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The Soap Bubble Theorem and a p-Laplacian overdetermined problem

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(Article begins on next page)

1 **THE SOAP BUBBLE THEOREM AND A p -LAPLACIAN**
2 **OVERDETERMINED PROBLEM**

3 FRANCESCA COLASUONNO AND FAUSTO FERRARI

ABSTRACT. We consider the p -Laplacian equation $-\Delta_p u = 1$ for $1 < p < 2$, on a regular bounded domain $\Omega \subset \mathbb{R}^N$, with $N \geq 2$, under homogeneous Dirichlet boundary conditions. In the spirit of Alexandrov's Soap Bubble Theorem and of Serrin's symmetry result for the overdetermined problems, we prove that if the mean curvature H of $\partial\Omega$ is constant, then Ω is a ball and the unique solution of the Dirichlet p -Laplacian problem is radial. The main tools used are integral identities, the P -function, and the maximum principle.

4 1. INTRODUCTION

5 The celebrated Alexandrov's Soap Bubble Theorem [2], dated back to 1958,
6 states that if Γ is a compact hypersurface, embedded in \mathbb{R}^N , having constant mean
7 curvature, then Γ is a sphere. On the other hand, Serrin's symmetry result (1971)
8 [19] for the following overdetermined problem

$$-\Delta u = 1 \quad \text{in } \Omega, \quad u = 0 \quad \text{on } \partial\Omega, \tag{1.1}$$

9

$$u_\nu = c \quad \text{on } \partial\Omega, \tag{1.2}$$

10 where $\Omega \subset \mathbb{R}^N$ is a bounded domain and u_ν is the outer normal derivative, states
11 that if (1.1)–(1.2) has a solution, then Ω must be a ball, and the unique solution
12 u must be radial. It is nowadays well-known that these two results are strictly
13 related. Indeed, for his proof, Serrin adapted to the PDEs the reflection principle,
14 a geometrical technique introduced by Alexandrov in [2], and combined it with the
15 maximum principle, giving rise to a very powerful and versatile tool, the *moving*
16 *plane method*. This method is still very much used, since it can be successfully
17 applied to a large class of PDEs. Besides the common techniques used, the link
18 between these two results has been further highlighted by Reilly in [18], where the
19 author proposed an alternative proof of the Soap Bubble Theorem, considering the
20 hypersurface Γ as a level set (i.e., $\partial\Omega$) of the solution of (1.1). For his proof, Reilly
21 found and exploited a relation between the Laplacian operator and the geometrical
22 concept of mean curvature. Interestingly enough, Serrin's result for the overde-
23 termined problem has been proved via a different technique by Weinberger in a
24 two-page paper [22] that was published in the same volume of the same journal
25 as the paper by Serrin [19]. Weinberger's proof is much simpler, it relies on some
26 integral identities, the maximum principle, and the introduction of an auxiliary
27 function, the so-called P -function. Even if Weinberger's technique is less flexible

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28 than the moving plane method, it lends itself well to being re-read in quantitative
 29 terms. Recently, Magnanini and Poggesi in [13, 14] proved the stability both for the
 30 Alexandrov's Soap Bubble theorem and for Serrin's result, by estimating the terms
 31 involved in an integral identity proved in [22] and refined in [15]. Also the moving
 32 plane method has been reformulated in a quantitative version to get the stability
 33 of both Serrin's result, cf. [1], and Alexandrov's Theorem, cf. [6]. In those stability
 34 results, the idea is to measure how much Ω is close to being a ball by estimating
 35 from above the difference $r_e - r_i$ (r_e and r_i being the radii of two suitable balls
 36 such that $B_{r_e} \subset \Omega \subset B_{r_i}$) in terms of the deviation of the normal derivative u_ν
 37 from being constant on $\partial\Omega$, or in terms of the deviation of the mean curvature H
 38 from being constant on Γ . Other stability issues for the Serrin problem have been
 39 treated in [3].

40 Serrin's symmetry result has been extensively studied and generalized also to the
 41 case of quasilinear problems. For the p -Laplacian operator $\Delta_p u = \operatorname{div}(|\nabla u|^{p-2} \nabla u)$,
 42 $1 < p < \infty$, it has been proved that if the following problem

$$\begin{cases} -\Delta_p u = 1 & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \\ |\nabla u| = c & \text{on } \partial\Omega \text{ for some } c > 0 \end{cases} \quad (1.3)$$

43 admits a weak solution in the bounded domain $\Omega \subset \mathbb{R}^N$, then Ω is a ball. Garofalo
 44 and Lewis [10] proved this result via Weinberger's approach; Brock and Henrot [5]
 45 proposed a different proof via Steiner symmetrization for $p \geq 2$; Damascelli and
 46 Pacella [7] succeeded in adapting the moving plane method to the case $1 < p < 2$.
 47 Later, many other refinements and generalizations to more general operators have
 48 been proposed, we refer for instance to [9, 8, 4] and the references therein.

49 In this paper, we consider the following Dirichlet p -Laplacian problem

$$\begin{cases} -\Delta_p u = 1 & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (1.4)$$

50 for $1 < p < 2$. Here $\Omega \subset \mathbb{R}^N$ is a smooth bounded domain and $N \geq 2$. Due
 51 to its physical meaning, (1.4) is often referred to as p -torsion problem. For this
 52 problem, existence and uniqueness of the solution can be easily proved via the
 53 Direct Method of the Calculus of Variations and using the strict convexity of the
 54 action functional associated, see Section 2. In the spirit of Reilly's result, we regard
 55 the hypersurface Γ of Alexandrov's Theorem as the level set $\partial\Omega$ of the solution of
 56 (1.4) and we obtain, for smooth hypersurfaces, an alternative proof of the Soap
 57 Bubble Theorem. As a consequence, we prove the equivalence of the Soap Bubble
 58 Theorem to the Serrin-type symmetry result for the overdetermined problem (1.3),
 59 when $1 < p < 2$. We state here our main results.

60 **Theorem 1.1.** *Let $\Gamma \subset \mathbb{R}^N$ be a $C^{2,\alpha}$ surface which is the boundary of a bounded*
 61 *domain $\Omega \subset \mathbb{R}^N$, i.e. $\Gamma = \partial\Omega$, and denote by $H = H(x)$ the mean curvature of $\partial\Omega$.*
 62 *Suppose that $1 < p < 2$, that u solves (1.4), and that the set of critical points of u*
 63 *has zero measure. Then the following statements are equivalent:*

- 64 a. Ω is a ball;
- 65 b. $|u_\nu(x)|^{p-2} u_\nu(x) = -\frac{1}{NH(x)}$ for every $x \in \partial\Omega$;
- 66 c. u is radial;
- 67 d. $H(x) = H_0$ for every $x \in \partial\Omega$.

68 Moreover, if one of the previous ones holds, then

$$69 \quad e. \quad |\nabla u(x)| = \left(\frac{1}{NH_0}\right)^{\frac{1}{p-1}} \text{ for every } x \in \partial\Omega.$$

70 The implication d. \Rightarrow a. in the previous theorem is a special case of the Soap
71 Bubble Theorem of Alexandrov. We further observe that from the proof of the
72 previous theorem, cf. formula (3.4), it results that if d. holds, then Ω must be a
73 ball of radius $R_0 = 1/H_0$. Moreover, the fact that any of the statements a., b.,
74 c., or d. implies e. is a simple consequence of the previous results, but we know
75 that the converse implication e. \Rightarrow a. holds as well: as proved in [10, 9, 8], the
76 overdetermined problem (1.3) admits a solution only if Ω is a ball of radius R_0 .
77 This allows us to state the equivalence of the Soap Bubble Theorem and of the
78 Serrin-type result for the overdetermined p -Laplacian problem (1.3) under suitable
79 regularity assumptions, in the case $1 < p < 2$.

80 **Corollary 1.2.** *Under the assumptions of Theorem 1.1, statements a., b., c., d.,*
81 *and e. are all equivalent.*

82 Our proof technique takes inspiration from [13] and follows the approach of
83 Weinberger. After having introduced the P -function (2.5) in terms of the solution
84 of (1.4), we derive the integral identity (2.7) using the Divergence Theorem. The
85 identity (2.7) will be a key tool for the estimates in the rest of the paper. We
86 recall then that the p -Laplacian of a smooth function can be expressed as the trace
87 of a matrix-operator applied to the same function, cf. (2.2), and we use a simple
88 algebraic inequality (2.11) (known as Newton's inequality) to get an estimate of
89 the p -Laplacian of a function. This suggests us to introduce in (3.1) the integral
90 $\mathcal{I}_p(u)$ which will play the role of the so-called Cauchy-Schwartz deficit in [13] for
91 the linear case $p = 2$. In view of Newton's inequality, the integral $\mathcal{I}_p(u)$ has a sign,
92 it is always non-negative. Now the P -function comes into play: thanks to the fact
93 that it satisfies a maximum principle, we can prove that, when $1 < p < 2$, $\mathcal{I}_p(u)$
94 vanishes only on radial solutions of (1.4), cf. Lemma 2.6. This, combined with
95 the integral identity (2.7), allows us to obtain an estimate from above of $\mathcal{I}_p(u)$ in
96 terms of some boundary integrals involving only the mean curvature H and the
97 normal derivative u_ν , see Theorem 3.1. Then Theorem 1.1 and Corollary 1.2 are
98 easy consequences: $\mathcal{I}_p(u)$ is zero (or equivalently the solution of (1.4) is radial) if
99 and only if the mean curvature H is constant on $\partial\Omega$ or the modulus of the gradient
100 of u is constant on $\partial\Omega$. Finally, in Corollary 3.6, we give an estimate from above
101 of the integral $\mathcal{I}_p(u)$ in terms of the $L^1(\partial\Omega)$ -norm of the deviation of H from being
102 constant and some constants which only depend on the geometry of the problem,
103 cf. (3.6).

104 The paper is organized as follows: in Section 2 we introduce some useful notation,
105 the P -function, some known results, and some preliminary lemmas. In Section 3
106 we prove Theorem 1.1 and its consequences, while in Section 4, we present some
107 comments on the stability for the p -overdetermined problem.

108 2. PRELIMINARIES

109 We first introduce the main important quantities and notation involved. Through-
110 out the paper, with abuse of notation, we use the symbol $|\cdot|$ to denote both the
111 N -dimensional and the $(N-1)$ -dimensional Lebesgue measures. We further denote
112 by $\|\cdot\|$ the Frobenius matrix norm and by $\langle \cdot, \cdot \rangle$ the scalar product in \mathbb{R}^N .

113 **The p -Laplacian on non-critical level sets of u .** The p -Laplacian of a regular
114 function v can be expressed as follows

$$\Delta_p v = |\nabla v|^{p-2} \left(\Delta v + (p-2) \frac{\langle D^2 v \nabla v, \nabla v \rangle}{|\nabla v|^2} \right), \quad (2.1)$$

115 where $D^2 v$ denotes the Hessian matrix of v . Moreover, we recall that, in view of
116 (2.1), it is possible to express the p -Laplacian of any C^2 -function v as follows

$$\begin{aligned} \Delta_p v &= |\nabla v|^{p-2} \left(\Delta v + (p-2) \langle D^2 v \frac{\nabla v}{|\nabla v|}, \frac{\nabla v}{|\nabla v|} \rangle \right) \\ &= |\nabla v|^{p-2} \left(\operatorname{Tr}(D^2 v) + \frac{p-2}{|\nabla v|^2} \sum_{i,j=1}^N \frac{\partial^2 v}{\partial x_i \partial x_j} \frac{\partial v}{\partial x_i} \frac{\partial v}{\partial x_j} \right) \\ &= |\nabla v|^{p-2} \left[\operatorname{Tr}(D^2 v) + (p-2) \operatorname{Tr} \left(\frac{\nabla v}{|\nabla v|} \otimes \frac{\nabla v}{|\nabla v|} \cdot D^2 v \right) \right] \\ &= \operatorname{Tr} \left[|\nabla v|^{p-2} \left(\mathbf{I} + (p-2) \frac{\nabla v}{|\nabla v|} \otimes \frac{\nabla v}{|\nabla v|} \right) D^2 v \right], \end{aligned} \quad (2.2)$$

117 where we have denoted simply by \mathbf{I} the $N \times N$ identity matrix.

Let u be a solution of (1.4). We denote by ν the following vector field

$$\nu = -\frac{\nabla u}{|\nabla u|},$$

which coincides with the external unit normal on $\partial\Omega$, being $u|_{\partial\Omega}$ constant. The mean curvature of the regular level sets of u is given by

$$H = -\frac{1}{N-1} \operatorname{div} \frac{\nabla u}{|\nabla u|}.$$

118 It is possible to see that, on non-critical level sets of u , the Laplacian of u can
119 be expressed in terms of H as follows

$$\Delta u = u_{\nu\nu} + (N-1)Hu_\nu, \quad (2.3)$$

120 where $u_\nu = \nabla u \cdot \nu = -|\nabla u|$ and $u_{\nu\nu} = \langle D^2 u \nu, \nu \rangle$. Therefore, on non-critical level
121 sets of u , we can write the p -Laplacian as

$$\Delta_p u = |u_\nu|^{p-2} [(p-1)u_{\nu\nu} + (N-1)Hu_\nu]. \quad (2.4)$$

122 **The P -function.** In terms of a solution u of (1.4), we can define the so-called
123 P -function as

$$P := \frac{2(p-1)}{p} |\nabla u|^p + \frac{2}{N} u \quad \text{a.e. in } \Omega, \quad (2.5)$$

124 we refer to [20, Chapter 7, formula (7.6) with $v(q) = q^{\frac{p-2}{2}}$ and $q = |\nabla u|^2$] for its
125 derivation. The main feature of P is that it satisfies a maximum principle, which
126 is the starting point for finding useful bounds for the main quantities involved in
127 this problem.

128 **Definition 2.1.** Let $\Omega \subset \mathbb{R}^N$ be a bounded domain. Ω satisfies the *interior*
129 *sphere condition* if for every $x \in \partial\Omega$ there exist $x_0 \in \Omega$ and $r > 0$ such that
130 $B_r(x_0) := \{y \in \mathbb{R}^N : |y - x_0| < r\} \subset \Omega$ and $x \in \partial B_r(x_0)$.

131 We recall that if Ω is a C^2 bounded domain, then it satisfies the interior sphere
132 condition.

133 **Lemma 2.2.** *Let Ω be of class $C^{1,\alpha}$ and satisfy the interior sphere condition. If u*
 134 *solves (1.4), then P is either constant in $\bar{\Omega}$ or it satisfies $P_\nu > 0$ on $\partial\Omega$.*

135 *Proof.* The proof of this lemma is given in [9, Lemma 3.2] for a solution of the
 136 overdetermined problem (1.3); we report the outline of the proof here in order to
 137 highlight that it continues to hold even if u does not satisfy $|\nabla u| = \text{const.}$ on $\partial\Omega$.

138 Since u solves (1.4), then by [17, Theorem 3.2.2], $u \geq 0$ a.e. in Ω and by
 139 [12, Theorem 1], u is of class $C^{1,\alpha}(\bar{\Omega})$. Now, [21, Theorem 5] guarantees that
 140 $|\nabla u| \geq \max_{\partial\Omega} |\nabla u| > 0$ on $\partial\Omega$. By continuity, $|\nabla u| \neq 0$ in a closed neighborhood
 141 $D \subset \bar{\Omega}$ of $\partial\Omega$.

142 Now, suppose that P is not constant in $\bar{\Omega}$. Under this assumption, as in [9,
 143 Lemma 3.2 - Claim - Step 2], it is possible to prove that P attains its maximum
 144 on $\partial\Omega$ and that, if P also attains its maximum at a point $\bar{x} \in \Omega$, then necessarily
 145 $\nabla u(\bar{x}) = 0$. Therefore, being $D \subset \bar{\Omega}$ a closed neighborhood of $\partial\Omega$, P attains its
 146 maximum in D only on $\partial\Omega$. By the proof of [9, Lemma 3.2], we know that P
 147 satisfies in D a uniformly elliptic equation and so it satisfies the classical Hopf's
 148 lemma. Hence, $P_\nu > 0$ on $\partial\Omega$. \square

149 For future use, we derive here an easy identity holding true for any u solution of
 150 (1.4). By integration by parts, the Divergence Theorem, and (2.3) we get

$$\begin{aligned} \int_{\Omega} \langle |\nabla u|^{p-2} \nabla u, \nabla \Delta u \rangle dx &= - \int_{\Omega} \Delta_p u \Delta u dx + \int_{\partial\Omega} \Delta u |\nabla u|^{p-2} \nabla u \cdot \nu d\sigma \\ &= \int_{\Omega} \Delta u dx + \int_{\partial\Omega} \Delta u |u_\nu|^{p-2} u_\nu d\sigma \\ &= \int_{\partial\Omega} u_\nu d\sigma + \int_{\partial\Omega} |u_\nu|^{p-2} u_\nu [u_{\nu\nu} + (N-1)H u_\nu] d\sigma \\ &= \int_{\partial\Omega} u_\nu d\sigma - \int_{\partial\Omega} |u_\nu|^{p-1} u_{\nu\nu} d\sigma + (N-1) \int_{\partial\Omega} H |u_\nu|^p d\sigma, \end{aligned} \tag{2.6}$$

151 where we used that $\partial\Omega$ is a non-critical level set of u , as showed in the proof of
 152 Lemma 2.2.

153 **Reference constant mean curvature and reference domain.** We introduce
 154 here some reference geometric constants which are related to problem (1.4). These
 155 constants will be useful to compare problem (1.4) with the same problem set in a
 156 ball instead of a general domain Ω .

By Minkowski's identity, i.e.,

$$\int_{\partial\Omega} H(x) \langle x - z, \nu(x) \rangle d\sigma = |\partial\Omega| \quad \text{for any } z \in \mathbb{R}^N,$$

we get, by the Divergence Theorem and if H is constant:

$$|\partial\Omega| = H \int_{\partial\Omega} \langle x - z, \nu(x) \rangle d\sigma = \int_{\Omega} \sum_{i=1}^N \frac{\partial(x-z)}{\partial x_i} dx = H |\Omega| N.$$

If H is not constant, we can take as reference constant mean curvature the quantity

$$H_0 := \frac{|\partial\Omega|}{N|\Omega|}$$

and, as reference domain, a ball of radius

$$R_0 = \frac{1}{H_0} = \frac{N|\Omega|}{|\partial\Omega|}.$$

157

Existence and uniqueness for (1.4). Problem (1.4) has a variational structure with associated action functional $I : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$ given by

$$I(u) := \int_{\Omega} \left(\frac{1}{p} |\nabla u|^p - u \right) dx.$$

158 By strict convexity and the Direct Method of Calculus of Variations, it is possible
159 to prove that I has a unique minimizer. Hence, (1.4) has a unique weak solution
160 $u \in W_0^{1,p}(\Omega)$.

From now on in the paper, we denote by \mathcal{C} the critical set of the solution u of problem (1.4), namely

$$\mathcal{C} := \{x \in \Omega : |\nabla u(x)| = 0\}.$$

161 By [9, Lemma 3.1], we know that the solution u of (1.4) is of class $C^{2,\alpha}(\bar{\Omega} \setminus \mathcal{C})$.

162 Therefore, hereafter we assume that Ω is of class $C^{2,\alpha}$ in order to guarantee that
163 the solution u of (1.4) is of class $C^{2,\alpha}$ in a neighborhood of $\partial\Omega$ (this is a consequence
164 of the regularity of u and of the first part of the proof of Lemma 2.2).

165 **Lemma 2.3.** *Let u solve (1.4) and suppose that its critical set \mathcal{C} has zero N -
166 dimensional measure. The following identity holds*

$$\begin{aligned} \int_{\Omega} \left\{ (p-1)|\nabla u|^{p-2} \left[(p-2) \left\| D^2 u \frac{\nabla u}{|\nabla u|} \right\|^2 + \|D^2 u\|^2 + \langle \nabla u, \nabla \Delta u \rangle \right] + \frac{\Delta u}{N} \right\} dx \\ = - \int_{\partial\Omega} (N-1) \left(\frac{1}{N} u_{\nu} + H|u_{\nu}|^p \right) d\sigma \end{aligned} \quad (2.7)$$

167 *Proof.* By straightforward calculations, we get

$$P_{\nu} = \nabla P \cdot \nu = 2u_{\nu} \left((p-1)|u_{\nu}|^{p-2} u_{\nu\nu} + \frac{1}{N} \right), \quad (2.8)$$

168 cf. [20, formula (7.7)] with $f \equiv w \equiv 1$, $\alpha = 2/N$, $q = |\nabla u|^2$, and $v(q) = q^{(p-2)/2}$.

169 By taking into account (2.3), (2.4), and the equation in (1.4), we can rewrite P_{ν} as
170

$$\begin{aligned} P_{\nu} &= 2u_{\nu} \left(\Delta_p u - (N-1)H|u_{\nu}|^{p-2} u_{\nu} + \frac{1}{N} \right) \\ &= -2(N-1) \left(\frac{1}{N} u_{\nu} + H|u_{\nu}|^p \right). \end{aligned} \quad (2.9)$$

171 Moreover,

$$\Delta P = 2 \left\{ (p-1)|\nabla u|^{p-2} \left[(p-2) \left\| D^2 u \frac{\nabla u}{|\nabla u|} \right\|^2 + \|D^2 u\|^2 + \langle \nabla u, \nabla \Delta u \rangle \right] + \frac{\Delta u}{N} \right\} \quad (2.10)$$

172 cf. [20, formula (7.9)]. The conclusion then follows, since $\int_{\Omega} \Delta P dx = \int_{\partial\Omega} P_{\nu} d\sigma$, by
173 the Divergence Theorem. \square

174 **Proposition 2.4** (Newton's inequality). *Let $n \in \mathbb{N}$ and A be a $(n \times n)$ -matrix,*
 175 *then*

$$\|A\|^2 \geq \frac{(\text{Tr}(A))^2}{n}, \quad (2.11)$$

176 *where denotes $\text{Tr}(\cdot)$ the trace of a matrix. Furthermore, the equality holds in (2.11)*
 177 *if and only if $A = k\mathbf{I}_n$ for some constant k .*

178 *Proof.* The proof is standard, but we report it here for the sake of completeness.
 179 The statement is trivial for $n = 1$. We proceed by induction on $n \geq 2$. If we denote
 180 by a_{ij} the elements of the matrix A , we obtain for $n = 2$ that

$$(\text{Tr}(A))^2 = (a_{11} + a_{22})^2 = a_{11}^2 + a_{22}^2 + 2a_{11}a_{22} \leq 2(a_{11}^2 + a_{22}^2) \leq 2\|A\|^2, \quad (2.12)$$

181 where we have used that $2a_{11}a_{22} \leq a_{11}^2 + a_{22}^2$, being $(a_{11} - a_{22})^2 = a_{11}^2 + a_{22}^2 -$
 182 $2a_{11}a_{22} \geq 0$. As a consequence, we observe that (2.12) holds with the equality signs
 183 if and only if $a_{11} = a_{22}$ and $a_{12} = a_{21} = 0$. We now assume that (2.11) holds true
 184 for n and we prove it for $n + 1$. Indeed,

$$\begin{aligned} (\text{Tr}(A))^2 &= \left(\sum_{i=1}^{n+1} a_{ii} \right)^2 = \left(\sum_{i=1}^n a_{ii} + a_{n+1,n+1} \right)^2 \\ &= \left(\sum_{i=1}^n a_{ii} \right)^2 + 2 \left(\sum_{i=1}^n a_{ii} \right) a_{n+1,n+1} + a_{n+1,n+1}^2 \\ &\leq n \sum_{i=1}^n a_{ii}^2 + n \sum_{\substack{i,j=1 \\ i \neq j}}^n a_{ij}^2 + 2 \left(\sum_{i=1}^n a_{ii} \right) a_{n+1,n+1} + a_{n+1,n+1}^2. \end{aligned} \quad (2.13)$$

Now, as above, we can estimate

$$\begin{aligned} 2 \left(\sum_{i=1}^n a_{ii} \right) a_{n+1,n+1} &= \sum_{i=1}^n 2a_{ii}a_{n+1,n+1} \\ &\leq \sum_{i=1}^n (a_{ii}^2 + a_{n+1,n+1}^2) = na_{n+1,n+1}^2 + \sum_{i=1}^n a_{ii}^2, \end{aligned}$$

where the equality is achieved only for $a_{ii} = a_{n+1,n+1}$ for every $i = 1, \dots, n$.
 Therefore, combining this estimate with (2.13), we obtain

$$\begin{aligned} (\text{Tr}(A))^2 &\leq n \sum_{i=1}^n a_{ii}^2 + a_{n+1,n+1}^2 + na_{n+1,n+1}^2 + \sum_{i=1}^n a_{ii}^2 + n \sum_{\substack{i,j=1 \\ i \neq j}}^n a_{ij}^2 \\ &= (n+1) \sum_{i=1}^n a_{ii}^2 + (n+1)a_{n+1,n+1}^2 + n \sum_{\substack{i,j=1 \\ i \neq j}}^n a_{ij}^2 \\ &= (n+1) \sum_{i=1}^{n+1} a_{ii}^2 + n \sum_{\substack{i,j=1 \\ i \neq j}}^n a_{ij}^2 \leq (n+1) \sum_{i,j=1}^{n+1} a_{ij}^2, \end{aligned}$$

185 where the equalities hold only when $A = k\mathbf{I}_{n+1}$ for some constant k , and the proof
 186 is complete. \square

187 **Corollary 2.5.** *Let v be any C^2 -function, then the following inequality holds*

$$(\Delta_p v)^2 \leq N |\nabla v|^{2(p-2)} \left\| \left(\mathbf{I} + (p-2) \frac{\nabla v}{|\nabla v|} \otimes \frac{\nabla v}{|\nabla v|} \right) D^2 v \right\|^2. \quad (2.14)$$

188 *Proof.* Taking into account (2.2), it is enough to apply Proposition 2.4 with $n := N$
 189 and $A := |\nabla v|^{p-2} \left(\mathbf{I} + (p-2) \frac{\nabla v}{|\nabla v|} \otimes \frac{\nabla v}{|\nabla v|} \right) D^2 v$. \square

190 For every $z \in \mathbb{R}^N$ and $r > 0$, we introduce the function

$$w_r(x) := -\frac{p-1}{pN^{\frac{1}{p-1}}} \left(|x-z|^{\frac{p}{p-1}} - r \right) \quad \text{for every } x \in \Omega. \quad (2.15)$$

We observe that, if $z \in \Omega$ and $p > 2$, w does not have C^2 partial derivatives. Clearly, w_r is radial about z , and, if $\Omega = B_r(z)$, it solves (1.4). Indeed, by straightforward calculations we get

$$\begin{aligned} \nabla w_r &= -N^{-\frac{1}{p-1}} |x-z|^{\frac{p}{p-1}-2} (x-z), \\ |\nabla w_r|^{p-2} \nabla w_r &= -\frac{1}{N} (x-z), \end{aligned}$$

and so

$$\Delta_p w_r = \operatorname{div} \left(-\frac{1}{N} (x-z) \right) = -1.$$

191 We are now ready to prove the following result.

192 **Lemma 2.6.** *Let $1 < p < 2$, then the following statements hold true.*

- 193 (i) *Let w_r be defined as in (2.15), then for $v := w_r$ the equality holds in (2.14).*
 194 (ii) *Let u solve (1.4). Suppose that the critical set \mathcal{C} of u has zero N -dimensional*
 195 *measure and that for $v := u$ the equality holds in (2.14) for every $x \in \Omega \setminus \mathcal{C}$.*
 196 *Then u is radial.*

Proof. (i) Since

$$\frac{\partial^2 w_r}{\partial x_i \partial x_j} = -N^{-\frac{1}{p-1}} \left[\frac{2-p}{p-1} |x-z|^{\frac{p}{p-1}-4} (x_j - z_j)(x_i - z_i) + \delta_{ij} |x-z|^{\frac{p}{p-1}-2} \right],$$

the Hessian of w_r has the following expression

$$D^2 w_r = -N^{-\frac{1}{p-1}} |x-z|^{\frac{2-p}{p-1}} \left(\frac{2-p}{p-1} \cdot \frac{x-z}{|x-z|} \otimes \frac{x-z}{|x-z|} + \mathbf{I} \right).$$

By

$$\left(\frac{x-z}{|x-z|} \otimes \frac{x-z}{|x-z|} \right)^2 = \frac{x-z}{|x-z|} \otimes \frac{x-z}{|x-z|} \quad \text{and} \quad \frac{\nabla w_r}{|\nabla w_r|} = \frac{x-z}{|x-z|},$$

we get

$$\begin{aligned} & |\nabla w_r|^{p-2} \left(\mathbf{I} + (p-2) \frac{\nabla w_r}{|\nabla w_r|} \otimes \frac{\nabla w_r}{|\nabla w_r|} \right) D^2 w_r \\ &= -\frac{|x-z|^{\frac{2-p}{p-1} + (\frac{p}{p-1}-1)(p-2)}}{N} \left[\mathbf{I} + \left(\frac{2-p}{p-1} - \frac{(p-2)^2}{p-1} + p-2 \right) \frac{x-z}{|x-z|} \otimes \frac{x-z}{|x-z|} \right] \\ &= -\frac{1}{N} \mathbf{I}. \end{aligned}$$

197 Hence, by Proposition 2.4, (2.14) holds with the equality sign for $v := w_r$.

(ii) By Proposition 2.4, we know that the equality holds in (2.14) if and only if

$$|\nabla u|^{p-2} \left(\mathbf{I} + (p-2) \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right) D^2 u = k \mathbf{I}$$

for some constant k . By $\left\| (2-p) \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right\| = |2-p| < 1$,

$$\det \left(\mathbf{I} - (2-p) \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right) \neq 0,$$

and

$$\left(\frac{x}{|x|} \otimes \frac{x}{|x|} \right)^i = \frac{x}{|x|} \otimes \frac{x}{|x|} \quad \text{for all } x \in \mathbb{R}^N \text{ and all } i \in \mathbb{N},$$

198 we get on $\Omega \setminus \mathcal{C}$

$$\begin{aligned} D^2 u &= \frac{k}{|\nabla u|^{p-2}} \left(\mathbf{I} - (2-p) \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right)^{-1} \\ &= \frac{k}{|\nabla u|^{p-2}} \sum_{i=0}^{\infty} (2-p)^i \left(\frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right)^i \\ &= \frac{k}{|\nabla u|^{p-2}} \left(\mathbf{I} + \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \sum_{i=1}^{\infty} (2-p)^i \right) \\ &= \frac{k}{|\nabla u|^{p-2}} \left[\mathbf{I} + \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \left(\frac{1}{1-(2-p)} - 1 \right) \right] \\ &= \frac{k}{|\nabla u|^{p-2}} \left(\mathbf{I} - \frac{p-2}{p-1} \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right). \end{aligned} \quad (2.16)$$

Namely, for $i, j = 1, \dots, N$

$$\partial_{ij}^2 u = \frac{k}{|\nabla u|^{p-2}} \left(\delta_{ij} - \frac{p-2}{p-1} \frac{\partial_i u \partial_j u}{|\nabla u|^2} \right).$$

199 Hence, in particular,

$$\Delta u = \frac{k}{|\nabla u|^{p-2}} \sum_{i=1}^N \left(1 - \frac{p-2}{p-1} \frac{(\partial_i u)^2}{|\nabla u|^2} \right) = \frac{k}{|\nabla u|^{p-2}} \left(N - \frac{p-2}{p-1} \right). \quad (2.17)$$

Furthermore, since u solves (1.4), then by (2.16), (2.17), and (2.1), we have

$$\begin{aligned} -1 &= |\nabla u|^{p-2} \left(\Delta u + (p-2) \left\langle D^2 u \frac{\nabla u}{|\nabla u|}, \frac{\nabla u}{|\nabla u|} \right\rangle \right) \\ &= k \sum_{i=1}^N \left(1 - \frac{p-2}{p-1} \frac{(\partial_i u)^2}{|\nabla u|^2} \right) + (p-2) \left\langle |\nabla u|^{p-2} D^2 u \frac{\nabla u}{|\nabla u|}, \frac{\nabla u}{|\nabla u|} \right\rangle \\ &= k \sum_{i=1}^N \left(1 - \frac{p-2}{p-1} \frac{(\partial_i u)^2}{|\nabla u|^2} \right) + (p-2) \left\langle k \left(\mathbf{I} - \frac{p-2}{p-1} \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right) \frac{\nabla u}{|\nabla u|}, \frac{\nabla u}{|\nabla u|} \right\rangle \\ &= k \left[N - \frac{p-2}{p-1} + (p-2) \left(1 - \frac{p-2}{p-1} \left\langle \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \frac{\nabla u}{|\nabla u|}, \frac{\nabla u}{|\nabla u|} \right\rangle \right) \right] \\ &= k \left[N - \frac{p-2}{p-1} + (p-2) \left(1 - \frac{p-2}{p-1} \right) \right] = kN, \end{aligned}$$

where in the last equality, we have used that

$$\frac{x}{|x|} \otimes \frac{x}{|x|} \frac{x}{|x|} = \frac{x}{|x|} \quad \text{for all } x \in \mathbb{R}^N.$$

200 Hence, $k = -\frac{1}{N}$.

Now, by the equation in (1.4), (2.17), and (2.3), we get on non-critical level sets of u

$$\begin{aligned} |u_\nu|^{p-2} [(p-1)u_{\nu\nu} + (N-1)Hu_\nu] &= -1, \\ u_{\nu\nu} + (N-1)Hu_\nu &= \left(\frac{p-2}{N(p-1)} - 1 \right) \frac{1}{|u_\nu|^{p-2}}, \end{aligned}$$

being $u_\nu = -|\nabla u|$. These two identities give

$$|u_\nu|^{p-2} u_{\nu\nu} = -\frac{1}{N(p-1)}$$

201 and consequently

$$H = \frac{1}{N|u_\nu|^{p-1}} \quad \text{on } \partial\Omega. \quad (2.18)$$

Now, by Lemma 2.2, we know that either P is constant on $\bar{\Omega}$, or $P_\nu > 0$ on $\partial\Omega$. If the first case occurs, then it is possible to see that all level sets of u are isoparametric surfaces. In particular, since u satisfies homogeneous Dirichlet boundary conditions, all level sets must be concentric spheres and so u is radial, cf. [9, Remark 5.5] and [11, Theorem 5]. If the second case occurs, then by (2.9),

$$\frac{1}{N}u_\nu + H|u_\nu|^p < 0 \quad \text{on } \partial\Omega,$$

therefore, by (2.18),

$$0 = \frac{1}{N}(u_\nu - u_\nu) = \frac{u_\nu}{N} + \frac{|u_\nu|}{N} < 0 \quad \text{on } \partial\Omega.$$

202 This is impossible and concludes the proof. \square

203 3. PROOF OF THE MAIN RESULTS

204 Let u solve (1.4) and suppose that its critical set \mathcal{C} has zero N -dimensional
205 measure. We introduce the following integral

$$\mathcal{I}_p(u) := \int_{\Omega} \left[|\nabla u|^{(p-2)} \left\| \left(I + (p-2) \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right) D^2 u \right\|^2 - \left(\frac{\Delta_p u}{N^{1/2} |\nabla u|^{\frac{p-2}{2}}} \right)^2 \right] dx. \quad (3.1)$$

206 **Theorem 3.1.** *Let $1 < p < 2$ and $\partial\Omega$ be a $C^{2,\alpha}$ bounded domain of \mathbb{R}^N . If u solves
207 (1.4) and has $|\mathcal{C}| = 0$, then*

- 208 (i) $\mathcal{I}_p(u) \geq 0$ and $\mathcal{I}_p(u) = 0$ if and only if u is radial;
- 209 (ii) $\mathcal{I}_p(u) \leq -\frac{p(N-1)}{p-1} \int_{\partial\Omega} \left(\frac{1}{N}u_\nu + H|u_\nu|^p \right) d\sigma$;
- 210 (iii) $\mathcal{I}_p(u) \leq \frac{p(N-1)}{p-1} \int_{\partial\Omega} |u_\nu|^p (H_0 - H) d\sigma$.

211 *Proof of Theorem 3.1.* (i) By (2.14), we know that $\mathcal{I}_p(u) \geq 0$ and, by Lemma 2.6,
 212 we know that $\mathcal{I}_p(u) = 0$ if and only if u is radial.

(ii) First, we observe that a.e. in Ω we have

$$\begin{aligned} & \left\| \left(\mathbf{I} + (p-2) \frac{\nabla u}{|\nabla u|} \otimes \frac{\nabla u}{|\nabla u|} \right) D^2 u \right\|^2 = \sum_{i,j=1}^N \left(\partial_{ij}^2 u + (p-2) \sum_{k=1}^N \frac{\partial_i u}{|\nabla u|} \frac{\partial_k u}{|\nabla u|} \partial_{kj}^2 u \right)^2 \\ & = \|D^2 u\|^2 + 2(p-2) \sum_{i,j=1}^N \partial_{ij}^2 u \frac{\partial_i u}{|\nabla u|} \sum_{k=1}^N \frac{\partial_k u}{|\nabla u|} \partial_{kj}^2 u + (p-2)^2 \sum_{i,j=1}^N \left(\sum_{k=1}^N \frac{\partial_i u}{|\nabla u|} \frac{\partial_k u}{|\nabla u|} \partial_{kj}^2 u \right)^2 \\ & = \|D^2 u\|^2 + 2(p-2) \sum_{j=1}^N \left(\sum_{i=1}^N \frac{\partial_i u}{|\nabla u|} \partial_{ij}^2 u \right)^2 + (p-2)^2 \left\| D^2 u \frac{\nabla u}{|\nabla u|} \right\|^2 \\ & = \|D^2 u\|^2 + p(p-2) \left\| D^2 u \frac{\nabla u}{|\nabla u|} \right\|^2. \end{aligned}$$

Furthermore, by (2.7), we get

$$\begin{aligned} p(p-2) \int_{\Omega} |\nabla u|^{p-2} \left\| D^2 u \frac{\nabla u}{|\nabla u|} \right\|^2 dx &= -p \int_{\Omega} \left[|\nabla u|^{p-2} (\|D^2 u\|^2 + \langle \nabla u, \nabla \Delta u \rangle) + \frac{\Delta u}{N(p-1)} \right] dx \\ &\quad - p \frac{N-1}{p-1} \int_{\partial\Omega} \left(\frac{1}{N} u_{\nu} + H|u_{\nu}|^p \right) d\sigma. \end{aligned}$$

Hence, using these last two identities, we can rewrite $\mathcal{I}_p(u)$ as

$$\begin{aligned} \mathcal{I}_p(u) &= \int_{\Omega} \left\{ |\nabla u|^{p-2} [-(p-1)\|D^2 u\|^2 - p\langle \nabla u, \nabla \Delta u \rangle] - \frac{p}{N(p-1)} \Delta u - \frac{(\Delta_p u)^2}{N|\nabla u|^{p-2}} \right\} dx \\ &\quad - \frac{p(N-1)}{p-1} \int_{\partial\Omega} \left(\frac{1}{N} u_{\nu} + H|u_{\nu}|^p \right) d\sigma. \end{aligned}$$

On the other hand, by (2.1), the $C^{2,\alpha}$ regularity of u in a neighborhood of $\partial\Omega$, and the Divergence Theorem

$$\begin{aligned} & -p \int_{\Omega} \left(|\nabla u|^{p-2} \langle \nabla u, \nabla \Delta u \rangle + \frac{1}{N(p-1)} \Delta u \right) dx \\ &= \int_{\Omega} -p \left(1 + \frac{1}{N(p-1)} \right) \Delta u dx + p \int_{\partial\Omega} |\nabla u|^{p-1} \Delta u d\sigma \\ &= p \int_{\partial\Omega} \left(1 + \frac{1}{N(p-1)} \right) |\nabla u| (1 + |\nabla u|^{p-2} \Delta u) d\sigma \\ &= -p(p-2) \left(1 + \frac{1}{N(p-1)} \right) \int_{\partial\Omega} |\nabla u|^{p-1} \langle D^2 u \frac{\nabla u}{|\nabla u|}, \frac{\nabla u}{|\nabla u|} \rangle d\sigma. \end{aligned}$$

213 Hence,

$$\begin{aligned} \mathcal{I}_p(u) &= \int_{\Omega} \left\{ -(p-1)|\nabla u|^{p-2} \|D^2 u\|^2 - \frac{(\Delta_p u)^2}{N|\nabla u|^{p-2}} \right\} dx \\ &\quad - \frac{p(N-1)}{p-1} \int_{\partial\Omega} \left(\frac{1}{N} u_{\nu} + H|u_{\nu}|^p \right) d\sigma \\ &\quad - p(p-2) \left(1 + \frac{1}{N(p-1)} \right) \int_{\partial\Omega} |\nabla u|^{p-1} \langle D^2 u \frac{\nabla u}{|\nabla u|}, \frac{\nabla u}{|\nabla u|} \rangle d\sigma. \end{aligned} \tag{3.2}$$

In order to estimate from above $\mathcal{I}_p(u)$, we want to determine the sign of the last term in (3.2). By Lemma 2.2, we know that either $P_\nu > 0$ on $\partial\Omega$ or P is constant in $\bar{\Omega}$. If the second case occurs, then, as in the proof of Lemma 2.6-(ii), all level sets of u are concentric spheres, and in particular Ω is a ball. Without loss of generality we can suppose Ω to be a ball centered in the origin B_r , thus, the unique solution of (1.4) is w_r , given in (2.15), with $z = 0$. Then, by straightforward calculations, we have for every $x \in \partial B_r$

$$H(x) = -\frac{1}{N-1} \operatorname{div} \frac{\nabla w_r}{|\nabla w_r|} = \frac{1}{N-1} \sum_{i=1}^N \left(\frac{1}{|x|} - \frac{x_i^2}{|x|^3} \right) = \frac{1}{r}$$

and

$$(w_r)_\nu(x) = -|\nabla w_r(x)| = -\frac{1}{N^{\frac{1}{p-1}}} r^{\frac{1}{p-1}}.$$

Hence,

$$\frac{1}{N} (w_r)_\nu(x) + H(x) |(w_r)_\nu(x)|^p = 0 \quad \text{for every } x \in \partial B_r$$

and the inequality in (ii) is satisfied with the equality sign and we are done. We consider now the remaining case $P_\nu > 0$ on $\partial\Omega$. In this case

$$(p-1)|u_\nu|^{p-2} u_{\nu\nu} + \frac{1}{N} < 0 \quad \text{on } \partial\Omega$$

(cf. (2.8) and remember that $u_\nu < 0$ on $\partial\Omega$), or equivalently

$$u_{\nu\nu} < -\frac{|u_\nu|^{2-p}}{N(p-1)} \quad \text{on } \partial\Omega.$$

Hence, $u_{\nu\nu} < 0$ on $\partial\Omega$, and so, when $1 < p < 2$, we get

$$\mathcal{I}_p(u) \leq -\frac{p(N-1)}{p-1} \int_{\partial\Omega} \left(\frac{1}{N} u_\nu + H|u_\nu|^p \right) d\sigma.$$

(iii) Since u is a solution of (1.4), by Divergence Theorem and Hölder's inequality we have

$$\begin{aligned} |\Omega| &= \int_{\Omega} -\Delta_p u dx = - \int_{\Omega} \operatorname{div} (|\nabla u|^{p-2} |\nabla u|) dx = - \int_{\partial\Omega} |\nabla u|^{p-2} \nabla u \cdot \nu d\sigma \\ &= \int_{\partial\Omega} |u_\nu|^{p-1} d\sigma \leq \left(\int_{\partial\Omega} |u_\nu|^p d\sigma \right)^{\frac{p-1}{p}} |\partial\Omega|^{\frac{1}{p}}. \end{aligned}$$

By using the definition of H_0 , the previous estimate reads as

$$\left(\int_{\partial\Omega} |u_\nu|^p d\sigma \right)^{\frac{1}{p'}} \geq \frac{|\Omega|}{|\partial\Omega|^{\frac{1}{p}}} = \frac{|\partial\Omega|^{\frac{1}{p'}}}{NH_0}.$$

Consequently, by Hölder's inequality,

$$- \int_{\partial\Omega} u_\nu d\sigma \leq \|u_\nu\|_{L^p(\partial\Omega)} |\partial\Omega|^{\frac{1}{p'}} \leq NH_0 \left(\int_{\partial\Omega} |u_\nu|^p d\sigma \right)^{\frac{1}{p} + \frac{1}{p'}} = NH_0 \int_{\partial\Omega} |u_\nu|^p d\sigma.$$

214 By using this inequality, the right-hand side of (2.7) can be estimated as

$$-(N-1) \int_{\partial\Omega} \left(\frac{1}{N} u_\nu + H|u_\nu|^p \right) d\sigma \leq (N-1) \int_{\partial\Omega} |u_\nu|^p (H_0 - H) d\sigma. \quad (3.3)$$

Therefore, in view of part (ii) of the present theorem, we have for $1 < p < 2$

$$\mathcal{I}_p(u) \leq \frac{p(N-1)}{p-1} \int_{\partial\Omega} |u_\nu|^p (H_0 - H) d\sigma.$$

215 This concludes the proof. \square

Remark 3.2. From parts (i) and (iii) of the previous theorem, since $|u_\nu|^p$ is bounded on $\partial\Omega$, we have the following upper bound for the L^1 -norm of the mean curvature H of $\partial\Omega$

$$\int_{\partial\Omega} H d\sigma \leq H_0 |\partial\Omega| = \frac{|\partial\Omega|^2}{N|\Omega|}.$$

216 The previous theorem allows us to give an alternative proof of the Soap Bubble
217 Theorem in the case in which the hypersurface is a level set of the solution of
218 problem (1.4).

219 *Proof of Theorem 1.1.* The scheme of the proof is the following: a. \Rightarrow c. \Rightarrow b. \Rightarrow
220 c. \Rightarrow a., this proves that a., b. and c. are all equivalent; then we will prove that a.
221 \Rightarrow d. \Rightarrow c., and finally b. \Rightarrow e.

222 a. \Rightarrow c. If $\Omega = B_r$, the only solution of (1.4) is the radial function w_r defined in
223 (2.15).

224 c. \Rightarrow b. As in the proof of Theorem 3.1-(ii), if the solution of (1.4) is radial,
225 $\Omega = B_r$ for some $r > 0$, and so $u = w_r$. Hence, by straightforward calculations, b.
226 holds true.

227 b. \Rightarrow c. By Theorem 3.1-(ii), we get $\mathcal{I}_p(u) = 0$, which in turn implies that u is
228 radial, by Lemma 2.6.

229 c. \Rightarrow a. If u is radial, then $\Gamma = \partial\Omega$, being a level set of u , is a sphere, and so Ω
230 is a ball.

231 a. \Rightarrow d. If $\Omega = B_r$ for some $r > 0$, then $u = w_r$ and so, for every $x \in \partial\Omega$

$$H(x) = -\frac{1}{N-1} \operatorname{div} \frac{\nabla w_r}{|\nabla w_r|} = \frac{1}{N-1} \sum_{i=1}^N \left(\frac{1}{|x|} - \frac{x_i^2}{|x|^3} \right) = \frac{1}{r} = \frac{|\partial B_r|}{N|B_r|} = H_0. \quad (3.4)$$

232

233 d. \Rightarrow c. By Theorem 3.1-(iii), we get $\mathcal{I}_p(u) = 0$, which in turn implies that u is
234 radial, by Lemma 2.6.

b. \Rightarrow e. Up to now, we have proved that a., b., c. and d. are equivalent. Thus,
if b. holds, we have by d.

$$|u_\nu|^{p-2} u_\nu = -\frac{1}{NH_0} \quad \text{on } \partial\Omega.$$

We recall that, on $\partial\Omega$, $\nu = -\frac{\nabla u}{|\nabla u|}$ and consequently $u_\nu = \nabla u \cdot \nu = -|\nabla u|$. Therefore,

$$|u_\nu|^{p-2} u_\nu = -|\nabla u|^{p-1} = -\frac{1}{NH_0} \quad \text{on } \partial\Omega,$$

235 which gives e. \square

236 In the remaining part of this section, we give an upper bound of the integral
237 $\mathcal{I}_p(u)$ in terms of the $L^1(\partial\Omega)$ -norm of the difference between the mean curvature
238 of $\partial\Omega$ and the reference constant H_0 . We start with some preliminary results.

239 **Lemma 3.3.** *Let $\Omega = \mathcal{A}(R_1, R_2)$ be an annulus of radii $0 < R_1 < R_2$, then there*
 240 *exists a unique $\bar{R} \in (R_1, R_2)$ such that the positive radial function*

$$u_{\mathcal{A}}(r) := \begin{cases} \int_{R_1}^r \left(\frac{\bar{R}^N}{N\tau^{N-1}} - \frac{\tau}{N} \right)^{\frac{1}{p-1}} d\tau & \text{for every } r \in [R_1, \bar{R}], \\ \int_r^{R_2} \left(\frac{\tau}{N} - \frac{\bar{R}^N}{N\tau^{N-1}} \right)^{\frac{1}{p-1}} d\tau & \text{for every } r \in (\bar{R}, R_2] \end{cases} \quad (3.5)$$

241 *is of class $C^1([R_1, R_2])$ and solves (1.4). Furthermore, $u_{\mathcal{A}}$ achieves its maximum*
 242 *at \bar{R} , where with abuse of notation we have written $u_{\mathcal{A}}(x) = u_{\mathcal{A}}(r)$ for $|x| = r$.*

Proof. Suppose first that such \bar{R} exists and belongs to (R_1, R_2) . In this case, it is straightforward to verify that the function $u_{\mathcal{A}}$ given in (3.5) solves problem (1.4), which can be written in radial form as

$$\begin{cases} |u'_{\mathcal{A}}|^{p-2} [(p-1)u''_{\mathcal{A}} + \frac{N-1}{r}u'_{\mathcal{A}}] = -1 & \text{in } (R_1, R_2), \\ u_{\mathcal{A}}(R_1) = u_{\mathcal{A}}(R_2) = 0, \end{cases}$$

243 where the symbol $'$ denotes the derivative with respect to r .

Now, if we consider the two functions

$$\begin{aligned} F_1 : \rho \in [R_1, R_2] &\mapsto \int_{R_1}^{\rho} \left(\frac{\rho^N}{N\tau^{N-1}} - \frac{\tau}{N} \right)^{\frac{1}{p-1}} d\tau \in \mathbb{R}, \\ F_2 : \rho \in [0, R_2] &\mapsto \int_{\rho}^{R_2} \left(\frac{\tau}{N} - \frac{\rho^N}{N\tau^{N-1}} \right)^{\frac{1}{p-1}} d\tau \in \mathbb{R}, \end{aligned}$$

they have the following properties:

$$F_1(R_1) = F_2(R_2) = 0,$$

$$0 < F_1(\rho) < +\infty \text{ for every } \rho \in (R_1, R_2], \quad 0 < F_2(\rho) < +\infty \text{ for every } \rho \in [0, R_2),$$

$$F'_1(\rho) = \frac{1}{p-1} \int_{R_1}^{\rho} \left(\frac{\rho^N}{N\tau^{N-1}} - \frac{\tau}{N} \right)^{\frac{2-p}{p-1}} \left(\frac{\rho}{\tau} \right)^{N-1} d\tau > 0 \text{ for every } \rho \in (R_1, R_2],$$

$$F'_2(\rho) = -\frac{1}{p-1} \int_{\rho}^{R_2} \left(\frac{\tau}{N} - \frac{\rho^N}{N\tau^{N-1}} \right)^{\frac{2-p}{p-1}} \left(\frac{\rho}{\tau} \right)^{N-1} d\tau < 0 \text{ for every } \rho \in [0, R_2).$$

244 Therefore, there exists a unique $\rho = \bar{R} \in (R_1, R_2)$ for which $F_1(\bar{R}) = F_2(\bar{R})$. This
 245 concludes the proof. \square

246 **Definition 3.4.** A domain $\Omega \subset \mathbb{R}^N$ satisfies the *uniform interior and exterior*
 247 *touching sphere conditions*, and we denote with ρ_i and ρ_e the optimal interior and
 248 exterior radii respectively, if for any $x_0 \in \partial\Omega$ there exist two balls $B_{\rho_i}(c^-) \subset \Omega$ and
 249 $B_{\rho_e}(c^+) \subset \mathbb{R}^N \setminus \bar{\Omega}$ such that $x_0 \in \partial B_{\rho_i}(c^-) \cap \partial B_{\rho_e}(c^+)$. We call *optimal radius* the
 250 minimum between the interior and the exterior radius, $\rho := \min\{\rho_i, \rho_e\}$.

251 We observe that if Ω is of class C^2 , then it satisfies the uniform interior and
 252 exterior touching sphere conditions.

Proposition 3.5. *Let $\Omega \subset \mathbb{R}^N$ be a bounded domain of class C^2 and $u \in C^1(\bar{\Omega})$ be a solution of (1.4) in Ω . Then*

$$\left(\frac{\rho_i}{N} \right)^{\frac{1}{p-1}} \leq |\nabla u| \leq \left[\frac{(\text{diam}(\Omega) + \rho_e)^N}{N\rho_e^{N-1}} - \frac{\rho_e}{N} \right]^{\frac{1}{p-1}} \quad \text{on } \partial\Omega.$$

Proof. We follow the ideas in [13, Theorem 3.10]. Let x_0 be any point on the boundary $\partial\Omega$. Without loss of generality, we can place the origin at c^- . Thus, the function

$$u_{\rho_i} := -\frac{p-1}{pN^{\frac{1}{p-1}}} \left(|x|^{\frac{p}{p-1}} - \rho_i^{\frac{p}{p-1}} \right)$$

is the solution of (1.4) in B_{ρ_i} . Now, being by definition $B_{\rho_i} \subset \Omega$,

$$\begin{cases} -\Delta_p u_{\rho_i} = -\Delta_p u & \text{in } B_{\rho_i}, \\ u_{\rho_i} \leq u & \text{on } \partial B_{\rho_i}, \end{cases}$$

and so, by comparison [9, Lemma 3.7], $u_{\rho_i} \leq u$ in B_{ρ_i} . Since $u_{\rho_i}(x_0) = u(x_0)$, we have $\partial_\nu(u_{\rho_i} - u)(x_0) > 0$, where ν is the external unit normal to B_{ρ_i} . This gives the first inequality in the statement, namely

$$|\nabla u(x_0)| \geq \left(\frac{\rho_i}{N} \right)^{\frac{1}{p-1}}.$$

On the other hand, let $\mathcal{A} := \mathcal{A}(\rho_e, \text{diam}(\Omega) + \rho_e)$ be the annulus centered at c^+ . By definition, $\Omega \subset \mathcal{A}$. Again, without loss of generality, we can place the origin at c^+ and consider the function $u_{\mathcal{A}}$ whose expression is given by (3.5) with $R_1 := \rho_e$ and $R_2 := \text{diam}(\Omega) + \rho_e$. Reasoning as above we have

$$\begin{cases} -\Delta_p u_{\mathcal{A}} = -\Delta_p u & \text{in } \Omega, \\ u_{\mathcal{A}} \geq u & \text{on } \partial\Omega, \end{cases}$$

and so $u_{\mathcal{A}} \geq u$ in Ω . Therefore, $\partial_\nu(u_{\mathcal{A}} - u)(x_0) \leq 0$, being ν the external unit normal to \mathcal{A} . This finally gives

$$|\nabla u(x_0)| \leq \left(\frac{\bar{R}^N}{N\rho_e^{N-1}} - \frac{\rho_e}{N} \right)^{\frac{1}{p-1}} \leq \left(\frac{(\rho_e + \text{diam}(\Omega))^N}{N\rho_e^{N-1}} - \frac{\rho_e}{N} \right)^{\frac{1}{p-1}}$$

253 and concludes the proof. \square

254 Combining together the results in Proposition 3.5 and Theorem 3.1, we get the
255 following corollary.

256 **Corollary 3.6.** *Let $1 < p < 2$ and $\Omega \subset \mathbb{R}^N$ be a $C^{2,\alpha}$ bounded domain. If u solves
257 (1.4) and has $|\mathcal{C}| = 0$, the following chain of inequalities holds*

$$0 \leq \mathcal{I}_p(u) \leq \frac{p(N-1)}{p-1} \left[\frac{(\text{diam}(\Omega) + \rho_e)^N}{N\rho_e^{N-1}} - \frac{\rho_e}{N} \right]^{\frac{p}{p-1}} \|H_0 - H\|_{L^1(\partial\Omega)}. \quad (3.6)$$

258 4. SOME COMMENTS ON THE STABILITY

259 With reference to the result given in Corollary 3.6, we observe that, while $\mathcal{I}_p(u)$
260 is related to the solution of problem (1.4), the constant that bounds from above
261 $\mathcal{I}_p(u)$ in (3.6) depends only on the geometry of the problem. In particular, the
262 non-negative integral $\mathcal{I}_p(u)$ that vanishes only on radial functions, goes to zero as
263 $H \rightarrow H_0$ in $L^1(\partial\Omega)$. In view of Corollary 3.6, this suggests, at least qualitatively, a
264 sort of stability of the Serrin-type result for the overdetermined problem with the
265 p -Laplacian.

266 In [6], Ciraolo and Vezzoni obtained the following stability result for the Soap
267 Bubble Theorem by Alexandrov.

Theorem 4.1 (Theorem 1.1 of [6]). *Let $\partial\Omega$ be a C^2 -regular, connected, and closed hypersurface embedded in \mathbb{R}^N . If*

$$\|H - H_0\|_{L^\infty(\partial\Omega)} < \varepsilon$$

for some $\varepsilon > 0$ depending only on N , $|\partial\Omega|$, and upper bounds on the inverse of the optimal radius (cf. Definition 3.4) ρ^{-1} of $\partial\Omega$, then $\partial\Omega \subset \bar{B}_{r_e} \setminus B_{r_i}$, with

$$0 < r_e - r_i \leq C\varepsilon,$$

268 where $C > 0$ depends on N , $|\partial\Omega|$, and upper bounds on the inverse of the optimal
269 radius ρ^{-1} of $\partial\Omega$.

270 This result gives an estimate of $r_e - r_i$ in terms of the $L^\infty(\partial\Omega)$ -norm of $H - H_0$.

Furthermore, as a consequence, for every $1 < p < \infty$, it is possible to compare the solution u of (1.4) with the radial solutions

$$u_e(x) := -\frac{p-1}{pN^{\frac{1}{p-1}}} \left(|x|^{\frac{p}{p-1}} - (r_e)^{\frac{p}{p-1}} \right) \quad \text{for every } x \in B_{r_e}$$

and

$$u_i(x) := -\frac{p-1}{pN^{\frac{1}{p-1}}} \left(|x|^{\frac{p}{p-1}} - (r_i)^{\frac{p}{p-1}} \right) \quad \text{for every } x \in B_{r_i}$$

of

$$\begin{cases} -\Delta_p u_e = 1 & \text{in } B_{r_e}, \\ u_e = 0 & \text{on } \partial B_{r_e}, \end{cases} \quad \text{and} \quad \begin{cases} -\Delta_p u_i = 1 & \text{in } B_{r_i}, \\ u_i = 0 & \text{on } \partial B_{r_i}, \end{cases}$$

respectively. Indeed, by the weak comparison principle [9, Lemma 3.7], we easily get

$$u \geq u_i \text{ in } B_{r_i} \quad \text{and} \quad u \leq u_e \text{ in } \Omega,$$

giving in particular the following estimate of u in terms of the radial solutions u_i and u_e on the interior ball B_{r_i}

$$-\frac{p-1}{pN^{\frac{1}{p-1}}} \left(|x|^{\frac{p}{p-1}} - (r_i)^{\frac{p}{p-1}} \right) \leq u(x) \leq -\frac{p-1}{pN^{\frac{1}{p-1}}} \left(|x|^{\frac{p}{p-1}} - (r_e)^{\frac{p}{p-1}} \right) \quad \text{in } B_{r_i}.$$

271 It is quite challenging to obtain an estimate from below of $\mathcal{I}_p(u)$ in terms of some
272 increasing function of $r_e - r_i$. This would allow to improve—at least in some relevant
273 cases—the stability result in Theorem 4.1, getting a stability result in terms of the
274 $L^1(\partial\Omega)$ -norm, instead of the $L^\infty(\partial\Omega)$ -norm, of $H - H_0$. This approach was proposed
275 by Magnanini and Poggesi for the case $p = 2$ in [13], where the authors used in
276 a very clever way the mean value property for harmonic functions. Nevertheless,
277 their method works well only in the linear case and seems very difficult to generalize
278 it to the case $p \neq 2$. Some other issues related to the stability of the symmetry
279 result for the overdetermined p -Laplacian problem are treated in [16].

280

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