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# New insights into the zircon-reidite phase transition

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# **REVISION 1**

2	New insights into the zircon-reidite phase transition
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14	ABSTRACT
15	The structure, the elastic properties and the Raman frequencies of the zircon and reidite polymorphs
16	of ZrSiO <sub>4</sub> were calculated as a function of hydrostatic pressure up to 30 GPa using HF/DFT ab-
17	initio calculations at static equilibrium (0 K). The softening of a silent (B <sub>1u</sub> ) mode of zircon leads to
18	a phase transition to a "high-pressure - low-symmetry" (HPLS) ZrSiO <sub>4</sub> polymorph with space group
19	$I\overline{4}2d$ and cell parameters a=6.4512 Å c=5.9121Å V = 246.05Å <sup>3</sup> (at 20 GPa). The primary
20	coordination of SiO <sub>4</sub> and ZrO <sub>8</sub> groups in the structure of zircon is maintained in the high-pressure
21	phase, and the new phase deviates from that of zircon by the rotation of SiO <sub>4</sub> tetrahedra and small
22	distortions of the ZrO <sub>8</sub> dodecahedra. The new polymorph is stable with respect to zircon at 20 GPa
23	and remains a dynamically-stable structure up to at least 30 GPa. On pressure release the new phase
24	reverts back to the zircon structure, and therefore cannot be quenched in experiments. In contrast,
25	the transformation from zircon to reidite is reconstructive in nature and results in a first-order

transition with a volume and density change of about 9%. The calculated energies from the DFT

simulations yield an equilibrium transition pressure of 9.13(1) GPa at 0 K. Simulations of the Raman spectra of the three polymorphs at 20 GPa show how they can be distinguished. In particular, the peak due to the lowest-energy  $A_1$  mode with a calculated wavenumber of 94 cm<sup>-1</sup> is diagnostic of the HPLS phase because it does not overlap with any of the peaks of zircon or reidite.

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32 Keywords: zircon, reidite, phase transition, Raman spectroscopy, high pressure

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## **INTRODUCTION**

Zircon (ZrSiO<sub>4</sub>, space group  $I4_1/amd$ ) is an extremely stiff material and upon meteorite 34 bombardment shock microstructures form within it at pressures of 20 GPa or more (Leroux et al. 35 36 1999). These microstructures remarkably survive subsequent metamorphism without being 37 obliterated and, therefore, they can provide diagnostic criteria to identify impact structures (French 1998; French and Koeberl 2010, Wittmann et al. 2006). During shocks zircon may transform into 38 39 the high-pressure scheelite-type polymorph reidite (space group  $I4_1/a$ ). Naturally-occurring reidite was first identified using X-ray diffraction (XRD) in zircon grains from Eocene ejecta associated 40 41 with the ~90 km diameter, ca. 35.7 Ma Chesapeake Bay impact structure (Glass and Liu 2001; Glass et al. 2002) and subsequently in several other impact structures (Gucsik et al. 2004; Wittmann 42 et al. 2006, Wittmann and Reimold 2009, Chen et al. 2013, Cavosie et al. 2015, Singleton et al. 43 44 2015, Reddy et al. 2015, Cavosie et al. 2018). Therefore, in order to study the origin of impact structures and to further constrain the impact conditions it is crucial to understand the stability 45 relationships between zircon and its high-pressure polymorph reidite. 46

This phase transition from zircon to reidite has a volume decrease of about 9% (e.g. Reid and Ringwood 1969; Ono et al. 2004a) which suggests that it is thermodynamically first-order. Moreover, the topological differences in the atomic linkages indicate that the zircon-reidite phase transition is reconstructive, which is supported by ab initio calculations (e.g. Marqués et al. 2006, Dutta and Mandal 2012a). Because of the reconstructive nature of the zircon-reidite transformation, the equilibrium transition pressure is not well constrained. At elevated temperatures of 1000–1500

K the pressure-induced zircon-to-reidite phase transition occurs near 8 GPa (Reid and Ringwood 53 54 1969, Ono et al. 2004b). Several static loading experiments in diamond-anvil pressure cells at room temperature have shown the presence of reidite when the pressure is increased above 18-23 GPa 55 (Knittle and Williams 1993; Van Westrenen et al. 2004, Morozova 2015). However, there seems to 56 be a discrepancy between the detection of reidite by Raman spectroscopy in the samples 57 compressed to ca. 20 GPa and higher pressures at room temperature, and the results of X-ray 58 59 diffraction which show evidence only of zircon (van Westrenen et al. 2004). On the other hand, shock wave studies found this transformation to occur at much higher pressures (30-50 GPa) (Liu 60 1979, Kusaba et al. 1986, Gucsik et al. 2004) and very quickly, at microsecond time scales. The 61 62 latter observation favors a martensitic transformation mechanism for impact-produced reidite, since 63 other solid-state mechanisms seem unlikely to operate on the nanosecond to seconds timescale of shock events because diffusion rates are too slow (Langenhorst and Deutsch 2012). 64

Therefore, to elucidate the pressure-induced structural transformations of zircon we have performed *ab initio* simulations of zircon and reidite to determine their structures, elastic behavior, phonon frequencies and the energy curves as a function of pressure (up to 25 GPa and 17 GPa respectively) at 0 K, which allow us to resolve the ambiguities in the experimental data. We also provide calculated Raman spectra which will aid in the identification of the polymorphs of zircon.

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#### **METHODS**

Ab initio HF/DFT simulations were performed with the two most recent versions of the CRYSTAL code, CRYSTAL14 (Dovesi et al., 2014) and CRYSTAL17 (Dovesi et al. 2018), employing the hybrid Hamiltonian WC1LYP which is particularly suitable for the correct reproduction of the elastic and vibrational properties of crystals (e.g. Prencipe 2012; Aliatis et al. 2015; Stangarone et al. 2016, 2017). In this Hamiltonian the Wu-Cohen DFT-GGA exchange contribution (Wu and Cohen 2005) is corrected by mixing it with a percentage (16%) of the exact non-local Hartree-Fock exchange. The correlation is described by the LYP functional (Lee et al. 1988). The localized

contracted atomic basis sets used were Si 86-311G(1d) (Pascale et al. 2004) and 8-411G(2d) 79 80 (Valenzano et al. 2006) for Si and O, respectively, and the Hay–Wadt (HAYW) small-core (SC) pseudopotential basis SC\_HAYWSC-3111(32111df) (Sophia et al. 2013) for Zr. The basis sets 81 82 were taken from the repository at the address http://www.crystal.unito.it/basis-sets.php. In CRYSTAL, the level of accuracy in evaluating the Coulomb and Hartree–Fock exchange series is 83 controlled by five parameters (Dovesi et al. 2018), specified by the TOLINTEG keyword in 84 85 CRYSTAL. These parameters were set to 8, 8, 8, 8, and 18. Reciprocal space was sampled using a regular sublattice with a shrinking factor of 4, corresponding to 24 independent k vectors in the 86 irreducible part of the Brillouin zone. The exchange-correlation DFT contributions to the Fock 87 88 matrix were evaluated by numerical integration over the unit cell volume. Radial and angular points 89 for the integration grid were generated through Gauss-Legendre radial quadrature and Lebedev two-dimensional angular point distributions. In the present work, a pruned grid with 75 radial and 90 91 974 angular points was used (see XLGRID keyword in the CRYSTAL manual). Such parameters defined grids of 17604 points in the unit cell for zircon, and 32094 for reidite. A measure of the 92 93 high numerical accuracy reached with the grids is the evaluation of the total number of electrons in the unit cell, by the numerical integration of the electron density over the cell volume. For zircon 94 95 we obtained 116.00003 electrons and for reidite 116.00013, both out of 116, for the reference static 96 volume (0 K, with no zero-point vibrational effects included) at 0 GPa. The crystal structures were optimized on the basis of analytical energy gradients with respect to fractional atomic coordinates 97 and unit cell parameters (Doll 2001, Civalleri et al. 2001). Default values were chosen for 98 99 convergence of gradient components as well as nuclear displacements. For the optimized crystallographic structure, the calculation of all phonon modes in zircon was carried out at static 100 equilibrium. Vibrational wavenumbers and normal modes were calculated within the limit of the 101 harmonic approximation, by diagonalizing a mass-weighted Hessian matrix, whose elements are the 102 second derivatives of the full potential of the crystal with respect to mass weighted atomic 103 displacements. The first derivatives of the energy with respect to the atomic positions are calculated 104

105	analytically (Doll et al. 2001), whereas the second derivatives are numerically calculated by setting
106	the nuclear displacements to 0.003 Å from the equilibrium positions. The phonon frequencies and
107	the structural data (atom coordinates and unit-cell parameters) are reported in the deposited
108	crystallographic information files (CIFs). Relative Raman intensities were computed using a fully
109	analytical approach (Maschio et al. 2013) implemented in the CRYSTAL14 program. It combines
110	analytical gradients (Doll et al. 2001) with solutions of first-order and second-order coupled
111	perturbed Hartree-Fock/Kohn-Sham equations (Ferrero et al. 2008) for the linear and quadratic
112	orbital responses to electric fields in the different Cartesian directions. The thresholds for
113	convergence of the coupled perturbed equations were set to the default values (Dovesi et al. 2014).
114	Simulations were performed at 10 pressures from 0 to 25 GPa for zircon and 8 pressures to 17 GPa
115	for reidite. The <i>P-V</i> equations of state have been computed from these data with both CRYSTAL17,
116	by using the fully-automated scheme (option EOS), or with CRYSTAL14 by fitting the unit-cell
117	volumes and pressures from the simulations with EosFit7c (Angel et al. 2014). Both methods yield
118	the same results within numerical rounding errors.

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#### **RESULTS AND DISCUSSION**

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# 122 Zircon Structure

The structure of zircon contains Si in tetrahedral coordination by oxygen, and Zr in 8-fold coordination by oxygen in the form of a triangular-faced dodecahedron (sometimes called a subdisphenoid). Four of the 18 edges of each of the  $ZrO_8$  polyhedra are shared with neighboring  $ZrO_8$ polyhedra to form a 3-dimensional framework (Figure 1a). A further two edges are shared with two SiO<sub>4</sub> tetrahedra to form chains of alternating edge-shared SiO<sub>4</sub> and  $ZrO_8$  polyhedra that run along the *c*-axis. The remaining four edges of the SiO<sub>4</sub> tetrahedra are unshared and the SiO<sub>4</sub> tetrahedra are isolated from one another.

The optimized structure of zircon obtained from the DFT simulation at static conditions (0 K, with 130 131 no zero point vibrational effects included) and zero pressure exhibits unit-cell parameters that are about 0.6% larger than recent experimental values at room conditions (Finch et al., 2001, Kolesov 132 et al. 2001, Finch and Hanchar 2003) and the Si-O bonds and the shorter Zr-O bonds (around 2.15 133 Å) in the simulation are both about 0.7-0.8% longer than the experimentally-determined values. 134 This behavior is expected when GGA functionals are employed, and is probably due to the self-135 136 interaction error (Cremer 2001) as the overestimation of the volume of the core region where the electron correlation is large (due to the high electron density) is not perfectly corrected by the DFT 137 functionals. However, since the core region plays no role in determining chemical properties of the 138 139 system, this is not a problem. What is important for studying the phase transformation of zircon to reidite, which have the same primary coordination of Si and Zr, is that the local structural 140 distortions in zircon are well-reproduced by the DFT simulation. Thus, the Zr-O bonds to the shared 141 142 dodecahedral O-O edges with the SiO<sub>4</sub> tetrahedra are 0.13 Å longer than those to O not involved in edge-sharing with the SiO<sub>4</sub>, and the O-Si-O angle to the same shared edge is only 96.5 $^{\circ}$  (Figure 2), 143 which are within 2 esd's of the experimental values at room conditions (Finch et al., 2001, Kolesov 144 et al. 2001). 145

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#### 147 Zircon under hydrostatic pressure

The simulation at 5 GPa, and the changes from the simulation at zero pressure (both at 0 K), show the same approximately uniform compression of the structure reported in the only (to the authors' knowledge) experimental high-pressure structural study of zircon (Hazen and Finger 1979) which was limited to a maximum pressure of 4.8 GPa. The higher precision of the DFT simulations, together with the simulations to the highest pressure of 25 GPa, allow the static compression mechanisms to be defined in more detail. The O-Si-O angles change by less than 1° over this entire pressure range, meaning that the distortion of the SiO<sub>4</sub> tetrahedra remains almost unchanged. However, the Si-O bond lengths shorten considerably, and the bulk modulus of the tetrahedra is
351(2) GPa. In contrast, the shorter Zr-O bonds are significantly softer than the longer Zr-O bonds,
because the longer Zr-O bonds are to the oxygen atoms involved in the shared polyhedral edge with
the SiO<sub>4</sub> tetrahedra, and are therefore stiffened by Zr-Si repulsion (Hazen and Finger 1979). The

- 159 overall bulk modulus of the  $ZrO_8$  polyhedra in zircon calculated from the DFT simulations is 209(1)
- 160 GPa, so they are significantly softer than the  $SiO_4$  tetrahedra.

The isothermal Reuss bulk modulus of zircon obtained from fitting a third-order Birch-Murnaghan 161 equation of state (BM3 EoS) to the unit-cell volumes of the static DFT simulations (i.e. without 162 zero-point vibrational effects) at high pressures is 220.3(5) GPa, indicating that the compression of 163 the ZrO<sub>8</sub> polyhedra dominate the bulk properties of zircon, with a  $K_0' = 4.71(4)$ . The bulk modulus 164 is lower than the value of 227(2) GPa at 0 K that can be derived from a complete analysis of all 165 available experimental data (Zaffiro et al. 2018). The pressure derivative of the bulk modulus,  $K_0$ ', 166 cannot be reliably determined from currently-available experimental data, and has been estimated to 167 lie in the range 5 to 6.5 at 300 K (Zaffiro et al. 2018). The DFT simulations reproduce the correct 168 169 sense of elastic anisotropy (Table 1) known from experimental data (Ozkan and Jamieson 1978, Zaffiro et al. 2018) with the *c*-axis being significantly stiffer under compression than the *a*-axis as a 170 consequence of the chains of edge-sharing of the SiO<sub>4</sub> and  $ZrO_8$  polyhedra that run parallel to the *c*-171 172 axis .

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## 174 Raman vibrational frequencies of zircon

The experimental Raman shifts at 70 K reported by Syme et al. (1977) show a good agreement with the Raman active modes calculated at zero pressure and 0 K (Table 2). The calculated trends of the Raman shifts with pressure are in good agreement with recent experimental data up to 10 GPa obtained from non-metamict single crystals under hydrostatic pressure (Pina-Binvignat et al. 2018). That experimental study also confirmed that zircon does not undergo any phase transition under

hydrostatic pressures up to 10 GPa at room temperature. The wavenumbers of most of the Raman 180 181 modes are predicted to increase with increasing pressure, meaning that  $\partial \omega / \partial P$  is positive (Table 2), in agreement with the experimental data. As expected, bond lengths decrease as pressure (evaluated 182 at the static level) is imposed on the crystal and this also changes the energetics of the crystal: the 183 184 shortening of the interatomic distances increases the energy of the structure at the static equilibrium and also the restoring forces on the atoms, and therefore the vibrational frequencies. However, two 185 Raman-active modes of zircon ( $E_{g1}(1)$  at  $\omega_{DFT} = 197 \text{ cm}^{-1}$  and  $B_{2g}$  at  $\omega_{DFT} = 250 \text{ cm}^{-1}$ ), show the 186 opposite behavior, with softening of the vibrational frequency with increasing pressure. This is also 187 188 in agreement with the experiments as shown in Figure 3 and Table 2.

As displayed in the animated sketches of the vibrations of these two modes shown in Figures S1 189 and S2 (see also Sheremetyeva et al. 2018), the specific atom displacements involved in these two 190 modes are mainly *rigid* movements of the polyhedra which do not significantly modify the bond 191 lengths. In the  $B_{2g}$  mode the  $Zr^{4+}$  ions do not move appreciably, while the SiO<sub>4</sub> units undergo a 192 193 shearing motion that does not significantly modify the bond lengths. Similarly the  $E_g(1)$  mode consists of a *rigid* rotation around the *c*-axis of the SiO<sub>4</sub> without changes in the Si–O bond lengths. 194 In both cases, the application of external hydrostatic pressure slightly reduces the force constants, 195 196 resulting in a lowering of the normal mode wavenumber with a negative  $\partial \omega / \partial P$  coefficient. In other words, the increase of the repulsion among the nuclei due to the volume contraction is dynamically 197 partially compensated by the low-frequency SiO<sub>4</sub>-rotation modes, which facilitate the reduction of 198 the Si-O-Si bond angles and the increase of internuclear distances of the static equilibrium 199 configuration (see the discussion in Prencipe et al. (2011)). As a consequence, the potential wells 200 201 become shallower and the corresponding vibrational frequencies decrease as pressure increases.

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In Table 2 we also report  $\partial \omega / \partial P$  from our simulations up to 25 GPa, which shows that  $\partial \omega / \partial P$ becomes more negative as pressure increases. However, none of the calculated frequencies of the Raman-active modes goes to zero, so this softening does not imply that the structure becomes dynamically unstable. Nonetheless our calculations show that the lowest-frequency mode at 131 cm<sup>-1</sup>, which is a silent mode with  $B_{1u}$  symmetry and thus not observable with conventional experimental Raman spectroscopy, softens completely to zero at around 20 GPa (Figure 4). Therefore, according to our calculations, if hydrostatic pressure conditions are maintained up to 20 GPa at 0 K zircon undergoes a displacive phase transition. This phenomenon was already reported by Smirnov et al. (2008) in DFT simulations of zircon up to 70 GPa, but the issue was not further discussed.

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## 214 High-Pressure – low-symmetry new polymorph

215 Following the procedure used in Prencipe et al. (2011), the soft mode was analyzed by initially shifting the atoms of the unit cell along the eigenvector associated with the  $B_{1u}$  mode:  $I\bar{4}2d$  space 216 group symmetry resulted. By optimizing the geometry in this space group and keeping the cell 217 volume fixed at the value corresponding to the softening (P = 20 GPa and V =  $246.05\text{\AA}^3$ ), the 218 219 optimised structure has a static energy lower than the one resulting from the optimization at the same cell volumes but in the  $I4_1/amd$  space group. Therefore, the new polymorph can be described 220 221 as a high-pressure – low symmetry zircon (HPLS ZrSiO<sub>4</sub>), with a space group  $I\overline{4}2d$  that is a direct subgroup of the space group  $I4_1/amd$  of zircon. After re-optimization, the structure does not show 222 223 any drastic structural reconstruction but subtle structural differences which break the symmetry of zircon. The new phase has very similar unit-cell parameters, a = 6.399 Å, c = 5.881Å at P = 25 224 GPa, compared to values for zircon constrained to the higher symmetry at the same pressure, of a =225 6.426 Å, c = 5.890 Å. Because the transition is driven by a soft mode and is displacive in character, 226 the structural topology of the new polymorph is the same as that of zircon, containing SiO<sub>4</sub> 227 tetrahedra and  $ZrO_8$  dodecahedra, linked in the same way, so it is almost indistinguishable from 228 zircon when viewed in the same orientation as zircon in Figure 1. Compared to zircon, all of the 229 atoms of the high-pressure phase occupy Wyckoff positions of lower symmetry, and the  $\overline{4}$ 230

231 symmetry of the Si position which is  $\overline{4}$ m2 in zircon allows the tetrahedra to be rotated compared to the fixed orientation in zircon. This is most obvious when the two structures are viewed down the c-232 233 axis (Figure 5). The oxygen atom therefore is no longer required to occupy a position on a  $\{100\}$ mirror plane (as in zircon) but occupies an unconstrained general equivalent position within the new 234 polymorph. Comparison of the structures of zircon (constrained to  $I4_1/amd$  symmetry) and the 235 relaxed structure of the new polymorph obtained from DFT simulations at the same pressures above 236 237 the transition show that the individual bond lengths, O-Si-O angles, and O-O distances within the SiO<sub>4</sub> tetrahedra are identical within the uncertainties in the simulations. Therefore, the mechanism 238 239 of the transition is one of rotation of the  $SiO_4$  tetrahedra around the *c*-axis of the structure, which is the same distortion that is generated by the soft  $B_{1u}$  mode within the zircon structure (Figure 5). 240 This rotation alone, without any other distortion, leads to a reduction of the *a* and *b* cell parameters, 241 a slight reduction in volume, and no change in the c cell parameter. The simulated structures of the 242 new polymorph however also show a small reduction in the c cell parameter compared to zircon, 243 244 which is due to small changes in the distortion of the ZrO<sub>8</sub> dodecahedra. At 25 GPa, the new phase 245 is 1% denser than zircon.

In summary, this phase transition is allowed by symmetry to be continuous in nature, and above 20 246 247 GPa the spontaneous volume change (the volume difference between the HPLS phase and zircon at the same pressure) as well as the cosine of the tetrahedral rotation angle (Figure 5) evolve linearly 248 with pressure, which would be consistent with the transition being second-order in character (in the 249 Landau sense e.g. Carpenter et al. 1998). The wavenumber of the B<sub>1u</sub> mode in zircon extrapolates to 250 251 zero at ca. 19.5 GPa, and at 20 GPa it becomes imaginary, showing that the zircon structure 252 becomes dynamically unstable between 19.5 and 20 GPa (Figure 4). A simulation of the HPLS polymorph at 20 GPa confirms this; the tetrahedral rotation angle is 5.2° (clearly visible in Figure 253 5), and at higher pressures the tetrahedral rotation angle continues to increase. The phonon 254 255 frequencies of the new structure under hydrostatic pressures up to 30 GPa remain positive, showing 256 that it remains dynamically stable and does not undergo any further displacive-type phase

transitions in this pressure range (Figure 4). A similar behavior is seen for the Raman-active mode 257 at 40 cm<sup>-1</sup> in the high-symmetry phase of  $Pb_3(PO4)_2$  under high pressure (Mihailova et al. 2015). 258 However, linear extrapolation of the volume strain of the HPLS phase to zero would suggest instead 259 that the transition occurs not at 19.5 GPa but at a static pressure of 16.5 - 17 GPa in our simulations, 260 which then appears to indicate that the transition has a small  $\Delta V$  step of 0.4% between 19.5 and 20 261 GPa. This apparent discrepancy with the character of the phase transition may be due to the 262 263 difficulties within DFT of simulating small distortions of the structure near to the phase transition. We also note that the simulations are performed at static pressures and the true character and the 264 transition pressure may be slightly different in zircon at finite temperatures. Only experimental data 265 266 could resolve this question.

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## 269 **Reidite structure and elasticity**

The only published experimental structure of reidite was based on a low-resolution powder 270 diffraction measurement sample recovered from a high-pressure experiment (Kusaba et al. 1986); 271 several computer simulations have subsequently confirmed the stability and structure type (Marqués 272 273 et al. 2006, Smirnov et al. 2010, Dutta and Mandal 2012b, Du et al. 2012). Reidite has the scheelite structure type (Kusaba et al. 1986), and contains SiO<sub>4</sub> tetrahedra linked only by corners to the 3-274 275 dimensional framework of edge-sharing ZrO<sub>8</sub> dodecahedra. In our DFT simulation at static equilibrium, the SiO<sub>4</sub> tetrahedra have significantly longer bond lengths than in zircon (1.660 Å 276 compared to 1.634 Å), and the tetrahedra are flattened along the c-axis compared to those in zircon, 277 where the elongation along the c-axis is due to the sharing of the tetrahedral edges with  $ZrO_8$  (see 278 Figure 1b and Figure 2). 279

The simulations show that the  $SiO_4$  tetrahedra in reidite have a bulk modulus of 436 GPa, slightly stiffer than in zircon up to 17 GPa, and that the  $ZrO_8$  polyhedra are very similar in bulk

compressibility to those in zircon (as for zircon, the results for reidite are from fits to static DFT 282 283 simulations without zero-point vibrational effects). In contrast to zircon, the *longer* of the two symmetry-independent Zr-O bonds in reidite compresses significantly more than the shorter Zr-O 284 bond, which is a more normal behaviour, and confirms the inferred influence of the shared O-O 285 edges between the ZrO<sub>8</sub> and SiO<sub>4</sub> groups and the consequent Zr-Si repulsion on the anisotropy of 286 compression of zircon (Hazen and Finger 1979). The direction of the elastic anisotropy is reversed 287 288 in reidite with respect to zircon, with the compressional modulus of the c-axis of reidite (501(1)) GPa) being similar to the *a*-axis of zircon (551(2) GPa). The bulk modulus for reidite is determined 289 to be 241(5) GPa (with  $K_0' = 4.7(6)$ , Table 1), which is about 9% stiffer than zircon and consistent 290 291 with the 9% density increase from zircon to reidite, as also estimated in previous DFT simulations (Marqués et al. 2006, Smirnov et al. 2008 Du et al. 2012, Dutta and Mandal 2012b). The 292 experimental bulk modulus of reidite was reported to be  $301.4 \pm 11.5$  GPa (Scott et al. 2001) and 293 294 392 GPa (Ono et al. 2004a), but the former is based on a compressional study without pressure medium, and the latter on only six data points without a measurement of the recovered sample. 295

The calculated wavenumbers of the Raman active modes (Table 3) are in very good agreement with the data reported by Gucsik (2007). The  $\partial \omega / \partial P$  slopes are all positive and thus all of the mode frequencies remain positive in the investigated pressure range, meaning that reidite remains dynamically stable. The modes that are more sensitive to pressure are those at higher wavenumbers, which are related to the symmetric and antisymmetric stretching of the SiO<sub>4</sub>. Moreover, the DFT simulations show that the doublet B<sub>g</sub> (3) and E<sub>g</sub> (3) that is present at P = 0 converges to a singlet at ca. 7 GPa.

## 303 Zircon-Reidite transition

The space group of reidite,  $I4_1/a$ , which is obviously a sub-group of the space group of zircon,  $I4_1/amd$ , when referred to the same unit-cell and orientation led several authors to assume that reidite (and scheelite structures in general) is a distorted form of the zircon structure and can be

obtained by a displacive-type distortion of the zircon structure (e.g. Kusaba et al. 1986, Knittle and 307 308 Williams 1993, Liu 1979; Glass and Liu 2001). This is not correct because the orientation of the symmetry elements with respect to the structural elements is different in the two phases. 309 Structurally, the unit cell of reidite is rotated  $45^{\circ}$  around the *c*-axis with respect to that of zircon 310 (Figure 1), and the relationship between the unit-cell parameters is  $a_r \sim a_z \sqrt{2}$ . This means that the 311 directions of translation of the *a* glides in reidite and zircon are also at  $45^{\circ}$  to one another. The 312 space group of zircon,  $I4_1/amd$ , does not contain *a*-glides with translations in the direction of the 313 glides in reidite. Similarly, the (very approximate) doubling of the *c*-lattice parameter on going from 314 zircon to reidite means that the elements of the  $4_1$  symmetry operator in reidite are not present in 315 zircon. The consequence of this symmetry relationship is that the topology of the polyhedral 316 linkages in the structures of zircon and reidite are different, and this difference is the major cause 317 for the large density and volume contrast between the two phases. Because the SiO<sub>4</sub> tetrahedra in 318 319 zircon share two edges with the ZrO<sub>8</sub> dodecahedra, each silicon atom has six zircon atoms in its secondary coordination (Figure 2). In the room pressure simulation, two Zr are at a distance of 3.01 320 Å from Si across the shared edges, and four at a distance of 3.65 Å. The average Si-Zr distance is 321 3.44 Å. In reidite, there is only corner-sharing between the  $SiO_4$  and  $ZrO_8$  polyhedra, with each 322 oxygen bonded to one Si, and two Zr. Each Si atom in the structure of reidite therefore has 8 Zr 323 neighbours, four at 3.37 Å and four at 3.56 Å at room pressure. The average Si-Zr distance in 324 reidite, 3.46A is thus almost the same as in zircon, but the density of reidite is much greater because 325 the secondary (Si to Zr) coordination has increased from 6 to 8 (see Figure 1). 326

Consequently, zircon undergoes a significant structural rearrangement when it transforms to its high-pressure polymorph reidite. The transformation is reconstructive and will occur only when a substantial energy barrier is overcome, either by high-temperature or significant over-stepping of the boundary in pressure, or a combination of the two. Calculation of the enthalpies H = U + PV of the two polymorphs by combining the internal energy *U*, pressure *P* and volume *V* from the DFT simulations, shows that reidite becomes energetically more stable than zircon above a pressure of 9.13(1) GPa (Figure 6) at 0 K. This is not dissimilar to experiments. The experimental Clapeyron slope (Ono et al. 2004b)  $\partial P/\partial T$  is zero or slightly positive, and extrapolation of the experimental results to 0 K would place the phase transition pressure between 6 and 8 GPa.

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#### **IMPLICATIONS**

338 The DFT simulations can now explain the various experimental data on zircon and its transformations at high pressure. The calculated *P*-*V* curve from DFT is in good agreement with the 339 data reported by Ono et al. (2004a) on quenched synthetic reidite samples as well as the results from 340 341 the in-situ high-pressure study of zircon by van Westrenen et al. (2004) (Figure 7). The deviation to lower volumes seen above 19.7 GPa in the data of van Westrenen et al. (2004) are now seen to be 342 the consequence of the displacive phase transition to the new HPLS zircon phase that we have 343 344 discovered in this DFT study. Raman spectra of the recovered sample from this experiment unequivocally show reidite so this suggests that, due to the sluggish character of the zircon to reidite 345 346 transition, at high pressures the sample actually contained HPLS zircon plus an amount of reidite too small to be detected by diffraction. This shows that compression at room temperature and above 347 20 GPa is sufficient to overcome the activation barrier for the zircon to reidite transformation, and 348 349 that at high pressures the sample will consist of a mixture of the HPLS zircon phase which has partially transformed to reidite. On pressure release the HPLS zircon will transform back to normal 350 zircon, while the reidite may not, so that recovered samples consist of a mixture of reidite and 351 352 zircon.

The DFT simulations of the Raman spectra of all three polymorphs allow us to give some guidelines as to which peaks in experimental Raman spectra are diagnostic for the different phases of  $ZrSiO_4$ . The simulation of zircon at high pressure (e.g. 19.5 GP) shows that peaks shifts toward higher wavenumbers (Figure 8), while the mode  $E_g(1)$  shifts to lower wavenumbers under pressure, as we discussed in detail above. Above ca. 20 GPa zircon is no longer stable and the symmetry is

broken, resulting in the HPLS phase. At 20 GPa, according to the irreducible representations of 358 point groups (for the  $\Gamma$  point) the B<sub>1u</sub> mode which is silent for the 4/mmm point group of zircon, 359 turns into an A<sub>1</sub> symmetry mode for the  $\overline{4}2m$  point group symmetry of the HPLS phase, which is 360 Raman active. This mode has a high intensity even with random polarization as shown in Figure 8 361 and occurs at 94 cm<sup>-1</sup> at P = 20 GPa. Tables S1 – S3 list the relative intensities of the Raman peaks 362 363 of all three polymorphs simulated for single crystals and polycrystalline powders. Therefore, the 364 HPLS phase can be distinguished from zircon in-situ in high-pressure experiments by making Raman measurements in the low wavenumbers range (below 200 cm<sup>-1</sup>), where the peak at 94 cm<sup>-1</sup> 365 366 does not overlap with any peaks of reidite or zircon.

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533 **Table 1.** Calculated zircon and reidite EoS parameters

	V <sub>0</sub> (Å <sup>3</sup> )	K <sub>0</sub> (GPa)	K <sub>o</sub> '	a <sub>0</sub> (Å)	M <sub>a0</sub> (GPa)	M <sub>a0</sub> '	c₀ (Å)	M <sub>c0</sub> (GPa)	M <sub>c0</sub> '
zircon	266.49 (11)	220.3 (5)	4.71 (4)	6.6514 (2)	551 (2)	15.8 (2)	6.0238 (2)	1050 (1)	5.3 (2)
reidite	239.57 (6)	241 (5)	4.7 (6)	4.76078 (3)	921 (2)	10.1 (2)	10.5700 (2)	501 (1)	16.1 (2)
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535 Note: Parameters are for Birch-Murnaghan 3<sup>rd</sup>-order EoS, obtained by fitting the unit-cell volumes

and cell parameters from the static DFT simulations up to 25 GPa for zircon, and to 17 GPa for

537 reidite.

	ω (cm	n <sup>-1</sup> )	$\partial \omega / \partial P$ (cm <sup>-1</sup> /GPa)					
Experimental <sup>a</sup>		calculated	exper	rimental <sup>b</sup>	calcu	ılated		
mode	T = 70 K	0 K P = 0	T= 298 K P=	0 up to 10 GPa	up to 10 GPa	up to 25 GPa		
A <sub>1g</sub> (1)	441.5	439	439.6	1.44	1.50	1.37		
A <sub>1g</sub> (2)	978	970	975.4	4.97	4.84	4.28		
B <sub>1g</sub> (1)	216.5	216	215.1	1.27	1.37	1.12		
B <sub>1g</sub> (2)	395	387	394.0	1.86	2.08	1.86		
B <sub>1g</sub> (3)	642	636	_	_	2.05	1.82		
B <sub>1g</sub> (4)	1012.5	1015	1008.6	5.54	5.23	4.61		
B <sub>2g</sub>	265	250	-	_	-1.33	-1.46		
E <sub>g</sub> (1)	202.5	197	202.6	-0.56	-0.56	-0.81		
E <sub>g</sub> (2)	225.5	225	224.5	0.30	0.05	0.07		
E <sub>g</sub> (3)	360	341	357.9	4.27	4.57	3.92		
E <sub>g</sub> (4)	547	542	-	_	0.71	0.63		
E <sub>g</sub> (5)	927	922	-	-	4.89	4.32		

**Table 2.** Wavenumbers ( $\omega$ ) and their pressure derivatives ( $\partial \omega \partial P$ ) of Raman-active modes of zircon

<sup>°</sup>Syme et al. 1977

541 <sup>b</sup>Pinvignat et al. 2018

mode	Experimental <sup>a</sup>	calculated			
-	Т=298 К	Т=0 К	$\partial \omega / \partial P$		
Ag (1)	327	326	1.05		
Ag (2)	406	409	0.53		
Ag (3)	-	861	1.29		
Bg (1)	238	242	0.71		
Bg (2)	353	350	2.70		
Bg (3)	464	465	1.80		
Bg (4)	610	608	2.19		
Bg (5)	847	852	1.64		
Eg (1)	205	209	2.05		
Eg (2)	297	300	1.90		
Eg (3)	-	458	4.18		
Eg (4)	558	558	3.42		
Eg (5)	887	891	4.51		

**Table 3.** Wavenumbers ( $\omega$ ) and their pressure derivatives ( $\partial \omega \partial P$ ) of Raman-active modes of reidite

547 Notes: <sup>a</sup> Gucsik 2007. Calculated slopes are from simulations up to a maximum pressure of 17 GPa

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# Figures

**Figure 1.** Polyhedral representations of the crystal structures of (a) zircon and (b) reidite drawn with CrystalMaker® (2018). The shared O-O edges between the  $ZrO_8$  and the SiO<sub>4</sub> polyhedra in zircon are highlighted in red.



**Figure 2.** Interatomic distances (Å) and angles of zircon and reidite in the simulated structures at zero pressure. Only one-half of each  $SiO_4$  tetrahedron is shown for each polymorph. Note that in zircon two oxygens are shared by one Si and one Zr and form a shared polyhedral edge.



**Figure 3.** Calculated (lines) and experimental Raman shifts of zircon (Pina-Binvignat et al., 2018, symbols) as a function of pressure. Some of the calculated Raman active modes are not observed in experiments because of their low intensities. The inset shows the softening of the  $B_{2g}$  and  $E_g(1)$ modes with pressure.



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**Figure 4.** Variation of the wavenumber of the silent (i.e. neither Raman nor IR active)  $B_{1u}$  mode with pressure. Up to ca. 20 GPa the mode frequency is positive, but softens with pressure, and then becomes imaginary (plotted here as negative). In HPLS ZrSiO<sub>4</sub> this mode becomes an A<sub>1</sub> mode (circles).



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Figure 5. Variation with pressure of the cosine of the tetrahedral rotation angle, with polyhedral representations of (left) zircon and (right) HPLS zircon viewed down their c-axes. The tetrahedra are not rotated in zircon but become rotated in the HPLS phase.



**Figure 6.** Variation of the enthalpy change  $\Delta H$  for the zircon to reidite transition with pressure calculated from DFT at 0 K, showing that the transition pressure is 9.13(1) GPa.



**Figure 7.** *P-V* curves of the three polymorphs calculated by DFT at 0 K (lines) and experimental data at 300 K (red symbols Ono et al., 2004a; black symbols Van Westrenen, 2004). The deflection above 19 GPa in the experimental data for zircon is in agreement with the smaller volume of the HPLS phase.



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**Figure 8.** Calculated Raman spectra of the three polymorphs: the spectra are simulated for a polycrystalline powder without any polarization. The Raman intensities are calculated at 298 K and with a wavelength excitation of 532 nm. Calculated intensities for oriented single crystals in different scattering geometries are given in the supplementary materials Tables S1, S2 and S3.

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