

AperTO - Archivio Istituzionale Open Access dell'Università di Torino

Clinical Implications of Potentially Inappropriate Prescribing According to STOPP/START Version 2 Criteria in Older Polymorbid Patients Discharged From Geriatric and Internal Medicine Wards: A Prospective Observational Multicenter Study

This is the author's manuscript

Original Citation:

Availability:

This version is available <http://hdl.handle.net/2318/1703920> since 2019-06-04T14:14:17Z

Published version:

DOI:10.1016/j.jamda.2019.03.023

Terms of use:

Open Access

Anyone can freely access the full text of works made available as "Open Access". Works made available under a Creative Commons license can be used according to the terms and conditions of said license. Use of all other works requires consent of the right holder (author or publisher) if not exempted from copyright protection by the applicable law.

(Article begins on next page)

1 **Clinical implications of potentially inappropriate prescribing according to**
2 **STOPP/START version 2 criteria in older polymorbid patients discharged from**
3 **geriatric and internal medicine wards: a prospective observational multicenter study.**

4

5 Enrico Brunetti MD^{a*}, Maria L. Aurucci MD^a, Edoardo Boietti MD^a, Maddalena Gibello
6 MD^a, Matteo Sappa MD^{a,b}, Yolanda Falcone MD^a, Giorgetta Cappa MD^b, Mario Bo MD,
7 PhD^a.

8 ^a SCDU Geriatria e Malattie Metaboliche dell'Osso, Azienda Ospedaliero-Universitaria Città
9 della Salute e della Scienza di Torino, Dipartimento di Scienze Mediche, Università degli
10 Studi di Torino, Torino, Italy

11 ^b Struttura Complessa Geriatria e Cure Intermedie, Azienda Sanitaria Ospedaliera Santa
12 Croce e Carle, Cuneo, Italy

13

14 **Corresponding Author:**

15 Brunetti Enrico MD

16 SCDU Geriatria e Malattie Metaboliche dell'Osso, Azienda Ospedaliero-Universitaria Città
17 della Salute e della Scienza di Torino, Dipartimento di Scienze Mediche, Università degli
18 Studi di Torino, Torino, Italy

19 Phone: +39-0116336660

20 Fax: +39-0116335263

21 Email: dott.brunettienrico@gmail.com

22 Postal address: SCDU Geriatria e Malattie Metaboliche dell'Osso, Città della Salute e della
23 Scienza – Molinette, Corso Bramante 88-90, 10126, Torino, Italy

24

25 **Running title:** STOPP/STARTv2 in hospital-discharged patients

26

27 **Keywords:**

28 STOPP START criteria, inappropriate prescribing, polypharmacy, potentially inappropriate
29 medications, potential prescribing omissions, older people.

30

31 **Funding sources**

32 This research did not receive any funding from agencies in the public, commercial, or not-
33 for-profit sectors.

34

35 **Word, reference and graphics count**

36 - Abstract: 258 words

37 - Main text: 2528 words

38 - Reference number: 35

39 - Tables/figures: 4

40

41 **Brief summary**

42 Among hospital-discharged older patients, besides comorbidities, unplanned rehospitalization
43 at 6 months is associated with potentially inappropriate medications in home-discharged
44 patients, with number of drugs in long term care facility-discharged patients.

45

46 **Abstract**

47 *Objectives:* To evaluate whether STOPP/START v2 potentially inappropriate medications
48 (PIMs) and potential prescribing omissions (PPOs) are associated with 6-month mortality and
49 unplanned hospitalization in hospital-discharged older patients.

50 *Design:* Multicenter prospective cohort observational study

51 *Setting and participants:* patients aged ≥ 65 years consecutively discharged from acute
52 geriatric and internal medicine wards of two university hospitals in North-western Italy

53 *Methods:* At discharge, a comprehensive geriatric assessment was performed in each patient,
54 prescribed medications were recorded, and PIMs and PPOs were determined according to
55 STOPP/START v2. Death and unplanned readmissions at 6 months were investigated through
56 telephone interviews; variables associated with outcomes were identified in the overall
57 sample and according to discharge setting (i.e. home vs medium/long-term care facility,
58 MLTCF) through a multivariate logistic regression model.

59 *Results:* Among 611 patients (mean age 81.6 years, 48.4% females, 34.2% MLTCF-
60 discharged, mean number of drugs 7.7 ± 3.2), with an inappropriate prescription (IP)
61 prevalence at discharge of 71.7% (PIMs 54.8%, PPOs 47.3%), mortality and unplanned
62 readmission rate were 25.0% and 30.9%. Neither PIMs nor PPOs were associated with
63 overall mortality. A higher number of PIMs was significantly associated with unplanned
64 readmission in the overall sample (OR 1.23, 95%CI 1.03-1.46), and in home-discharged
65 patients (OR 1.38, 95%CI 1.13-1.68). The number of drugs at discharge was associated with
66 readmissions in the overall sample (OR 1.11, 95%CI 1.05-1.18) and in MLTCF-discharged
67 patients (OR 1.27, 95%CI 1.13-1.42). PPOs were not significantly associated with clinical
68 outcomes.

69 *Conclusions and implications:* In hospital-discharged polymorbid older patients, 6-month
70 unplanned readmissions were associated with number of PIMs in home-discharged patients

Commento [EB1]: Forse sia per questione di spazio che di rilevanza, mettere l'associazione dicotomica dei PPO all'univariata, come richiesto dal reviewer 1 sarebbe inappropriato?

Commento [MB2]: Non ho trovato questa richiesta da parte di R1

71 and with number of drugs in MLTCF-discharged patients. This reaffirms the importance of
72 performing a systematic and careful review of medication appropriateness in hospital-
73 discharged older patients.

74

75 INTRODUCTION

76 The growing burden of polypathology is inherently associated with the prescription of
77 complex polytherapies. Polypharmacy and inappropriate prescribing (IP) are well-known risk
78 factors for adverse drug events (ADEs), frequently leading to unfavorable outcomes in older
79 people ¹⁻⁴. Balancing the needs to effectively treat multiple diseases and to avoid iatrogenic
80 harm is complex in clinical practice ^{5,6}. Indeed, under-prescription is tightly linked with over-
81 prescription, since polypharmacy may lead the prescriber to omit potentially beneficial
82 medications ⁷, leading to increased morbidity and mortality ⁸.

83 Different tools have been developed to identify IP and optimize pharmacotherapy in
84 older patients. Despite being the first published and most widely used, the Beers criteria ⁹
85 have restricted applicability in Europe and overlook important aspects of IP, such as
86 duplicated prescriptions and potential prescribing omissions (PPOs) ¹⁰. Moreover, potentially
87 inappropriate medications (PIMs) at discharge according to Beers criteria were not associated
88 with short-time re-hospitalization or death ^{11,12}. To overcome these limitations, a panel of
89 European experts developed the Screening Tool of Older People's Prescriptions and
90 Screening Tool to Alert to Right Treatment (STOPP/START) criteria in 2008 ¹³, which have
91 been recently updated (STOPP/START v2) ¹⁴. They include a list of conditions in which
92 specific drugs may represent a PIM (STOPP criteria) or a PPO (START criteria).

93 While IP according to STOPP/START criteria can be regarded as a process measure of
94 medication safety in older patients, it is important to establish its association with adverse
95 outcomes in different clinical settings. Only a few studies have investigated this association
96 so far, mainly retrospectively and focusing on PIMs, with inconsistent results. Indeed, PIMs
97 according to STOPP criteria have been associated with ADEs ¹⁵⁻¹⁷ and functional decline ¹⁸.
98 STOPP/START criteria application during hospitalization reduces ADEs ¹⁹, and the
99 implementation of an educational program on IP for physicians working in nursing homes,

100 mainly focused on STOPP/START criteria, reduced the incidence of delirium and falls in a
101 cluster-randomized multicenter trial [Garcia-Gollarte et al JAMDA 2014]. However,
102 evidence on the impact of IP on hospitalization and mortality is scant and highly
103 heterogeneous. PIMs identified according to STOPP criteria have been associated with higher
104 morbidity, reduced quality of life and increased emergency department visits and hospital
105 admissions²⁰⁻²⁵. The impact of PPOs has been far less studied; single studies have reported
106 an association with emergency department visits²¹ and 4-year mortality²⁵.

107 Since there is persistent uncertainty about clinical implications of IP in older medical
108 in-patients, we designed a two-phase prospective observational study to evaluate the
109 prevalence and potential clinical implications (death and unplanned readmissions) of IP
110 according to STOPP/START v2 among hospital-discharged older patients. We have
111 previously reported the results of the cross-sectional analysis²⁶, demonstrating a high
112 prevalence of both PIMs and PPOs in this population; among other variables, a higher
113 number of drugs at discharge was strongly associated with both PIMs and PPOs, whereas
114 geriatric discharge was protective for both. In this paper, we report the results of the
115 longitudinal part of the study, aimed to investigate the association of IP (including PIMs and
116 PPOs) with overall mortality and unplanned hospital readmission among hospital-discharged
117 older patients.

118 **METHODS**

119 The present study was carried out according to the Recommendations Guiding
120 Physicians in Biomedical Research Involving Human Subjects, approved by the local ethics
121 committee, and reported conforming to the Strengthening The Reporting of Observational
122 Studies in Epidemiology statements²⁷. Signed informed consent was obtained by patients, or
123 by proxies or caregivers for patients unable to express consent.

124 **Study design, setting and participants**

125 A complete description of the study has been previously published ²⁶. Briefly, patients
126 aged ≥ 65 years consecutively discharged between March and June 2017 from three internal
127 medicine and two geriatric wards of two teaching hospitals in North-western Italy were
128 prospectively enrolled. Exclusion criteria were: in-hospital death, lack of informed consent,
129 incomplete data, and previous enrolment. Demographic and clinical variables (age, sex,
130 comorbidities, body weight and serum creatinine at enrolment to estimate glomerular
131 filtration rate according to the Cockcroft–Gault formula), main diagnosis at discharge,
132 discharge setting (home vs medium/long-term care facility, MLTCF), and number of
133 prescribed medications were recorded. Polypharmacy was defined as ≥ 5 drugs, and excessive
134 polypharmacy as > 10 drugs ²⁸. Comorbidities were grouped according to Cumulative Illness
135 Rating Scale (CIRS) classes; the CIRS-Severity Index (CIRS-SI, the mean score of the first
136 13 items), and the CIRS-Comorbidity Index (CIRS-CI, the number of items with a score ≥ 3)
137 were calculated ³³. As outcomes might be affected by disease severity, to better evaluate the
138 impact of exposure as a result of drug–disease or drug–syndrome interaction, only CIRS
139 classes with a score ≥ 3 were considered in the analysis. A Comprehensive Geriatric
140 Assessment (CGA) was performed in each patient, including evaluation of functional
141 dependence (Katz Index of activities of daily living – ADL ²⁹, and instrumental ADL – IADL
142 ³⁰), cognitive function (Short Portable Mental Status Questionnaire – SPMSQ ³¹) and frailty
143 (CSHA scale ³²). Patients were considered dependent in ADL with ≥ 3 lost functions, and
144 partially or completely not autonomous in IADL with scores ≤ 9 . Moderate to severe
145 cognitive impairment was identified by SPMSQ scores ≥ 5 . A CSHA score ≥ 5 identified frail
146 patients, while a score of 9 identified terminally-ill patients, who were excluded from the
147 analysis. In order to capture real-world clinical practice, physicians working in the hospital
148 units involved were not aware about the study.

149 **Exposure: potentially inappropriate medications and potential prescribing**
150 **omissions**

151 STOPP/START v2 consist of 80 STOPP criteria and 34 START criteria, organized
152 according to physiological system ¹⁴. PIMs at discharge were identified by applying the full
153 list of STOPP v2 criteria. STOPP A category includes two implicit rules: “drugs prescribed
154 without a clinical indication” and “drugs prescribed beyond the recommended duration”.
155 Their application was evaluated case-by-case by two investigators, and implicated
156 medications were recorded. PPOs were detected by applying START v2 criteria. Due to the
157 setting of the study, potential indications to acetylcholinesterase inhibitors (START C3), eye
158 drops for open angle glaucoma (START C4) and vaccines (START I) were not assessed.
159 Furthermore, any laxative was considered as fiber supplement (START D2).

160 **Outcome variables**

161 The outcome variables were all-cause mortality and **unplanned** hospital readmission.
162 Follow up at 6 months ± 6 weeks was carried out between September and December 2017
163 through telephone interviews with patients or usual caregivers. Missing information was
164 obtained from the hospital’s discharge database and register office. Whenever a telephone
165 interview was not possible, or the patient withdrew his consent, the patient was considered as
166 lost at follow-up.

167 **Statistical analysis**

168 Absolute and relative frequencies of dichotomous and categorical variables, and either mean
169 and standard deviation (SD) or median and interquartile range (IQR) of continuous variables
170 were calculated, as appropriate. The univariate association between outcomes and clinical
171 characteristics, and differences among different groups of patients were evaluated using the
172 Chi-square test for dichotomous and categorical variables, and ANOVA or Mann-Whitney
173 test for normally distributed and not normally distributed continuous variables, respectively.

174 To identify variables independently associated with outcomes, a multivariate logistic
175 regression analysis (stepwise method) was carried out, where PIMs and PPOs (considered as
176 continuous variables), and all significant variables from univariate analysis were entered as
177 independent variables, while death and unplanned readmission were the dependent variables.
178 Potential interactions between presence of renal disease or moderate-severe cognitive
179 impairment and PIMs, PPOs and/or number of drugs at discharge were investigated in
180 multivariate models where the latter resulted significantly associated with outcomes.
181 Adjusted odds ratios (OR) and their 95% confidence intervals (CI) were calculated. Statistical
182 significance was set at P value $< .05$. Statistical analysis was carried out using MedCalc
183 Statistical Software 9.3.7.0 (MedCalc Software BVBA, Ostend, Belgium).

Commento [EB3]: Questa parte di studio abbiamo dovuto farla non con MedCalc ma con Epiinfo, perché l'altro programma non permette di valutarla. Gli OR variano leggermente, quindi non so, ometto di aver usato un software differente?

Commento [MB4]: Io non lo metterei nei metodi, come predefinito, ma solo in discussione direi che, visti i risultati, abbiamo testato le interazioni

184 RESULTS

185 Sample characteristics

186 Of 1.119 patients discharged from the five participating wards during recruitment period,
187 910 were aged ≥ 65 years and discharged alive; 34 (3.7%) of them refused to give informed
188 consent. After exclusion of patients for whom complete data were not available (mainly body
189 weight and SPMSQ), the baseline sample included 726 patients; its demographic and clinical
190 characteristics, prevalence of and variables associated with IP have been previously reported
191 ²⁶. Of patients enrolled at baseline, 49 (6.7%) were terminally-ill and 66 (9.1%) were lost at
192 follow-up (Figure 1), leaving a sample of 611 patients for analysis. There were no significant
193 differences in number and prevalence of PIMs and PPOs between patients lost at follow-up
194 and the study sample (Supplementary Table S1). Table 1 shows the characteristics of study
195 patients (mean age 81.6 years, 48.4% females), who had a high prevalence of functional
196 dependence (71.4% in IADL and 48.7% in ADL), frailty (69.4%), and comorbidities (mean
197 CIRS comorbidity index 4.7 ± 1.6 , chronic renal disease in 38.5%, moderate-severe cognitive
198 impairment in 28.5%); 65.8% of patients were discharged at home and 34.2% in MLTCF.

199 These latter were significantly older, more frequently frail and functionally dependent, and
200 showed a higher comorbidity burden, with higher prevalences of moderate-severe cognitive
201 impairment (46.4% vs 19.2%, p <0.001) and other neurological comorbidities (e.g., history of
202 stroke, seizures, intracranial masses, 29.2% vs 17.2%, p < 0.001, and psychiatric and
203 behavioral diseases, including dementia, 49.8% vs 28.9%, p < 0.001). At discharge, 4,683
204 prescribed medications were recorded, with a mean of 7.7±3.2 prescribed medications per
205 patient. Overall, 1,036 IPs were recorded, with PIMs being more frequent than PPOs (580 vs
206 456, respectively). At least one IP was observed in 71.7% of patients; 54.8% and 47.3% of
207 patients had at least one PIM and PPO, respectively, with multiple IP being frequent (Figure
208 2). A full list of recorded PIMs and PPOs and their prevalence is reported as Supplementary
209 Table S2. Patients discharged with at least one IP showed a higher comorbidity burden, IADL
210 dependence and number of drugs at discharge, compared with patients without IP
211 (Supplementary Table S3).

212 **Variables associated with overall mortality and readmission at follow-up**

213 During a mean follow-up period of 6.3±1.0 months, 153 patients (25.0%) died and 189
214 (30.9%) experienced at least one unplanned hospital readmission; compared with home-
215 discharged patients, MLTCF-discharged patients showed higher mortality (32.1% vs 21.4%)
216 and a lower readmission rate (24.4% vs 34.3%). All-cause mortality did not differ
217 significantly among patients with and without PIMs (26% vs 23.9%) and with and without
218 PPOs (27.3% vs 23.0%). Among patients with at least one PIM, readmission rate was 35.5%,
219 compared with a 25.4% in those without PIMs (*P* value .009); unplanned re-hospitalizations
220 were also significantly higher in patients with at least one PPO than in patients without
221 (36.7% vs 25.8%, *P* value .005). Similar findings were observed when PIMs and PPOs were
222 considered as continuous variables.

223 Several variables were significantly associated with study outcomes at univariate analysis and

224 were included in the multivariate model. Table 2 shows variables independently associated
225 with mortality and unplanned hospital readmission in the overall sample and according to
226 discharge setting. In the overall sample and in each discharge setting, PIMs and PPOs
227 (considered as continuous variables) were not associated with mortality, that was significantly
228 associated with ADL dependence, cognitive impairment, renal and hepatic comorbidity in the
229 overall sample. A higher number of PIMs was independently associated with unplanned
230 hospital readmission (OR 1.23, 95%CI 1.03-1.46), along with a higher number of drugs at
231 discharge (OR 1.11, 95%CI 1.05-1.18) and renal disease, while MLTCF discharge was
232 protective (OR 0.64, 95%CI 0.43-0.95). A higher number of PIMs was independently
233 associated with unplanned readmissions in home-discharged patients (OR 1.38, 95%CI 1.13-
234 1.68), along with renal and cardiac comorbidities. In MLTCF-discharged patients unplanned
235 readmissions were associated with a higher number of drugs at discharge (OR 1.27 95%CI
236 1.13-1.42) and the presence of neurological comorbidity (not including dementia). PPOs were
237 not found to be independently associated with either outcome in the overall sample and in
238 any subgroup analysis. No significant interaction between specific comorbidities, moderate-
239 severe cognitive impairment, functional dependence and significant exposures was found.

Commento [EB5]: Il reviewer 2 commenta "In each analysis, all variables included in the models and their results should be provided in the table - not only those showing significant p:s!", questo non è possibile per il tipo di modello che abbiamo usato, se vuole possiamo mettere l'univariata come supplementary material, ma sinceramente lo eviterei perché poco informativo, possiamo aggiungere la frase prima in rosso.

240 **DISCUSSION**

241 In this prospective study we have evaluated the association of PIMs and PPOs
242 identified according to STOPP/START v2 in a sample of hospital-discharged older patients,
243 with a high prevalence of IP, poor general health and functional status, and high post-
244 discharge overall mortality and readmission rate (25.0% and 30.9%, respectively). The main
245 findings of this study were: 1) PIMs, PPOs and number of drugs at discharge were not
246 significantly associated with all-cause mortality in the overall study sample and in each
247 discharge setting; 2) a higher number of PIMs was significantly associated with unplanned
248 hospital readmission in the overall study sample (OR 1.23, 95%CI 1.03-1.46) and in home-

249 discharged patients (OR 1.38, 95%CI 1.13-1.68); 3) a higher number of drugs at discharge
250 was associated with unplanned hospital readmission in the overall study sample (OR 1.11,
251 95%CI 1.05-1.18) and in MLTCF-discharged patients (OR 1.27, 95%CI 1.13-1.42).

252 Few studies have investigated the impact of IP according to STOPP/START criteria,
253 mainly retrospectively and focusing on ADEs and related healthcare use ²⁰⁻²⁵. In a Belgian
254 prospective study on 503 community-dwellers, PPOs according to START v2 were
255 consistently associated with mortality and hospitalization at 18 months ³⁴. In a recent study,
256 Fabbietti *et al* have shown that, among inpatients, polypharmacy (i.e. >8 medications), but
257 not PIMs according to both Beers 2015 and STOPP v2, was associated with re-hospitalization
258 at 3 months ²³. In a retrospective study investigating the impact of STOPP/START v2 in an
259 inpatient setting, Counter *et al* have demonstrated that the presence of at least one PIM was
260 associated with repeated readmissions (OR 2.43, 95%CI 1.19-4.98), while the presence of at
261 least one PPO was associated with mortality (OR 1.88, 95%CI 1.20-5.28) ²⁵. In a prospective
262 study on 1,753 community-dwellers, the prevalence of PIMs and PPOs were 57% and 41.8%,
263 respectively, and higher rates of emergency department visits were observed in those with at
264 least one PIM, or with two or more PPOs ²¹.

265 At odds with some previous studies ^{25,34}, PIMs and PPOs were not significantly
266 associated with all-cause mortality in both discharge settings. However, the study of Wauters
267 *et al.* included community-dwelling subjects with better health and functional status
268 compared with the patients in our study ³⁴, whereas Counter *et al* retrospectively evaluated
269 259 hospital-discharged patients with scant clinical data and no CGA ²⁵. In a population of
270 older hospital-discharged patients with high short-term mortality, it is very likely that the
271 burden of comorbidities and poor health status may reduce the impact of inappropriate
272 prescribing on hard outcomes such as mortality and unplanned readmissions. Indeed our
273 findings showed that the most significant predictors of mortality in this population are

274 cognitive impairment and functional dependence, as well as renal and hepatic comorbidities.
275 In keeping with previous studies ^{21,25,34}, we observed that PIMs were associated with
276 increased hospital readmissions in home-discharged patients. Moreover, when considering
277 the low, although significant, association between PIMs at discharge and unplanned hospital
278 readmission, it should be kept in mind that PIMs were included as continuous variables,
279 highlighting their potentially additional detrimental effect. This association appears to be
280 consistent also when age-specific prognostic indicators, such as those included in a CGA, and
281 polypharmacy, are considered. Therefore, although polypharmacy is strongly associated with
282 IP, it seems not necessarily detrimental *per se*, at least in these patients, unless it includes
283 PIMs. The lack of an association between PIMs and re-hospitalization among MLTCF-
284 discharged patients has several potential explanations, including the daily management of
285 these patients by healthcare professionals, potentially allowing timely therapy adjustments
286 and local management of ADEs. On the other hand, we observed that the number of drugs
287 prescribed at discharge, along with concomitant severe neurologic disease, was associated
288 with increased readmissions in MLTCF-discharged patients. Potential interactions between
289 the presence of renal, cardiac, hepatic disease, moderate-severe cognitive impairment or
290 functional dependence and PIMs, PPOs and/or number of drugs at discharge were
291 specifically investigated but no significant interaction was observed.

292 Eventually, PPOs were not significantly associated with mortality and unplanned re-
293 hospitalization in the overall sample and in each discharge setting. PPOs should be regarded
294 as a warning against the risk of omitting disease-specific therapies of proven efficacy in older
295 patients. However, in a population of older patients, with severe polymorbidity, poor health
296 status and high prevalence of functional dependence and cognitive impairment, and high
297 short term mortality, it is rather unlikely that omission of disease-specific drugs may have
298 clinical implications in patients with high competing risk of mortality.

299 The multicenter design of the study, the number of patients enrolled, and the
300 prospective collection of a full set of clinical variables represent in our view the main
301 strengths of our study, since they permitted the application of the entire list of STOPP v2
302 criteria, excluding only a marginal 2.6% of STOPP/START v2 criteria. Moreover, the
303 systematic evaluation of specific geriatric domains, including frailty, functional and cognitive
304 statuses through CGA bestows clinical robustness to our findings, by reducing the potential
305 bias associated with the complex interplay between polypharmacy, comorbidities and health
306 and functional status. To our knowledge, this methodological approach was not used in most
307 previous studies. Still, some limitations of our study must be addressed, besides those
308 specifically pertaining to the application of partially implicit STOPP v2 criteria and the
309 possible underreporting of significant clinical conditions leading to mislabeling prescriptions
310 as PIMs²⁶. First, we could not verify the therapeutic compliance of patients or the subsequent
311 changes made to drug prescription both in the community setting and during subsequent
312 hospitalizations. However, the short follow-up period makes it unlikely that therapeutic
313 changes could have biased our results. Moreover, study outcomes were patient- or caregiver-
314 reported and we could not reliably ascertain the cause of death or readmission for many
315 patients; interviews were standardized and detailed to minimize recall bias. Hence, despite
316 statistically significant associations, we were not able to define whether re-hospitalizations
317 were directly due to IP. Therefore, whether PIMs or number of drugs are causally involved in
318 determining hospital readmissions or act as a surrogate marker of the lack of a careful older
319 patient-centered discharge plan remains a matter of discussion.

320 **CONCLUSIONS AND IMPLICATIONS**

321 This study adds to the body of evidence demonstrating that IP and polypharmacy are
322 frequently observed in hospital-discharged patients and may portend a potentially increased
323 risk of unplanned hospital readmission also in older medical-discharged polymorbid patients.

Commento [EB6]: Reviewer 2:
Please provide study questions and
hypotheses in the last paragraph.

Commento [EB7]:

324 Further and larger studies are needed to determine the impact of single PIMs or PPOs on
325 clinical outcomes, while intervention studies will hopefully confirm the clinical benefit of
326 addressing IP.

327 However, our findings suggest once more the importance of performing a systematic
328 and careful review of medication appropriateness in older in-patients in different clinical
329 settings, the so-called and long praised “geriatrician’s salute”³⁵.

330 **Disclosure statement**

331 All authors declare no potential conflict of interest.

332 **REFERENCES**

- 333 1. Hamilton HJ, Gallagher PF, O’Mahony D. Inappropriate prescribing and adverse drug
334 events in older people. *BMC Geriatr.* 2009;9:5.
- 335 2. Hafner JW, Belknap SM, Squillante MD, Bucheit KA. Adverse drug events in
336 emergency department patients. *Ann Emerg Med.* 2002;39:258-267.
- 337 3. Budnitz DS, Lovegrove MC, Shehab N, Richards CL. Emergency hospitalizations for
338 adverse drug events in older Americans. *N Engl J Med.* 2011;365:2002-2012.
- 339 4. Sona A, Maggiani G, Astengo M, et al. Determinants of recourse to hospital treatment
340 in the elderly. *Eur J Public Health.* 2012;22:76-80.
- 341 5. Mallet L, Spinewine A, Huang A. The challenge of managing drug interactions in
342 elderly people. *Lancet.* 2007;370:185-191.
- 343 6. Steinman MA. Polypharmacy and the balance of medication benefits and risks. *Am J*
344 *Geriatr Pharmacother.* 2007;5:314-316.
- 345 7. Kuijpers MAJ, van Marum RJ, Egberts ACG, Jansen PAF, OLDY (OLd people Drugs
346 & dYsregulations) Study Group. Relationship between polypharmacy and
347 underprescribing. *Br J Clin Pharmacol.* 2008;65:130-133.

- 348 8. Cherubini A, Corsonello A, Lattanzio F. Underprescription of beneficial medicines in
349 older people: causes, consequences and prevention. *Drugs Aging*. 2012;29:463-475.
- 350 9. The American Geriatrics Society 2015 Beers Criteria Update Expert Panel. American
351 Geriatrics Society 2015 Updated Beers Criteria for Potentially Inappropriate Medication
352 Use in Older Adults. *J Am Geriatr Soc*. 2015;63:2227-2246.
- 353 10. Spinewine A, Schmader KE, Barber N, et al. Appropriate prescribing in elderly people:
354 how well can it be measured and optimised? *Lancet*. 2007;370:173-184.
- 355 11. Bo M, Quaranta V, Fonte G, Falcone Y, Carignano G, Cappa G. Prevalence, predictors
356 and clinical impact of potentially inappropriate prescriptions in hospital-discharged
357 older patients: A prospective study. *Geriatr Gerontol Int*. 2018;18:561-568.
- 358 12. Pasina L, Djade CD, Tettamanti M, et al. Prevalence of potentially inappropriate
359 medications and risk of adverse clinical outcome in a cohort of hospitalized elderly
360 patients: results from the REPOSI Study. *J Clin Pharm Ther*. 2014;39:511-515.
- 361 13. Gallagher P, Ryan C, Byrne S, Kennedy J, O'Mahony D. STOPP (Screening Tool of
362 Older Person's Prescriptions) and START (Screening Tool to Alert doctors to Right
363 Treatment). Consensus validation. *Int J Clin Pharmacol Ther*. 2008;46:72-83.
- 364 14. O'Mahony D, O'Sullivan D, Byrne S, O'Connor MN, Ryan C, Gallagher P.
365 STOPP/START criteria for potentially inappropriate prescribing in older people:
366 version 2. *Age Ageing*. 2015;44:213-218.
- 367 15. Hamilton H, Gallagher P, Ryan C, Byrne S, O'Mahony D. Potentially inappropriate
368 medications defined by STOPP criteria and the risk of adverse drug events in older
369 hospitalized patients. *Arch Intern Med*. 2011;171:1013-1019.
- 370 16. Onder G, Landi F, Liperoti R, Fialova D, Gambassi G, Bernabei R. Impact of
371 inappropriate drug use among hospitalized older adults. *Eur J Clin Pharmacol*.
372 2005;61:453-459.

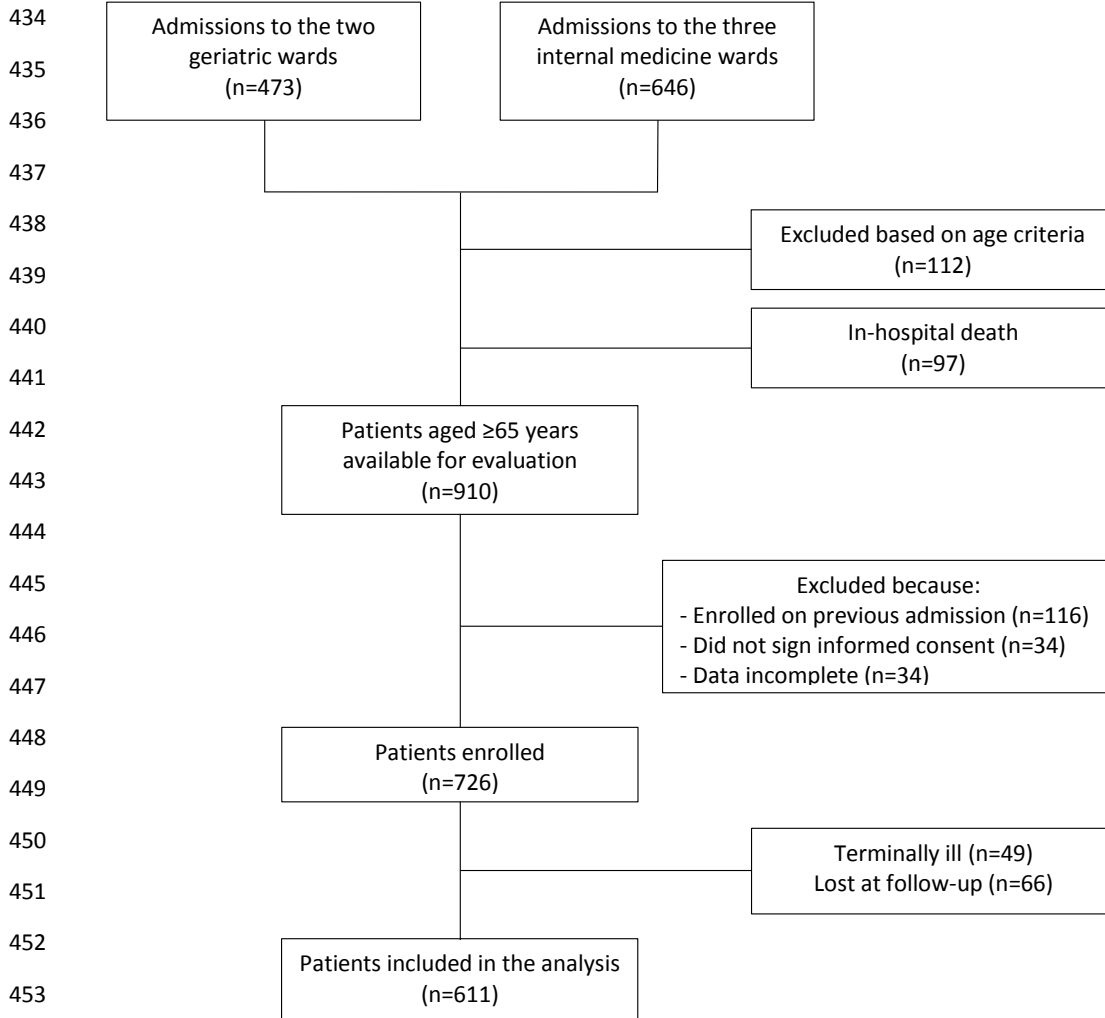
- 373 17. Laroche M-L, Charmes J-P, Nouaille Y, Picard N, Merle L. Is inappropriate medication
374 use a major cause of adverse drug reactions in the elderly? *Br J Clin Pharmacol.*
375 2007;63:177-186.
- 376 18. Tosato M, Landi F, Martone AM, et al. Potentially inappropriate drug use among
377 hospitalised older adults: results from the CRIME study. *Age Ageing.* 2014;43:767-773.
- 378 19. O'Connor MN, O'Sullivan D, Gallagher PF, Eustace J, Byrne S, O'Mahony D.
379 Prevention of Hospital-Acquired Adverse Drug Reactions in Older People Using
380 Screening Tool of Older Persons' Prescriptions and Screening Tool to Alert to Right
381 Treatment Criteria: A Cluster Randomized Controlled Trial. *J Am Geriatr Soc.*
382 2016;64:1558-1566.
- 383 20. Pérez T, Moriarty F, Wallace E, McDowell R, Redmond P, Fahey T. Prevalence of
384 potentially inappropriate prescribing in older people in primary care and its association
385 with hospital admission: longitudinal study. *BMJ.* 2018;363:k4524.
- 386 21. Moriarty F, Bennett K, Cahir C, Kenny RA, Fahey T. Potentially inappropriate
387 prescribing according to STOPP and START and adverse outcomes in community-
388 dwelling older people: a prospective cohort study. *Br J Clin Pharmacol.* 2016;82:849-
389 857.
- 390 22. Wallace E, McDowell R, Bennett K, Fahey T, Smith SM. Impact of Potentially
391 Inappropriate Prescribing on Adverse Drug Events, Health Related Quality of Life and
392 Emergency Hospital Attendance in Older People Attending General Practice: A
393 Prospective Cohort Study. *J Gerontol A Biol Sci Med Sci.* 2017;72:271-277.
- 394 23. Fabbietti P, Di Stefano G, Moresi R, et al. Impact of potentially inappropriate
395 medications and polypharmacy on 3-month readmission among older patients
396 discharged from acute care hospital: a prospective study. *Aging Clin Exp Res.*
397 2018;30:977-984.

- 398 24. van der Stelt C a. K, Vermeulen Windsant-van den Tweel AMA, Egberts ACG, et al.
399 The Association Between Potentially Inappropriate Prescribing and Medication-Related
400 Hospital Admissions in Older Patients: A Nested Case Control Study. *Drug Saf.*
401 2016;39:79-87.
- 402 25. Counter D, Millar JWT, McLay JS. Hospital readmissions, mortality and potentially
403 inappropriate prescribing: a retrospective study of older adults discharged from hospital.
404 *Br J Clin Pharmacol.* 2018;84:1757-1763.
- 405 26. Bo M, Gibello M, Brunetti E, et al. Prevalence and predictors of inappropriate
406 prescribing according to the Screening Tool of Older People's Prescriptions and
407 Screening Tool to Alert to Right Treatment version2 criteria in older patients
408 discharged from geriatric and internal medicine wards: A prospective observational
409 multicenter study. *Geriatr Gerontol Int.* 2019;19:5-11.
- 410 27. von Elm E, Altman DG, Egger M, et al. The Strengthening the Reporting of
411 Observational Studies in Epidemiology (STROBE) statement: guidelines for reporting
412 observational studies. *J Clin Epidemiol.* 2008;61:344-349.
- 413 28. Gnjidic D, Hilmer SN, Blyth FM, et al. Polypharmacy cutoff and outcomes: five or
414 more medicines were used to identify community-dwelling older men at risk of different
415 adverse outcomes. *J Clin Epidemiol.* 2012;65:989-995.
- 416 29. Katz S, Ford AB, Moskowitz RW, Jackson BA, Jaffe MW. Studies of illness in the
417 aged. The index of ADL: a standardized measure of biological and psychosocial
418 function. *JAMA.* 1963;185:914-919.
- 419 30. Lawton MP, Brody EM. Assessment of older people: self-maintaining and instrumental
420 activities of daily living. *The Gerontologist.* 1969;9:179-186.
- 421 31. Pfeiffer E. A short portable mental status questionnaire for the assessment of organic
422 brain deficit in elderly patients. *J Am Geriatr Soc.* 1975;23:433-441.

- 423 32. Rockwood K, Song X, MacKnight C, et al. A global clinical measure of fitness and
424 frailty in elderly people. *Can Med Assoc J.* 2005;173:489-495.
- 425 33. Linn BS, Linn MW, Gurel L. Cumulative illness rating scale. *J Am Geriatr Soc.*
426 1968;16:622-626.
- 427 34. Wauters M, Elseviers M, Vaes B, et al. Too many, too few, or too unsafe? Impact of
428 inappropriate prescribing on mortality, and hospitalization in a cohort of community-
429 dwelling oldest old. *Br J Clin Pharmacol.* 2016;82:1382-1392.
- 430 35. Hilmer SN, Gnjdic D. Deprescribing: the emerging evidence for and the practice of the
431 “geriatrician’s salute.” *Age Ageing.* 2018;47:638-640.

432

433 **Figure 1**



455 Study flowchart of patient enrolment and follow-up.

456

457 **Table 1**

458 Main clinical and demographic characteristics of the overall study sample, and stratified

459 according to home or medium/long-term care facility discharge.

	Overall sample (n=611)	Home discharge (n=402)	MLTCF discharge (n=209)	<i>p</i> -value
Age (years), mean \pm SD	81.6 \pm 7.0	81.0 \pm 7.3	82.6 \pm 6.6	<u>.008</u>
Female sex, n (%)	296 (48.4)	180 (44.8)	116 (55.5)	<u>.02</u>
Geriatric discharge, n (%)	296 (48.4)	173 (43.0)	123 (58.9)	<u>< .001</u>
Serum creatinine (mg/dl), median (IQR)	0.95 (0.75-1.3)	1.0 (0.79-1.3)	0.86 (0.70-1.2)	<u>< .001</u>
Body weight (kg), median (IQR)	66 (60-74)	68 (60-75)	65 (58-72)	<u>.006</u>
Body weight (kg) for males, median (IQR)	70 (64-76)	70 (65-78)	67 (60-75)	<u>.002</u>
Body weight (kg) for females, median (IQR)	61 (55-70)	62 (55-70)	61 (54-70)	.93
eGFR (ml/min), median (IQR)	50.9 (38.2-65.8)	50.2 (36.5-64.7)	52.2 (40.6-67.5)	.14
Provenience				
Home, n (%)	554 (90.7)	399 (99.3)	155 (74.2)	<u>< .001</u>
MLTCF, n (%)	57 (9.3)	3 (0.75)	54 (25.8)	
Comprehensive geriatric assessment				
ADL dependent, n (%)	287 (47)	159 (39.6)	128 (61.2)	<u>< .001</u>
IADL partially or not autonomous, n (%)	436 (71.4)	249 (61.9)	187 (89.5)	<u>< .001</u>
Moderate-severe cognitive impairment at SPMSQ, n (%)	174 (28.5)	77 (19.2)	97 (46.4)	<u>< .001</u>
Frail according to CSHA, n (%)	424 (69.4)	231 (57.5)	193 (92.3)	<u>< .001</u>
Comorbidities				
CIRS severity index, mean \pm SD	1.9 \pm 0.3	1.89 \pm 0.31	1.87 \pm 0.25	.38
CIRS comorbidity index, mean \pm SD	4.7 \pm 1.6	4.69 \pm 1.65	4.73 \pm 1.59	.77
Cardiac, n (%)	403 (66)	273 (67.9)	130 (62.2)	.19
Hypertension, n (%)	413 (67.6)	278 (69.2)	135 (64.6)	.29
Vascular and hematological, n (%)	292 (47.8)	189 (47.0)	103 (49.3)	.65
Respiratory, n (%)	237 (38.8)	158 (39.3)	79 (37.8)	.78
Eye, ear, nose, throat, larynx, n (%)	67 (11)	49 (12.2)	18 (8.6)	.23
Upper gastrointestinal tract, n (%)	104 (17.0)	76 (18.9)	28 (13.4)	.11
Lower gastrointestinal tract, n (%)	59 (9.7)	43 (10.7)	16 (7.7)	.29
Hepatic, n (%)	30 (4.9)	21 (5.2)	9 (4.3)	.76
Renal, n (%)	235 (38.5)	171 (42.5)	64 (30.6)	<u>.005</u>
Other genitourinary, n (%)	167 (27.3)	117 (29.1)	50 (23.9)	.21
Musculoskeletal system and skin,	241 (39.4)	141 (35.1)	100 (47.8)	<u>.003</u>

n (%)				
Neurological (not including dementia), n (%)	130 (21.3)	69 (17.2)	61 (29.2)	<u>< .001</u>
Endocrine, metabolic and infective, n (%)	289 (47.3)	195 (48.5)	94 (45)	.46
Psychiatric and behavioral (including dementia), n (%)	220 (36.0)	116 (28.9)	104 (49.8)	<u>< .001</u>
Therapy at discharge				
Number of drugs, mean \pm SD	7.7 \pm 3.2	7.7 \pm 3.2	7.6 \pm 3.1	.83
Polypharmacy (\geq 5 drugs), n (%)	510 (83.5)	337 (83.8)	173 (82.8)	.83
Hyperpolypharmacy (>10 drugs), n (%)	118 (19.3)	77 (19.2)	41 (19.6)	.98
Patients with at least 1 IP, n (%)	438 (71.7)	284 (70.6)	154 (73.7)	.49
IPs per patient, mean \pm SD	1.7 \pm 1.6	1.6 \pm 1.5	1.7 \pm 1.8	.11
IPs per patient, median (IQR)	1 (0-3)	1 (0-3)	1 (0-3)	.21
Patients with at least 1 PIM, n (%)	335 (54.8)	217 (54)	118 (56.5)	.62
PIMs per patient, mean \pm SD	0.9 \pm 1.1	0.9 \pm 1.1	0.9 \pm 1.2	.72
PIMs per patient, median (IQR)	1 (0-2)	1 (0-2)	1 (0-2)	.59
Patients with at least 1 PPO, n (%)	289 (47.3)	184 (45.8)	105 (50.2)	.34
PPOs per patient, mean \pm SD	0.7 \pm 1	0.7 \pm 0.9	0.9 \pm 1.2	<u>.03</u>
PPOs per patient, median (IQR)	0 (0-1)	0 (0-1)	1 (0-1)	.16

460

461 Underlined values indicate statistically significant differences between discharge setting

462 groups.

463 Abbreviations: ADL =activities of daily living, CIRS = cumulative illness rating scale,

464 CSHA = Canadian Study of Health and Aging, eGFR = estimated glomerular filtration rate,

465 IADL = instrumental activities of daily living, IP = inappropriate prescription, IQR =

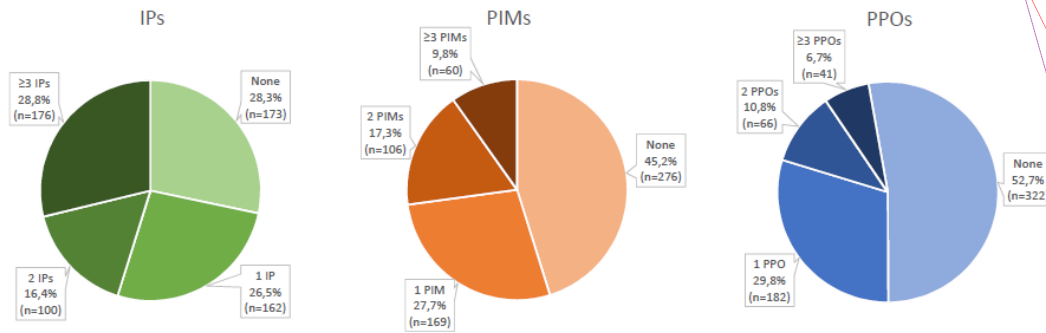
466 interquartile range, n= number, MLTCF = medium-long term care facility, PIM = potentially

467 inappropriate medication, PPO = potential prescribing omission, SD = standard deviation,

468 SPMSQ = Short Portable Mental Status Questionnaire

469

470 **Figure 2**



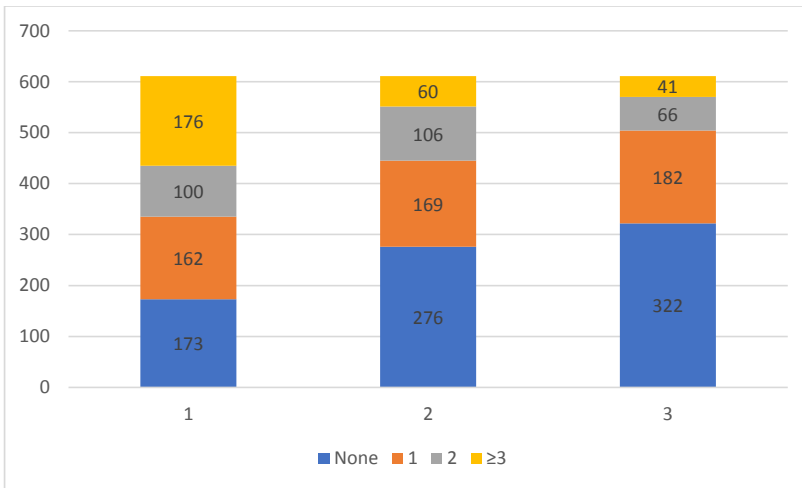
Commento [EB8]: Qui il reviewer 2 vorrebbe in colonne invece che in torta, posto che lo trovo molto meno indicativo, preferisci la versione 1 o 2? (colonne IP/PIMS/PPO)

Commento [MB9]: Come preferisci, direi forse la seconda, è un deficiente, non andiamogli dietro...

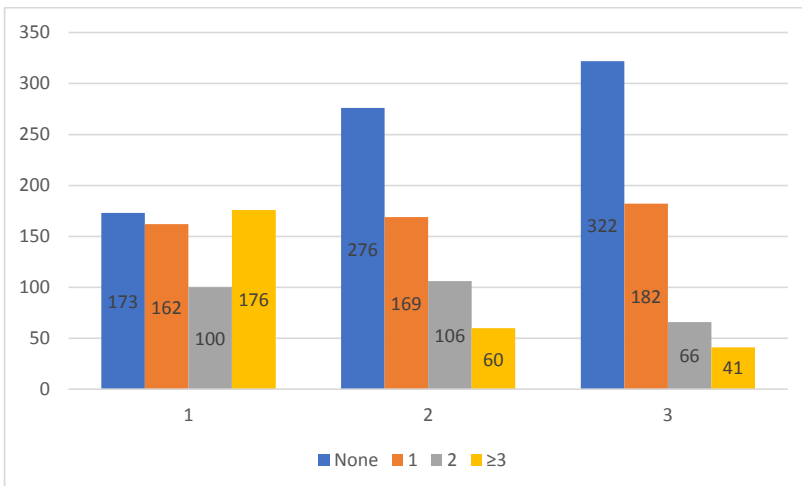
Commento [EB10]:

471

472



473



474

475 Number per patient and prevalence of overall inappropriate prescribing (IP), potentially
476 inappropriate medications (PIMs) and potential prescribing omissions (PPOs) in the overall
477 study sample.
478

479 **Table 2**

480 Variables independently associated with overall mortality and hospital readmission at
 481 multivariate analysis in the overall study sample, and according to discharge setting (home vs
 482 medium/long-term care facility).

	OR (95% CI)	Coefficient	SE	p-value
Overall study sample (n=611)				
<i>Overall mortality</i>				
Female sex	0.64 (0.43-0.95)	-0.4489	0.2074	.03
ADL dependent	2.43 (1.53-3.87)	0.8873	0.2372	< .001
Renal comorbidity	2.52 (1.67-3.78)	0.9231	0.2080	< .001
Hepatic comorbidity	2.59 (1.14-5.84)	0.9500	0.4160	.02
Moderate-severe cognitive impairment at SPMSQ	2.54 (1.59-4.07)	0.9339	0.2399	< .001
<i>Hospital readmission</i>				
Renal comorbidity	1.85 (1.29-2.67)	0.6177	0.1868	< .001
MLTCF discharge	0.64 (0.43-0.95)	-0.4430	0.1995	.03
Number of drugs at discharge	1.11 (1.05-1.18)	0.1063	0.0309	< .001
Number of PIMs	1.23 (1.03-1.46)	0.2048	0.0872	.02
Home-discharged patients (n=402)				
<i>Overall mortality</i>				
Female sex	0.57 (0.34-0.97)	-0.5566	0.2666	.04
ADL dependent	2.24 (1.25-4.00)	0.8050	0.2971	.007
Renal comorbidity	1.84 (1.11-3.06)	0.6096	0.2587	.02
Moderate-severe cognitive impairment at SPMSQ	2.31 (1.21-4.41)	0.8386	0.3291	.01
<i>Hospital readmission</i>				
Cardiac comorbidity	1.68 (1.03-2.74)	0.5168	0.2504	.04
Renal comorbidity	2.38 (1.52-3.71)	0.8651	0.2278	< .001
Number of PIMs	1.38 (1.13-1.68)	0.3216	0.0999	.01
MLTCF-discharged patients (n=209)				
<i>Overall mortality</i>				
Renal comorbidity	4.83 (2.37-9.87)	1.5752	0.3645	< .001
Moderate-severe cognitive impairment at SPMSQ	3.96 (1.97-7.95)	1.3758	0.3558	< .001
<i>Hospital readmission</i>				
Neurological comorbidity	2.37 (1.17-4.81)	0.8642	0.3601	.02
Number of drugs at discharge	1.27 (1.13-1.42)	0.2382	0.0585	< .001

483

484 Abbreviations: ADL = activities of daily living, CI = confidence interval, MLTCF = medium-
485 long term care facility, OR = odds ratio, PIM = potentially inappropriate medication, SE =
486 standard error, SPMSQ = Short Portable Mental Status Questionnaire