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1	Allele and	haplotype	diversitv	of 12 X-	STRs in	Sardinia

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The analysis of clusters of tightly linked X-chromosome short tandem repeat (STR) markers can 15 assist the interpretation of complex kinship cases. However, when linkage disequilibrium (LD) is 16 present in the population of origin of tested individuals, haplotype rather than allele frequencies 17 should be used in likelihood calculations. The diversity of twelve X-STRs arranged in four linkage 18 groups (I: DXS10148-DXS10135-DXS8378; II: DXS7132-DXS10079-DXS10074; III: DXS10103-19 HPRTB-DXS10101; IV: DXS10146-DXS10134-DXS7423) was tested in a Sardinian population 20 21 sample (n=516) including three open populations from the Northern, Central and Southern part of the island, and three isolates (Benetutti, Desulo, Carloforte). Evidence of LD was detected in 22 Sardinia within each linkage group. Significant differences in haplotype and allele frequency 23 distribution of X-STR markers was seen between isolates and open populations, which on the 24 25 contrary appeared highly homogeneous.

The percentage of Sardinian haplotypes previously unobserved in a similar dataset compiled for the Italian population was: 76.3% (linkage group I), 61.3% (linkage group II), 54.1% (linkage group III), 58.9% (linkage group IV). Significant heterogeneity in haplotype distribution between Sardinians and mainland Italians was observed at linkage group IV.

30 The study confirms the presence of high levels and complex patterns of LD along the X 31 chromosome in Sardinia, and provides population-specific haplotype data for biostatistical 32 evaluation in kinship testing.

33

### 34 Keywords

35 Sardinia, X chromosome, X-STR, linkage disequilibrium, kinship testing

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### 37 1. Population

38 Sardinia is the second largest island in the Mediterranean Sea, with a population of over 1.6 million according to the latest Italian census [1]. A combination of geographic, historical and environmental 39 factors contributed to the substantial differentiation of modern Sardinians from European 40 continental populations, testified by distinctive archaeological, linguistic, and cultural records [2]. 41 Sardinia is also a well-known outlier within the European [3] and Italian [4] genetic landscape. 42 Genetic isolation of Sardinia must therefore be carefully considered in the context of forensic 43 investigations, especially when uniparental markers are employed [5,6]. Among haploid markers, 44 X-chromosome short tandem repeat (STR) loci play a relevant role in forensics, assisting the 45 interpretation of complex kinship cases in addition or alternatively to autosomal STRs [7]. The use 46 of clusters of tightly linked X-STRs forming highly informative haplotypes is particularly profitable 47 in such cases [8], but the possibility for linkage disequilibrium (LD) must then be taken into 48 account in the following biostatistical evaluations [9,10]. Previous studies focusing on the X 49

50 chromosome indicated the presence of high levels of LD in Sardinia, explained by the combined 51 effect of genetic drift, peculiar demographic history, and slow population growth [11,12]. However, 52 allele/haplotype frequency data of forensically relevant X-STR markers in Sardinians are extremely 53 limited, at present [13,14]. To fill this gap, samples from both open and isolated Sardinian 54 populations were tested with a commercial kit (Investigator Argus X-12 Kit, Qiagen) including four 55 clusters of closely linked X-STR triplets.

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## 57 **2.** Sample

58 Samples from 516 Sardinians (318 males and 198 females) were collected by means of venipuncture or buccal swab. Donors belonged to three open populations from Northern (n = 50), 59 Central (n = 118) and Southern (n = 197) Sardinia, and three isolated populations: the mountain 60 villages of Benetutti (n = 44) and Desulo (n = 34), and the linguistic enclave of Carloforte (n = 73) 61 62 inhabited by the descendants of Genoese settlers still speaking a distinctive archaic form of the Ligurian dialect [15-17] (Supplementary material, Fig. S1). All individuals were unrelated, 63 apparently healthy, born and resident in the selected villages, or areas, for at least three generations. 64 The study was reviewed and approved by the University of Cagliari Ethical Committee and all 65 voluntary participants read and signed an informed consent form. 66

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### 68 **3.** Extraction, PCR amplification, genotyping and statistical analyses

Genomic DNA was extracted using the QIAamp DNA Mini kit (Qiagen). The Investigator Argus
X-12 Kit was used, according to the manufacturer's instructions, to amplify 12 X-STR loci arranged
in four linkage groups: I (DXS10148-DXS10135-DXS8378); II (DXS7132-DXS10079DXS10074); III (DXS10103-HPRTB-DXS10101); IV (DXS10146-DXS10134-DXS7423).

73 Detection and separation of PCR products were carried out using the ABI Prism 3500 Genetic
74 Analyzer and GeneMapper software (Thermo Fisher Scientific).

75 Statistical parameters of forensic interest were calculated using the on-line functions provided by
76 the ChrX-STR.org 2.0 database (http://www.chrx-str.org) [18].

Test of Hardy–Weinberg equilibrium (HWE) for genotypic data (female subsample), haplotype
diversity, pairwise test of LD, analysis of molecular variance (AMOVA), and pairwise genetic
distances (FST) for haplotype data (male subsample) were performed with Arlequin software
version 3.5 [19]. To account for multiple testing, Bonferroni correction was applied to adjust
threshold p-value (α level).

Matrixes of Slatkin's linearized pairwise genetic distances were calculated from allele frequencies with Arlequin software, averaged, and represented by multidimensional scaling (MDS) analysis using the *isomds* function as implemented in MASS package, and *vegan* package of R v.3.3.0 [20].

85

## 86 4. Quality control

XX28 DNA included in the Investigator Argus X-12 Kit was used as control DNA for allele
assignment. This manuscript follows the guidelines for the publication of population data indicated
by the journal [21].

90

## 91 5. Results

Allele and haplotype frequencies in the Sardinian sample are displayed in Supplementary material,
Table S1, together with statistical parameters of forensic interest calculated for each X-STR marker.

### 95 6. Other remarks

96 Based on the observed and expected distribution of genotypes in the Sardinian female subsample, 97 all the tested X-STR loci were found to be in HWE ( $\alpha$ =0.004).

Pairwise test of LD delivered statistically significant results ( $\alpha = 0.0008$ ) exclusively for pairs of markers located within linkage groups (Supplementary material, Table S2). All the four linkage groups were interested by the presence of LD in the Sardinian population sample. At subpopulation level, LD between markers DXS10103-DXS10101 of linkage group III was observed in Northern Sardinia (p = 0.0002), Central Sardinia (p < 0.0001), Desulo (p = 0.0007) and Carloforte (p < 0.0001). Moreover LD was found between DXS10148-DXS10135 of linkage group I in Desulo (p < 0.0001) and DXS10146-DXS10134 of linkage group IV in Carloforte (p < 0.0001).

When considering the distribution of haplotypes, the three isolates of Benetutti, Desulo and 105 Carloforte generally showed lower haplotype diversity values compared to open populations 106 (Supplementary material, Table S1). Pairwise comparisons between subpopulations showed 107 multiple significant FST values ( $\alpha$ =0.003) at linkage group I, III and IV (Supplementary material, 108 Table S3). Notably, significant comparisons always involved isolates, whereas no evidence of 109 differentiation was seen between the open populations from Northern, Central and Southern 110 Sardinia. AMOVA, performed after grouping the three open populations in a single group, 111 evidenced heterogeneity for linkage group IV (2.31% of the observed variation among population 112 groups; FST = 0.020; p < 0.05). Remarkably, linkage group IV is located within Xq28, close to the 113 114 Glucose-6-phosphate-deidrogenase (G6PD) gene. Mutation in G6PD was positively selected by malaria, that affected lowland and costal areas of Sardinia, but not the elevated interior regions of 115 116 the island [22].

The Sardinian haplotype dataset was compared with that compiled by Bini et al., consisting of 200
Italians (including 12 Sardinians) typed for the Investigator Argus X-12 loci [14]. The percentage of
Sardinians haplotypes which were not previously observed in Italians [14] was: 76.3% (linkage

group I), 61.3% (linkage group II), 54.1% (linkage group III), 58.9% (linkage group IV).
Conversely, the percentage of Italian haplotypes listed in [14] not found in the Sardinian sample
was: 67.9% (linkage group I), 49.6% (linkage group II), 46.5% (linkage group III), 56.3% (linkage
group IV). In every linkage group, the most frequent haplotype found in the Sardinian sample was
observed at least once in Italians, and vice versa.

AMOVA evidenced significant variation among groups (whole Sardinian sample vs Italy) at linkage group IV (1.47%; FST = 0.008; p < 0.05). Among Sardinian subpopulations, the isolate of Benetutti mostly contributed to this result, as reflected by the highly significant genetic distance from the Italian population (FST = 0.029; p < 0.001).

MDS analysis was used to summarize genetic differences between Sardinians and other relevant populations from Europe, Northern Africa, and the Middle East [14,23-29] (Supplementary material, Figure S2). A loose cluster including Sardinia, Central and Western Mediterranean populations, and Algeria was observed, confirming the overall genetic homogeneity previously described for X-chromosome biallelic markers in that geographical area, with the notable exception of Morocco [30]. Also confirmed was the outlier position of Albania in the Mediterranean context, previously seen for X-STRs included in the Investigator Argus X-12 kit [29].

In its recent guidelines on the use of X-STRs in kinship analysis [31], the DNA Commission of the International Society for Forensic Genetics recommends that haplotype frequencies should be used for likelihood calculations when LD exists. The obtained results confirm that complex patterns of LD along the X chromosome are present in Sardinia, which also involve forensically relevant X-STR markers. Accordingly, the present study provides haplotype database of suitable size [10] for the computation of likelihoods in kinship tests carried out on individuals with Sardinian ancestry.

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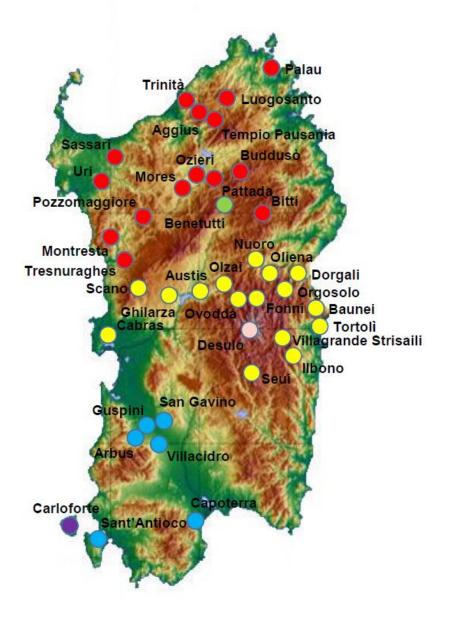


Figure S1 – Geographical location of the tested populations and sampling sites is indicated by colored dots: Northern Sardinia (red); Central Sardinia (yellow); Southern Sardinia (blue); Benetutti (green); Desulo (pink); Carloforte (purple).

227 Table S1 see attachment...

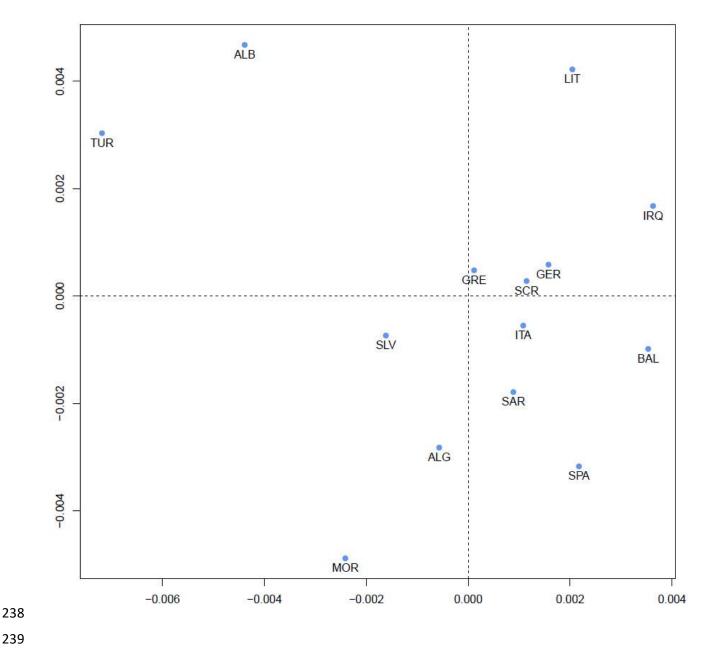
	Linkage group I			Linkage group II			Linkage group III		
	DSX10148	DXS10135	DXS8378	DXS7132	DXS10079	DXS10074	DXS10103	HPRTB	DXS1010
DSX10148		<0,0001	0,0861	0,7053	0,2975	0,5169	0,2841	0,3691	0,4999
DXS10135			0,0457	0,3750	0,7361	0,4739	0,6351	0,5651	0,0949
DXS8378				0,9975	0,3759	0,9753	0,0353	0,3544	0,6968
DXS7132					0,4059	<0,0001	0,4145	0,6751	0,7536
DXS10079						<0,0001	0,6017	0,0274	0,0727
DXS10074							0,1755	0,8084	0,7987
DXS10103								<0,0001	<0,0001
HPRTB									<0,0001
DXS10101									
DXS10146									
DXS10134									
DXS7423									

Table S2 Pairwise test of LD in the Sardinian population sample. The cells containing comparisons
between markers within linkage groups are shaded in grey. significant p-values after Bonferroni
correction for multiple testing are shown in bold.

Linkage group I	SAN	BEN	SAC	DES	SAS	CAR
SAN		0,006	0,000	0,012	0,000	0,003
BEN	0,018		0,006	0,019	0,004	0,008
SAC	0,315	0,018		0,016	0,002	0,006
DES	<0.001	<0.001	<0.001		0,015	0,021
SAS	0,450	<0.001	0,063	<0.001		0,006
CAR	0,135	0,018	<0.001	<0.001	<0.001	
Linkage group II	SAN	BEN	SAC	DES	SAS	CAR
SAN		0,000	-0,001	0,002	-0,002	0,001
BEN	0,496		0,003	0,005	0,002	0,006
SAC	0,676	0,117		0,000	0,001	0,001
DES	0,261	0,054	0,396		0,000	0,005
SAS	0,901	0,117	0,333	0,432		0,002
CAR	0,360	0,072	0,315	0,072	0,117	
Linkage group III	SAN	BEN	SAC	DES	SAS	CAR
SAN		0,008	0,007	0,016	0,008	0,009
BEN	0,036		0,006	0,013	0,008	0,006
SAC	0,036	0,018		0,008	0,003	0,006
DES	<0.001	<0.001	0,045		0,010	0,009
SAS	0,018	<0.001	0,135	0,009		0,001
CAR	0,009	0,036	0,027	0,036	0,351	
Linkage group IV	SAN	BEN	SAC	DES	SAS	CAR
SAN		0,004	0,000	0,012	-0,001	0,008
BEN	0,135		0,007	0,016	0,007	0,012
SAC	0,514	<0.001		0,007	0,002	0,006
DES	<0.001	0,009	<0.001		0,013	0,014
SAS	0,721	<0.001	0,144	<0.001		0,012
CAR	0,018	<0.001	0,045	0,009	<0.001	

Table S3 Pairwise genetic distances for haplotype data: F<sub>ST</sub> and p-values are reported above and 235 below the diagonal, respectively. Significant results are shown in bold. 236

237



240 Figure S2 – MDS plot (stress: 0.141; RSQ: 0.98) based on averaged pairwise F<sub>ST</sub> distances derived from allelic frequencies of X-STR observed in: Sardinia (SAR) (present study), Albania (ALB) 241 [23], Algeria (ALG) [24], Balearic islands (BAL) [25], Germany (GER) [26], Greece (GRE) [27], 242 Iraq (IRQ) [23], Italy (ITA) [14], Lithuania (LIT) [23], Morocco (MOR) [28], Slovenia (SLV) [23], 243 244 Southern Croatia (SCR) [29], Valencia-Spain (SPA) [25], and Turkey [23].