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**Frequency of stump infections by *Heterobasidion annosum* s.l. and benefits from urea treatments vary with tree species and season in European Alpine forests**

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1 **Frequency of stump infections by *Heterobasidion annosum* s.l. and benefits from**  
2 **urea treatments vary with tree species and season in European Alpine forests**

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13 **Declarations of interests:** none

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15

## 17 **Abstract**

18 The destructive forest pathogen *Heterobasidion annosum sensu lato* (s.l.) may be controlled either by  
19 timing logging operations in periods of the year characterised by a low risk of airborne stump  
20 infection or by treating stump surfaces immediately after felling. 1616 stumps of four of the most  
21 widely-distributed European host tree species, either untreated or manually and operationally treated  
22 with urea, were sampled from 29 pure and mixed forest stands of the western Italian Alps and  
23 analysed for the presence of the pathogen. The aims of the study were to (i) compare the overall and  
24 seasonal frequency of stump infection of different tree species; (ii) assess the efficacy of treatments  
25 on different tree species; (iii) explore if the efficacy of treatment is affected by the season of  
26 application, and (iv) estimate the benefits resulting from treatments at different time periods. Overall,  
27 the frequency of infection of untreated stumps was significantly higher ( $P < 0.05$ ) in Norway spruce  
28 (*Picea abies*) than in European larch (*Larix decidua*), silver fir (*Abies alba*) and Scots pine (*Pinus*  
29 *sylvestris*). In general, the frequency of stump infection of each tree species increased throughout the  
30 year, reaching a maximum in autumn, possibly in relation to the higher availability of airborne  
31 inoculum in that season. Manual operational stump treatment with urea reduced the frequency of  
32 infected stumps compared to controls both overall and for every tree species, although the reduction  
33 was significant only in the overall analysis and in the analysis performed separately for Norway  
34 spruce and larch. Binary logistic regression models point to the general efficacy of treatments  
35 regardless of the season of application, although the frequency of infection of treated stumps is  
36 predicted to increase slightly in autumn. Despite the efficacy of treatments being slightly reduced in  
37 autumn, the predicted reduction of stump infection probability due to treatments, hence the benefits  
38 provided by treatments, is expected to vary in magnitude depending on the species but to increase  
39 similarly according to a roughly sigmoid curve throughout the year, approaching a maximum in  
40 autumn. The information provided by this paper may help forest managers to design profitable and/or  
41 sustainable tactics to control the disease.

42

## 43 **Keywords**

44 Alps, conifers, control, epidemiology, fungal disease, host susceptibility, operational treatments, root  
45 and butt rots, spores, treatment efficacy.

46

## 47 **1. Introduction**

48 Root and butt rots caused by the fungal species complex *Heterobasidion annosum* (Fr.) Bref. *sensu*  
49 *lato* (s.l.) are among the most destructive diseases of conifers worldwide (Garbelotto and Gonthier,  
50 2013). In Europe, the species complex comprises three native species, each characterised by a distinct

51 host preference: *H. annosum sensu stricto* (s.s.) on pines (*Pinus* spp.) and other conifers and even  
52 broadleaves, *H. abietinum* Niemelä & Korhonen on firs (*Abies* spp.), including the widely distributed  
53 silver fir (*A. alba* Miller), and *H. parviporum* Niemelä & Korhonen on Norway spruce (*Picea abies*  
54 (L.) Karsten) (reviewed by Garbelotto and Gonthier, 2013). In addition, the North American *H.*  
55 *irregulare* Garbel. & Orosina is also present as an alien invasive species in coastal pine and oak  
56 stands of central Italy (Gonthier et al., 2007; Gonthier et al., 2012b; Gonthier et al., 2014).

57 Regardless of the *Heterobasidion* species involved, infections occur by means of meiospores through  
58 freshly cut stumps or wounds (primary infection). Once established, *H. annosum* s.l. may infect  
59 uninjured neighbouring trees by growth of the mycelium through root contacts or grafts (secondary  
60 infection) (reviewed by Garbelotto and Gonthier, 2013). Due to its peculiar infection biology and its  
61 ability to exploit the presence of recently created stumps, the fungus is particularly destructive in  
62 intensively managed forests, especially in monoculture plantations established on former agricultural  
63 land (Korhonen et al., 1998). *H. annosum* s.l. is deemed to play a subordinate role in more natural  
64 forest ecosystems (Shaw et al., 1994). However, high levels of disease incidence have also been  
65 reported in mixed and naturally regenerated forest stands. For instance, disease incidence as high as  
66 71% was reported in Norway spruce and European larch (*Larix decidua* Miller) subalpine mixed  
67 forest stands of the western Italian Alps (Gonthier et al., 2012a). In this area, where all three European  
68 native species of *H. annosum* s.l. are present (Gonthier et al., 2001), disease incidence has been  
69 reported to differ significantly from stand to stand depending on the size of the trees and on the host  
70 species, Norway spruce being the most severely affected host (44.2% of infected trees), followed by  
71 silver fir (17.6%), larch (12.0%) and Scots pine (*P. sylvestris* L.) (5.6%) (Gonthier et al., 2012a).

72 While susceptibility to the disease has been reported to vary among tree species as a consequence of  
73 secondary infections (Vollbrecht et al., 1995; Greig et al., 2001; Gonthier et al., 2012a), the  
74 susceptibility of stumps of different species to primary infection has not yet been definitively  
75 determined, as all efforts carried out so far by inoculating the pathogen have given rise to variable  
76 and sometimes conflicting results probably reflecting differences in methodology, the availability of  
77 natural airborne inoculum and interactions with environmental factors at the time of inoculation  
78 (reviewed by Redfern and Stenlid, 1998). In addition, by relying on natural airborne infections, the  
79 comparative analysis of infection frequency would require stumps of different species either to co-  
80 occur in the same mixed forest stands or, at least, to be present in separate stands yet located in the  
81 same area consistently infested by the pathogen, a rather uncommon condition to be met. It should be  
82 noted that good knowledge of the susceptibility of stumps to airborne infections might allow for  
83 tactics to be implemented to control the disease in mixed stands.

84 Root and butt rots caused by *H. annosum* s.l. may be controlled in several ways (reviewed by Gonthier  
85 and Thor, 2013). In general, satisfactory levels of disease control may be achieved either by timing  
86 logging operations (e.g. cutting or thinning) in periods of the year characterised by a low risk of stump  
87 infection due to limited spore loads or by protecting stump surfaces immediately after felling with  
88 chemical or biological treatments (Gonthier and Thor, 2013). For instance, based on the seasonal  
89 availability of airborne inoculum or on the probability of stump infections, winter thinnings and  
90 winter or spring thinnings are recommended in northern European countries and in the Alps,  
91 respectively, to minimise the risk of stump infection (Brandtberg et al., 1996; Gonthier et al., 2005).  
92 Several products have been developed to treat pine or spruce stumps, including the biological control  
93 agent *Phlebiopsis gigantea* (Fr.) Jülich, sodium tetraborate decahydrate (borax), and urea  
94 (Holdenrieder and Greig, 1998; Pratt et al., 1998; Gonthier and Thor, 2013). When tested on Norway  
95 spruce stumps in the Italian Alps, all of the above treatments performed equally well (Nicolotti and  
96 Gonthier, 2005). Nevertheless, 30% urea solution was recommended for subsequent large scale  
97 operational treatments (Nicolotti and Gonthier, 2005) due to its long history of stump treatment in  
98 Europe (reviewed by Pratt et al., 1998) and its effects on non-target organisms inhabiting stumps that  
99 are moderate and only transient (Varese et al., 2003; Vasiliauskas et al., 2004). While the efficacy of  
100 urea on Norway spruce stumps is supported by a large body of literature (Brandtberg et al., 1996;  
101 Pratt et al., 1998 and references therein; Nicolotti and Gonthier, 2005; Thor and Stenlid, 2005) and is  
102 well-documented even in long-term experiments (Oliva et al., 2008), its treatment efficacy on stumps  
103 of other tree species has been poorly investigated, except for pine species, Sitka spruce (*Picea*  
104 *sitchensis* (Bong.) Carrière), hybrid larch (*Larix × eurolepis*) and Cilician fir (*Abies cilicica* (Antoine  
105 & Kotschy) Carrière) (Pratt et al., 1998; Lehtijärvi et al., 2011; Wang et al., 2012).

106 Another poorly understood aspect is whether treatment efficacy may be affected by the season of  
107 application. It has previously been shown that the performance of borates on artificially inoculated  
108 Sitka spruce stumps depended on the inoculum density of *H. annosum* s.l. (Pratt, 2000). As the  
109 availability of natural airborne inoculum has been reported to vary significantly throughout the year  
110 in the Alps (Gonthier et al., 2005), treatments performed in different seasons may differ in their  
111 efficacy levels. However, if and to what extent this may happen still requires elucidation.

112 An efficient and standardised application method is always crucial for the final outcome when  
113 performing treatments against *H. annosum* s.l., and this is particularly true for manual stump  
114 treatment programmes (Gonthier and Thor, 2013). While experimental efficacy trials are generally  
115 performed by researchers with the maximum standardisation and the highest stringency, the question  
116 of whether operational stump treatments, i.e. treatments performed with commercial products directly  
117 by workers, may be effective in reducing *H. annosum* s.l. primary infections has been determined

118 only for mechanised stump treatments (Thor and Stenlid, 2005), but not yet for manual stump  
119 treatments.

120 This study was carried out in pure and mixed forest stands of the Aosta Valley Region, in the western  
121 Italian Alps, an area reported as consistently infested by *H. annosum* s.l. (Nicolotti and Gonthier,  
122 2005; Gonthier et al., 2012a) and where manual operational stump treatments with 30% urea solution  
123 had been performed. The specific goals were: (i) to compare the overall and seasonal frequency of  
124 primary infection of stumps of different tree species native to the Alpine range; (ii) to assess the  
125 efficacy of operational stump treatment with urea on different tree species; (iii) to explore if and to  
126 what extent the treatment efficacy is affected by the season of application, and (iv) to determine, on  
127 a seasonal basis, the predicted reduction of stump infection probability due to the treatment so as to  
128 obtain an estimate of the benefits resulting from treatments at different time periods and depending  
129 on the species.

130

## 131 **2. Materials and methods**

132 The study was conducted over the period 2004-2007 during thinning operations in 29 naturally  
133 regenerated pure and mixed forest stands in the Aosta Valley, in the western Italian Alps. The main  
134 characteristics of forest stands and the periods of thinning and treatment are reported in Table 1. The  
135 treatments were performed manually, on different dates from early spring to late autumn depending  
136 on the stand (Table 1), with garden sprayers by forestry workers of the Aosta Valley Autonomous  
137 Region who had previously completed a specific training course based on the methods described by  
138 Nicolotti and Gonthier (2005). The 30% urea aqueous solution was prepared on the day of treatment  
139 using granular urea (F.lli Fissore, Carmagnola, TO). Immediately after felling, at least 20 randomly  
140 selected stumps per species per stand that did not show symptoms of incipient or advanced decay on  
141 their surface were uniformly sprayed and covered with an approximately 1 mm thick layer of urea  
142 solution, which corresponded to a dosage rate of approximately 1 l m<sup>-2</sup> (Nicolotti and Gonthier, 2005).  
143 At least another 20 stumps per species per stand with the same characteristics as those indicated above  
144 were left untreated to serve as a control. To be recognisable for subsequent sampling, the treated and  
145 control stumps were labelled.

146 Two years after the treatments, the treated and control stumps were sampled by cutting a 2-3 cm thick  
147 disc 15-20 cm below the top surface of each stump as described by Nicolotti et al. (1999). To avoid  
148 the computation of possible infections originating from roots, discs from stumps displaying visible  
149 symptoms of decay on a cross-section at the root collar were discarded. The discs were transferred to  
150 the laboratory and incubated at room temperature for about two weeks in polythene bags containing  
151 wet blotting paper to promote the development of *H. annosum* s.l. in its anamorphic form (Garbelotto

152 and Gonthier, 2013). After incubation, the discs were inspected under a dissecting microscope for the  
153 presence of the easily-recognisable conidiophores of the pathogen, as previously described (Nicolotti  
154 and Gonthier, 2005).

155 In order to gather information on the presence and airspora composition of *H. annosum* s.l. species  
156 during treatments, 10 healthy Norway spruce wood discs were exposed for 24 hours on one of the  
157 treatment days in each forest stand, in accordance with the wood disc exposure method as described  
158 by Gonthier et al. (2001). The discs, 10-13 cm in diameter and 1 cm thick, were prepared the day  
159 before exposure by spraying them, after bark removal, with 65% ethanol and placing them onto wet  
160 filter paper in Petri dishes. Open Petri dishes were placed on the ground at random sites within each  
161 forest stand. After exposure, the discs were sprayed with a benomyl solution and incubated in moist  
162 conditions for approximately 10 days at 20°C as described by Gonthier et al. (2001). Subsequently,  
163 the wood discs were inspected for the presence of conidiophores of the pathogen as described above.  
164 At least 5 randomly selected colonies per disc were isolated and identified at species level by using  
165 the polymerase chain reaction (PCR)-based methods described by Gonthier et al. (2003).

166 The frequency of infected stumps (%) was calculated as the ratio between the number of infected  
167 stumps, i.e. stumps bearing *H. annosum* s.l. conidiophores on the wood disc taken for analyses, and  
168 the number of stumps sampled for each i) host species, ii) timeframe, iii) host species within  
169 timeframe, iv) treatment, v) treatment within timeframe, vi) treatment within host species, and vii)  
170 treatment within host species and timeframe, this latter only for Norway spruce and larch. The  
171 timeframes were as follows: A- before 31 May, B- from 1 June to 31 July, C- from 1 August to 30  
172 September, and D- after 1 October. The 95% confidence interval associated with the frequency of  
173 infected stumps was calculated as reported in Blaker (2000).

174 The frequency of stumps primarily infected by spores of *H. annosum* s.l. was compared among host  
175 species, among host species within timeframe and among timeframes within host species by  
176 contrasting the frequency of infected stumps with either  $\chi^2$  tests (with Yates' correction applied to 2  
177 x 2 contingency tables) or Fisher's exact test depending on whether or not the assumptions for a  
178 reliable  $\chi^2$  asymptotic approximation were met (Agresti, 2001; Crawley, 2013). When multiple  
179 comparisons were performed, the resulting P-values were adjusted with the Benjamini and Hochberg  
180 correction (Benjamini and Hochberg, 1995).

181 The treatment efficacy was tested as described above by comparing the frequency of infection  
182 between all treated and control stumps, and between treated and control stumps within timeframe,  
183 host species or both. Data from sites where no infection was detected in both treated and control  
184 stumps were excluded from the analyses targeting treatment efficacy.



185 The probability of stump infection depending on the time of treatment was assessed by fitting to the  
186 frequency of infected stumps observed at each treatment time (expressed in number of days since 1  
187 January) a binary logistic regression model (Hosmer and Lemeshow, 1989). Separate models were  
188 fitted to treated and control stumps, gathering the maximum likelihood estimates of coefficient  $\beta$   
189 associated with the treatment time and of the intercept  $\beta_0$ , whose significance was assessed with the  
190 Wald's test (Hosmer and Lemeshow, 1989; Crawley 2013). The binary logistic models were  
191 compared to the corresponding null model by contrasting the associated Akaike information criterion  
192 (AIC) and AIC weight (AICw), while the whole model significance was assessed with the likelihood  
193 ratio test (Hosmer and Lemeshow, 1989; Wagenmakers and Farrell, 2004; Grueber et al., 2011;  
194 Crawley, 2013). The 95% confidence interval for the average predicted probability of stump infection  
195 was calculated after the models fitting (Crawley, 2013). The predicted reduction of stump infection  
196 probability due to treatment was assessed as a function of treatment time by calculating the difference  
197 between the infection probability modelled by the binary logistic regression equations fitted to the  
198 control and treated stumps. Binary logistic models were fitted to data from all species, and from  
199 Norway spruce and larch separately, excluding those sites where no infection was detected for both  
200 treated and control stumps.

201 All statistical analyses were carried out on R version 3.2.3 (R Core Team, 2015) with a significance  
202 threshold set to 5% (Crawley, 2013).

203

### 204 **3. Results**

205 A total of 1616 stumps were sampled; of these, 804 were treated stumps and 812 were control stumps.  
206 Regardless of their treatment status, 737 were of larch, 558 of Norway spruce, 203 of Scots pine, and  
207 118 of silver fir. Isolates of *H. annosum* s.l. were obtained from wood discs exposed in 26 out of the  
208 29 forest stands (Table 2). Based on the outcomes of the diagnostic PCR-based assay performed on  
209 those isolates, *H. parviporum* was present either alone or in co-occurrence with other species in 22  
210 forest stands, *H. annosum* s.s. in 13 and *H. abietinum* in 5 (Table 2).

211 Detailed data on the infection frequency of treated and untreated control stumps in each forest stand  
212 are reported in Mendeley Data repository. Overall, the infection frequency of untreated control  
213 stumps was significantly higher in Norway spruce (23.1% infected stumps, i.e. stumps carrying *H.*  
214 *annosum* s.l. as a result of primary infection) than in the other tree species (Fig. 1). The second most  
215 infected species was larch (6.6%), followed by silver fir (3.4%) and Scots pine (1.9%). However,  
216 these last three species did not differ significantly from each other in terms of infection frequency  
217 (Fig. 1).

218 Norway spruce control stumps displayed higher infection frequency compared to the other tree  
219 species within all timeframes, although the differences were significant only in the last timeframe,  
220 i.e. from 1 October (Fig. 2). In general, the infection frequency of stumps of each tree species  
221 increased throughout the first to the last timeframe, although a substantial and significant increase  
222 was observed only in the last timeframe and exclusively for Norway spruce and larch (Fig. 2).

223 The treatment with urea substantially reduced the frequency of infected stumps compared to the  
224 controls overall (2.6% vs. 16.8%) and for every tree species (3.7% vs. 29.4% for Norway spruce,  
225 2.9% vs. 12.3% for larch, 0% vs. 5% for Scots pine, 0% vs. 10% for silver fir) (Fig. 3). The reduction  
226 was significant in the overall analysis and in the analysis performed separately for Norway spruce  
227 and larch, while it was not significant for Scots pine and silver fir (Fig. 3).

228 Binary logistic regressions modelling the probability of stump infection (%) as a function of the  
229 treatment time in treated and control stumps are reported in Table 3. Overall, the probability of stump  
230 infection was significantly lower in treated stumps compared to control stumps within all timeframes  
231 (Fig. 4a). The probability of stump infection increased substantially and significantly in control  
232 stumps passing from the first two timeframes (less than 10.4% infected stumps) to the third (20.0%  
233 infected stumps), and to the final one (31.2% infected stumps), while in treated stumps a significant,  
234 although not substantial, increase was observed only when comparing the first two timeframes (less  
235 than 0.4% infected stumps) with the last one (6.6% infected stumps) (Fig. 4a). For Norway spruce,  
236 the probability of stump infection was also significantly lower in treated stumps compared to control  
237 stumps within all timeframes (Fig. 4b). While a substantial and mostly significant increase in the  
238 probability of stump infection through time was observed for the control stumps (from 11.4% to  
239 52.6% infected stumps in the first and last timeframe, respectively), such increase was neither  
240 substantial (from 0.4% to 9.8% infected stumps), nor significant, for treated stumps (Fig. 4b). For  
241 larch, the probability of stump infection was significantly lower in treated stumps compared to control  
242 stumps only from 1 August to the end of the year (Fig. 4c). The probability of stump infection  
243 increased through time, although not significantly, both in control stumps and in treated stumps (Fig.  
244 4c).

245 The predicted reduction of stump infection probability due to treatment ranged, depending on the  
246 period, from 5.4% to 24.6%, from 11.0% to 42.8%, and from 2.7% to 13.7% for all species, for  
247 Norway spruce and for larch, respectively, increasing up to a maximum that was reached during the  
248 last timeframe in all cases (Fig. 5a,b,c).

249

## 250 **4. Discussion**

251 In this study, conducted in the context of ordinarily programmed thinning of forest stands in the  
252 western Italian Alps, I have shown that, regardless of the host species, the frequency of stump  
253 infection by *H. annosum* s.l. increased throughout the host growing season, and mostly significantly  
254 in the last time period, with Norway spruce displaying significantly higher rates of infection compared  
255 to the other investigated tree species native to the Alpine range. Furthermore, I have shown that  
256 manual operational stump treatment with urea is effective on all species regardless of the season of  
257 application, with the benefits resulting from treatments being maximised at the end of the growing  
258 season and being greater for Norway spruce.

259 Most, if not all, studies previously conducted in the Alps on stump infection and control of *H.*  
260 *annosum* s.l. referred to Norway spruce (Nicolotti et al., 1999; Nicolotti and Gonthier, 2005). A very  
261 high frequency of infection of untreated stumps, often reaching 100%, was reported in those studies,  
262 which were conducted in summer in individual or in a few forest stands. The average infection  
263 frequency of untreated control stumps of Norway spruce during the same time period was lower in  
264 this study, suggesting that the infection frequency may vary from stand to stand and possibly from  
265 year to year. However, spores of *H. annosum* s.l. were successfully collected from wood discs  
266 exposed in the large majority of the investigated forest stands (26 out of 29, i.e. 90% of the  
267 investigated stands), supporting the notion that separate stands in the area are consistently infested by  
268 the pathogen. This finding concurs with previous reports on the occurrence of the disease in the area  
269 (Gonthier et al., 2012a; Vezzola et al., 2018). The widespread distribution of *H. annosum* s.l. in forest  
270 stands of the Aosta Valley may be due to soil factors and environmental conditions, previously  
271 reported as highly conducive to infections (Nicolotti and Gonthier, 2005). The large clearcuttings  
272 carried out during the 17<sup>th</sup> and 18<sup>th</sup> centuries in support of mining activities, as well as the selective  
273 cuttings commonly performed until recently over most of the forest surface (Nicco, 1997), may also  
274 have influenced the widespread distribution and consistent occurrence of the disease in the area, as  
275 previously suggested (Nicolotti and Gonthier, 2005; Gonthier, 2010).

276 The data presented here clearly show that Norway spruce stumps are significantly more frequently  
277 infected than stumps of larch, silver fir and Scots pine, in that order, and this was particularly evident  
278 starting from June. Interestingly, a similar ranking of susceptibility, with Norway spruce and Scots  
279 pine being, respectively, the most and least susceptible species, was documented in relation to active  
280 infection of trees from roots through secondary root-to-root contagion (Gonthier et al., 2012a). This  
281 finding may suggest that the host tree species' susceptibility to *H. annosum* s.l. infections may be  
282 driven by wood characters differentiating tree species (e.g. presence and relative abundance of  
283 extractives), the degree of vitality of host tissues playing either a subordinate role or a similar role for  
284 all the investigated host species.

285 Data on the frequency of stump infection at different time periods in this study clearly show that  
286 compared to northern European countries, where the probability of stump infection follows a bell  
287 shaped curve reaching a maximum in the summer (Brandtberg et al., 1996), in the Alps, the highest  
288 frequencies of stump infection are shifted to the end of the growing season. Such an infection pattern,  
289 which is evident for Norway spruce and larch, although not recognisable for silver fir and Scots pine  
290 - possibly due to the limited number of sampled stumps for these last two species - is fully consistent  
291 and overall overlaps with the seasonal availability of airborne inoculum of *H. annosum* s.l. previously  
292 described in the area (Gonthier et al., 2005). An analysis of environmental factors associated with  
293 spore deposition in the area revealed that the availability of airborne inoculum is correlated to the  
294 minimum air temperature rather than to the maximum air temperature or rainfall, this last parameter  
295 reaching approximately 700 mm per year (Gonthier et al., 2005). Spore deposition was reported to  
296 stop in autumn at a minimum monthly air temperature below +5°C and to resume the following year  
297 in late winter or spring when temperature exceeds +4°C (Gonthier et al., 2005). The minimum air  
298 temperature could also affect the rate of stump infection, although caution is needed with this claim  
299 as solid conclusions on the role played by environmental factors on stump infections by *H. annosum*  
300 s.l. would require specific experiments. Notwithstanding, findings reported in this paper strongly  
301 support the notion that the most risky periods for stump infections by *H. annosum* s.l. are those at the  
302 end of the growing season. Such a temporal pattern of stump infections may be common in the Alps,  
303 and possibly in areas of continental Europe, as previously suggested based on the availability of  
304 airborne inoculum (Gonthier and Thor, 2013).

305 A few practical guidelines to minimise the risk of stump infection may be drawn from the above  
306 observations. Firstly, cutting should be allocated in winter or in spring rather than at the end of the  
307 growing season. Secondly, cutting should preferably target tree species other than Norway spruce in  
308 mixed stands. While these recommendations may be inapplicable or impractical depending on the  
309 forest management system and objectives or because of the general climate of the area and/or  
310 technical constraints (e.g. snowy winters), they may have beneficial effects in lowering infections by  
311 *H. annosum* s.l. and hence they should be adopted whenever possible.

312 In this study, all the analyses on the frequency of stump infections were conducted at the species  
313 complex level (i.e. *H. annosum* s.l.). However, data on the pathogen's airspora composition during  
314 treatments clearly showed that, overall, all three European species of the pathogen were present in  
315 the study sites, either alone or in co-occurrence with other species, depending on the forest. It should  
316 be noted that the co-occurrence of different *H. annosum* s.l. species in the same site may have some  
317 epidemiological implications as it might boost fruiting, and hence sporulation potential of either  
318 species (Giordano et al., 2018). Notwithstanding, the overall data on the presence and pathogen's

319   airspora composition in this study was not unexpected based on previous information on the  
320   occurrence of *H. annosum* s.l. species in the Alps (Gonthier et al., 2001). Since it has been reported  
321   that the different *Heterobasidion* species are characterised by different temporal patterns of spore  
322   deposition in the Alps (Gonthier et al., 2005) and that they may show different saprotrophic abilities  
323   in colonising wood, at least of Norway spruce (Daniel et al., 1998), stump infection and colonisation  
324   may be largely dependent on the *Heterobasidion* species present as airspora at the time of cutting in  
325   a given site. Further research is needed to address this issue, and this should include isolation and  
326   molecular typing of isolates from stumps at different times. The outcomes of this research may be  
327   pivotal to implementing practical guidelines more sophisticated than those reported in this paper,  
328   accounting for the *Heterobasidion* species involved rather than for the species complex.

329   Any comparison between the results of this study and those of other studies on the efficacy of urea  
330   against *H. annosum* s.l. (Brandtberg et al., 1996, Soutrenon et al., 2000; Nicolotti and Gonthier, 2005;  
331   Pratt and Redfern, 2001; Thor and Stenlid, 2005; Lehtijärvi et al., 2011; Wang et al., 2012) is to some  
332   extent hampered by differences in host species and in the treatment application methods, being  
333   operational in this study, and in the rate of infection of control stumps, not reaching 100% in this  
334   study. While the assessment of whether the efficacy of operational stump treatment may be reduced  
335   compared to that performed by researchers would require a specific experimentation, the data here  
336   presented clearly support the notion that manual operational stump treatments with urea perform  
337   rather well. In fact, they significantly reduced the infection rates compared to controls not only  
338   overall, i.e. considering cumulatively stumps of all tree species, and on Norway spruce, but also on  
339   European larch. This is the first report on the efficacy of urea treatments on European larch.  
340   Previously, treatment efficacy has only been demonstrated for hybrid larch (Wang et al., 2012). The  
341   treatment also reduced, although not significantly, infection rates on Scots pine and silver fir stumps  
342   compared to the controls. The failure in detecting significance is probably attributable to the low  
343   number of stumps treated for these species. To the best of my knowledge, this is one of the first  
344   reports on the use of urea against *H. annosum* s.l. on silver fir stumps. Taken together, these results  
345   concur with the general efficacy of urea treatments on stumps of the most widespread coniferous tree  
346   species native to Europe.

347   The probability of infection of treated stumps was not constant across the different time periods. In  
348   fact, the average infection rates slightly increased starting from August. This was true for Norway  
349   spruce, for larch and for all tree species, although significance was only detected in the comparison  
350   between stumps treated before August and after 1 October in the overall analysis. Thus, the  
351   probability of infection of treated stumps was greatest in the period of the year characterised by the  
352   highest probability of infection of the control stumps, suggesting that the efficacy of urea treatments

353 may be slightly reduced in the presence of high levels of *H. annosum* s.l. airborne inoculum, notably  
354 occurring in autumn in the area (Gonthier et al., 2005). This interpretation is consistent with the  
355 previously reported reduction of efficacy of borates in Sitka spruce stumps experimentally inoculated  
356 with increasing inoculum concentrations of *H. annosum* s.l. (Pratt, 2000). The mechanisms of action  
357 of urea against these pathogens have been elucidated (Johansson et al., 2002): an increase in pH  
358 resulting in the inhibition of spore germination occurs soon after treatments, when urea is decomposed  
359 by host urease naturally present on stump surfaces. Therefore, it cannot be excluded that the reduction  
360 of efficacy of urea observed in this study at the end of the growing season could also be due to shifts  
361 in the urease production by the host and/or to the temperature. It should be noted that the pH increase  
362 after treatment was found to be strongly dependent on temperature (Johansson et al., 2002).  
363 Despite the efficacy of treatments being slightly reduced in autumn, the predicted reduction of stump  
364 infection probability due to treatments, hence the benefits provided by treatments, are expected to  
365 increase according to a roughly sigmoid curve throughout the growing season, approaching a  
366 maximum in autumn, as a result of the concomitant increase in the probability of stump infection in  
367 the absence of treatments. This was true both in the overall analysis and in the analyses conducted  
368 separately for Norway spruce and larch. While the curves show similar trends regardless of the  
369 species, the curve-associated values differ, as they are much higher for Norway spruce than for larch,  
370 pointing towards greater benefits from treatments on the former than on the latter species. The  
371 information summarised by the curves, including this last observation, may have some practical  
372 significance, especially for production forests. In fact, depending on the quality of wood and the cost  
373 of treatment, it may help in defining time periods when treatments are not only effective, but also  
374 profitable and justified from an economic perspective.

375

## 376 **5. Conclusions**

377 The co-occurrence of four of the most important and widespread European coniferous tree species in  
378 pure or mixed forests relatively close to each other as well as of the three native *H. annosum* s.l.  
379 species make the Alpine area ideal for comparative analyses of stumps' susceptibility to pathogen  
380 airborne infections as well as of the performances of stump treatments. This paper provides evidence  
381 that stumps of Norway spruce are significantly more infected than stumps of the other tree species  
382 and that the frequency of stump infection increases throughout the growing season, reaching a  
383 maximum in autumn, possibly linked to the higher availability of airborne inoculum in that season.  
384 In addition, the results indicate the general efficacy of manual operational stump treatments with urea  
385 regardless of the tree species and season of application, although the infection frequency of treated  
386 stumps is predicted to increase slightly in autumn. The information provided by this paper, including

387 that supporting the claim that the benefits resulting from treatments vary in magnitude depending on  
388 the species but increase similarly throughout the growing season, may help forest managers to design  
389 profitable and/or sustainable tactics to control the disease.

390

## 391 **6. Data availability statement**

392 All data used in this study have been made available in the article text, and Mendeley Data repository.

393

## 394 **7. Competing interests statement**

395 I declare no competing interests.

396

## 397 **8. Acknowledgments**

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403

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Table 1- Main features of the forest stands hosting the treatment experiments against *Heterobasidion annosum* s.l. Treatments were performed in 2004-2005 and samplings to determine their efficacy two years later.

Stand code	Location	Forest parcel code	Forest parcel area (ha)	Longitude (m <sup>1</sup> )	Latitude (m <sup>1</sup> )	Elevation (m a.s.l.)	Average slope (°)	Average aspect (° <sup>2</sup> )	Day <sup>3</sup> and year of cutting and treatment	Mean stand diameter at breast height (cm)	Tree species composition (%)
1	Arvier	Arpettaz p. 5	22.1	355375	5060217	1499	30	107	186, 2004	27	Norway spruce 28.0, larch 11.4%, silver fir 60.6%
2	Brusson	Estoul p. 69, 70	33.4	404365	5068448	1896	13	262	237, 2004	24	Norway spruce 10.0%, larch 85.0%, other species 5.0%
3	Challand St. Anselme	Col Tscore p. 15	25.1	400199	5063996	1583	25	296	157, 2004	30	Norway spruce 19.5%, larch 36.6%, Scots pine 43.9%
4	Charvensod	Usellieres 9	15.1	369943	5062439	1606	28	123	307, 2004	30	Norway spruce 56.0%, larch 37.4%, Scots pine 2.6%, silver fir 1%, other species 3.0%
5	Courmayeur	Plan Cereux p. 90-91	67.2	342287	5075687	1536	32	190	296, 2004	36	Norway spruce 51.0%, larch 46.0%, other species 3.0%
6	Doues	Belvedere p. 18	11.5	368037	5077427	1828	17	344	231, 2004	32	Norway spruce 56.0%, larch 44.0%
7	Emarèse	Ru de Joux p. 3	17.2	398859	5063046	1085	14	216	225, 2004	28	Larch 60.0%, Scots pine 40.0%
8	Gignod	Ru Collet p. 4	13.2	363923	5072436	2008	19	30	203, 2004	31	Norway spruce 36.4%, larch 63.6%
9	La Salle	Planaval p. 117	10.9	350185	5070796	1719	25	158	162, 2004	35	Norway spruce 81.4%, larch 14.9%, Scots pine 0.1%, silver fir 3.6%

10	Pontboset	Bois de Fournier p. 17	7.3	396447	5050898	1319	40	298	171, 2004	28	Larch 90.0%, other species 10.0%
11	Pontey	Eaux Noires p. 6-7	38.5	389928	5064305	1421	32	83	275, 2004	31	Norway spruce 11.9%, larch 19.7%, Scots pine 6.5%, silver fir 42.4%, other species 19.5%
12	Pré St. Didier	Bois de Revers p. 16	34.2	341186	5065723	1686	30	78	184, 2004	35	Norway spruce 66.4%, larch 27.2%, silver fir 5.7%, other species 0.7%
13	Saint Vincent	Bois de Sapé p. 28-29	26.8	395818	5069389	1122	33	280	120, 2004	30	Norway spruce 0.4%, larch 5.7%, Scots pine 91.0%, other species 3.0%
14	Torgnon	Ru de Torgnon 33	14.8	388683	5075762	1960	25	357	257, 2004	31	Norway spruce 1.8%, larch 94.5%, other species 3.7%
15	Valtournanche	Promindoz 9	2.6	390284	5078814	2202	22	346	299, 2004	39	Norway spruce 80.0%, silver fir 20.0%
16	Aymavilles	Ozein p.11	8.3	363041	5060689	1294	27	122	111, 2005	24	Norway spruce 54.3%, larch 13.9%, Scots pine 31.7%, other species 0.1%
17	Challand Saint Anselme	Fontaine p.22	19.0	399442	5062169	1191	26	281	108, 2005	26	Norway spruce 1.1%, larch 25.8%, Scots pine 71.7%, other species 1.4%
18	Challand Saint Victor	Chiosun p. 12	4.2	403266	5061116	1823	42	91	200, 2005	35	Larch 100.0%
19	Cogne	Crétaz p. 21	8.2	370730	5051861	1761	28	44	185, 2005	26	Norway spruce 76.9%, larch 23.1%
20	Doues	Belvedere p. 19	10.9	368010	5077025	1700	31	271	145, 2005	33	Norway spruce 90.9%, larch 9.1%
21	Etroubles	Bioley p. 17	5.5	363402	5073626	1534	21	97	233, 2005	30	Norway spruce 87.4%, larch 8.4%, other species 4.2%

22	Montjovet	Gettaz p. 1	16.4	395854	5063240	858	42	341	258, 2005	28	Larch 2.5%, Scots pine 22.5%, other species 75.0%
23	Morgex	Arpy p. 30	12.7	345231	5067280	1894	29	130	232, 2005	38	Norway spruce 74.0%, larch 25.1%, silver fir 0.7%, other species 0.2%
24	Pila	San Grato p. 16-17-18	56.0	369920	5061036	1971	27	133	252, 2005	30	Norway spruce 42.4%, larch 30.1%, Scots pine 0.5%, other species 27.0%
25	Pontey	Eau Noire p. 3	15.0	389054	5064322	1452	28	69	292, 2005	27	Norway spruce 3.4%, larch 14.2%, Scots pine 4.2%, silver fir 70.0%, other species 8.2%
26	Saint Oyen	Plantaluc p. 5	16.5	360181	5076263	1550	31	288	123, 2005	36	Norway spruce 23.4%, larch 76.6%
27	Saint Vincent	Fremies p. 16-17	41.7	397911	5068962	1622	22	234	147, 2005	32	Norway spruce 69.2%, larch 8.9%, Scots pine 21.6%, other species 0.4%
28	Torgnon	Bois de Maisonette p. 15	5.4	388324	5071545	1681	31	67	306, 2005	28	Norway spruce 58.2%, larch 37.1%, Scots pine 3.7%, other species 1.0%
29	Verrayes	Col Bornel p. 10	26.1	385849	5071875	1861	31	291	228, 2005	37	Norway spruce 27.5%, larch 47.9%, Scots pine 24.6%

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580 <sup>1</sup> easting and northing are expressed in m based on UTM WGS84 zone 32N

581 <sup>2</sup> ° counterclockwise angle from East assumed as origin 0°

582 <sup>3</sup> for each year, day counted from 1 January

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588 Table 2- Presence and airspora composition of *H. annosum* s.l. species during cutting and stump treatment in 29 forest stands of the western Alps.  
 589 For stand codes, refer to table 1.  
 590

Stand code	Successfully isolated <i>H. annosum</i> s.l. colonies	Isolates identified as <i>H. abietium</i>	Isolates identified as <i>H. annosum</i> s.s.	Isolates identified as <i>H. parviporum</i>
1	0			
2	5			5
3	4		2	2
4	25		7	18
5	7			7
6	22		3	19
7	3		3	
8	17			17
9	7	1		6
10	3		1	2
11	4	2		2
12	3	2		1
13	6		6	
14	5		4	1
15	27	4		23
16	3			3
17	5		5	
18	0			
19	8			8
20	4		1	3
21	7		2	5
22	3		3	
23	2			2
24	9		2	7
25	32	19		13
26	2			2
27	4		2	2
28	7			7
29	0			





593 Table 3- Binary logistic regressions modelling the probability of stump infection (%) as a function of the treatment time in control (C) and treated (T)  
594 stumps. The probability of stump infection was modeled for all species (Overall), Norway spruce (NS) and Larch (L). Within each treatment, the null  
595 model and the model including the treatment time as independent variable are reported along with: the maximum likelihood estimates of the intercept  
596 ( $\beta_0$ ) and of the treatment time coefficient ( $\beta$ ); the coefficients standard errors (SE); the Wald's test  $P$  value; the model Akaike information criterion  
597 (AIC) and AIC weight (AIC<sub>w</sub>); and the likelihood ratio test (LRT)  $P$  value. Asterisks next to the  $\beta$  or  $\beta_0$  values indicate significant ( $P < 0.05$ ) Wald  
598 tests outcomes, while asterisks next to the model label "treatment time" indicate significant ( $P < 0.05$ ) likelihood ratio tests.  
599

Species	Treatment	Model	$\beta_0$	SE( $\beta_0$ )	$P(\beta_0)$	$\beta$	SE( $\beta$ )	$P(\beta)$	AIC	AIC <sub>w</sub>	$P(\text{LRT})$
Overall	C	null	-1.599*	1.12·10 <sup>-1</sup>	<0.001	-	-	-	160.62	0	<0.001
		treatment time*	-4.494*	4.90·10 <sup>-1</sup>	<0.001	1.273·10 <sup>-2*</sup>	1.96·10 <sup>-3</sup>	<0.001	114.08	1	
	T	null	-3.607*	2.71·10 <sup>-1</sup>	<0.001	-	-	-	57.17	0	<0.001
		treatment time*	-10.252*	2.23	<0.001	2.607·10 <sup>-2*</sup>	7.81·10 <sup>-3</sup>	<0.001	38.03	1	
NS	C	null	-0.876*	1.45·10 <sup>-1</sup>	<0.001	-	-	-	90.74	0	<0.001
		treatment time*	-3.979*	6.09·10 <sup>-1</sup>	<0.001	1.409·10 <sup>-2*</sup>	2.56·10 <sup>-3</sup>	<0.001	58.38	1	
	T	null	-3.272*	3.60·10 <sup>-1</sup>	<0.001	-	-	-	31.21	1.30·10 <sup>-2</sup>	<0.001
		treatment time*	-8.696*	2.26	<0.001	2.227·10 <sup>-2*</sup>	8.08·10 <sup>-3</sup>	5.868·10 <sup>-3</sup>	21.60	9.87·10 <sup>-1</sup>	
L	C	null	-1.963*	2.14·10 <sup>-1</sup>	<0.001	-	-	-	47.52	3.00·10 <sup>-2</sup>	1.416·10 <sup>-3</sup>
		treatment time*	-5.748*	1.40	<0.001	1.484·10 <sup>-2*</sup>	5.16·10 <sup>-3</sup>	4.02·10 <sup>-3</sup>	39.33	9.70·10 <sup>-1</sup>	
	T	null	-3.502 *	4.14·10 <sup>-1</sup>	<0.001	-	-	-	25.64	6.30·10 <sup>-2</sup>	3.309·10 <sup>-3</sup>
		treatment time*	-13.809*	5.36	9.960·10 <sup>-3</sup>	3.757·10 <sup>-2*</sup>	1.82·10 <sup>-2</sup>	3.903·10 <sup>-2</sup>	19.01	9.37·10 <sup>-1</sup>	

600  
601

602 **Figure Captions**

603

604 **Fig. 1.** Frequency of stumps of different tree species native to the Alpine range primarily infected by  
605 spores of *H. annosum* s.l. For each host species, the dotchart shows the observed frequency of infected  
606 stumps (% - black dots) along with its associated 95% confidence interval (lateral whiskers). Different  
607 letters indicate significant ( $P < 0.05$ ) differences among frequency values.

608

609 **Fig. 2.** Frequency of stumps of different tree species primarily infected by spores of *H. annosum* s.l.  
610 at different timeframes. For each host species and timeframe, the barplots show the observed  
611 frequency of infected stumps (% - vertical bars) along with its associated 95% confidence interval  
612 (vertical whiskers). Different letters indicate significant ( $P < 0.05$ ) differences of the frequency values  
613 among host species within timeframe, while different integers point out significant ( $P < 0.05$ )  
614 differences detected among timeframes within host species. Capital letters above each barplot indicate  
615 the timeframes (A- before 31 May, B- from 1 June to 31 July, C- from 1 August to 30 September,  
616 and D- after 1 October). NA indicates data not available.

617

618 **Fig. 3.** Efficacy of stump treatment with urea against *H. annosum* s.l. on different tree species. The  
619 barplots show the frequency of infection (% - vertical bars) along with its associated 95% confidence  
620 interval (vertical whiskers) comparing treated and control stumps overall and for each tree species.  
621 Different letters indicate significant ( $P < 0.05$ ) differences between infection frequency attained by  
622 treated and control stumps.

623

624 **Fig. 4.** Observed frequency/average probability of stump infection (%) depending on the  
625 timeframe/time of the treatment. The overall frequency of infection observed (% - dots) along with  
626 its associated 95% confidence interval (vertical whiskers) is reported for each timeframe (A- before  
627 31 May, B- from 1 June to 31 July, C- from 1 August to 30 September, and D- after 1 October).  
628 Different letters indicate significant ( $P < 0.05$ ) differences between the frequency of infection attained  
629 by control and treated stumps within each timeframe, while different one-digit integers point out  
630 significant ( $P < 0.05$ ) differences detected among timeframes within treatment. The ascending curves  
631 plot the probability of stump infection for treated and control stumps as a function of the time of the  
632 treatment (expressed in number of days since January 1<sup>st</sup>), according to their associated binary logistic  
633 regression models. The region comprised between the contours above and below each curve delimits  
634 the 95% confidence interval of the predicted probability. Logistic curves are plotted for all species  
635 (a) and separately for Norway spruce (b) and larch (c).

636

637 **Fig. 5.** Predicted reduction of stump infection probability (%) due to the treatment as a function of  
638 the treatment time (expressed in number of days since 1 January). Capital letters indicate their  
639 associated timeframe (A- before 31 May, B- from 1 June to 31 July, C- from 1 August to 30  
640 September, and D- after 1 October). The curves are plotted for all species (a) and separately for  
641 Norway spruce (b) and larch (c).

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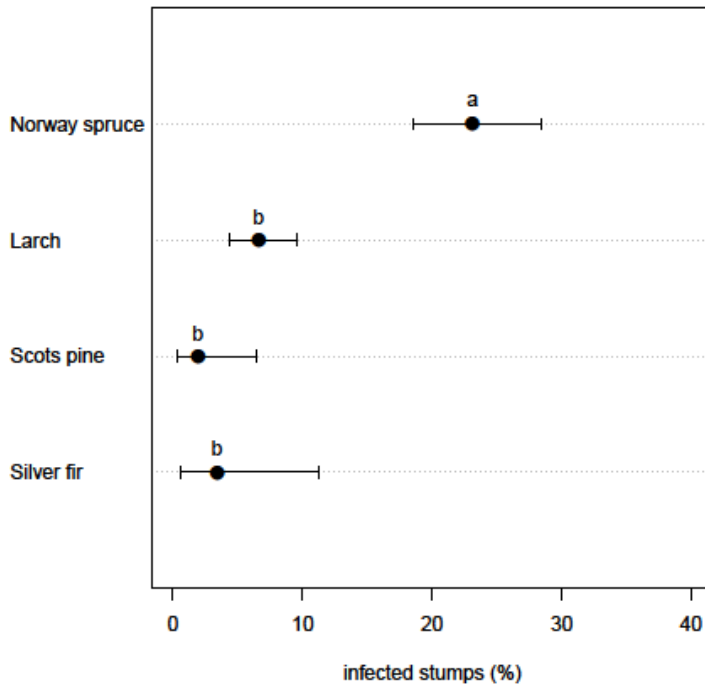
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656 **Figure 1**

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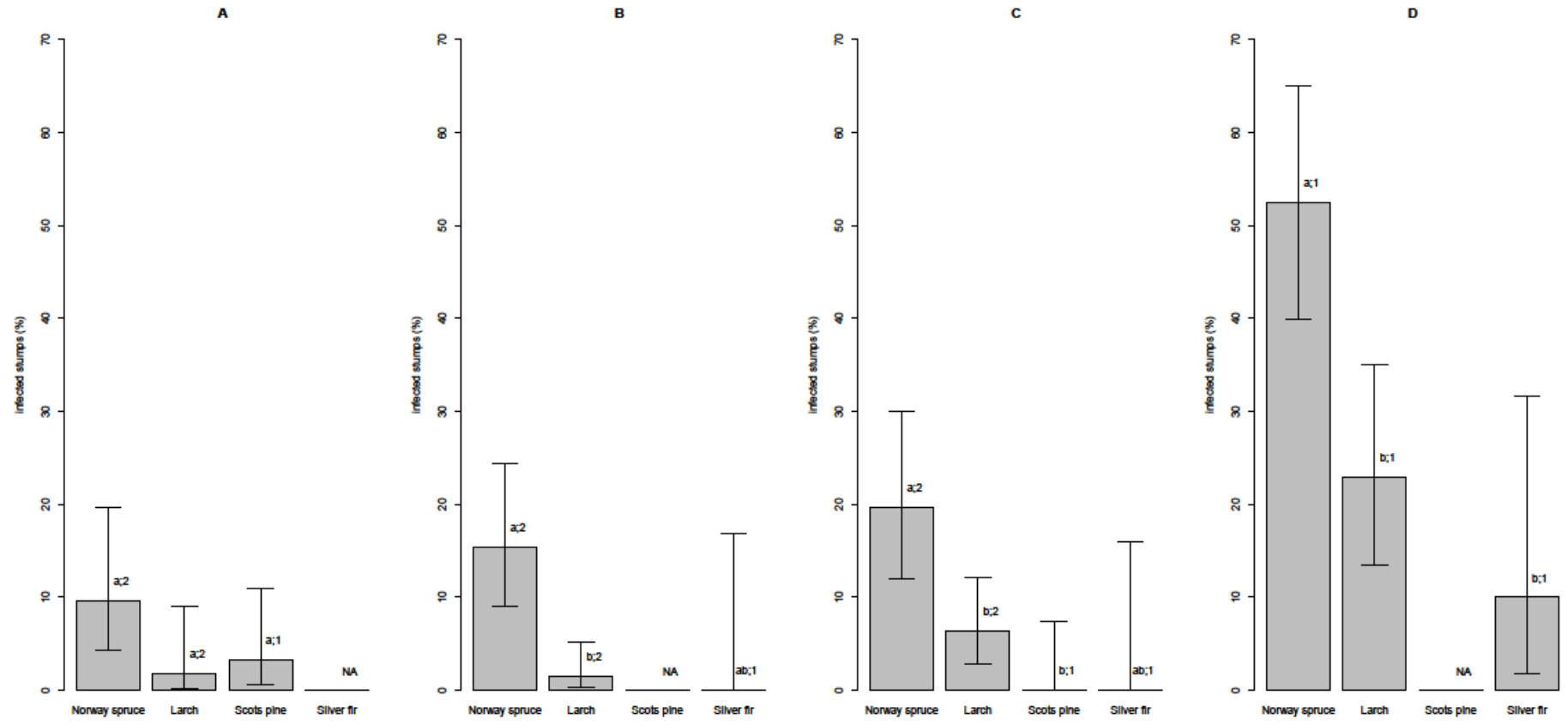


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661 **Figure 2**

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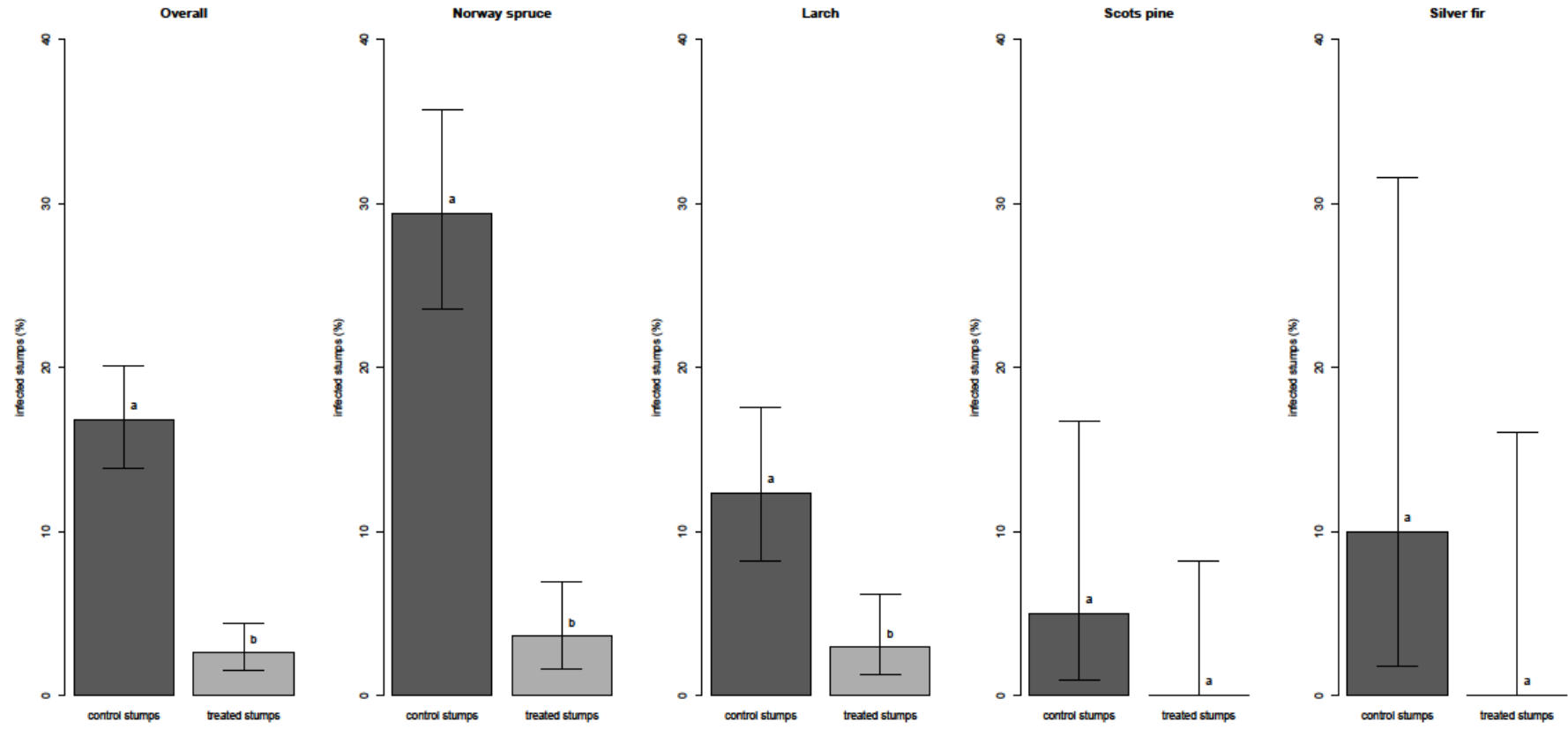


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666 **Figure 3**

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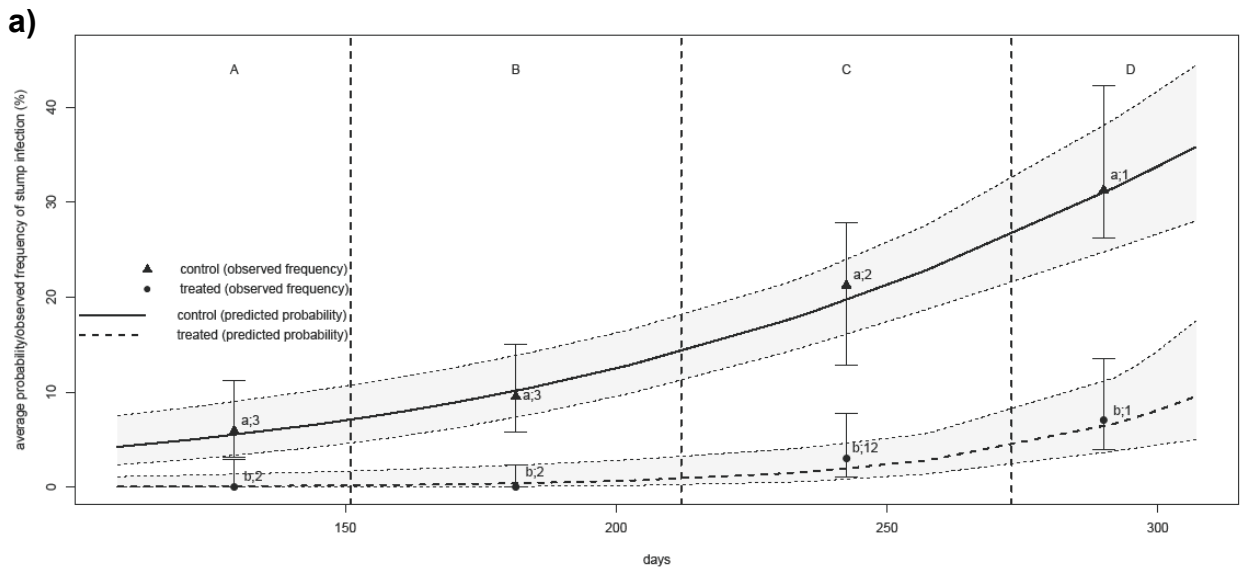
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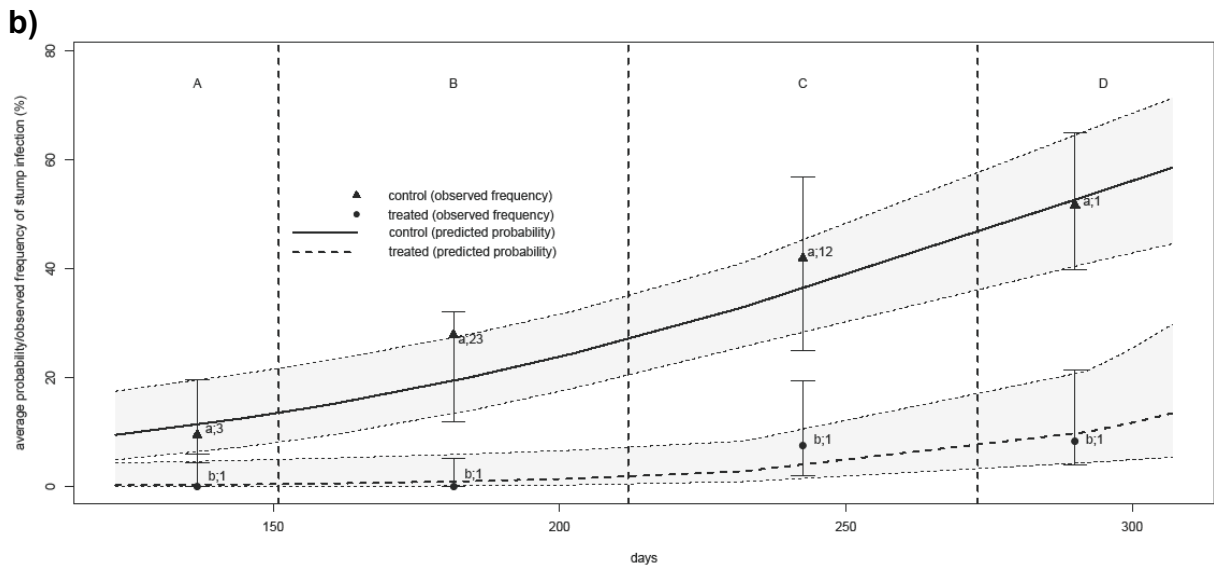
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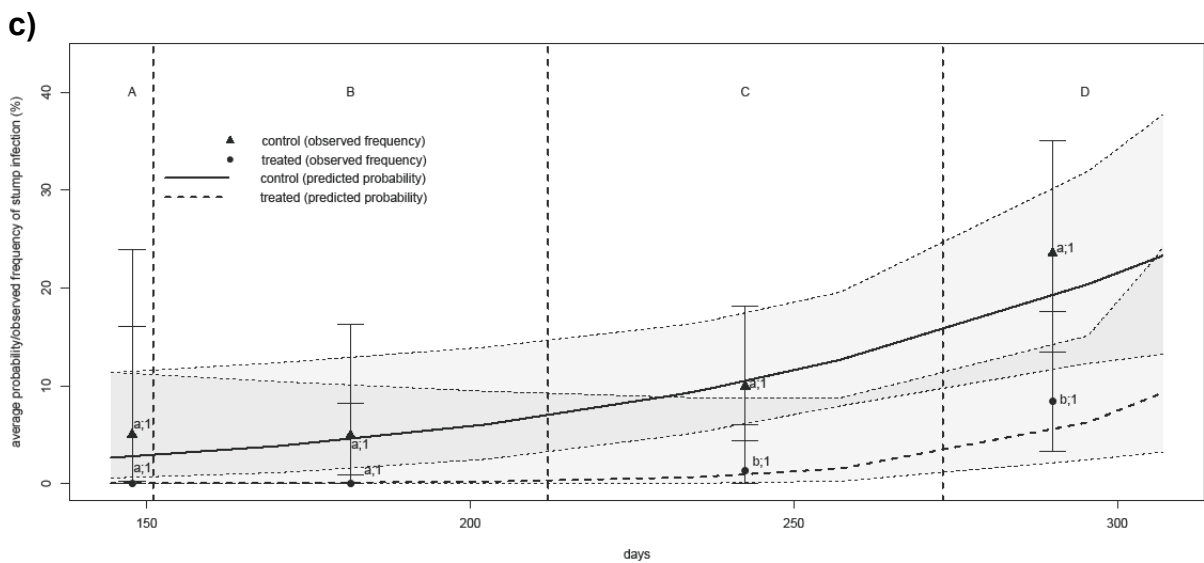
671 **Figure 4**



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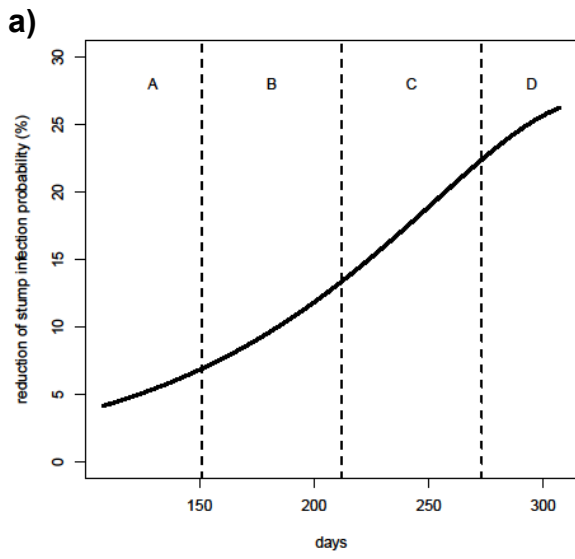
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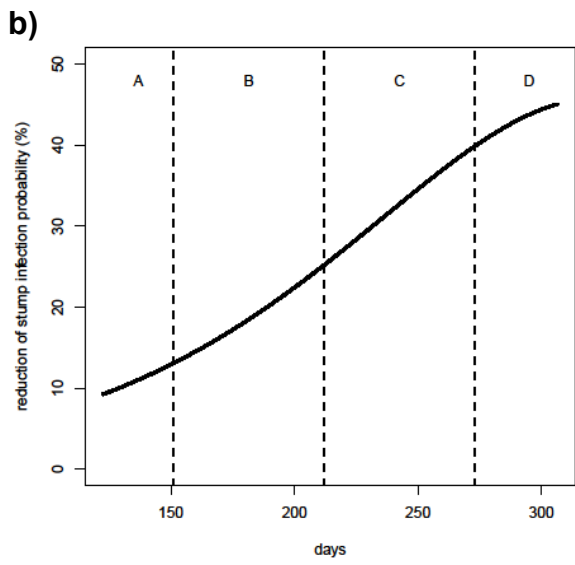
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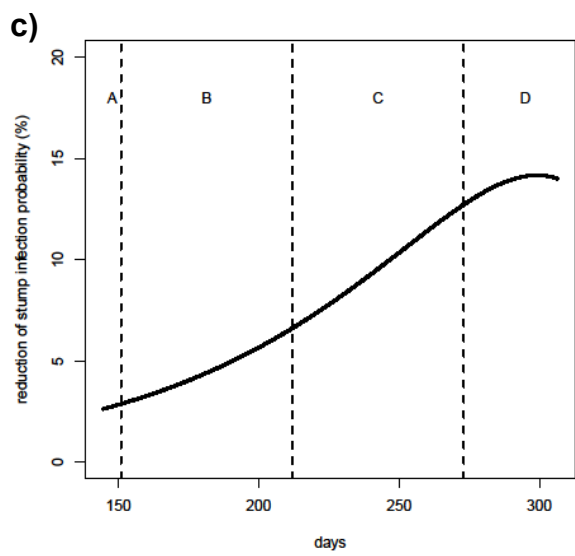
676 **Figure 5**



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