig 4**

649 **Original recordings of the response to repetitive leg compression at inter-stimulus interval = 20 s, from**  
650 **a representative subject.**

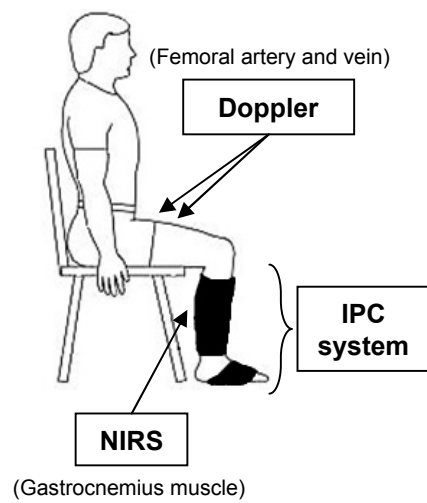
651 Notations as in Fig.1. Note the complete disappearance of the hyperaemic response (BVFA) after the first  
652 compressive stimulation, as long as tissue oxygenation (TOI) remains elevated, and the agreement between  
653 the displaced blood volume (area under BVFV) and the current vascular filling (THI).

654 **Fig 5**

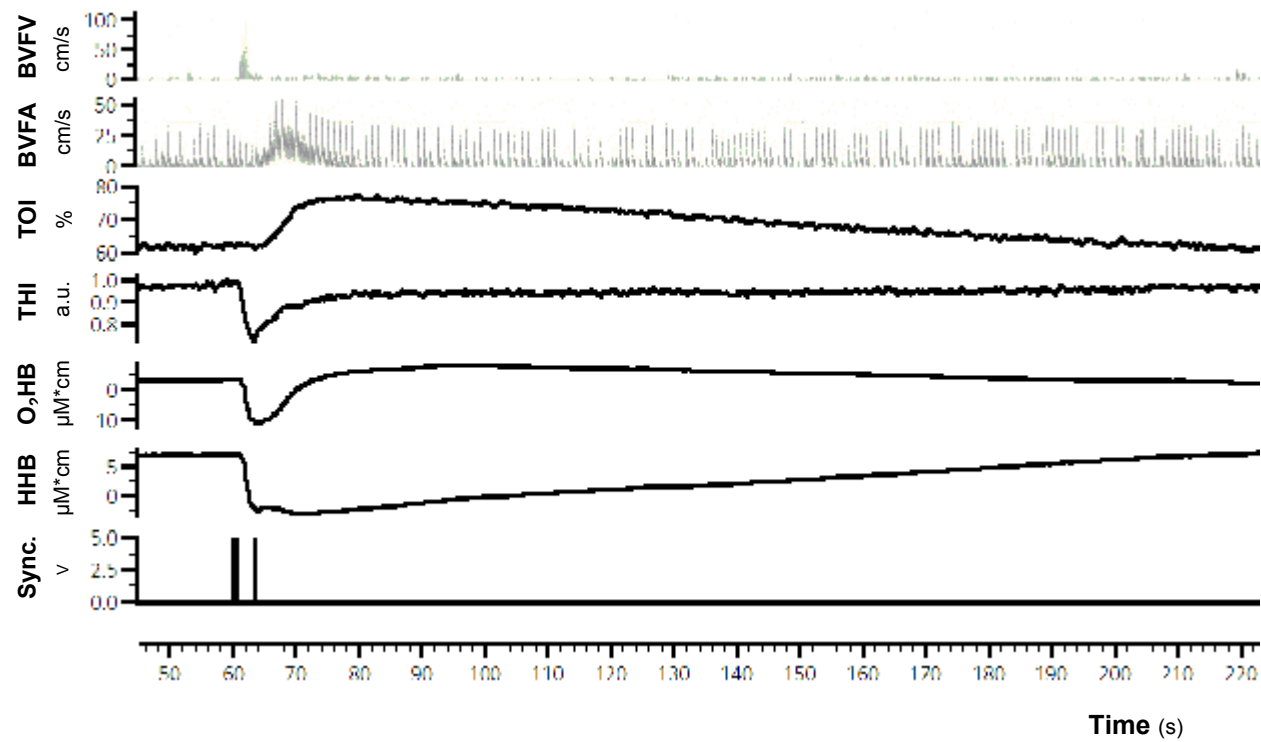
655 **Scatter plots for assessing the correlation between different variables.** Each dot indicates the response to  
656 a single compressive stimulus in a single subject. Notations as in Fig. 2. ( $n=10$ ). Straight lines indicate linear  
657 regressions for individual subjects. Note that: the increase in tissue oxygenation is related to the peak blood  
658 flow (A); Peak blood flow is not related to the displaced blood volume (B) but is inversely related to pre-  
659 compression oxygenation level. In D the amplitude of the hyperaemic response (peak-baseline) is plot vs  
660 pre-compression TOI to indicate that at high oxygenation levels the hyperaemic response may be almost  
661 completely abolished.

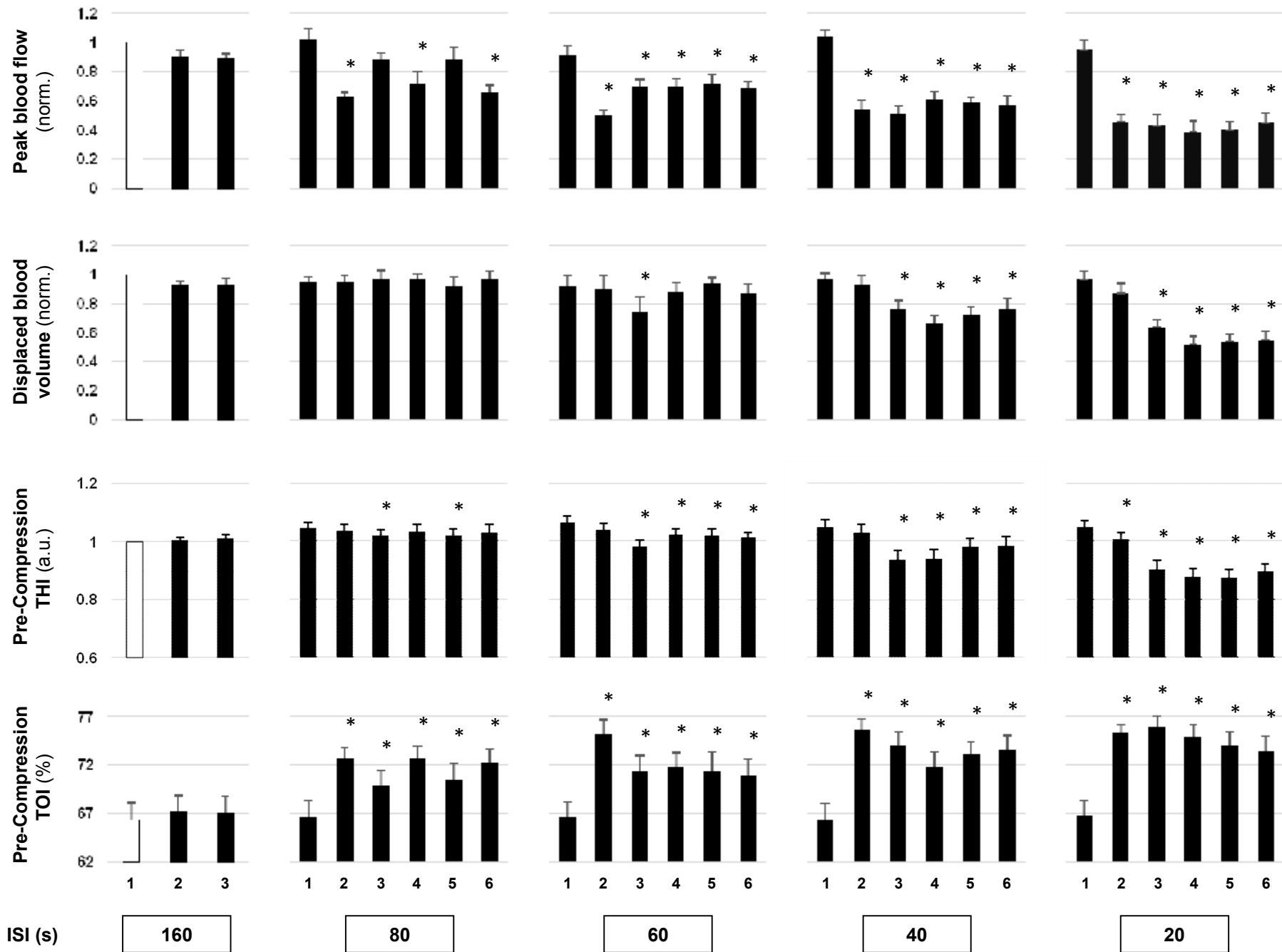
662

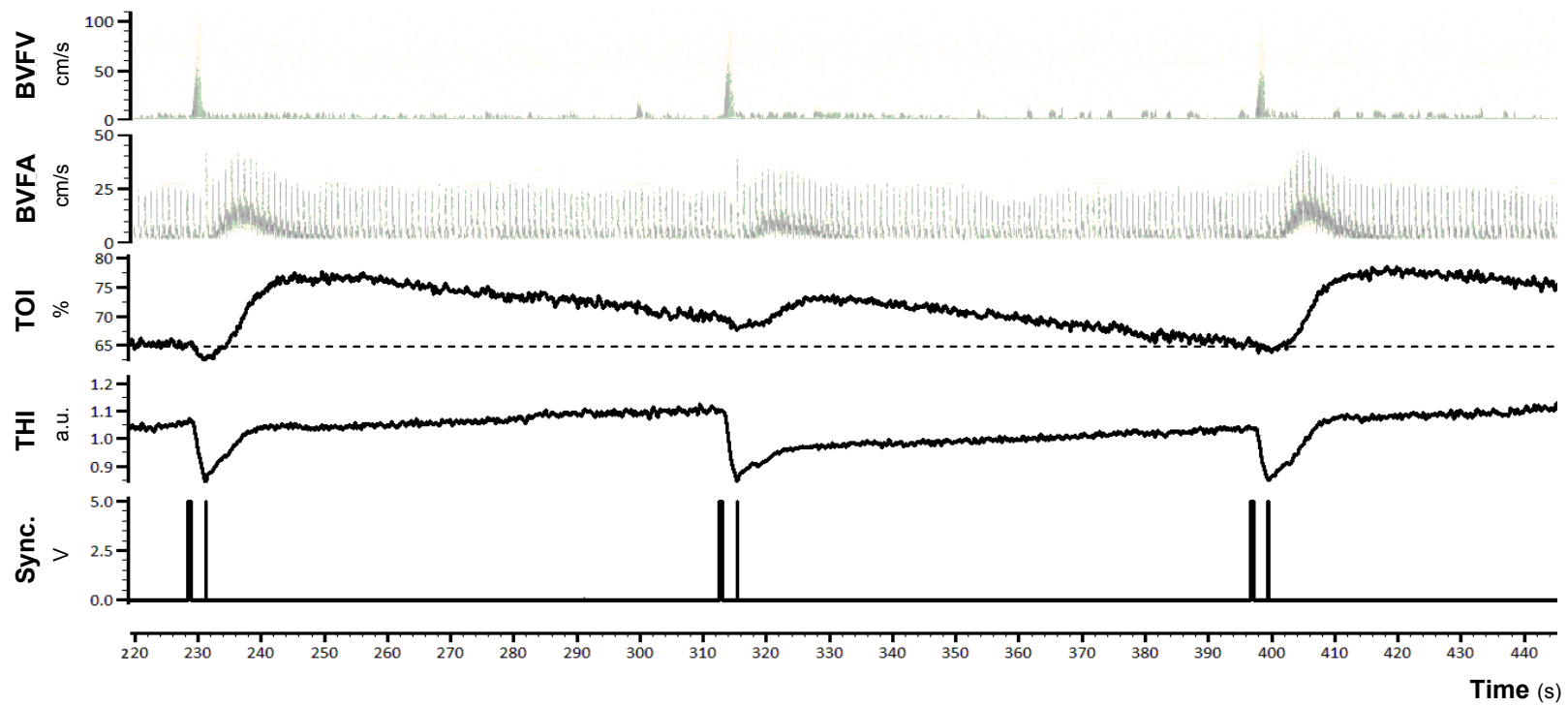
**A)**

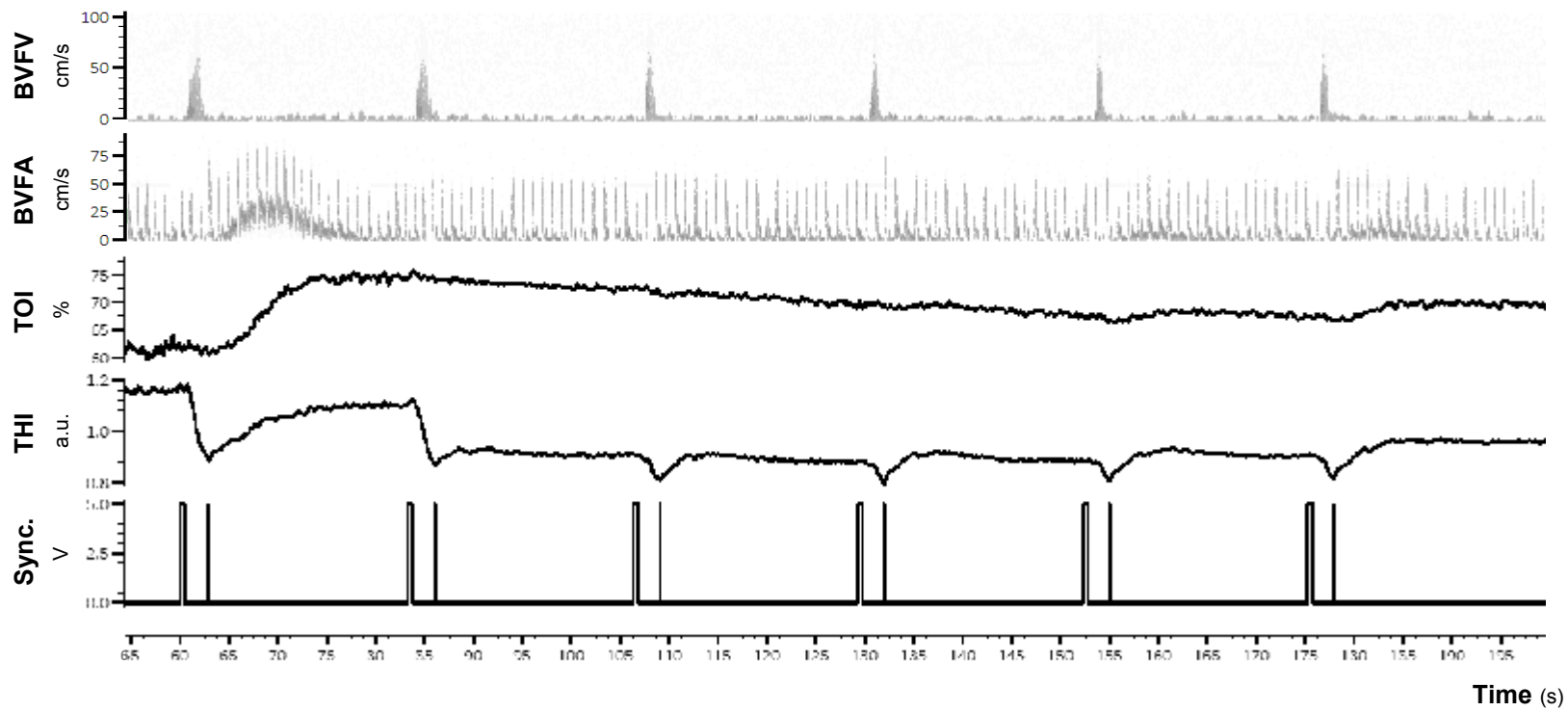


**B)**



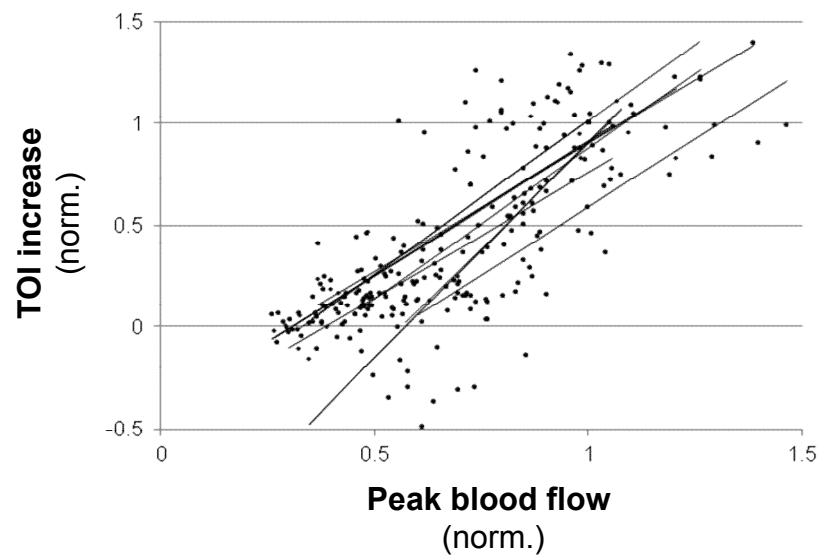




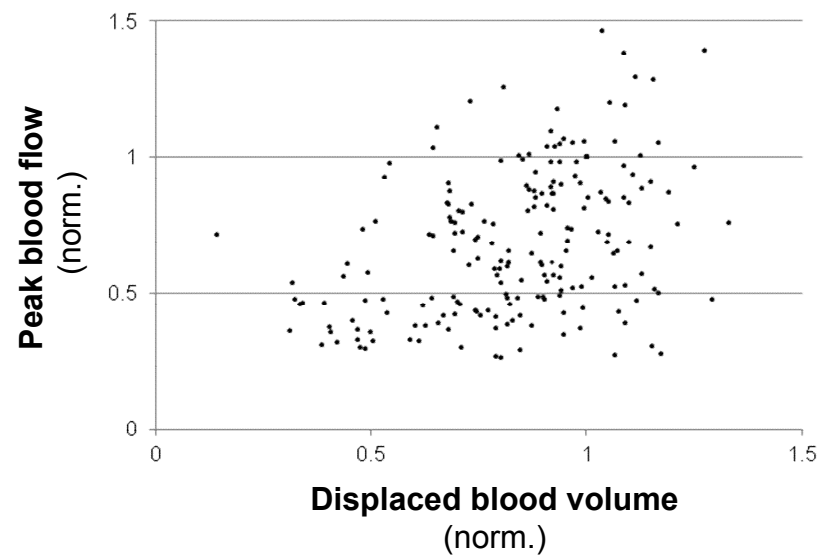




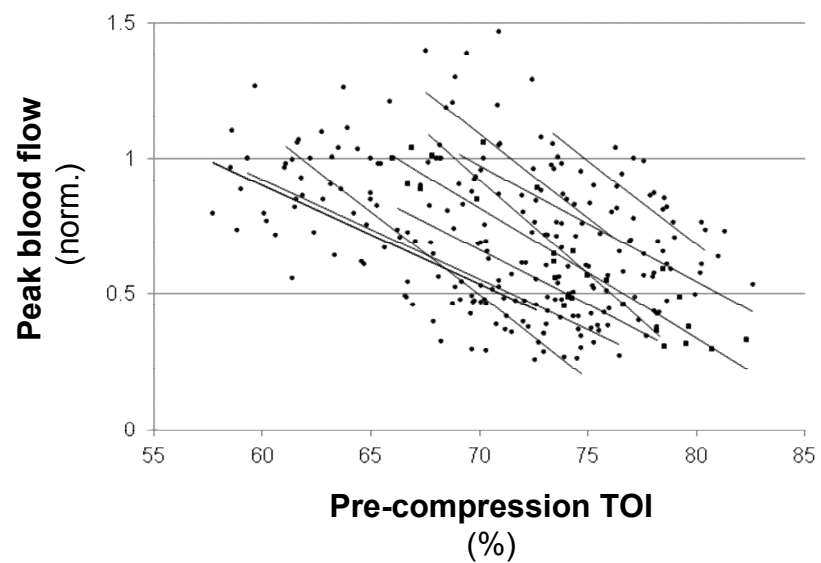
**A)**



**B)**



**C)**



**D)**

