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**Ultra-violet imaging of the night-time earth by EUSO-Balloon towards space-based ultra-high energy cosmic ray observations**

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1 Ultra-violet imaging of the night-time earth by  
2 EUISO-Balloon towards space-based ultra-high energy  
3 cosmic ray observations.

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166 **Abstract**

The JEM-EUSO (Joint Experiment Missions for the Extreme Universe Space  
 Observatory) program aims at developing Ultra-Violet (UV) fluorescence  
 telescopes for efficient detections of Extensive Air Showers (EASs) induced  
 by Ultra-High Energy Cosmic Rays (UHECRs) from satellite orbit. In  
 order to demonstrate key technologies for JEM-EUSO, we constructed the  
 EUSO-Balloon instrument that consists of a  $\sim 1 \text{ m}^2$  refractive telescope with two  
 Fresnel lenses and an array of multi-anode photo-multiplier tubes at the focus.  
 Distinguishing it from the former balloon-borne experiments, EUSO-Balloon  
 has the capabilities of single photon counting with a gate time of  $2.3 \mu\text{s}$  and of  
 imaging with a total of 2304 pixels. As a pathfinder mission, the instrument  
 was launched for an 8 hour stratospheric flight on a moonless night in August  
 2014 over Timmins, Canada. In this work, we analyze the count rates over  
 $\sim 2.5$  hour intervals. The measurements are of diffuse light, e.g. of airglow  
 emission, back-scattered from the Earth's atmosphere as well as artificial light

sources. Count rates from such diffuse light are a background for EAS detections in future missions and relevant factor for the analysis of EAS events. We also obtain the geographical distribution of the count rates over a  $\sim 780 \text{ km}^2$  area along the balloon trajectory. In developed areas, light sources such as the airport, mines, and factories are clearly identified. This demonstrates the correct location of signals that will be required for the EAS analysis in future missions. Although a precise determination of count rates is relevant for the existing instruments, the absolute intensity of diffuse light is deduced for the limited conditions by assuming spectra models and considering simulations of the instrument response. Based on the study of diffuse light by EUSO-Balloon, we also discuss the implications for coming pathfinders and future space-based UHECR observation missions.

167 *Keywords:* EUSO-Balloon, JEM-EUSO, ultra-high energy cosmic ray,

168 extensive air shower, airglow

169 *PACS:* 95.55.Vj 95.85.Ry, 96.50.sd, 92.60.hw,

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## 170 **1. Introduction**

171 Ultra-High Energy Cosmic Rays (UHECRs) with energies,  $E_0$ , of several  
172 times greater than  $10^{19}$  eV are extremely rare events and their origin is not  
173 yet known [1]. To resolve this long-standing problem, it is essential to observe  
174 large numbers of UHECRs for the systematic study of their arrival direction  
175 distribution on the celestial sphere. Recent reports by the Pierre Auger  
176 Observatory (Auger) [2] and the Telescope Array (TA) [3] agree that, despite  
177 a possible discrepancy in the energy scales, the observed energy spectra show  
178 suppression of the fluxes of UHECRs above  $\sim 5 \times 10^{19}$  eV in comparison to an  
179 extrapolation from lower energies [4]. Above this energy, UHECRs have been  
180 observed with the fluxes of the order of a few events per square kilometer per  
181 century or even millennium.

182 Since the early 21st Century, large-scale ground-based UHECR observations  
183 have been led by Auger [5] and TA [6] using particle detector arrays that cover

184 an observation area,  $S_{\text{obs}}$ , of  $\sim 3000 \text{ km}^2$  and  $\sim 700 \text{ km}^2$ , respectively. Cosmic  
185 rays are observed by detecting secondary particles in the induced Extensive Air  
186 Showers (EASs) [1]. These experiments have their exposures to UHECRs in  
187 different parts of the celestial sphere according to their geographic positions.  
188 Recently excesses of UHECRs are reported as the middle-scale anisotropy in  
189 the arrival direction distribution above  $\sim 8 \times 10^{18} \text{ eV}$  by Auger [7] and above  
190  $\sim 5 \times 10^{19} \text{ eV}$  by TA [8, 9], respectively. At the even higher energies, still larger  
191 exposures are required to study it in more detail.

192 Both experiments also operate a few stations of multiple ‘fluorescence  
193 telescopes’ each of which has a  $\sim 10 \text{ m}^2$  reflector and an array of Photo-Multiplier  
194 Tubes (PMTs) at the focus [10, 11]. Cosmic rays are observed by the  
195 ‘fluorescence technique’, imaging the Ultra-Violet (UV) fluorescence light  
196 emitted from the nitrogen molecules excited by the charged particles in the  
197 EAS [1]. This light has a characteristic line spectrum in the  $\sim 290\text{--}430 \text{ nm}$   
198 band [12]. EAS events are seen as a bright point-like spot moving at the speed  
199 of light above the ‘background’ light. Such light originates from both natural,  
200 i.e., terrestrial and astronomical, and artificial light sources and increases the  
201 noise level on the instrument.

202 Since the 1980s, space-based UHECR observations by means of the  
203 fluorescence technique have been conceptually investigated and several missions  
204 have been proposed [13, 14, 15, 16]. A single telescope with a few tens of  
205 degrees wide Field-of-View (FoV) from a satellite orbit allows utilizing the  
206 night-time atmosphere as a vast particle calorimeter to efficiently increase the  
207 exposure over the whole celestial sphere. The EAS signals are only detectable  
208 when significantly above the noise level. The energy and arrival direction of  
209 the incident UHECRs are determined by analyzing the spatial and temporal  
210 development of such signals above this level [17, 18, 19]. In this way, it  
211 is important to understand the noise level when estimating the detection  
212 capabilities of the instruments and the quality of data analysis.

213 In 2016, the TUS instrument was launched to start space-based UHECR  
214 observations in an orbit at  $\sim 500 \text{ km}$  above sea level (asl.) [20]. Using a  $\sim 2 \text{ m}^2$

215 reflective Fresnel telescope with 256 PMTs, it covers a  $\sim 9^\circ$  FoV corresponding to  
216 an order of  $S_{\text{obs}} \sim 6000 \text{ km}^2$  area. Simulation studies show that this instrument  
217 is capable of detecting EASs from UHECRs with  $10^{20}$  eV energies.

218 The JEM-EUSO (Joint Experiment Missions for the Extreme Universe  
219 Space Observatory) program [21] is one of the mainstream projects. As the  
220 baseline, an ultra-wide FoV telescope was proposed using  $\sim 4.5 \text{ m}^2$  refractive  
221 optics with three double-sided Fresnel lenses, aiming at UHECR observations  
222 over an  $S_{\text{obs}} \sim 10^5 \text{ km}^2$  area from the International Space Station (ISS) at  
223  $\sim 400 \text{ km}$  asl. [22]. This optical system was designed to achieve a wide enough  
224 FoV with high enough Signal-to-Noise Ratio (SNR) on the photo-detector,  
225 this being a requirement in the development of such detectors. To test the  
226 key technologies for JEM-EUSO, we conducted and planned pathfinders on  
227 the ground, on balloons and the ISS. Including the experience from TUS, the  
228 outcomes from these pathfinders can be also applied to future missions such as  
229 KLYPVE-EUSO [23, 24] and POEMMA [25].

230 In August 2014, a stratospheric flight of EUSO-Balloon was carried out  
231 from Timmins (ON), Canada. It reached a float altitude at  $\sim 38 \text{ km}$  asl.  
232 The EUSO-Balloon mission allowed for a full end-to-end test of a JEM-EUSO  
233 prototype consisting of the key subsystems for a space experiment. The  
234 instrument performed UV imaging of the night-time earth that allows for a  
235 better understanding and scientific interpretation of future space-based UHECR  
236 observations.

237 For efficient detections of EASs, given constraints on the data downlink  
238 capacity of the mission, the noise level on the photo-detectors should be carefully  
239 monitored. It affects not only the trigger algorithms for real-time EAS detection  
240 in orbit, but also introduces errors in offline, ground-based data analysis. In this  
241 work, we present the results and discussions on such noise from UV light seen  
242 by EUSO-Balloon from both natural and artificial sources. Hereafter, we define  
243 ‘background’ light as the sum of any light in the  $\sim 300\text{--}500 \text{ nm}$  wavelength  
244 band from the atmosphere or the earth below, as seen by the nadir-pointing  
245 instrument.



246 This paper is organized as follows: Section 2 summarizes the existing  
247 knowledge of UV light from the night-time earth and atmosphere and the  
248 measurements obtained by the former balloon experiments. Section 3 describes  
249 the specifications of the EUSO-Balloon mission and the data used in this  
250 work. Section 4 presents the methods of the analysis. Section 5 shows the  
251 main results. Section 6 gives interpretations of the results, implications for  
252 space-based UHECR observations and the outlook for future missions. Section 7  
253 concludes this work.

## 254 **2. UV light from the night-time earth**

### 255 *2.1. UV light as a background for UHECR observations*

256 In terms of the effect on UHECR observations, the background consists of  
257 light components from both persistent and local sources in the UV band; the  
258 former is due to diffuse light sources illuminating the whole FoV, thus reducing  
259 the observation time and the latter appears transiently, reducing a part of the  
260 instantaneous observation area. The local component is often so intense that the  
261 trigger algorithms for detecting EAS events are hampered. In terms of studying  
262 the background light relevant for the detections of EASs, only diffuse light plays  
263 a role and this component should be quantified for the impact on the noise due  
264 to its intensity.

265 The distribution of the local light sources such as cities can be predicted in  
266 advance along the orbit of a space-based observatory. Influence from the isolated  
267 light sources only occurs where such sources pass through the FoV. The trigger  
268 algorithms can be designed to remain operational in the rest of the FoV [26, 27].  
269 At higher geomagnetic latitudes, the entire FoV may occasionally be filled by  
270 the aurora. This can be monitored by the telescope itself and recognized by  
271 using external information about the geomagnetic storm [28]. Sudden events  
272 such as lightning and transient luminous events persist for durations of the order  
273 of milliseconds. This is far slower than the tens-to-hundreds-microsecond-scale  
274 of EASs, thus the affected area and time can be recognized. On these occasions,

275 it is only important to quantify the affected fraction of the instantaneous  
276 observation area rather than the light intensity.

277 On moonless, dark nights, the airglow is the dominant source in  
278 the  $\sim 300\text{--}400$  nm band. It is emitted when the disassociated oxygen atoms  
279 recombine to molecules at around  $80\text{--}100$  km asl. near the mesopause. The  
280 emission mechanisms are well understood. They produce a mixture of the  
281 Herzberg I, Herzberg II and Chamberlain emissions [29]. The intensity of the  
282 airglow emission, as well as by the position over the Earth, changes on various  
283 time scales, i.e., seasonally, daily or even more frequently [30, 31, 32]. In orbit,  
284 the airglow light is measured as a sum of the direct light from the emission  
285 altitude and back-scattered light from the atmosphere, clouds, and the Earth's  
286 surface.

287 By pointing the instrument downwards, extraterrestrial light such as  
288 starlight and zodiacal light originating above the flight level only contributes to  
289 the noise as back-scattered light. Such situations are realized when the Moon is  
290 near the New Moon phase or lies near the horizon. The properties of such light  
291 have been discussed in Refs. [22, 33] and references therein.

## 292 *2.2. Former balloon-borne measurements*

293 As part of the drive for space-based UHECR observations, there have been  
294 several balloon-borne experiments aiming at investigating background light [34,  
295 35, 36, 37]. A major goal of these experiments was to determine the absolute  
296 intensity,  $I_0$ , of the diffuse light under clear atmosphere conditions in moonless  
297 night. Results have been presented by two groups.

298 The Background Bypass (BaBy) balloon experiment [34] was first carried  
299 out over the land and sea off Sicily, Italy, at  $\sim 26$  km asl. on July 30, 1998. The  
300 instrument was purely designed for diffuse light measurements that consisted  
301 of two sets of collimators and PMTs mounted with UV band-pass filters. The  
302 estimated  $I_0$  value over the sea without ambient light of the populated areas  
303 was  $\sim 400\text{--}450$  photons  $\text{m}^{-2}$   $\text{sr}^{-1}$   $\text{ns}^{-1}$  in the  $300\text{--}500$  nm band. The other  
304 flight of BaBy reaching  $\sim 39$  km asl. took place over the Mediterranean Sea on

305 July 11, 2002 [35]. The average  $I_0$  value was  $310 \text{ photons m}^{-2} \text{ sr}^{-1} \text{ ns}^{-1}$  in  
306 the 300–400 nm band. Another flight attempt in 2001 was reported with more  
307 than twice the intensity in comparison with the above value. We consider that  
308 it was due to the low flight altitude of  $\sim 15\text{--}30$  km and possible light pollution  
309 by the artificial light.

310 The NIGHTGLOW balloon experiment took place over Texas, USA, at  
311  $\sim 30$  km asl. on July 5, 2000 [36]. The instrument was composed of elements  
312 used for real fluorescence telescopes; a  $\sim 36$  cm diameter spare mirror and UV  
313 band-pass filters from the High Resolution Fly’s Eye (HiRes) experiment [38]  
314 and two PMTs from the Fly’s Eye experiment [39]. These filters were selected  
315 for the maximum SNR for EAS detections. The  $I_0$  value in the nadir direction  
316 was found to be  $300 \pm 41 \text{ photons m}^{-2} \text{ sr}^{-1} \text{ ns}^{-1}$  in the 300–400 nm band.  
317 By pointing the instrument to the zenith, the total intensity of the downward  
318 component was estimated to be  $691 \pm 34 \text{ photons m}^{-2} \text{ sr}^{-1} \text{ ns}^{-1}$ .

319 The latest discussions on the  $I_0$  values were given in this Journal by  
320 NIGHTGLOW and by the Tatiana satellite [40]. Even under similar conditions,  
321 it is difficult to compare different measurements due to the variability of airglow  
322 emissions and responses of the instruments. These values have been used as  
323 references for simulations to estimate the expected noise level on the instrument  
324 when designing fluorescence telescopes.

### 325 **3. EUSO-Balloon**

326 EUSO-Balloon was a pathfinder mission for the JEM-EUSO program led  
327 by the French space agency CNES (*Centre National d’Études Spatiales*) in  
328 coordination with the JEM-EUSO collaboration. A full description of the  
329 mission and scientific payload is specified in Ref. [41].

#### 330 *3.1. The EUSO-Balloon telescope*

331 The EUSO-Balloon telescope is the main instrument of the balloon payload  
332 with a total mass of 467 kg. It is installed with crash rings, designed to protect

333 the instrument in the case of landing on dry land as well as a floater to keep  
334 the electronics subsystems dry in the case of a possible water landing [42, 43].

335 EUSO-Balloon is capable of imaging in the UV band. This is a  
336 major difference when compared to the former experiments. The telescope  
337 consists of two Fresnel lenses made of 8 mm thick PMMA, UV transmitting  
338 polymethylmethacrylate [44, 45]. Based on the technologies developed for  
339 JEM-EUSO [46], the lenses were fabricated as 1.2 m diameter circular lenses  
340 and then were cut to form a square of side 1 m with round corners. The nominal  
341 entrance aperture,  $S_{\text{opt}}$ , is 0.96 m<sup>2</sup>. To avoid any damage during landing, the  
342 optics is recessed inside the overhanging walls. These walls are extended beyond  
343 the front lens to act as a baffle, blocking photons from large off-axis angles.

344 A Photo-Detector Module (PDM) [47] is placed at the focus of the optics.  
345 It is formed of 36 (= 6 × 6) Multi-Anode PMTs (MAPMTs; Hamamatsu  
346 R11890-M64) [48, 49]. They are aligned with a 27.5 mm pitch. Nine squares of  
347 four (= 2 × 2) MAPMTs are both mechanically and operationally grouped to  
348 the units called Elementary Cells (ECs). Excluding the central unit, the ECs  
349 are slightly inclined up to 2.48° to approximately follow the aspherical geometric  
350 focal surface of the optics.

351 Every MAPMT has 64 channels in an array of 8 × 8 pixels. With each pixel  
352 being a square of 2.88 mm on a side, the photocathode of an MAPMT effectively  
353 covers a square area of side ~23 mm (= 8 × 2.88 mm). A 2 mm thick band-pass  
354 filter, Schott BG3 [50], is mounted on each MAPMT. The filters have a surface  
355 dimension of a 27 mm square, allowing the collection of some photons falling on  
356 the dead spaces between MAPMTs.

357 The sensitivity of the instrument is determined by the detection efficiency  
358 of the MAPMTs, the transmittance of the BG3 filters and the response of the  
359 optical system. The overall efficiency is highest in the ~330–400 nm band  
360 where dominant lines of fluorescence light lie to give a more precise energy  
361 estimation of the incident UHECRs. The sensitive range extends between ~250  
362 and ~500 nm. The lower limit is due to the transmittance of PMMA lenses,  
363 while the upper limit is given by that of BG3 filters and the quantum efficiency

364 of the MAPMTs. It is worth mentioning that the sensitivity above  $\sim 400$  nm  
365 allows the collection of more of the Cherenkov light produced in the EASs. This  
366 light is in general not desirable for ground-based fluorescence telescopes since  
367 it introduces uncertainties in the analysis of detected EAS events [51]. Seen  
368 from above, such light is back-scattered from the Earth's surface or clouds and  
369 allows for a more precise determination of the arrival direction of UHECRs by  
370 constraining the geometry of EAS events [17, 52].

371 For the control of electronics subsystems, the Data Processor (DP)  
372 system [53] is employed. It controls front-end electronics, provides signals  
373 for time synchronization and triggers, handles the interfaces to tele-commands  
374 and to the telemetry system, and operates many other tasks. On a total of  
375 2304 ( $= 36 \times 64$ ) channels, single photon counting was performed. Data used in  
376 this work were acquired by two different trigger modes using the CPU command  
377 at  $\sim 19$  Hz or the GPS synchronous signals at 20 Hz. Following a trigger,  
378 128 samples, or one 'packet', of counts,  $n$ , were acquired on all pixels every  
379  $2.5 \mu\text{s}$ . The readout duration,  $\tau_{\text{GTU}} = 2.3 \mu\text{s}$ , of each sample is called the Gate  
380 Time Unit (GTU), hereafter [54, 55].

381 This duration was originally chosen to be  $2.5 \mu\text{s}$ . This is the time that it  
382 takes light to travel through the atmosphere across one pixel as imaged by the  
383 original JEM-EUSO design from the ISS [56]. The choice of 128 samples was  
384 made for buffering the data of EAS events seen in a PDM of JEM-EUSO as  
385 well as a sufficient time before and after the event. These parameters have been  
386 unchanged in the updated designs. The EUSO-Balloon instrument represents  
387 one detection module of the proposed future space instruments which may have  
388 more than 50 PDMs [24]. Thus it uses a similar time scale and sampling in the  
389 data acquisition despite the much faster apparent speed of light crossing the  
390 FoV.

391 In this work, we define a reference Cartesian coordinate system for the  
392 analysis of the acquired data. Seen from the optical axis through the lenses, we  
393 take the reference  $x$ - and  $y$ - axes, to be parallel to the sides of the PDM and  
394 the lenses, projected on the photocathode plane of the central EC unit.

395 *3.2. The EUSO-Balloon flight*

396 The flight of EUSO-Balloon was carried out on the night of  
397 August 24/25, 2014. Unless otherwise noted, the time is given hereafter in  
398 UTC on August 25, 2014.

399 EUSO-Balloon was launched from the Timmins Stratospheric Balloon Base  
400 at the Timmins Victor M. Power Airport; Latitude (Lat.)  $48^{\circ}34'13''$  N,  
401 Longitude (Long.)  $81^{\circ}22'05''$  W and 296 m asl., at 00:54 (on August 24 at  
402 20:54 EDT; UTC - 4). Between 03:08 and 08:08, the EUSO-Balloon telescope  
403 was operated pointing towards the nadir. The position and attitude during  
404 the flight were monitored by the on-board GPS receivers. The attitude of the  
405 EUSO-Balloon telescope was adjusted and checked before launch. Thus, the  
406 GPS data allow the estimation of the ground position of the optical axis.

407 At 08:20, the EUSO-Balloon telescope was separated from the balloon  
408 and descended towards one of the ‘driest’ landing zones along the flight  
409 track. At 08:59, it splashed down in a small solitary lake (Lat.  $48^{\circ}39'10''$  N,  
410 Long.  $82^{\circ}41'14''$  W; 303 m asl.). Thanks to the protective design that shields  
411 all sensitive components in the event of a water landing [43], EUSO-Balloon was  
412 recovered undamaged and still fully operational.

413 In this work, we only use the data acquired in the Time interval of  
414 Interest (ToI) between 03:08 and 05:48. It was during a dark, moonless night,  
415 excluding periods of astronomical twilight. The instrument was operating in  
416 its nominal mode, allowing for uncertainties in the subsequent analysis to be  
417 minimized. After this time interval, various engineering tests were conducted  
418 with a variety of setups and operation modes, for which the analysis would have  
419 been more complex and uncertain.

420 Figure 1 displays the GPS ground track of the EUSO-Balloon optical axis  
421 by the solid curve. The bold curve denotes the track during the ToI. The  
422 launch and landing positions are marked in addition to the hourly positions.  
423 The dashed lines enclose the Region of Interest (RoI) for this work. The  
424 color scale represents the Visible band Digital Number (VDN) from the 2013  
425 DMSP (Defense Meteorological Satellite Program) satellite data [57].

426 VDN scales to the fluxes in the  $0.35\text{--}2\ \mu\text{m}$  band by 64 integer levels. We  
427 make use of the annual average data in cloud-free conditions given every  $30''$  grid  
428 in geographic coordinates, i.e., at a resolution of  $\sim 610$  m on the east-west and  
429  $\sim 930$  m on the north-south directions. The VDN is 0 in most of the RoI, while  
430 the rest is registered with a VDN of 4 or higher.

431 During the ToI, EUSO-Balloon traveled  $\sim 80$  km to the west. The average  
432 elevation,  $h_0$ , of the terrain along the track was 296 m asl. The ground track  
433 of the EUSO-Balloon telescope includes populated and industrial zones around  
434 Timmins, while most of the other areas were forests and small lakes. There are  
435 potentially intense artificial light sources around Kamiskotia Lake, the largest  
436 water body in the RoI, with a diameter of 2.5 km. The ground track also passed  
437  $\sim 3.4$  km from Montcalm Mine in the western part of the RoI.

438 Figure 2 displays the altitude,  $H_0$ , of the EUSO-Balloon telescope as a  
439 function of the UTC time,  $t$ . EDT local time is shown on the top. The ToI and  
440 the dark night period are indicated by the arrows.

441 At the beginning of the ToI, the EUSO-Balloon telescope reached  
442 36.4 km asl. Until 03:30, it continued ascending to the float altitude of about  
443 38.2 km which was maintained within a  $\sim \pm 0.2$  km oscillation with a  $\sim 5$  min  
444 period. At this altitude, the atmospheric pressure is  $\sim 4$  hPa. To avoid coronal  
445 discharge at such a low pressure that could lead to a breakdown of the entire  
446 mission, we limited the high voltage applied on the MAPMTs to  $-950$  V against  
447 the nominal operational voltage of  $-1100$  V.

448 The EUSO-Balloon telescope was freely rotating around the optical axis.  
449 To describe such a rotation, we define the orientation,  $\Phi_0$ , of the telescope by  
450 the eastward angle, measured from the true north to the  $x$ -axis of the PDM.  
451 Hereafter, azimuth with respect to the horizontal coordinates is defined in the  
452 same way.

453 Figure 3 displays the orientation  $\Phi_0$  of the EUSO-Balloon telescope as a  
454 function of the time  $t$ . North, east, south and west directions correspond to  
455  $0^\circ$ ,  $+90^\circ$ ,  $\pm 180^\circ$  and  $-90^\circ$ , respectively. The ToI is indicated by the arrow.

456 During the ToI, the EUSO-Balloon telescope tended to rotate eastward and

457 made four rotations in total. It also exhibited a torsion pendulum motion with  
458 a typical period of  $\sim 153$  s, estimated by Fourier transform. In the earlier part  
459 of the ToI, the maximum amplitude of the torsion pendulum motion was  $\pm 150^\circ$ .  
460 The angular velocity,  $\dot{\Phi}_0$ , was  $7^\circ \text{ s}^{-1}$  at maximum. After having reached the  
461 float altitude, the torsion driven motions damped over time.

462 The pointing direction of the optical axis of the telescope also varied with  
463 a similar trend. The maximum off-axis angle from the nadir is estimated to be  
464  $\sim 1.8^\circ$  [58]. Such variation of the attitude is taken into account in the ground  
465 track shown on Fig. 1 which is used as a reference for location during the  
466 analysis.

467 From the GPS data, the ground speed,  $v_0$ , of the EUSO-Balloon telescope  
468 ranged between 2 and 15  $\text{m s}^{-1}$  with an average  $\langle v_0 \rangle$  of 8  $\text{m s}^{-1}$  ( $\approx 31 \text{ km h}^{-1}$ )  
469 during the ToI. The typical ground speed at the float altitude was  $\sim 8\text{--}12 \text{ m s}^{-1}$   
470 between 03:30 and 04:45. It then tended to slow down.

471 Chasing the ground track of the EUSO-Balloon telescope, we operated a  
472 helicopter at a flight altitude of  $\sim 3$  km from where we generated EAS-like events  
473 by using a UV laser [59]. LED and xenon flashers were also used to provide  
474 calibration sources. Between 03:21 and 05:48,  $\sim 1.5 \times 10^5$  laser shots followed  
475 by the flasher events were generated in various horizontal directions from the  
476 helicopter. A small fraction of such events are included in the data used in this  
477 work.

### 478 3.3. The elementary data

479 During the ToI, the EUSO-Balloon telescope was operated to acquire a  
480 packet from every pixel by the DP signals at  $\sim 19$  Hz except for the time interval  
481 between 04:36 and 05:13 when the acquisition rate was at 20 Hz. In the intervals  
482 of 03:47–03:51 and 05:13–05:16, the telescope was operated in a different mode  
483 for the system checks [60]. Excluding these checks,  $\sim 150$  min (= 2.5 hours) of  
484 the operation time was assigned for the purpose of this work.

485 The total number,  $M$ , of packets used in the analysis is  $\sim 1.5 \times 10^5$ . Let  $n_{i,j}$   
486 be the count readout on the  $i$ -th pixel at the  $j$ -th sample in the packet. The



487 average count rate  $\langle n \rangle$  over a packet is given as follows:

$$\langle n_i \rangle = \frac{1}{128} \cdot \sum_{j=1}^{128} n_{i,j}, \quad (1)$$

488 where the pixel number is hereafter referenced by the subscript  $i$ . The  $\langle n \rangle$  value  
489 represents the average for the interval of 320  $\mu\text{s}$  ( $= 128 \times 2.5 \mu\text{s}$ ) with the total  
490 gate time of 294  $\mu\text{s}$  ( $= 128 \times 2.3 \mu\text{s}$ ). The time resolution is  $\sim 52 \text{ ms}$  ( $\approx 1/19 \text{ [Hz]}$ )  
491 given by trigger rates. This value in most cases represents the average noise level  
492 due to diffuse light.

493 Figure 4 displays examples of the  $\langle n \rangle$  values of all the pixels on the PDM.  
494 Malfunctioning pixels are blackened out. Along with the GPS data, the left  
495 and right panels correspond to the packets acquired at (i) 03:09 and (ii) 05:47,  
496 respectively. The dimension of the PDM is shown in the right.

497 For further analysis, we use such ‘snapshots’ of the  $\langle n \rangle$  values from Eq. (1)  
498 obtained every packet, along with the GPS data to form the elementary data  
499 set. These examples are chosen from the data obtained at the beginning and  
500 the end of the ToI. At the time of Example (i), EUSO-Balloon was flying  
501 above the eastern part of Timmins. Pixels with  $\langle n \rangle$  values exceeding those  
502 of the adjacent pixels, hereafter referred to as ‘hotspots’, can be seen. As for  
503 Example (ii), EUSO-Balloon was above the forest at the west end of the RoI  
504 where no significant artificial light sources are expected.

## 505 4. Analysis

506 The main goal of the analysis is to obtain the temporal variation of the  
507 UV light measured by the EUSO-Balloon telescope and its image projected on  
508 geographic coordinates. In this section, we describe the analysis procedures  
509 using the elementary data, results of the post-flight calibration [54, 55, 58, 61]  
510 and relevant simulations.

### 511 4.1. Count rate determination

512 In this work, we use the average count rate  $\langle n \rangle$  over a packet from Eq. (1).  
513 The readout count  $n$  shows non-linearity with respect to the number,  $n_{\text{pe}}$ , of

514 photoelectrons (pe) collected on the first dynode. This relation is expressed by  
 515 the following theoretical formula [62]:

$$n \cong n_{\text{pe}} \cdot \exp\left(-\frac{\tau_0}{\tau_{\text{GTU}}} \cdot n_{\text{pe}}\right), \quad (2)$$

516 where  $\tau_0 \sim 30$  ns corresponds to the double pulse resolution in photon counting  
 517 by the readout electronics and was experimentally determined [63]. Substituting  
 518 the  $\langle n \rangle$  value given by Eq. (1) for the  $n$  value in this equation, we can solve for  
 519 the  $n_{\text{pe}}$  value. The solution is double-valued in most cases. We choose the lower  
 520 value of the solutions and call the ‘count rate’,  $N$ , in units of pe pixel<sup>-1</sup> GTU<sup>-1</sup>.

521 For  $n=1$  and 10 counts pixel<sup>-1</sup> GTU<sup>-1</sup>, the corresponding  $N$  values  
 522 are 1.01 and 11.7 pe pixel<sup>-1</sup> GTU<sup>-1</sup>, respectively. In the case of  $n \gtrsim$   
 523 28 counts pixel<sup>-1</sup> GTU<sup>-1</sup>, no solution exists. Thus we force  $\langle n \rangle$  values to have  
 524 an upper limit of  $\sim 28$ . This gives the bound of  $N < 68$  pe pixel<sup>-1</sup> GTU<sup>-1</sup>. The  
 525 fraction of such cases is  $\sim 10^{-5}$  of the whole  $\langle n \rangle$  data set.

526 As seen in Example (ii) of Fig. 4, there are relative differences among pixels  
 527 mainly due to the different efficiencies. To correct such differences, we apply  
 528 the result from the post-flight calibration of the PDM [54]. For all the pixels,  
 529 ‘pixel efficiencies’,  $\varepsilon$ , in terms of the ratio of the collected  $n_{\text{pe}}$  to the number of  
 530 photons incident on the pixel area through the BG3 filter, were determined at  
 531 a wavelength,  $\lambda$ , of 378 nm. Using a calibrated NIST photodiode with 1.5%  
 532 accuracy, a few pixels in each MAPMT were absolutely calibrated with an  
 533 accuracy of better than 3% based on the technique developed in Ref. [64]. The  
 534 rest of the pixels were then relatively calibrated.

535 For the detection efficiency,  $\varepsilon_{\text{det}}$ , the product of the photocathode’s quantum  
 536 efficiency and the collection efficiency of the MAPMT, and transmittance,  $T_{\text{BG3}}$ ,  
 537 of the BG3 filters, the wavelength dependence of the  $\varepsilon$  efficiency is given as  
 538 follows:

$$\varepsilon_i(\lambda) = \varepsilon_{\text{det},i}(\lambda) \cdot T_{\text{BG3},i}(\lambda). \quad (3)$$

539 The  $T_{\text{BG3}}$  value also accounts for the geometrical effect whereby the filter acts  
 540 as a light guide and thus tends to slightly increase pixel efficiencies at the outer  
 541 part of each MAPMT.

542 To ensure a high quality data set, we eliminated the malfunctioning pixels  
543 that are mostly due to the limited voltage [55, 63]. We further select the best  
544 calibrated 650 pixels to limit the absolute uncertainty  $\Delta\varepsilon < 5\%$ , leading to  
545 relative uncertainty  $\Delta\varepsilon/\varepsilon$  of 7% for the pixel efficiency at 378 nm. With a large  
546 number of packets used in the analysis, these selected pixels are statistically  
547 sufficient for an analysis of the topics of interest. It is worth mentioning that the  
548 pixel efficiencies remained constant at a level of  $\pm 11\%$  as of the ratios between  
549 the pre- and post-flight calibrations. The check was performed for 448 subset  
550 pixels [63].

551 For the selected 650 pixels, the average,  $\langle\varepsilon\rangle$ , of pixel efficiencies at 378 nm  
552 is used as a reference as follows:

$$\langle\varepsilon(378 \text{ [nm]})\rangle = 19.3\% \pm 0.1\%. \quad (4)$$

553 The Standard Deviation (SD) in the  $\varepsilon(378 \text{ [nm]})$  values for these pixels is  $\sim 3\%$ ,  
554 i.e.,  $\sim 16\%$  of the average  $\langle\varepsilon\rangle$  value. The  $N$  value is converted to the ‘normalized  
555 count rate’,  $\hat{N}$ , as follows:

$$\hat{N}_i = \frac{\langle\varepsilon(378 \text{ [nm]})\rangle}{\varepsilon_i(378 \text{ [nm]})} \cdot N_i. \quad (5)$$

556 To reject temporarily unstable pixels, we define the ‘active pixels’ as those  
557 with a non-zero  $N$  value. Using the  $\hat{N}$  values of all the active pixels in the  
558 packet acquired at the time,  $t_m$ , the average  $\langle\hat{N}\rangle$  value is given as follows:

$$\langle\hat{N}\rangle_m = \frac{1}{(\text{Number of active pixels})} \cdot \sum_i \hat{N}_i, \quad \text{with } N_i \neq 0 \quad (6)$$

559 where the packet number is hereafter indicated by the subscript  $m$ . On average,  
560  $\sim 90\%$  of the selected pixels were active during the ToI.

#### 561 4.2. The optics response model to incident directions

562 The EUSO-Balloon optics is optimized for the UV photons emitted from  
563 EASs, essentially a dynamic confined spot of light with a small apparent lateral  
564 spread focused on a limited area on the PDM. In general, the displacement,  $d$ ,  
565 of the focal spot from the center of the PDM increases with the incident off-axis

566 angle,  $\vartheta$ , from the optical axis. In this work, we evaluate the relation of these two  
 567 values by using simulations of the optical system. Applying the EUSO-Balloon  
 568 configuration [65], we make use of the GEANT4 module [66, 67] implemented  
 569 in the Offline framework [68].

570 Figure 5 displays selected examples from ray trace simulations on the cross  
 571 section of the EUSO-Balloon telescope. The key configuration of the optics is  
 572 indicated. The case of  $\vartheta = 4.5^\circ$  and  $\lambda = 365$  nm is shown here, resulting in a  
 573 nominal focal point at a displacement  $d \approx 66$  mm.

574 At the focus, photons from a point-like source form a Point Spread  
 575 Function (PSF). Due to the  $\lambda$  dependence of the refractive index, chromatic  
 576 aberration is also prominent in the PSF. A fraction of the affected photons  
 577 create characteristic halos and additional structures in the PSF. Each lens can  
 578 occasionally cause refraction to large angles and backward reflection of photons.  
 579 The former introduces errors in imaging due to the photons reaching the PDM  
 580 away from the nominal focal point. The latter reduces the photon collection  
 581 efficiency.

582 Determination of the PSF and its centroid is not trivial, particularly outside  
 583 of the  $\sim 330\text{--}400$  nm band where SNR for focusing point-like light is designed  
 584 to be maximum for EAS detections. In addition, at  $\lambda \lesssim 330$  nm, absorption of  
 585 photons in the PMMA lenses is significant [44, 45]. For this work, these effects  
 586 must be taken into account only in the interpretation for the absolute intensity  
 587 of diffuse light. A detailed discussion is given in Sec. 6.

588 When simulating photons from various  $\vartheta$  angles on a fixed argument,  $\varphi$ , with  
 589 respect to the PDM  $x$ -axis, those reaching the PDM form a high density band  
 590 along the line at  $\sim \varphi + 180^\circ$ . The photons incident from a given  $\vartheta$  angle mostly  
 591 contribute to the density around a particular displacement  $d$  on this line. The  
 592 relation between these quantities is ideally approximated by a linear function  
 593 as follows:

$$d \approx \left\langle \frac{\partial d}{\partial \vartheta} \right\rangle \cdot \vartheta, \quad \text{for } d \lesssim 82.5 \text{ mm.} \quad (7)$$

594 Based on this assumption, the derivative of the relation can be determined by

Table 1: Summary of the derivative  $\langle \partial d / \partial \vartheta \rangle$  by fitting simulated results for different wavelengths  $\lambda$  and incident arguments  $\varphi'$  with respect to the nearest PDM axis.

	$\langle \partial d / \partial \vartheta \rangle$ [mm per 1°]		
	$\lambda=330$ nm	$\lambda=365$ nm	$\lambda=400$ nm
$\varphi' = \pm 0^\circ$	$14.20 \pm 0.08$	$15.01 \pm 0.03$	$14.73 \pm 0.03$
$\varphi' = \pm 15^\circ$	$14.29 \pm 0.08$	$15.00 \pm 0.03$	$14.70 \pm 0.02$
$\varphi' = \pm 30^\circ$	$14.29 \pm 0.07$	$15.00 \pm 0.02$	$14.72 \pm 0.02$
$\varphi' = \pm 45^\circ$	$14.33 \pm 0.05$	$15.15 \pm 0.02$	$14.80 \pm 0.01$

595 fitting simulated results. Due to the non-circular optics and optical distortion,  
 596 azimuthal dependence also needs to be taken into account. The optical structure  
 597 is symmetric with respect to both axes of the PDM. In this way,  $\varphi$  angles from  
 598 both reference axes on the PDM are equivalent.

599 Table 1 summarizes the derivatives  $\langle \partial d / \partial \vartheta \rangle$  in Eq. (7) in the matrix of the  
 600 wavelengths  $\lambda$  and arguments  $\varphi'$  with respect to the nearest PDM axis. The  
 601 second terms indicate the uncertainty in fitting.

602 In this work, we use a representative value of the derivative in Eq. (7) as  
 603 follows:

$$\left\langle \frac{\partial d}{\partial \vartheta} \right\rangle \equiv 14.6 \text{ mm per } 1^\circ. \quad (8)$$

604 We apply this equation to all parts of the PDM. Within the simulated  
 605 combinations, this value has a maximum uncertainty of  $\sim \pm 0.6$  mm per  $1^\circ$ ,  
 606 on the order of  $\sim 4\%$  to Eq. (8).

607 According to Eqs. (7) and (8), we assign a nominal direction seen by each  
 608 pixel at its center position  $(x, y)$  represented by  $\vartheta$  and  $\varphi$  angles as follows:

$$\begin{pmatrix} x_i \\ y_i \end{pmatrix} \cong - \left\langle \frac{\partial d}{\partial \vartheta} \right\rangle \cdot \vartheta_i \cdot \begin{pmatrix} \cos \varphi_i \\ \sin \varphi_i \end{pmatrix}. \quad (9)$$

609 As seen in Fig. 5, the PSF may extend beyond the size of a pixel. A certain  
 610 fraction of the photons on the pixel are not from the nominal FoV of that pixel.  
 611 The inverse function of Eq. (9) can thus only deduce a likely incident direction  
 612 of each photon reaching the PDM.

613 The reciprocal of the derivative  $\langle \partial d / \partial \vartheta \rangle$  is equivalent to ‘plate  
614 scale.’ The nominal angle of view,  $\alpha_{\text{pix}}$ , seen by each pixel is  
615  $\approx 0.20^\circ (= 2.88 \text{ [mm]} / 14.6 \text{ [mm per } 1^\circ])$ . Along the PDM axis, considering  
616  $\pm 3$  MAPMTs yields an equivalent dimension of  $\pm 82.5 \text{ mm} (= \pm 3 \times 27.5 \text{ mm})$  as  
617 seen in Fig. 4. By doubling the  $\vartheta$  value in Eq. (7) to match  $d = 82.5 \text{ mm}$ , the  
618 nominal angle of view,  $\alpha_{\text{PDM}}$ , of the PDM is defined as follows:

$$\alpha_{\text{PDM}} \approx 2 \cdot \left( \frac{82.5 \text{ [mm]}}{14.6 \text{ [mm per } 1^\circ]} \right) = 11.3^\circ. \quad (10)$$

619 As a reference, the corresponding length,  $L_{\text{PDM}}$ , projected on the level of  
620  $h_0 \ll H_0$  is given by:

$$L_{\text{PDM}} \sim 2(H_0 - h_0) \cdot \tan\left(\frac{\alpha_{\text{PDM}}}{2}\right) \approx 7.5 \text{ [km]} \cdot \left(\frac{H_0}{38 \text{ [km]}}\right). \quad (11)$$

#### 621 4.3. *Imaging the normalized count rates on geographic coordinates*

622 To describe the incident direction of photons, we define a polar coordinate  
623 system by the nadir angle,  $\Theta$ , and the azimuth,  $\Phi$ , at the EUSO-Balloon  
624 telescope. We assume that the position of the telescope is above the GPS  
625 ground track of the optical axis, displayed in Fig 1.

To correlate assigned direction to the pixel, the corresponding incident  
direction can be expressed as follows:

$$\vartheta \equiv \Theta \quad (12a)$$

$$\varphi \equiv \Phi - \Phi_0(t), \quad (12b)$$

626 by taking into account the orientation  $\Phi_0$  of the telescope as shown in Fig. 3.

627 Figure 6 illustrates the key geometry used in the analysis. Definitions of key  
628 points and coordinate systems are labeled.

To image the normalized count rates  $\hat{N}$  plotted on geographic coordinates,  
we assume that the count rate in each pixel is purely due to the photons  
incident from the assigned nominal direction. In addition to those emitted  
in this direction, photons may have been scattered, e.g. by clouds in the line  
of sight. We map the distribution according to Point G( $X, Y, h_0$ ) independent

of local elevation. Assuming that the Earth is a globe with a radius  $R_{\oplus}$ , the distance,  $r$ , of Line Segment  $\overline{GE}$  can be expressed using the cosine theorem as follows:

$$r = (R_{\oplus} + H_0) \cdot \cos \Theta - \sqrt{(R_{\oplus} + h_0)^2 - (R_{\oplus} + H_0)^2 \cdot (1 - \cos^2 \Theta)} \quad (13a)$$

$$\approx \frac{H_0 - h_0}{\cos \Theta}. \quad (13b)$$

629 Equation (13a) is important for similar analyses with data acquired by  
630 satellite-based missions with much wider FoV telescopes.

631 In this work, we use Eq. (13b) as the effect of the Earth's curvature is small  
632 for  $L_{\text{PDM}} \ll R_{\oplus}$  or/and  $H_0 \ll R_{\oplus}$ . Point G  $(X, Y, Z)$  is given by:

$$\begin{pmatrix} X \\ Y \\ Z \end{pmatrix} = \begin{pmatrix} r \cdot \sin \Theta \sin \Phi \\ r \cdot \sin \Theta \cos \Phi \\ h_0 \end{pmatrix}. \quad (14)$$

Using the GPS data of the ground position of the optical axis at Point O', geographic coordinates in radians at Point G are located to as follows:

$$(\text{Lat.}) = \frac{Y}{R_{\oplus} + h_0} + (\text{Lat. at Point O}') \quad (15a)$$

$$(\text{Long.}) = \frac{X}{(R_{\oplus} + h_0) \cdot \cos(\text{Lat.})} + (\text{Long. at Point O}'). \quad (15b)$$

633 To analyze the geographic distribution of  $\hat{N}$  values defined by Eq. (5), the  
634 RoI is treated as a grid with a separation of  $1.8''$  in geographic coordinates which  
635 corresponds to  $\sim 37$  m for the east-west  $X$ - and  $\sim 56$  m for the north-south  $Y$ -  
636 directions. With a  $\sim 130$  m ( $= \alpha_{\text{pix}} \cdot H_0$ ) square projected area per pixel, it may  
637 be shared by up to twelve grid points for  $H_0 = 38$  km.

638 The  $\hat{N}$  value of every active pixel and packet is filled to any grid point within  
639 the projected pixel area. As a function of the packet time  $t_m$ , the combinations  
640 of the  $i$ -th pixel and the  $k$ -th grid point are indicated as follows:

$$\delta_{i,k}(t_m) = \begin{cases} 1, & \text{overlapping} \\ 0, & \text{otherwise,} \end{cases} \quad (16)$$

641 where the grid point number is hereafter denoted by the subscript  $k$ . Using all  
 642 the available data, the average,  $\langle \tilde{N} \rangle$ , of the  $\hat{N}$  values projected on the grid point  
 643 is evaluated by using all involved packets as follows:

$$\langle \tilde{N} \rangle_k = \frac{\sum_m \sum_i [\delta_{i,k}(t_m) \cdot \hat{N}_i(t_m)]}{\sum_m \sum_i \delta_{i,k}(t_m)}. \quad (17)$$

644 The total number of grid points,  $K$ , is  $3.8 \times 10^5$ , where at least one packet is  
 645 used to determine the  $\langle \tilde{N} \rangle$  values. For the discussion in Sec. 6, we also make  
 646 use of data with a coarser grid separation.

## 647 5. Results

### 648 5.1. The time evolution of the normalized count rates

649 In this section, we present two main results from the primary analyses  
 650 described in Sec. 4. The first is the time evolution of the normalized count  
 651 rates and the second is their distribution projected onto geographic coordinates.  
 652 The statistical errors and uncertainties derived from additional factors are also  
 653 estimated. Due to the selection criterion imposed on the pixels, the normalized  
 654 count rates have a relative uncertainty of 7% in pixel efficiencies. The detailed  
 655 discussion and interpretation are given in Sec. 6.

656 Figure 7 displays the average normalized count rates  $\langle \hat{N} \rangle$  defined by Eq. (6)  
 657 as a function of the packet time  $t_m$ . Data are partly eliminated due to a  
 658 temporary hardware problem at 04:17 and due to a transient instability of the  
 659 electronics around 04:58 and 05:07 resulting in high count rates in a few specific  
 660 MAPMTs [26]. Interruptions starting at 03:47 and 05:13 were due to the system  
 661 checks.

662 In the time interval between 04:38 and 04:52, referred to as Case (a), when  
 663 the  $\langle \hat{N} \rangle$  values are low and stable, the average  $\langle N \rangle$  values are evaluated by  
 664 several times  $10^4$  independent samples from the active pixels. In typical packets  
 665 in Case (a), all or almost of all 650 selected pixels were active and the statistical  
 666 error is irrelevant. The relative SD,  $\hat{\sigma}/\langle \hat{N} \rangle$ , among these pixels is on the order of



667  $\sim 15\%$ . This deviation includes the non-uniform response of the optics to diffuse  
668 light and possible non-uniform light distribution in the FoV.

669 When this is not the case, and  $\langle \hat{N} \rangle$  values are relatively high in particular  
670 during the early part of the ToI, their deviation among pixels is large due to the  
671 light source distribution inside the observation area as expected in Fig. 1. In  
672 this time interval, the number of active pixels frequently varies. The definition  
673 of normalized count rates by Eq. (2) may introduce a systematic uncertainty  
674 on the  $\langle \hat{N} \rangle$  values due to the pile-up effect in response to high intensity light  
675 sources. Around 03:15, such a case is found. The  $\langle \hat{N} \rangle$  value is suppressed in  
676 this case.

677 After 03:21, flasher and laser events were generated inside the observation  
678 area of the EUSO-Balloon telescope. Although no synchronization was made  
679 with the EUSO-Balloon telescope, signals from such events were observed and  
680 recognized in a few hundred packets by a specific analysis [26, 59]. These packets  
681 are included in the analyzed data. At the flight altitude of the helicopter, the  
682 corresponding length to the diagonal of the nominal FoV is  $\sim 10$  km. Any laser  
683 event thus does not exceed  $\sim 32$   $\mu\text{s}$ , i.e., it takes at most 13 samples to cross this  
684 length at the speed of light. In this way, the impact on the displayed results is  
685 negligible.

### 686 5.2. The normalized count rates projected onto geographic coordinates

687 Figure 8 displays the average normalized count rates  $\langle \tilde{N} \rangle$  on grid points  
688 defined by Eq. (17) projected onto geographic coordinates. The shaded area  
689 represents the area for which there are no determined  $\langle \tilde{N} \rangle$  values.

690 The uncertainties in  $\langle \tilde{N} \rangle$  value are correlated with that of location. Bad  
691 assignment of the nominal direction seen by each pixel introduces an artificial  
692 fluctuation into Eq. (17). In the following, the maximum uncertainty in location,  
693 in terms of misplacement from the position given by Eqs. (15a) and (15b), is  
694 estimated for the float altitude  $H_0 = 38$  km. Unless otherwise mentioned, they  
695 are intended to represent the positions seen at the corners of the nominal FoV.

696 The statistical error of the  $\langle \tilde{N} \rangle$  values primarily depends on the number,

697  $\sum_m \sum_i \delta_i(t_m)$ , of measured packets per grid point. It can be up to 130 packets  
 698 with an average of  $\sim 12$ . In general, the grid points near the boundary area have  
 699 a few packets used.

700 For the grid points where more than one packet is used, the *corrected sample*  
 701 *standard deviation*,  $\tilde{\sigma}$ , can be calculated. With respect to the  $\langle \tilde{N} \rangle$  values given  
 702 by Eq. (17), the relative SDs,  $\tilde{\sigma}/\langle \tilde{N} \rangle$ , from  $3.6 \times 10^5$  grid points are distributed  
 703 with the mean value of  $\sim 23\%$ . For this grid resolution, the mean of the relative  
 704 errors to the average  $\langle \tilde{N} \rangle$  values is  $\sim 13\%$ .

705 At the typical ground speed  $\langle v_0 \rangle$ , EUSO-Balloon traversed the  $L_{\text{PDM}}$  length  
 706 corresponding to the nominal angle of view  $\alpha_{\text{PDM}}$  for a duration of around  
 707 15 min ( $\sim L_{\text{PDM}}/\langle v_0 \rangle$ ). The motion and rotation of the EUSO-Balloon telescope  
 708 could lead to a difference in time between the first and last measured packets  
 709 of up to  $\sim 40$  min. The local time of the ToI was 23:08–01:48. Particularly in  
 710 the populated zone, variability due to human activities cannot be ruled out.

711 The PSF intrinsically introduces errors in location. Due to the dependence  
 712 on the wavelength and incident direction of photons, the relevant errors cannot  
 713 be uniquely formulated. Deduced from a compact hotspot seen in Example (i)  
 714 of Fig. 4, such errors are supposed to be relatively small, compared with those  
 715 introduced by the analysis process. An additional discussion of these errors is  
 716 given in Sec. 6.

717 Since we assume the  $\alpha_{\text{PDM}}$  angle, using Eqs. (7) and (8), the maximum  
 718 uncertainty of  $\sim 4\%$  from these equations is propagated to the uncertainty,  $\Delta\vartheta$ ,  
 719 in assigned pixel direction, which can be up to  $\approx 0.4^\circ$  ( $= 4\% \cdot \alpha_{\text{PDM}}/\sqrt{2}$ ). In  
 720 this way, the location of the grid points has an associated uncertainty,  $H_0 \cdot \Delta\vartheta$ ,  
 721 of up to  $\sim 160$  m.

722 In the region where the  $\langle \tilde{N} \rangle$  values are determined, the elevations of the  
 723 terrain range between 205 m and 410 m according to Ref. [69]. Thus their  
 724 deviations,  $\Delta h$ , from the reference  $h_0 = 296$  m are smaller than 120 m. The  
 725 uncertainty,  $\Delta h \cdot \alpha_{\text{PDM}}/\sqrt{2}$ , in location is less than  $\sim 20$  m.

726 During the flight, the alignment of the MAPMTs on the PDM might differ

727 from what was designed by up to  $\sim 1$  mm in the form of gaps between neighboring  
 728 BG3 filters. Such a misplacement could introduce an error in the assigned  
 729 direction by the order of  $\sim 0.07^\circ$  ( $= 1 \text{ [mm]} / 14.6 \text{ [mm per } 1^\circ]$ ) resulting in a  
 730  $\sim 50$  m uncertainty in the projected position in the whole observation area.

731 Particularly in the beginning of the ToI, large torsion was loaded resulting  
 732 in rapid rotation and oscillation of the EUSO-Balloon telescope. Such effects  
 733 were mitigated in the western part of the RoI. Uncertainties in the location of  
 734 the grid points by using Eqs. (12a)–(15b) effectively increase the apparent size  
 735 of the point-like sources. This results in broadening hotspots, as seen in the  
 736 eastern part of the RoI.

737 During the ToI, the orientation of the EUSO-Balloon telescope was  
 738 monitored every 1 s. Thus the maximum uncertainty,  $\Delta\Phi_0$ , of the orientation  
 739 is  $\sim 7^\circ$  from its maximum angular velocity. It was the case in the early part of  
 740 the ToI and in the eastern part of the RoI. This leads to the maximum error,  
 741  $(L_{\text{PDM}}/\sqrt{2}) \cdot \Delta\Phi_0$ , in location to be  $\sim 650$  m. This effect then decreases with  
 742 the time as rotation and oscillation damped during the flight in the ToI.

## 743 6. Discussion

744 In this section, we discuss the results of the EUSO-Balloon data from three  
 745 aspects; imaging capability by comparing correlations between the measured  
 746 count rates and ground-based sources mainly to validate the analysis method in  
 747 use, discussions on the role of count rates in exposure for UHECR observations  
 748 and the absolute intensity of diffuse light. The outlook for the further pathfinder  
 749 missions follows.

### 750 6.1. Correlation between the normalized count rate distribution and 751 ground-based sources

752 In Fig. 8, several hotspots and extended light sources in the Timmins area  
 753 and structures in the Montcalm Mine area are clearly visible. In order to  
 754 compare with a light source distribution mainly in the visible band, we use

755 the DMSP data shown in Fig. 1. To identify the counterparts to the hotspots,  
756 we utilize public online map services [69, 70] and Landsat Imagery [71].

757 Figure 9 displays an extract of the Timmins area from Fig. 8 with VDN  
758 contours of the DMSP data, as in Fig. 1. The scales and resolutions have been  
759 modified. The following labels are given to the areas of the local VDN maxima  
760 with their values in superscripts: Hoyle Mine (H), Bell Creek Mine (B), north  
761 shore of Porcupine Lake (P), downtown of Timmins (T), airport (A) and shore  
762 of Kamiskotia Lake (K). The inset shows the Montcalm Mine area (M) in the  
763 western part of the RoI.

764 Even with the different spatial resolutions, generic patterns of the normalized  
765 count rates  $\langle \tilde{N} \rangle$  as seen in the RoI are in good agreement with the distribution  
766 of the visible light fluxes in the DMSP data. Except for Area (K), the hotspots  
767 are found in the areas of the local VDN maxima. Multiple hotspots can be  
768 easily recognized in Areas (H), (P) and (M).

769 In order to find the correlation with the known light sources, we define the  
770 hotspots as spatially confined zones with high  $\langle \tilde{N} \rangle$  values. To avoid the selection  
771 of hotspots that are purely due to fluctuations, a cut of  $120 \text{ pe GTU}^{-1}$  is set on  
772 the sum of the  $\langle \tilde{N} \rangle$  values of 24 ( $= 6 \times 4$ ) grid points, i.e., an average value of  
773  $\langle \tilde{N} \rangle > 5 \text{ pe pixel}^{-1} \text{ GTU}^{-1}$ . The grid separation in this discussion corresponds  
774 to a  $\sim 220 \text{ m}$  on both coordinates.

775 Table 2 summarizes the 16 selected hotspots. Key measured values,  
776 the ground-based counterpart sources and general remarks on the hotspots  
777 are described therein. The presented counterpart sources are found using  
778 Refs. [69, 70, 72].

779 For each hotspot, the maximum  $\langle \tilde{N} \rangle$  grid point is likely to be correlated  
780 with its counterpart source. Hotspot (X1) is found in the area without a local  
781 VDN maximum. It coincides with the position of a mining ground at Pamour.  
782 In UHECR observations, good accuracy in location is an essential requirement  
783 for the analysis of EAS events. The capability of finding temporary intense  
784 sources or ones not shown on the map also helps eliminate the fraction of the  
785 observation area.

Table 2: Summary of the 16 selected hotspots. The labels are given according to the areas of the VDN maxima in Fig. 9, except for Hotspot (X1). Using Refs. [69, 70, 72], counterpart sources for the maximum  $\langle \tilde{N} \rangle$  grid points are given along with general remarks on the hotspots.

Label	Nearest time	Maximum $\langle \tilde{N} \rangle$ grid point			Stretch	Counterparts to maximum $\langle \tilde{N} \rangle$ grid point (Remarks for the whole hotspot)
		Lat.	long.	$\langle \tilde{N} \rangle$		
(H1)	03:08	48°32'57"N	81°03'19"W	12	0.1	Industrial facility (boundary)
(H2)	03:08	48°32'54"N	81°04'22"W	23	1.4	Industrial complex with railroad yard, power plant etc.
(H3)	03:08	48°32'57"N	81°06'30"W	25	2.3	Mine pit (resolved into two pits $\sim 1$ km apart)
(H4)	03:08	48°33'57"N	81°06'42"W	29	1.2	Mine pond
(X1)	03:09	48°30'55"N	81°06'48"W	11	0.2	Mine pit (no corresponding VDN maximum)
(B1)	03:16	48°33'10"N	81°10'47"W	62	2.2	Mining ground
(P1)	03:22	48°28'58"N	81°12'19"W	21	8.5	South Porcupine community (also resolved to Pottsville and Porcupine at $\sim 2$ – $3$ km to the east)
(P2)	03:30	48°28'13"N	81°14'02"W	31	0.5	Mining ground (boundary)
(P3)	03:31	48°27'59"N	81°14'52"W	23	0.2	Mine pit (boundary)
(T1)	03:34	48°29'39"N	81°16'54"W	6.3	0.3	Mining ground
(T2)	03:36	48°32'21"N	81°17'23"W	9.4	0.6	Cement factory
(T3)	03:37	48°28'33"N	81°19'15"W	29	27	Park on a residential zone boundary. Commercial facility and high $\langle \tilde{N} \rangle$ zones
(T4)	03:42	48°29'34"N	81°21'28"W	20	0.5	Industrial plant on the bank of Mattagami River
(A1)	03:46	48°33'55"N	81°22'13"W	16	0.1	Airport parking lot (boundary)
(M1)	05:30	48°40'00"N	82°05'45"W	11	0.4	Mining ground
(M2)	05:30	48°40'26"N	82°05'56"W	11	0.1	Facility $\sim 0.9$ km from the counterpart of Hotspot (M1)

Nearest time indicates for the closest approach to the maximum  $\langle \tilde{N} \rangle$  grid point and EUSO-Balloon.

$\langle \tilde{N} \rangle$  values are given in units of  $\text{pe pixel}^{-1} \text{GTU}^{-1}$ . The stretch of the confined hotspot area is indicated in units of  $\text{km}^2$ .

Hotspots (H1), (P2), (P3) and (A1) are measured near the boundary of the nominal FoV with a limited number of packets.

786 In the following, we discuss some of the characteristic hotspots and their  
787 counterparts. Additionally, lower  $\langle \tilde{N} \rangle$  values are found in some areas which  
788 contain potential light sources. Possible interpretations for such cases are also  
789 given.

790 In Example (i) of Fig. 4, Hotspot (H1) is recognized in the bottom-right  
791 MAPMT. Hotspot (X1) is in the upper part of the PDM. In the same example,  
792 Hotspots (H2) and (H3) are clearly identified. Hotspot (H4) is on the bottom  
793 edge.

794 Hotspot (H1) illustrates a typical PSF for the photons from a compact source  
795 with a scale of 50–100 m. It spreads over  $\sim 3 \times 3$  pixels, which corresponds  
796 to  $\sim 0.6^\circ$ . The extent of the hotspots seen in Figs. 8 and 9 is broadened by  
797 uncertainties derived from the analysis. In the case of intense light sources, the  
798 breadth of such images is also affected by the photons that are scattered by  
799 molecules in the atmosphere. This effect was also observed and recognized in  
800 the events from the LED and xenon flashers on the helicopter.

801 Before  $\sim 04:00$ , several hotspots contribute to the large variations of  
802 the  $\langle \hat{N} \rangle$  value seen in Fig. 7. Distinctly high values are found around  
803 03:14–03:16. Apart from this, contributions from the individual hotspots are  
804 not distinguished early in the ToI. This behavior can be explained by the passage  
805 of Hotspot (B1) in the nominal FoV for a short interval. This hotspot contains  
806 the data with saturated count rates. Thus the  $\langle \tilde{N} \rangle$  values shown in Table 2  
807 represent the lower limits.

808 Moving forward in time through the ToI, the  $\langle \hat{N} \rangle$  values then gradually  
809 decrease as seen in Fig. 7. The gradient of the  $\langle \tilde{N} \rangle$  values with the distance from  
810 Area (A) is seen in Fig. 8. Such behavior extends even beyond the boundary  
811 of the non-zero-VDN area, possibly due to the presence of clouds in the FoV.  
812 The pilot of the helicopter reported such conditions between 04:07 and 04:19 by  
813 looking up at the sky.

814 Hotspot (T3) is the largest of the listed hotspots, in terms of its extent. It  
815 extends in a populated zone and continues into the neighboring forestry zones.  
816 Inside this hotspot, there are a few potential counterpart sources to the grid  
817 points which have locally high  $\langle \tilde{N} \rangle$  values.

818 In contrast, relatively low  $\langle \tilde{N} \rangle$  values are observed over the populated zone  
819 around the VDN maximum of Area (T). A possible interpretation is an unstable  
820 behavior of the PDM that decreases the number of active pixels. Such situations  
821 tended to occur where a large number of photoelectrons were generated in a  
822 broad part of the PDM. As for the impact of this effect on UHECR observations,  
823 detections of EASs are primarily suppressed in such an area with too intense  
824 light and only determination of the affected area is relevant. In the upgraded

825 electronics, such a problem has been overcome and a dynamic range of photon  
 826 counting has been extended to a few hundred photoelectrons [73].

827 In Fig. 9, no clear hotspot appears in the  $\langle \tilde{N} \rangle$  distribution near Area (K)  
 828 where there are potential artificial light sources on the shore and at a nearby  
 829 mining ground [69]. A possible explanation is that the VDN values in this area  
 830 are no higher than 7 which is barely above the sensitivity of the DMSP data in  
 831 the RoI. Thus, the  $\langle \hat{N} \rangle$  values measured in this area may not have significant  
 832 increases, particularly under possible cloudy conditions. As also seen in Fig. 7,  
 833 data acquisition was interrupted at 04:17 when EUSO-Balloon flew above this  
 834 area and the data amount contributes less to the  $\langle \tilde{N} \rangle$  distribution.

835 In Area (M) in Figs. 8 and 9, there are Hotspots (M1) and (M2). The  
 836 corresponding peaks are observed around 05:30 in Fig. 7. The maximum  $\langle \tilde{N} \rangle$   
 837 grid point of Hotspot (M2) is  $\sim 150\text{--}250$  m away from the counterpart [72], which  
 838 shows the location uncertainty in this part of the RoI.

839 At  $\sim 05:44$ , additional peaks are found in Fig. 7. At that time, the potential  
 840 light sources in Area (M) were well out of the nominal FoV of the EUSO-Balloon  
 841 telescope. An interpretation of these peaks is that the attitude of the instrument  
 842 might be affected and instantaneously pointed to the direction of Hotspots (M1)  
 843 and (M2). The GPS data show a significant impulsive acceleration,  $\ddot{H}_0$ , of  
 844  $> 2 \text{ m s}^{-2}$  in the vertical direction in comparison to its root mean square  
 845  $\sqrt{\langle \ddot{H}_0^2 \rangle} \sim 0.5 \text{ m s}^{-2}$  over the ToI.

## 846 6.2. Implications for space-based UHECR observations

847 In previous work reported in this Journal [22], the scientific performance of  
 848 the JEM-EUSO instrument and its expected exposure to UHECR observations  
 849 have been discussed. For the baseline design of JEM-EUSO, thresholds for the  
 850 trigger algorithms are set by the average count rates,  $\bar{N}$ , from diffuse light. They  
 851 are dynamically applied first on the pixel level and then on the higher level of  
 852 the PDM segment either on MAPMTs or ECs [26, 27].

853 In the aforementioned work, it was assumed that the effect from the Moon is  
 854 the main component of the temporal  $\bar{N}$  variation in the orbit. The impact from

855 the local light component, especially artificial light, was separately evaluated by  
856 analyzing the distribution of visible light fluxes from the DMSP data. These  
857 distributions were used to evaluate two parameters: the observational duty  
858 cycle,  $\eta$ , and the fraction,  $f_{\text{loc}}$ , of the area with intense local light sources.

859 The  $\eta$  value was given as a ratio of the observation time,  $T_{\text{obs}}$ , to the whole  
860 mission lifetime,  $T_0$ . The  $T_{\text{obs}}$  time is defined as the time when the trigger  
861 algorithms are operational. For instance, time under daylight, twilight and  
862 large moonlight has been eliminated.

863 The  $f_{\text{loc}}$  value was given as an average ratio of the area with intense light  
864 sources to the whole area covered by the ISS orbit. It represents the expected  
865 fraction within the instantaneous observation area that is partly or totally lost  
866 due to such sources, including cities, lightning, aurorae etc.

867 The results of the EUSO-Balloon mission allow for similar studies, but with  
868 real data, i.e., the  $\langle \hat{N} \rangle$  distribution from Eq. (6) and the  $\langle \tilde{N} \rangle$  distribution from  
869 Eq. (17). The data from this work cover a  $\sim 2.5$  hour time interval and a  
870  $\sim 780 \text{ km}^2$  area and thus the given distributions represent the particular case  
871 of the EUSO-Balloon flight. This time and area are small compared with  
872 those potentially achieved by space-based missions, i.e., several years of mission  
873 lifetime and an order of  $10^8 \text{ km}^2$  area on the Earth.

874 Figure 10 displays the temporal  $\langle \hat{N} \rangle$  distribution in terms of the packets  
875 as shown in Fig. 7. The histogram denotes the fraction of packets relative to  
876 the total number of packets,  $M = 1.5 \times 10^5$ . The unity of the distribution is  
877 normalized to the  $\sim 2.5$  hours of the time assigned for this work. The dashed  
878 curve shows the cumulative fraction above the given  $\langle \hat{N} \rangle$  value.

879 In a large fraction of the ToI, the distribution contains not only diffuse light  
880 but also the artificial light sources. The time intervals when the nominal FoV  
881 was free from the influence of the local light sources are limited. The peak value  
882 of the distribution coincides with the typical  $\langle \hat{N} \rangle$  value in Case (a).

883 Although the trigger algorithms need to consider further effects such as  
884 different pixel efficiencies [26], the average normalized count rates are used for a  
885 first order discussion. In practice, the  $\eta$  value is determined by the permissible



886 limit,  $\bar{N}_{\text{lim}}$ , of the average count rate which allows the trigger algorithms to be  
 887 operational and is expressed as follows:

$$\eta (< \bar{N}_{\text{lim}}) \equiv \frac{T_{\text{obs}}}{T_0} = \frac{1}{T_0} \cdot \int_0^{\bar{N}_{\text{lim}}} \frac{dT}{d\bar{N}} d\bar{N}. \quad (18)$$

888 where  $dT/d\bar{N}$  denotes the temporal  $\bar{N}$  distribution in the mission lifetime.  
 889 The histogram shown in Fig. 10 gives such a distribution in the ToI of the  
 890 EUSO-Balloon flight. The cumulative fraction shown in Fig. 10 represents  
 891 Eq. (18). The time intervals when the data were eliminated in Fig. 7 are  
 892 excluded. The time between triggers is included as the time that the instrument  
 893 was operational. In the real space-based mission, the trigger rate is far smaller  
 894 and the count rates are only monitored for trigger algorithms.

895 For space-based observations, the main scientific outputs will be the  
 896 energy spectrum and arrival direction distribution of UHECRs. Both require  
 897 determination of the exposure,  $A$ , for UHECR observations. This should be  
 898 described as a function of the energy  $E_0$  and should be projected onto the  
 899 celestial sphere with the orbit taken into account.

900 Under moonless, clear atmosphere conditions in dark areas presumably  
 901 without the effect of artificial light, a reference count rate,  $N_0$ , is defined as  
 902 the average of the  $\bar{N}$  values from diffuse light. For such conditions, a reference  
 903 function of the instantaneous aperture,  $\dot{A}_0$ , for UHECR observations is obtained  
 904 by simulating a large number of EASs and the instrument response. The  
 905 instantaneous aperture,  $\dot{A}$ , for different conditions of the diffuse light empirically  
 906 scales by the  $\bar{N}$  value as follows [17, 22]:

$$\dot{A}(E_0; \bar{N}) = \dot{A}_0 \left( \sqrt{\frac{N_0}{\bar{N}}} \cdot E_0 \right) \quad (19)$$

907 in units of  $\text{km}^2 \text{ sr}$ . Here, the effects of clouds and the local light component  
 908 have been omitted.

The  $\bar{N}$  value is variable as a function of the time,  $T$ , in the mission lifetime.  
 By integrating Eq. (19), the exposure for UHECR observations is given as a

function of the energy as follows:

$$A(E_0) \equiv \int_0^{T_0} \dot{A}(E_0, \bar{N}(T)) dT \quad (20a)$$

$$= \int_0^{\bar{N}_{\text{lim}}} \left[ \dot{A}_0 \left( \sqrt{\frac{N_0}{\bar{N}}} \cdot E_0 \right) \cdot \left( \frac{dT}{d\bar{N}} \right) \right] d\bar{N}. \quad (20b)$$

909 in units of  $\text{km}^2 \text{ sr yr}$ . Here  $\dot{A} = 0$  for the time intervals when no UHECR  
 910 observation is undertaken, including the case of  $\bar{N} > \bar{N}_{\text{lim}}$ .

911 For UHECRs with  $E_0 \gtrsim 10^{20}$  eV, the baseline design of JEM-EUSO has a  
 912 nearly constant geometrical aperture [17, 22]. Taking into account the effects  
 913 of the clouds and local light, the overall exposure at the highest energies can be  
 914 expressed as:

$$A(\infty) \approx \dot{A}_0(\infty) \cdot \kappa_C \cdot \eta \cdot (1 - f_{\text{loc}}) \cdot T_0, \quad (21)$$

915 where  $\kappa_C$  is the cloud efficiency. This parameter describes the ratio of the  
 916 aperture taking into account the presence of clouds to the one for clear  
 917 atmosphere conditions [17, 22, 52].

918 Figure 11 displays the areal  $\langle \tilde{N} \rangle$  distribution in terms of the grid points  
 919 as shown in Fig. 8. The clear and filled parts of the histogram indicate the  
 920 fractions of the grid points with respect to the total number  $K=3.8 \times 10^5$  of  
 921 grid points in the eastern and western halves, respectively. They are split at  
 922 Long.  $81^\circ 35' 49'' 2\text{W}$ . The dashed curve shows the cumulative fraction above the  
 923 given  $\langle \tilde{N} \rangle$  value.

924 The  $f_{\text{loc}}$  value in Eq. (21) is relevant to the cumulative fraction shown in  
 925 the figure. Most of the area in the western half accounts for relatively low  $\langle \tilde{N} \rangle$   
 926 values, while the eastern half is dominated by high values from the extended  
 927 hotspots. It is important to recall the low  $\langle \tilde{N} \rangle$  values around Area (T) in Fig. 8.  
 928 In space-based UHECR observations, the presence of such intense light sources  
 929 is also foreseen. In this way, these contributions may be properly taken into  
 930 account in the calculation of  $f_{\text{loc}}$  values.

931 *6.3. The absolute intensity of diffuse light*

932 It is primarily diffuse light that is relevant for space-based UHECR  
933 observations. Its count rate,  $\hat{N}_0$ , for clear atmosphere conditions is important  
934 for EAS analysis of the existing instrument. Although EUSO-Balloon was not  
935 expected to detect EAS events, the corresponding absolute intensity  $I_0$  could  
936 provide another reference value.

937 *6.3.1. The normalized count rates under clear atmosphere conditions*

938 As the reflectivity of the clouds is higher, the time interval and area with  
939 lowest count rates are considered to represent a case with little influence from  
940 clouds, i.e., clear atmosphere. Such conditions were present in Case (a) between  
941 04:38 and 04:52 as mentioned in Sec. 5. At 04:36, 04:48 and 04:55, the pilot  
942 reported clear sky conditions above the helicopter.

943 In addition, similar conditions were considered to be present between 05:30  
944 and 05:48 referred to as Case (b). The pilot confirmed such conditions at 05:29,  
945 05:35 and 05:46. EUSO-Balloon was flying through and away from Area (M) as  
946 seen in Example (ii) of Fig. 4.  $\langle \hat{N} \rangle$  values are as low as in Case (a) seen in Fig. 7  
947 if the contributions associated with Hotspots (M1) and (M2) are eliminated by  
948 strictly using 67 pixels in two MAPMTs out of the 650 selected pixels.

949 Figure 12 displays the  $\langle \hat{N} \rangle$  distributions for Cases (a) and (b) shown as the  
950 solid and dashed histograms, respectively. Each histogram is normalized to the  
951 total number of packets in use:  $2.3 \times 10^4$  for Case (a) and  $1.5 \times 10^4$  for Case (b).

952 For the reference  $\hat{N}_0$  value, we quote the mode of the distribution for Case (a)  
953 obtained as follows:

$$\hat{N}_0 \approx 0.65 \text{ pe pixel}^{-1} \text{ GTU}^{-1}. \quad (22)$$

954 The pixels used in Case (b) are a subset of those used in Case (a). The  
955 distribution for Case (b) is similar to that of Case (a) with a slightly broader  
956 fluctuation due to fewer pixels and packets in use.

957 *6.3.2. The optics response to diffuse light*

958 As seen in Fig. 5, some photons from a given incident direction are  
 959 occasionally detected far from the nominal focal point. They are more  
 960 pronounced in diffuse light. To describe such effects, we perform a large number  
 961 of ray trace simulations using the Offline setup described in Sec. 4. Photons are  
 962 isotropically incident on the optics by sampling over the area,  $S_{\text{sim}}$ , wider than  
 963 the opening entrance. The maximum incident off-axis angle,  $\vartheta_{\text{lim}}$ , is set by the  
 964 geometry of the baffle.

965 For a photon with a wavelength  $\lambda$  and incident direction given by the  $\vartheta$   
 966 and  $\varphi$  angles, let  $\beta(\lambda, \vartheta, \varphi)$  be the probability of reaching the pixel. Using ray  
 967 trace simulations, the average,  $\bar{\beta}$ , for a given  $\lambda$  over the incident directions is  
 968 obtained as follows:

$$\bar{\beta}_i(\lambda) \equiv \frac{1}{\Omega_{\text{sim}}} \cdot \int_{\Omega} \beta_i(\lambda, \vartheta, \varphi) d\Omega = \frac{N_{\text{hit},i}(\lambda)}{N_{\text{sim}}(\lambda)}, \quad (23)$$

969 where  $N_{\text{hit},i}$  is the number of photons reaching the  $i$ -th pixel among the  
 970 simulated  $N_{\text{sim}}$  photons,  $d\Omega = \sin \vartheta d\vartheta d\varphi$  is the solid angle element and  $\Omega_{\text{sim}}$  is  
 971 written as follows:

$$\Omega_{\text{sim}} = \int_{\Omega} \cos \vartheta d\Omega = \int_0^{2\pi} \int_0^{\vartheta_{\text{lim}}} (\cos \vartheta \cdot \sin \vartheta) d\vartheta d\varphi. \quad (24)$$

972 Taking into account the pixel efficiency  $\varepsilon(\lambda)$  from Eq. (3), the ‘pixel  
 973 acceptance’,  $\tilde{a}$ , to diffuse light can be expressed as a function of the wavelength  
 974 as follows:

$$\tilde{a}_i(\lambda) \equiv \varepsilon_i(\lambda) \cdot \bar{\beta}_i(\lambda) \cdot S_{\text{sim}} \cdot \Omega_{\text{sim}}. \quad (25)$$

975 It has the dimensions of area multiplied by solid angle. These two qualities  
 976 cannot be decoupled due to the intrinsic PSF, absorption and scattering effects  
 977 of the Fresnel lenses.

978 Figure 13 displays the average pixel acceptance  $\langle \tilde{a} \rangle$  over the selected  
 979 650 pixels to diffuse light as a function of the wavelength. The shaded  
 980 interval indicates the SD component,  $(\bar{\sigma}/\langle \bar{\beta} \rangle) \cdot \langle \tilde{a} \rangle$ , over these pixels due to the  
 981 non-uniform optics response where  $\bar{\sigma}$  is the SD of  $\bar{\beta}$  probabilities.

Table 3: Relative abundances  $dI_0/I_0$  of photons in different wavelength bands and spectrum-weighted pixel acceptance  $\tilde{a}$  for the diffuse light models.

Model	Relative abundance $\frac{dI_0}{I_0}$ in wavelength $\lambda$ [nm] band					Spectrum-weighted pixel acceptance $\tilde{a}$ [m <sup>2</sup> sr]
	300–340	340–380	380–420	420–460	460–500	
Airglow	37%	39%	18%	5%	1%	$0.95 \times 10^{-6}$
Starlight	15%	27%	24%	20%	15%	$0.88 \times 10^{-6}$
Light bulb	0%	1%	12%	31%	57%	$0.44 \times 10^{-6}$

982 The ray trace simulations of diffuse light demonstrate the non-uniform  
 983 response of pixels, which cannot be simply formulated. Above 330 nm the  
 984 optical system introduces an uncertainty  $\bar{\sigma}/\langle\bar{\beta}\rangle$  of  $\sim 11\%$  to the average.

### 985 6.3.3. An interpretation for the absolute intensity estimation

986 Due to the  $\lambda$  dependence of the  $\tilde{a}$  values, the model of the differential  
 987 spectrum  $dI_0/d\lambda$  of the diffuse light is needed to interpret the data. The  $I_0$   
 988 value of diffuse light should follow the relation given by:

$$I_0 = \int_{\lambda} \frac{dI_0}{d\lambda} d\lambda. \quad (26)$$

989 In this work, the  $\lambda=300-500$  nm band is chosen as a reference according to the  
 990 sensitive range seen in Fig. 13.

991 Over this band, the spectrum-weighted pixel acceptance  $\tilde{a}$  is given as follows:

$$\tilde{a} = \frac{1}{I_0} \cdot \int_{\lambda} \left[ \langle\tilde{a}(\lambda)\rangle \cdot \frac{dI_0}{d\lambda} \right] d\lambda. \quad (27)$$

992 To determine this value, a model of the relative spectrum  $(1/I_0) \cdot (dI_0/d\lambda)$  of  
 993 the diffuse light needs to be applied. In order to find a potential range of  $\tilde{a}$   
 994 values, we assume three spectrum models. Models of airglow and starlight are  
 995 for the natural light sources. The light bulb model is for artificial sources.

996 Table 3 summarizes the relative abundances,  $dI_0/I_0$ , in different  $\lambda$  bands for  
 997 the airglow, starlight and light bulb models together with the corresponding  
 998  $\tilde{a}$  value in Eq. (27). A value of unity corresponds to the intensity in the  
 999 300–500 nm band, according to Eq. (26).

1000 The airglow model is deduced from the data taken by the Ultraviolet Visual  
 1001 Echelle Spectrograph (UVES) [74, 75]. The starlight model is quoted from  
 1002 Ref. [33]. The light bulb model is from Ref. [80], intended for a lower bound of  
 1003 the  $\check{a}$  value.

1004 For the natural light source models, photons are first sampled according  
 1005 to these models. Using the Monte Carlo method by the ‘libRatran’ code [76,  
 1006 77], these photons are then traced from the top of the atmosphere and the  
 1007 back-scattering in the atmosphere is simulated to obtain their spectra on the  
 1008 telescope at 38 km asl.

1009 The airglow emission has a continuum spectrum characterized by prominent  
 1010 lines in the 300–400 nm band. Its back-scattered light also shows a dominant  
 1011 abundance for short  $\lambda$ . The back-scattered starlight has a continuum spectrum  
 1012 with its differential intensity rising with increasing  $\lambda$ . Another potential natural  
 1013 light source is zodiacal light which has a similar spectrum to the starlight model.  
 1014 Its contribution is considered to be very little at the local solar time of  $\sim 0$  h in  
 1015 the ToI.

1016 Under clear atmosphere conditions, Rayleigh scattering by molecules is the  
 1017 dominant process of radiation transfer [78]. For the light of extraterrestrial  
 1018 origin, relative abundances below  $\sim 320$  nm are largely suppressed due to  
 1019 absorption by ozone molecules [79]. The response of the optics also renders  
 1020 contributions below  $\sim 300$  nm negligible.

For a given  $\check{a}$  value, the expected count rate  $N$  in response to this diffuse  
 light with a given intensity,  $I$ , is written as follows:

$$N = \check{a} \cdot I \quad (28a)$$

$$= 0.23 \text{ [pe pixel}^{-1} \text{ GTU}^{-1}] \cdot \left( \frac{\check{a}}{10^{-6} \text{ [m}^2 \text{ sr]}} \right) \cdot \left( \frac{I}{100 \text{ [photon m}^{-2} \text{ sr}^{-1} \text{ ns}^{-1}]} \right), \quad (28b)$$

1021 for the 300–500 nm band. By substituting the measured count  
 1022 rate  $\hat{N}_0 \approx 0.65 \text{ pe pixel}^{-1} \text{ GTU}^{-1}$  in Eq. (28a), the consistent  $I_0$  value is  
 1023 deduced for each model.

Table 4:  $I_0$  values deduced for different spectrum models.

	300–500 nm	300–400 nm
Model (this work)	Consistent $I_0$ [photon m <sup>-2</sup> sr <sup>-1</sup> ns <sup>-1</sup> ]	
Airglow	~320	~260
Starlight	~300	~170
Light bulb	~640	~30

1024 Table 4 summarizes consistent  $I_0$  values in the 300–500 nm and  
 1025 300–400 nm bands deduced for different spectrum models. According to  
 1026 abundances below 400 nm in Table 3, the intensities in the 300–400 nm band  
 1027 were estimated. They may be compared with former experiments [34, 35, 36].

1028 In Case (a), the diffuse light seen by the EUSO-Balloon telescope is mostly  
 1029 from airglow and starlight components with an unknown mixture. Artificial light  
 1030 is highly unlikely to dominate the measured count rate in the forest. Thus, the  
 1031 values listed for artificial light would give conservative constraints. Note that  
 1032 airglow is a dynamic phenomenon. Its intensity varies in time and geographic  
 1033 position as well as by the influence of geomagnetic activity and atmospheric  
 1034 tides [81]. These variations could even exceed these model dependences.

1035 A possible lower limit may be inferred with a virtual ideal instrument by  
 1036 assuming that all the photons incident on the optics aperture would focus on  
 1037 the nominal angle of view  $\alpha_{\text{pix}}$  of a pixel. As the pixel efficiency  $\langle \varepsilon \rangle$  is maximum  
 1038 at  $\sim 378$  nm, the maximum possible pixel acceptance for such an instrument is  
 1039 given by  $\langle \varepsilon(378 \text{ [nm]}) \rangle \cdot S_{\text{opt}} \cdot (\alpha_{\text{pix}})^2$  and is  $2.2 \times 10^{-6}$  m<sup>2</sup> sr. Applying it to  
 1040 Eq. (28b) yields  $\sim 130$  photons m<sup>-2</sup> sr<sup>-1</sup> ns<sup>-1</sup> to the reference count rate  $\hat{N}_0$  in  
 1041 Eq. (22).

1042 With the assumed optics response model, further uncertainty in the  $\check{a}$   
 1043 values may be derived from the response of the EUSO-Balloon instrument.  
 1044 By taking into account the 7% uncertainty  $\Delta\varepsilon/\varepsilon$  in pixel efficiencies from  
 1045 the PDM calibration and the pixel acceptance dependence of  $\sim 11\%$ , the  
 1046 overall uncertainty is  $\sim 13\%$   $\left( = \sqrt{(\Delta\varepsilon/\varepsilon)^2 + (\bar{\sigma}/\langle \bar{\beta} \rangle)^2} \right)$ . Although not all

1047 selected 650 pixels gave pre-flight calibration, the selection of pixels allows  $\pm 11\%$   
1048 level uncertainty of a possible variation of the pixel efficiency during the flight.  
1049 As mentioned in Sec. 5, the relative SD in normalized count rates among pixels  
1050 is  $\sim 15\%$  during the Case (a) time interval and thus it is consistent with the  
1051 hypothesis of illumination of uniform diffuse light within the uncertainty of  
1052  $\sim 18\%$  ( $= \sqrt{(13\%)^2 + (11\%)^2}$ ).

#### 1053 *6.4. Outlook*

1054 The experimental studies on UV light as background continue through  
1055 further pathfinder missions. A flight of EUSO-SPB using NASA's  
1056 Super-Pressure Balloon (SPB) [82] was made over the South Pacific between  
1057 April 24 and May 7, 2017 UTC [83]. On-ground tests and preparations of  
1058 Mini-EUSO [84] are in progress with a possibility to be operated in 2019. A  
1059 ground-based pathfinder experiment EUSO-TA [85] has been operated at the  
1060 site of the TA experiment in Utah, USA. It is capable of measuring the night  
1061 sky background, including direct airglow emission.

1062 EUSO-SPB introduced and flew with upgraded subsystems relative to  
1063 EUSO-Balloon, which solved some of the issues seen in the instrument. PSF  
1064 was also improved that allowed better imaging capability, while most of the  
1065 time it flew above the pattern-less ocean. EUSO-SPB had an autonomous  
1066 trigger for EAS events that had been proven by the UV lasers at the site of the  
1067 TA experiment. The operation of EUSO-SPB was undertaken from NASA's  
1068 Mid-Latitude Super Pressure Balloon Launch Site at Wanaka Airport, New  
1069 Zealand. It was terminated due to a gas leakage of the balloon envelope. As  
1070 much data as possible were downlinked before the instrument was abandoned  
1071  $\sim 200$  nautical miles south-east of Easter Island.

1072 Thanks to the trigger system, EUSO-SPB had the potential to detect a few  
1073 EAS events if it had flown as long as a few months achieved in the former  
1074 SPB flights. The observable energy range of the cosmic rays was lowered to  
1075 a few times  $10^{18}$  eV. The data analysis of EUSO-SPB, more oriented to EAS  
1076 detections and estimation of the exposure to cosmic rays as discussed in Sec. 6.2



1077 is underway.

1078 Mini-EUSO is a 25 cm telescope with a refractive Fresnel optics mounted on  
1079 the UV-transparent, nadir-facing window of the Russian module *Zvezda* on the  
1080 ISS. With one PDM, it is designed to observe a  $44^\circ$  square FoV, corresponding  
1081 to a square of side  $\sim 300$  km on the Earth's surface. Orbiting above the  
1082 airglow layer, Mini-EUSO is capable of measuring the sum of direct and indirect  
1083 components of diffuse light. The ISS orbit that ranges within latitudes of  $\pm 51.6^\circ$   
1084 allows for the measurements at various positions over the Earth.

1085 It is expected to provide interesting data on UV-luminous phenomena in  
1086 the upper atmosphere [86]. For example, it will be possible to achieve more  
1087 detailed information on airglow emissions, in particular, variation over time and  
1088 position on the Earth, as well as the response to solar and geomagnetic activities.  
1089 Measurements with large observation area will provide an opportunity to  
1090 investigate different scale phenomena in airglow science such as the effect of  
1091 the atmospheric gravity wave [87].

## 1092 **7. Conclusions**

1093 The EUSO-Balloon mission was designed, constructed and flown operating  
1094 a  $\sim 1$  m<sup>2</sup> refractive Fresnel optics and a prototype PDM. Towards space-based  
1095 UHECR observations, it was the first pathfinder mission in the JEM-EUSO  
1096 program that took in-flight measurements in August 2014. After an 8 hour  
1097 stratospheric flight, the instrument was safely recovered, allowing post-flight  
1098 calibration in the laboratory.

1099 In this work, we analyze  $\sim 2.5$  hours of the instrument data, in conjunction  
1100 with the GPS data, post-flight PDM calibration and ray trace simulations. The  
1101 main results obtained are the normalized count rates as a function of the time  
1102 and their distribution on geographic coordinates over a  $\sim 780$  km<sup>2</sup> area. The  
1103 high count rates with rapid variations are shown to be due to the developed  
1104 area where such excesses are caused by the local artificial light sources. The  
1105 lowest count rates are found when flying over forested areas. In general, the

1106 image in the UV band is in good agreement with the distribution of the visible  
1107 light fluxes measured by the DMSP satellites. By displaying the obtained  
1108 image at higher resolution, more than a dozen hotspots are found and the  
1109 corresponding counterpart light sources are clearly identified to ground facilities  
1110 such as the airport, factories, and mines. In dark areas where EUSO-Balloon  
1111 was operating under clear atmosphere conditions,  $\sim 310 \text{ photons m}^{-2} \text{ sr}^{-1} \text{ ns}^{-1}$   
1112 in the 300–500 nm band is deduced to explain the measured data by the  
1113 simulations and assumed diffuse light spectra.

1114 In this work, we demonstrate the imaging capability of the EUSO-Balloon  
1115 telescope with wide-FoV large aperture refractive Fresnel optics. This gives  
1116 new and complementary information compared with the former balloon-borne  
1117 experiments that aimed at determining the absolute intensity of diffuse light.  
1118 Possible impacts of diffuse light and local light to UHECR observations are  
1119 discussed. The analysis methods developed can be applied to data to be  
1120 obtained by the other pathfinders and real space-based missions, not only for  
1121 the study of UV light as a background for UHECR observations but also to  
1122 give insights on airglow science. These missions are capable of measuring and  
1123 imaging a larger part of the night-Earth in the UV band.

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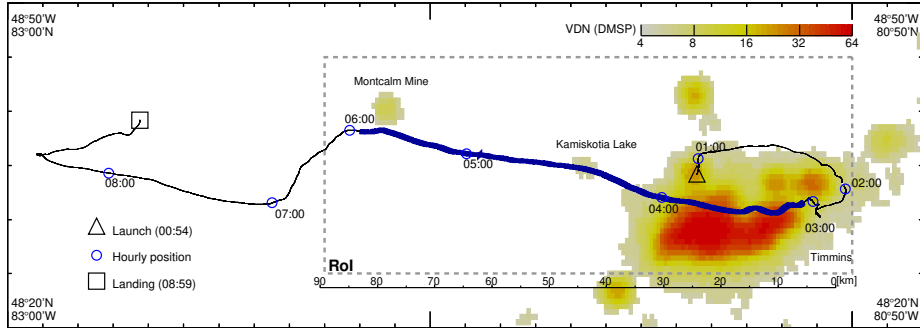


Figure 1: GPS ground track of the EUSO-Balloon optical axis shown by the solid curve. The VDN distribution is shown in color scale. A triangle and a square mark the launch and landing positions, respectively. The hourly positions are also marked by circles. The bold curve indicates the track during the ToI. The RoI is enclosed by the dashed lines.

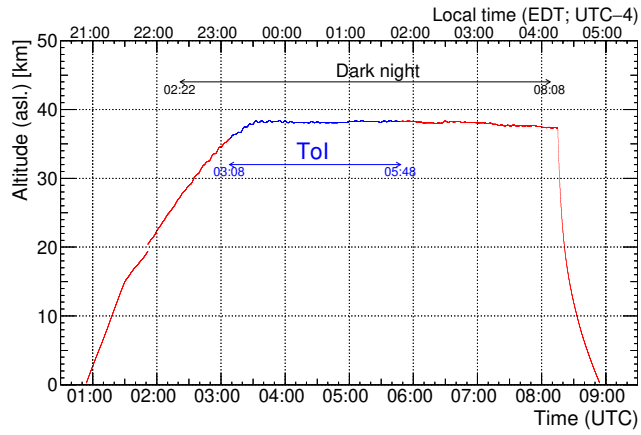


Figure 2: Altitude  $H_0$  of the EUSO-Balloon telescope above sea level as a function of the UTC time  $t$ . The local EDT time is shown on the top. The upper and lower arrows indicate the dark night period and the ToI, respectively.

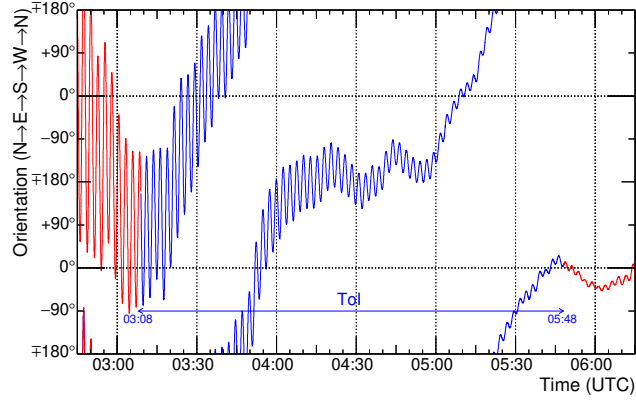


Figure 3: Orientation  $\Phi_0$  of the EUSO-Balloon telescope as a function of the time  $t$ . The arrow represents the ToI.

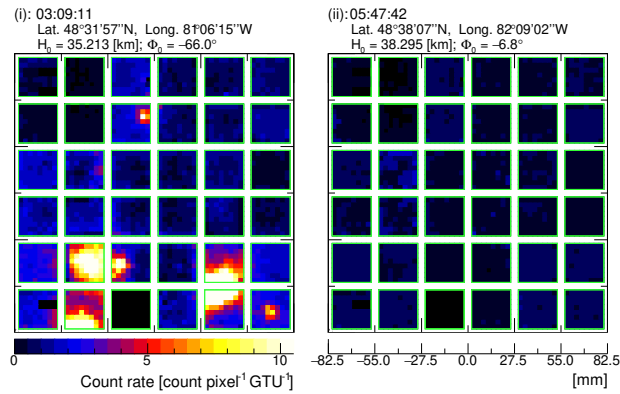


Figure 4: Examples of  $\langle n \rangle$  values of all the pixels on the PDM for the packets acquired at (i) 03:09:11 and (ii) 05:47:42 on the left and right panels, respectively. Malfunctioning pixels are blackened out. The ground position of the EUSO-Balloon optical axis and the orientation of the telescope at these times are given on the top. Seen from the optics side, images are mirrored. The dimension of the PDM is shown in the right panel.

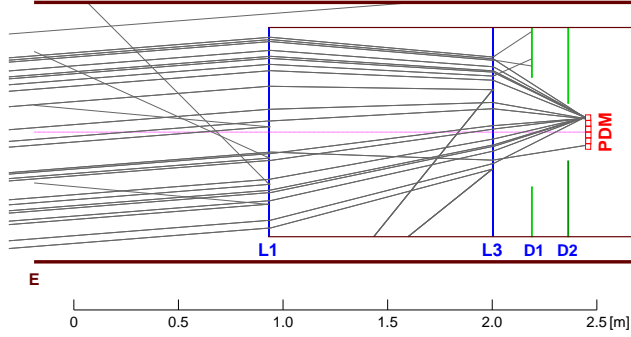


Figure 5: Selected examples of ray trace simulations for a point-like source at the incident off-axis direction  $\vartheta = 4.5^\circ$ . The configuration of the front (L1) and rear (L3) lenses, opening entrance (E), diaphragms (D1) and (D2) and the PDM is shown on the cross section of the EUSO-Balloon telescope. In these examples for  $\lambda = 365$  nm, the displacement  $d \approx 66$  mm from the PDM center is a nominal focal point.

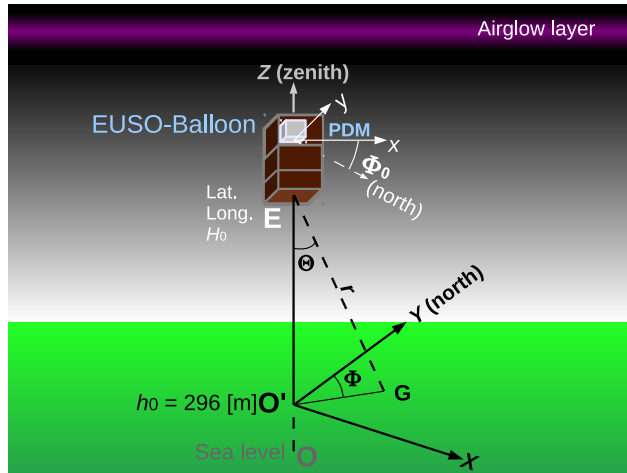


Figure 6: Geometry used in the analysis. The position of the EUSO-Balloon telescope is at Point E  $(0, 0, H_0)$ . In the direction of the nadir angle  $\Theta$  and azimuth  $\Phi$ , Point G  $(X, Y, h_0)$  is defined at the distance  $r$  from Point E. Point  $O'$  indicates the position of the optical axis on  $h_0 = 296$  m asl. The orientation  $\Phi_0$  of the telescope is defined as illustrated.

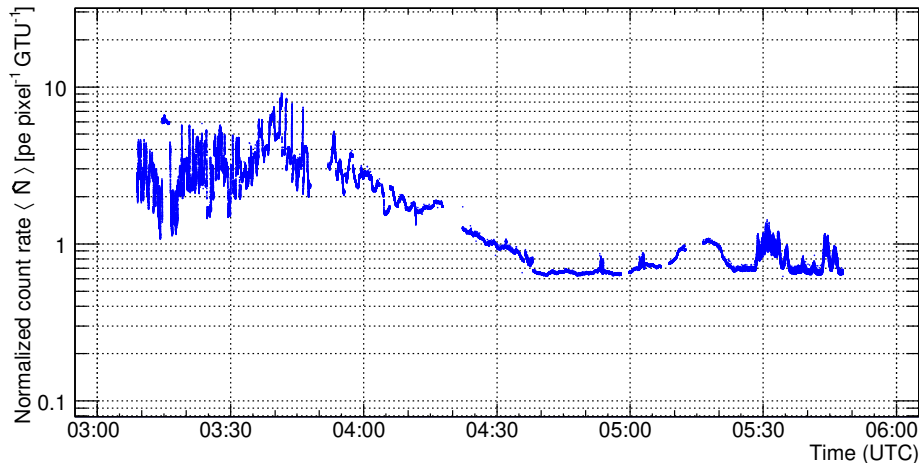


Figure 7: Average normalized count rates  $\langle \tilde{N} \rangle$  as a function of the packet time  $t_m$ . Data are partly eliminated due to a temporary hardware problem around 04:17 and due to a transient instability of the electronics around 04:58 and 05:07. Interruptions starting at 03:47 and 05:13 were due to a different operation mode for the system checks.

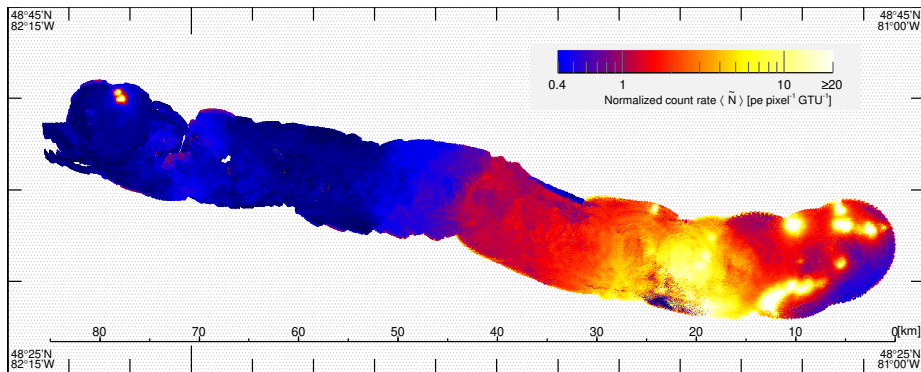


Figure 8: Normalized count rates  $\langle \tilde{N} \rangle$  projected onto geographic coordinates. The shaded areas represent the area for which there is no determined  $\langle \tilde{N} \rangle$  values. Coordinates on the corners are labeled together with ticks every 5' on both axes.



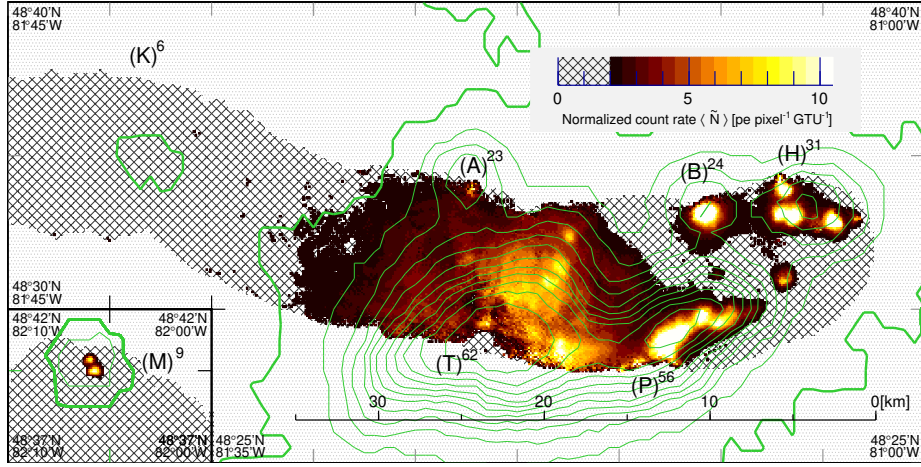


Figure 9: Extract from Fig. 8 shown with VDN contours overlaid, as in Fig. 1. The scales and resolutions have been modified. The hashed areas indicate the grid points with  $\langle \tilde{N} \rangle < 1.5$  pe pixel<sup>-1</sup> GTU<sup>-1</sup>. Bold contours are for VDN = 4 and thin ones are given at a step of 5. The local VDN maxima are labeled with their values in superscripts: Holye Mine (H), Bell Creek Mine (B), north shore of Porcupine Lake (P), Timmins downtown (T), airport (A) and shore of Kamiskotia Lake (K). The inset shows the Montcalm Mine (M) area in the western part of the RoI.

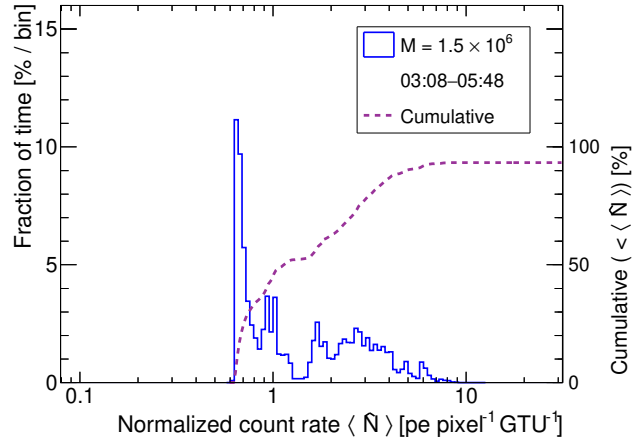


Figure 10: Temporal  $\langle \tilde{N} \rangle$  distribution in terms of the packets with respect to the total  $M = 1.5 \times 10^6$ . The unity of the distribution is normalized to the  $\sim 2.5$  hour time assigned for this work. The cumulative fraction below the given  $\langle \tilde{N} \rangle$  value is shown by the dashed curve to the scale on the right.

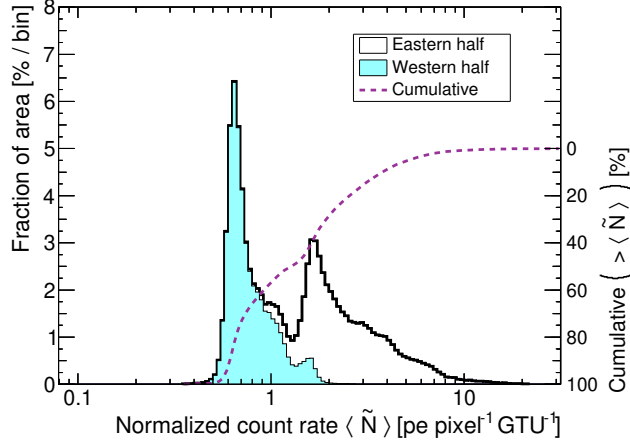


Figure 11: Areal  $\langle \tilde{N} \rangle$  distribution in terms of the grid points with respect to the total  $K = 3.8 \times 10^5$ . The contributions from eastern and western halves whose areas are even are displayed by the clear and filled parts of the histogram, respectively. The cumulative fraction above the given  $\langle \tilde{N} \rangle$  value is given by the dashed curve to the scale on the right.

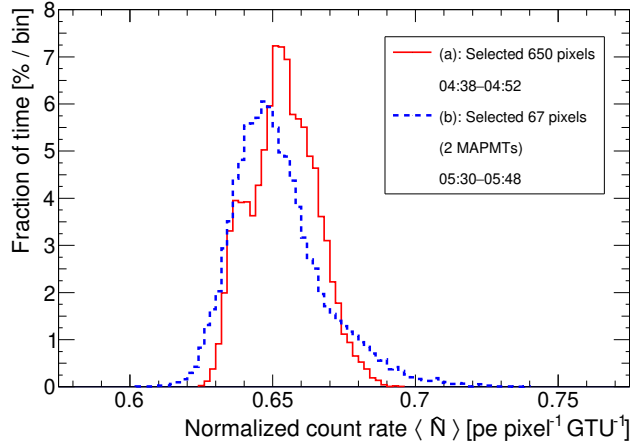


Figure 12:  $\langle \tilde{N} \rangle$  distributions for Case (a) of the active pixels between 04:38 and 04:52 and Case (b) of those from more strictly selected 67 pixels between 05:30 and 05:48 shown as the solid and dashed histograms, respectively. Each histogram is normalized to the total number of packets in use:  $2.3 \times 10^4$  for Case (a) and  $1.5 \times 10^4$  for Case (b).

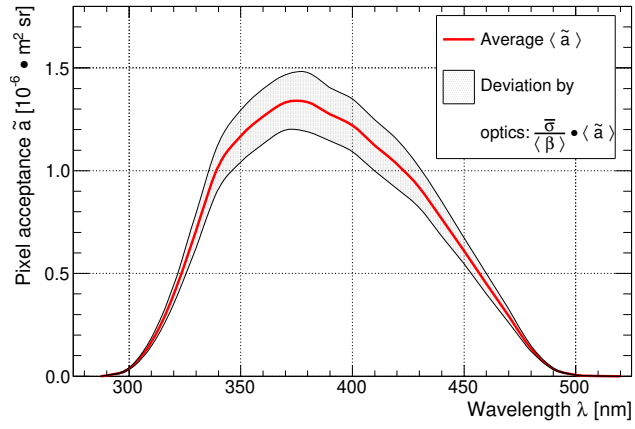


Figure 13: Average pixel acceptance  $\langle \tilde{a} \rangle$  over the selected 650 pixels to diffuse light as a function of the wavelength  $\lambda$ . The shaded interval indicates the SD component,  $(\bar{\sigma} / \langle \bar{\beta} \rangle) \cdot \langle \tilde{a} \rangle$  over these pixels due to the non-uniform optics response.