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(Article begins on next page)

1	MUTAGENIC AND GENOTOXIC EFFECTS INDUCED BY PM0.5 OF DIFFERENT
2	ITALIAN TOWNS IN HUMAN CELLS AND BACTERIA: THE MAPEC_LIFE STUDY
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36 Abstract

Particulate matter (PM) is considered an atmospheric pollutant that mostly affects human health. The 37 finest fractions of PM (PM_{2.5} or less) play a major role in causing chronic diseases. 38

The aim of this study was to investigate the genotoxic effects of PM_{0.5} collected in five Italian towns 39

40 using different bioassays. The role of chemical composition on the genotoxicity induced was also evaluated. 41

The present study was included in the multicentre MAPEC LIFE project, which aimed to evaluate 42

the associations between air pollution exposure and early biological effects in Italian children. 43

44 PM₁₀ samples were collected in 2 seasons (winter and spring) using a high-volume multistage cascade

impactor. The results showed that PM_{0.5} represents a very high proportion of PM₁₀ (range 10-63%). 46 PM_{0.5} organic extracts were chemically analysed (PAH_s, nitro-PAH_s) and tested by the comet assay

(A549 and BEAS-2B cells), MN test (A549 cells) and Ames test on Salmonella strains (TA100, 47

48 TA98, TA98NR and YG1021).

The highest concentrations of PAHs and nitro-PAHs in PM_{0.5} were observed in the Torino, Brescia 49 50 and Pisa samples in winter. The Ames test showed low mutagenic activity. The highest net revertants/m³ were observed in the Torino and Brescia samples (winter), and the mutagenic effect 51 52 was associated with PM_{0.5} (p<0.01), PAH and nitro-PAH (p<0.05) concentrations. The YG1021 strain showed the highest sensitivity to PM_{0.5} samples. No genotoxic effect of PM_{0.5} extracts was observed 53 using A549 cells except for some samples in winter (comet assay), while BEAS-2B cells showed 54 light DNA damage in the Torino, Brescia and Pisa samples in winter, highlighting the higher 55 sensitivity of BEAS-2B cells, which was consistent with the Ames test (p < 0.01). 56

57 The results obtained showed that it is important to further investigate the finest fractions of PM, which represent a relevant percentage of PM₁₀, taking into account the chemical composition and the 58 biological effects induced. 59

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Keywords: PM0.5, mutagenicity, genotoxicity, PAHs, nitro-PAHs 62

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Capsule 64

Results highlighted the importance to further investigate the finest fractions of PM, which represent 65 a relevant percentage of PM₁₀, taking into account its chemical composition and the biological effects 66 induced. 67

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70 1. Introduction

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- Atmospheric pollution poses a serious threat to human health and airborne particulate matter (PM) is
 one of the major contributors (Anderson et al. 2012; Cohen et al., 2017; WHO, 2016).
- The causal relationship between exposure to airborne $PM_{2.5}$ and acute and/or chronic diseases is well
- 75 International Agency for Research on Cancer (IARC) has recently classified air pollution and fine

reported in literature (EEA, 2017; Kim et al., 2015; Pope and Dockery, 2006). Moreover, the

- 76 PM as carcinogenic to humans (1 Group) (IARC, 2016).
- In recent years, researcher interest in the health effects of smaller particles, the sub-micrometer particles (fine), including ultrafine particles (UFPs, PM_{0.1}), has considerably increased as these fractions are the most abundant particulate pollutants in urban and industrial areas (Keogh et al., 2009; Morawska et al., 2008; Schilirò et al., 2016). The greater toxicity of UFPs is related to their potential to be retained in the pulmonary alveoli, to diffuse into the blood stream and reach other organs (Nemmar et al., 2002; Peters et al., 2006) and to their greater capacity to adsorb chemicals (Wichmann et al., 2009).
- The current air quality guidelines are based on the mass concentration of particles of a given aerodynamic diameter (PM₁₀ or PM_{2.5}), but it is clear that the structure and composition of PM can also influence the biological effects (Landkocz et al., 2017. Moreover, the chemical composition of PM varies with sources of emissions, season and region of sampling and photochemicalmeteorological conditions (Perrone et al., 2010; Pey et al., 2010; Pongpiachan et al., 2015; Topinka et al., 2015).
- The effects of exposure to mixtures of chemicals, such as PM, are difficult to evaluate because the 90 different chemical compounds can interact with synergistic, antagonistic or additive effects (USEPA, 91 2008). For a more complete evaluation of the health risk of human exposure, short-term bioassays 92 were used to study the biological effects of chemical pollutants in urban PM (Ceretti et al., 2015; de 93 Brito et al., 2013; Dumax-Vorzet et al., 2015; Lemos et al., 2012; Lepers et al., 2014; Palacio et al., 94 95 2016; Traversi et al., 2015). PM₁, quasi-ultrafine particles (PM_{0.5}; PM_{0.4} and PM_{0.3}) and UFPs (PM_{0.1}) have been less extensively studied than fine (PM2.5) and coarse (PM10-2.5) particles. Besides the 96 97 increasing epidemiological data on particles with a diameter less than 1 µm, there are still few studies on the biological effects of these fractions. Some studies have shown that UFPs are able to induce 98 99 oxidative stress (Gasparotto et al., 2013), inflammation (Muller et al., 2010), apoptosis and necrosis 100 (Sydlik et al., 2006). Moreover, cytotoxic effects (Borgie et al., 2015), release of cytokine/interleukin 101 release (Longhin et al., 2013) and dioxin-like activity (Wichmann et al., 2009) have also been reported for quasi-ultrafine particles. However, only a few recent studies investigated the genotoxic or 102 103 mutagenic effects of these finest fractions, and only some endpoints were taken into account with a

limited number of short-term assays (Landkocz et al., 2017; Topinka et al., 2015; Velali et al., 2016).
Then, further studies are needed to better understand their mechanisms of action of UFPs and their
involvement in the occurrence of many diseases.

The present study was included in the MAPEC LIFE project (LIFE12 ENV/IT/000614), a 107 multicentre Italian cohort study funded by the European Union's LIFE+ Programme that aims to 108 evaluate the associations between air pollution (including PM) and early biological effects in 6-8-109 year-old Italian children. Details of the study design have been described elsewhere (Feretti et al., 110 2014). Briefly, oral mucosa cells of 1149 children recruited from first grade schools were collected 111 to evaluate the frequency of MN and DNA damage. Some results on subject characteristics, diet in 112 particular, and frequency of MN in their buccal cells have already been published (Bagordo et al., 113 2017; Grassi et al., 2016; Villarini et al., 2018; Zani et al., 2016). The study was conducted in different 114 schools of five Italian towns (Figure S1) characterized by different levels of air pollution. In 115 116 particular, Torino and Brescia are located in the Padana Plain in the north of Italy (one of the most polluted areas in Europe), Pisa and Perugia in central Italy (medium-low pollution area) and Lecce in 117 118 southern Italy (low pollution area) (EEA, 2017; ISPRA, 2015). To evaluate children's exposure to urban air pollution, PM_{0.5} was collected near each school on the same days as the biological sampling. 119 The purpose of this work was to investigate the *in vitro* mutagenic and genotoxic effects of PM_{0.5} 120 collected in the MAPEC LIFE study using different short-time bioassays (Ames test, comet assay, 121 micronucleus test). The spatial and seasonal variations of the genotoxicity induced by the organic 122 extracts of PM_{0.5} were evaluated, and the role of chemical composition on the mutagenic and 123 genotoxic effect of PM_{0.5} samples was also investigated. 124

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126 **2.** Materials and methods

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128 2.1 Airborne particulate sampling and gravimetric analysis

PM₁₀ fractions were collected in 18 sites located in the five towns involved in the MAPEC_LIFE study. The description of the sampling sites is reported in Figure S1. The sampling was performed in 3 consecutive 24-hour periods, for a total of 72 sampling hours, using a Sierra-Andersen high-volume multistage cascade impactor (AirFlow PM10-HVS sampler, AMS Analitica Srl, Pesaro, Italy) at a flow of 1160 L/min. The particle size fractions collected were as follows: 10.0-7.2, 7.2-3.0, 3.0-1.5, 1.5-0.95, 0.95-0.49, and <0.49 μ m (PM_{0.5}). All filters were pre- and post-conditioned and weighed at controlled temperature and humidity, as previously reported (Schilirò et al., 2016). The samplings were performed during two seasons, winter (November 2014/March 2015-winter I)
and late spring (April/June 2015). Air sampling was repeated the following winter (November 2015/January 2016-winter II) only in Brescia.

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140 **2.2** Extraction of PM_{0.5} components

After gravimetric analyses, the PM_{0.5} filters (three for each site) were pooled to obtain a total of 40
 samples. Particles were Soxhlet extracted with 200 mL of n-hexane-acetone (4:1) for 6 h to recover
 organic extractable compounds. Each extract was separated into different aliquots destined for
 chemical analysis and biological tests. The organic extracts were concentrated by rotary evaporation.
 For the biological tests, the samples were re-suspended in dimethyl sulfoxide (DMSO) (2 m³/µL).

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147 2.3 Chemical analysis of PM_{0.5} organic extracts

PAH and nitro-PAH concentrations in the organic extracts of PM_{0.5} were evaluated according to the
 EPA TO-134 1999 method. An Agilent 7690B gas chromatograph (Agilent Technologies Italia SPA)

with a Rxi-17 Sil MS column (Restek) (30 m x 0.25 mm x 0.25 μm) and an Agilent 5977A mass
spectrometer (single ion monitoring) were used for PAH analysis.

152 The following PAHs were analysed: naphthalene, acenaphthylene, acenaphtene, fluorene, phenanthrene, anthracene, fluoranthene, pyrene, benzo(a)anthracene, 153 chrysene, benzo(b)fluoranthene, benzo(j)fluoranthene, benzo(k)fluoranthene, benzo(a)pyrene, benzo(e)pyrene, 154 pervlene, dibenz(a,h)acridine, dibenz(a,j)acridine, indeno(1,2,3-cd)pyrene, dibenzo(a,h)anthracene, 155 benzo(g,h,i)perylene, dibenzo(a,e)pyrene, dibenzo(a,h)pyrene, dibenzo(a,i)pyrene, 156 dibenzo(a,l)pyrene, 7Hbenzo(c)fluorene, 5-methylchrysene, 7,12-dimethylbenz(a)anthracene, 3-157 methylcholanthrene, anthanthrene, dibenz(a,e)fluoranthene, 7Hdibenzo(c,g)carbazole. 158

- 159 Nitro-PAH concentration was evaluated by means of GC-MS-TQ8030 (Shimadzu Europe GMBH)
- (multiple reaction monitoring mode) using a HP5-MS ultrainert column (Agilent) (30 m x 0.25 mm
 x 0.25 μm).
- 162 The nitro-PAHs analysed were 1-nitronaphthalene, 2-nitronaphthalene, 5-nitroacenaphtene, 2-
- nitrofluorene, 9-nitroanthracene, 1-nitropyrene, and 6-nitrochrysene.
- 164 The information about the QA/QC was reported in Supporting Information.
- 165 The comparison of the retention times and mass spectra of the different compounds with those of
- 166 reference standards was used to their identification.
- 167

168 2.4 Salmonella/microsome (Ames) test on PM_{0.5} organic extracts

The Ames test (Maron and Ames, 1983) was used to evaluate the mutagenicity of PM_{0.5} organic extracts collected in all towns. The organic extracts were tested in duplicate at increasing doses (10, 25 and 50 m³ of air equivalent/plate) with different *S. typhimurium* strains (TA100, TA98, TA98NR, YG1021). The TA100 and TA98 strains specifically detect base-substitution and frameshift mutations (Claxton et al., 2004). The YG1021 strain shows efficient detection of mutagenic nitroarenes and the TA98NR strain shows a reduced mutagenicity, proportional to the amount of nitroarenes present in

- the extract (Traversi et al., 2011).
- The Ames test was performed with and without metabolic activation (\pm S9) to detect direct and indirect mutagens (Ceretti et al., 2015). The test was described in detail in Supporting Information. In each assay session, positive controls (10 µg/plate of 2-nitrofluorene for TA98, TA98NR and YG1021 and 10 µg/plate of sodium azide for TA100 without S9; 20 µg/plate of 2-aminofluorene for all strains with S9) and negative controls (DMSO and extracts of filter blanks) were included. The Ames test was performed by the same laboratory on all samples.
- 182

183 **2.5** Cell culture

Two cell lines were used to evaluate the genotoxic potential of PM extracts. The human A549 cells (non-small cell lung cancer) from Interlab Cell Line Collection (Genova, IT) was used as a model for human epithelial lung cells. Human BEAS-2B cells (ATCC CRL-9609; non-cancerous cells isolated from bronchial epithelium) was used as surrogates for toxicological studies in bronchial mucosa (Courcot et al., 2012). A459 cells and BEAS-2B cell lines were cultured as previously reported (Bonetta et al. 2009; Zhang et al., 2017). The metabolic characteristics of the cells were described in detail in Supporting Information.

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192 **2.6** Comet assay on PM_{0.5} organic extracts

The genotoxicity of PM_{0.5} organic extracts collected in all towns in the different seasons was 193 evaluated using the comet test on A549 cells. The samples of winter seasons (winter I and II) were 194 also tested with BEAS-2B cell lines. The cells were cultured for 18 h in 6-well plates; then they were 195 exposed (4 h at 37°C) to increasing doses (from 10 to 50 m³ of air equivalent/mL) of PM_{0.5} organic 196 extracts. Cells untreated, treated with DMSO (2.5%) and treated with blank filter extracts were used 197 as negative controls. After exposure, cell viability was assessed using the staining with trypan blue. 198 The comet assay was performed under alkaline conditions (pH > 13) (Tice et al., 2000) as described 199 in detail in Supporting Information. The mean percentage of DNA in the comet tail (tail intensity, TI) 200 was used as DNA damage metric. The results obtained from control cells (DMSO) were compared 201 202 with those from cells exposed to PM extracts. Statistical analyses were performed by ANOVA

combined with a *post hoc* Dunnett's test (SPSS Statistics 24.0) (IBM Corporation, Armork, NY, USA). Statistically significant differences were reported with a *p* value ≤ 0.05 . The Fpg-modified comet assay was carried out as previously reported (Bonetta et al., 2009). The comet assay was performed by the same laboratory on all samples.

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208 2.7 Cytokinesis-block MN (CBMN) test on PM_{0.5} organic extracts

The CBMN test was used to evaluate the genotoxicity of PM_{0.5} organic extracts collected in the five 209 towns. The test was performed in accordance with the original method by Fenech (2000) as described 210 in detail in Supporting Information. A549 cells were treated (24 h at 37°C with 5% CO₂) with 211 increasing doses (10, 25 and 50 m³ of air equivalent/mL) of the PM_{0.5} organic extracts, then the 212 viability was assessed by the trypan blue dye exclusion technique. Cells treated with DMSO (0.5%) 213 and blank filter extracts were used as negative controls. Ethyl methanesulfonate (EMS) was used as 214 215 a positive control (1.5 and 2 mM EMS). The results are expressed as the mean MN/1000 cells from two independent evaluations. Data from cell cultures exposed to control (DMSO) were compared 216 217 with those from PM extracts. Statistical analyses were performed by ANOVA combined with a post hoc Dunnett's test (SPSS Statistics 24.0) (IBM Corporation, Armork, NY, USA). The MN test was 218 219 performed by the same laboratory on all samples.

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221 **2.8 Statistical analysis**

The statistical analysis was performed with the statistical package IBM SPSS Statistics 24.0 (IBM 222 Corporation, Armork, NY, USA). Significant differences between the concentrations of PM₁₀, PM_{0.5} 223 PAHs, B(a)P and nitro-PAHs in the five towns were assessed by ANOVA and Tukey's multiple 224 comparison tests. The differences in PM₁₀, PM_{0.5} PAHs, B(a)Pyrene, nitro-PAH concentrations and 225 genetic endpoints between winter and spring seasons were performed by Student's t-test. Significance 226 was evaluated within 95% confidence intervals ($p \le 0.05$). The Spearman correlation coefficient 227 228 (Spearman's r) was used to assess the relationship among air pollution parameters (PAHs, B(a)pyrene and nitro-PAHs), PM_{0.5} concentration and genotoxicity results. 229

- 231 **3** Results and discussion
- 232

233 3.1 Size distribution of PM mass concentrations

The mass of PM samples (pooled filters) and total cubic meters of air sampled were reported in detail in Supporting Information (Table S1). The mean concentrations of PM_{10} and the other PM fractions obtained in the samples of the five towns in winter and spring seasons are reported in Figure 1.

The results of the gravimetric analysis showed that in winter samples, the mean PM₁₀ concentrations were lower than the daily target of 50 μ g/m³ set by the European Air Quality Directive 2008/50/EU, except for some samples from Torino and Brescia. Often, in Italy, high PM₁₀ values are observed during winter in towns located in the north of Italy, particularly in the Padana Plain, given the widespread air pollution and the general weak dispersion rate due to the territory conformation

242 (Cadum et al., 2009; EEA, 2017).

243 The ANOVA underlined a significant difference in PM₁₀ concentration among the samples of the five towns (F = 6.336, p < 0.001). In particular, the highest PM₁₀ mass concentration values were observed 244 245 in the Torino samples (winter I) (p = 0.001 vs the Perugia and Lecce samples and p < 0.01 vs the Pisa samples, post hoc Tukey's test) and the Brescia samples (winter I and II). Conversely, as expected, 246 247 the lowest value of PM₁₀ was observed in the samples from Lecce. Comparing the results obtained in the Brescia samples, the PM₁₀ concentration in winter I was lower than in winter II. This result could 248 be due to the lower level of air pollution observed in winter 2014 with respect to winter 2015, which 249 was related to the high atmospheric instability present in that season (RSA, 2017). 250

Although our sampling reflects only spot daily situations (3 days for each season) and does not represent long-term monitoring, the results obtained highlighted a north to south PM₁₀ trend, in accordance with the Regional Agencies for Environmental Protection (ARPA) routine measurements performed in all towns during the sampling period (November 2014 – June 2015; November 2015-January 2016).

With respect to winter 2014, a significant decrease in PM_{10} concentration was observed in spring samples (spring *vs* winter p < 0.001, t-test). A different trend was observed only for some samples of Brescia (winter I *vs* spring). The decrease of PM_{10} in the warm season has been generally observed in urban environments (Schilirò et al., 2016).

Considering the distribution of the size fractions of PM₁₀ mass in winter (Figure 1), a high particle concentration was present, especially for PM_{0.5}, which represented a very high proportion of PM₁₀,

- accounting from a minimum of 20% to a maximum of 63% of the different samples. Additionally,
- the fraction 0.49-0.95 represented a considerable fraction of PM_{10} although it generally showed a

- lower percentage with respect to PM_{0.5}.
- Analysing the value of the $PM_{0.5}$ concentration, the ANOVA test showed a significant difference
- among the samples of five towns in winter (F = 7.277, p < 0.001). As reported for PM₁₀, the highest
- level was found in the Torino samples (p = 0.001 vs the Perugia and Lecce samples, p < 0.05 vs the
- 268 Pisa samples and p < 0.01 vs the Brescia samples, post hoc Tukey's test). However, the PM_{0.5} level
- 269 was also very high in the Brescia and Pisa samples.
- The results of the statistical analyses showed a significant correlation between PM_{10} and $PM_{0.5}$ concentration in both seasons (rS = 0.80, p < 0.001 and rS = 0.63, p < 0.001 in winter and spring respectively).
- Although a significant reduction in $PM_{0.5}$ concentration was observed from winter to spring in all samples (p = 0.001, t-test), $PM_{0.5}$ in spring also represented a considerable fraction of PM_{10} , accounting for a minimum of 10% to a maximum of 56% in the different samples.
- Moreover, analysing the concentration of $PM_{0.5}$ by sampling sites (n=18), a high variability of $PM_{0.5}$
- percentage was observed in the same sampling site in both seasons and from the samples of the sametown.
- In comparison with the few studies published on the $PM_{0.5}$ fraction, the concentrations of $PM_{0.5}$ observed in the Torino and Brescia samples in winter were similar to those observed in La Plata (Argentina) (21 µg/m³) (Wichmann et al., 2009). Otherwise, the $PM_{0.5}$ values recorded in the samples of the other towns were similar to those found in the urban site of Prague (9.1 µg/m³) (Topinka et al., 2013). However, the levels of $PM_{0.5}$ found in this study were generally lower than those found in other highly polluted European sites (Topinka et al., 2015) or other urban sites (Monarca et al., 1997,
- 285 Velali et al., 2016).
- The highest concentration of $PM_{0,5}$ during winter in comparison to spring summer was reported also in other studies for ultrafine or quasi-ultrafine fractions (Perrone et al., 2010; Perrone et al., 2013; Jalava et al., 2015; Velali et al., 2016). This trend confirmed that also this fraction was strongly influenced by seasonal meteorology in the north of Italy, where condition of atmospheric stability cause high concentrations of atmospheric pollutants (Perrone et al., 2010; Perrone et al., 2013).
- As observed in our results, various studies confirmed that the finest fractions of PM are the most abundant in the atmosphere because the finest particulate pollution is homogeneously diffused (Perez et al., 2010). The high contribution of the finest fractions to the PM₁₀ mass determination observed in this study was also reported in recent studies in other urban sites and has been related to traffic emissions by many authors (Topinka et al., 2015; Velali et al., 2016). Moreover, the variability of PM_{0.5} percentage reported in our samples suggested, as in the study of Topinka et al. (2015), the crucial effect of the meteorological conditions. In particular, Topinka et al. (2015) highlighted the

day-to-day variability of PM₁₀ and ultrafine particles in association with the inversion episodes.
 Moreover, the different contributions of the most important PM sources, depending on meteorological
 conditions, could be responsible for the relatively different amount of PM size fractions.

301

302 3.2 Chemical analysis of PAHs and nitro-PAHs in PM_{0.5}

303 The chemical analysis of the PM_{0.5} organic extracts for both seasons is described in Table 1.

- In winter I, the highest concentrations of PAHs (total and carcinogenic) and benzo(a)pyrene were 304 found in all Torino samples, in some samples from Brescia (BS2 and BS4) and in 1 sample from Pisa 305 306 (PI3). Considering the nitro-PAHs, out of seven nitro-PAHs analysed, only 9-nitroanthracene and 1nitropyrene were recorded in PM0.5 samples, and the highest concentrations were found in the Pisa 307 308 (PI3 and PI4) and Torino samples followed by the Brescia samples (BS3 and BS4) and the Perugia samples (PG2). The highest values recorded in these samples were probably related to the high 309 concentration of PM_{0.5} (μ g/m³), as confirmed by the statistical analyses that indicated a linear 310 correlation between PM_{0.5} levels and PAH, B(a)P and nitro-PAH concentrations in the winter season 311 312 (rS = 0.86, p<0.001). The results expressed as ng/ μ g of PM_{0.5} confirmed the higher quantity of PAHs (total and carcinogenic), B(a)P and nitro-PAHs in most of these samples. However, an increase in the 313 PM0.5 level does not always correspond to a greater quantity of pollutants for µg of PM0.5, as noted 314 by the comparison of the chemical contamination of PM_{0.5} in winter I and winter II in some of the 315 Brescia samples. 316
- In the spring season, as observed for PM_{0.5} concentration, a significant decrease in PAH and nitro-PAH concentration in PM_{0.5} was reported in all samples (ten times lower than in winter for PAHs) (p < 0.001, t-test). The results expressed as ng/µg of PM_{0.5} confirmed the lower level of chemical contaminants in spring than in the winter season, although no specific differences in this season among the samples from different towns were revealed.
- The level of PAHs observed in PM_{0.5} samples of the five Italian towns was similar to that observed 322 in ultrafine particles of other European urban sites (Topinka et al., 2013; Wichmann et al., 2009). In 323 particular, PAH contamination detected in the Torino and Brescia samples was analogous to that 324 325 reported by Longhin et al. (2013) for PM_{0.4} in another town of the Padana Plain (Milano). Considering the presence of nitro-PAHs in the PM_{0.5} fraction, no specific comparison with other data is possible 326 327 given the absence of data from other urban sites. However, the two compounds recorded in PM_{0.5} samples (9-nitroanthracene and 1-nitropyrene) have been frequently reported in PM extracts of urban 328 environments in the literature (Carreras et al., 2013; Ladji et al., 2009; Ringuet et al., 2012). 329
- The decrease in chemical contamination in spring is not surprising because of the emission decrease in this season (e.g., home heating); the presence of contaminants in the PM finest fractions is also

related to the variability of atmospheric conditions between these seasons (Landlocz et al., 2017; Longhin et al., 2013). In particular, winter atmospheric conditions may promote accumulation of primary pollutants and the condensation of atmospheric pollutants in the particle phase due to the low temperature (Ebi and McGregor, 2008; Sisovic et al., 2008). The importance of atmospheric conditions on the level of chemical pollutants in the PM_{0.5} fraction was also confirmed by the comparison of PAHs and nitro-PAHs for μ g of PM_{0.5} in Brescia in the two winter samples (winter I *vs* winter II).

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340 3.3 Mutagenicity of PM_{0.5} samples

In Table 2, the mutagenic effect of $PM_{0.5}$ extracts on bacteria is reported, expressed as net revertants/m³ of air sampled in the TA98, TA100, TA98NR and YG1021 strains, with (+S9) and without (-S9) metabolic activation.

Overall, considering the four *S. typhimurium* strains, low mutagenic activity was observed with respect to the results obtained in other studies performed on $PM_{0.5}$ or $PM_{2.5}$ fractions in Torino and Brescia (Monarca et al., 1997; Traversi et al., 2009; Traversi et al., 2011).

- In winter, the highest mutagenic activity was generally observed in the Torino and Brescia samples 347 followed by the Pisa, Perugia and Lecce samples. The ANOVA, performed assuming mutagenicity 348 observed with YG1021+S9 and YG1021-S9 as dependent variables and the towns as independent 349 variables, underlined a significant difference in the mutagenic effects among the samples of the five 350 towns (F = 18.201 and F = 13.331, p < 0.001, respectively). Post hoc Tukey's test confirmed the 351 highest values of mutagenicity in the Torino samples (YG1021 ±S9 Torino samples vs 352 Pisa/Perugia/Lecce samples p < 0.001 and p < 0.01 vs Brescia samples). This trend was probably 353 related to the PM_{0.5} concentration as confirmed by the positive correlation between mutagenic 354 response and PM_{0.5} level (YG1021 +S9 rS = 0.87, YG1021 -S9 rS = 0.76 p < 0.001; TA98 +S9 rS = 355 0.75, TA98 -S9 rS = 0.76 p < 0.01). The highest mutagenicity reported for the Torino and Brescia 356 samples was also confirmed by adjusting the data for the particle mass unit (Table S2), highlighting 357 the worse quality of the particles—in terms of mutagenic compounds (e.g., PAHs in PM_{0.5} samples) 358 —and not only the higher level of $PM_{0.5}$ concentration for each volume unit (m³). 359
- Comparing the results obtained with Brescia samples collected in winter I and winter II, despite the increase of PM_{0.5} concentration in some samples of winter II, a similar or reduced mutagenicity was observed in winter II with respect to winter I. The lower level of chemical contamination (PAHs and nitro-PAHs) of the particles sampled in winter II was also confirmed by the lower mutagenic effect recovered after adjustment for particle mass unit.

Considering the response of the different strains, almost all PM_{0.5} winter extracts (16/22) induced point mutations in the *S. typhimurium* TA98 strain (±S9). These results indicated the presence of indirect and direct mutagens. In particular, the statistical analysis used to study the associations between air pollutants and mutagenic effects confirmed a relationship between TA98 response and PAHs (TA98 +S9 rS = 0.63, p < 0.05) and nitro-PAHs (TA98 -S9 rS = 0.60, p < 0.05).

Except for two Torino samples (TO1 and TO2), the winter $PM_{0.5}$ extracts did not induce any mutagenic effects in the TA100 strain, suggesting the presence of contaminants causing frame-shift mutations, predominantly. Similar results were also found in previous studies performed in Torino and Brescia for $PM_{0.5}$ or other PM fractions (e.g., PM_{10}) (Ceretti et al., 2015; Gilli et al., 2007; Monarca et al., 1997).

As reported in other studies performed on PM_{2.5} samples (Traversi et al., 2009; Traversi et al., 2015),

the YG1021 strain showed the highest sensitivity to airborne pollutants. The comparison of the over 376 377 producing nitroreductase strain, YG1021, with the reference TA98 strain allows quantification of the mutagenicity linked to the amplified nitroreductase activity. The PM_{0.5} winter extracts determined a 378 379 clear increase in the response due to amplified nitroreductase activity, which was probably related to the presence of nitroaromatic compounds, as confirmed by the significant correlation with nitro-PAH 380 381 concentrations (YG1021 -S9 rS = 0.63, p < 0.01; YG1021 +S9 rS = 0.77, p < 0.001). The decrease in mutagenicity with the TA98NR strain with respect to TA98 gives further confirmation of the presence 382 of nitroaromatic pollutants. 383

In the spring season, lower values of mutagenicity were recorded for all samples. Negative results 384 were observed for TA100, TA98 and TA98NR, and the YG1021 strain showed a lower mutagenic 385 effect than that in the winter season. A similar trend was also observed in other studies with PM2.5 386 extracts (Ceretti et al., 2015; de Rainho et al., 2013; Traversi et al., 2011). The significant reduction 387 of the mutagenic effect in the warm season (spring vs winter p<0.001 for YG1021+S9 and p=0.001 388 for YG1021-S9, t-test) was probably related to the low level of airborne contaminants in spring, as 389 highlighted by the decrease in PM_{0.5} concentration. The lower concentrations of PAHs and nitro-390 PAHs in spring particles were further confirmed by the lower mutagenicity of PM_{0.5}, adjusting the 391 data for particle mass units. 392

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394 3.4 Genotoxicity of PM_{0.5} samples

395 **3.4.1** Comet assay

No genotoxic effect of PM_{0.5} was observed using the A549 cell line in almost all winter (Figure S2)
and spring (Table S3) samples at all the tested doses, except for sporadic doses of a few winter samples
(Figure 2). In particular, only one sample collected in Pisa in winter I (PI4) and two samples collected

in Brescia in winter II (BS1 and BS4) induced a significant increase in the genotoxic effect at the highest tested concentration of $PM_{0.5}$ (50 m³), but there was not dose-response relationship. Moreover, the Fpg treatment did not increase the genotoxic effect, indicating there was no oxidative activity of the samples analysed in both seasons (Table S3). These results highlighted that $PM_{0.5}$ samples induced only light primary DNA damage in the considered cells, confirming the low level of mutagenicity reported with the Ames test.

- The comet assay on human bronchial epithelium (BEAS-2B) showed a greater genotoxic effect of 405 406 PM_{0.5} extracts in winter samples (winter I and II) than A549 (Figure 2). In particular, two samples 407 from Torino (TO1 and TO2), three samples from Brescia (BS1, BS3 and BS4) and 2 samples from Pisa (PI3 and PI4) in winter I and one sample from Brescia (BS1) in winter II showed significant 408 409 DNA damage, although only at the highest tested concentration (50 m³). The highest genotoxic effect was observed in Brescia samples. No dose-response relationship was observed for PM0.5 extracts 410 411 except for one sample for Torino (TO1). The genotoxic effects observed for the Brescia, Torino, and Pisa samples were related to the higher concentration of PM_{0.5} reported in these samples and to the 412 413 higher level of chemical contamination (PAHs and nitro-PAHs). The linear regression used to investigate the associations between DNA damage and air pollutants confirmed a significant 414 415 relationship between DNA damage and PM_{0.5} (rS = 0.60, p < 0.01), PAHs (rS = 0.69, p < 0.01) and nitro-PAHs (rS = 0.68, p < 0.01) concentrations. 416
- However, the genotoxic effect reported in our study was lower than that observed in the study of 417 Velali (2016) performed on PM_{0.5} collected in Thessaloniki. The difference in the genotoxic effect 418 could be related to the different pollution characteristics of the sampling sites, an urban centre located 419 in relative proximity of industrial sources, with a poor dispersion of air pollutants and a high level of 420 air contaminants. Moreover, the lower concentration of PM_{0.5} per m³ observed in our samples may 421 have contributed to the lower biological response in the presence of low levels of chemical pollutants. 422 Considering the PM₁₀ fractions, some studies found that all particle size fractions induced DNA 423 damage in A549 cells, with the finer fractions ($< 0.65 \mu m$) inducing the highest damage (Healey et 424 al, 2005). In the study of Velali et al. (2016), the DNA damage (mean mass normalized) did not 425 426 change substantially, with the particle size being relatively higher in the 0.49-0.97 size range. This behaviour could be related to the chemical pollution of the different fractions. As reported in the study 427 428 of Topinka et al. (2015), PAHs are mostly found to be associated with particles less than 1 µM, but both the 0.5-1 μ m fraction and the < 0.5 μ m fraction contained high levels of PAHs, justifying the 429 genotoxic effect of fractions other than $< 0.5 \ \mu m$. 430
- 431 Comparing the results obtained with the comet assay using BEAS-2B and the Ames test, the432 genotoxic effect was reported in the same samples that induced the higher mutagenic effect using the

Ames test, confirming the agreement between the two biological tests (YG1021 -S9 rS = 0.62, p < 433 0.01; YG1021 +S9 rS = 0.60, p < 0.01). However, with respect to the comet assay, the Ames test 434 indicated a higher sensitivity, showing a biological effect at low levels of air pollutants with a 435 different level of response in relation to small differences in pollutant concentration. The higher 436 sensitivity of the Ames test than the comet assay was also reported in other studies for PM_{2.5} or PM₁₀ 437 extracts (de Brito et al., 2013; ElAssouli et al., 2007). Due to the specificity of the genotoxic profile 438 of chemical mutagens, which rarely affect different endpoints with the same efficiency, the two test 439 used are expected to work in a complementary way, providing only partially overlapping results. 440 441 Considering the two cell lines used for the comet assay, the different distribution patterns of genotoxicity among A549 and BEAS-2B after exposure to PM_{0.5} extracts confirmed that the cell lines 442 443 respond differently to genotoxic agents, as reported by other authors (Cavallo et al., 2013; Teoldi et al., 2017; Zhang et al., 2017). Moreover, the results obtained indicated the higher sensitivity of BEAS-444 445 2B cells with respect to A549, confirming that PM_{0.5} can induce genotoxicity in normal cells, whereas cancer cells can be resistant to its adverse effects. 446

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448 3.4.2 Cytokinesis-block MN test

449 The results of the micronucleus test using A549 cells treated with PM0.5 organic extracts showed 450 values similar to those of the negative control at each testing dose for both winter (Figure 3) and spring samples (Table S4) from all the towns, indicating there was no chromosomal damage detected 451 in the considered cells. In our study, cell viability, as evaluated by the Trypan blue dye exclusion test, 452 was always higher than 60% for all treatments. Since the cytotoxicity did not exceed the limits 453 specified in the OECD guidelines for the in vitro micronucleus test on mammalian cell (i.e., $55 \pm 5\%$ 454 cytotoxicity) (OECD, 2010) we considered the genotoxic response not influenced by cytotoxicity 455 (Tables S5 and S6). Moreover, because overall cytotoxicity in cell cultures is the consequence of both 456 cell death and cytostasis, we have also calculated the Cytokinesis-Block Proliferation Index (CBPI), 457 as indicated in the OECD guidelines (OECD, 2010). Obtained data showed that cell proliferation was 458 not influenced by exposure to PM_{0.5} organic extracts (Tables S7 and S8). 459

The absence of genotoxicity with the micronucleus test confirmed the low genotoxic effect of $PM_{0.5}$ samples as also reported with the comet assay. A lower number of positive responses in the micronucleus test compared to the comet assay was also reported in other studies on PM organic extracts (Bocchi et al., 2016; Lemos et al., 2016). The authors suggested that most of the damage observed can still be repaired because the associated clastogenicity was not found in most of the samples. It is important to emphasize that genotoxicity and mutagenicity tests often give different results (Bocchi et al., 2016). Thus, the discrepancy among the tests used in this study should not be 467 considered as an inconsistency, but rather a consequence of the fact that the test methods address468 different genetic endpoints.

469

470 4. Conclusions

The results of the *in vitro* tests performed in the MAPEC_LIFE study showed that $PM_{0.5}$ samples induced low mutagenic and genotoxic effects. Although the biological effects were low, they were associated with levels of $PM_{0.5}$, PAHs and nitro-PAHs, which vary according to season and town of residence.

The lower biological effect observed in the spring season compared to winter underlines the importance of PM_{0.5} chemical composition and the necessity of reducing PM_{0.5} concentration to protect human health. Many epidemiological studies on other PM fractions demonstrated that a small reduction of PM₁₀ or PM_{2.5} can decrease premature deaths, mortality and hospital admissions for respiratory and cardiovascular disease and increase life expectancy, confirming these findings (ERS, 2010; Pope et al., 2009).

In agreement with other studies, the results obtained, emphasized the need to use a battery of assays for genotoxicity screening of air pollutants confirming that only one test could lead to a loss of information about genotoxic and mutagenic activity of airborne pollutants, as observed with the MN test. Other insights such as DNA repair study with comet assay could help to understand the different response of the biological tests (comet assay vs MN test) to PM extracts.

In contrast, the *Salmonella*/microsome assay proved to sensitively and efficiently characterize the mutagenicity of PM_{0.5} samples, and the analyses of PM_{0.5} using the comet assay could broaden the levels of response, complementing the findings of the *Salmonella*/microsome assay. The BEAS-2B cell line showed a greater sensitivity with respect to A549 cells (comet assay) when used with low contaminated PM_{0.5} samples, and the YG1021 strain better characterized (Ames test) the mutagenicity of PM_{0.5} samples compared to other strains. These findings confirmed that these models can represent the most suitable cellular models for the study of the *in vitro* effects of PM_{0.5}.

Historical trends confirm a decrease in the PM₁₀ concentration in Italian towns, and the biological effects detected in this study were generally low. Nevertheless, it is important to further investigate the finest fractions of PM, which, also in this study, represent a relevant percentage of PM₁₀, taking into account its chemical composition and the biological effects induced. In fact, the results obtained confirmed that monitoring PM_{0.5} itself could not provide sufficient information about the toxic compounds bound to the particles.

This is a relevant issue considering that different climatic conditions varying from one year to anothercan cause peaks of PM that could lead to different results from those observed.

The genotoxicity results evaluated in this study also require further investigations focusing on longer monitoring campaigns to better characterize the role of the $PM_{0.5}$ fraction in the determination of the biological effects in the five towns and in different climatic conditions. Moreover, further investigation of the nature of the chemical compounds and their association with the measured genotoxicity and epigenetic effects of $PM_{0.5}$ in comparison with the other PM_{10} fractions will be the aim of our future studies.

507

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- 514

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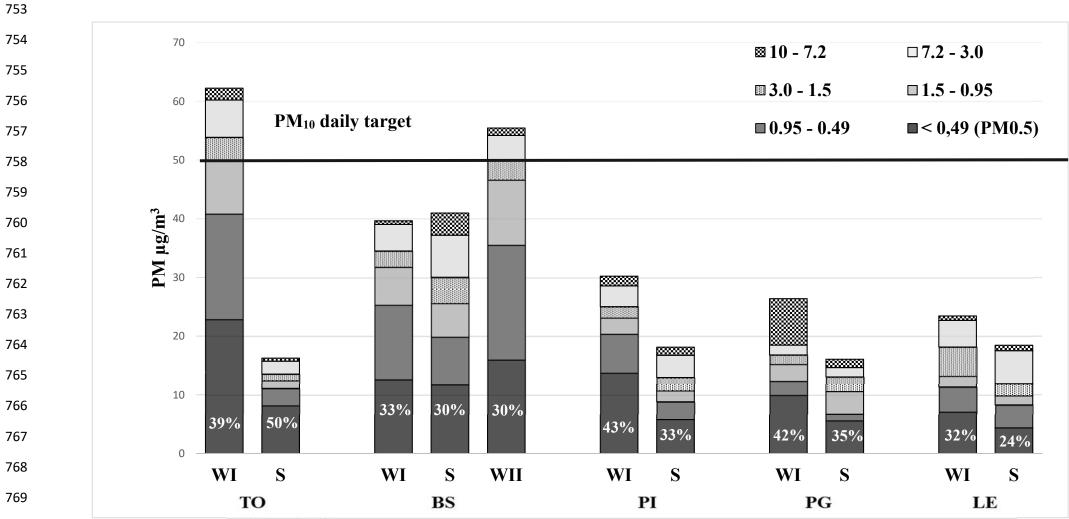


Figure 1. PM_{10} mass concentration and its fractions measured in the samples from the five towns. Data are reported as mean value of the 3-4 samples of each town in winter I (WI), spring (S) and winter II (WII). The percentages reported in the bars represent the proportion of $PM_{0.5}$ in the PM_{10} mass.

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⁷⁷⁰ TO=Torino; BS=Brescia; PI=Pisa; PG=Perugia; LE=Lecce

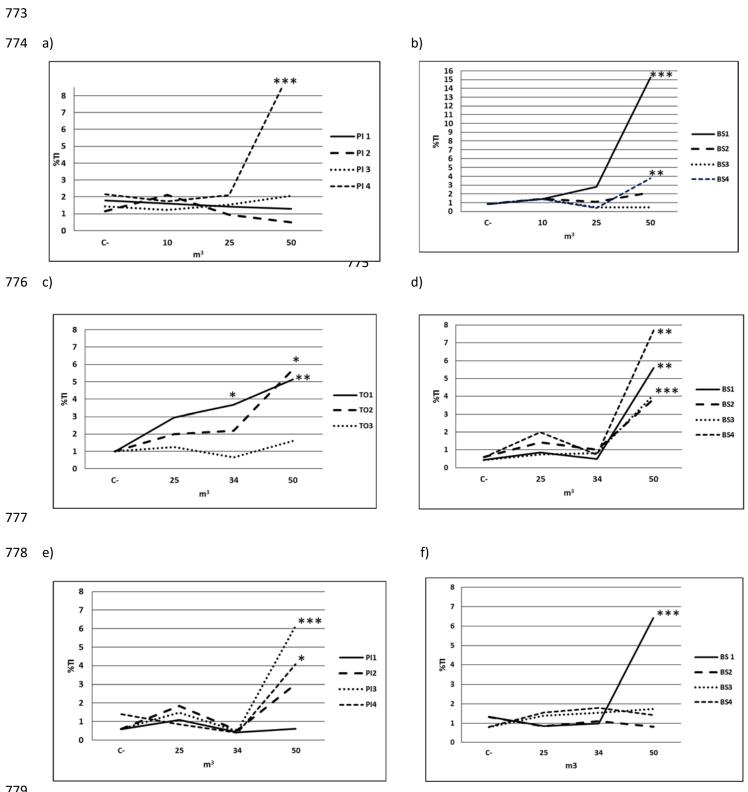
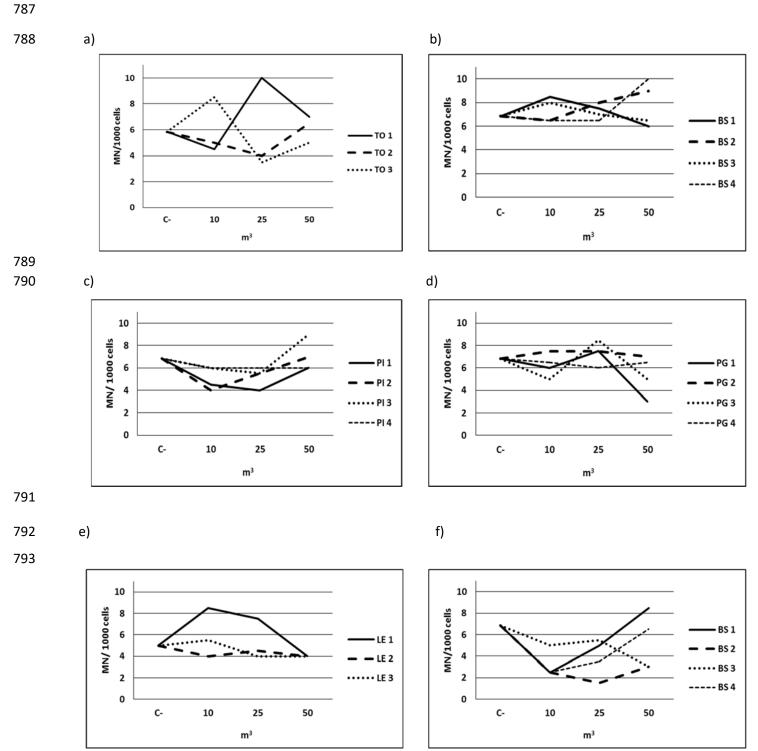


Figure 2. Genotoxic effect (% tail DNA) in A549 cells and BEAS-2B cells exposed to PM_{0.5} organic extracts of winter I and II evaluated by comet assay. ***p<0.001, **p<0.01 vs. control cells (C-) according to ANOVA combined with Dunnett's *post hoc* test. a) Pisa, winter I, A549 b) Brescia, winter II, A549 c) Torino, winter I, BEAS-2B d) Brescia, winter I, BEAS-2B e) Pisa, winter I, BEAS-2B; f) Brescia, winter II, BEAS-2B.





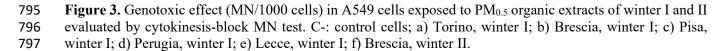


Table 1. Concentration of PAHs and nitro-PAHs in the PM_{0.5} organic extracts sampled in winter I (WI), spring (S) and winter II (WII) in Torino, Brescia, Pisa,

799 Perugia and Lecce.

Town	Season Site		PM _{0.5} concentration (μg/m ³)	Σ PAHs ^a (ng/m ³)	B(a)P (ng/m ³)	Σ Carcinogenic PAHs ^b (ng/m ³)	Σ nitro-PAHs ^a (ng/m ³)	
Torino	WI	1	22.44	12.17	1.29	6.90	0.13	
Torino WI 2 20.96		20.96	7.82	0.83	4.46	0.21		
Torino WI 3		25.12	6.13	0.60	3.46	0.16		
Mean value			22.84	8.71	0.91	4.94	0.17	
Mean value (ng/ μ g)			/	0.39	0.04	0.22	0.75	
Brescia	WI	1	6.46	3.86	0.48	2.16	0.05	
Brescia	WI	2	14.38	14.72	1.52	7.69	0.05	
Brescia	WI	3	10.06	4.17 0.38		2.12	0.11	
Brescia	WI	4	19.47	5.79	0.56	3.20	0.13	
Mean value			12.59	7.14	0.74	3.79	0.08	
Mean value (ng/ μ g)			/	0.58	0.06	0.31	0.74	
Pisa	WI	1	3.69	0.55	0.03	0.23	0.02	
Pisa	WI	2	12.34	3.63	0.42	2.05	0.08	
		21.09	8.47	0.90	5.24	0.45		
		17.80	2.87	0.26	1.62	0.16		
Mean value			13.73	3.88	0.40	2.28	0.18	
Mean value (ng/ μ g)			/	0.25	0.02	0.14	1.04	
Perugia	WI	1	11.73	4.77	0.50	2.63	0.04	
Perugia WI 2 13.47		13.47	4.98	0.52	2.84	0.15		
		6.51	2.21	0.18	1.09	0.03		
Perugia WI 4		8.02	1.76	0.14	0.86	0.06		
Mean value			9.93	3.43	0.34	1.86	0.07	
Mean value (ng/ μ g)			/	0.33	0.03	0.18	0.69	
Lecce	WI	1	6.36	1.17	0.06	0.57	0.02	
Lecce	WI	2	9.39	2.76	0.17	1.50	0.06	
Lecce	WI	3	5.61	0.77	0.04	0.35	0.02	
Mean value			7.12	1.57	0.09	0.81	0.03	
Mean value (ng/ μ g)			/	0.21	0.01	0.10	0.44	
Torino	S	1	9.25	0.61	0.02	0.19	0.02	
Torino	S	2	8.30	0.50	0.01	0.12	0.02	
Torino	S	3	7.02	0.59	0.02	0.20	0.02	

Mean value			8.19	0.57	0.02	0.17	0.02
Mean value (ng/ μ g)			/	0.07	<0.01	0.02	0.28
Brescia	S	1	6.48	0.42	0.01	0.11	0.02
Brescia	S	2	14.54	0.64	0.02	0.23	0.02
Brescia	ŝ	3	9.02	0.37	0.01	0.08	0.02
Brescia	S	4	17.08	0.35	0.01	0.06	0.02
Mean value			11.78	0.44	0.01	0.12	0.02
Mean value (ng/ μ g)			/	0.04	<0.01	0.01	0.17
Pisa	S	1	4.40	0.34	0.01	0.09	0.02
Pisa	S	2	6.36	0.38	0.01	0.11	0.02
Pisa	S	3	9.68	0.85	0.02	0.39	0.02
Pisa	S	4	2.72	0.39	0.01	0.11	0.02
Mean value			5.79	0.49	0.01	0.18	0.02
Mean value (ng/ μ g)			/	0.09	<0.01	0.03	0.38
Perugia	S	1	7.86	0.84	0.04	0.28	0.02
Perugia	S	2	4.79	0.57	0.02	0.13	0.02
Perugia	S	3	6.50	0.52	0.01	0.09	0.02
Perugia	S	4	2.97	0.44	0.01	0.04	0.02
Mean value			5.53	0.59	0.02	0.14	0.02
Mean value (ng/ μ g)			/	0.11	<0.01	0.02	0.36
Lecce	S	1	1.83	0.56	0.02	0.18	0.02
Lecce	S	2 3	5.90	0.61	0.02	0.21	0.02
Lecce	S	3	5.41	0.56	0.02	0.19	0.02
Mean value			4.38	0.58	0.02	0.19	0.02
Mean value (ng/ μ g)			/	0.17	0.01	0.06	0.53
Brescia	WII	1	19.92	8.41	0.57	4.10	0.03
Brescia	WII	2	21.46	5.95	0.59	3.27	0.04
Brescia	WII	3	9.11	4.87	0.48	2.59	0.04
Brescia	WII	4	13.35	7.28	0.84	3.92	0.04
Mean value			15.96	6.63	0.62	3.47	0.04
Aean value (ng/μg)			/	0.44	0.04	0.23	0.28

800 ^aCRM percentage recovery was found to be between 48% and 147% and the uncertainty was between 24 and 26%

 $b\sum$ Carcinogenic PAHs: benzo(a)anthracene, chrysene, benzo(b)fluoranthene, benzo(k)fluoranthene, benzo(a)pyrene, indeno(1,2,3-cd)pyrene, dibenzo(a,h)anthracene.

Sites								Net rev	vertants/r	n ³							
	-89								+\$9								
	TA100		ТА	TA 98		TA98NR		021	TA100		TA98		TA9	8NR	YG	1021	
	WI	S	WI	S	WI	S	WI	S	WI	S	WI	S	WI	S	WI	S	
Torino																	
1	4.8	-	1.3	-	1.0	-	30.8	1.7	-	-	1.5	-	0.9	-	34.3	1.6	
2	3.0	-	1.5	-	1.2	-	16.5	2.3	-	-	1.9	-	0.9	-	35.8	1.5	
3	-	-	0.9	-	0.6	-	17.7	0.7	-	-	1.0	-	0.7	-	36.6	0.8	
Brescia																	
1	-	-	0.5	-	-	-	7.7	0.8	-	-	-	-	-	-	12.9	0.7	
2	-	-	0.4	-	-	-	10.7	1.8	-	-	0.9	-	-	-	16.8	2.6	
3	-	-	-	-	-	-	9.7	0.9	-	-	0.6	-	-	-	14.6	1.1	
4	-	-	0.6	-	-	-	7.6	0.8	-	-	1.0	-	-	-	20.0	1.0	
Pisa																	
1	-	-	-	-	-	-	1.9	0.9	-	-	-	-	-	-	3.0	1.0	
2	-	-	-	-	-	-	2.9	0.4	-	-	0.7	-	-	-	7.0	0.6	
3	-	-	-	-	-	-	7.4	2.3	-	-	0.9	-	-	-	14.3	3.5	
4	-	-	0.8	-	-	-	6.8	1.0	-	-	0.8	-	-	-	19.8	0.9	
Perugia																	
1	-	-	0.5	-	-	-	7.2	7.1	-	-	0.9	-	-	-	16.4	1.5	
2	-	-	0.3	-	-	-	7.1	0.6	-	-	0.6	-	-	-	17.8	17.8	
3	-	-	-	-	-	-	3.0	0.8	-	-	-	-	-	-	7.2	7.2	
4	-	-	0.4	-	-	-	3.4	0.4	-	-	-	-	-	-	10.1	0.1	
Lecce																	
1	-	-	0.4	-	-	-	1.7	1.7	-	-	-	-	-	-	4.8	4.7	
2	-	-	0.5	-	0.4	-	4.5	4.5	-	-	0.6	-	-	-	8.2	8.2	
3	-	-	-	-	-	-	1.4	1.4	-	-	-	-	-	-	2.5	2.5	
				-89					+ S 9		5 9						
	TA100		TA100 TA98		TA98NR		YG1	YG1021		TA100		TA98		TA98NR		YG1021	
	WII		WII		WII		WII		WII		WII		WII		WII		
Brescia																	
1	-		0.2		-		5.8		-		0.6		-		8.9		
2	-		0.5		-		11.1		-		1.0		-		9.8		
3	-		0.5		-		5.4		-		0.7		-		10.8		
4	-		0.3		-		6.4		-		0.7		-		14.6		

Table 2. Mutagenic activity of $PM_{0.5}$ organic extracts in *S. typhimurium* TA100, TA98, TA98NR, and YG1021 strains with and without metabolic activation (±S9) 805 expressed as net revertants/m³ of air equivalent. WI=winter I; S=spring; WII=winter II.