



Green Protocols in Heterocycle Syntheses via 1,3-Dipolar Cycloadditions

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The aim of this review is to provide an overview of green protocols for the organic synthesis of heterocycles via 1,3-dipolar cycloaddition. Particular attention has been devoted to the use of green solvents; reactions performed in ionic liquids, fluorinated solvents and water have been included. Also explored are several protocols that make use of catalyst-free reaction conditions, the use of microwave irradiation and activation by light exposure. Improvements over commonly used organic solvents will be underlined in order to highlight environmental protection aspects and enhancements in regio- and stereo-selectivity.

Keywords: green chemistry, dipolar cycloadditions, heterocycles, aqueous medium, ionic liquids

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INTRODUCTION

The design of efficient and sustainable synthetic protocols is of primary importance to green pharmaceutical chemistry. The selection of suitable starting materials, methodologies with good atomic balance, a minimum number of steps and green solvents will allow this beneficial goal to be achieved. Heterocyclic chemistry plays a key role in drug production and the application of efficient green synthetic processes has a significant impact on pharmaceutical industries. There are a number of successful examples of benign synthetic protocol, including the use of non-conventional technologies such as microwave or ultrasound irradiations (Garella et al., 2013) and the use of water or green organic solvents (Moulay and Touati, 2010; Butler and Coyne, 2016). In the last decade, in this area, green chemistry and click chemistry have respected a pathway of rigorous principles, by means of which more efficient and greener processes can be defined (Anastas and Eghbali, 2010). Since the capital discovery by the teams of Meldal (Törnøe et al., 2002) and Sharpless (Rostovtsev et al., 2002), copper-catalyzed azide-alkyne cycloaddition (CuAAC) provides regioselectivity under mild conditions substituted triazole. Based on its large applicability, several research areas have taken advantage of its peculiar benefits and this reaction can be considered the click reaction *par antonomasia*. More in general, 1,3-dipolar cycloadditions are six π -electrons, concerted reactions that have a wide range of applications in organic synthesis and, specifically, in the preparation of five membered heterocyclic rings. Their good atomic balance and their synthetic potential resulted maximized when 5 terms heterocycles are obtained in sustainable solvents with high stereo or enantioselectivity.

Many authors still use the terms “[2+3] or [3+2] cycloaddition,” which count the number of involved atoms, but do not follow IUPAC recommendations. In this paper, we follow the

IUPAC requirements, meaning that all reactions are referred to in accordance with the following rules:

- A ($i + j + \dots$) cycloaddition is a reaction in which two or more molecules (or parts of the same molecule), provide units of i , j , in which i and j stand for linearly connected atoms. In the final product, these units become joined by new σ -bonds so as to form a cycle containing ($i + j + \dots$) atoms.
- The terminology [$i + j + \dots$] for a cycloaddition identifies the numbers, i and j , of π electrons in the interacting units that participate in the transformation of reactants to products (see <http://goldbook.iupac.org/html/C/C01496.html>).

The aim of this review is to provide an overview of green protocols for the organic synthesis of heterocycles via 1,3-dipolar cycloaddition. The azides-alkynes cycloadditions, well-known as Huisgen reactions, will not be taken into detailed account because of their large presence in literature, both in original publication and in review. In the present review particular attention has been devoted to the use of green solvents; reactions performed in ionic liquids, fluorinated solvents and water have been included. Also explored are several protocols that make use of catalyst-free reaction conditions, the use of microwave irradiation and activation by light exposure. Improvements over commonly used organic solvents will be underlined in order to highlight environmental protection aspects and enhancements in regio- and stereoselectivity.

1,3-DIPOLAR CYCLOADDITION IN IONIC LIQUIDS

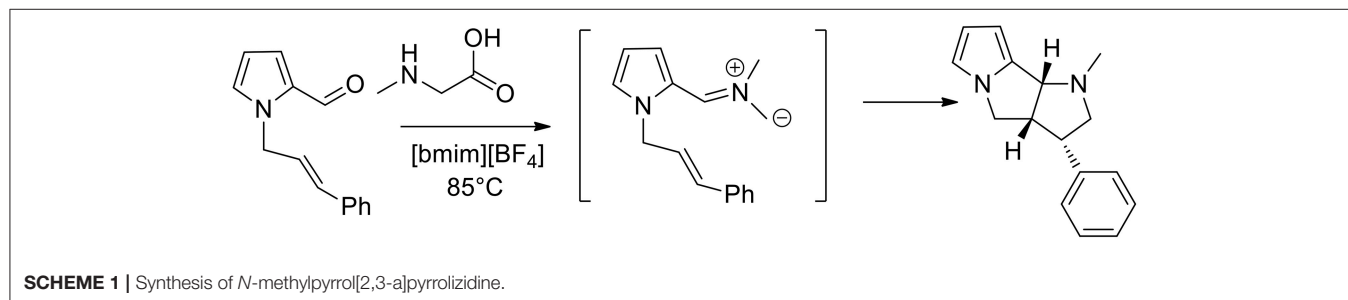
The elimination of the use of volatile organic solvents and their replacement by non-inflammable, non-volatile, non-toxic, and inexpensive green solvents is an important aspect of green chemistry. Their unique properties, including high chemical and thermal stability, solvating ability, ability to behave as both acidic and basic catalysts and recyclability, have led to ionic liquids gaining widespread recognition as green solvents that are advantageous to use in organic synthesis. Their manifold use in this context has therefore emerged as a formidable ally for green chemistry. Besides the catalyzing ability of ionic liquids, their solubility, viscosity, density, acidic, or basic character and refractive index, can be tuned by judiciously modifying the anion/cation combinations. This means that for multicomponent

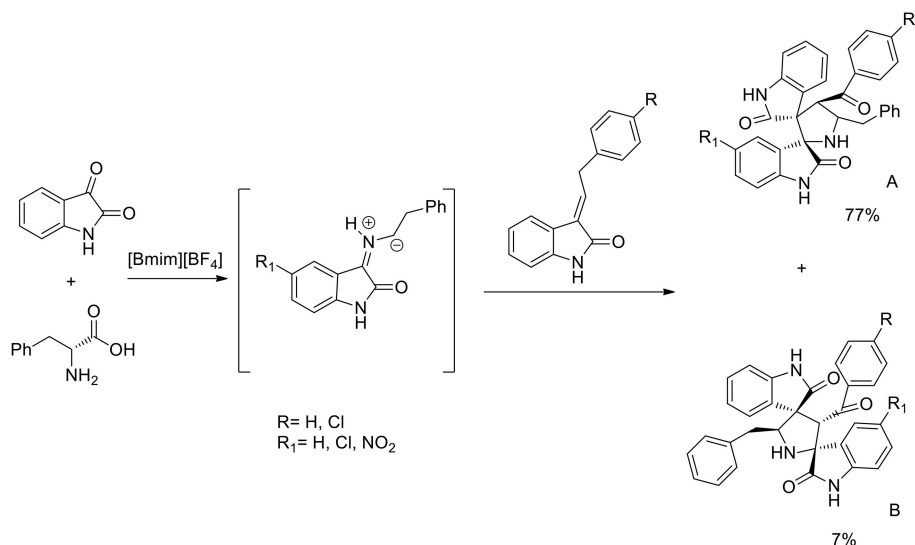
reactions and 1,3-dipolar cycloadditions, they might be the reaction media of choice.

In 2007, 1,3-dipolar azomethine ylide cycloaddition has been studied in ionic liquid and the authors observed that pyrrolizidines can be obtained in high yield at 50°C in ionic liquid 1-butyl-3-methylimidazolium [bmim][BF₄] in 10–40 min, while under conventional conditions in boiling acetonitrile it requested 90–300 min (Jadidi et al., 2007). Kathiravan and Raghunathan (2013) studied an intramolecular 1,3-dipolar in [bmim][BF₄] as the medium and pyrrolo[2,3-*a*]pyrrolizidino derivatives were obtained, as described in **Scheme 1**. Starting from pyrrole-2-carbaldehyde and allyl bromide, the authors obtained *N*-alkenyl pyrrole-2-carbaldehyde that converted in presence of sarcosine in pyrrolo[2,3-*a*]pyrrolidines, pyrrolizidines, indolizidines, and isoquinolines. The reaction was performed at 85°C and in 3 h, and pyrrolopyridines were obtained in good yields (80–92%) from the 1,3-dipolar cycloaddition reactions. To provide an optimization of the method the authors also carried out the reaction in different organic solvents, including methanol, toluene, and acetonitrile. The reaction was slower and afforded lower yields of the desired products when performed in the organic solvents compared to ionic liquid.

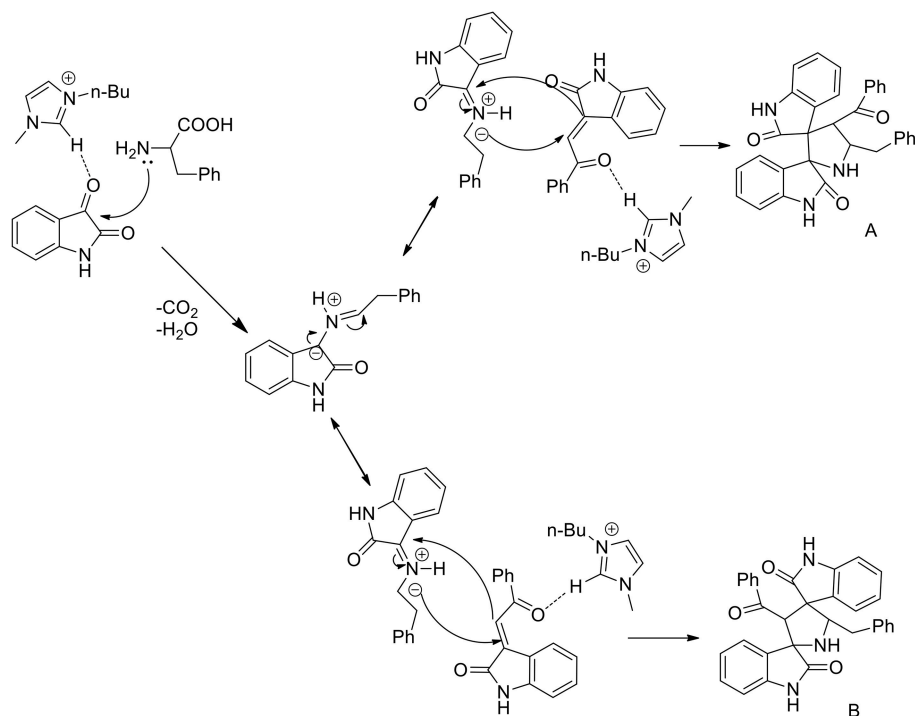
A three component 1,3-dipolar cycloaddition, one pot reaction has been described (Almansour et al., 2015) to obtain dispirooxindolopyrrolidines. *In situ* generated azomethine ylides from *L*-phenylalanine and substituted isatins were used in equimolar ratio with a series of (*E*)-2-oxoindolino-3-ylidene acetophenone in [bmim][BF₄] to furnish the cycloadducts in 70–77% yield and high regioselectivity (**Scheme 2**). When compared with organic solvent such as methanol, ethanol, dioxane, and a dioxane/methanol (1:1) mixture under heating in an oil-bath, they gave the desired product in lower yield (28–38%) with low selectivity. The same reaction was investigated in ionic liquids using catalyst in 10 mol%, [bmim][BF₄]/CuI, [bmim][BF₄]/Zn(OTf)₂. These reactions furnished both regioisomers A and B, but good yield and high selectivity were observed toward the product A. The ionic liquids [bmim]BF₄ and [bmim]Br were found to be the most suitable reaction media and as described by the authors in the proposed mechanism (**Scheme 3**), ionic liquids play the dual role of solvent and catalyst and an increase in reaction rate is observed when compared to other organic solvents.

Another example of one pot three components reactions was published in 2015 by the same authors (Arumugam et al., 2015)





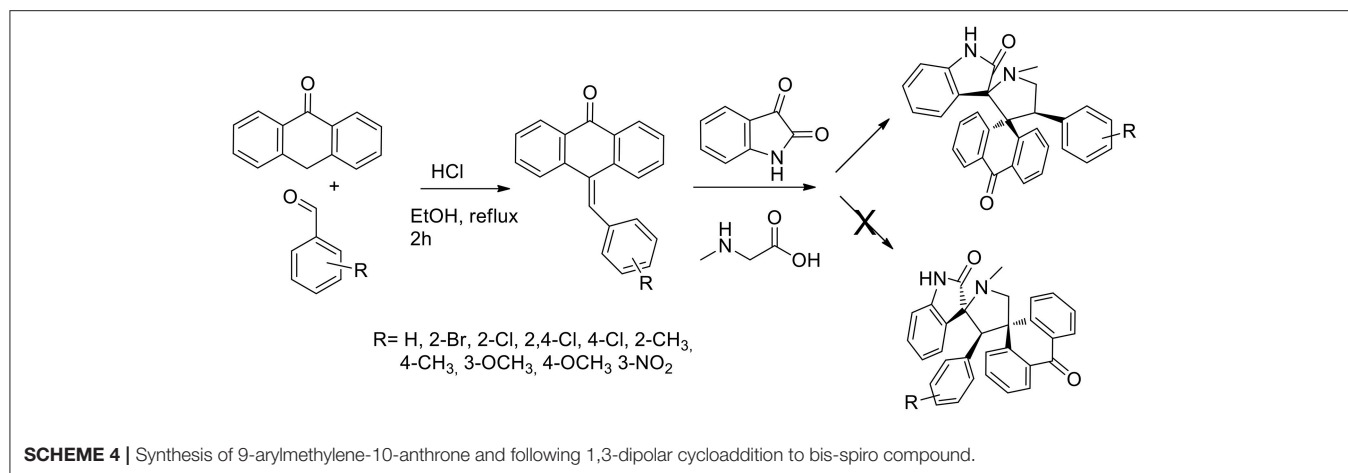
SCHEME 2 | Synthesis of dispirooxindolopyrrolidines.



SCHEME 3 | Possible mechanism of the synthesis of dispirooxindolopyrrolidine regioisomers.

that described a general and efficient path for the regio- and stereo-selective synthesis of dispirooxindole-fused anthrones. The reaction was a reaction pathway (**Scheme 4**) started from acid-catalyzed condensation of anthracen-9(10*H*)-one in presence of benzaldehydes to obtain 10-benzylideneanthracen-9(10*H*)-ones that was submitted to 1,3-dipolar cycloaddition with non-stabilized azomethine ylide from sarcosine and isatin

(*in situ* generated). When performed in ethanol, methanol, dioxane, and a dioxane/methanol (1:1 v/v) at reflux, the 1,3-dipolar cycloaddition failed. The desired product was obtained in 65% yield in 3 h in DMF at reflux. Working in the presence of [bmim]Br at 100°C, the product was obtained in high yield (89%) and the reaction rate increased compared to DMF. Moreover, the ionic liquid was recovered and reused. The

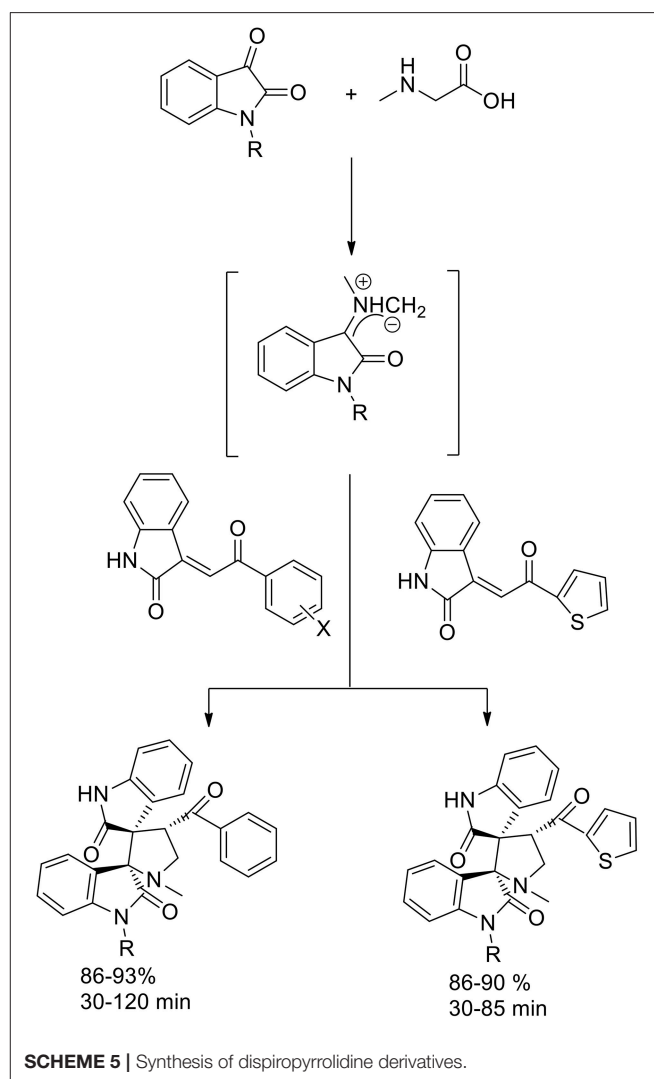


reaction was proved as regioselective. The reaction showed high tolerance toward substituted benzaldehyde and electron donating as well as electron-withdrawing substituents at *ortho*, *meta*, and *para* positions gave satisfactory yields. ¹H-, ¹³C-, and 2D-NMR spectroscopy was exploited to elucidate the stereochemistry of the final product and unambiguously the stereochemistry was confirmed using a single crystal X-Ray diffraction study.

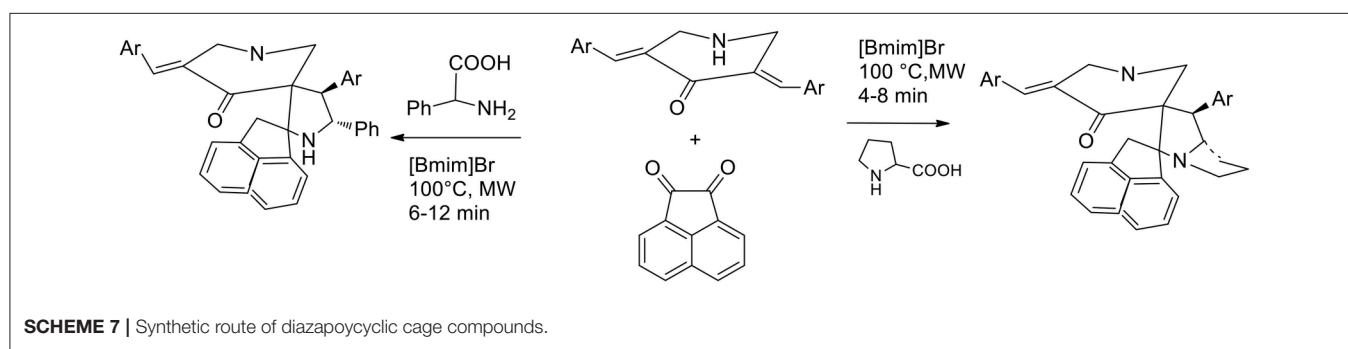
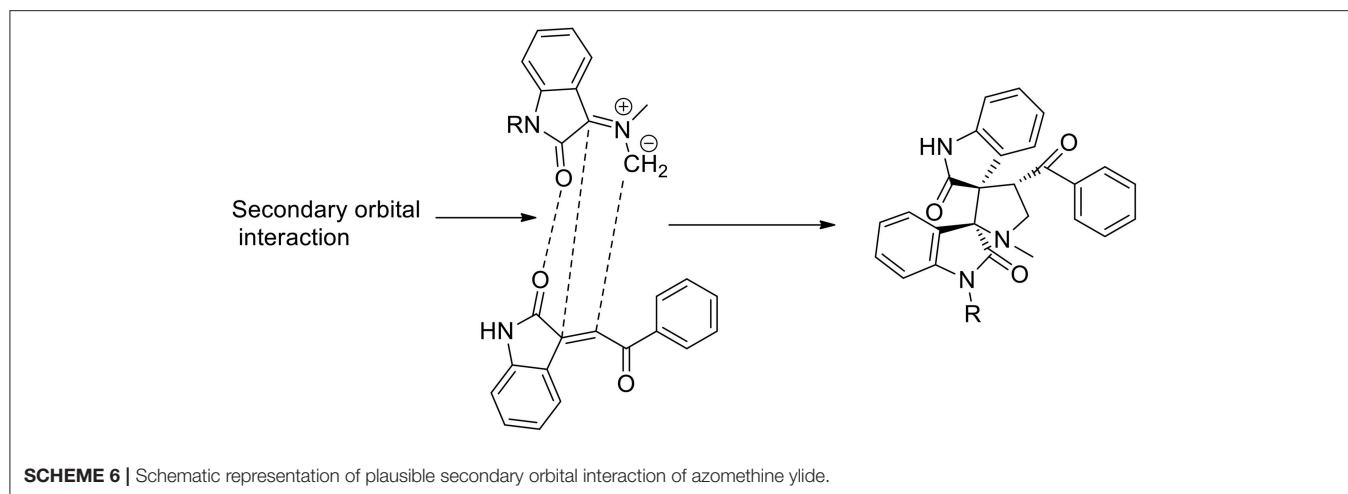
Also Jain et al. (2012) reported the enhancement in yield and rate due to the use of ionic liquid in 1,3-dipolar cycloaddition reaction *via* the generation of azomethine ylides from isatin and sarcosine (**Scheme 5**) to synthesize dispiropyrrolidine-bisoxindole. The dipolarophile employed was the olefin obtained by Knoevenagel condensation of isatin and un/substituted acetophenones and 2-acetylthiophene. In presence of [bmim]PF₆, the azomethine ylides approached the dipolarophiles regioselectively and excellent yields in short reaction times were obtained. Furthermore, there was no need for further purification processes, such as column chromatography, and the products were characterized by spectroscopic and analytical studies.

The stereoselectivity of the 1,3-dipolar cycloaddition is governed by both the orientation at which the dipole and dipolarophile approached and the conformation of the ylide. In the mentioned study, the authors hypothesize that constraints dictate that only one specific isomer can be involved in the transition state of the cycloaddition reaction. As described in the **Scheme 6**, the reaction occurs through one of the ylide geometries therefore its addition to dipolarophiles takes place under the control of relative stereochemistry at the spiro center. The formation of sterically hindered ylide was not obtained owing to steric repulsion between the oxindole carbonyl group and the methyl group of sarcosine. Ionic liquid recyclability was proved by the authors.

The parallel synthesis of novel molecular frameworks has been greatly enhanced by the discovery of the synergy between microwave irradiation and ionic liquid. Being a heterocycle of immense importance because of its potential biological activity, 1,3-dipolar cycloaddition under MW irradiation in ionic liquid has been explored for facilitating accelerations in drug discovery. Kumar et al. (2015) have studied the molecular recognition of



cage compounds and attempted the incorporation into their structure or a second cavity that can participate in structure recognition. 1,3-dipolar cycloaddition between *N*-unsubstituted



3,5-*bis*[(*E*)-arylmethylidene]tetrahydro-4(1H)-pyridinones and azomethine ylides was exploited for the synthesis of novel diazahexa- and diazaheptacyclic ring. Azomethine ylides were generated *in situ* from acenaphthenequinone and α -amino acids (initially, proline and then phenylglycine). Initially the authors performed the reaction under conventional conditions and methanol, ethanol, ethanol–1,4-dioxane mixture (1:1 v/v), and 1,4-dioxane were employed in refluxing to afford the heptacyclic cage structure in 72, 60, 70, and 69% yields, respectively. When the reaction was performed in [bmim]Br at 100°C, the desired product was recovered in 81% yield in 20 min. The synergic effect of MW irradiation and ionic liquid was proved when an equimolar mixture of the starting materials in [bmim]Br was subjected to MW irradiation at 100°C for 4 min. The cage compound was recovered in 85% yield after purification by extraction and crystallization. The ionic liquid [bmim]Br was dried under reduced pressure after product extraction and its recyclability was investigated in successive syntheses of the cage compound, revealing that its efficacy was not particularly reduced in the three subsequent runs (**Scheme 7**).

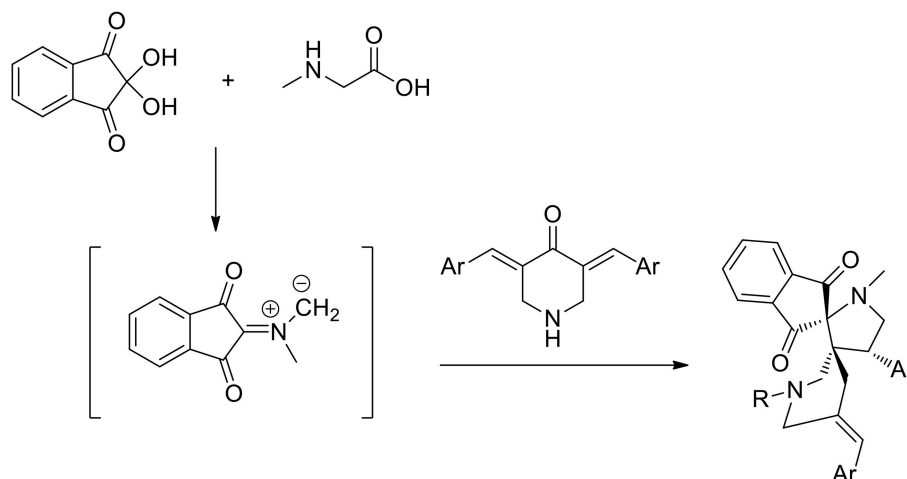
Recently guanidine ionic liquids (GILs) have also emerged and have been efficiently used, as new-generation ionic liquids, in several reactions (Henry reaction, aldol reaction, Heck reaction etc.). The preparation of novel dispiropyrrrolidine derivatives under green conditions was studied by Dandia et al. (2012) exploiting the task-specific ionic liquid

1,1,3,3-tetramethylguanidine acetate [TMG][Ac] as the reusable solvent in a 1,3-dipolar cycloaddition. The reaction was carried out in the presence of an equimolar mixture of sarcosine, ninhydrin, and 1-benzyl/methyl-3,5-*bis*[(*E*)-arylidene]-piperidin-4-one and at 80°C in 1,1,3,3-tetramethylguanidine acetate [TMG][Ac] for 3–6 h and the desired products were in good yields (86–92%). When different solvents were compared, the authors employed ethanol, methanol, dioxane, acetonitrile, and toluene, as well as several ionic liquids, including [bmim]BF₄ and [bmim]Cl. All these solvents were found to give comparatively low product yields (42–82% yield compared to 86–89% of ionic liquids in more than 5 h) and [TMG][Ac] was the best solvent, giving higher yields and shorter reaction times (92% yield in 3 h). A single regioisomer was isolated in all cases (**Scheme 8**).

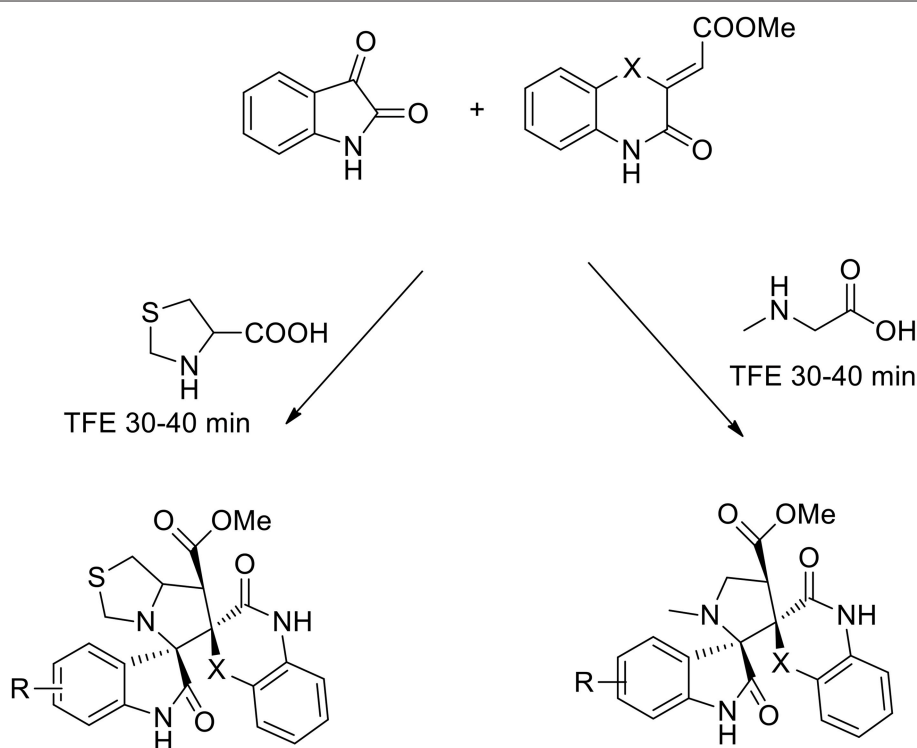
1,3-DIPOLAR CYCLOADDITION IN FLUORINATED SOLVENTS

2,2,2-trifluoroethanol is considered an ideal solvent and co-solvent because of its high ionizing power and strong hydrogen bond-donating ability, which provide good catalytic potential in a variety of organic transformations.

The use of 2,2,2-trifluoroethanol as a recoverable greener solvent was explored by Dandia, to obtain a series of novel



SCHEME 8 | Synthesis of dispiropyrrolidine derivatives.

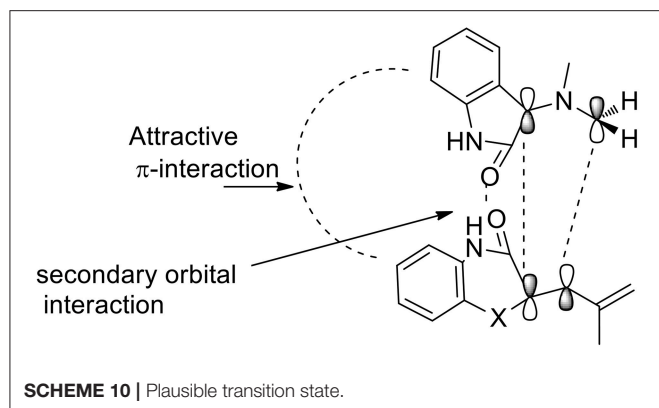


SCHEME 9 | Synthesis of benzo[1,4]oxazine (X = O) and benzo[1,4]thiazine (X = S) based dispiroheterocycles via azomethine ylides.

efficiently regio- and stereoselective dispiropyrrolidinyl/thiapyrrrolidinyl hybrid molecules via the 1,3-dipolar cycloaddition of a benzo[1,4]oxazine-derived dipolarophile, isatin and sarcosine/1,3-thiazolane-4-carboxylic acid (Dandia et al., 2015). The dipolarophile was synthesized under catalyst-free conditions from *o*-aminophenol and dimethyl acetylene dicarboxylate (DMAD) in good yields. The authors studied the cycloaddition reaction in different solvents (ethanol,

methanol, acetonitrile, 1,4-dioxane, hexafluoro isopropanol, 2,2,2-trifluoroethanol, and toluene). The best results were obtained using 2,2,2-trifluoroethanol, which gave a single regioisomer in a higher yield and a shorter reaction time than the other solvents (92% yield). The reaction was complete in 30 min vs. the 6 h requested in presence of different solvents (**Scheme 9**).

The 1,3-dipolar cycloaddition reactions proceeded in a concerted manner, meaning that the reaction is stereospecific.



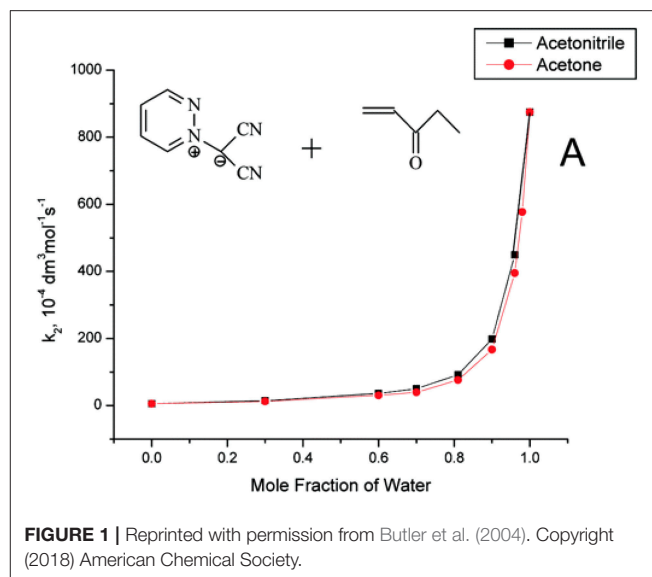
In this case, the stereochemistry of alkene benzo[1,4]oxazine would influence the stereochemistry at positions 3 and 4. As described by the authors, the formation of only one diastereomer is explained by the plausible transition state (**Scheme 10**) which is somewhat promoted by a π -interaction between the aromatic rings as well as the secondary orbital interaction (SOI) between the ylide and the orbitals of the dipolarophile's carbonyl group.

1,3-DIPOLAR CYCLOADDITION IN WATER

The use of water in organic synthesis has stimulated several applications. Despite water's unique properties, it is still not commonly used as most organic compounds do not dissolve in water, meaning that a cosolvent is needed to increase solubility. This choice tends to diminish the advantages of low cost, no-toxicity, ease workup, and product isolation in water. Therefore, organic synthesis in aqueous media includes a large number of reactions that are performed both in homogeneous and in heterogeneous conditions (Chanda and Fokin, 2009).

As described by Sharpless et al. in their study of pericyclic cycloaddition, such reactions often benefit from working in water even when the organic reactants are insoluble in the aqueous phase. In fact, this substantial rate acceleration can be due to “on water” conditions that are created when insoluble reactants are stirred in an aqueous suspension (Narayan et al., 2005). This concept has been studied and applied to several different reactions. Even when the rate acceleration is negligible, this approach can still be considered successful as it makes product isolation easier and improves safety thanks to water's high redox stability and heat capacity.

Useful information derived from comparative studies of 1,3-dipolar cycloaddition reactions in organic solvents and water. The introduction of water as a cosolvent in cycloaddition reactions in organic solvents, such as acetonitrile and acetone, gave remarkable exponential rate increases as the solvent mixture approached pure water (Butler et al., 2004). Reactions of methyl vinyl ketone, ethyl vinyl ketone, and but-3-yn-2-one with pyridazine dicyanomethanide 1,3-dipole, which is soluble in water, display rate enhancements on changing from MeCN to H₂O (**Figure 1**). As observed in pericyclic cycloadditions, such as the Diels Alder reaction, the rate enhancement may be due to: (a)



hydrophobic effects, which aggregate the organic reactants; (b) a lowering of the activation energy by special hydrogen bonding in the transition state; and (c) the fact that the cycloaddition transition state in water has higher polarity than its analog in organic solvents and, consequently, displays increased solvation stabilization in water (Butler et al., 2002).

The vast literature on the use of nitrones in the preparation of bioactive compounds pays testament to their huge synthetic potential. 1,3-dipolar nitron-olefin cycloaddition has been used to obtain isoxazolidines, and even more complex bi- or tricyclic isoxazolidines, of biological interest and others that are useful synthetic intermediates for target molecules (De March et al., 1999; Fisera, 2007; Molteni, 2016). The fact that the formation of nitrones is due to the dehydration reactions of substituted hydroxylamine and carbonyl compounds drove A. Chatterjee et al. to explore the formation of nitrones in aqueous media using surfactants. As depicted in **Figure 2**, the micelles are hydrophobic and protect water-labile molecules from hydrolytic decomposition (Chatterjee et al., 2003).

The authors started with a model reaction between phenyl hydroxylamine and various aldehydes, in which cetyl trimethylammonium bromide (CTAB) showed better performance than sodium dodecyl sulfate (SDS) in preparing nitrones. This is presumably due to stronger binding with the substrate. Interestingly, no reaction was observed when the reaction was performed in water in the absence of a surfactant or neat. The same procedure was therefore used to obtain a stereoselective intramolecular nitron cycloaddition (Chatterjee and Bhattacharya, 2006). Furanoside-5-aldehydes derivatives were reacted with phenyl hydroxylamine to form the corresponding nitrones in aqueous media and the reaction was catalyzed by a surfactant at room temperature. The nitron intermediate underwent stereoselective intramolecular cycloaddition as described in **Scheme 11**. Interestingly, the authors observed the formation of only one of the four possible

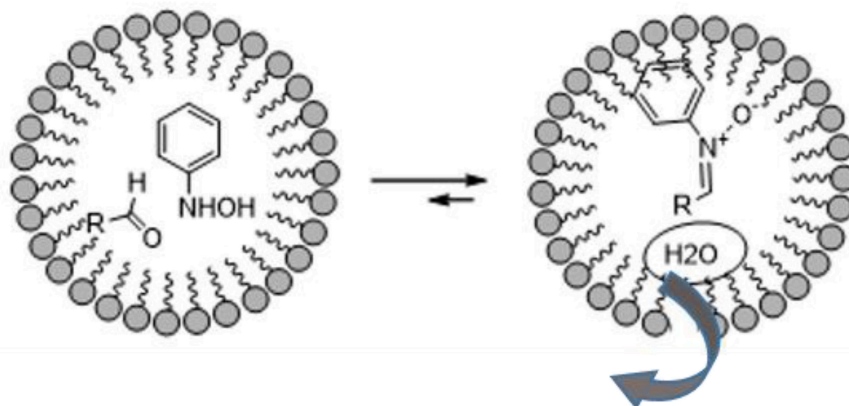
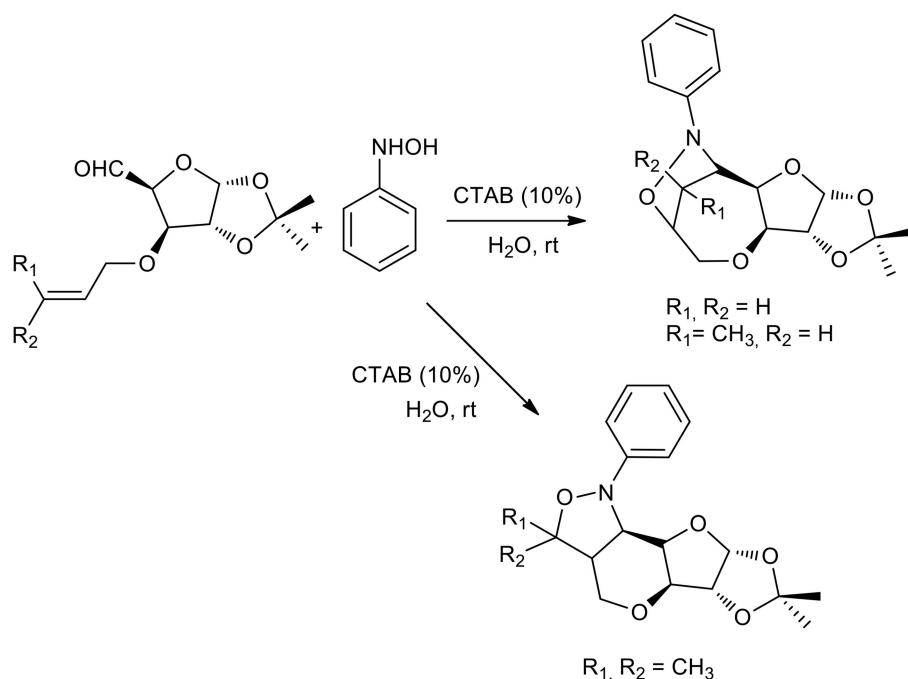


FIGURE 2 | Schematic representation of nitrones synthesis in micelle.



SCHEME 11 | 1,3-dipolar cycloaddition with furanoside-5-aldehydes derivatives and phenyl hydroxylamine.

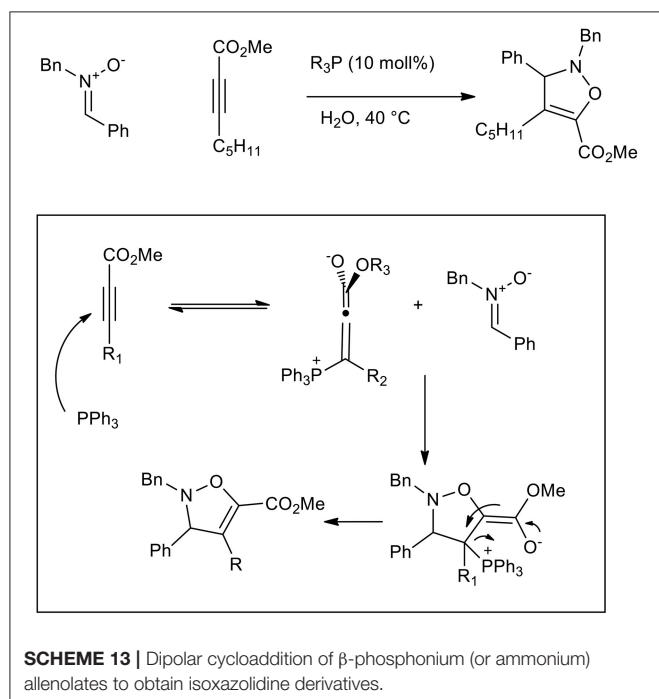
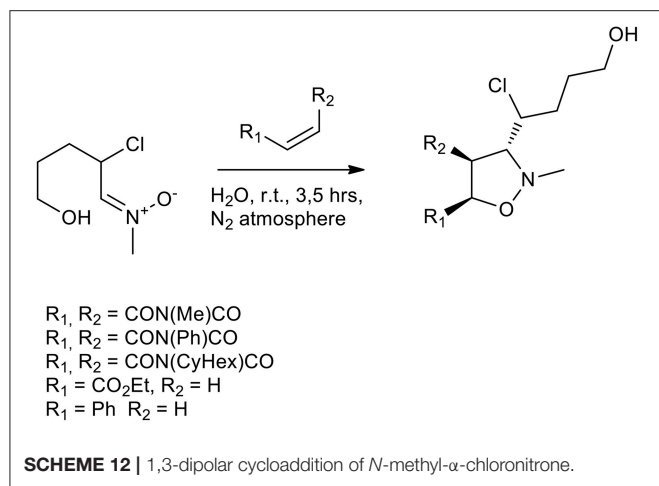
isomers in these surfactant-mediated intramolecular nitrone cycloadditions. The nitrones of 3-O-allyl glucofuranose produce bridged isoxazolidines-oxepanes, as do the nitrones of crotyl derivatives. By contrast, nitrones of prenyl derivatives produce pyrans. This may be due to methyl-methyl steric repulsion restricting the formation of the oxepane skeleton.

Several other attempts to perform 1,3-dipolar cycloadditions in the presence of previously synthesized nitrones have been performed in water without the addition of a surfactant. *N*-methyl- α -chloro nitrone reacted quickly with ethyl acrylate, styrene and three different maleimides (*N*-methyl/phenyl/cyclohexyl) (**Scheme 12**). Yields were in the

range of 91–97% and the ratio *anti/syn* was 7:3 in average (Chakraborty et al., 2010, 2012).

The 1,3-dipolar cycloaddition of nitrones in water has also been used to react two free sugar derivatives to produce pseudo-disaccharides, which bear imino-galactofuranose and galactofuranose units, in a 51% yield (Liautard et al., 2008). The reaction exploited the reactivity of water soluble nitrones with high enantioselectivity.

Triphenylphosphine and tertiary amines can be used to activate conjugated carbonyl alkynes toward 1,3-dipolar cycloaddition. In fact, β -phosphonium (or ammonium) allenolates perform as reactive dipolarophiles in aqueous 1,3-dipolar cycloadditions



and have been used to give 2,3-dihydroisoxazole (**Scheme 13**) (Gonzalez-Cruz et al., 2006). The reaction increased the reaction yield up to 68% when LiCl was used and interestingly only one regioisomer was isolated, while no reaction was observed in toluene and DCM. By comparison with tertiary amines, PPh_3 showed the best catalytic activity in the presence of aromatic nitrone (68% yield vs. 59%), while quinuclidine was more active with aliphatic nitrones. As has already been reported, reagents solubility in water is not required as they react in suspension (“on water”).

A catalytic amount of γ -cyclodextrin (γ -CD) has been used by G. Floresta et al., to produce 3,5-diarylisoxazolidines via the 1,3-dipolar cycloaddition of several *p*-substituted nitrones, styrenes and cinnamate derivatives (Floresta et al., 2017). The cyclodextrin

cavity acted as a reactor and heating at 100°C for 8–12 h gave a set of derivatives in good yields with moderate to excellent diastereoselectivity. Interestingly, β -CD was inactive as a nano-reactor, while γ -CD was found to efficiently form inclusion complexes. The formation of the complex was confirmed by HR FT-ICR MALDI-MS Studies and NMR spectra. The authors rationalized by an *in silico* study the cycloaddition process and an *E-endo* transition state and that the *cis* major adduct is the more reactive rotamer of the nitrone. The calculation suggests that the transition stage is positioned within the CD reactor, as depicted in **Scheme 14**.

In 2008, an interesting publication demonstrated the influence that the solvent can have on the production of isoxazolidine. Alkenylazaarenes were utilized as dipolarophiles for the preparation of 4-substituted and 5-substituted isoxazolidines with nitrones giving high regioselectivity under a range of reaction conditions. Reaction in water under microwave in a sealed vessel gave in high to excellent yields only 5-substituted isoxazolidines, while the 4-substituted analogs were obtained as the major products when a Lewis acid, TMSOTf, was used as the catalyst in DCM at room temperature (**Scheme 15**) (Tong et al., 2018).

Oxindole derivatives that bear a spirocyclic quaternary stereocentre at the C3 position are interesting heterocyclic motifs generally found in a number of natural products and drugs.

Several green approaches for the synthesis of spiro-indole have been described as occurring in the presence of water as a solvent. Despite the common use of halogenated organic solvents, the “on-water” generation of carbonyl ylides using carbenoids was described in 2015 (Muthusamy and Ramkumar, 2015). The proposed synthesis catalyzed by rhodium(II) acetate dimer, afforded spiro-oxiranes from carbonyl ylide dipoles and diazoamides (3-diazooxindoles) (**Scheme 16**). Spirodioxolanes were obtained with complete diastereoselectivity when carbonyl ylides were obtained using aromatic aldehydes with electron-withdrawing substituents, while with electron-donating substituents the 1,3-dipolar cycloaddition reactions were observed.

The reaction afforded *cis* products in both the spiro-oxirane and spiro-dioxolane syntheses. In the presence of 1.2 equivalents of aromatic aldehyde, the acyclic carbonyl ylide underwent the intermolecular stereoselective dipolar cyclization in a particular conformation, which involved an intramolecular hydrogen bond, to give epoxides (**Scheme 17**). When an excess of aldehyde was used (4 equivalents), the reaction happened “on water” as the nucleophilic addition of water was not observed to occur. Nevertheless, the free interfacial-water OH groups may stabilize the possible transition state.

A three-component, 1,3-dipolar cycloaddition of *in situ* generated azomethine ylides from isatin derivatives and benzylamine, with benzylideneacetone can be employed to give spiro-pyrrolidine-oxindoles (Peng et al., 2014). This reaction can be performed in water to give the desired product in a 23% yield and a 68:32 regioisomeric ratio.

The use of Lewis acids, such as Ceric Ammonium Nitrate (CAN) or TiO_2 , in the formation of spirooxindoles in water has been investigated, giving excellent results (**Scheme 18**)

