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# Cerebrospinal fluid viral load and neopterin in HIV-positive patients with undetectable viraemia

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1	Plasma Non-detectable HIV RNA and Cerebrospinal Fluid Viral Load and Neopterin in HIV-
2	positive HAART-treated patients
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35	Abbreviations:
36	TND Target not detected, CSF cerebrospinal fluid, LLV low level viremia
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#### 42 Abstract

# 43 Background

44 Cerebrospinal fluid (CSF) HIV RNA is commonly used as a marker of compartmental antiviral

- 45 activity in HIV-positive patients. Undetectable CSF HIV RNA levels have been associated with low
- 46 CSF neopterin levels and better neurocognitive performances. Aim of this study was to analyse the
- 47 prevalence and predictors of non-detectable CSF HIV RNA using a commercial assay.

### 48 Methods

- 49 In adult HIV-positive HAART-treated patients with confirmed plasma HIV RNA <50 copies/mL,
- 50 CSF HIV RNA (with Roche Amplicor Assay) and neopterin were measured.

#### 51 **Results**

52 112 adult patients were included. Plasma and CSF HIV-RNA was non-detectable (TND) in 29 53 (25.9%) and 36 (32.1%) patients, respectively. CSF TND was observed more frequently in patients 54 with plasma TND (p=0.005, OR=3.87). CSF neopterin levels were associated with age (rho=0.333, 55 p=0.002) and current (rho= -0.272, p=0.015) and nadir (rho=-0.240, p=0.038) CD4+ T 56 lymphocytes; the lowest CSF neopterin concentration was observed in patients with CSF TND 57 versus other viral load strata (0.62 mg/dl vs. 0.78 mg/dL, p=0.048).

## 58 Conclusions

Efficaciously treated HIV-positive patients with detectable plasma HIV RNA might imperfectly
control CSF viral replication. Prospective studies addressing the management and neurocognitive
consequences of CSF low level viremia are warranted.

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63 Key words: low level viral load, CSF, central nervous system, neuroefficacy, neopterin.

#### 65 Background

After penetration in central nervous system HIV-infected cells may express neurotoxins leading to permeable blood brain barrier and to neuronal injury. (1,2) Cerebrospinal fluid (CSF) HIV RNA is the most commonly used marker of compartmental viral replication in HIV-positive patients although its relationship with brain tissue viral load is not uniform. (3,4)

Neopterin is a well-established early marker of intrathecal immune response, and particularly of macrophage activation. The CSF neopterin levels are elevated in most HIV-1-infected individuals and decrease significantly after initiation of antiretroviral therapy achieving CSF concentrations observed in HIV-negative subjects. (5) Two exceptions have been reported: low CSF neopterin levels in patients treated during primary HIV infection (6) and in those with undetectable CSF HIV RNA by ultra-sensitive methods. (7-10)

Following such evidence, maintaining an undetectable CSF HIV RNA would be one of the longterm objectives of antiretroviral therapy. Unfortunately proven strategies to reach such target are
lacking.

## 79 **Objectives**

80 Aim of this study was to analyse the prevalence and predictors of non-detectable CSF HIV RNA.

# 81 Study design

The study was conducted at the Unit of Infectious Diseases, Department of Medical Sciences, University of Torino, Italy between January 2013 and February 2015. Ethics Committee approval was obtained (Comitato Etico Interaziendale, Ospedale San Luigi Gonzaga, Orbassano Torino) and all patients provided a written informed consent.

Adult HIV-positive HAART-treated patients enrolled in a prospective ongoing cohort with
 confirmed plasma HIV RNA <50 copies/mL (at least two determinations, the latter in the previous</li>

30 days) undergoing lumbar punctures for clinical reasons were included. Exclusion criteria
 included the presence of central nervous system infectious, inflammatory or neoplastic lesions.

Routine cerebrospinal fluid examinations (including HIV RNA, resistance associated mutations and neopterin) were performed. Neopterin (normal value <1.5 ng/ml) was analysed with an enzymelinked immunosorbent assay. HIV-1 RNA quantification was performed with the Roche Amplicor assay (version 2.0; Hoffman-La Roche, Basel, Switzerland) with a lower limit of quantification of copies/mL. CNS concentration penetration effectiveness score (CPE) was derived from Hammond et al. (11)

Data are expressed as medians (interquartile range). Non-parametric tests were used for all analysis
using SPSS software for Macintosh (version 22.0, IBM Corp.).

98

### 99 **Results**

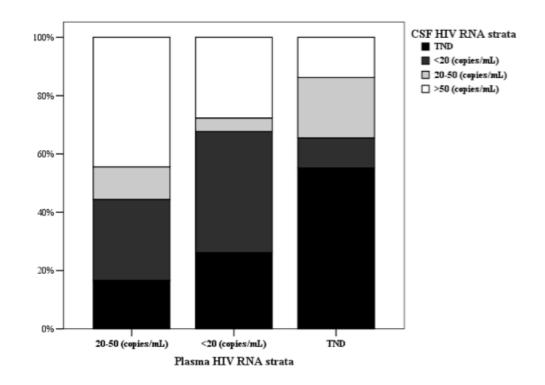
One hundred-twelve patients were included; subjects' characteristics are shown in Table 1.
Previous syphilis was recorded in 18 (16.1%) subjects. Plasma HIV-RNA was TND, <20 cp/ml and</li>
20-50 cp/ml in 29 (25.9%), 65 (58%) and 18 (16.1%) patients. Antiretroviral regimens were mostly
based on the association of 2 NRTIs (95 patients, 84.8%) plus either a PI (81 patients, 72.3%)
followed by a NNRTI (16 patients, 14.3%) or a integrase inhibitor (14 patients, 12.5%). Median
CPE was 7 (IQR 6-8).

106 CSF HIV RNA was TND, <20, 20-50 and >50 cp/ml in 36 (32.1%), 35 (31.3%), 11 (9.8%) and 30 107 (26.8%) patients, respectively; in those subjects with CSF HIV RNA >50 copies/mL its median 108 value was 122 copies/mL (73-185). Median CSF neopterin was 0.6 (0.48-1.07) ng/ml; it was >1.5 109 ng/ml in 9 patients (8%).

	n or median	% or IQR 112
Gender: male	74	66.1%
Ethnicity: Caucasian	81	72.3%
Age: years	47.9	40.9-56.2
HBsAg positive	12	10.7% 115
HCV Ab positive	30	26.8%
Liver cirrhosis	15	13.4%
Nadir CD4 cell count: n/uL	86	11-215
Current CD4 cell count: n/uL	387	194-601 118
Estimated duration of HIV	11.9	2.8-18.9
infection: years		
Reason for lumbar puncture 120		
Longitudinal cohort	46	41.1%
Neurocognitive disorders	24	121 21.4%
White matter abnormalities	13	11.6%122
Differential diagnosis	13	11.6% 123
Long-term follow up of	11	9.8%
opportunistic infections		124
Persistent headache	7	6.2%125

- **Table 1. Characteristics of included patients**.
- 127 HBsAg, hepatitis B surface antigen. HCV Ab, hepatitis C virus antibody. IQR, interquartile range.

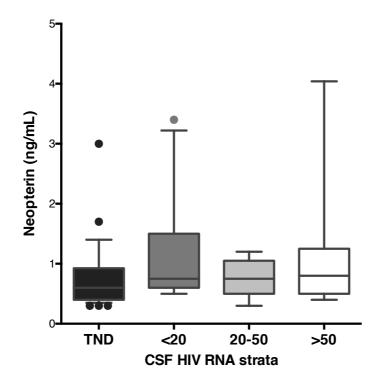
- 129 CSF TND was more common in patients with plasma TND [16 out of 29 patients (55.2%) vs. 20
- out of 83 patients (24.1%), p=0.005, OR=3.87] (Fig.1). No other variable was significantly
  associated with non-detectable HIV RNA in the CSF.



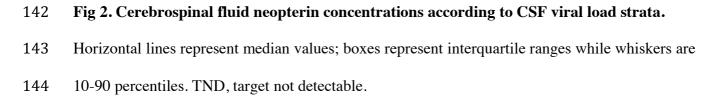
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Figure 1. Prevalence of cerebrospinal fluid viral load strata according to plasma viral load
levels. TND, target not detectable.

CSF neopterin levels correlated with CSF HIV RNA (rho=-0.22, p=0.048) with lower values in patients with TND as compared to those in other CSF viral load strata [0.62 ng/mL (0.41-0.923) vs. others 0.78 ng/mL (0.54-1.21), p=0.048] (**Fig. 2**) but not in patients with plasma TND (0.73 vs. 0.63 ng/mL, p=0.512). Furthermore CSF neopterin levels showed a weak inverse correlation with current (rho= -0.272, p=0.015) and nadir (rho=-0.240, p=0.038) CD4+ T lymphocytes and a direct correlation with age (rho=0.333, p=0.002).







#### 146 Conclusions

The main results of this analysis are that the non-detectable CSF HIV RNA was observed more frequently in patients with plasma non-detectable plasma HIV RNA (suggesting this as a possible screening marker for optimal viral control) and that it was associated with the lowest CSF neopterin concentrations (suggesting that it may be a good target for CNS-oriented antiretroviral therapy).

Our aim was to analyse the prevalence and predictors of non-detectable CSF HIV viral load by using the Roche Amplicor Assay: this test is able to discriminate non-detectable RNA (associated with better virological control and less risk of future treatment failures) to non-quantifiable but detectable RNA (less than 20 copies/mL). Sensitive CSF HIV RNA analyses have been performed so far using single copy assay thus requiring a large quantity of cerebrospinal fluid collected (above 156 7 mL for this determination) and expensive laboratory work. (5,8) Using a commercial assay might157 provide useful and clinically applicable information.

Some limitations should be acknowledged such as the cross-sectional design and the heterogeneousreasons for performing lumbar punctures.

160 Several determinants of CSF viral control have been identified so far including plasma HIV RNA 161 <50 copies/mL, depression, duration of HAART, HAART adherence and nadir CD4 cell count. 162 (11-14) The role of different drugs is debated: higher CPE scores have been associated in most 163 studies with lower CSF HIV RNA (13,15,16) even if treatment intensification was reported to have 164 no effect in controlling residual CSF replication. (17) The association of CPE with better 165 neurocognitive outcomes is even more controversial. (18,19) In patients with less drug pressure and 166 specifically on protease inhibitors monotherapy a low nadir CD4 cell count was associated with 167 CSF escape and neurological symptoms. (20) Furthermore individual CSF concentrations, rather 168 than estimated penetration, might inform on the compartmental efficacy of antiretroviral regimens 169 (12).

We were here able to identify optimal plasma control as the only predictor of CSF undetectable
HIV RNA. In several studies LLV was associated with virological failure, with viral reservoirs size
and, recently, with CSF escape. (21-27)

We also confirmed what Yilmaz and Dahl (5,8) observed in previous studies: only patients with the lowest residual CSF HIV RNA showed the lowest levels of immune activation. Impact of residual HIV RNA has been linked to higher levels of immune activation and pro-inflammatory cytokines in periphery and we suggest this observation could be assumed as possible explanation of our result.

Early treatment for all HIV-positive patients might be beneficial in preventing advanced immune suppression, even if an overall neurocognitive advantage (or disadvantage) was not found for immediate ART initiation in asymptomatic treatment-naive individuals with high CD4 counts. Occasional CSF HIV-RNA may be clinically benign and represent low fluctuations in release of virus into the CSF rather than lack of treatment efficacy. (32,33) Dahl et al. found that patients who had detectable viral replication at one point did not have elevated CSF neopterin levels at other time points. (8) This observation suggests that such patients may not have "chronic" immune activation in the CSF but rather the release of very low levels of HIV-RNA that may lead to macrophage activation and neopterin production even during suppressive therapy.

189 Is HAART not enough to control viral induced immune response? Reducing HIV replication in the 190 brain may not have effects on other processes involved in neuronal injury, including ongoing 191 immune activation. Several data suggested that maraviroc might have additional positive effect in 192 the central nervous system possibly by interfering with immune activation processes. (34,35)

Prospective studies addressing the management and neurocognitive consequences of very low level
CSF HIV RNA and immune-activation are warranted. Possible adjunctive therapies, beside
efficacious antiretroviral treatments, are needed.

196

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203 Authors' contribution

204	AC, SB, DI, VG designed the study. IM, MF and SE enrolled the patients and followed the study
205	procedures. AR, TA performed the plasma and CSF analysis. AC, IM and SB analysed the data and
206	wrote the draft of the manuscript. AC, SB, VG, DI and GDP reviewed the paper. All authors made
207	substantial contributions to this manuscript and agreed on its preparation in tis present form.

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